# **Basic Homology Algebra**

## 1.1 Basic definition

#### **Definition 1.1.1**

Consider a direct sum

$$C_{\cdot} := C_{*} := \bigoplus_{n \in \mathbb{Z}} C_{n}$$

of R-modules<sup>a</sup>. Often we call  $C_*$  a *graded-module* with its n<sup>th</sup> *graded component*  $C_n$ . Members of  $C_n$  are also called *homogeneous elements* of  $C_*$  of degree n.

- 1. A **R-module homomorphism**  $f: C_* \to C'_*$  is called a graded homomorphism if there exists d such that  $f(C_r) \subseteq C'_{r+d}$  for all r. We then call d the degree of f. We shall denote  $f|_{C_r}$  by  $f_r$ , and often we may simply write f itself for  $f_r$  provided that there is no confusion.
- 2. By a *chain complex*  $(C_*, \partial)$  of R -modules, we mean a graded Rmodule  $C_*$ , together with an endomorphism  $\partial := \partial_* : C_* \to C_*$ of degree -1 with the property  $\partial \circ \partial = 0$ . The endomorphism  $\partial$  is
  called the *differential* or the *boundry map* of the chain complex.
  Often we shall not mention the  $\partial$  at all and merely say  $C_*$  is a chain
  complex.
- 3. If C and  $C_*$  are two chain complexes then by a *chain map*  $f = f_*$ :  $C_* \rightarrow C'_*$  we mean a graded module homomorphism of degree 0 that commutes with the corresponding differentials.

#### Remark.

- 1. The direct sum  $C_*$  is also an R-module.
- 2. Observe that  $\partial$  consists of a sequence  $\{\partial_n: C_n \to C_{n-1}\}$  of R-module homomorphisms such that  $\partial_n \circ \partial_{n-1} = 0$  for all n.
- 3. f consists of a sequence  $\{f_n : C_n \to C_n'\}$  of R-module homomorphisms such that  $\partial_n' \circ f_n = f_{n-1} \circ \partial_n$  for all n. Expressed with an

<sup>&</sup>lt;sup>a</sup>is also a R-module

diagram, that is

$$C_{n} \xrightarrow{\partial_{n}} C_{n-1}$$

$$\downarrow^{f_{n}} \qquad \downarrow^{f_{n-1}}$$

$$C'_{n} \xrightarrow{\partial'_{n}} C'_{n-1}$$

#### **Proposition 1.1.2**

There is a category of chain complexes of R-modules and chain maps. We shall denote this category by  $\mathbf{Ch}_{\mathbf{R}}$ .

*Proof.* The objects are chain complexes. The morphisms are chain maps. For composition of morphisms, consider two chain maps  $f: C_* \to C'_*$ ,  $g: C'_* \to C''_*$ . Only need to show that the following diagram commutes

$$C_{n} \xrightarrow{\partial_{n}} C_{n-1}$$

$$\downarrow^{f_{n}} \qquad \downarrow^{f_{n-1}}$$

$$C'_{n} \xrightarrow{\partial'_{n}} C'_{n-1}$$

$$\downarrow^{f'_{n}} \qquad \downarrow^{f'_{n-1}}$$

$$C''_{n} \xrightarrow{\partial''_{n}} C''_{n-1}$$

which is obvious. Finally, the existence of the identity map is also obvious.

## **Definition 1.1.3** ▶ **Direct Sum of Chain Complexes**

Definthe the direct sum of a family of chain complexes  $\{(C^{\alpha}, \partial^{\alpha})\}_{\alpha \in \Lambda}$  as the chain complexes  $(C, \partial) := (\bigoplus_{\alpha} C^{\alpha}, \bigoplus_{\alpha} \partial^{\alpha})$ , where the  $n^{\text{th}}$  graded component of C is

$$C_n = \left(C_n^{\alpha}\right)_{\alpha \in \Lambda},\,$$

 $\partial$  is defined as

$$\partial\left(\left(c^{\alpha}\right)_{\alpha\in\Lambda}\right):=\left(\partial^{\alpha}\left(c^{\alpha}\right)\right)_{\alpha\in\Lambda}$$

# 1.2 Exact Sequence

## **Definition 1.2.1** ► Exact Sequence

1. A sequence of *R*-modules

$$M' \xrightarrow{\alpha} M \xrightarrow{\beta} M''$$

is said to be *exact* at *M* if ker  $\beta = \text{Im } \alpha$ .

2. A sequence

$$\cdots \longrightarrow M_{n-1} \longrightarrow M_n \longrightarrow M_{n+1} \longrightarrow \cdots$$

is said to be exact if it is exact at each  $M_n$ .

3. By a short exact sequence we mean an exact sequence of the form

$$0 \longrightarrow M' \longrightarrow M \longrightarrow M'' \longrightarrow 0$$

#### **Definition 1.2.2** ► Exact Sequence of Chain Complexes

A sequence of chain complexes and chain maps

$$0 \longrightarrow C'. \xrightarrow{f.} C. \xrightarrow{g.} C''. \longrightarrow 0$$

is said to be exact if for each n the corresponding sequence of modules

$$0 \longrightarrow C'_n \stackrel{f_n}{\longrightarrow} C_n \stackrel{g_n}{\longrightarrow} C''_n \longrightarrow 0$$

is exact.

#### Lemma 1.2.3 ▶ Snake lemma

Given a commutative diagram of *R*-module homomorphisms: where the two horizontal sequences are exact, there exists a *R*-module homomorphism

$$\begin{array}{ccc}
M' & \xrightarrow{\alpha} & M & \xrightarrow{\beta} & M'' & \longrightarrow & 0 \\
\downarrow^{f'} & & \downarrow^{f} & & \downarrow^{f''} \\
0 & \longrightarrow & N' & \xrightarrow{\alpha'} & N & \xrightarrow{\beta'} & N''
\end{array}$$

 $\delta: \operatorname{Ker} f'' \longrightarrow \operatorname{Coker} f',$  called the *connecting homomorphism* such that the sequence

$$\operatorname{Ker} f' \xrightarrow{\bar{\alpha}} \operatorname{Ker} f \xrightarrow{\bar{\beta}} \operatorname{Ker} f'' \xrightarrow{\delta} \operatorname{Coker} f' \xrightarrow{\bar{\alpha'}} \operatorname{Coker} f \xrightarrow{\bar{\beta'}} \operatorname{Coker} f''$$

is exact. Moreover, the *connecting homomorphism*  $\delta$  has the naturality properties, so that the above assignment of a 'snake' to the corresponding 'six-term' exact sequence of modules defines a covariant functor.

#### Note.

最关键的信息是 connetcting homomorphism  $\delta$ . 构造的过程就是借助  $\beta$  的是 surjective,  $\alpha'$  是 injectinve, 来调整使得  $\beta$  和  $\alpha'$  对应的箭头是可以反转的. 事实上在应用时, 这个 connetcting homomorphism 的具体构造往往比它的存在性更重要.

## Proof. to be finished We need to show

- 1.  $\bar{\alpha}, \bar{\beta}$  are well defined, where  $\bar{\alpha} := \alpha|_{\ker f'}, \bar{\beta} := \beta|_{\ker f}$
- 2. Im  $\bar{\alpha} = \ker \bar{\beta}$ .
- 3.  $\bar{\alpha}', \bar{\beta}'$  are well defined, where  $\bar{\alpha}'([x]) := [\alpha'(x)], \bar{\beta}'([y]) := [\beta'(y)]$
- 4. Im  $\bar{\alpha}' = \ker \bar{\beta}'$
- 5. Construct a  $\delta$ .
- 6. Im  $\bar{\beta} = \ker \delta$
- 7. Im  $\delta = \ker \bar{\alpha}'$

We prove the above points below.

- 1. By commutative,  $f \circ \alpha(\ker f') = \alpha' \circ f'(\ker f') = 0$ , which shows that  $\alpha(\ker f') \subseteq \ker f$ . Thus  $\bar{\alpha}$  is well defined.  $\bar{\beta}$ 's is similar.
- 2.

 $\operatorname{Im} \bar{\alpha} = \alpha \left( \ker f' \right) \subseteq \operatorname{Im} \alpha = \ker \beta \implies \beta \left( \operatorname{Im} \bar{\alpha} \right) = 0 \implies \operatorname{Im} \bar{\alpha} \subseteq \ker \bar{\beta}$ The other side,

$$\ker \bar{\beta} = \ker \beta \cap \ker f = \operatorname{Im} \alpha \cap \ker f$$

Take  $y \in \ker \bar{\beta}$ , there exists  $x \in M'$  such that  $y = \alpha(x)$  and  $f(y) = f(\alpha(x)) = 0$ . Since  $f \circ \alpha = \alpha' \circ f'$ ,  $\alpha' \circ f'(x) = 0$ . Since  $\alpha'$  is injective,  $x \in \ker f'$ . Thus  $y \in \operatorname{Im} \bar{\alpha}$ .

3. For  $\bar{\alpha}'$ , we need to show that for  $x_1, x_2 \in N'$  such that  $x_1 - x_2 \in \text{Im } f'$ ,  $\alpha'(x_1) - \alpha'(x_2) \in \text{Im } f$ . It is true by commutative

$$\alpha'(x_1) - \alpha'(x_2) = \alpha'(x_1 - x_2) \in \operatorname{Im}(\alpha' \circ f') = \operatorname{Im}(f \circ \alpha) \subseteq \operatorname{Im} f$$

 $\bar{\beta}'$ 's is similar.

4. Take  $[y]_{\sim \operatorname{Im} f} \in \operatorname{Im} \bar{\alpha}'$ , then there exists  $[x]_{\sim \operatorname{Im} f'} \in \operatorname{Coker} f'$ , such that  $\alpha'([x]_{\sim \operatorname{Im} f'}) = [y]_{\sim \operatorname{Im} f}$ . Then  $\alpha'(x) - y \in \operatorname{Im} f$ , there exists  $z \in M$ , such that

$$\alpha'(x) - y = f(z)$$

By commutative and exactness:  $\ker \beta' = \operatorname{Im} \alpha'$ ,

$$\beta'(y) = \beta'(\alpha'(x) - f(z)) = 0 - \beta' \circ f(z) = -f'' \circ \beta(z) \in \operatorname{Im} f''$$

, which shows that  $\bar{\beta}'([y]_{\sim \operatorname{Im} f}) = [0]_{\sim \operatorname{Im} f''}$ ,  $\operatorname{Im} \bar{\alpha}' \subseteq \ker \bar{\beta}'$ .

Then, take  $[y]_{\sim \operatorname{Im} f} \in \ker \bar{\beta}'$ . Then  $y \in \ker \beta' = \operatorname{Im} \alpha'$ . There exists  $x \in N'$  such that  $y = \alpha'(x)$ . Then  $[y]_{\sim \operatorname{Im} f} = \alpha^{\bar{i}}([x]_{\sim \operatorname{Im} f'})$ ,  $[y]_{\sim \operatorname{Im} f} \in \operatorname{Im} \bar{\alpha}'$ ,  $\ker \bar{\beta}' \subseteq \operatorname{Im} \bar{\alpha}'$ .

5. Take  $z \in \ker f''$ . Since  $\beta$  is surjective, there exists  $y \in M$  such that  $\beta(y) = z$ . Once we show that  $f(y) \in \operatorname{Im} \alpha'$ , we can define

$$\delta(z) = \left[ \left( \alpha' \right)^{-1} \left( f(y) \right) \right]_{\sim \operatorname{Im} f'}$$

provided that this definition is well defined. By commutative

$$\beta'(f(y)) = f''(\beta(y)) = f''(z) = 0.$$

Hence  $f(y) \in \ker \beta' = \operatorname{Im} \alpha'$ . Finally, to show that it is well defined, we take  $z_1, z_2 \in \ker f''$  such that  $\beta(y_1) = \beta(y_2) = z$ . We need to show that  $(\alpha')^{-1}(f(y_1 - y_2)) \in \operatorname{Im} f'$ . Since  $\beta(y_1) - \beta(y_2) = 0$ ,  $\beta(y_1 - y_2) = 0$ ,  $y_1 - y_2 \in \ker \beta = \operatorname{Im} \alpha$ . Suppose  $\alpha(x) = y_1 - y_2$ . Then

$$(\alpha')^{-1} (f(y_1 - y_2)) = (\alpha')^{-1} (f\alpha(x)) = (\alpha')^{-1} (\alpha' \circ f')(x) = f'(x) \in \text{Im } f'$$

, which completes the proof.

## Corollary 1.2.4

Consider the following commutative diagram of R-modules and R-linear maps in which the two rows are exact. If  $f_1$  and  $f_3$  are isomorphisms then so is  $f_2$ .

$$0 \longrightarrow M_1 \xrightarrow{\alpha_1} M_2 \xrightarrow{\alpha_2} M_3 \longrightarrow 0$$

$$\downarrow^{f_1} \qquad \downarrow^{f_2} \qquad \downarrow^{f_3}$$

$$0 \longrightarrow N_1 \xrightarrow{\beta_1} N_2 \xrightarrow{\beta_2} N_3 \longrightarrow 0$$

*Proof.* By snake lemma, we have

$$0 \xrightarrow{\alpha_1} \ker f_2 \xrightarrow{\alpha_2} 0 \xrightarrow{\delta} 0 \xrightarrow{\beta_1} \operatorname{Coker} f_2 \xrightarrow{\beta_2} 0$$

is exact. Then we have

$$0 = \ker \alpha_2 = \operatorname{Im} \alpha_1 = 0$$
$$= \ker f_2$$

which shows that ker  $f_2 = 0$ . Similarly, Coker  $f_2 = 0$ . The above shows that  $f_2$  is an isomorphisim.

#### Corollary 1.2.5 ▶ Four lemma

Consider the following commutative diagram of R modules and R-linear maps in which the two rows are exact. Suppose that  $f_1$  is surjective and  $f_4$  is injective. Then

- (i)  $f_2$  is injective  $\implies f_3$  is injective. (ii)  $f_3$  is surjective  $\implies f_2$  is surjective.

$$M_{1} \xrightarrow{\alpha_{1}} M_{2} \xrightarrow{\alpha_{2}} M_{3} \xrightarrow{\alpha_{3}} M_{4}$$

$$\downarrow f_{1} \qquad \downarrow f_{2} \qquad \downarrow f_{3} \qquad \downarrow f_{4}$$

$$N_{1} \xrightarrow{\beta_{1}} N_{2} \xrightarrow{\beta_{2}} N_{3} \xrightarrow{\beta_{3}} N_{4}$$

## Proof sketch.

如果  $f_3$  被两个单射  $f_2$ ,  $f_4$  夹在中间, 得益于  $f_1$  是满射, 可以将右三列保持单射性 地调整为一条 snake, 从而导出  $f_3$  是单射.

类似地, 如果  $f_2$  被两个满射  $f_1$ ,  $f_3$  夹在中间, 得益于  $f_4$  是单射, 左三列可以保持 满射性地调整为一条 snake, 导出  $f_2$  是满射.

#### *Proof.* 1. We can construct a snake

$$M_{2}/\ker \alpha_{2} \xrightarrow{\alpha_{2}} M_{3} \xrightarrow{\alpha_{3}} \operatorname{Im} \alpha_{3} \longrightarrow 0$$

$$\downarrow^{\bar{f}_{2}} \qquad \downarrow^{f_{3}} \qquad \downarrow^{f_{4}|_{\operatorname{Im}\alpha_{3}}}$$

$$0 \longrightarrow N_{2}/\ker \beta_{2} \xrightarrow{\beta_{2}} N_{3} \xrightarrow{\beta_{3}} N_{4}$$

By snake lemma, the following sequence is exact

$$\ker \bar{f}_2 \to \ker f_3 \to \ker f_4|_{\operatorname{Im} \alpha_3}$$

Since  $f_4$  is injective,  $f_4|_{\mathrm{Im}_{\alpha_3}}$  is as well. Furthermore, by applying the snake lemma to the following diagram

$$M_{1} \xrightarrow{\alpha_{1}} M_{2} \xrightarrow{p} M_{2}/\ker \alpha_{2} \longrightarrow 0$$

$$\downarrow^{\bar{f}_{1}} \qquad \downarrow^{f_{2}} \qquad \downarrow^{\bar{f}_{2}}$$

$$0 \longrightarrow N_{1}/\ker \beta_{1} \xrightarrow{\bar{\beta_{1}}} N_{2} \xrightarrow{p} N_{2}/\ker \beta_{2}$$

We get

$$0 = \ker f_2 \to \ker \bar{f}_2 \xrightarrow{\delta} \operatorname{Coker} \bar{f}_1$$

is a exact suquence,where Coker  $\bar{f}_1=0$  since  $f_1$  is surjective and  $\bar{f}_1$  is as well. It shows that  $\ker \bar{f}_2=0$ . Finally, we have the following sequence is exact

$$0 = \ker \bar{f}_2 \to \ker f_3 \to \ker f_4|_{\operatorname{Im}\alpha_3} = 0$$

It follows that ker  $f_3 = 0$ ,  $f_3$  is injective.

#### 2. Another snake we can construct is

$$M_{1} \xrightarrow{\alpha_{1}} M_{2} \xrightarrow{\alpha_{2}} \operatorname{Im} \alpha_{2} = \ker \alpha_{3} \longrightarrow 0$$

$$\downarrow \bar{f}_{1} \qquad \downarrow f_{2} \qquad \downarrow f_{3|_{\operatorname{Im}}\alpha_{2}}$$

$$0 \longrightarrow N_{1}/\ker \beta_{1} \xrightarrow{\bar{\beta}_{1}} N_{2} \xrightarrow{\beta_{2}} \operatorname{Im} \beta_{2} = \ker \beta_{3}$$

Then by snake lemma, the following sequence is exact

$$\ker \bar{f}_1 \to \ker f_2 \to \ker f_3|_{\operatorname{Im}\alpha_2} \to \operatorname{Coker}\bar{f}_1 \to \operatorname{Coker}f_2 \to \operatorname{Coker}f_3|_{\operatorname{Im}\alpha_2}$$

Furthermore, by applying the snake lemma to the following diagram

$$0 \longrightarrow \operatorname{Ker} \alpha_{3} \xrightarrow{\iota_{M}} M_{3} \xrightarrow{\alpha_{3}} \operatorname{Im} \alpha_{3} \longrightarrow 0$$

$$\downarrow^{f_{3}|_{\operatorname{Im}\alpha_{2}}} \downarrow^{f_{3}} \downarrow^{f_{4}|_{\operatorname{Im}\alpha_{3}}}$$

$$0 \longrightarrow \operatorname{Ker} \beta_{3} \xrightarrow{\iota_{N}} N_{3} \xrightarrow{\beta_{3}} \operatorname{Im} \beta_{3} \longrightarrow 0$$

We get

$$0 = \ker f_4|_{\operatorname{Im}\alpha_3} \xrightarrow{\delta} \operatorname{Coker}(f_3|_{\operatorname{Im}\alpha_2}) \to \operatorname{Coker}f_3 = 0$$

which shows that  $\operatorname{Coker}(f_3|_{\operatorname{Im}\alpha_2})$ . Finally, we have the following sequence exact

$$0 = \operatorname{Coker} \bar{f}_1 \to \operatorname{Coker} f_2 \to \operatorname{Coker} f_3|_{\operatorname{Im} \alpha_2} = 0$$

Thus Coker  $f_2 = 0$ ,  $f_2$  is surjective.

## Corollary 1.2.6 ▶ Five lemma

In the following diagram of R-modules, the two rows are given to be exact. If  $f_1$ ,  $f_2$ ,  $f_4$  and  $f_5$  are isomorphisms then  $f_3$  is also an isomorphism.

$$M_{1} \longrightarrow M_{2} \longrightarrow M_{3} \longrightarrow M_{4} \longrightarrow M_{5}$$

$$\downarrow f_{1} \qquad \downarrow f_{2} \qquad \downarrow f_{3} \qquad \downarrow f_{4} \qquad \downarrow f_{5}$$

$$M'_{1} \longrightarrow M'_{2} \longrightarrow M'_{3} \longrightarrow M'_{4} \longrightarrow M'_{5}$$

*Proof.* By applying the Four lemma to the left four columns, we get  $f_3$  is injective. And by applying the Four lemma to the right four columns, we get  $f_3$  is surjective.

# 1.3 Homology

#### **Definition 1.3.1**

Given a chain complex  $C_*$ , define the *homology group* of  $C_*$  to be the graded R-module

$$H_*(C_*) := \bigoplus_{n \in \mathbb{Z}} H_n(C_*)$$

by taking

$$H_n(C_*) := \operatorname{Ker} \partial_n / \operatorname{Im} \partial_{n+1}, \quad \forall n \in \mathbb{Z}.$$

#### **Proposition 1.3.2**

If  $f: C_* \to C'_*$  is a chain map then f induces a graded homomorphism

$$H_*(f): H_*(C_*) \to H_*(C_*')$$

In addition, this has the natruality property, viz.,

- 1.  $H_*(Id) = Id$
- 2. If g is another chain map such that  $f \circ g$  is defined, then

$$H_*(f \circ g) = H_*(f) \circ H_*(g)$$

Thus,  $H_*$  is a *covariant functor* from the category of chain complexe to the category of graded modules.

#### Theorem 1.3.3

The homology of a direct sum of chain complexes is isomorphic to the direct sum of the homology of chain complexes.

Proof.

$$H_n\left(\bigoplus_{\alpha} C_*^{\alpha}\right) = \ker\left(\bigoplus_{\alpha} \partial_n^{\alpha}\right) / \operatorname{Im}\left(\bigoplus_{\alpha} \partial_{n+1}^{\alpha}\right)$$
$$\bigoplus_{\alpha} H_n\left(C_*^{\alpha}\right) = \bigoplus_{\alpha} \left(\ker \partial_n^{\alpha}/\operatorname{Im} \partial_{n+1}^{\alpha}\right)$$

There are obvious isomorphics:

$$\ker (\bigoplus_{\alpha} \partial_n^{\alpha}) \simeq \bigoplus_{\alpha} \ker \partial_n^{\alpha}, \quad \operatorname{Im} (\bigoplus_{\alpha} \partial_{n+1}^{\alpha}) \simeq \bigoplus_{\alpha} \operatorname{Im} \partial_{n+1}^{\alpha}$$

A standard result in algebra is that if  $K_{\alpha}$  is a submodule of  $M_{\alpha}$ , then

$$\frac{\bigoplus_{\alpha} M_{\alpha}}{\bigoplus_{\alpha} K_{\alpha}} \cong \bigoplus_{\alpha} \frac{M_{\alpha}}{K_{\alpha}}$$

. Hence, we have

$$\bigoplus_{\alpha} \ker \partial_n^{\alpha} / \bigoplus_{\alpha} \operatorname{Im} \partial_{n+1}^{\alpha} \simeq \bigoplus_{\alpha} \left( \ker \partial_n^{\alpha} / \operatorname{Im}_{n+1}^{\alpha} \right)$$

#### Theorem 1.3.4

Given a short exact sequence of chain complexes

$$0 \to C'_* \xrightarrow{\alpha} C_* \xrightarrow{\beta} C''_* \to 0$$

there is a functorial long exact sequence of homology groups

$$\to H_n(C_*') \xrightarrow{H_n(\alpha)} H_n(C_*) \xrightarrow{H_n(\beta)} H_n(C_*'') \xrightarrow{\delta_n} H_{n-1}(C_*') \xrightarrow{H_{n-1}(\alpha)} H_{n-1}(C_*) \to$$

Proof sketch.

Consider the diagram

$$C'_{n}/\operatorname{Im}\partial'_{n+1} \xrightarrow{\bar{\alpha}_{n}} C_{n}/\operatorname{Im}\partial_{n+1} \xrightarrow{\bar{\beta}_{n}} C''_{n}/\operatorname{Im}\partial''_{n+1} \longrightarrow 0$$

$$\downarrow^{\partial'_{n}} \qquad \downarrow^{\partial_{n}} \qquad \downarrow^{\partial''_{n+1}}$$

$$0 \longrightarrow \operatorname{Ker}\partial'_{n-1} \xrightarrow{\alpha'_{n-1}} \operatorname{Ker}\partial_{n-1} \xrightarrow{\beta'_{n-1}} \operatorname{Ker}\partial''_{n-1}$$