

Solutions for Homework Assignment #2

**Answer to Question 1.**

**a.** A binomial heap  $H$  with  $n$  vertices consists of  $\alpha(n)$  trees. Let  $T_i$ ,  $1 \leq i \leq \alpha(n)$ , denote the trees of  $H$ . A tree  $T_i$  with  $n_i$  vertices has  $n_i - 1$  edges. So the total number of edges in  $H$  is  $\sum_{i=1}^{\alpha(n)} (n_i - 1) = (\sum_{i=1}^{\alpha(n)} n_i) - \alpha(n) = n - \alpha(n)$

**b.** Binomial heap  $H$  has  $n$  nodes before the insertions. Thus, by Part (a),  $H$  has  $n - \alpha(n)$  edges before the insertions. After the  $k$  consecutive insertions,  $H$  has  $n + k$  nodes, hence it now has  $(n + k) - \alpha(n + k)$  edges. So the number of new edges created by the  $k$  consecutive insertions is:  
 $[(n + k) - \alpha(n + k)] - [n - \alpha(n)] = k + \alpha(n) - \alpha(n + k) \leq k + \alpha(n)$  edges.

As we explained in class, the number of pairwise comparisons between the keys of  $H$  needed to execute  $k$  consecutive insertions is equal to the number of new edges created by these insertions: each key comparison creates a new edge in  $H$  and each new edge in  $H$  comes from a key comparison. So  $k$  consecutive insertions require at most  $k + \alpha(n)$  key comparisons.

By definition  $\alpha(n)$  is the number of 1's in the binary representation of  $n$ , therefore,  $\alpha(n) \leq \lfloor \log_2 n \rfloor + 1$ . So  $k$  consecutive insertions require at most  $k + \lfloor \log_2 n \rfloor + 1$  comparisons, and the average cost per insertion is at most  $(k + \lfloor \log_2 n \rfloor + 1)/k = 1 + \lfloor \log_2 n \rfloor / k + 1/k$ . Thus, for  $k > \log n$ , this average cost is less than 3.

**Answer to Question 2.** We define a procedure CHECK which takes a pointer  $u$  to a tree node and returns the following:

- (NIL, NIL) if the tree rooted at  $u$  is not 2-balanced;
- a pair of numbers  $(r, h)$ , where  $r = \text{radius}(u)$  and  $h = \text{height}(u)$ , if the tree rooted at  $u$  is 2-balanced.

The procedure follows:

CHECK( $u$ )

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1  if  $u == \text{NIL}$ 
2      return  $(-1, -1)$ 
3  elseif  $u.lchild == \text{NIL}$ 
4       $(r_R, h_R) = \text{CHECK}(u.rchild)$ 
5      if  $r_R == \text{NIL}$ 
6          return (NIL, NIL)
7      else return  $(r_R + 1, h_R + 1)$ 
8  elseif  $u.rchild == \text{NIL}$ 
9       $(r_L, h_L) = \text{CHECK}(u.lchild)$ 
10     if  $r_L == \text{NIL}$ 
11         return (NIL, NIL)
12     else return  $(r_L + 1, h_L + 1)$ 
13  else  $(r_L, h_L) = \text{CHECK}(u.lchild)$ 
14        $(r_R, h_R) = \text{CHECK}(u.rchild)$ 
15       if  $r_L == \text{NIL}$  or  $r_R == \text{NIL}$ 
16           return (NIL, NIL)
17        $r = \min(r_L, r_R) + 1$ 
18        $h = \max(h_L, h_R) + 1$ 
19       if  $h \leq 2r$ 
20           return  $(r, h)$ 
21       else return (NIL, NIL)
```

To check that  $T$  is 2-balanced, we call CHECK with a pointer to the root of  $T$ . The procedure takes  $\Theta(n)$  time since it visits each vertex of  $T$  exactly once.