



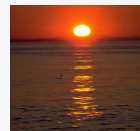
# Entity-Relationship Model

崇志宏

<http://cse.seu.edu.cn/people/zhchong/index.htm>

13814066974

WebDB & P2P Open Group, Southeast University





# Chapter 6: Entity-Relationship Model

- Design Process
- Modeling
- Constraints
- E-R Diagram
- Design Issues
- Weak Entity Sets
- Extended E-R Features
- Design of the Bank Database
- Reduction to Relation Schemas
- Database Design
- UML





# Modeling

- A *database* can be modeled as:
  - a collection of entities,
  - relationship among entities.
- An **entity** is an object that exists and is distinguishable from other objects.
  - Example: specific person, company, event, plant
- Entities have *attributes*
  - Example: people have *names* and *addresses*
- An **entity set** is a set of entities of the same type that share the same properties.
  - Example: set of all persons, companies, trees, holidays





# Entity Sets *customer* and *loan*

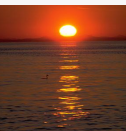
customer\_id   customer\_   customer\_   customer\_   loan\_   amount  
                  name        street        city            number

321-12-3123	Jones	Main	Harrison
019-28-3746	Smith	North	Rye
677-89-9011	Hayes	Main	Harrison
555-55-5555	Jackson	Dupont	Woodside
244-66-8800	Curry	North	Rye
963-96-3963	Williams	Nassau	Princeton
335-57-7991	Adams	Spring	Pittsfield

*customer*

L-17	1000
L-23	2000
L-15	1500
L-14	1500
L-19	500
L-11	900
L-16	1300

*loan*





# Relationship Sets

- A **relationship** is an association among several entities

Example:

<u>Hayes</u>	<u>depositor</u>	<u>A-102</u>
<i>customer</i> entity	relationship set	<i>account</i> entity

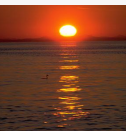
- A **relationship set** is a mathematical relation among  $n \geq 2$  entities, each taken from entity sets

$$\{(e_1, e_2, \dots, e_n) \mid e_1 \in E_1, e_2 \in E_2, \dots, e_n \in E_n\}$$

where  $(e_1, e_2, \dots, e_n)$  is a relationship

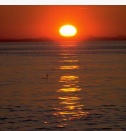
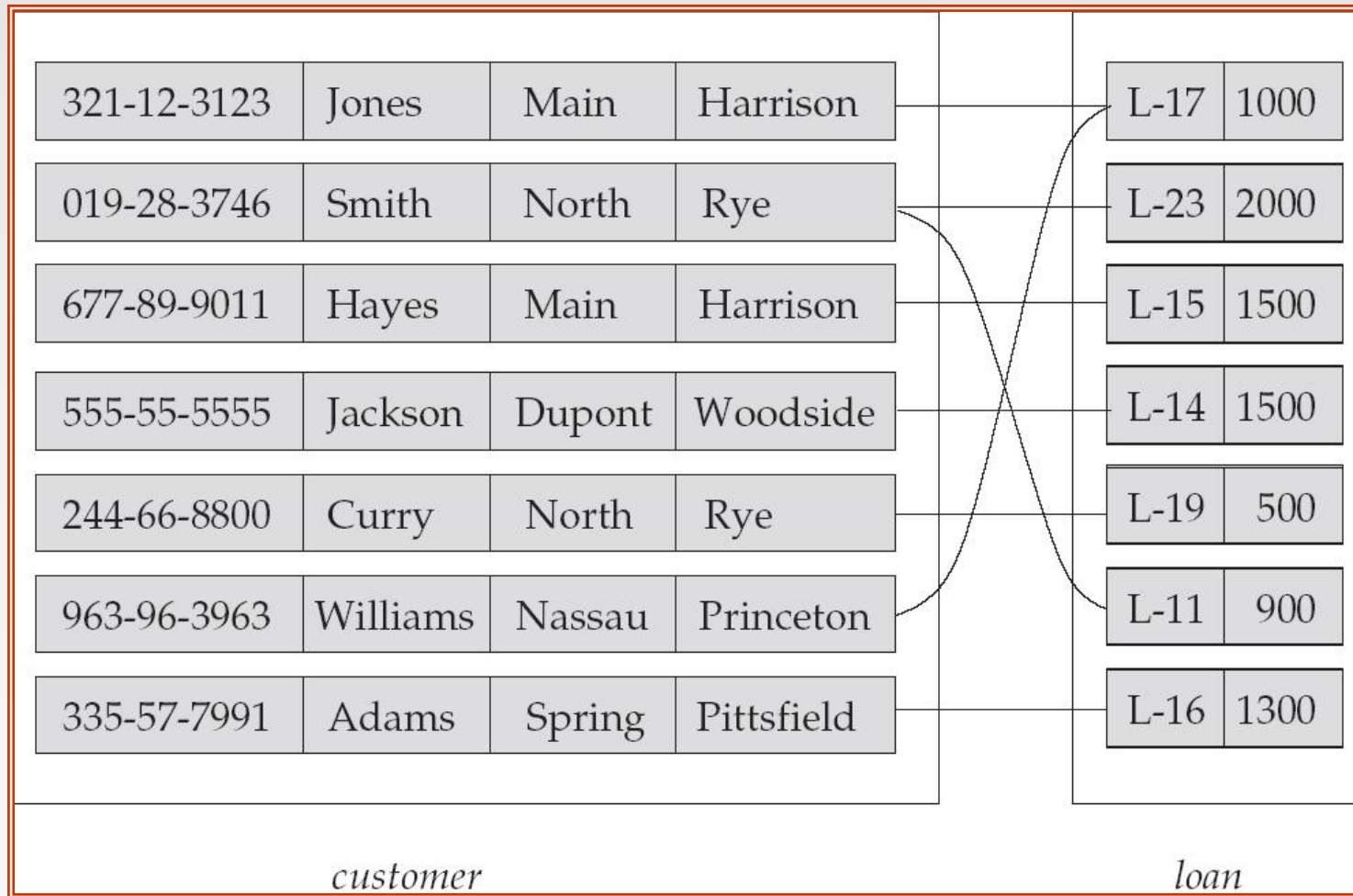
- Example:

$(\text{Hayes}, \text{A-102}) \in \text{depositor}$





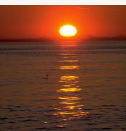
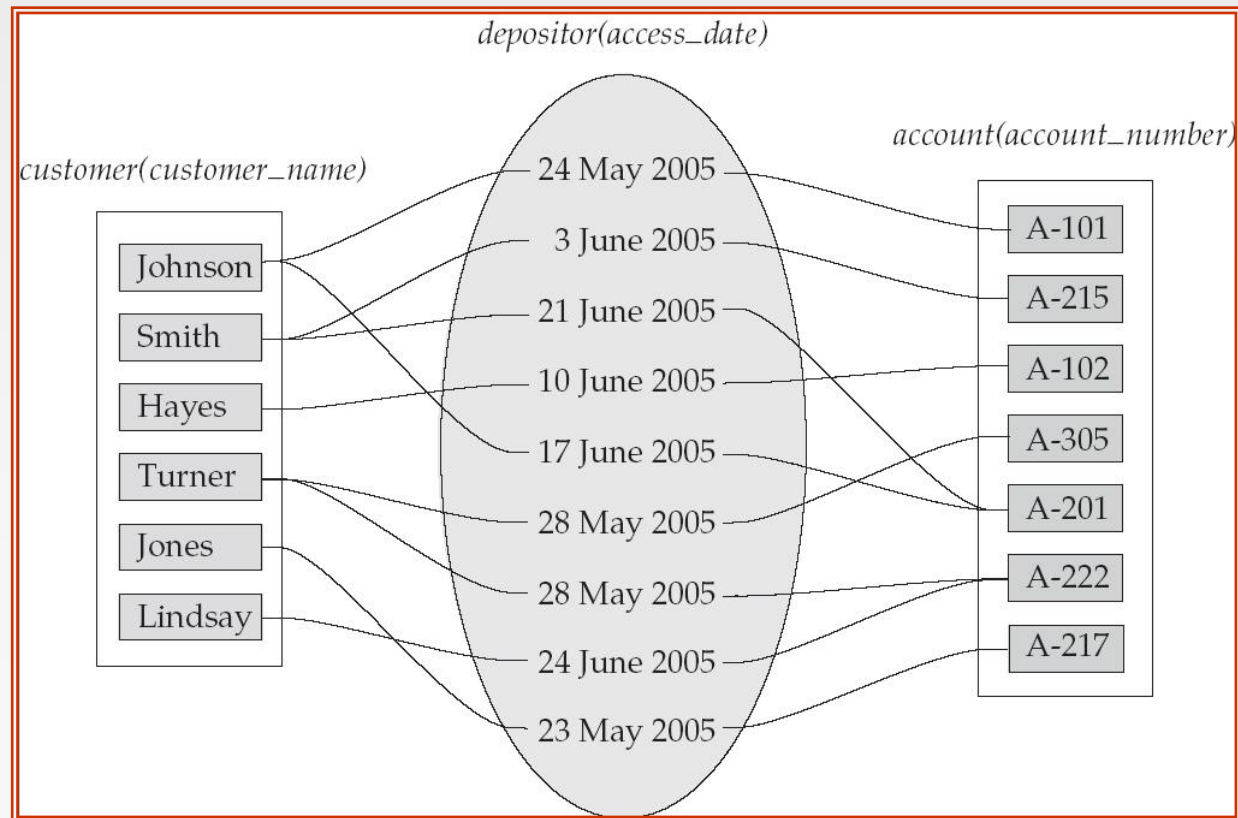
# Relationship Set *borrower*





# Relationship Sets (Cont.)

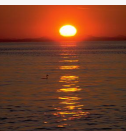
- An **attribute** can also be property of a relationship set.
- For instance, the *depositor* relationship set between entity sets *customer* and *account* may have the attribute *access-date*





# Degree of a Relationship Set

- Refers to number of entity sets that participate in a relationship set.
- Relationship sets that involve two entity sets are **binary** (or degree two). Generally, most relationship sets in a database system are binary.
- Relationship sets may involve more than two entity sets.
  - ▶ Example: Suppose employees of a bank may have jobs (responsibilities) at multiple branches, with different jobs at different branches. Then there is a ternary relationship set between entity sets *employee*, *job*, and *branch*
- Relationships between more than two entity sets are rare. Most relationships are binary. (More on this later.)







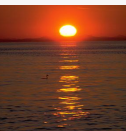
# Attributes

- An entity is represented by a set of attributes, that is descriptive properties possessed by all members of an entity set.

Example:

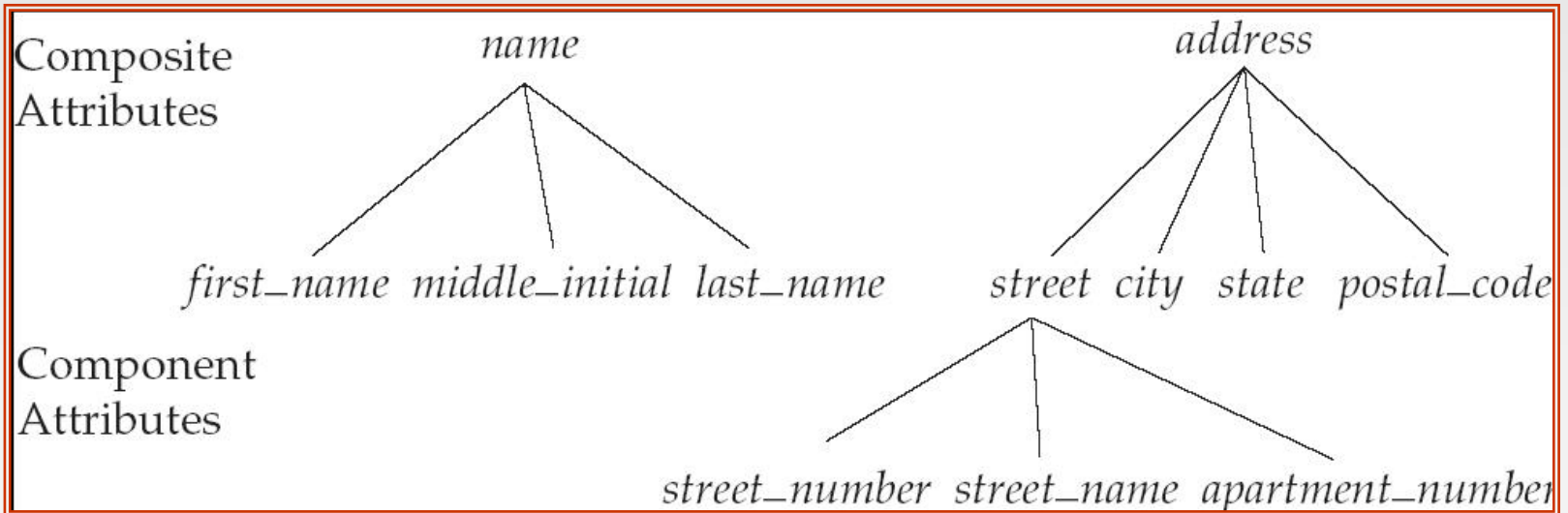
*customer = (customer\_id, customer\_name,  
customer\_street, customer\_city )*  
*loan = (loan\_number, amount )*

- **Domain** – the set of permitted values for each attribute
- Attribute types:
  - *Simple and composite* attributes.
  - *Single-valued and multi-valued* attributes
    - 📄 Example: multivalued attribute: *phone\_numbers*
  - *Derived* attributes
    - 📄 Can be computed from other attributes
    - 📄 Example: age, given date\_of\_birth





# Composite Attributes





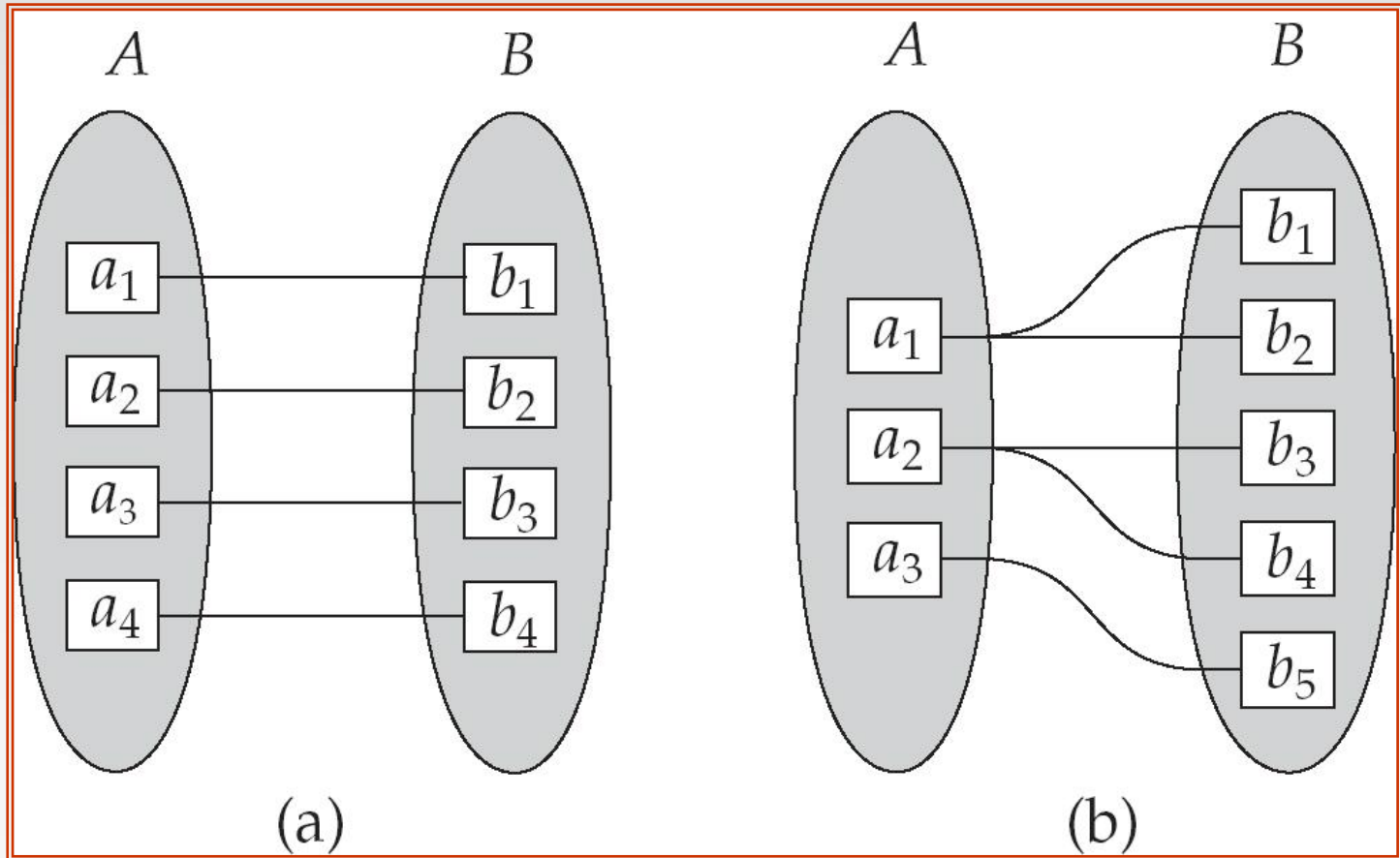
# Mapping Cardinality Constraints

- Express the number of entities to which another entity can be associated via a relationship set.
- Most useful in describing binary relationship sets.
- For a binary relationship set the mapping cardinality must be one of the following types:
  - **One to one**
  - **One to many**
  - **Many to one**
  - **Many to many**





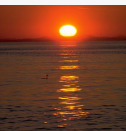
# Mapping Cardinalities



One to one

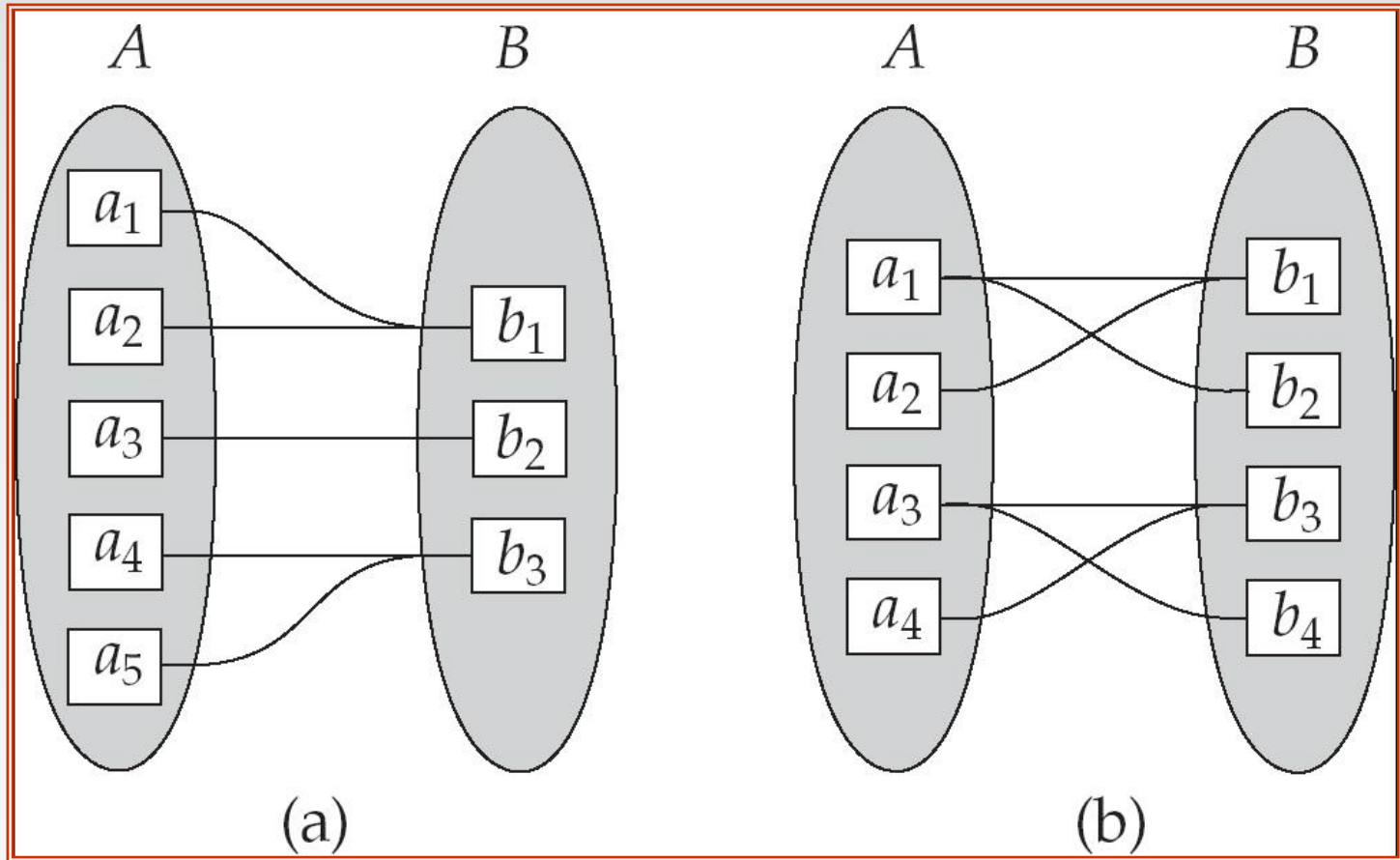
One to many

Note: Some elements in  $A$  and  $B$  may not be mapped to any elements in the other set





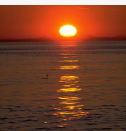
# Mapping Cardinalities



Many to one

Many to many

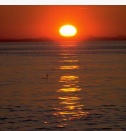
Note: Some elements in A and B may not be mapped to any elements in the other set





# Keys

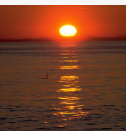
- A **super key** of an entity set is a set of one or more attributes whose values uniquely determine each entity.
- A **candidate key** of an entity set is a minimal super key
  - *Customer\_id* is candidate key of *customer*
  - *account\_number* is candidate key of *account*
- Although several candidate keys may exist, one of the candidate keys is selected to be the **primary key**.





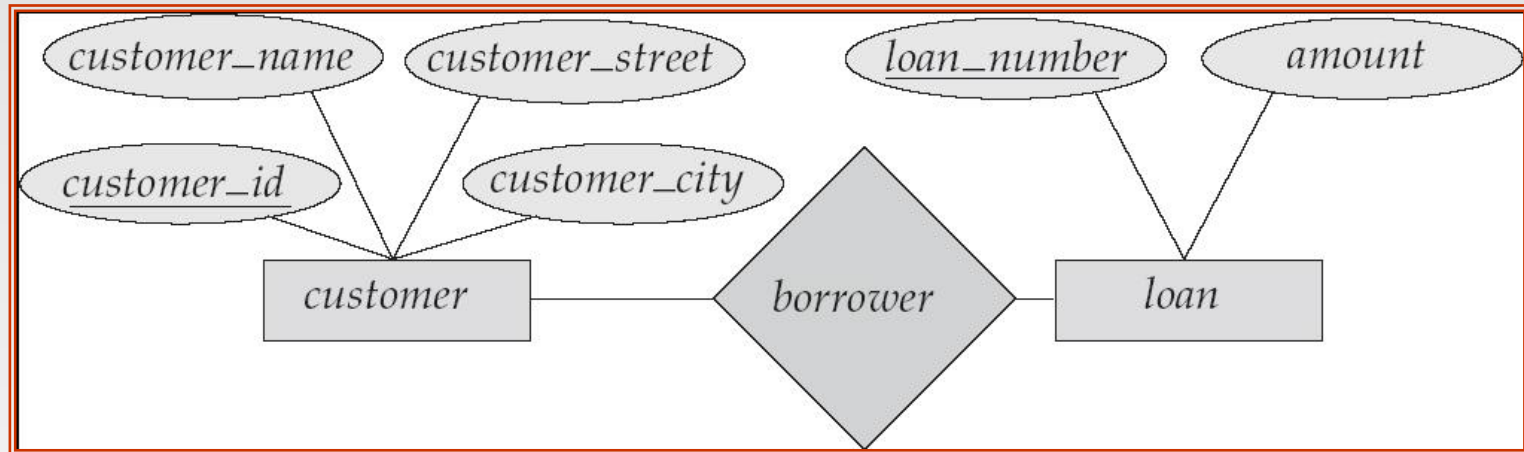
# Keys for Relationship Sets

- The combination of primary keys of the participating entity sets forms a super key of a relationship set.
  - *(customer\_id, account\_number)* is the super key of *depositor*
  - *NOTE: this means a pair of entity sets can have at most one relationship in a particular relationship set.*
    - 📄 Example: if we wish to track all *access\_dates* to each account by each customer, we cannot assume a relationship for each access. We can use a multivalued attribute though
- Must consider the mapping cardinality of the relationship set when deciding what are the candidate keys
- Need to consider semantics of relationship set in selecting the *primary key* in case of more than one candidate key

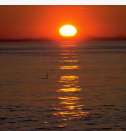




# E-R Diagrams



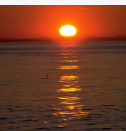
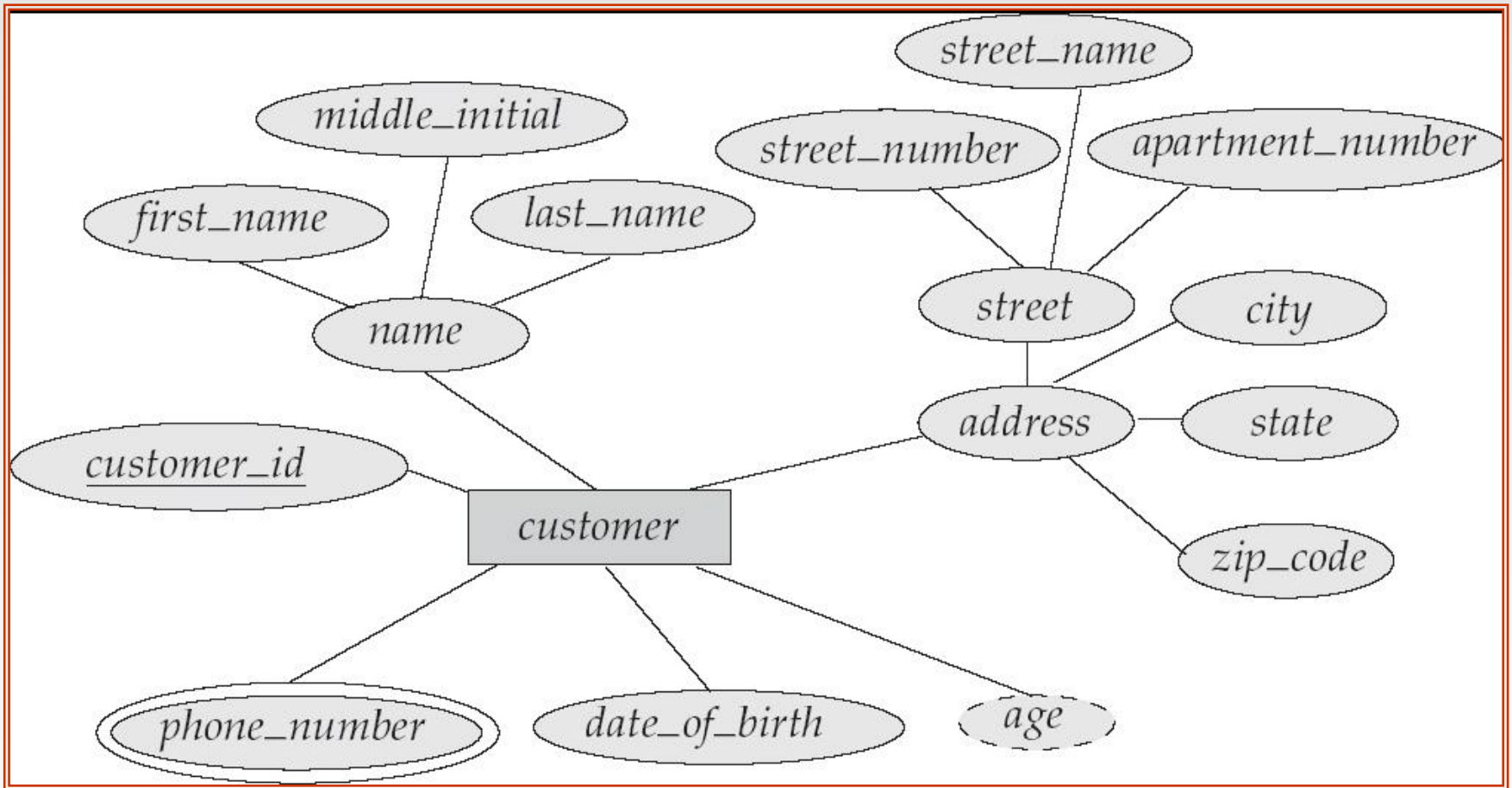
- Rectangles represent entity sets.
- Diamonds represent relationship sets.
- Lines link attributes to entity sets and entity sets to relationship sets.
- Ellipses represent attributes
  - Double ellipses represent multivalued attributes.
  - Dashed ellipses denote derived attributes.
- Underline indicates primary key attributes (will study later)





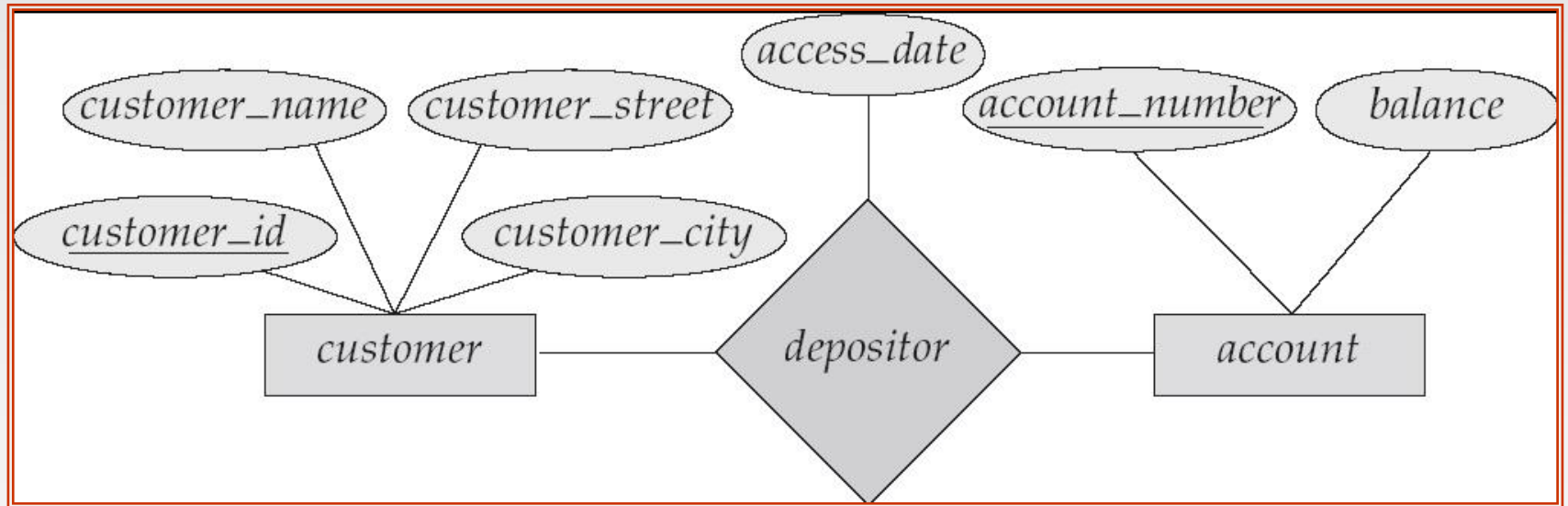


# E-R Diagram With Composite, Multivalued, and Derived Attributes





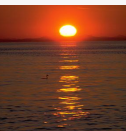
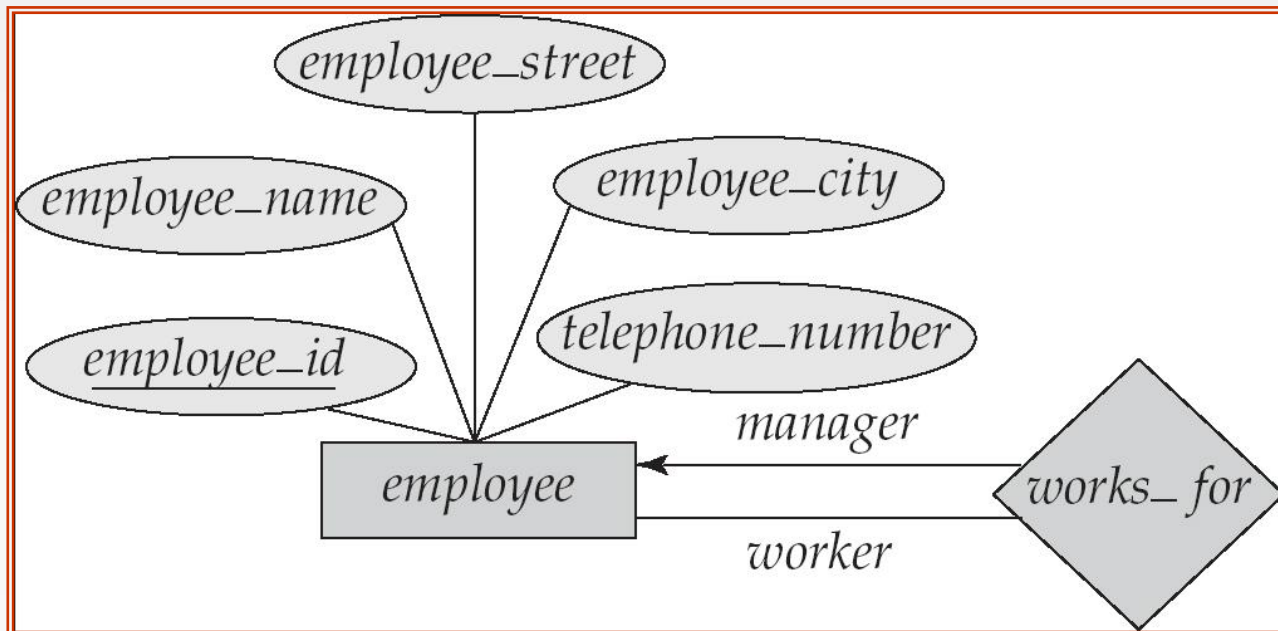
# Relationship Sets with Attributes





# Roles

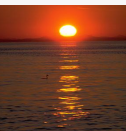
- Entity sets of a relationship need not be distinct
- The labels “manager” and “worker” are called **roles**; they specify how employee entities interact via the works\_for relationship set.
- Roles are indicated in E-R diagrams by labeling the lines that connect diamonds to rectangles.
- Role labels are optional, and are used to clarify semantics of the relationship





# Cardinality Constraints

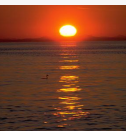
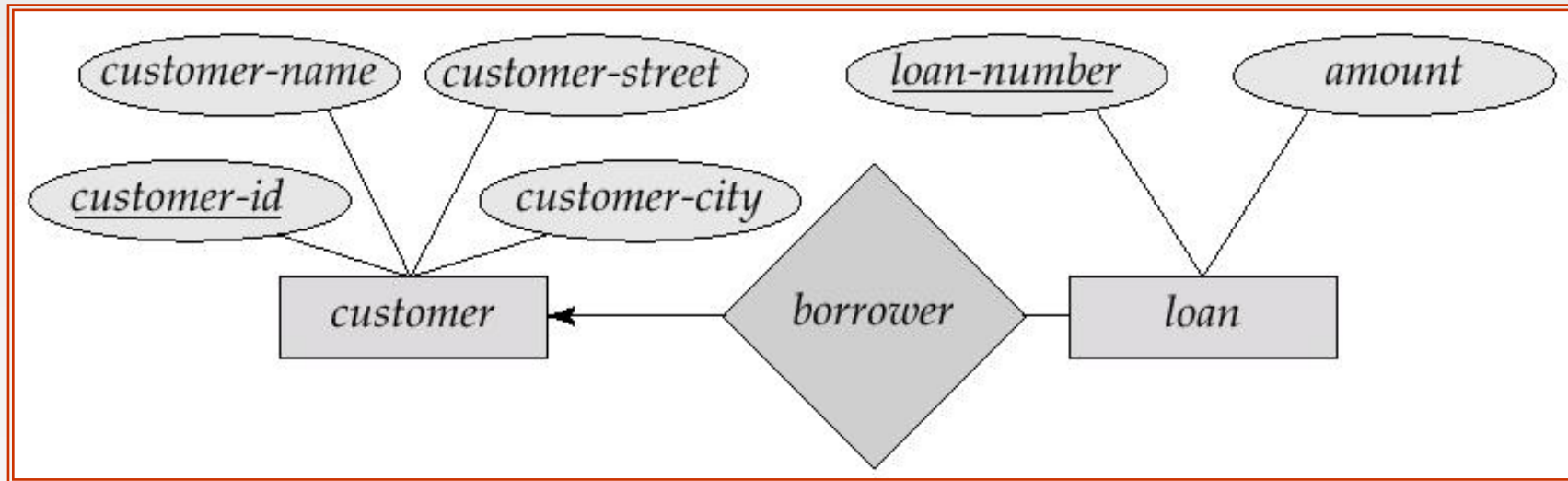
- We express cardinality constraints by drawing either a directed line ( $\rightarrow$ ), signifying “one,” or an undirected line ( $\text{—}$ ), signifying “many,” between the relationship set and the entity set.
- One-to-one relationship:
  - A customer is associated with at most one loan via the relationship *borrower*
  - A loan is associated with at most one customer via *borrower*





# One-To-Many Relationship

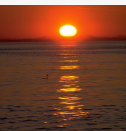
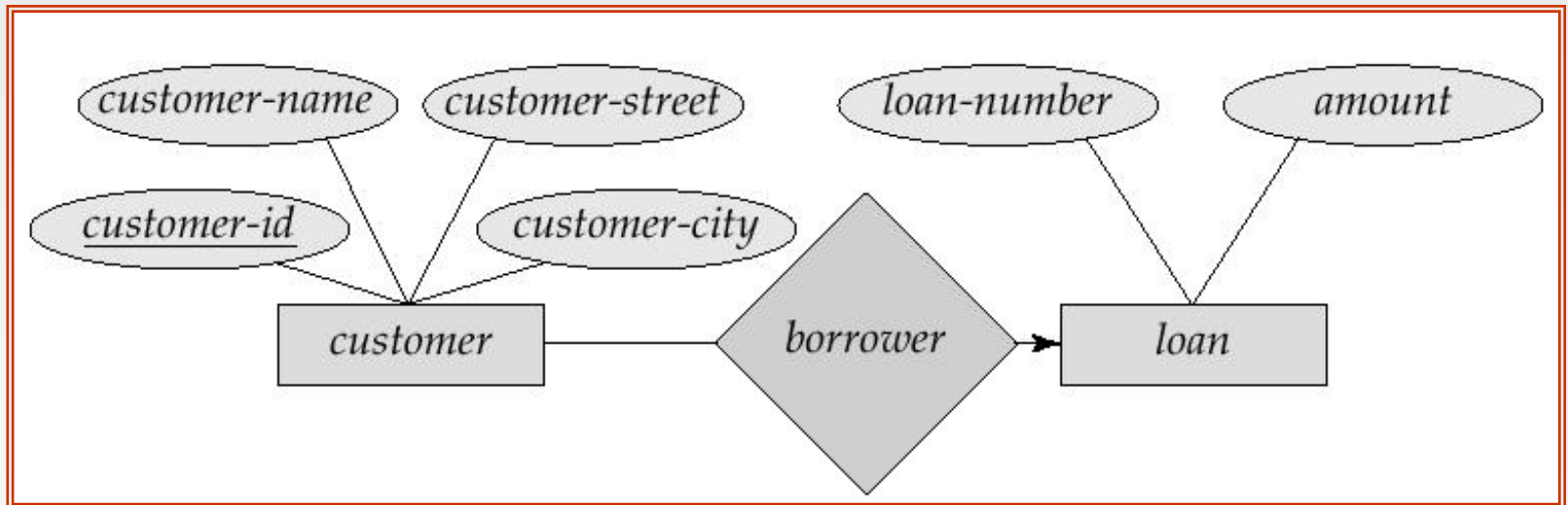
- In the one-to-many relationship a loan is associated with at most one customer via *borrower*, a customer is associated with several (including 0) loans via *borrower*





# Many-To-One Relationships

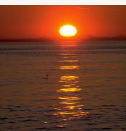
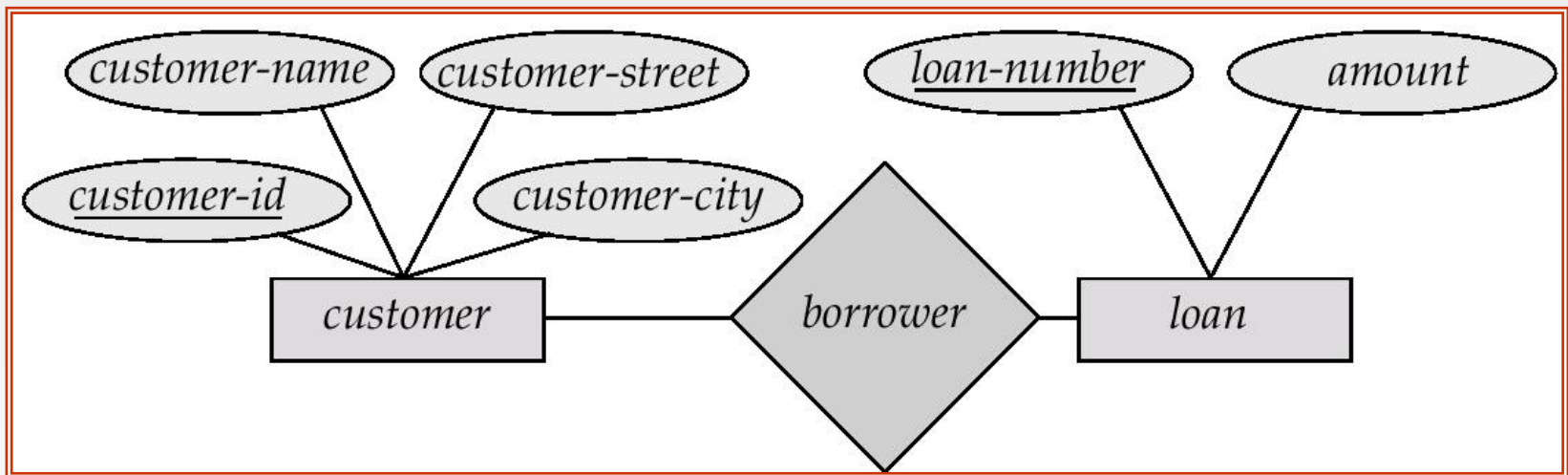
- In a many-to-one relationship a loan is associated with several (including 0) customers via *borrower*, a customer is associated with at most one loan via *borrower*





# Many-To-Many Relationship

- A customer is associated with several (possibly 0) loans via borrower
- A loan is associated with several (possibly 0) customers via borrower

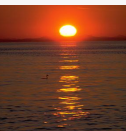
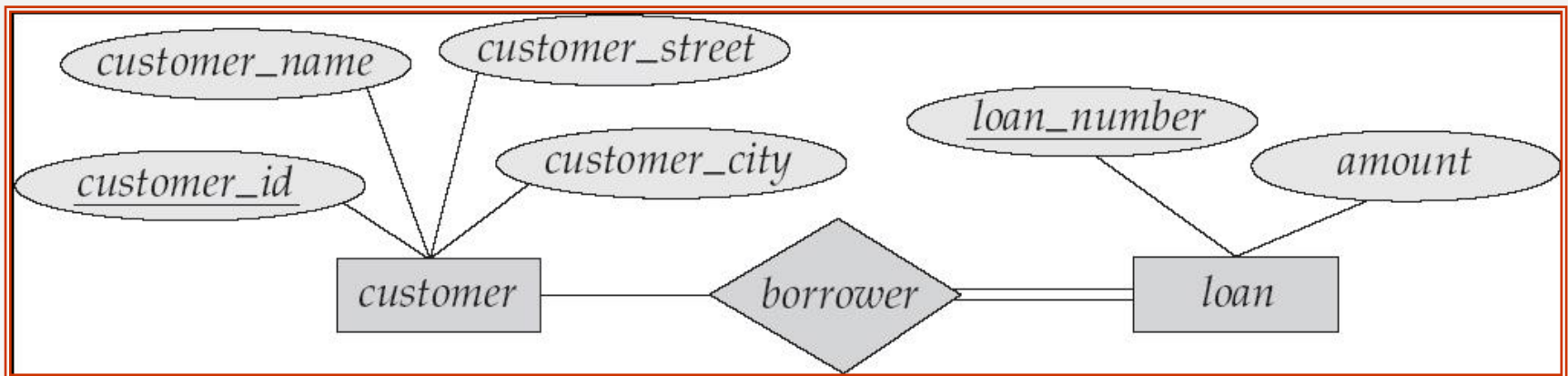






# Participation of an Entity Set in a Relationship Set

- Total participation (indicated by double line): every entity in the entity set participates in at least one relationship in the relationship set
  - E.g. participation of loan in borrower is total
    - ▶ every loan must have a customer associated to it via borrower
- Partial participation: some entities may not participate in any relationship in the relationship set
  - Example: participation of customer in borrower is partial

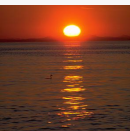
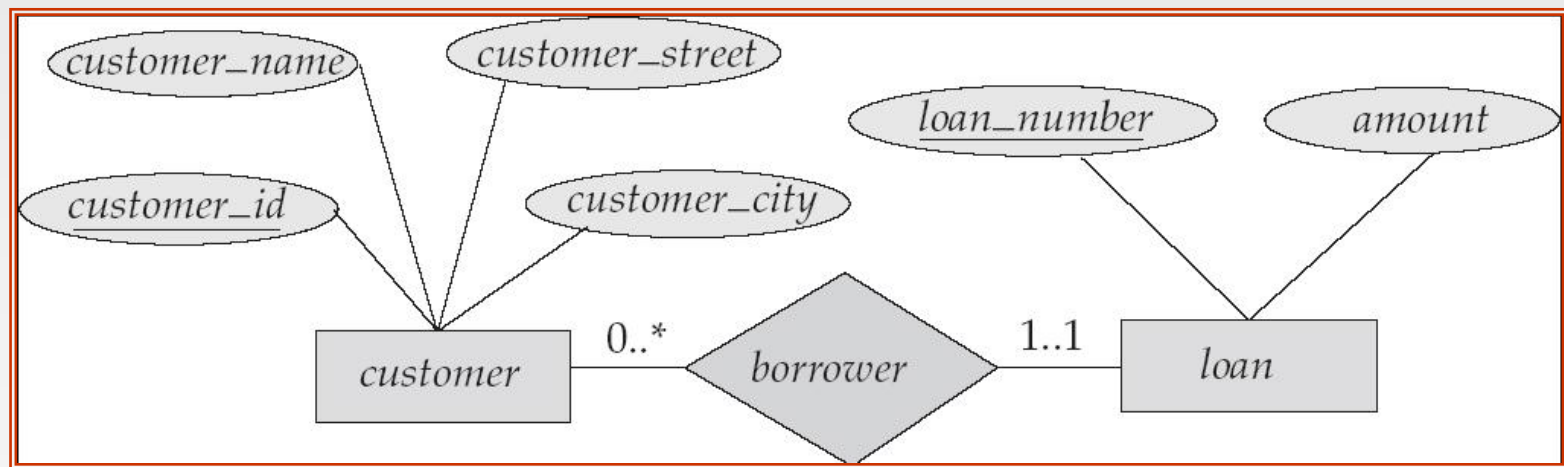






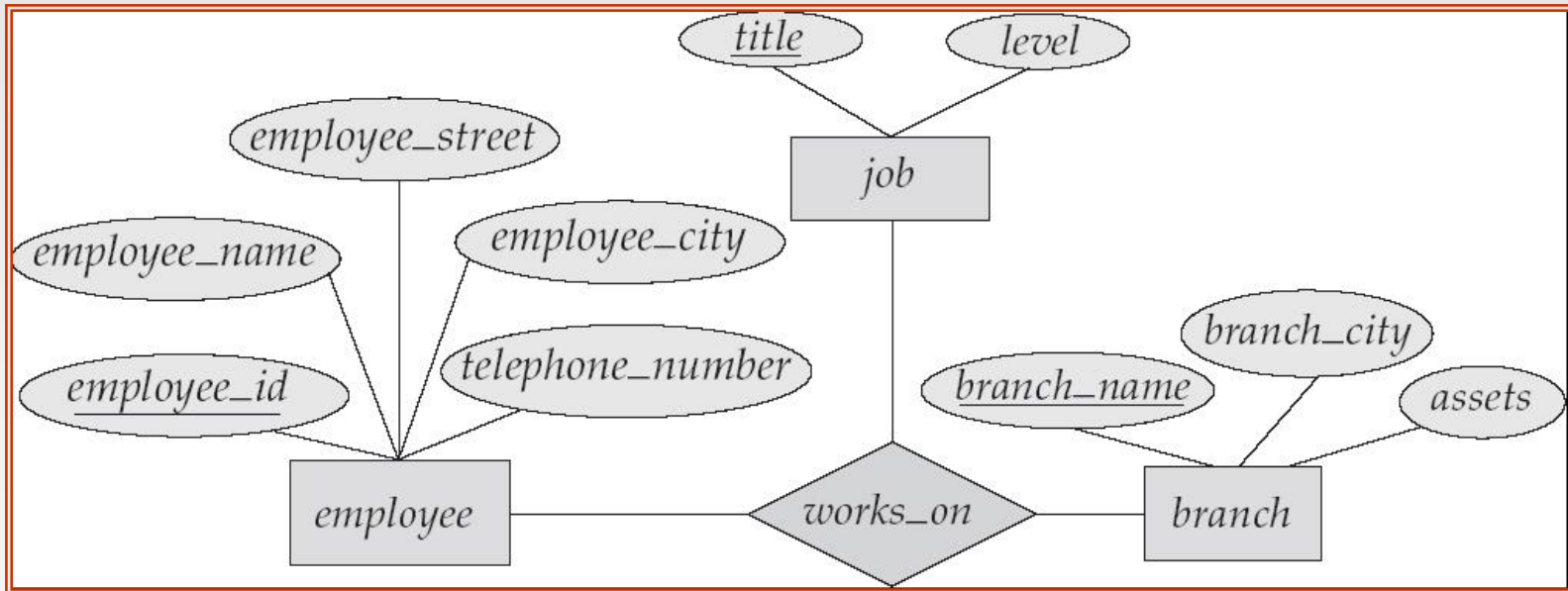
# Alternative Notation for Cardinality Limits

- Cardinality limits can also express participation constraints





# E-R Diagram with a Ternary Relationship





# Design Issues

## ■ Use of entity sets vs. attributes

Choice mainly depends on the structure of the enterprise being modeled, and on the semantics associated with the attribute in question.

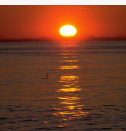
## ■ Use of entity sets vs. relationship sets

Possible guideline is to designate a relationship set to describe an action that occurs between entities

## ■ Binary versus $n$ -ary relationship sets

Although it is possible to replace any nonbinary ( $n$ -ary, for  $n > 2$ ) relationship set by a number of distinct binary relationship sets, a  $n$ -ary relationship set shows more clearly that several entities participate in a single relationship.

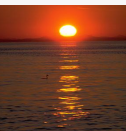
## ■ Placement of relationship attributes





# Binary Vs. Non-Binary Relationships

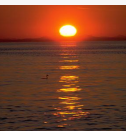
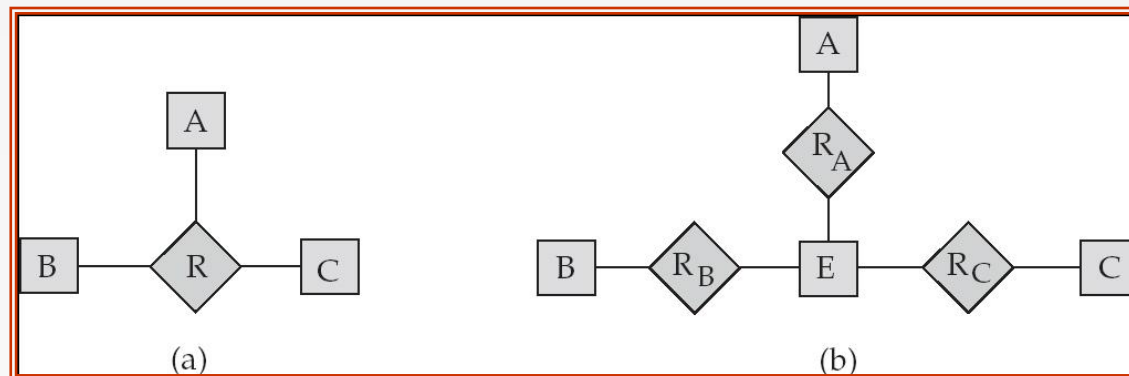
- Some relationships that appear to be non-binary may be better represented using binary relationships
  - E.g. A ternary relationship *parents*, relating a child to his/her father and mother, is best replaced by two binary relationships, *father* and *mother*
    - 📄 Using two binary relationships allows partial information (e.g. only mother being know)
  - But there are some relationships that are naturally non-binary
    - 📄 Example: *works\_on*





# Converting Non-Binary Relationships to Binary Form

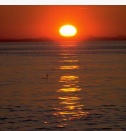
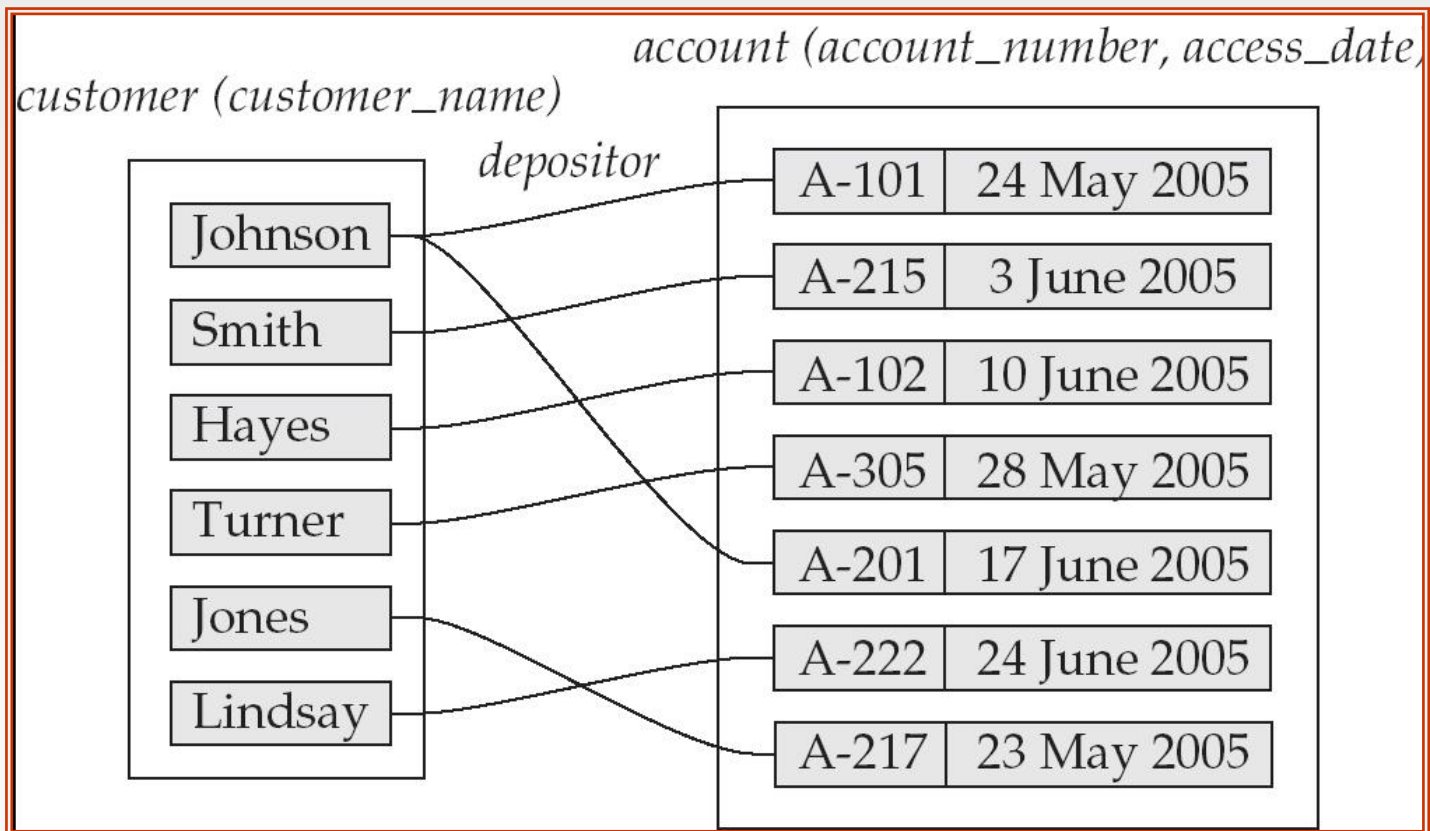
- In general, any non-binary relationship can be represented using binary relationships by creating an artificial entity set.
  - Replace  $R$  between entity sets  $A$ ,  $B$  and  $C$  by an entity set  $E$ , and three relationship sets:
    1.  $R_A$ , relating  $E$  and  $A$
    2.  $R_B$ , relating  $E$  and  $B$
    3.  $R_C$ , relating  $E$  and  $C$
  - Create a special identifying attribute for  $E$
  - Add any attributes of  $R$  to  $E$
  - For each relationship  $(a_i, b_i, c_i)$  in  $R$ , create
    1. a new entity  $e_i$  in the entity set  $E$
    2. add  $(e_i, a_i)$  to  $R_A$
    3. add  $(e_i, b_i)$  to  $R_B$
    4. add  $(e_i, c_i)$  to  $R_C$





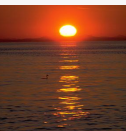
# Mapping Cardinalities affect ER Design

- Can make access-date an attribute of account, instead of a relationship attribute, if each account can have only one customer
  - That is, the relationship from account to customer is many to one, or equivalently, customer to account is one to many





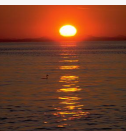
**How about doing an ER design  
interactively on the board?  
Suggest an application to be modeled.**





# Extended E-R Features: Specialization

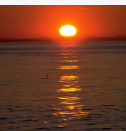
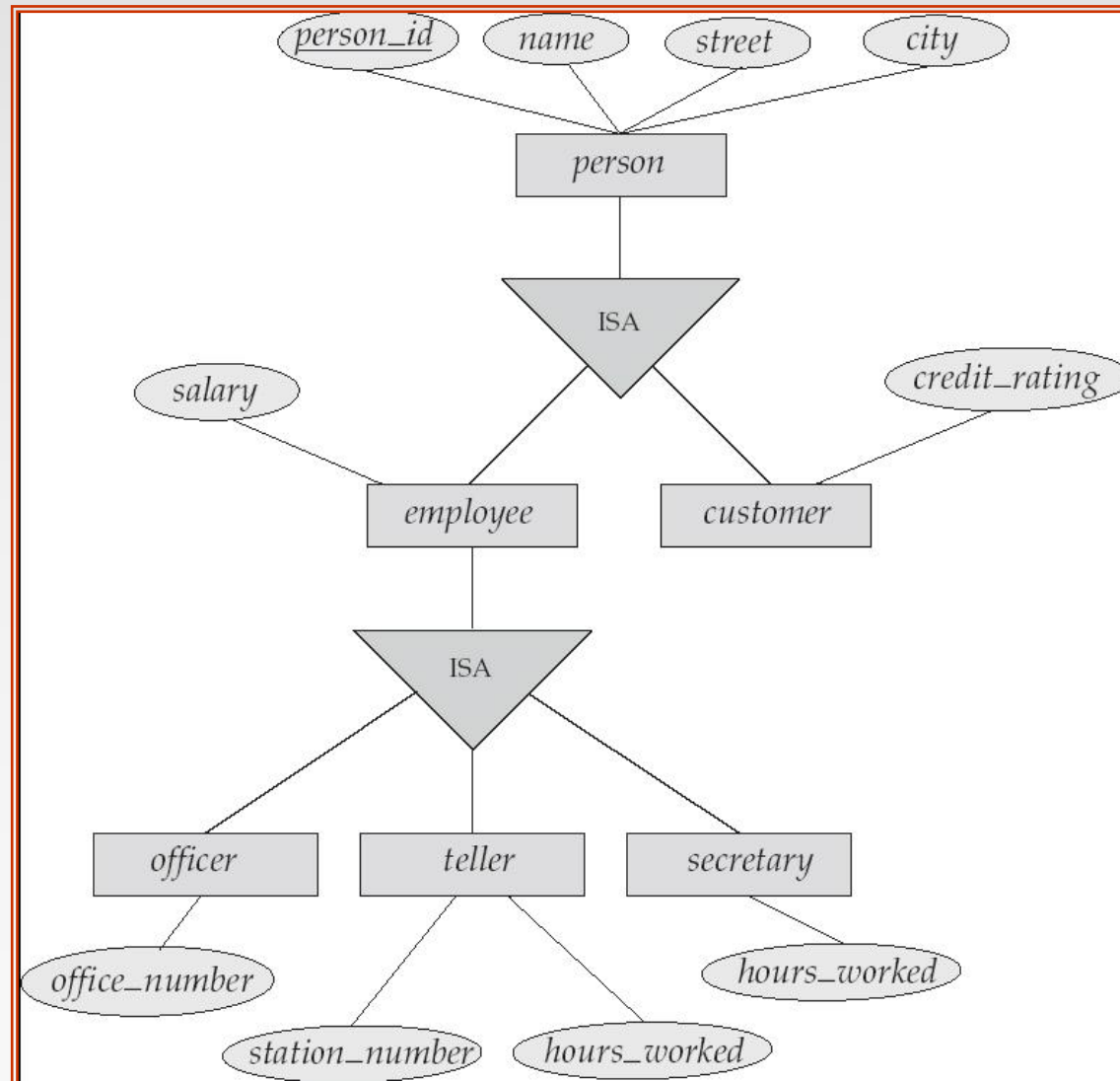
- Top-down design process; we designate subgroupings within an entity set that are distinctive from other entities in the set.
- These subgroupings become lower-level entity sets that have attributes or participate in relationships that do not apply to the higher-level entity set.
- Depicted by a *triangle* component labeled ISA (E.g. *customer* “is a” *person*).
- **Attribute inheritance** – a lower-level entity set inherits all the attributes and relationship participation of the higher-level entity set to which it is linked.







# Specialization Example





# Extended ER Features: Generalization

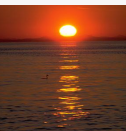
- **A bottom-up design process** – combine a number of entity sets that share the same features into a higher-level entity set.
- Specialization and generalization are simple inversions of each other; they are represented in an E-R diagram in the same way.
- The terms specialization and generalization are used interchangeably.





# Specialization and Generalization (Cont.)

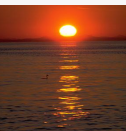
- Can have multiple specializations of an entity set based on different features.
- E.g. *permanent\_employee* vs. *temporary\_employee*, in addition to *officer* vs. *secretary* vs. *teller*
- Each particular employee would be
  - a member of one of *permanent\_employee* or *temporary\_employee*,
  - and also a member of one of *officer*, *secretary*, or *teller*
- The ISA relationship also referred to as **superclass - subclass** relationship





# Design Constraints on a Specialization/Generalization

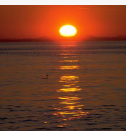
- Constraint on which entities can be members of a given lower-level entity set.
  - condition-defined
    - 📄 Example: all customers over 65 years are members of *senior-citizen* entity set; *senior-citizen* ISA *person*.
  - user-defined
- Constraint on whether or not entities may belong to more than one lower-level entity set within a single generalization.
  - **Disjoint**
    - 📄 an entity can belong to only one lower-level entity set
    - 📄 Noted in E-R diagram by writing *disjoint* next to the ISA triangle
  - **Overlapping**
    - 📄 an entity can belong to more than one lower-level entity set





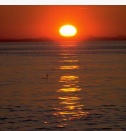
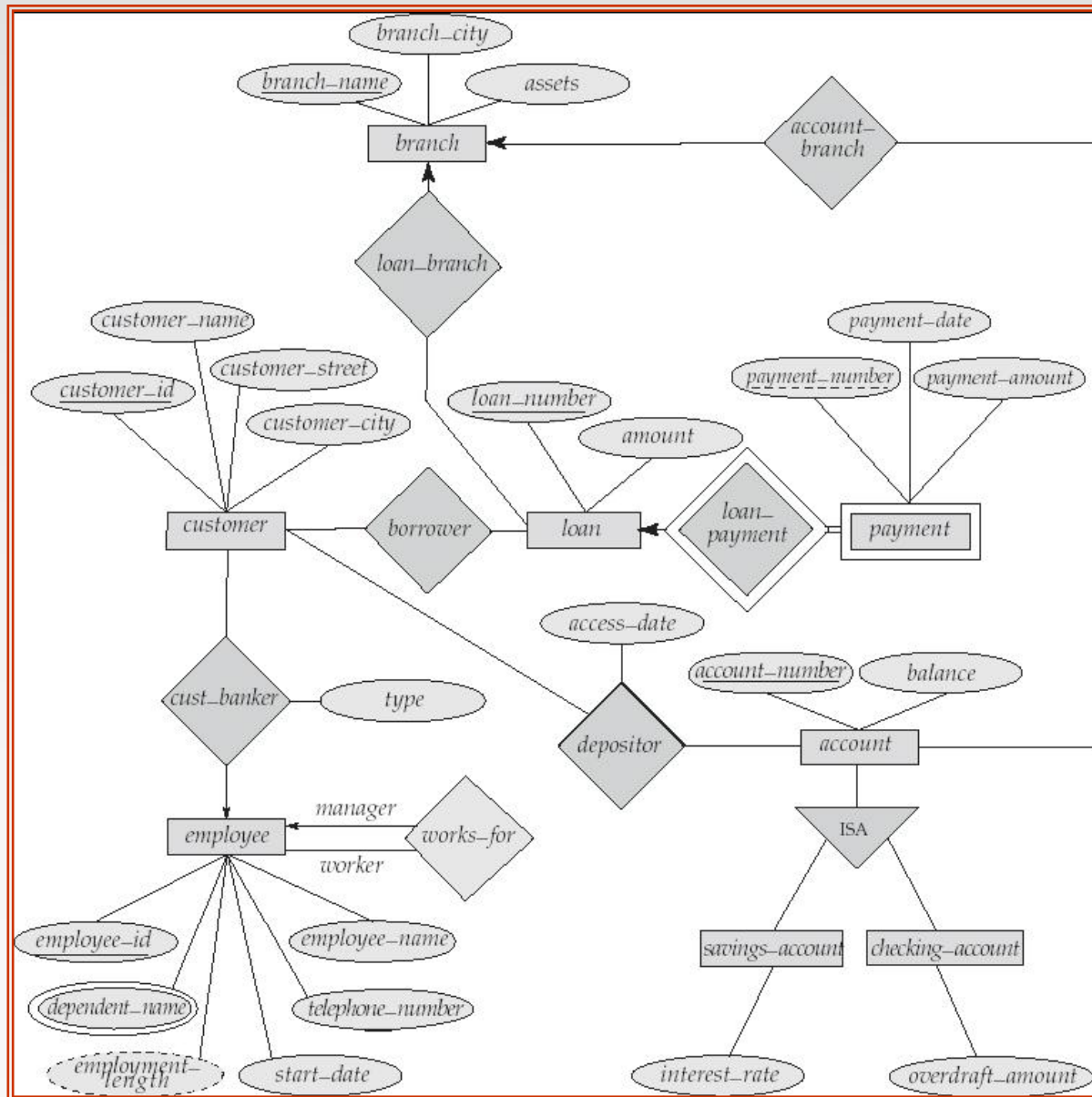
# Design Constraints on a Specialization/Generalization (Cont.)

- **Completeness constraint** -- specifies whether or not an entity in the higher-level entity set must belong to at least one of the lower-level entity sets within a generalization.
  - **total** : an entity must belong to one of the lower-level entity sets
  - **partial**: an entity need not belong to one of the lower-level entity sets





# E-R Diagram for a Banking Enterprise





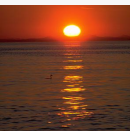
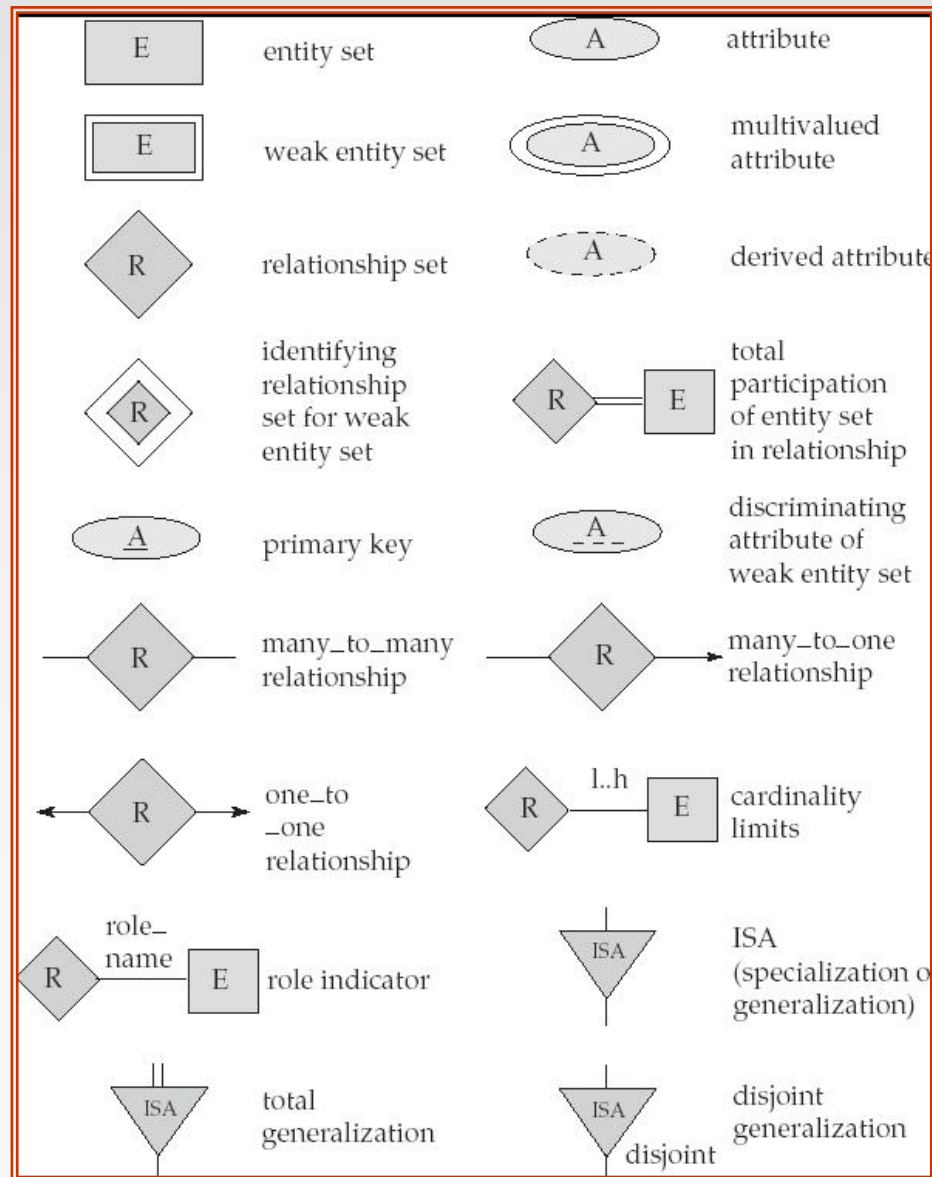
**How about doing another ER design  
interactively on the board?**

**WebDB & P2P Open Group, Southeast University**





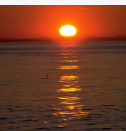
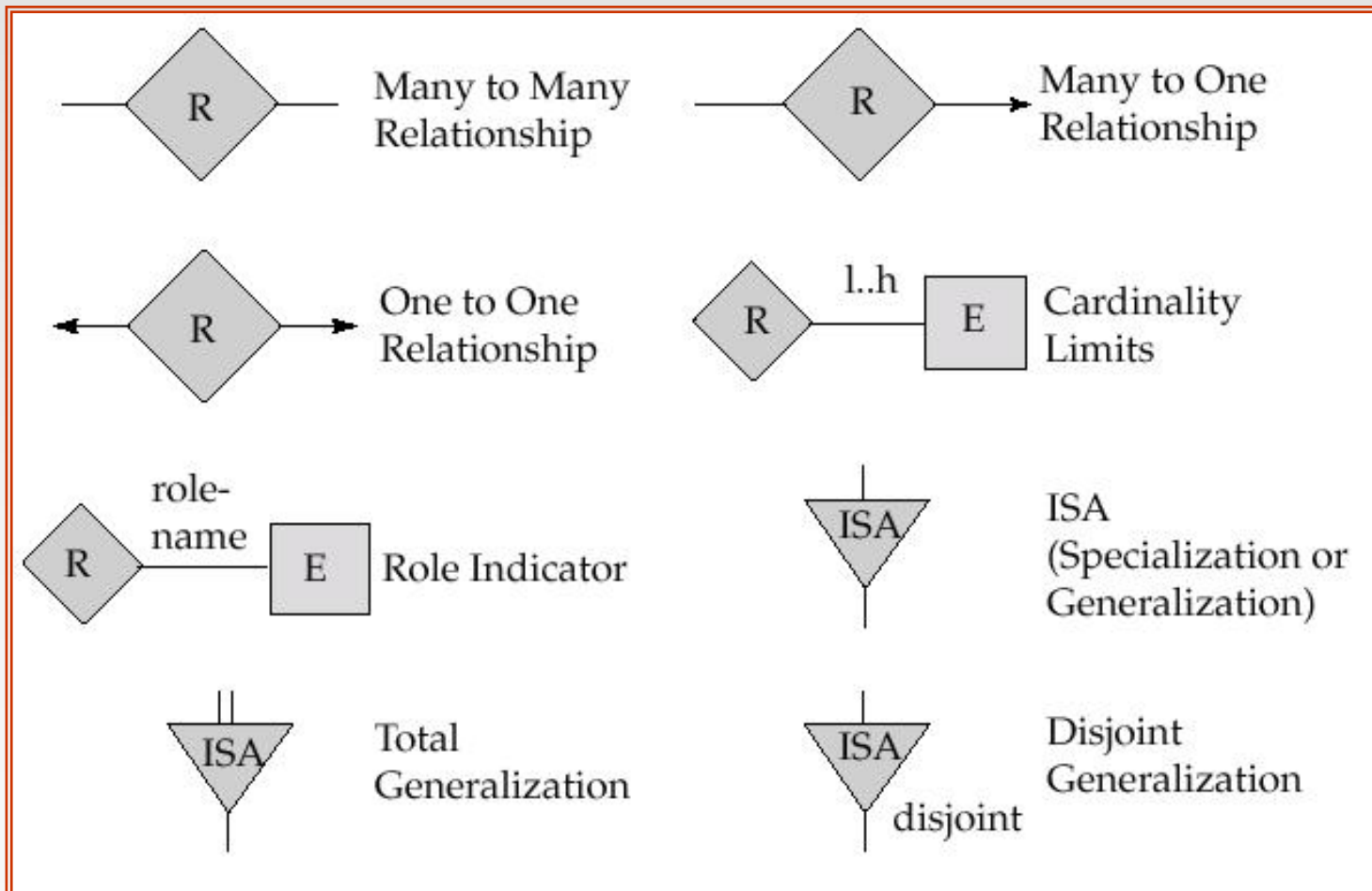
# Summary of Symbols Used in E-R Notation







# Summary of Symbols (Cont.)





# Reduction to Relation Schemas

- Primary keys allow entity sets and relationship sets to be expressed uniformly as *relation schemas* that represent the contents of the database.
- A database which conforms to an E-R diagram can be represented by a collection of schemas.
- For each entity set and relationship set there is a unique schema that is assigned the name of the corresponding entity set or relationship set.
- Each schema has a number of columns (generally corresponding to attributes), which have unique names.



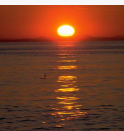


# Representing Entity Sets as Schemas

- A strong entity set reduces to a schema with the same attributes.
- A weak entity set becomes a table that includes a column for the primary key of the identifying strong entity set

*payment =*

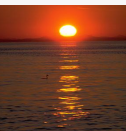
*( loan\_number, payment\_number, payment\_date,  
payment\_amount )*





# Representing Relationship Sets as Schemas

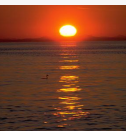
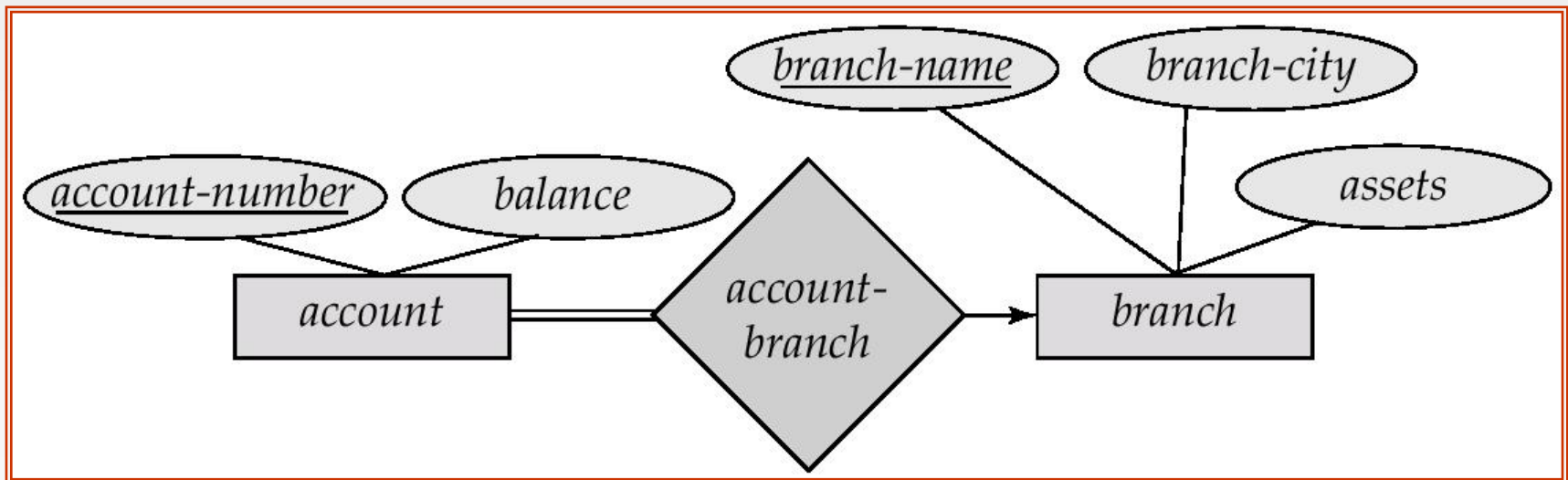
- A many-to-many relationship set is represented as a schema with attributes for the primary keys of the two participating entity sets, and any descriptive attributes of the relationship set.
- Example: schema for relationship set borrower  
*borrower = (customer id, loan number)*





# Redundancy of Schemas

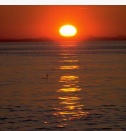
- Many-to-one and one-to-many relationship sets that are total on the many-side can be represented by adding an extra attribute to the “many” side, containing the primary key of the “one” side
- Example: Instead of creating a schema for relationship set *account\_branch*, add an attribute *branch\_name* to the schema arising from entity set *account*





# Composite and Multivalued Attributes

- Composite attributes are flattened out by creating a separate attribute for each component attribute
  - Example: given entity set *customer* with composite attribute *name* with component attributes *first\_name* and *last\_name* the schema corresponding to the entity set has two attributes  
*name.first\_name* and *name.last\_name*
- A multivalued attribute *M* of an entity *E* is represented by a separate schema *EM*
  - Schema *EM* has attributes corresponding to the primary key of *E* and an attribute corresponding to multivalued attribute *M*
  - Example: Multivalued attribute *dependent\_names* of *employee* is represented by a schema:  
*employee\_dependent\_names* = ( *employee\_id*, *dname* )
  - Each value of the multivalued attribute maps to a separate tuple of the relation on schema *EM*
    - 📄 For example, an employee entity with primary key 123-45-6789 and dependents Jack and Jane maps to two tuples:  
(123-45-6789 , Jack) and (123-45-6789 , Jane)





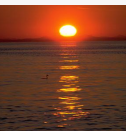
# Representing Specialization via Schemas

## ■ Method 1:

- Form a schema for the higher-level entity
- Form a schema for each lower-level entity set, include primary key of higher-level entity set and local attributes

schema	attributes
<i>person</i>	<i>name, street, city</i>
<i>customer</i>	<i>name, credit_rating</i>
<i>employee</i>	<i>name, salary</i>

- Drawback: getting information about, an *employee* requires accessing two relations, the one corresponding to the low-level schema and the one corresponding to the high-level schema





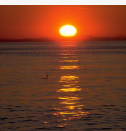
# Representing Specialization as Schemas (Cont.)

## ■ Method 2:

- Form a schema for each entity set with all local and inherited attributes

schema	attributes
<i>person</i>	<i>name, street, city</i>
<i>customer</i>	<i>name, street, city, credit_rating</i>
<i>employee</i>	<i>name, street, city, salary</i>

- If specialization is total, the schema for the generalized entity set (*person*) not required to store information
  - 📄 Can be defined as a “view” relation containing union of specialization relations
  - 📄 But explicit schema may still be needed for foreign key constraints
- Drawback: *street* and *city* may be stored redundantly for people who are both customers and employees

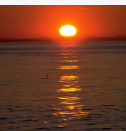






# UML

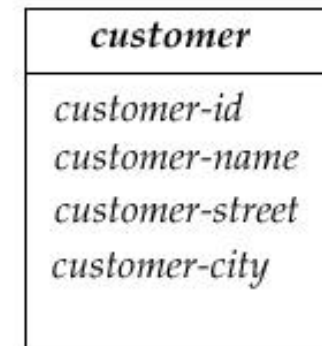
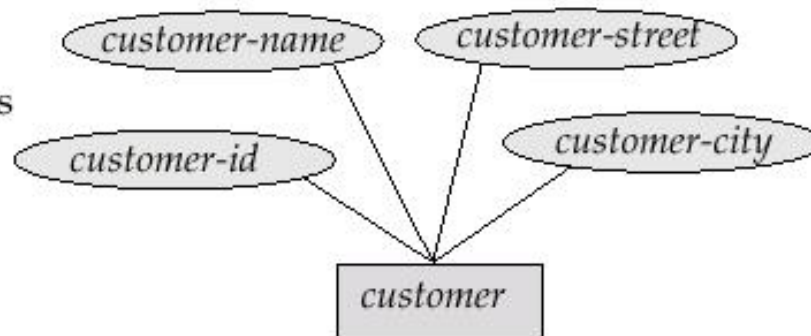
- **UML:** Unified Modeling Language
- UML has many components to graphically model different aspects of an entire software system
- UML Class Diagrams correspond to E-R Diagram, but several differences.



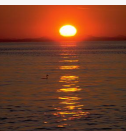
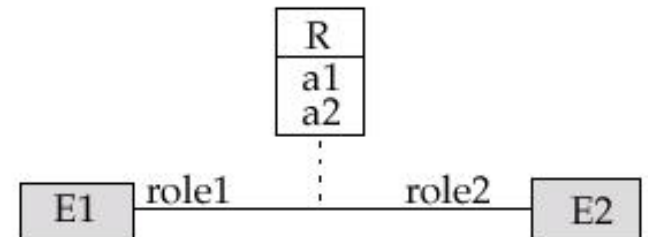
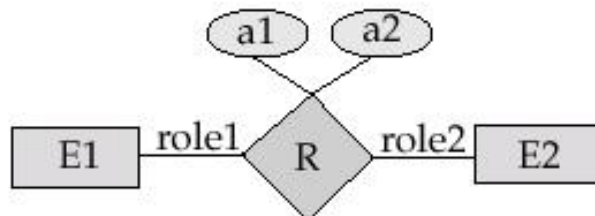
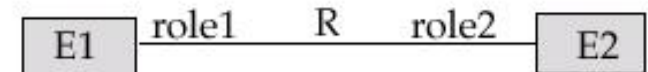
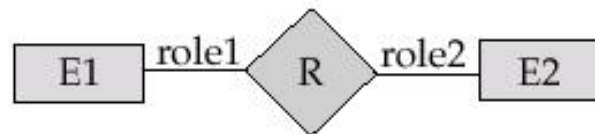


# Summary of UML Class Diagram Notation

## 1. Entity sets and attributes



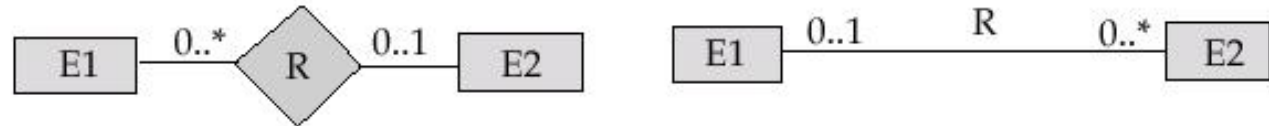
## 2. Relationships



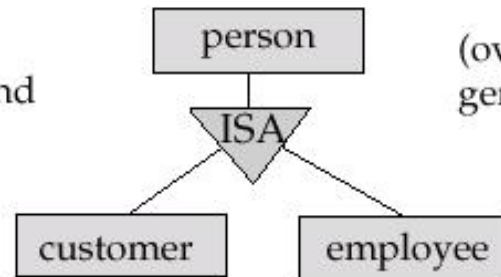


# UML Class Diagram Notation (Cont.)

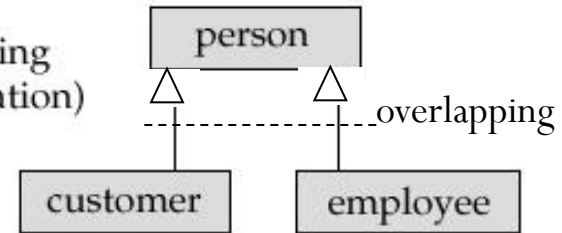
## 3. Cardinality constraints



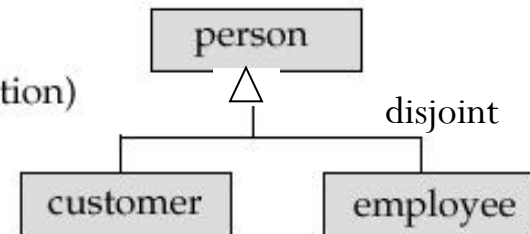
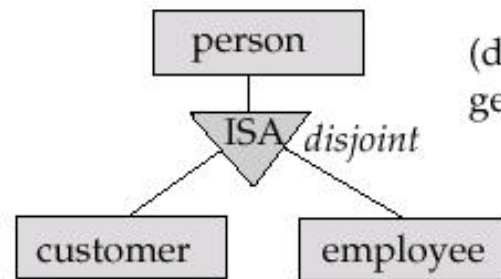
## 4. Generalization and Specialization



(overlapping generalization)

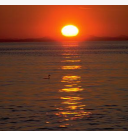


(disjoint generalization)



\*Note reversal of position in cardinality constraint depiction

\*Generalization can use merged or separate arrows independent of disjoint/overlapping





# End of Chapter 2

WebDB & P2P Open Group, Southeast University

