

# CSC263 - Assignment 2

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## Question 1

### Part a)

- Let  $\alpha(n)$  denote the number of 1's in the binary representation of  $n$ .
- Lemma: based on the structural definition of binary forests, a binary forest with  $n$  nodes contains  $\alpha(n)$  trees.
- Lemma: a tree with  $n$  nodes contains  $n - 1$  edges.
- Let the trees in a binary heap with  $n$  nodes be labelled  $G_i$ , where  $1 \leq i \leq \alpha(n)$ , and let  $k_i$  denote the number of nodes in tree  $G_i$ .
- We know that  $\sum_{i=1}^{\alpha(n)} k_i = n$ , so then  $\sum_{i=1}^{\alpha(n)} (k_i - 1) = n - \alpha(n)$ .
- Thus we can conclude that a binary heap with  $n$  nodes contains  $n - \alpha(n)$  edges.

### Part b)

- Binomial heap  $H$  has  $n$  nodes and  $n - \alpha(n)$  edges before any insertions.
- After  $k$  insertions,  $H$  has  $n + k$  nodes and  $(n + k) - \alpha(n + k)$  edges.
- The number of edges created by  $k$  insertions is thus  $[(n + k) - \alpha(n + k)] - [n - \alpha(n)] = n + k - \alpha(n + k) - n + \alpha(n) = k + \alpha(n) - \alpha(n + k)$ .
  - Note that  $\alpha(n + k) \geq 0$ , and so  $k + \alpha(n) - \alpha(n + k) \leq k + \alpha(n)$ .
  - Further, note that  $\alpha(n) \leq \lfloor \log_2 n \rfloor + 1$  (by the definition of  $\alpha(n)$ ).
  - So all together we have  $k + \alpha(n) - \alpha(n + k) \leq k + \lfloor \log_2 n \rfloor + 1$ .
- Pairwise comparisons between node values (which occur in constant time) take place whenever an insertion of an element into  $H$  creates a new edge, as the newly connected nodes must be compared and potentially swapped to preserve the heap order property.
- The dominant factor affecting the worst case running time of inserting  $k$  elements into  $H$  is the number of comparisons that must occur, or the number of edges that must be created, which we know is bounded above by  $k + \lfloor \log_2 n \rfloor + 1$ .
- When  $k < \log_2 n$ , the dominant term in  $[k + \lfloor \log_2 n \rfloor + 1]$  is  $\log_2 n$ , so the worst case running cost of inserting  $k$  elements into  $H$  is  $O(\log_2 n)$ .
- However when  $k > \log_2 n$ , the dominant term in  $[k + \lfloor \log_2 n \rfloor + 1]$  is  $k$ , so the worst case running cost of inserting  $k$  elements into  $H$  is  $O(k)$ , and the average cost of inserting 1 element is  $O(k/k)$ , or  $O(1)$ .

## Question 2

```
1  OUTER(u)
2      return check-bal(u, TRUE)
3
4
5  check-bal(x, isRoot)
6      if (x == NIL) return NIL
7
8      dist-long = 0, dist-short = 0
9
10     if (!(x.lchild == NIL && x.rchild == NIL))
11
12         if (x.lchild != NIL && x.rchild != NIL)
13
14             ldist = check-bal(x.lchild, FALSE)
15             if (ldist == FALSE) return FALSE
16
17             rdist = check-bal(x.rchild, FALSE)
18             if (rdist == FALSE) return FALSE
19
20             dist-long = max(ldist[0], rdist[0]) + 1
21             dist-short = min(ldist[1], rdist[1]) + 1
22
23         else
24             child-dist = NIL;
25             if (x.lchild != NIL && x.rchild == NIL)
26                 child-dist = check-bal(x.lchild, FALSE)
27             else if (x.rchild != NIL && x.lchild == NIL)
28                 child-dist = check-bal(x.rchild, FALSE)
29
30             if (child-dist == FALSE) return FALSE
31
32             dist-long = child-dist[0] + 1
33             dist-short = child-dist[1] + 1
34
35         if (dist-long > 2 * dist-short) return FALSE
36
37     if isRoot
38         return TRUE
39
40     return [dist-long, dist-short]
```

- The wrapper function contains only constant time operations (besides the call to check-bal).
- Base case inputs to check-bal that trigger no recursive calls ( $x = \text{leaf}$ ,  $x = \text{NIL}$ ) contain only constant time operations.
- In non-base cases (when  $x$  has at least one child), all operations that are not recursive calls (evaluating if conditions, doing arithmetic operations, executing return statements) occur in constant time.
- Then the dominant factor in the running time is the number of recursive calls.
- Whenever  $x$  has one child, check-bal is called on that child, and whenever  $x$  has two children, check-bal is structured to call on both of them. So check-bal is structured to call recursively on all nodes of the subtree rooted at  $x$ .
- When  $x$  is the root of a 2-balanced BST (no recursive calls to check-bal return FALSE), we know that check-bal is called recursively on all nodes of the BST, thus there exists an input  $\forall n \in \mathbb{N}$  where check-bal is called  $n$  times. So  $T \in \Omega(n)$ .
- Check-bal is called at most once per node, therefore the maximum number of check-bal calls for a BST of size  $n \in \mathbb{N}$  is  $n$ . So  $T \in O(n)$ .
- Thus the worst case running time of this algorithm is  $\Theta(n)$ , where  $n$  is the # of nodes in the BST rooted at  $u$ .