

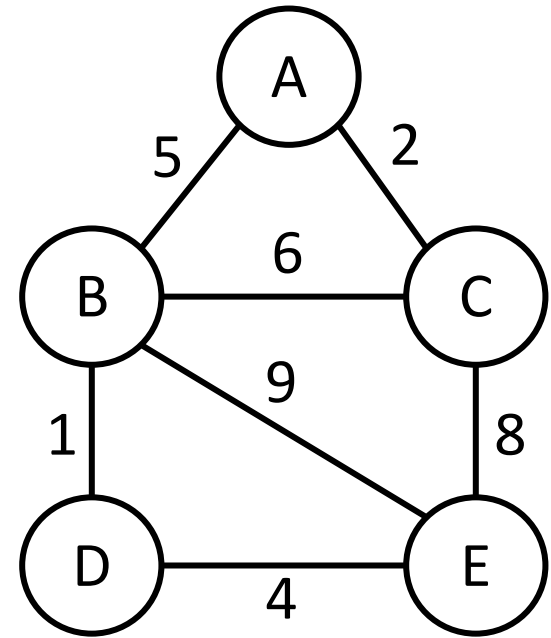
# Minimum Spanning Trees

CS313E – Elements Of Software Design

# Weighted Graphs

Weighted graphs: graphs with weight-associated edges

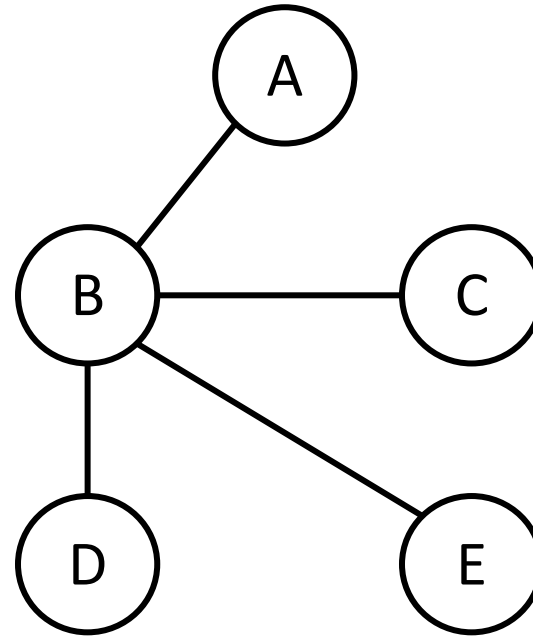
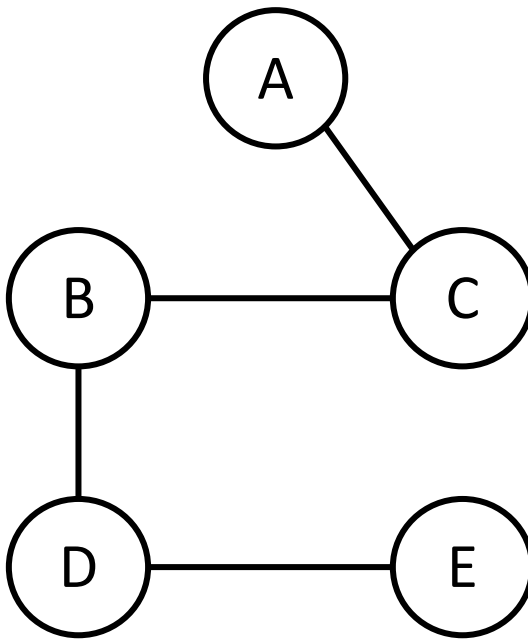
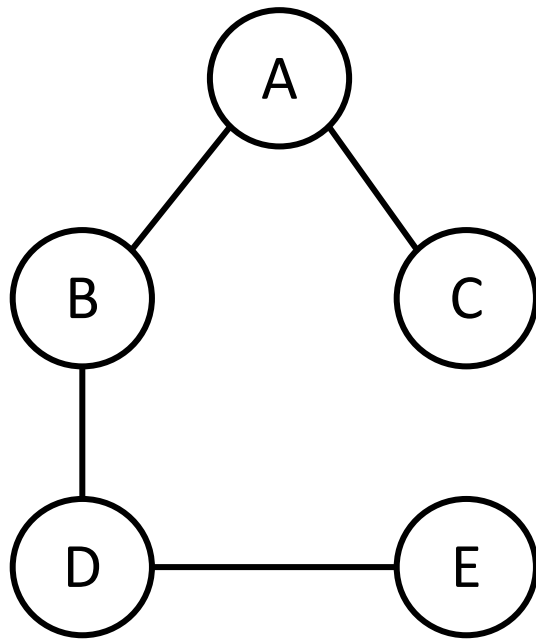
- This means that each edge is associated with a cost
- Taking a certain path may result in a higher or lower cost than taking another path



# What is a Spanning tree?

Connected, acyclic graphs

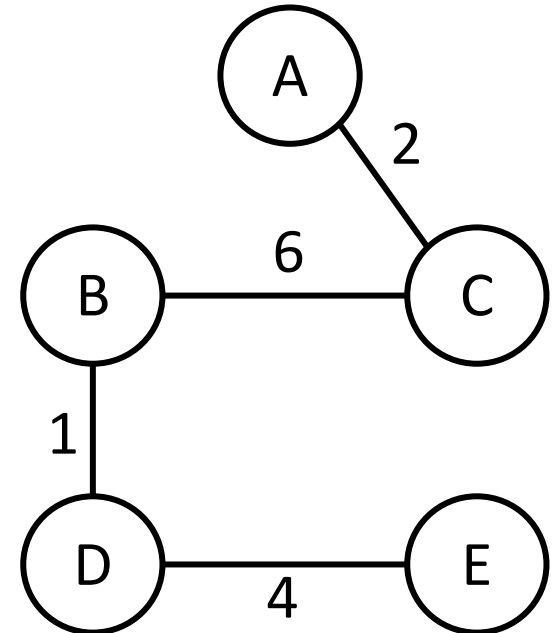
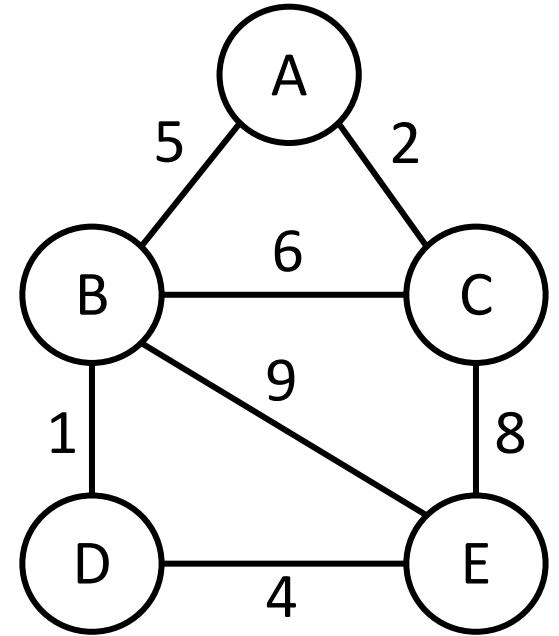
- Vertices connected with the minimum number of edges ( $n - 1$ )
- Graphs may contain more than one spanning tree



# Minimum Spanning Trees (MSTs)

A spanning tree of minimum cost

- Minimum sum of edge weights
- Some graphs have exactly one MST
- In some cases, graphs can have multiple MSTs



# Minimum Spanning tree

- In an undirected, weighted graph  $G = (V, E)$  with weights  $w(u, v)$  for each edge  $(u, v) \in E$
- Find an acyclic subset  $T \subseteq E$  that connects all of the vertices  $V$  and minimizes the total weight:
$$w(T) = \sum_{(u, v) \in T} w(u, v)$$
- The minimum spanning tree is  $(V, T)$ 
  - There may be a multiple minimum spanning tree

# Minimum Spanning tree

Algorithms for determining MSTs:

- Prim's Algorithm
  - Kruskal's Algorithm
- 
- Both are Greedy algorithms which produce optimal minimum solutions

# Kruskal's Algorithm

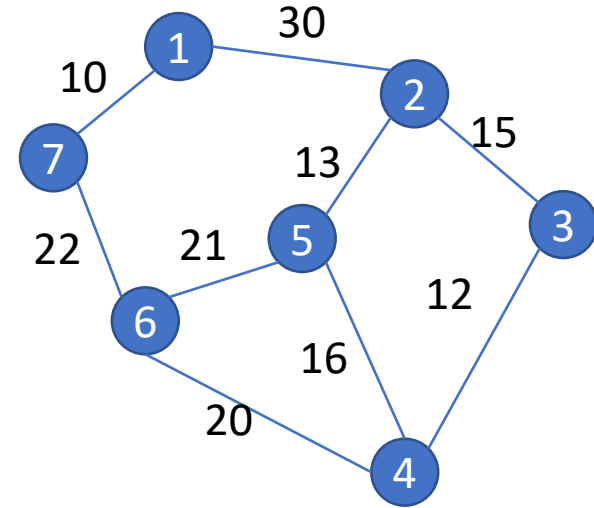


**Joseph Bernard Kruskal, Jr. (1928 - 2010)**  
[https://en.wikipedia.org/wiki/Joseph\\_Kruskal](https://en.wikipedia.org/wiki/Joseph_Kruskal)

- It runs on edges
- Two Steps:
  - Sort edges by increase edge weight
  - Select the first  $|V| - 1$  edges that do not generate a cycle

# Kruskal's Algorithm

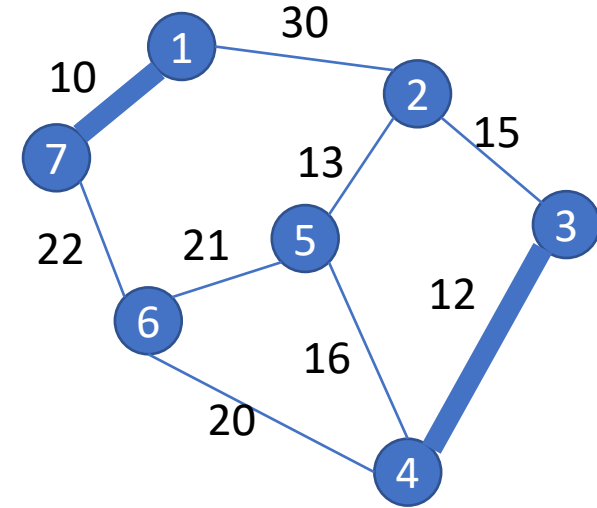
- Always select a minimum cost edge
- If it form a cycle don't select it



Edge	Cost	Selection
1-2	30	
2-3	15	
3-4	12	
4-5	16	
5-2	13	
5-6	21	
6-4	20	
6-7	22	
7-1	10	



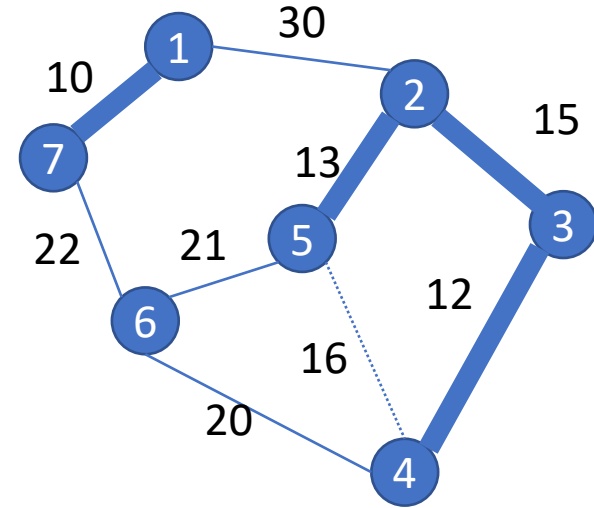
- Kruskal's Algorithm
  - Always select a minimum cost edge
  - If it form a cycle don't select it



Edge	Cost	Selection
1-2	30	
2-3	15	
3-4	12	✓
4-5	16	
5-2	13	
5-6	21	
6-4	20	
6-7	22	
7-1	10	✓

# Kruskal's Algorithm

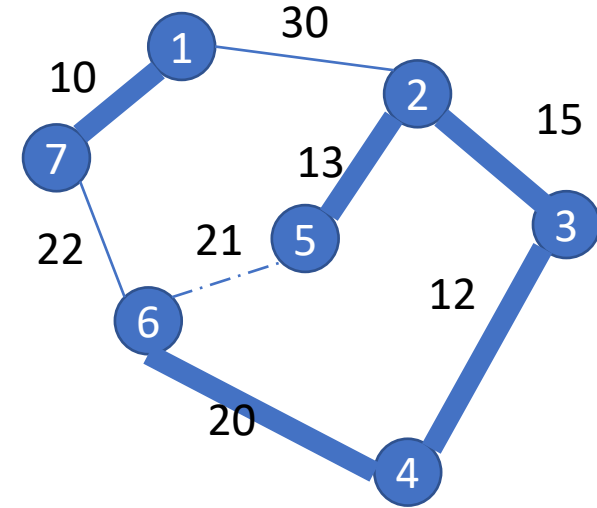
- Always select a minimum cost edge
- If a form a cycle don't select it



Edge	Cost	Selection
1-2	30	
2-3	15	✓
3-4	12	✓
<del>4-5</del>	<del>16</del>	Cycle
5-2	13	✓
5-6	21	
6-4	20	
6-7	22	
7-1	10	✓

# Kruskal's Algorithm

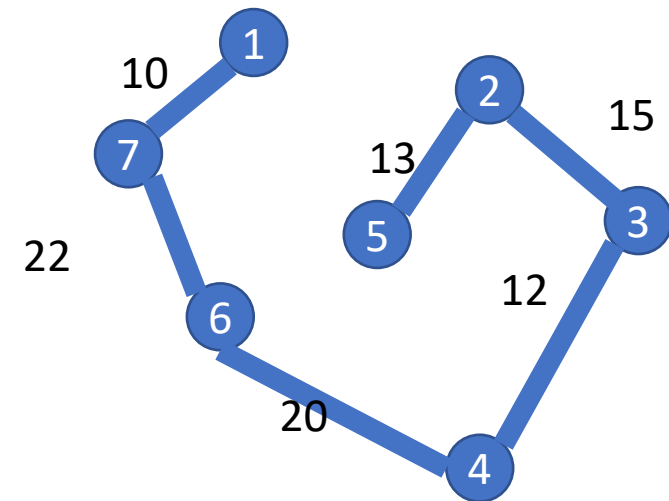
- Kruskal's Algorithm
  - Always select a minimum cost edge
  - If it form a cycle don't select it



Edge	Cost	Selection
1-2	30	
2-3	15	✓
3-4	12	✓
4-5	16	Cycle
5-2	13	✓
5-6	21	Cycle
6-4	20	✓
6-7	22	
7-1	10	✓

# Kruskal's Algorithm

- Kruskal's Algorithm
  - Always select a minimum cost edge
  - If it form a cycle don't select it
  - If we reach  $(V-1)$  edges, discard remain
  - The total cost = 92



Edge	Cost	Selection
<del>1-2</del>	<del>30</del>	Discarded
2-3	15	✓
3-4	12	✓
<del>4-5</del>	<del>16</del>	Cycle
5-2	13	✓
<del>5-6</del>	<del>21</del>	Cycle
6-4	20	✓
6-7	22	✓
7-1	10	✓

# Kruskal's Algorithm Pseudocode

MST-KRUSKAL ( $G, w$ )

$A = \emptyset$

for each vertex  $v \in G.V$

    MAKE-SET ( $v$ )

Sort the edges of  $(G, E)$  into non decreasing order by weight  $w$

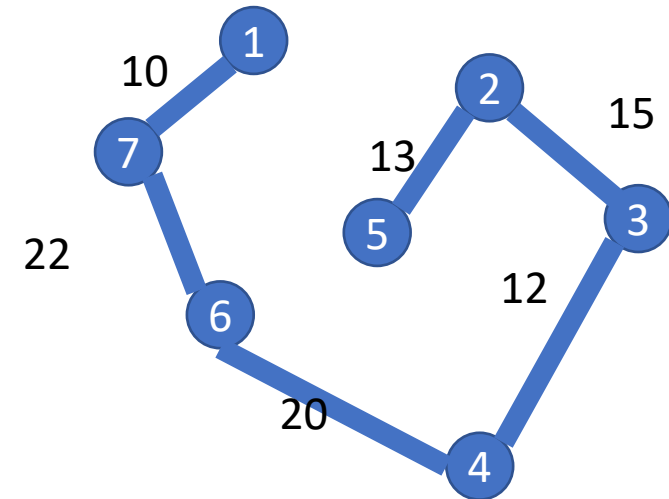
for each edge  $(u, v) \in G.E$ , taken in non decreasing order by weight

    if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )

$A = A \cup \{(u, v)\}$

        Union ( $u, v$ )

Return  $A$



# Kruskal's Algorithm Time complexity

MST-KRUSKAL ( $G, w$ )

$A = \emptyset$

$O(1)$

for each vertex  $v \in G.V$

    Make-Set ( $v$ )

$O(V)$

$O(E \log E)$

Sort the edges of  $(G, E)$  into non decreasing order by weight  $w$

for each edge  $(u, v) \in G.E$  taken in non decreasing order by weight

    if Find-Set( $u$ )  $\neq$  Find-Set( $v$ )

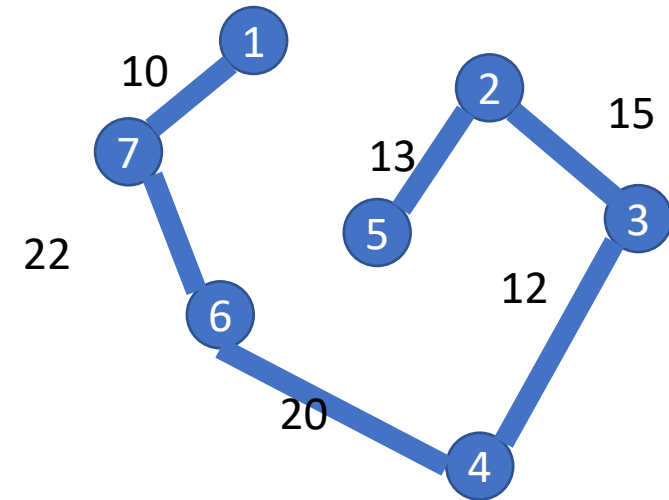
$A = A \cup \{(u, v)\}$

$O(E \log V)$

        Union ( $u, v$ )

Return  $A$

Total running time     $O(E \log E)$  Observing  $|E| < |V^2|$ ,  $O(E * \log V)$



- Kruskal MST Simulator

<https://www.cs.usfca.edu/~galles/visualization/Kruskal.html>

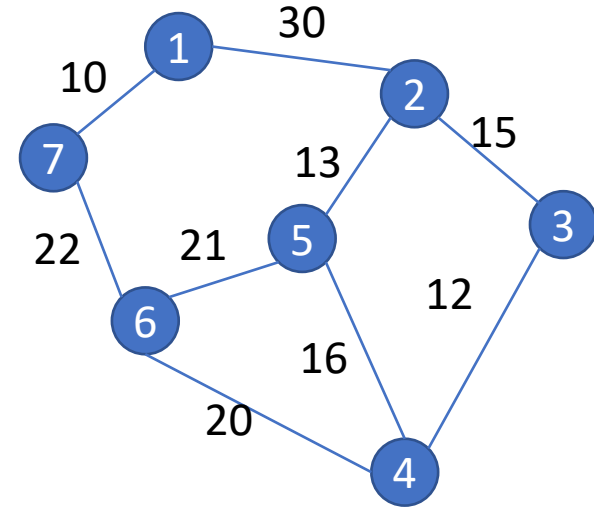
# Prim's Algorithm

- The simplest MST algorithm
- Prim's algorithm operates much like Dijkstra's algorithm for finding shortest paths in a graph
- Best MST algorithm for densely-populated graphs
- How does Prim's Algorithm work?
  - Begin from a starting vertex, N
  - Pick the lowest-cost edge connecting N to another vertex, M
  - Add edge (N, M) to the MST
  - Pick the next lowest-cost edge from either N or M (or any previously-visited nodes) that connects them to an **unvisited** vertex
  - Continue this process until all nodes have been incorporated to the MST



# Prim's Algorithm

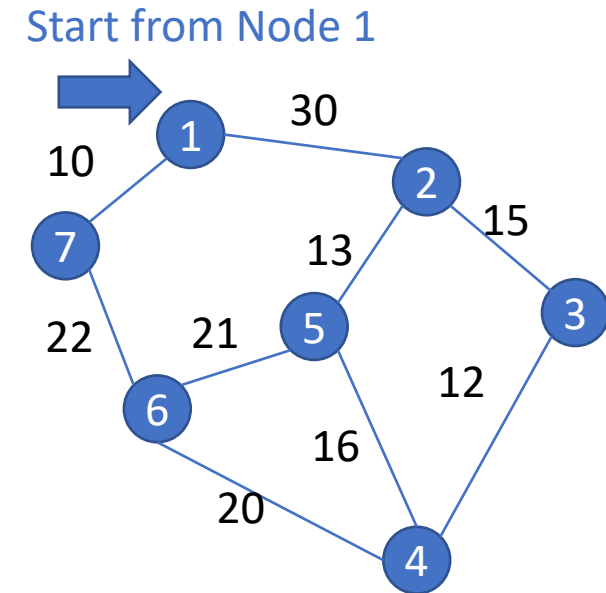
- Always select a node with minimum cost
- Select next minimum cost edge, which is connected to selected vertices



Node	In Tree	Distance to Tree	Closet Node in Tree
1	No		
2	No		
3	No		
4	No		
5	No		
6	No		
7	No		

# Prim's Algorithm

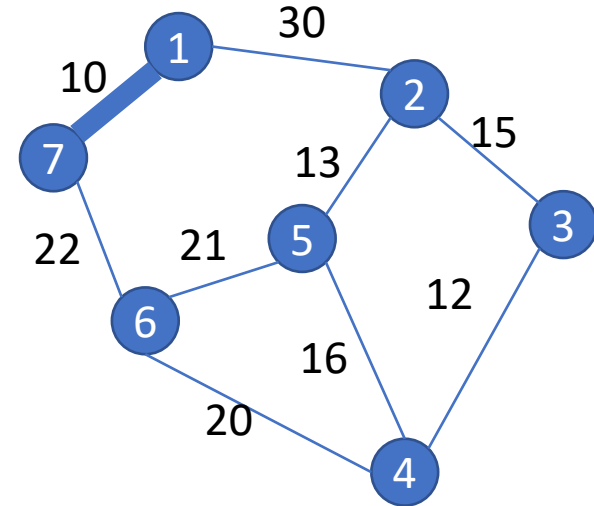
- Select a node to start (better a node with minimum cost edge)
- Select next minimum cost edge which is connected to selected vertices



Node	In Tree	Distance to Tree	Closet Node in Tree
1	Yes	0	-
2	No		
3	No		
4	No		
5	No		
6	No		
7	No		

# Prim's Algorithm

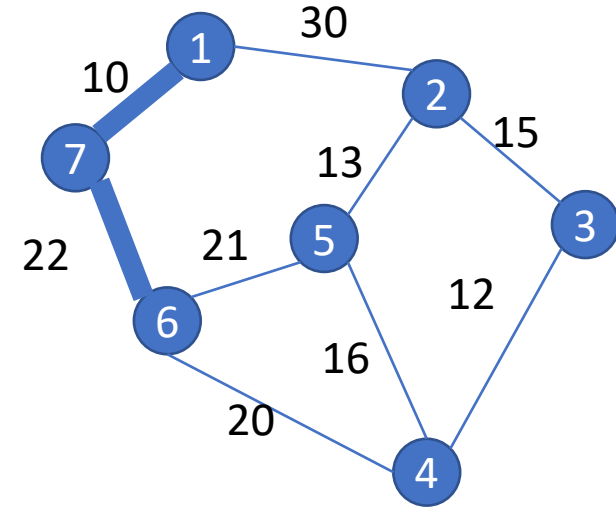
- Select a node to start (better a node with minimum cost edge)
- Select next minimum cost edge which is connected to selected vertices



Node	In Tree	Distance to Tree	Closet Node in Tree
1	Yes	0	1
2	No		
3	No		
4	No		
5	No		
6	No		
7	Yes	10	1

# Prim's Algorithm

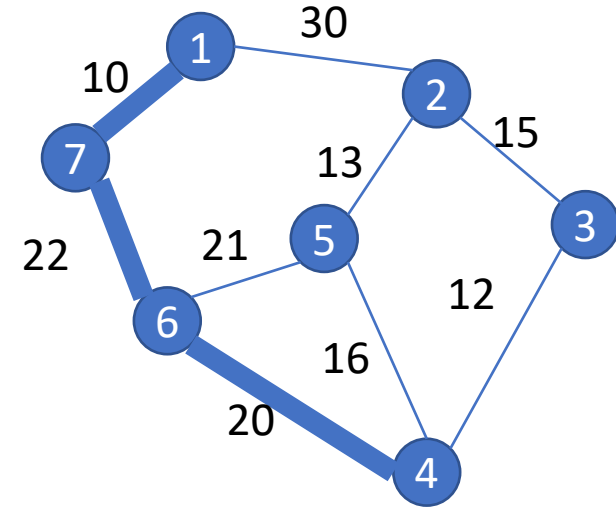
- Select a node to start (better a node with minimum cost edge)
- Select next minimum cost edge which is connected to selected vertices



Node	In Tree	Distance to Tree	Closet Node in Tree
1	Yes	0	1
2	No		
3	No		
4	No		
5	No		
6	Yes	22	7
7	Yes	10	1

# Prim's Algorithm

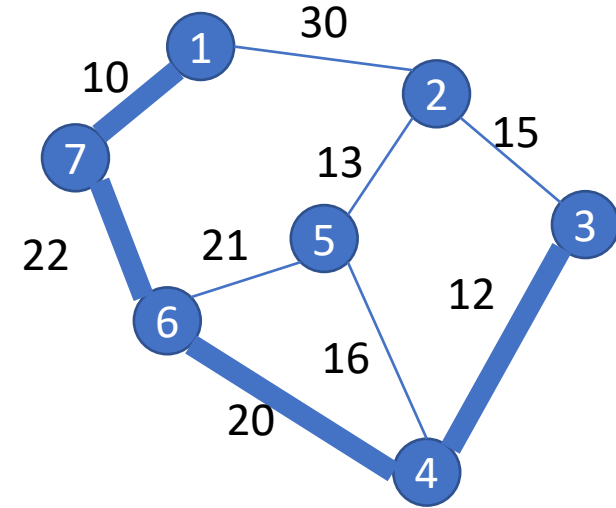
- Select a node to start (better a node with minimum cost edge)
- Select next minimum cost edge which is connected to selected vertices



Node	In Tree	Distance to Tree	Closet Node in Tree
1	Yes	0	1
2	No		
3	No		
4	Yes	20	6
5	No		
6	Yes	22	7
7	Yes	10	1

# Prim's Algorithm

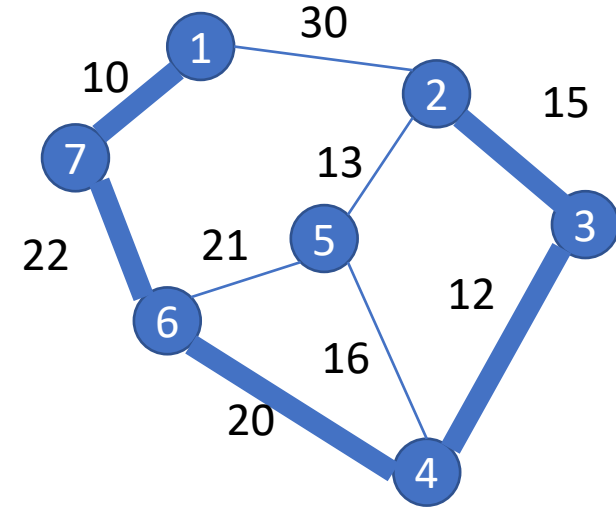
- Select a node to start (better a node with minimum cost edge)
- Select next minimum cost edge which is connected to selected vertices



Node	In Tree	Distance to Tree	Closet Node in Tree
1	Yes	0	1
2	No		
3	Yes	12	4
4	Yes	20	6
5	No		
6	Yes	22	7
7	Yes	10	1

# Prim's Algorithm

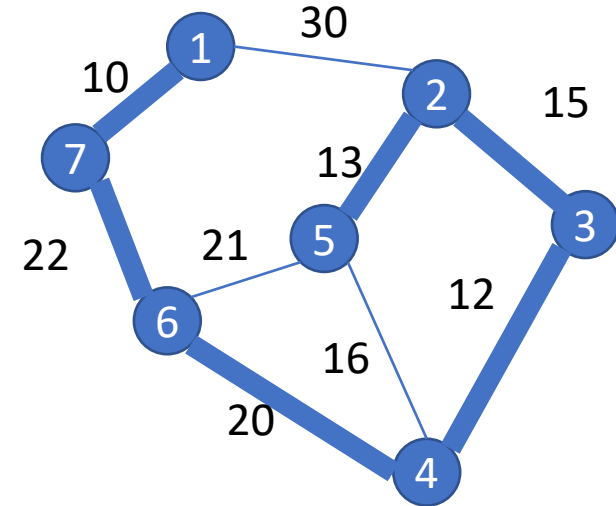
- Select a node to start (better a node with minimum cost edge)
- Select next minimum cost edge which is connected to selected vertices



Node	In Tree	Distance to Tree	Closet Node in Tree
1	Yes	0	1
2	Yes	15	3
3	Yes	12	4
4	Yes	20	6
5	No		
6	Yes	22	7
7	Yes	10	1

# Prim's Algorithm

- Always select a node with minimum cost
- Select next minimum cost edge which is connected to selected vertices



MST with total weight cost = 92

Node	In Tree	Distance to Tree	Closet Node in Tree
1	Yes	0	1
2	Yes	15	3
3	Yes	12	4
4	Yes	20	6
5	Yes	13	2
6	Yes	22	7
7	Yes	10	1



# Prim's Algorithm Pseudocode

When the algorithm terminates, the min-priority queue  $Q$  is empty; the minimum spanning tree  $A$  for  $G$  is thus

$$A = \{(u, v, \pi) : v \in V - \{r\}\}$$

$r$  is the root of the minimum spanning tree

MST-PRIM ( $G, w, r$ )

for each  $u \in G.V$

$u.key = \infty$

$u.\pi = NIL$

$r.key = 0$

$Q = G.V$

While  $Q \neq \text{empty}$

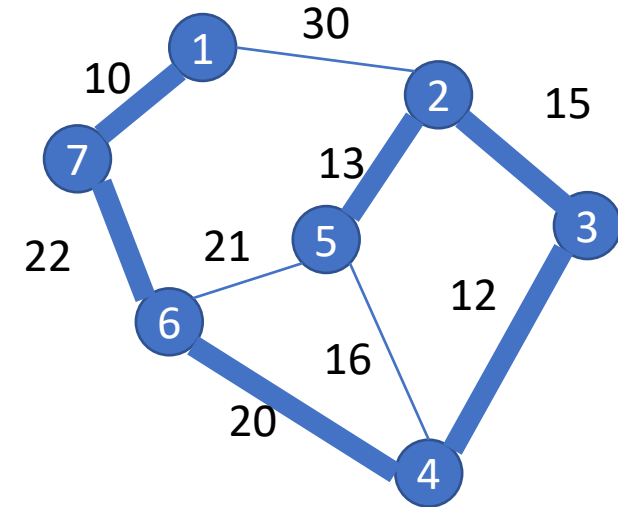
$u = \text{ExtractMin}(Q)$

    for each of  $v \in G.\text{Adj}[u]$

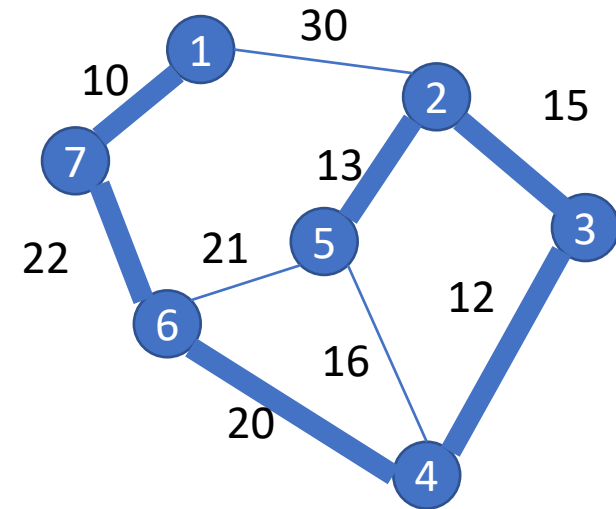
        if  $v \in Q$  and  $w(u, v) < v.key$

$v.\pi = u$

$v.key = w(u, v)$



- Prim's Algorithm complexity



If we implement a priority queue using **binary heap**  $O(E * \lg V)$

If we use **Fibonacci heaps**, the running time can be reduced to  $O(E + V * \lg V)$

- Prim MST Simulator

<https://www.cs.usfca.edu/~galles/visualization/Prim.html>

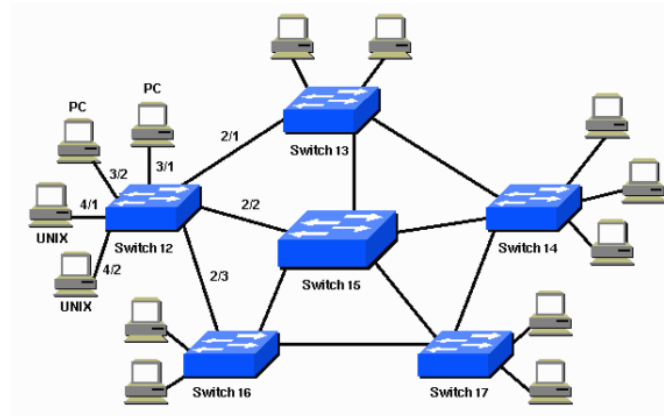
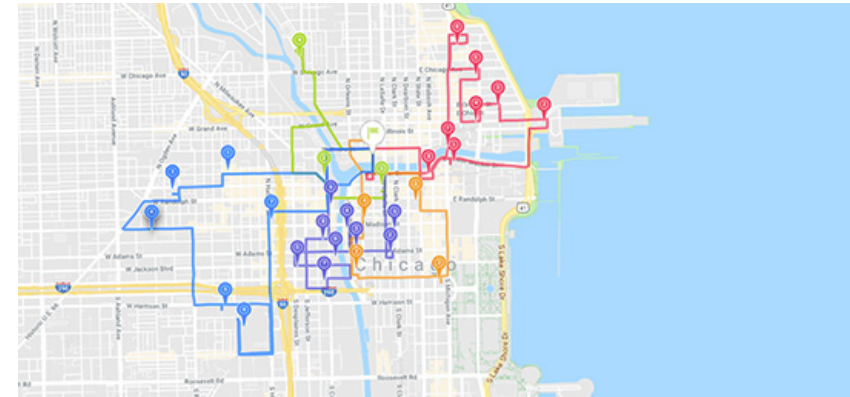
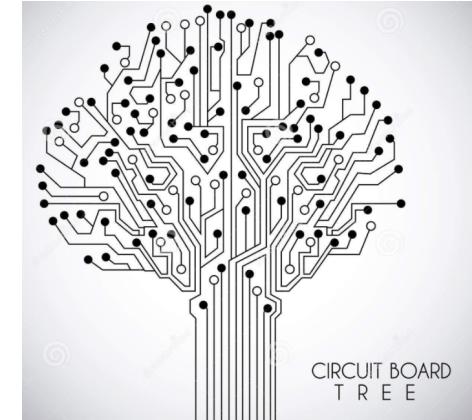
# Kruskal's vs Prim's Algorithms

Prim's Algorithm	Kruskal's Algorithm
The tree that we are making or growing always remains connected.	The tree that we are making or growing usually remains disconnected.
Prim's Algorithm grows a solution from a random vertex by adding the next cheapest vertex to the existing tree.	Kruskal's Algorithm grows a solution from the cheapest edge by adding the next cheapest edge to the existing tree / forest.
Prim's Algorithm is faster for dense graphs.	Kruskal's Algorithm is faster for sparse graphs.
$O(E + V * \log(v))$	$O(E * \log(v))$
Requires Priority Queue	Requires Disjoin Set
Harder to implement	Easier to implement

- The Kruskal algorithm is better to use regarding the easier implementation and the best control over the resulting MST. However, Prim's algorithm offers better complexity.

# Minimum Spanning tree Applications

- Applications
  - Computer networks
  - Circuit Design
  - Approximating graphs



# Further Readings/References

- 1.P624- 642.Cormen, T. H., Leiserson, C. E., Rivest, R. L., & Stein, C. (2009). Introduction to Algorithms. 3rd ed. The MIT Press (CLRS Chapter 23)
- Kruskal MST Simulator  
<https://www.cs.usfca.edu/~galles/visualization/Kruskal.html>
- Prim MST Simulator  
<https://www.cs.usfca.edu/~galles/visualization/Prim.html>