# After You: Doorway Negotiation for Human-Robot and Robot-Robot Interaction

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Abstract—We propose and test an autonomous robot behavior for socially-compliant navigation of doorways with both human and robot interlocutors. Building on previous work for "aggressive" interaction between robots to resolve navigation deadlocks in corridors, we demonstrate an "assertive" robot that negotiates right-of-way when faced with a human or other robot. The negotiation is implemented using only motion and common navigation sensors, without explicit message-passing. Our goal is for the correct agent to take priority, as decided both by time-efficiency and as judged subjectively by naive human participants. Our contribution is a practical method for doorway negotiation, and a study of human users' responses to a robot that appears to participate in existing social customs surrounding doors. Our method is evaluated with robot-robot experiments and a human-robot interaction study with nonexpert users.

#### I. INTRODUCTION

Autonomous mobile robots are newly appearing in real world applications among humans, having previously been physically segregated to their own areas for safety. The creation of shared human-robot environments, whether in warehouses, offices or public streets, motivates us to investigate robot navigation strategies that conform with existing human social practices. One example of a common social navigation problem is resolving deadlocks at doorways.

As seen in Figure 1, the turn-taking protocol for two humans wanting to pass to opposite sides of a door is instinctive to most people. Can robots use the same behaviour to efficiently negotiate access to doors? There is no guarantee that humans will willingly integrate themselves with unfamiliar machines - in [1], Breazeal and Scassellati argue that to interact socially, "humans must believe that the robot has beliefs, desires, and intentions". By this view, successfully participating in social interactions requires behaviours that promote recognition and reciprocation from human interlocutors.

With this in mind, we extend previous work on "aggressive" robot-robot interaction[2] for resolving deadlocks in corridors, modifying the behavior to be agnostic to whether their interlocutor is a human or another robot. Our proposed "assertive" system is a generalized approach to negotiating doorway interactions using only movement and distance measurements to recreate the familiar human-human social interaction.

Our intent is for this behavior to be socially compliant with humans, where one party passes through the door and the other defers to let them through. Compliance here means

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Fig. 1. Human-human, human-robot and robot-robot doorway interaction.

both that the robot respects a human's right of way when it would apply, but also that the human will reciprocate by respecting the robot's right of way in return. As well as reliably breaking the deadlock, we want the 'correct' participant to have priority, defined by the objective time-efficiency and the subjective opinion of a naive human participant.

The contributions of this paper are (i) a robust doorway negotiation behavior for autonomous robots navigating shared human-robot spaces, demonstrated by real world experiments, and (ii) a non-expert user interaction study, reporting on user's perception of the robots behavior, and how that perception influenced navigation performance.

#### II. RELATED WORK

### A. Robot Navigation

Navigation is one of the most frequent topics in robotics research. Most research on robot mobility focuses on the physical dynamics and geometry of getting around without considering social interaction, such as the Dynamic Window Approach to collision avoidance[3]. Other parties are often considered generically as mobile obstacles or interfering agents, with no specific human characteristics. But in crowded environments, it can help to have a more sophisticated model of other agents' mutual behaviour. The successful Reciprocal Velocity Obstacle model[4] deals with mutual collision avoidance for non-communicating agents by smooth, gradual trajectories where each agent assumes the others cooperation in ensuring a clean pass, directly citing an interest in "virtual human" behavior.

This line of research has led to numerous extensions, such as Biased Reciprocal Velocity Obstacles[5] meant to alleviate congestion by building in more context sensitivity concerning who should defer to whom, or where Karamouzas et. al[6]

turned the human-inspired model back toward humans to predict collision detection for a pedestrian simulator. Nevertheless, without explicitly designing for human interaction and studying how these behaviors are perceived in real life, these projects leave open the question of whether humaninspired necessarily equals human-compliant.

## B. Human-Robot Interaction (HRI)

HRI research into compliance for human-robot behavior has already explored some parts of the problem. Kretzschmar et. al[7] produced a model for training socially-compliant trajectories directly from datasets of human observation data, in explicit recognition that navigating human environments requires adaptation to human expectations. Shiomi et. al[8] acknowledge in their work that solving the obstacle avoidance problem for objects is insufficient when dealing with pedestrians: models must also include the higher problem of acceptable social distances. While these proxemic-based approaches share our perspective on the needs of social HRI, their continuous and passive implementations are better suited to longer, corridor-style interactions than the explicit and discrete setting of a doorway.

There is also prior evidence that humans are willing to reciprocate with robots that attempt to participate in social customs. Park et. al[9] found 'backchannel' signals from a listening robot in dialogue with a child would encourage that child to see the robot as more attentive to their story. For adults, a robot using recognizable gaze cues in conversation was found by Mutlu et. al[10] to provoke the correct social response in participants, seeing themselves as addressees or bystanders as appropriate. Mutlu argues that the social acceptance of a robot necessary for its success may hinge on their behavior and how it is perceived.

#### C. Autonomous Robot Behavior

One assumption made here is that multi-robot systems introduced to human environments will be autonomous and exhibit self-regulated behaviors like the one we propose for doorway negotiation. When proposing design principles for autonomous agents[11], Pfeifer described his "fungus eaters" as "systems capable of performing a set of tasks in the real world independently and without human intervention", able to deal with other robots, machines and humans while going about its business. These are properties we may want for robots operating in shared human-robot spaces. We speculate (but cannot prove) that robots that are autonomous or perceived as autonomous will be more readily accepted by humans into social interactions, making them potentially more efficient. This paper is part of our program to examine this hypothesis.

Existing research on multi-robot systems in the workplace have often had these autonomous characteristics. The STRANDS project[12] is a sizable example where teams of robots are deployed to real office spaces for months at a time, with the stated goal of learning how to adapt and survive changes in the environment that would be fatally outside the scope of less independent robot systems. Dealing with

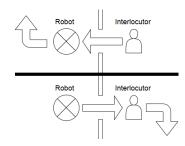


Fig. 2. Sketch of outcomes for a doorway negotiation behavior. One party retreats and makes room for the other to advance. Which outcome is 'correct' can be determined by some combination of an objective efficiency measure and the subjective opinion of a human interlocutor.

humans around doorways is also an issue they encountered, but addressed only indirectly with passivity on the part of the robot, where humans near doors are treated as obstacles rather than potential interaction partners.

These autonomous characteristics will also be present in the systems coming to share semi-structured human spaces that we mentioned earlier. The self-driving car is the most obvious example.

#### III. SYSTEM OUTLINE

The problem our behavior solves is for an autonomous robot to reliably pass through a doorway when an interlocutor on the other side (whether another robot or a human) is trying to do the same, such that each blocks the path of the other. Our robot must decide either to make way for the opponent or take the right of way if the opponent offers it, as sketched in Figure 2. Since our interlocutor may be a human or human-controlled, we cannot assume the other party shares our robots programming or can communicate over the same network, so the interaction must be mediated through local sensor data alone, and by direct interaction. We build on previous work for resolving robot-robot spatial competition in tight corridors under similar constraints.

# A. Aggressive Displays for Robot-Robot Corridor Negotiation

In Zuluaga et al [2], autonomous robots that approached one another in a corridor and found their paths mutually blocked would engage in a brief interaction to determine who would make way. Upon detecting each other, both robots would stop and begin backing away until one robot achieves their desired 'safe distance' from the opponent. The safe distance is inversely proportional to the robot's 'aggression': a simple scalar value.

On reaching its safe distance, the more aggressive robot would become 'brave' and start advancing toward the other robot, who would no longer be able to achieve their own safe distance until backing out of the corridor completely and allowing the aggressor to pass. A flowchart for this behavior can be seen in Figure 3.

A robot's aggression value is best decided dynamically by encoding the cost the robot would experience if it 'lost' the contest. Alternatively it can be a constant: if each robot

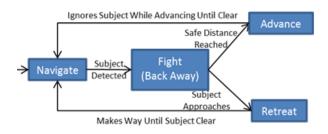


Fig. 3. Outline of the Aggression System for Robot-Robot Corridor Interaction

Ignores Subject While Advancing Until Clear Advance Subject / Subject Elapsed Too Close 🗸 Backs Away Fight Subject Navigate (Wait) Time Subjec Elapsed Approaches Retreat Makes Way Until Subject Clear

Fig. 4. Outline of the Assertive System for Negotiated Doorway Interaction

has a different constant aggression, a dominance hierarchy is obtained.

This system took deliberate inspiration from aggression displays in nature, used to assert dominance or divide resources. It was speculated at the time that it might also be compatible with humans, but this was not explicitly designed-in, demonstrated in practice, or user responses evaluated. Below we do all three with a slightly extended method.

# B. Assertive Displays for Robot-Human and Robot-Robot Doorway Negotiation

We modify the aggressive display method to work in doorways rather than corridors, and with the specific needs of human-compliant interaction in mind. In this context we prefer the term "assertive" instead of "aggressive" to represent participating in polite human social etiquette but maintaining a willingness to assert the robots own right of way, and avoid the negative valence of 'aggression'. We do not want the robot to always give way to humans, since this may not be the most objectively efficient ordering [2].

Modification 1: When a robot finds its path blocked, it backs up a half-step and stops, to signal it has acknowledged the impasse and is waiting for an interaction to resolve it. The motivation for this design over the previous immediate retreat is that we do not want to signal immediate deference to a human user. The pause near the door is intended to signal the robot's desire to get through the door as soon as possible.

Modification 2: Instead of signalling aggression/assertiveness by mutual distance threshold, we use waiting time. Instead of retreating further the robot waits, with a more assertive robot waiting a shorter time before trying to advance. While waiting, when a robot detects an advancing interlocutor it backs up and attempts to move out of the way by turning to the side, as shown in Figure 2.

Modification 3: We must also address cases where interlocutors do not move if approached, or both parties decide to advance simultaneously. We use a minimum safety distance which, if breached by the interlocutor while the robot is advancing, will cause the robot to stop. If the interlocutor backs away then the robot will resume advancing, otherwise the robot will wait a time inversely proportionate to the time they waited before advancing (so that a more assertive robot will wait longer for an intransigent subject to move), before switching to retreating in the hope that the interlocutor will finally clear the way. A subject that cannot or will not clear the blocked doorway is an obstacle rather than an interaction partner, and should be handled by another means beyond our scope.

The high-level interaction behavior flowchart can be seen in Figure 4, independent of implementation details. As with the previous aggression system, the behaviour is meant to be generalizable to any mobile robot platform with the means to judge distance and discern subjects from the environment.

The doorway interaction described here is deliberately a minimal case, and we do not consider cases such as multiple agents approaching a door from the same side. Existing research on aggression has explored some of these, such as the case where a lone robot on one side of a door should yield to an opposing group[13]. The head-on, one-on-one interaction is a common use case that also forms the basis of more complicated scenarios, and warrants investigation first for sake of clarity.

# IV. EXPERIMENT AND STUDY

Our behavior is proposed as a socially compliant means to solve navigation deadlocks around doors. 'Socially compliant' here means that humans can recognize the robot's signals of intent and cooperate with it to mutual benefit. In order to test this claim, we have three hypotheses that we evaluated through a robot-robot experiment and human-robot study:

**H1**) The assertive behavior resolves doorway navigation deadlocks for both human and robot agents.

**H2**) Overall performance of the behavior will be improved if humans respect the robot's right of way.

**H3**) Respecting the robot's right of way will correlate with recognizing their participation in a human social interaction.

### A. Setup

Testing was divided into two phases: one set of experimental robot-robot interactions, and one user study of human-robot interactions. The environment, robots, software and parameters were identical in both scenarios.

The test environment for all experiments is shown in Figure 5: a lab with a wall erected to divide the test space into two smaller rooms and with an open, standard-sized (97cm wide) doorway centered in it. Subjects in the smaller room would begin 2m from the door, while subjects in the larger room would begin 4m away. This ensured the closer party

in each interaction should have right of way by arriving at the door first, as we would expect from two humans in this setting (all else being equal).

Robots were Pioneer 3DXs, mounted with forward-facing SICK LMS200 scanning laser rangers. Localization and navigation used the ROS navigation stack<sup>1</sup>, using AMCL and a provided map. Human detection was achieved by comparing laser range readings with the map: large unmapped objects are assumed to be people. Any robust person detector could be substituted. The Pioneers used their rear-facing sonar rangers while retreating to avoid collisions. Robots had a top speed of 0.5m/s for participant safety. To discourage participants from stepping over the robot in the doorway, we added a cargo box on top, making it 0.74m tall.

The key parameters for the assertive behaviour were constant for all experiments, and are: 2m forward arc detection range for interaction; 0.35m emergency stop distance; 2 second wait time when robots are being assertive and an 8 second wait otherwise; 0.15 half-step backward after detecting a human. These values were hand-derived through informal pre-trials rather than rigorous optimization. We assert that the system performance is not very sensitive to small changes in these values, but proving this is beyond the scope of this paper.

A strip of programmable LEDs were mounted on the front of the Pioneer and would turn on when the behavior was active and turn off after arriving at its destination. These lights were green while traveling from the larger room to the smaller one and red on the return journey, which also corresponded to lower and higher assertiveness respectively, following our assumption about right of way. This very basic feedback was included to see whether participants would correlate the color of the light with the assertiveness of the robot.

# B. Robot-Robot Experiment

Each robot was set at their respective starting points either side of the doorway. At the beginning of each trial, the further robot would be given the instruction to drive to the other robots starting point, and after approximately one second the closer robot would receive the same instruction. This ensured the robot starting inside the smaller room would most likely reach the doorway first (with some variation on their exact meeting point) and was given the higher 'assertiveness' value to reflect their expected right of way.

The desired outcome for each trial was that the more assertive, nearer robot would win the ensuing contest and have the other robot retreat until it could pass, whereupon the other robot would resume and finish its journey. Once both robots had reached their destinations, they would swap assertiveness values and goals and repeat the process. This was repeated 49 times, with the outcomes, interaction times and errors or other incidents recorded.

1) Results: Of the 49 trials, 5 were discarded due to irrelevant navigational errors due to the commodity motion

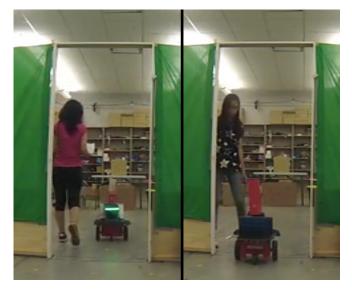


Fig. 5. A study participant asserting their right of way over the robot (left) and one deferring to the robot's right of way (right)

planner. Of the remaining 44 valid trails, 40 trials resolved the doorway contention in a single interaction. In the remaining four cases, each robot first believed the other robot was approaching them and both retreated simultaneously, but they correctly resolved the interaction on the second attempt. All 44 trials ended with the intended robot winning the interaction and both arriving at their desired destinations.

These trials show that the assertive strategy can robustly resolve robot-robot doorway contention, consistent with the similar aggressive strategy of [2].

# C. Human-Robot Study

20 participants were recruited from the general population of Simon Fraser University, predominantly students, including 8 women and 12 men. They were not compensated. University ethics approval for human subject experiments was obtained.

Each participant was brought to the test environment and seated across from the test conductor in the smaller room, passing by the robot prepared for the experiment in the larger room. Every trial had the participant drop a document in a box in the larger room while the robot entered the smaller room to pick up some excess paperwork from the test conductor, then had the participant and robot return to their starting points. This created two interactions per trial, where we intended the human to have the right of way in the first part and the robot in the second, because they arrived at the door slightly earlier than their opponent. An example of a participant completing the study can be seen in Figure 5. Each participant completed five trials:

1) First Reaction Trial: Without being informed as to the details of the experiment, the participant was asked to drop their signed consent form in a box on a table in the larger room, while the robot would be called in to collect the reusable part of the form. The participant apparently incidentally interacted with the robot around the door as a

<sup>&</sup>lt;sup>1</sup>https://github.com/ros-planning/navigation

result, and then again on their return journey. The participant was then informed that these incidental interactions were actually one of the trials, and the intent of the study was to examine their interactions with the robot around the doorway.

- 2) **Teleoperation Trial:** The participant was informed that the test conductor will take direct control of the robot via a controller, but to otherwise focus on the robot when deciding when to pass.
- 3) Full System Trial: The participant was informed the full autonomous system would now be active and the test conductor would no longer be in control of the robot.
- 4) Directed Behavior Trial: For the first interaction, the participant was instructed to treat themselves as having absolute priority over the robot, and that the robot should defer to them. For the second, they were told to now treat the robot as having full priority, and that they should defer to it
- **5) Full Explanation Trial:** Before beginning the trial, the test conductor fully explained how the system worked to the participant, without instructing them on whether or not to obey it.

After each trial, the participant was given a survey to complete. These surveys contained four 5-point Likert Scale questions on the participants perception of the robot and the interaction during that trial. The survey questions were presented as statements with a scale ranging from strongly agree to strongly disagree, and are listed in Table 1.

TABLE I POST-TRIAL SURVEY QUESTIONS

1) The robots intentions appeared clear.			
2) The robot appeared to understand my intentions.			
3) Our interaction went as smoothly as			
it would have with another human.			
4) The interaction was satisfactory overall.			

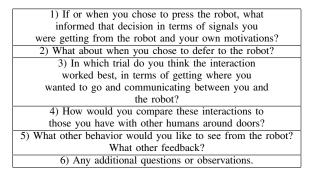
Each survey also included a field for the participant to write their account of what had happened during the trial and another field for additional comments. This form was what the participant would drop off in the box in the large room for each trial.

Once all five trials were complete, a post-test questionnaire was administered by the test conductor, who transcribed the participants spoken responses. These questions are listed in Table 2.

## D. Results

- 1) Outcome: The results for the human-robot interaction study are in Figure 6, showing the proportion of times the 'correct' right of way was respected. The near-unanimous respect for the human's right of way in all trials when the participant was closest to the door demonstrated the interaction completed successfully without insisting on its right of way inappropriately. Analyzing the second half of each trial revealed four discrete categories of participant:
- A) Those who would never respect the robot's right of way, sometimes even in trial 4 when specifically asked to.

# TABLE II POST-TEST QUESTIONNAIRE



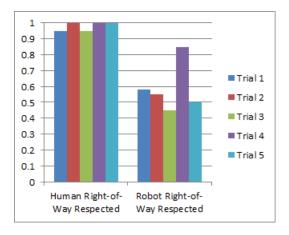


Fig. 6. Outcomes Of Human-Robot Study Interactions Per Trial

- B) Those who did not respect the robot's right of way until the last trial then changed their behavior.
- C) Those who respected the robot's right of way until the last trial then changed their behavior.
- D) Those who always respected the robot's right of way. Our 20 participants were divided relatively evenly between these four categories, with five type A, four type B, five type C and six type D.
- 2) Timing: Our data from both the robot-robot experiment and human-robot study is collected in Figure 7 for time both subjects spent interacting during each trial and total time until both subjects reached their destinations, both with standard deviation error bars. Interaction time was measured from the point in each video that the robot reacted to the human's presence to the point that both they and the human are once again centered in their respective lanes, and represents a subset of the total time for each trial. In each trial, part 1 was the interaction where the human had right of way, while the robot had right of way in part 2. For context, one Pioneer making the 6m journey from one starting point to the other uninterrupted takes approximately 12 seconds. The robot-robot trial times are included for comparison.

The robot-robot behavior is much slower than any humanrobot behavior measured. Thus the human behaviour made a positive contribution to system performance compared to a robot-only system. This might be largely explained by

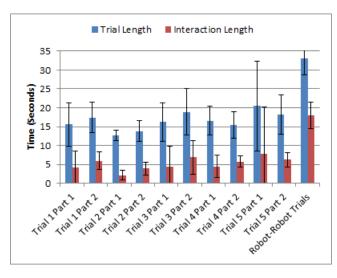


Fig. 7. Average Length Of Each Trial And Interaction

humans moving faster than our robots. However, the humanrobot interactions observed in the four non-teleoperated trials are only marginally slower than the interaction in the second trial, where a human is controlling the robot. This suggests that in terms of efficiency, our behavior may already be operating close to what might be possible for our robot platform. Human interlocutors were able to compensate for the relatively cautious speed of the robot regardless of how it was being controlled.

If we break down these time results according to our four participant behavior categories, seen in Figure 8, we found that participants who did not respect the robot's right of way had higher trial times than those who did, across all trials. This is supported via the aggregate trial times of all interactions where the robot was respected versus all interactions where it was not, which had different distributions (t-test, P>99%) and averaged 14.3 and 19.6 seconds respectively. This reflects that while going out of turn might benefit the human's completion time individually, it degrades the throughput of the overall interaction.

3) Surveys: The data from the four Likert-scale questions on the post-trial surveys is collected in Figure 9, with standard deviation error bars. The interaction was mainly positively received in each trial, but the slightly higher score for Q3 during trial 2 may suggest that knowing a human operator was controlling the robot was relevant to some participants. Similarly, some difficulty reading the robot's intentions in the very first interaction could have led to the relatively low Q1 score during trial 1.

Breaking down the scores according to our four participant categories did not produce a clear correlation between respecting the robot's right of way and a positive survey result. In fact, it was the type C and D participants in the first trial that gave low ratings for clear intentions and satisfaction. A participant who found they could make the robot back down in every confrontation may rate the interaction as satisfying as one who chose to respect the robot's right of way every time. These scores alone are not enough to

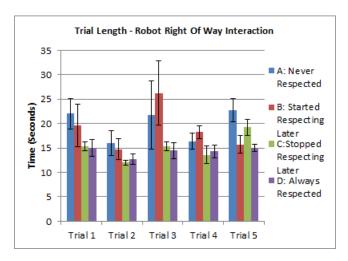


Fig. 8. Average Trial Length While Robot Had Right Of Way Per Participant Type

distinguish different perceptions of the robot's behavior.

**4) Post-Test Questionnaire:** The questionnaire data was mostly qualitative, with the exception of question three where the participant gave their preferred trial. The overall results for this question are presented in Table 3 (with one abstention).

TABLE III Number of Participants who Preferred Each Trial

Trial 1	Trial 2	Trial 3	Trial 4	Trial 5
3	4	5	3	4

Breaking down these preferences by our four participant behavior categories saw no notable trend. Instead we observed common justifications shared by participants who chose the same trial as their preference. All three participants who chose trial 4 cited that they preferred the decision on whether to defer to the robot or not be taken out of their hands, and similarly those who chose trial 2 mostly cited the speed of the interaction when the robot was being teleoperated. Participants who chose one of trials 1, 3 or 5 highlighted understanding the robot's intentions and the sense that it understood theirs, sometimes even directly declaring the humanlike characteristics of the behavior.

The first two questions on the post-test questionnaire directly address why the participant would choose to advance or defer. Lack of space forbids reproducing these responses verbatim, so they were codified according to one of seven types, and the 20 answers to each question are presented in Tables 4 and 5, grouped according to participant behaviour. The seven observed types of responses were:

Efficiency: For the fastest resolution.

*Right:* Acknowledged right of way. *Curious:* To see what would happen.

Safety: To protect themselves or the robot.

Never: Wouldn't do this.

Test: Believed it was required by the test.

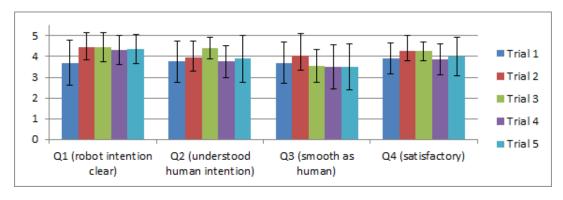


Fig. 9. Average Survey Score Per Trial Per Question

Learned: In response to learning how the system worked in trial 5.

TABLE IV
CAUSE FOR ADVANCING TOWARD ROBOT

A	В	С	D
Efficiency	Right	Learned	Safety
Efficiency	Curious/Test	Learned	Efficiency
Right	Efficiency	Curious	Right
Curious	Test	Efficiency	Efficiency
Right		Curious	Curious
			Right

 $\label{eq:TABLE V} \text{Cause For Deferring To Robot}$ 

A	В	C	D
Safety	Safety	Right	Right
Right	Safety	Curious	Safety
Curious	Right	Curious	Right
Curious	Right	Right	Right
Never		Right	Safety
			Right

The number of participants who acknowledged the robot's right of way went from one among those who never deferred to four among those who always did. One type D participant mentioned buying into the premise of the study, assuming the robot might be carrying out some important work, and another suggested coworkers should be "compatible". The difference could also be seen in the safety response, where type D participants were worried they could damage the robot. By contrast, the type A participants imagined deferring only out of curiosity, to protect themselves, or in one case not at all, with one at least conceding the idea of respecting the robot's right of way.

There were fewer differences between participants when comparing the interaction to those with other humans in the fourth question. The most common observation was that the robot was slower and less maneuverable than a human, and while some suggested the robot acted in a human-like manner it was couched as "similar", yet still different.

Both the fifth question and the interaction accounts from the surveys produced a number of small implementation suggestions to smooth the interaction. Several participants noted that a human would know which direction to back up in order to clear the way faster, and others suggested that a human would speed up after noticing someone was already getting out of their way to minimize that person's delay. A few noted the Pioneer's wheels kept it from stepping briskly to the side the way a human might. Three participants described what was effectively a Reciprocal Velocity Obstacle interaction, where the robot cooperates at distance for a closer pass rather than one being forced to stop and back away from the other.

#### V. DISCUSSION

# A. Hypotheses

Our first hypothesis was that our behavior would resolve doorway navigation deadlocks, and in terms of both parties arriving at their destinations without incident that was the case in virtually all trials (a few unrelated navigation errors notwithstanding). Defined more narrowly, we found that our behavior executed as intended where the party with right of way was allowed to pass first in a single interaction in almost all robot-robot trials and those human-robot interactions where the human had right of way.

While most participants ignored the robot's right of way during at least one trial, 85% could still complete the interaction as intended when asked to do so in Trial 4. With that trial as a baseline, we can say the behavior succeeded in resolving doorway deadlocks for both humans and robots, contingent on the cooperation of the human.

Our second hypothesis concerned whether respecting objective right of way increased overall performance, and average trial times showed improvement for those who did. However, this metric places the throughput of a shared human-robot environment above the personal benefit to the individual human of reaching their destination as soon as possible, a perspective the human may not share. This is not a problem unique to human-robot interaction, behaving socially could produce better outcomes for humanity in general over being anti-social, but hinges on the cooperation of all participants.

This leads into our third hypothesis on whether those who respected the robot's right of way recognized the robot as

participating in a social interaction. Gaining recognition is not something unique between humans, as participants were no more likely to respect the robot's right of way while it was being teleoperated than they were while it was controlled by our system. Few participants preferred the human-operated trial and none of those cited the human as the cause, while the "smooth as human" survey result for the teleoperation trial was only marginally higher than the rest.

The suggestions from the questionnaire and accounts on how to enhance the interaction demonstrated that participants were actually looking for more reciprocation from the robot for the social courtesies they extended, even if they did not recognize the robot's right of way themselves. For those who did, they cast their decision to defer in terms of right and mutual concern. This contrasts with the accounts of those that did not, who broadly ignored the robot's rights in favor of their own or simple efficiency.

#### B. Limitations

As this method is meant to generalize to any ground robot, it should be tested beyond the platform, parameters, detection methods and other particulars chosen here. It is likely that the exact form factor of the robot chosen had a significant effect on user perception of the behavior.

The simple green/red LED signals also provoked very little participant interest. There was no evidence that participants distinguished between different levels of assertiveness or associated them with the different colors. Instead, several participants expressed a desire in the questionnaire for more indications of a robots intended action and direction, whether through subtle body language or explicit flashing lights, and a few thought the robot should state its intentions aloud.

# C. Future Work

While we saw evidence that our system did provoke reciprocation from some participants, the large proportion who did not respect the robot's right of way shows that work remains in achieving acceptance. Establishing that perceiving the robot as participating in social interactions could promote compliance in users provides a goal, while participant feedback highlighted additional behaviors to improve the experience. Further studies with larger or more diverse pools of participants could further refine this feedback to account for specific cultural factors that differ between populations[14].

One avenue of exploration was revealed by accounts of the fifth trial. In some cases, particularly the type C participants who stopped respecting the robot's right of way in the last trial, hearing an explanation of how the behavior worked appeared to turn it into a mere mechanism rather than a social interaction. Several chose to play with the robot, deliberately triggering a fight over and over to see if it would respond as predicted. Perhaps behavior development strategies that obscure the inner workings of a system, like machine learning, could improve human perception.

# VI. CONCLUSION

We proposed an "assertive" approach for robots to negotiate deadlocks at doors in a way compatible with both robots

and humans. We tested our behavior with an experiment and a user study, and found it resolved both robot-robot and human-robot impasses. By examining what effect cooperation had on performance, we found evidence that recognition of robot social participation by humans may contribute to the efficiency of navigation.

This work contributes to our understanding of how to efficiently and inoffensively integrate robots into human environments.

#### ACKNOWLEDGMENT

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