

OPERATIONS MANUAL



RODA ADMINISTRATIVE OPERATIONS FOR AIDA

OPERATIONS MANUAL

ABOUT THE DOCUMENT

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SUMMARY

Individual archive institutions do not have sufficient knowledge, people and resources to tackle the long-term preservation of private law archives, which is why a number of them decided to join forces in the AIDA network. AIDA (Automatic Ingest Digital Archives¹) is an agenda shared by nine institutions. This network of partners is aiming to take concrete steps, in the 2019-2023 policy period, to eliminate the most critical shortcomings for the sustainable long-term preservation of digital collections of private law archives.

In February 2021, resources were allocated to set up a pilot preservation system in the first phase of SCALA which will test the AIDA partners' shared preservation policy in practice.

RODA (Repository of Authentic Digital Records) is a long-term digital repository solution that delivers functionality for all the main functional units of the OAIS reference model. RODA is capable of ingesting, managing and providing access to various types of digital content produced by large corporations and public bodies.

This document describes the administrative operations developed for RODA in the context of the SCALA project for the AIDA network requirements.

¹ <https://www.projectcest.be/wiki/Projecten:AIDA>

TABLE OF CONTENTS

INTRODUCTION	5
ADMINISTRATIVE OPERATIONS	5
INSTITUTION REGISTRATION	5
MANUAL INGEST	7
MEEMO SYNC	11
SUBMIT TO MEEMOO	13
PRUNE	14
RESTORE FROM MEEMOO	15
PROJECT-SPECIFIC CONFIGURATIONS	16
INGEST WORKFLOW	16
REMOVE UNWANTED FILES	16
MEEMOO DESCRIPTIVE METADATA	16
ACCESS FEATURES	17
PRESERVATION ACTIONS	17
CREATE E-ARK AIP 2.0 MANIFEST FILES (METS.XML)	17
IMAGE CONVERSION (IMAGEMAGICK)	17
OFFICE DOCUMENTS CONVERSION (UNOCONV)	18

1 INTRODUCTION

AIDA (Automated Ingest Digital Archives) is a partnership of seven cultural heritage organizations and two advising partners, who have set up a roadmap for supporting and improving preservation of born-digital archives they take in. It concerns small to medium sized non-profit organizations, with limited resources and (in-house) IT support.

They all primarily manage private archives, involving increasingly also unordered born-digital archives, often stored on obsolete carriers, which cannot be processed immediately and urgently need to be stored in a secure environment. For these materials, the partnership developed a shared preservation strategy.

As part of their common roadmap, AIDA initiated the SCALA 1 - project which will develop a prototype for a shared preservation system that manages the ingest, storage and preservation procedures for these digital-born archives. AIDA obtained a grant from the Flemish Government to realize phase 1 of the project between May 2021 and April 2022.

2 ADMINISTRATIVE OPERATIONS

This section describes and documents the administrative operations developed for the digital preservation solution designed for the AIDA network requirements in the context of the SCALA project.


2.1 INSTITUTION REGISTRATION

To add an institution, the following items of information are required:

- Organization's name;
- OR identifier;
- At least one email to receive notifications;
- The path of the SFTP share drop folder engine, provided by the server manager.

For each new institution it is necessary to create a group, a user for the ingestion and a new "fonds" intellectual entity in RODA.

Step 1: Create the group:

1. On RODA go to Administration menu and click on "Users and Groups"
2. On "Users and Groups" page, find the kebab menu next to the search button and then click "Add group"
3. Add a group with the organization name and add the permissions that are in  RODA user types

Step 2: Create the ingest user:

1. On RODA go to Administration menu and click on "Users and Groups";

2. On “Users and Groups” page, find the kebab menu next to the search button and then click "Add user";
3. Add a user with the following parameters:
 - a. User name : ingest-<OR_ID>
 - b. Full name: INGEST_<Organization_name>
 - c. Email: ingest_<Organization_name>@scala.meemoo.be
 - d. Groups: ingest

Step 3: Create a new “fonds” intellectual entity

1. On RODA go to Catalogue page;
2. Find the kebab menu next to the search button and then click “Create intellectual entity”;
3. Add a new intellectual entity with the following parameters:
 - a. Type: Dublin Core
 - b. Metadata, Title: <Organization_name>
 - c. Metadata, Type: Fonds

Step 4: After creating the “fonds” intellectual entity, it is necessary to associate the group and the ingestion user to that fonds:

1. On RODA go to Catalogue page and find the new “fonds” intellectual entity;
2. Select the entity, find the kebab menu next to the search button and then click “Permissions”;
3. Click on “ADD PERMISSION” action;
4. Search for the group created for this institution and click on “SELECT” button;
5. Do the same step above for the ingest user;
6. For assigned groups permission select only the “READ” option;
7. For assigned users permission select only the “Create” option for ingest user;
8. Click on the “APPLY TO HIERARCHY” action.

It is highly recommended that the following actions be done by a specialist in implementations with containers in a linux environment

Step 5: For the configuration of the dropfolder mechanism, a file on the server must be modified and the service must be restarted for the actions to take effect.

1. Find the docker-compose.yml file on:
/roda/data/git/roda-aida/01-code/deployments/production
2. Increment the env RODA_DROP_FOLDERS_QTY and add the following environment vars to roda service:

```

RODA_MONITOR_DROP_USER_N=<INGEST_USER_NAME>
RODA_MONITOR_DROP_PASSWORD_N=<INGEST_USER_PASS>
RODA_DROP_FOLDER_N=/roda/data/sftp/<OR_ID>/incoming/
RODA_DROP_INGEST_PLUGIN_N=org.roda.core.plugins.external.aida.AIDAIngestPlugin
'RODA_DROP_INGEST_PLUGIN_PARAMETERS_N={
    "parameter.parent_id":"<FOUND_ID>",
    "parameter.sip_to_aip_class":"org.roda.core.plugins.plugins.ingest.EARKSIP2ToAIPPlugin",
    "parameter.or_identifier":"<OR_ID>",
    "parameter.email_notification":"<email>",
    "parameter.can_be_auto_submitted":"false",
    "parameter.do_auto_accept":"false",
    "parameter.do_aip_prune": "false"
}'

```

Where N is the number following the last configured institution

3. Restart the service so the new configuration take effect

`docker-compose up -d`

2.2 MANUAL INGEST

Content is usually ingested via an automatic drop folder mechanism, where new files are detected in the shared folder and ingested, but in cases where the default ingest parameters are not adequate or in case an ingest must be redone, a manual ingest procedure can be started.

To perform the manual ingestion in RODA through the interface, the first step is to click on the navigation bar on the ingestion menu, with the following options:

- Pré-ingest
- Transfer
- Process
- Assessment

To make an ingest you need to select or upload one or more SIP's in the system, so you need to click on the Transfer button in the menu described in above and shown in the [Figure 1](#).

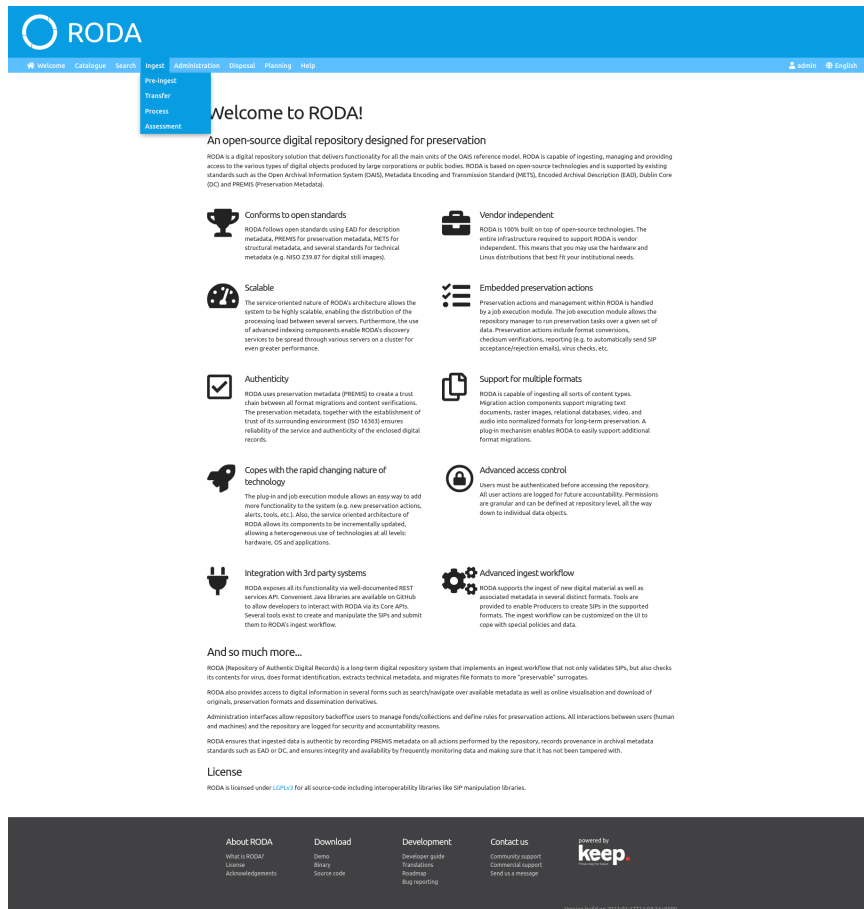


Figure 1. - Ingest Menu.

This button sends you to the transfer page, as can be seen in [Figure 2](#).

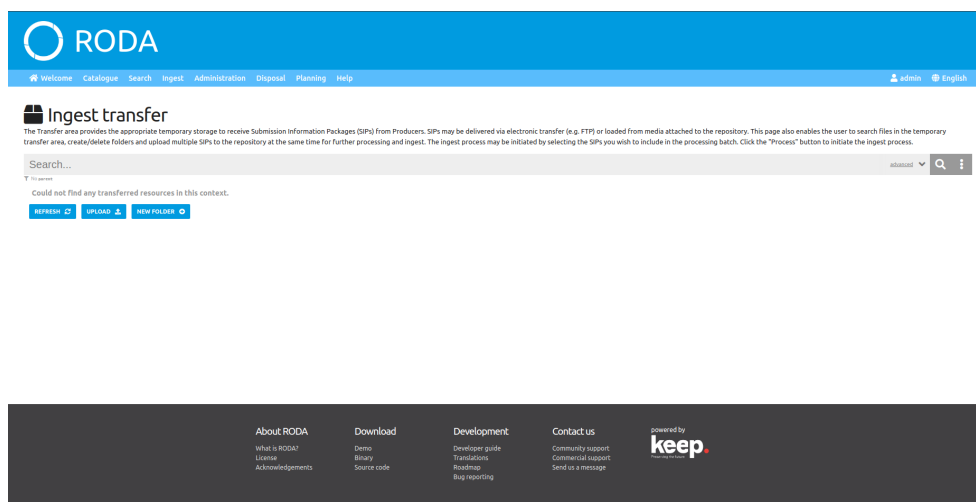


Figure 2. - Ingest transfer view (1).

In this page click on the upload button, to upload one or more SIP's, when the SIP's upload is done the page will be the same as shown in [Figure 3](#).

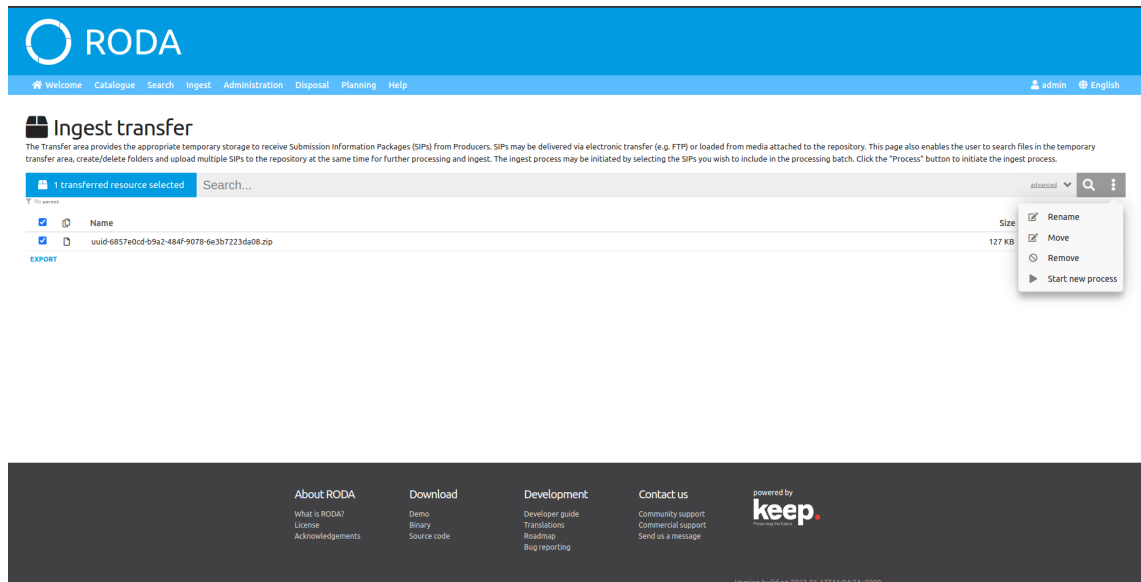


Figure 3. - Ingest Transfer view (2).

To start the ingest process in this page you need to select all the SIP's that you want to ingest and click on the three dots menu on the right side. This click open a menu with the following options:

- Rename
- Move
- Remove
- Start new process

To continue the ingest process after selecting all the SIP's for the process, go to **"Start new process"** in the menu described above. After clicking **"Start new process"**, the RODA will show a new page, as shown in [Figure 4](#).

[Welcome](#)
[Catalogue](#)
[Search](#)
[Ingest](#)
[Administration](#)
[Disposal](#)
[Planning](#)
[Help](#)

[admin](#)
[English](#)

New process

Name
AIDA ingest workflow (1.0)

Selected submission information packages (SIP)

ID	Name	Size	Date created
0	uid-6857e0cd-59a2-484f-9078-4e3b7223da08.zip	127 KB	2022-01-18 11:25:41

EXPORT
1-1 of 1

Workflow

- AIDA ingest workflow (1.0)
- Default ingest workflow (2.0)
- Default ingest workflow (1.0)
- Minimal ingest workflow (1.0)
- Minimal ingest workflow (2.0)

AIDA ingest workflow (1.0)

Performs all the tasks needed to ingest a SIP into the repository and therefore creating an AIP.

Categories: ingest

Format of the Submission Information Packages

Select the format of the Submission Information Packages to be ingested in this ingest process.

- ☐ Bagit (1.0)
Bagit as a zip file
- ☐ E-ARK SIP (1.0)
E-ARK SIP as a zip file
- ☒ E-ARK SIP 2 (1.0)
E-ARK SIP 2 as a zip file (alpha version)
- ☐ Uploaded file/folder (1.0)
Treats a file/folder as a SIP

Parent node

[SELECT](#)

☐ Force parent node
Force the use of the selected parent node even if the SIPs provide information about the desired parent.

☒ Remove unwanted files
Checks through pre-determined rules, if the AIP has any unwanted files

☒ AIP Virus check
Scans Information Packages for malicious software using the Antivirus application ClamAV. Clam AntiVirus (ClamAV) is a free and open-source, cross-platform antivirus software toolkit able to detect many types of malicious software, including viruses. If malicious software is detected a report will be generated and a PREMIS event will record this occurrence.

☐ Metadata validation
Checks if the descriptive metadata included in the Information Package is present, and if it is valid according to the XML Schema installed in the repository. A validation report is generated indicating which Information Packages have valid and invalid metadata.

☐ Fidelity information computation
Computes the fidelity information (also known as checksum) for all data files within an AIP representation or file and stores this information in PREMIS objects within the corresponding entity. This task uses SHA-256 as the default checksum algorithm, however, other algorithms can be configured in "Fidelity-com properties". The fidelity is the property of a digital file being fixed, or unchanged. "AIP corruption risk assessment" is the process of validating that a file has not changed or been altered from a previous state. In order to validate the fidelity of an AIP or file, fidelity information has to be generated beforehand.

☐ Verify user authorization
Checks if the user has enough permissions to place the AIP under the desired node in the classification scheme

☒ Disposal schedule association via disposal rule
Associates a disposal schedule to an AIP via rules previously defined for the repository.

☐ Meemoo descriptive metadata
Creates a descriptive metadata with information for Meemoo AIP integration

OR identifier
OR-jq5t8z
Institution identifier provided by Meemoo

☐ Auto submission
An automated process will use this field to find out if the AIP, after a successful ingestion, can be submitted to Meemoo

☒ Auto accept
Adds information package to the inventory without any human appraisal. After this point, the responsibility for the digital content's preservation is passed on to the repository

Ingest finished email notification
Send a notification after finishing the ingest process to one or more e-mail addresses (comma separated)

☐ Ingest finished notification only when failed
If checked, the ingest finished notification will only be sent if a fail occurs during ingestion

Orchestration

Priority

- ☐ High
High priority processes will start as soon as there is an available slot
- ☒ Medium
Medium priority processes will start if there is an available slot and no high priority is on the queue
- ☐ Low
Low priority processes will run if there is a slot available and if no other processes are waiting to be started

Parallelism

- ☒ Normal
Normal parallelism will be running many processes at the same time
- ☐ Limited
Limited parallelism will limit the amount of processes that are run at the same time

About RODA
What is RODA?
License
Acknowledgements

Download
Demo
Binary
Source code

Development
Developer guide
Translations
Roadmap
Bug reporting

Contact us
Community support
Commercial support
Send us a message

version build on 2022-01-17T11:04:34+0000

Figure 4. - New process view.

In this page will appear five options on the left side, being them:

- AIDA ingest workflow (1.0)
- Default ingest workflow (2.0)
- Default ingest workflow (1.0)
- Minimal ingest workflow (1.0)
- Minimal ingest workflow (2.0)

By default the **“AIDA ingest workflow (1.0)”** is selected, this is the option with all necessary steps to ingest the AIP with AIDA specific requirements. On the right side of the screen you find a panel with options selected by default and fields to fill in like the **“Ingest finished email notification”** this is the email address that RODA will send the notification of the ingest process. After concluding the configuration of ingest workflow, click on the **“create”** button on the right side of the panel and the process starts.

This click sends you to the page with all job's. In this page you can check the state of your job and see the job report by clicking on the row of your job.

RODA

Welcome Catalogue Search Ingest Administration Disposal Planning Help admin English

Ingest process

The ingest process contains services and functions to accept Submission Information Packages (SIPs) from Producers, prepare Archival Information Packages (AIPs) for storage, and ensure that Archival Information Packages and their supporting Descriptive Information become established within the repository. This page lists all the ingest jobs that are currently being executed, and all the jobs that have been run in the past. In the right side panel, it is possible to filter jobs based on their state, user that initiated the job, and start date. By clicking on an item from the table, it is possible to see the progress of the job as well as additional details.

Jobs Search... advanced Q

<input type="checkbox"/>	Name	Creator	Start date	Duration	Status	Progress	Total	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="checkbox"/>	AIDA ingest workflow (1.0)	admin	2022-01-18 11:22:32	2s	done	100%	1	1	0	0	0

EXPORT 1-1 of 1

Filters:

- Creators:** ☐ admin (1)
- Status:** ☐ done (1)
- Failures:** ☐ without failures (1)
- Partial Success:** ☐ without partial success (1)
- Skipped:** ☐ without skipped (1)

Footer:

- About RODA:** What is RODA?, License, Acknowledgements
- Download:** Demo, Binary, Source code
- Development:** Developer guide, Translations, Roadmap, Bug reporting
- Contact us:** Community support, Commercial support, Send us a message

powered by **keep.** Version build on 2022-01-17T11:04:34+0000

Figure 5. - Ingest process view.

If the ingest process finished with success you can see the AIP or AIP's created in the catalogue page by clicking the **“Catalogue”** button in the navigation bar.

2.3 MEEMO SYNC

Operations relative to submitting or updating records into meemoo storage on E-ARK AIP 2.0.4 format, or to restore all records from AIPs archived in meemoo.

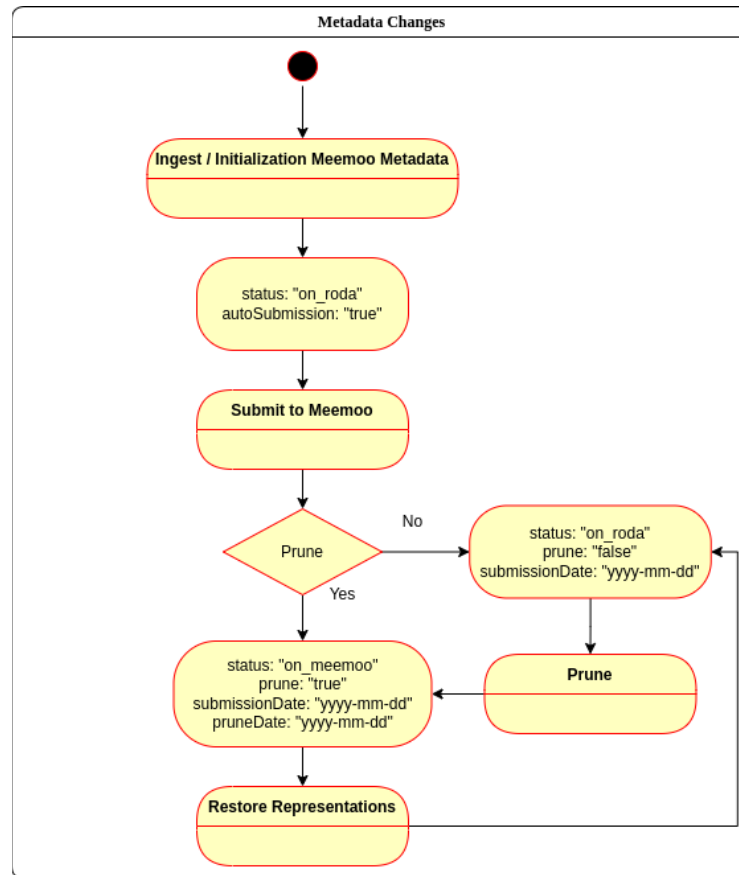


Figure 6 - Metadata Changes.

[Figure 6](#) depicts all metadata changes during the tasks after the AIP ingest process. During the ingest process the meemoo descriptive metadata file is created. This file has the Synchronization AIP status with the value **"on_roda"**, during the submission to meemoo, if prune representations are activated, the meemoo metadata changes the Synchronization AIP status to **"on_meemoo"**, and add the submission date and prune date to the metadata file.

If prune representations are deactivated, the file only adds the submission date to the metadata file. When the status of the AIP is **"on_meemoo"** the Restore operation, as it can be seen above, changes the status again to **"on_roda"** and adds a restore date to the metadata file, and the prune flag is set to false, because the representations of the AIP are again available in RODA.

If the submission date of the AIP is after the last update date and the AIP has representations, we can prune the representations from RODA, which changes the metadata of the AIP to **"on_meemoo"**.

Preservation action should only be executed when the AIP is **"on_roda"**, as this is when the information is available. A preservation action might change the AIP, which should then be re-submitted to RODA.

2.3.1 SUBMIT TO MEEMOO

The first check when submitting the AIP to Meemoo is to check if the AIP has representations. If the AIP has representations the next step is check if it is possible to submit the AIP to meemoo, in this check it is verified if the AIP has already been submitted and if the AIP is still being processed by meemoo.

After checking that the AIP can be submitted, it is checked if it is possible to create the sidecar, for it to be possible it is necessary if the AIP metadata has the mandatory fields, being them:

- ead/archdesc/did/repository/corpname
- ead/archdesc/did/unittitle
- ead/archdesc/did/unitid@label='localId'
- ead/archdesc/did/origination@label='creator'
- ead/archdesc/did/origination@label='producer'

```
<?xml version="1.0" encoding="UTF-8"?>
<VIAA xmlns:xs="http://www.w3.org/2001/XMLSchema" xmlns:dc="http://purl.org/dc/elements/1.1/"
xmlns:dcterms="http://purl.org/dc/terms/" <!--meemoo sidecar-->
<CP>AMSAB-IG</CP> <!--Source: descriptive metadata ead/archdesc/did/repository/corpname-->
<CP_id>OR-jq0st8z</CP_id> <!--OR-ID (source?)-->
<dc_title>aanwinst van ABVV</dc_title><!--Source:descriptive metadata
ead/archdesc/did/unittitle-->
<dc_description>collectie van een digitale dragers</dc_description> <!--Source: descriptive
metadata ead/archdesc/did/scopecontent-->
<dc_identifier_localid>collectie12345</dc_identifier_localid> <!--Source: descriptive metadata
ead/archdesc/did/unitid@label='LocalId'.
Local ID. See https://github.com/Automatic-Ingest-Digital-Archives/SCALA/issues/57-->
<dc_identifier_localids type="list">
<ScalaID>a84be406-38a5-4002-a20a-188abd83ff83</ScalaID> <!--Source: AIP. AIP ID. See
https://github.com/Automatic-Ingest-Digital-Archives/SCALA/issues/54-->
</dc_identifier_localids>
<dc_creators type="list">
<Archiefvormer>ABVV</Archiefvormer> <!--Source: descriptive metadata
ead/archdesc/did/origination@label='creator'/name Client Request-->
</dc_creators>
<dc_publishers type="list">
<publisher>AIDA</publisher> <!--Source: descriptive metadata
ead/archdesc/did/origination@label='producer'/name Client Request. This field is hardcoded to
AIDA in RODA-In.-->
</dc_publishers>
<dc_rights_comment>niet-raadpleegbaar</dc_rights_comment> <!--Source: descriptive metadata
ead/archdesc/did/accessrestrict-->
<md5>582925fef639c663e0abf9c47cad0727</md5> <!--Source: AIP-->
</VIAA>
```

The code above shows the meemoo sidecar and the rules to create the sidecar, as well as the mandatory fields described above.

After these verifications the "Create METS" plugin is run, this plugin will create or update the METS file in AIP making it according to the E-ARK AIP 2.0.4 specification. If the creation was successful the submission will continue, if the creation fails the submission also fails.

Like was explained above, initially the state is **"on_roda"** when AIP is submitted with the pruning option enabled the state is changed to **"on_meemoo"** because the AIP representations are removed from RODA. If the prune option is disabled, RODA keeps the AIP representations and for this reason the state remains **"on_roda"**. This plugin creates after submission a preservation event of the type **"Transfer"** at the repository level.

2.3.2 PRUNE

The Pruning Representations only can execute if the aip is already on meemoo and the last update date is lower than the submission date of the AIP. If passes this two conditions this plugin will check if the AIP has representations, if it has representations it removes these representations from the AIP and changes the meemoo metadata with the new state of the AIP which is pruned.

```
<?xml version="1.0" encoding="UTF-8"?>
<metadata>
  <aipVersion>1</aipVersion>
  <identifier>OR-jq0st8z</identifier>
  <syncAIPStatus>on_roda</syncAIPStatus>
  <submissionDate></submissionDate>
  <restoreDate></restoreDate>
  <prune>true</prune>
  <archiveStatus></archiveStatus>
  <autoSubmission>false</autoSubmission>
  <notificationEmail></notificationEmail>
</metadata>
```

Before the process of Prune, the tag **<prune>** on meemoo.xml file has the value false, the AIP synchronization status is **"on_roda"** and doesn't exist as a prune date tag as can be seen in code above. After that the value of the tag **<prune>** will be true, the synchronization AIP status changes to **"on_meemoo"** and is added to the meemoo.xml file the prune date of the AIP as can be seen in code below.

```
<?xml version="1.0" encoding="UTF-8"?>
<metadata>
  <aipVersion>1</aipVersion>
  <identifier>OR-jq0st8z</identifier>
  <syncAIPStatus>on_meemoo</syncAIPStatus>
  <submissionDate></submissionDate>
  <restoreDate></restoreDate>
  <prune>true</prune>
  <pruneDate>yyyy-mm-dd</pruneDate>
  <archiveStatus></archiveStatus>
  <autoSubmission>false</autoSubmission>
  <notificationEmail></notificationEmail>
</metadata>
```

This plugin creates a preservation event of the type **"Destruction"** at the repository level.

2.3.3 RESTORE FROM MEEMOO

The Restore Pruned Representations plugin checks if the AIP is saved on Meemoo, if the AIP has been found it looks for the last version of it and starts the process of restoring the representations of the AIP. This process replaces the old AIP with the AIP with representations.

The initial state as explained above is **"on_meemoo"**, after the process of restoring the state is changed to **"on_roda"** and is added a restore date to the metadata file and the prune tag changes to false. This change occurs because the RODA has the AIP representations stored again in the system, and for this reason the state is **"on_roda"**.

After this process of restore is complete the plugin executes three additional plugins being them:

- Fixity Check plugin
- File Format Identification Plugin
- Virus check Plugin

This plugin like the submission plugin creates a preservation event of the type **"Transfer"** at the repository level.

3 PROJECT-SPECIFIC CONFIGURATIONS

3.1 INGEST WORKFLOW

3.1.1 REMOVE UNWANTED FILES

This plugin checks through predetermined rules, if the AIP has any unwanted files. The rules configuration is under config named ingest.ignore, and contains the following default ones:

- !NAVQUIC.0
- Desktop
- Desktop.ini
- DesktopPrintersDB
- .DS_Store
- .DS_store
- .FBCIndex
- FileId.dat
- FINDER.DAT
- OpenFolderListDF_
- Picasa.ini
- .picasa.ini
- Thumbs.db
- ._DS_store
- .FBCLockFolder
- Resource.frk
- TheFindByContentFolder
- TheVolumeSettingsFolder
- .Trash
- .trash
- .Trashes
- NAV Quickscan*
- .*

3.1.2 MEEMOO DESCRIPTIVE METADATA

This plugin creates a descriptive metadata with information for **Meemoo** API integration. After the execution of this plugin the AIP has a new descriptive metadata file named meemoo.xml.

```
<?xml version="1.0" encoding="UTF-8"?>
<metadata>
  <aipVersion>1</aipVersion>
  <identifier>OR-jq0st8z</identifier>
  <syncAIPStatus>on_roda</syncAIPStatus>
  <submissionDate></submissionDate>
  <restoreDate></restoreDate>
  <prune>>false</prune>
  <pruneDate></pruneDate>
  <archiveStatus></archiveStatus>
  <autoSubmission>>false</autoSubmission>
  <notificationEmail>{notification email}</notificationEmail>
</metadata>
```


This plugin will create a descriptive metadata file with the information represented above, such as the auto submission flag, if the AIP is pruned or not, the initial synchronization AIP status **“on_roda”** and the notification email.

3.2 ACCESS FEATURES

The advanced search and facets² have been customized for this project, adding search for the following additional attributes:

- Meemoo AIP version
- Meemoo Identifier
- Meemoo Archive Status
- Producer

In terms of facets, the attributes added are the following:

- Meemoo prune
- Meemoo archive status

3.3 PRESERVATION ACTIONS

The following additional plugins with preservation actions were added to the digital preservation repository solution for AIDA.

3.3.1 CREATE E-ARK AIP 2.0 MANIFEST FILES (METS.XML)

Plugin that generates E-ARK AIP 2.0 manifest files ("METS.xml") from existing AIP information in the storage layer. This plugin only works with filesystem as the storage service.

3.3.2 IMAGE CONVERSION (IMAGEMAGICK)

ImageMagick is a tool that can read and write images in a variety of formats (over 200) including PNG, JPEG, JPEG-2000, GIF, TIFF, DPX, EXR, WebP, Postscript, PDF, and SVG. ImageMagick can also be used to resize, flip, mirror, rotate, distort, shear and transform images, adjust image colours, apply various special effects, or draw text, lines, polygons, ellipses and Bézier curves (e.g. set Command arguments to “-resample 90” to resize the image to 90 dpi). The results of conversion will be placed on a new representation under the same Archival Information Package (AIP) where the files were originally found. A PREMIS event is also recorded after the task is run. For a full list of supported formats, please visit <http://www.imagemagick.org/script/formats.php>

² A facet is a filter list with a counter that appears on a sidebar on search to aid filtering through the results.

3.3.3 OFFICE DOCUMENTS CONVERSION (UNOCONV)

Converts office files using the “unoconv” (Universal Office Converter), which uses LibreOffice³ to convert Office files. The results of conversion will be placed on a new representation under the same Archival Information Package (AIP) where the files were originally found. A PREMIS event is also recorded after the task is run. “unoconv” is a tool that converts between any document format that OpenOffice understands. It uses OpenOffice's UNO bindings for non-interactive conversion of documents. Supported document formats include Open Document Format (odt), MS Word (doc), MS Office Open/MS OOXML (ooxml), Portable Document Format (pdf), HTML (html), XHTML (xhtml), RTF (rtf), Docbook (docbook), and more. The outcome of this task is the creation of a new OpenOffice (and thus unoconv) that supports various import and export formats. Not all formats that can be imported can be exported and vice versa. For a full list of supported formats, please visit - <http://dag.wiee.rs/home-made/unoconv/>

³ <https://www.libreoffice.org>