

# Spintronics Note

Guo Liangliang, [aoubleliang@gmail.com](mailto:aoubleliang@gmail.com)

**WeiHan Group** of ICQM–Peking University

# Contents

<b>1</b>	<b>The Quantum Mechanics of Spin</b>	<b>3</b>
1.1	Pauli Matrices . . . . .	3
1.2	The Pauli Equation . . . . .	4
1.3	Dirac Equation . . . . .	4
1.3.1	Klein-Gordon Equation . . . . .	4
1.3.2	Dirac Equati . . . . .	5

# 1 The Quantum Mechanics of Spin

## 1.1 Pauli Matrices

We have the following relations

$$\begin{aligned} [S_i, S_j] &= \varepsilon_{ijk} i\hbar S_k \\ S^2 |s, m\rangle &= \hbar^2 s(s+1) |s, m\rangle \\ S_z |s, m\rangle &= \hbar m |s, m\rangle \\ S_{\pm} |s, m\rangle &= (S_x \pm iS_y) |s, m\rangle = \hbar \sqrt{s(s+1) - m(m \pm 1)} |s, m \pm 1\rangle \end{aligned} \tag{1.1a}$$

We have two states for spin 1/2

$$\alpha = \begin{pmatrix} 1 \\ 0 \end{pmatrix}; \beta = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

And we can know

$$S^2 \alpha = \frac{3}{4} \hbar^2 \alpha; S^2 \beta = \frac{3}{4} \hbar^2 \beta$$

Let  $S^2 = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , then we can get

$$S^2 = \frac{3}{4} \hbar^2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Similarly, we can get

$$S_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}; S_+ = \hbar \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; S_- = \hbar \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

Or

$$S_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}; S_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; S_y = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix};$$

And we let  $S = \frac{\hbar}{2} \sigma$ , where  $\sigma$  is what we call Pauli Matrix

$$\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}; \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix};$$

Or to make it easier to remember, we get a generally form

$$\sigma_a = \begin{pmatrix} \delta_{a3} & \delta_{a1} - i\delta_{a2} \\ \delta_{a1} + i\delta_{a2} & -\delta_{a3} \end{pmatrix}$$

where  $\delta_{ai}$  is [Kronecker delta](#).

After we get the Pauli matrices, we can correspondingly get their eigenstates which are:

$$\begin{aligned} \psi_{z+} &= \begin{pmatrix} 1 \\ 0 \end{pmatrix}; \psi_{z-} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ \psi_{x+} &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}; \psi_{x-} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix} \\ \psi_{y+} &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}; \psi_{y-} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix} \end{aligned}$$

## 1.2 The Pauli Equation

We can set the electron' wavefunction as

$$[\psi(\mathbf{x})] = \begin{bmatrix} \phi_1(\mathbf{x}) \\ \phi_2(\mathbf{x}) \end{bmatrix} \quad (1.2)$$

Where  $\mathbf{x} = (x, y, z, t)$ . Then we can recast the Schrodinger Equation

$$\left( \hat{H} - i\hbar \frac{\partial}{\partial t} [I] \right) [\psi(\mathbf{x})] = [0] \quad (1.3)$$

This is a set of two simultaneous differential equations for the two components of the spinor wavefunction— $\phi_1$  and  $\phi_2$ . And this is referred to as the *Pauli Equation*.

Right now, we can calculate the expected value of the spin components with special expressions.

$$\langle S_n \rangle = \frac{\hbar}{2} [\psi(\mathbf{r}, t)]^\dagger \sigma_n [\psi(\mathbf{r}, t)] \quad (1.4)$$

Specifically,

$$\langle S_x \rangle = \frac{\hbar}{2} \begin{bmatrix} \phi_1^\dagger(\mathbf{x}) & \phi_2^\dagger(\mathbf{x}) \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} \phi_1(\mathbf{x}) \\ \phi_2(\mathbf{x}) \end{bmatrix} = \hbar \text{Re}(\phi_1^\dagger(\mathbf{x}) \phi_2(\mathbf{x})) \quad (1.5)$$

$$\langle S_y \rangle = \hbar \text{Im}(\phi_1^\dagger(\mathbf{x}) \phi_2(\mathbf{x})) \quad (1.6)$$

$$\langle S_z \rangle = \hbar (|\phi_1(\mathbf{x})|^2 - |\phi_2(\mathbf{x})|^2) \quad (1.7)$$

## 1.3 Dirac Equation

Well, Pauli's theory about spin is non-relativistic. The task is finished by Paul Dirac with his Relativistic Wave Equation.

### 1.3.1 Klein-Gordon Equation

As soon as Schrodinger equation was proposed, the relativistic wave equation was also put forward. That is Klein-Gordon Equation

$$-\hbar^2 \frac{\partial^2}{\partial t^2} \psi = (-\hbar^2 c^2 \nabla^2 + m^2 c^4) \psi$$

But this equation can not describe one-single particle, instead it can be used to describe a field—*scalar field*. The reason mainly comes from the second derivative. Well let's see the details.

For non-relativistic case, we have equation

$$i\hbar \frac{\partial}{\partial t} \psi = -\frac{\hbar^2}{2m} \nabla^2 \psi$$

And we can set

$$\rho = \psi^* \psi$$

$$\mathbf{j} = -\frac{i\hbar}{2m} (\psi^* \nabla \psi - \psi \nabla \psi^*) = \frac{\langle \mathbf{p} \rangle}{m}$$

Then we can get one equation

$$\boxed{\frac{\partial}{\partial t}\rho + \nabla \cdot \mathbf{j} = 0}$$

Now, let's prove this, first we have one equation

$$-i\hbar \frac{\partial}{\partial t}\psi^* = -\frac{\hbar^2}{2m}\nabla^2\psi^*$$

Then we take

$$\begin{aligned} i\hbar \frac{\partial}{\partial t}(\psi^*\psi) &= i\hbar(\dot{\psi}^*\psi + \psi^*\dot{\psi}) \\ &= \frac{\hbar^2}{2m}\nabla^2\psi^*\psi - \frac{\hbar^2}{2m}\psi^*\nabla^2\psi \\ &= \frac{\hbar^2}{2m}\nabla \cdot (\nabla\psi^*\psi - \psi^*\nabla\psi) \end{aligned}$$

Finally, we can get

$$\begin{aligned} \frac{\partial}{\partial t}(\psi^*\psi) &= -\frac{i\hbar}{2m}\nabla \cdot (\nabla\psi^*\psi - \psi^*\nabla\psi) \\ &\Downarrow \\ \frac{\partial}{\partial t}\rho &= -\nabla \cdot \mathbf{j} \end{aligned}$$

This is actually the conversation of probability. Well but this is not very true for Klein-Gordon Equation. With the same way, we can have

$$-\hbar^2 \frac{\partial}{\partial t}(\psi^* \frac{\partial}{\partial t}\psi - \psi \frac{\partial}{\partial t}\psi^*) = -\hbar^2 c^2 \nabla \cdot (\psi^* \nabla \psi - \psi \nabla \psi^*)$$

Now we need to re-set the symbols

$$\begin{aligned} \rho &= \frac{i\hbar}{2mc^2}(\psi^* \frac{\partial}{\partial t}\psi - \psi \frac{\partial}{\partial t}\psi^*) \\ \mathbf{j} &= -\frac{i\hbar}{2m}(\psi^* \nabla \psi - \psi \nabla \psi^*) \end{aligned}$$

We can still get

$$\frac{\partial}{\partial t}\rho + \nabla \cdot \mathbf{j} = 0$$

*Well, we still get a equation looks like the conversation of probability equation. But here has some difference. What is the meaning of  $\rho$  here, it can't be seen as probability density simply. And it's not always positive. Well this "minuse probability" problem can't be solved when we treat Klein-Gordon Equation as suitable relativistic wave equation. Then Dirac mentioned an equation named after him.*

### 1.3.2 Dirac Equation

