# Graph Minors. XIII. The Disjoint Paths Problem

**NEIL ROBERTSON\*** 

Department of Mathematics, Ohio State University, 231 West 18th Avenue, Columbus, Ohio 43210

AND

#### P. D. SEYMOUR

Bellcore, 445 South Street, Morristown, New Jersey 07960

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We describe an algorithm, which for fixed  $k \ge 0$  has running time  $O(|V(G)|^3)$ , to solve the following problem: given a graph G and k pairs of vertices of G, decide if there are k mutually vertex-disjoint paths of G joining the pairs. © 1995 Academic Press, Inc.

## 1. Introduction

Consider the following algorithmic problem:

Disjoint Paths (DP).

Instance: A graph G, and pairs  $(s_1, t_1), ..., (s_k, t_k)$  of vertices of G. Question: Do there exist paths  $P_1, ..., P_k$  of G, mutually vertex-disjoint, such that  $P_i$  joins  $s_i$  and  $t_i$   $(1 \le i \le k)$ ?

This problem was shown to be NP-complete by Karp [8], if k is a variable part of the input. For fixed k, however, the problem is more tractable. For instance, in [26, 27, 29] there are polynomial algorithms to solve DP with k = 2. (In contrast, the corresponding question for directed graphs G, where we seek directed paths  $P_1, ..., P_k$ , is NP-complete even with k = 2 [5].) The main result of this paper is that for any fixed k there is a polynomial algorithm to solve DP. It has running time  $O(v^3)$ , where

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v = v(G) is defined to be |V(G)| + 1. The algorithm is not practically feasible, since it involves the manipulation of enormous constants.

Although the algorithm is presented in this paper in full, the proof of its correctness is not, for that requires a lemma, (10.2), that is not proved in this paper. We postpone proving (10.2) to [24], because its proof is an application of the main results of this series, which will also appear in later papers. A draft version of the full proof of (10.2) has been made available to the referees, but due to its great length (>300 pages) it is unreasonable to expect them to guarantee its correctness.

As a consequence of our DP algorithm we have a polynomial algorithm for one of Garey and Johnson's [6] open problems, which they call Subgraph Homeomorphism (for Fixed Graph H), the following. A *subdivision* of a graph H is a graph which may be obtained from a graph isomorphic to H by repeatedly replacing an edge by two edges in series and a new vertex of valency 2. Let H be a fixed graph. The problem is:

H-Subdivision Subgraph.

Instance: A graph G.

Question: Is there a subgraph of G which is a subdivision of H?

Clearly one can use the algorithm for DP to obtain an algorithm for H-Subdivision Subgraph with running time  $O(v^{|V(H)|_{1}^{2}+3})$ .

The following problem is more general than DP, but for fixed k there is an  $O(v^3)$  algorithm for its solution as well:

Disjoint Connected Subgraphs.

Instance: A graph G and non-null subsets  $Z_1, ..., Z_t \subseteq V(G)$  with  $\Sigma |Z_i| \le k$ . Question: Do there exist connected subgraphs  $G_1, ..., G_t$  of G, mutually vertex-disjoint, with  $Z_i \subseteq V(G_i)$   $(1 \le i \le t)$ ?

(This does generalize DP; for given the  $(s_i, t_i)$ 's of DP, we set  $Z_i = \{s_i, t_i\}$ .)

A graph H is a *minor* of a graph G if H can be obtained from a subgraph of G by contracting edges. A further result of this paper is that for every fixed graph H there is an  $O(v^3)$  algorithm to solve the following:

H-Minor Containment.

Instance: A graph G.

Question: Is some minor of G isomorphic to H?

The fact that there is a polynomial algorithm for this for all H can be deduced from the solvability for all H of H-Subdivision Subgraph; because for any H there is a finite list  $H_1, ..., H_k$  of graphs such that a general graph G has a minor isomorphic to H if and only if it contains a subdivision of one of  $H_1, ..., H_k$ . To make the degree of the polynomial independent of H needs a different method, however.

As an application, let  $\mathscr{F}$  be a class of graphs such that if  $G \in \mathscr{F}$  and H is isomorphic to a minor of G then  $H \in \mathscr{F}$ . (Such a class is *minor-closed*.) We shall show in [23] that there is a finite list  $H_1, ..., H_k$  of graphs, such that a general graph G is in  $\mathscr{F}$  if and only if it has no minor isomorphic to any of  $H_1, ..., H_k$ . We deduce that there is an  $O(v^3)$  algorithm for

 $\mathcal{F}$ -Membership. Instance: A graph G. Question: Is  $G \in \mathcal{F}$ ?

For we use the algorithm for H-Minor Containment to test for the presence of  $H_1, ..., H_k$  as minors. (This proves the *existence* of the algorithm; however, we do not know how to *find* the algorithm, even for concrete classes of  $\mathcal{F}$ , because we do not know how to find  $H_1, ..., H_k$ .) We show also that if  $\mathcal{F}$  does not contain every planar graph, there is an  $O(v^2)$  algorithm to determine membership.

For example,

- (i) Let  $\Sigma$  be a surface; there is an  $O(v^3)$  algorithm to test if a graph G may be drawn in  $\Sigma$ . This improves a result of Filotti, Miller, and Reif [4].
- (ii) Let  $\omega \geqslant 0$  be an integer; there is an  $O(v^2)$  algorithm to test if a graph G has tree-width  $\leqslant \omega$  (or branch-width  $\leqslant \omega$ , similarly). (For definitions, see [15] and Section 3.) This improves a result of Arnborg, Corneil, and Proskurowski [1].

We remark that for both the above examples, the finiteness of the list  $H_1, ..., H_k$  of excluded minors does not depend on the result of [23]; it is shown in [17, 14], respectively.

A clarification: how can the above algorithms have running time just dependent on v and not on the number of edges? Certainly, for simple graphs, if the number of edges is large enough in terms of v then the problems (i) and (ii) are both easily answered (the answer is "no"). But what about graphs with multiple edges? We would like to be able to construct the underlying simple graph H of a graph G in time which depends only on |E(H)|, not on |E(G)|. This can of course be done if our graphs are presented with an appropriate data structure, and we assume this is so. Similarly, we shall always assume that we can decide, for a graph G and vertices u, v of G, whether there are at least k edges of G joining u and v, in time only dependent on k.

# 2. Folios

To solve the problems of the last section, we shall solve a common generalization of Disjoint Connected Subgraphs and H-Minor Containment,

which we describe in this section. For maximum generality we work with directed graphs, although that is not necessary for the applications. Thus each edge has a head and a tail (the same, for a loop). The underlying graph of a digraph is obtained by forgetting the directions of its edges. We shall work almost completely in terms of the underlying graph, and shall often fail to distinguish it from the digraph, for notational and other convenience. In particular, a digraph is connected if the underlying graph is connected.

Let G, H be both graphs or both digraphs. A model  $\phi$  of H in G assigns to each edge e of H an edge  $\phi(e)$  of G, and to each vertex v of H a non-null connected subgraph  $\phi(v)$  of G, such that

- (i) the graphs  $\phi(v)$   $(v \in V(H))$  are mutually vertex-disjoint, the edges  $\phi(e)$   $(e \in E(H))$  are all distinct, and for  $v \in V(H)$  and  $e \in E(H)$ ,  $\phi(e) \notin E(\phi(v))$
- (ii) for  $e \in E(H)$ , if e has head u and tail v (or, in the undirected case, has ends u, v) then  $\phi(e)$  has a head in  $V(\phi(u))$  and a tail in  $V(\phi(v))$  (respectively,  $\phi(e)$  has one end in  $V(\phi(u))$  and the other in  $V(\phi(v))$ .

Thus, H is isomorphic to a minor of G if and only if there is a model of H in G; indeed, this could be taken as the definition of when H is isomorphic to a minor of G.

We would like to extend the idea of a minor to "rooted" digraphs. Intuitively, a rooted digraph is a digraph G, of which some vertices,  $v_1, ..., v_k$  say, are selected and called roots. If we take minors in an unrestricted sense, however, some of these roots may be deleted and some pairs of them may be identified, and this is notationally too complicated to be satisfactory. The best compromise seems to be to permit roots to become identified, but not to permit them to be deleted.

Thus, let us say that  $(G, v_1, ..., v_k)$  is a rooted digraph if G is a digraph and  $v_1, ..., v_k \in V(G)$  (not necessarily distinct). For  $1 \le i \le k$ ,  $v_i$  is the ith root. Isomorphism of rooted digraphs is defined in the natural way; thus, isomorphic rooted digraphs have the same number of roots, and the isomorphism maps the ith root of one to the ith root of the other, for all i. Let  $(G, v_1, ..., v_k)$ ,  $(H, u_1, ..., u_k)$  be rooted digraphs. A model of the second in the first is a model  $\phi$  of H in G such that  $v_i \in V(\phi(u_i))$   $(1 \le i \le k)$ , and if there is such a model, we say that  $(H, u_1, ..., u_k)$  is a minor of  $(G, v_1, ..., v_k)$ . The set of all minors of  $(G, v_1, ..., v_k)$  is closed under isomorphism, and we call it the folio of  $(G, v_1, ..., v_k)$ . Clearly the folio is finite up to isomorphism. If  $\delta \ge 0$  is an integer, we say that  $(H, u_1, ..., u_k)$  has  $detail \le \delta$  if  $|E(H)| \le \delta$  and  $|V(H) - \{u_1, ..., u_k\}| \le \delta$ . The  $\delta$ -folio of  $(G, v_1, ..., v_k)$  is the set of all minors of  $(G, v_1, ..., v_k)$  with detail  $\le \delta$ . Its size (up to isomorphism) is bounded above by a function of k and  $\delta$ , as is easily seen.

For example, let G be the digraph with four vertices  $u, v_1, v_2, v_3$  and three edges  $uv_1, uv_2, uv_3$ ; we shall examine the 0-folio of  $(G, v_1, v_2, v_3)$ . For i=1,2,3 let  $N_i$  be the digraph with vertex set  $\{1,...,i\}$  and no edges. Then the 0-folio of  $(G, v_1, v_2, v_3)$  contains  $(N_1, 1, 1, 1), (N_2, 1, 1, 2), (N_2, 1, 2, 1), (N_2, 2, 1, 1), (N_3, 1, 2, 3),$  and all rooted digraphs isomorphic to one of these.

Now the  $\delta$ -folio of  $(G, v_1, ..., v_k)$  can be determined from the  $\delta$ -folio of  $(G, u_1, ..., u_l)$  if  $\{u_1, ..., u_l\} = \{v_1, ..., v_k\}$ , as is easily seen. Thus, if  $Z \subseteq V(G)$ , by the  $\delta$ -folio of G relative to Z we mean the  $\delta$ -folio of  $(G, v_1, ..., v_k)$  for any choice of  $v_1, ..., v_k$  with k = |Z| and  $Z = \{v_1, ..., v_k\}$ .

For fixed  $\delta$ ,  $\xi \ge 0$  we shall give an algorithm with running time  $O(v^3)$  to solve the following problem.

Folio.

Instance: A digraph G, and a subset  $Z \subseteq V(G)$  with  $|Z| \le \xi$ . Question: What is the  $\delta$ -folio of G relative to Z?

Let us see how Folio can be used to solve the two problems of the previous section. For Disjoint Connected Subgraphs, with input G = (V, E) and  $Z_1, ..., Z_i \subseteq V$ , we may assume that  $Z_1, ..., Z_i$  are mutually disjoint; we direct the edges of G arbitrarily; we compute the 0-folio of G relative to  $Z_1 \cup \cdots \cup Z_i$  and from this we read off whether the desired connected subgraphs exist. (Compare the example above.) For H-Minor Containment, we direct the edges of G arbitrarily and compute the  $\delta$ -folio of G relative to  $\emptyset$ , where  $\delta = \max(|V(H)|, |E(H)|)$ . These both have running time  $O(v^3)$ .

We shall need some easy observations on folio management, the proofs of which we leave to the reader:

(2.1) If  $Z' \subseteq Z \subseteq V(G)$  and  $\delta \geqslant 0$ , the  $\delta$ -folio of G relative to Z' is determined by a knowledge of the  $\delta$ -folio of G relative to Z.

A separation of a graph or digraph G is a pair (A, B) of subgraphs such that  $A \cup B = G$  and  $E(A \cap B) = \emptyset$ , where for subgraphs  $G_1 = (V_1, E_1)$ ,  $G_2 = (V_2, E_2)$  of G,  $G_1 \cup G_2$  and  $G_1 \cap G_2$  are the subgraphs  $(V_1 \cup V_2, E_1 \cup E_2)$  and  $(V_1 \cap V_2, E_1 \cap E_2)$ , respectively. The order of the separation (A, B) is  $|V(A \cap B)|$ .

(2.2) Let (A, B) be a separation of a digraph G, and let  $V(A \cap B) \subseteq Z \subseteq V(G)$ . For  $\delta \geqslant 0$ , the  $\delta$ -folio of G relative to Z is determined by a knowledge of the  $\delta$ -folios of A relative to  $Z \cap V(A)$  and of B relative to  $Z \cap V(B)$ .

For  $Z \subseteq V(G)$ , a vertex v of G is irrelevant (to the  $\delta$ -folio of G relative to Z) if  $v \notin Z$  and the  $\delta$ -folios of G and  $G \setminus v$  relative to Z are equal. (We shall use  $\setminus$  for deletion.) From (2.1) and (2.2), the following follows easily.

(2.3) Let (A, B) be a separation of a digraph G, and let  $Z \subseteq V(A)$ . If  $v \in V(B)$  is irrelevant to the  $\delta$ -folio of B relative to  $V(A \cap B)$  then it is irrelevant to the  $\delta$ -folio of G relative to G.

The idea of our algorithm for Folio is as follows: If G can be divided into trivial pieces by cutsets of bounded size we can solve Folio directly, using a method of Arnborg and Proskurowski [2]. If not there is an irrelevant vertex, and we give a procedure to find such a vertex. We delete it, and repeat the process as often as necessary, until the graph is reduced so much that the Arnborg-Proskurowski method can be applied. The first part of the paper (Sections 3 and 4) cover detecting and utilizing that G has bounded "branch-width," that is, that G can be divided into trivial pieces by cutsets of bounded size. The remainder of the paper concerns finding an irrelevant vertex. This turns out to be easy if we can find a large clique minor (Sections 5 and 6); the main problem is what should be done if we have not found such a minor. In that case it follows from an earlier result of this series that G has a large grid minor; and the remainder of the paper concerns how to exploit a large grid minor to obtain either a large clique minor or an irrelevant vertex. For this we shall make use of a lemma which is a consequence of the main results of this series and which will be proved in a future paper. (The lemma is an assertion that under certain circumstances, a given vertex is irrelevant.)

## 3. Branch-Width

A branch-decomposition of a graph or digraph G is a pair  $(T, \tau)$ , where

- (i) T is a tree and every vertex of T has valency 1 or 3
- (ii)  $\tau$  is a bijection from E(G) to the set of leaves of T.

(The leaves of T are the vertices with valency 1.) For each  $f \in E(T)$  the edges e of G are divided into two sets, depending on which component of  $T \setminus f$  contains  $\tau(e)$ . The order of f is the number of vertices of G incident with an edge in each set. The width of  $(T, \tau)$  is the maximum order of edges of T, and the branch-width of G is the minimum width of all branch-decompositions of G. (If there are no branch-decompositions of G, then  $|E(G)| \le 1$  and G has branch-width 0 by convention.) Branch-width is closely related to "tree-width"—see [19].

Our first objective is an algorithm which decides either that G has branch-width  $\leq 3\omega$ , or that G has branch-width  $\geq \omega$ . For that we need the following lemma.



(3.1) LEMMA. Let G be a graph with branch-width  $\leq \omega$ , where  $\omega \geq 2$ , and let  $Z \subseteq V(G)$ . Then there is a separation (A, B) of G of order  $\leq \omega$  with

$$|Z - V(A)|, |Z - V(B)| \le \frac{2}{3} |Z| - \frac{1}{2} |Z \cap V(A \cap B)|.$$

*Proof.* Let  $\mathcal{F}$  be the set of all separations (A, B) of G of order  $\leq \omega$  with

$$|Z - V(A)| + \frac{1}{2}|Z \cap V(A \cap B)| > \frac{2}{3}|Z|.$$

We observe that

- (1)(i) If  $(A, B) \in \mathcal{F}$  then  $V(A) \neq V(G)$ ,
  - (ii) if  $(A_1, B_1)$ ,  $(A_2, B_2) \in \mathcal{F}$  then  $B_1$  is not a subgraph of  $A_2$
- (iii) if  $A_1$ ,  $A_2$ ,  $A_3$  are mutually edge-disjoint subgraphs of G with union G, then not all of  $(A_1, A_2 \cup A_3)$ ,  $(A_2, A_3 \cup A_1)$ ,  $(A_3, A_1 \cup A_2)$  belong to  $\mathcal{T}$ .

Subproof. For (i), let (A, B) be a separation of G with V(A) = V(G). Then

$$|Z - V(A)| + \frac{1}{2}|Z \cap V(A \cap B)| \leq \frac{1}{2}|Z|,$$

and so  $(A, B) \notin \mathcal{F}$ . This proves (i).

For (ii), suppose that  $(A_1, B_1), (A_2, B_2) \in \mathcal{F}$ . Then

$$|Z \cap V(B_1)| = |Z - V(A_1)| + |Z \cap V(A_1 \cap B_1)| > \frac{2}{3}|Z|,$$

but

$$|Z| - \frac{1}{2} |Z \cap V(A_2)| = |Z - V(A_2)| + \frac{1}{2} |Z \cap V(A_2)|$$
  
$$\ge |Z - V(A_2)| + \frac{1}{2} |Z \cap V(A_2 \cap B_2)| > \frac{2}{3} |Z|,$$

that is,  $|Z \cap V(A_2)| < \frac{2}{3}|Z|$ . Consequently (ii) holds.

For (iii), suppose that all three of the separations belong to  $\mathcal{T}$ . For i = 1, 2, 3 let  $Z_i = Z \cap V(A_i)$ . Since  $(A_1, A_2 \cup A_3) \in \mathcal{T}$  we deduce that

$$|Z-Z_1|+\frac{1}{2}|Z_1\cap (Z_2\cup Z_3)|>\frac{2}{3}|Z|,$$

that is,

$$\frac{1}{3}|Z| > |Z_1| - \frac{1}{2}|Z_1 \cap Z_2| - \frac{1}{2}|Z_1 \cap Z_3| + \frac{1}{2}|Z_1 \cap Z_2 \cap Z_3|.$$

By adding this and the two similar inequalities, we deduce that

$$|Z| > \sum_{1 \le i \le 3} |Z_i| - \sum_{1 \le i < j \le 3} |Z_i \cap Z_j| + \frac{3}{2} |Z_1 \cap Z_2 \cap Z_3|,$$

contrary to the inclusion-exclusion formula, since  $Z = Z_1 \cup Z_2 \cup Z_3$ . This proves (iii) and so completes the proof of (1).

Suppose that for every separation (A, B) of G of order  $\leq \omega$ ,  $\mathcal{F}$  contains one of (A, B), (B, A). By (1) and [19, Theorem (4.5)],  $\mathcal{F}$  is a "tangle of order  $\omega + 1$ " in G, in the terminology of that paper. But this contradicts [19, Theorem (4.3)], since G has branch-width  $\leq \omega$  and every edge of G has  $\leq 2 \leq \omega$  ends. It follows that there is a separation (A, B) of G of order  $\leq \omega$ , such that (A, B),  $(B, A) \notin \mathcal{F}$ ; but then (A, B) satisfies the theorem.

To apply (3.1) we use the following lemma.

(3.2) LEMMA. If  $\omega \ge 2$  is an integer and G is a simple graph with branchwidth  $\le \omega$ , then  $|E(G)| \le (\frac{3}{2}\omega - 1)|V(G)|$ .

*Proof.* Put  $\frac{3}{2}\omega - 1 = \alpha$ . We proceed by induction on |V(G)|. If |V(G)| = 0 the result is trivial, and we assume that |V(G)| > 0. By [19, Theorem (5.1)], G has tree-width  $\leq \alpha$  (see that paper for definitions) and hence has a vertex v of valency  $\leq \alpha$ . From the inductive hypothesis,  $G \setminus v$  has  $\leq \alpha(|V(G)| - 1)$  edges, and so

$$|E(G)| \le \alpha(|V(G)-1) + \alpha = \alpha |V(G)|,$$

as required.

We use (3.1) to show that if we "greedily" attempt to find a branch-decomposition of G of width  $\leq 3\omega$ , and fail, then G has branch-width  $\geq \omega$ . Actually, we can obtain slightly more than this, as follows. For a graph or digraph G, we define  $\varepsilon = \varepsilon(G) = |E(G)| + |V(G)| + 1$ . Let  $\omega \geq 2$  be some integer.

(3.3) Algorithm.

Input: A graph G with  $|E(G)| \ge 2$ .

Output: A subgraph G' of G and a branch-decomposition of G' of width  $\leq 3\omega$ , such that either G' = G or G' has branch-width  $\geq \omega$ .

Running time:  $O(v^2 + \varepsilon)$ .

Description: We construct the simple graph  $H_1$  underlying G, by deleting all loops and multiple edges. We choose a maximal subgraph  $H_2$  of  $H_1$  with  $|E(H_2)| \le (\frac{3}{2}\omega - 1) |V(H_1)| + 1$ . Thus, either  $H_2 = H_1$  or  $H_2$  has branch-width  $\ge \omega$ , by (3.2). We assume that  $|E(H_2)| \ge 2$ , for otherwise the problem is trivial. We shall construct a subgraph  $H_3$  of  $H_2$  and a branch-decomposition of  $H_3$  of width  $\le 3\omega$ , such that either  $H_3 = H_2$  or  $H_3$  has branch-width  $\ge \omega$ . Given such an  $H_3$ , the algorithm is completed as follows. If  $H_3 \ne H_2$ , we set  $G' = H_3$  and stop. If  $H_3 = H_2$ , we have a branch-decomposition of  $H_2$  of width  $\le 3\omega$ . If  $H_2 \ne H_1$  we set  $G' = H_2$  and stop. If  $H_2 = H_1$ , we convert our branch-decomposition to one for G, set G' = G, and stop.

Thus it remains to show how to construct  $H_3$ . We initialize by setting  $G_1 = H_2$ , we let  $T_1$  be a tree with two vertices t, t', and we define  $\tau_1: E(G_1) \to V(T_1)$  by setting  $\tau_1(e) = t$  for some edge e of  $G_1$ , and  $\tau_1(f) = t'$  for all other edges f of  $G_1$ . We perform a recursion. At the beginning of the i th iteration we have

- (i) a subgraph  $G_i$  of  $H_2$  such that either  $G_i = H_2$  or  $G_i$  has branchwidth  $\geqslant \omega$ 
  - (ii) a tree  $T_i$  each vertex of which has valency 1 or 3
- (iii) a surjection  $\tau_i$  from  $E(G_i)$  to the set of leaves of  $T_i$ , such that each edge of  $T_i$  has order  $\leq 3\omega$ .

(The order of  $f \in E(T_i)$  is defined to be the number of vertices of  $G_i$  incident with an edge  $e_1$  and an edge  $e_2$  such that  $\tau_i(e_1)$  and  $\tau_i(e_2)$  are in different components of  $T_i \setminus f$ .)

The iteration proceeds as follows:

- (1) If  $\tau_i$  is a bijection, we see  $H_3 = G_i$  and stop.  $(T_i, \tau_i)$  is a branch-decomposition of  $H_3$  of width  $\leq 3\omega$ , and either  $H_3 = H_2$  or  $H_3$  has branch-width  $\geq \omega$ , as required.
- (2) If  $\tau_i$  is not a bijection we choose a leaf v of  $T_i$  such that  $v = \tau_i(e)$  for at least two edges e of  $G_i$ . Let S, S' be the components of  $T_i \setminus f$ , where  $f \in E(T_i)$  is incident with v, and  $V(S) = \{v\}$ . Let R be the subgraph of  $G_i$  formed by all edges e with  $\tau_i(e) \in V(S)$  and their ends, and define R' similarly. Then  $E(R \cap R') = \emptyset$ ,  $E(R \cup R') = E(G_i)$ , and  $|V(R \cap R')| \leq 3\omega$ . Let  $Z = V(R \cap R')$ . Let T' be a tree obtained by adding two new vertices  $v_1, v_2$  to  $T_i$ , both adjacent to v.
- (3) If  $Z = \emptyset$  we choose an edge g of R, and if  $0 < |Z| < 3\omega$  we choose  $g \in E(R)$  with an end in Z. (This is possible since  $E(R) \neq \emptyset$  and every vertex in Z is incident with an edge of R.) We set  $T_{i+1} = T'$ ,  $G_{i+1} = G_i$ , and define  $\tau_{i+1}$  by

$$\tau_{i+1}(e) = \tau_i(e) \qquad (e \in E(G_i), \tau_i(e) \neq v)$$

$$\tau_{i+1}(e) = v_1 \qquad (e \in E(G_i) - \{g\}, \tau_i(e) = v)$$

$$\tau_{i+1}(g) = v_2.$$

We return to (1) for the next iteration. It is easy to see that  $G_{i+1}$ ,  $T_{i+1}$ ,  $\tau_{i+1}$  satisfy (i), (ii), and (iii).

(4) If  $|Z| = 3\omega$  we test if there is a separation (A, B) of R of order  $\leq \omega$  with

$$|Z - V(A)|, |Z - V(B)| \le \frac{2}{3}|Z| - \frac{1}{2}|Z \cap V(A \cap B)|.$$

This can be done by enumerating all partitions  $(Z_1, Z_2, Z_3)$  of Z with  $|Z_1|$ ,  $|Z_2| \le 2\omega - \frac{1}{2} |Z_3|$  and then testing for separations (A, B) of order  $\le \omega$  with  $V(A) \cap Z = Z_1 \cup Z_3$  and  $V(B) \cap Z = Z_2 \cup Z_3$ . (Such a separation does not exist if and only if in  $R \setminus Z_3$  there are  $> \omega - |Z_3|$  paths from  $Z_1$  to  $Z_2$ , mutually vertex-disjoint.)

(5) If (A, B) is a separation as in (4), we set  $T_{i+1} = T'$ ,  $G_{i+1} = G_i$ , and define  $\tau_{i+1}$  by

$$\tau_{i+1}(e) = \tau_i(e) \qquad (e \in E(G_i), \, \tau_i(e) \neq v)$$

$$\tau_{i+1}(e) = v_1 \qquad (e \in E(A))$$

$$\tau_{i+1}(e) = v_2 \qquad (e \in E(B)).$$

We return to (1) for the next iteration.

Let us verify that  $G_{i+1}$ ,  $T_{i+1}$ ,  $\tau_{i+1}$  satisfy (i), (ii), and (iii). Now (i) is clear, since  $G_{i+1} = G_i$ , and (ii) is clear from construction. To verify (iii) we observe that  $E(A) \neq \emptyset \neq E(B)$ ; for if  $E(A) = \emptyset$ , say, then B = R since R has no isolated vertices, and so

$$|Z - V(A)| \le \frac{2}{3} |Z| - \frac{1}{2} |Z \cap V(A)|,$$

that is,  $|Z| \leq \frac{3}{2} |Z \cap V(A)|$ , which is impossible since  $|Z| = 3\omega$  and

$$|Z \cap V(A)| \le |V(A)| = |V(A \cap B)| \le \omega.$$

Thus E(A),  $E(B) \neq \emptyset$  and so  $\tau_{i+1}$  is a surjection. Every edge of  $T_{i+1}$  has the same order as it does in  $T_i$  except for the two new edges. The new edges have orders  $|(Z \cup V(A)) \cap V(B)|$  and  $|(Z \cup V(B)) \cap V(A)|$ ; and

$$|(Z \cup V(A)) \cap V(B)| = |Z - V(A)| + |V(A) \cap V(B)|$$
  
$$\leq \frac{2}{3} |Z| + |V(A \cap B)| \leq 2\omega + \omega = 3\omega.$$

Similarly,  $|(Z \cup V(B)) \cap V(A)| \leq 3\omega$ . This verifies (iii).

(6) If there is no separation (A, B) as in (4), we choose  $g \in E(R)$ , set  $G_{i+1} = G_i \setminus g$ ,  $T_{i+1} = T_i$ , and let  $\tau_{i+1}$  be the restriction of  $\tau_i$  to  $E(G_{i+1})$ . We return to (1) for the next iteration.

Again, let us verify that  $G_{i+1}$ ,  $T_{i+1}$ ,  $\tau_{i+1}$  satisfy (i), (ii), (iii). From (3.1),  $G_i$  has branch-width  $> \omega$ , and so  $G_{i+1}$  has branch-width  $> \omega$  (we leave as an exercise the fact that deleting an edge reduces branch-width by at most one, except when branch-width  $\leq 2$  which does not occur here since  $\omega \geq 2$ ). This verifies (i), and (ii) and (iii) are clear. This concludes the description of the algorithm.



To find its running time, we observe that at each iteration but the last the quantity  $|E(G_i)| - |E(T_i)|$  is reduced by 1; it starts at O(|V(G)|) and finishes at 1, and so there are O(v) iterations. Each one takes a time O(v). The time is spent principally in step 4; there, each partition  $(Z_1, Z_2, Z_3)$  can be checked in time  $O(|E(G_i)|)$  and, hence, O(v), since  $|E(G_i)| \le O(v)$  and the number of partitions to be checked is bounded.

Thus constructing  $H_3$  and its branch-decomposition takes time  $O(v^2)$ . Converting it to a branch-decomposition of G takes time  $O(\varepsilon)$ , and so the whole algorithm takes time  $O(v^2 + \varepsilon)$ .

Actually, Hans Bodlaender [3] (and see also [12]) has recently found an algorithm that could be used in place of (3.3), with running time  $O(\nu)$  for simple graphs G, using tree-width instead of branch-width. Its use could speed up the applications of (3.3); for instance, (7.2) could be made to run in time  $O(\varepsilon)$ . This would not affect the total running time of our Folio algorithm, and so we omit further details.

#### 4. FOLIO DETERMINATION WITH BOUNDED BRANCH-WIDTH

If G is a digraph,  $Z \subseteq V(G)$ , and  $\delta \geqslant 0$ , a modelling of the  $\delta$ -folio of G relative to Z means some choice of model, for each member of the  $\delta$ -folio of G relative to Z (up to isomorphism). A method of Arnborg and Proskurowski [2] can be used to solve Folio for graphs of bounded branch-width, as follows. Let  $\delta, \xi \geqslant 0$ ,  $\omega \geqslant 1$  be integers.

# (4.1) ALGORITHM.

Input: A digraph G, and a subset  $Z \subseteq V(G)$  with  $|Z| \le \xi$ , and a branch-decomposition  $(T, \tau)$  of G of width  $\le \omega$ .

Output: The  $\delta$ -folio of G relative to Z, and a modelling of it. Running time:  $O(\varepsilon)$ .

Description: Since the effect of isolated vertices of G on the folio is clear, we may arrange (by deleting them) that G has no isolated vertices. Let z be a leaf of T, and number the edges of T as  $f_1, ..., f_m$ , where m = |E(T)|, so that the numbers on every path leaving z are decreasing. (Our branch-decomposition in applications usually comes already equipped with such a numbering; but if not, one can be found in time  $O(\varepsilon)$ .) For  $1 \le i \le m$ , let  $S_i$ ,  $T_i$  be the components of  $T \setminus f_i$ , with  $z \in V(S_i)$ . Let  $A_i$  be the subgraph of G formed by the edges e with  $\tau(e) \in V(S_i)$ , and their ends; and define  $B_i$  similarly. Since G has no isolated vertices,  $(A_i, B_i)$  is a separation of G of order  $\le \omega$ . Let  $Z_i = (V(A_i) \cup Z) \cap V(B_i)$ . We shall compute the  $\delta$ -folio of  $B_i$  relative to  $Z_i$  for each i, by a recursion as follows. At the start of the i th iteration, the  $\delta$ -folio of  $B_j$  relative to  $Z_j$ , has been determined for  $1 \le j \le i$ .

- (!) If  $i \le m$  and  $|V(T_i)| = 1$  then  $|E(B_i)| = 1$  and  $|V(B_i)| \le 2$ . We determine the folio of  $Z_i$  in  $B_i$  and return to (1) for the next iteration.
- (2) If  $i \le m$  and  $|V(T_i)| > 1$ , let  $f_j$ ,  $f_k$  be the two edges of  $T_i$  with a common end with  $f_i$ . Then j, k < i, and  $(B_j, B_k)$  is a separation of  $B_i$ , and the  $\delta$ -folios of  $B_j$  relative to  $Z_j$  and  $B_k$  relative to  $Z_k$  have already been determined. Since  $V(B_j \cap B_k) \subseteq Z_j \cap Z_k$  we can calculate the  $\delta$ -folio of  $B_j \cup B_k$  relative to  $Z_j \cup Z_k$  from this information, by (2.2). Since  $Z_i \subseteq Z_j \cup Z_k$  and  $B_j \cup B_k = B_i$ , the  $\delta$ -folio of  $B_i$  relative to  $Z_i$  can be calculated by (2.1). (Note that, since  $\delta$ -folios have size bounded by a constant, these calculations take constant time.) We return to (1) for the next iteration.
- (3) If i = m + 1, the folio of  $B_m$  relative to  $Z_m$  has been determined. We determine the  $\delta$ -folio of  $A_m$  relative to  $(Z \cup V(B_m)) \cap V(A_m)$  (this is easy, since  $|V(A_m)| \leq 2$ ), and we use (2.1) and (2.2) to determine the  $\delta$ -folio of Z in  $A_m \cup B_m = G$ .
- (4) For each member of the  $\delta$ -folio of Z in G (up to isomorphism) we backtrack through this computation to compute a corresponding model, in the natural way.

Each iteration takes constant time, and there are |E(T)| + 1 iterations. Thus, steps (1)-(3) take time  $O(\varepsilon)$ . In step (4), the backtracking to determine how each edge of G should be used to produce the desired member of the  $\delta$ -folio takes time  $O(\varepsilon)$  and converting this information into a model again takes time  $O(\varepsilon)$ . Thus the total running time is  $O(\varepsilon)$ .

To apply (4.1), we use the following theorem, a consequence of Theorems (1.5) of [15] and (5.1) of [19]. See also [25] for a numerical improvement.

(4.2) Theorem. For every planar graph H there is a number  $\omega$  such that every graph with branch-width  $\geq \omega$  has a minor isomorphic to H.

For a first application of (4.1), we have the following, improving a result of [13].

(4.3) Let  $\mathscr{F}$  be a minor-closed class of graphs such that some planar graph is not in  $\mathscr{F}$ . Then there is an algorithm to determine whether  $G \in \mathscr{F}$  with running time  $O(v^2)$ .

*Proof.* By Theorem (1.4) of [14], there is a finite list of graphs  $H_1, ..., H_k$  such that a graph G is in  $\mathscr{F}$  if and only if it has no minor isomorphic to any of  $H_1, ..., H_k$ . Since  $\mathscr{F}$  does not contain every planar graph, some  $H_i$  (say  $H_1$ ) is planar. By (4.2) there is a number  $\omega \ge 2$  such that every graph with branch-width  $\ge \omega$  has a minor isomorphic to  $H_1$ . Let  $\delta = \max(\varepsilon(H_1), ..., \varepsilon(H_k))$ . We now describe an algorithm to test membership of  $\mathscr{F}$ . Let G be the input graph. We may assume that  $|E(G)| \ge 2$ .

- (1) Choose a maximal subgraph  $G_1$  of G with at most  $\delta$  loops at each vertex and with no  $\delta + 1$  edges mutually parallel. Then  $G_1 \in \mathcal{F}$  if and only if  $G \in \mathcal{F}$ , as is easily seen.
- (2) We apply (3.3) to  $G_1$ . We obtain a subgraph G' of  $G_1$ , and a branch-decomposition of G' of width  $\leq 3\omega$ , such that either  $G' = G_1$  or G' has branch-width  $\geq \omega$ .
- (3) If  $G' \neq G_1$  then G' has branch-width  $\geqslant \omega$ , and so G' has a minor isomorphic to  $H_1$  and  $G' \notin \mathcal{F}$ ; thus,  $G \notin \mathcal{F}$  and we stop.
- (4) If  $G' = G_1$ , we have a branch-decomposition of  $G_1$  of width  $\leq 3\omega$ . We direct the edges of  $G_1$  arbitrarily, we apply (4.1) to  $G_1$  with  $Z = \emptyset$  (with  $3\omega$  replacing  $\omega$ ) and compute the  $\delta$ -folio of  $G_1$  relative to  $\emptyset$ . From this we deduce whether  $G_1$  has a minor isomorphic to any of  $H_1, ..., H_k$  and hence determine whether  $G_1 \in \mathscr{F}$ . This completes the algorithm.

Since at step 1 we arrange that  $\varepsilon(G_1) \leq O(v^2)$ , the algorithm has running time  $O(v^2)$ .

A second, similar, application of (4.1) is the following. Let H be a planar graph. By (4.3) (with  $\mathcal{F}$  the class of graphs with no minor isomorphic to H) we can test if an input graph has a minor isomorphic to H in time  $O(v^2)$ . But what if we want to *find* such a minor? The following improves a result of [13].

## (4.4) ALGORITHM.

Input: A graph G.

Output: Decides if there is a model of H in G, and outputs such a model if one exists.

Running time:  $O(v^2)$ .

Description: Let  $\omega$  be as in (4.2), with  $\omega \ge 2$ .

- (1) We choose a maximal subgraph  $G_1$  of G with at most |E(H)| loops at every vertex and at most |E(H)| + 1 edges mutually parallel. There is a model of H in G if and only if there is one in  $G_1$ .
- (2) We apply (3.3) to  $G_1$ . We obtain a subgraph  $G_2$  of  $G_1$ , and a branch-decomposition of  $G_2$  of width  $\leq 3\omega$ , such that either  $G_2 = G_1$  or  $G_2$  has branch-width  $\geq \omega$ . From the choice of  $\omega$ , it follows that either  $G_2 = G_1$  or there is a model of H in  $G_2$ . Consequently there is a model of H in  $G_1$  if and only if there is one in  $G_2$ .
- (3) We test if  $G_2$  has an *H*-minor, and if so find a model of it in  $G_2$ , by using (4.1) to compute the  $\varepsilon(H)$ -folio of  $G_2$  relative to  $\emptyset$  and a modelling of it.

From step (1),  $|E(G_1)| \le O(v^2)$ . Step (2) therefore takes time  $O(v^2)$ , and so does step (3). Thus the algorithm has running time  $O(v^2)$  in total.

## 5. Generic Folios

So far we have discussed how to detect small branch-width and how to solve Folio in digraphs of bounded branch-width. Now we come to the more difficult problem of how to solve Folio in digraphs of large branch-width, and here our strategy is to locate an irrelevant vertex and delete it (and repeat, until we attain small branch-width). The problem of finding such a vertex splits into two subproblems: how to find an irrelevant vertex given a large clique minor; and how to find either an irrelevant vertex or a large clique minor. We treat the former problem in this section and the next.

The  $\delta$ -folio of a digraph G relative to  $Z \subseteq V(G)$  is generic if the  $\delta$ -folio of the rooted digraph  $(G, v_1, ..., v_{\xi})$  contains every rooted digraph with  $\xi$  roots and with detail  $\leq \delta$ , where  $|Z| = \xi$  and  $Z = \{v_1, ..., v_{\xi}\}$ .

(5.1) Let G be a digraph with  $|V(G)| \ge 2\xi + 3\delta$ , and let  $Z \subseteq V(G)$  with  $|Z| = \xi$ . Suppose that  $u, v \in Z$ , for all distinct  $u, v \in V$  that are non-adjacent in G. Then the  $\delta$ -folio of G relative to Z is generic.

*Proof.* Let  $Z = \{v_1, ..., v_{\xi}\}$ , and let  $(H, u_1, ..., u_{\xi})$  be a rooted digraph with detail  $\leq \delta$ . We must show that  $(H, u_1, ..., u_{\xi})$  is a minor of  $(G, v_1, ..., v_{\xi})$ . For each  $v \in V(H)$ , choose  $X_v \subseteq V(G)$  such that  $|X_v - Z| = 1$ ,  $X_v \cap Z = \{v_i : 1 \leq i \leq \xi, u_i = v\}$ , and for distinct  $v, v' \in V(H), X_v \cap X_{v'} = \emptyset$ . For each edge  $e \in E(H)$ , choose a non-loop edge  $\phi(e)$  of G with head  $s_e$  and tail  $t_e$ , such that  $s_e, t_e \notin Z \cup \bigcup (X_v : v \in V(H))$ , and for distinct  $e, e' \in E(H)$ ,  $s_e, t_e, s_{e'}, t_{e'}$  are all distinct. Such choices are possible since

$$|V(G)-Z| \ge (\xi+\delta)+2\delta \ge |V(H)|+2|E(H)|$$
.

For each  $v \in V(H)$ , let  $\phi(v)$  be the restriction of  $G \setminus \{\phi(e) : e \in E(H)\}$  to

$$X_v \cup \{s_e : e \in E(H) \text{ has head } v\} \cup \{t_e : e \in E(H) \text{ has tail } v\}.$$

Then  $G_v$  is connected (since  $X_v \nsubseteq Z$ ) and it is easy to see that  $\phi$  is a model of  $(H, u_1, ..., u_{\xi})$  in  $(G, v_1, ..., v_{\xi})$ , as required.

If G is a digraph and  $X, Y \subseteq V(G)$  or X, Y are subdigraphs of G, an edge of G is said to be *between* X and Y if it has one end in X and the other in Y.

- (5.2) Let G be a digraph, let  $Z \subseteq V(G)$  with  $|Z| = \xi$ , and let  $k = 2\xi + 3\delta$ . Suppose that there are k non-null connected subgraphs  $G_1, ..., G_k$  of G, mutually vertex-disjoint, such that
- (i)  $|Z \cap V(G_i)| = 1$   $(1 \le i \le \xi)$ , and (hence)  $Z \cap V(G_i) = \emptyset$   $(\xi + 1 \le i \le k)$

(ii) for  $1 \le i < j \le k$ , if there is no edge of G between  $G_i$  and  $G_j$  then  $i, j \le \xi$ .

Then the  $\delta$ -folio of G relative to Z is generic.

*Proof.* We delete every vertex of G not in  $V(G_1) \cup \cdots \cup V(G_k)$ , and then contract every edge of each  $G_i$ . We obtain a digraph G' with |V(G')| = k. Let  $Z' \subseteq V(G')$  correspond to Z. By (5.1), the  $\delta$ -folio of G' relative to Z' is generic, and so the  $\delta$ -folio of G relative to Z is generic, as required.

We shall use (5.2) to prove the following lemma.

- (5.3) Let G be a graph and let  $Z \subseteq V(G)$  with  $|Z| = \xi$ . Let  $k \geqslant \lfloor \frac{3}{2}\xi \rfloor$  and let  $G_1, ..., G_k$  be subgraphs of G, mutually vertex-disjoint, such that
- (i) for  $1 \le i \le k$ , either  $G_i$  is connected or every component of  $G_i$  has a vertex in Z,
- (ii) for  $1 \le i < j \le k$ , either  $V(G_i)$  and  $V(G_j)$  both meet Z or there is an edge of G between  $G_i$  and  $G_j$ , and
- (iii) for  $1 \le i \le k$ , there is no separation (A, B) of G of order  $< \xi$  with  $Z \subseteq V(A)$  and with  $A \cap G_i$  null.

Then for some  $\mu$  with  $0 \le \mu \le \xi$  there are  $\kappa = k - \lfloor \frac{1}{2}(\xi - \mu) \rfloor$  non-null connected subgraphs  $H_1, ..., H_{\kappa}$  of G, mutually vertex-disjoint, such that

- (a)  $|V(H_i) \cap Z| = 1$   $(1 \le i \le \xi)$  and  $V(H_i) \cap Z = \emptyset$   $(\xi + 1 \le i \le \kappa)$ , and
- (b) for  $1 \le i < j \le \kappa$ , if there is no edge of G between  $H_i$  and  $H_j$  then  $i, j \le \mu$ .

In particular, for any  $\delta \geqslant 0$ , if  $k \geqslant \lfloor \frac{5}{2} \xi \rfloor + 3\delta$  and G is a digraph then the  $\delta$ -folio of G relative to Z is generic.

*Proof.* The second assertion follows from the first and (5.2). For if  $\mu$ ,  $\kappa$ ,  $H_1$ , ...,  $H_{\kappa}$  satisfy the first assertion of the theorem, and  $k \ge \lfloor \frac{5}{2}\xi \rfloor + 3\delta$ , then

$$\kappa \geqslant k - \lfloor \frac{1}{2}\xi \rfloor \geqslant 2\xi + 3\delta$$

and so the second assertion follows from (5.2).

We prove the first assertion by contradiction. Suppose it is false and choose a counterexample G, Z with |V(G)| + |E(G)| minimum. Clearly (using hypothesis (iii) for vertices in Z), G has no loops or multiple edges, or isolated vertices. We shall show that

(1) There is no separation (A, B) of G of order  $\leq \xi$ , such that  $Z \subseteq V(A)$ ,  $A \cap G_i$  is null for some  $i \ (1 \leq i \leq k)$ , and  $B \neq G$ .

Subproof. Suppose that (A, B) is such a separation, with  $A \cap G_k$  null, say. By hypothesis (iii), (A, B) has order  $\xi$ . Let  $Z' = V(A \cap B)$ , and for  $1 \le i \le k$  let  $G'_i = G_i \cap B$ . Then  $G'_1, ..., G'_k$  are mutually vertex-disjoint subgraphs of B. Moreover,

- (i)' For  $1 \le i \le k$ , either  $G'_i$  is connected or every component of  $G'_i$  has a vertex in Z'. For if  $G'_i$  has more than one component and one of them does not meet Z', then it is also a component of  $G_i$  and does not meet Z and does not equal  $G_i$ , contrary to hypothesis.
- (ii)' For  $1 \le i < j \le k$ , either  $V(G'_i)$  and  $V(G'_j)$  both meet Z' or there is an edge of B between  $V(G'_i)$  and  $V(G'_j)$ . For if  $V(G'_i) \cap Z' = \emptyset$  then  $G'_i = G_i$  and  $V(G_i) \cap Z = \emptyset$ . Thus there is an edge of G between  $V(G_i)$  and  $V(G_j)$ . Since  $V(G'_i) \cap Z' = \emptyset$ , this edge is an edge of G, and hence its other end is in  $V(G'_i)$ , as required.
- (iii)' For  $1 \le i \le k$ ,, there is no separation (A', B') of B of order  $< \xi$  such that  $Z' \subseteq V(A')$  and  $G'_i \cap A'$  is null. For if (A', B') is such a separation, then  $(A \cup A', B')$  is a separation of G of order  $< \xi$ , with  $Z \subseteq V(A \cup A')$  and with  $G'_i \cap (A \cup A')$  null, a contradiction since  $G'_i = G_i$ .
- From (i)', (ii)', (iii)' and the minimality of G, we deduce, since B is smaller than G, that for some  $\mu$  with  $0 \le \mu \le \xi$  there are  $\kappa = k \lfloor \frac{1}{2}(\xi \mu) \rfloor$  non-null connected subgraphs  $H_1'$ , ...,  $H_\kappa'$  of B, mutually vertex-disjoint, such that
  - (a)  $|V(H_i) \cap Z'| = 1$   $(1 \le i \le \xi)$ ,  $V(H_i) \cap Z' = \emptyset$   $(\xi + 1 \le i \le \kappa)$ , and
- (b) for  $1 \le i < j \le \kappa$ , if there is no edge of B between  $V(H_i')$  and  $V(H_i')$  then  $i, j \le \mu$ .

By Menger's theorem and hypothesis (iii) there are  $\xi$  paths  $P_1, ..., P_{\xi}$  of A from Z to Z', mutually vertex-disjoint. Let us choose the numbering so that for  $1 \le i \le \xi$  the end of  $P_i$  in Z' is in  $V(H_i')$ . Let

$$\begin{split} H_i &= P_i \cup H_i' & \quad (1 \leqslant i \leqslant \xi) \\ H_i &= H_i' & \quad (\xi + 1 \leqslant i \leqslant \kappa). \end{split}$$

Then it is easy to see that  $H_1$ , ...,  $H_{\kappa}$  satisfy the theorem, a contradiction. This proves (1).

(2) Every edge of G is between  $V(G_i)$  and  $V(G_j)$  for some choice of i, j with  $1 \le i < j \le k$ .

Subproof. If  $e \in E(G)$  is not between any two distinct  $V(G_i)$ 's, contracting e produces a smaller counterexample; for by (1) e does not join

two members of Z, and by (1) again, condition (iii) holds after contraction of e. This proves (2).

Let 
$$X = V(G) - Z$$
, and  $Y = \bigcup (V(G_i): 1 \le i \le k, |V(G_i)| \ge 2)$ .

(3)  $Y \subseteq Z$ ; every vertex  $x \in X$  is adjacent to every member of  $(X - \{x\}) \cup (Z - Y)$ ; and  $|X| - |Y| \ge k - \lfloor \frac{1}{2} |Y| \rfloor - \xi \ge 0$ .

Subproof. From (2) and hypothesis (i), it follows that for  $1 \le i \le k$ , either  $|V(G_i)| = 1$  or  $V(G_i) \subseteq Z$ ; and so  $Y \subseteq Z$ . Since no vertex is isolated, it follows from (2) that

$$V(G) = \langle \ \rangle (V(G_i) : 1 \leq i \leq k).$$

Hence the second claim holds and, moreover,

$$X \cup (Z - Y) = \{ \} (V(G_i) : 1 \le i \le k, |V(G_i)| = 1 \}.$$

Consequently,

$$|X| + |Z - Y| = |\{1 \le i \le k, |V(G_i)| = 1\}|;$$

but

$$\lfloor \frac{1}{2} |Y| \rfloor \leqslant |\{i : 1 \leqslant i \leqslant k, |V(G_i)| \geqslant 2\}|$$

and, adding, we deduce the first inequality. The second holds since  $k \ge \lfloor \frac{3}{2}\xi \rfloor$  and  $|Y| \le \xi$ . This proves (3).

(4) There is a matching  $M \subseteq E(G)$  with |M| = |Y|, such that every member of M has one end in Y and the other in X.

Subproof. Let  $Y' \subseteq Y$  and let  $X' \subseteq X$  be the set of vertices of X with a neighbour in Y'. Suppose that |X'| < |Y'|. Then  $X' \neq X$ , since  $|X| \geqslant |Y| \geqslant |Y'|$  by (3); choose  $x \in X - X'$ . Choose i with  $1 \leqslant i \leqslant k$  and  $V(G_i) = \{x\}$ . Let (A, B) be a separation of G with  $V(A) = Z \cup X'$ , V(B) = V(G) - Y'. Then  $A \cap G_i$  is null, and yet (A, B) has order  $|Z - Y'| + |X'| < \xi$ , contrary to (iii). Thus  $|X'| \geqslant |Y'|$ , and (4) follows from Hall's theorem.

Let  $\mu = |Z - Y|$ , let  $\kappa = k - \lfloor \frac{1}{2} |Y| \rfloor$ , and let M be as in (4). For  $1 \le i \le \kappa$  we define  $H_i$  as follows, mutually vertex-disjoint:

- (i) for  $1 \le i \le \mu$ ,  $H_i$  consists of a vertex of Z Y
- (ii) for  $\mu + 1 \le i \le \xi$ ,  $H_i$  consists of an edge of M and its ends
- (iii) for  $\xi + 1 \le i \le \kappa$ ,  $H_i$  consists of a vertex of X incident with no member of M.

These choices are possible by (3). Since every vertex of X and every (different) vertex of  $X \cup (Z - Y)$  are adjacent,  $H_1, ..., H_{\kappa}$  satisfy the theorem, a contradiction, as required.

As a consequence of (5.3) we have the following, which we shall need in later papers.

(5.4) Let G be a graph and let  $Z \subseteq V(G)$  with  $|Z| = \xi$ . Let  $k \geqslant \lfloor \frac{3}{2}\xi \rfloor$  and let  $G_1, ..., G_k$  be connected subgraphs of G, mutually vertex-disjoint, such that for  $1 \leqslant i < j \leqslant k$  there is an edge of G between  $G_i$  and  $G_j$ . Suppose that there is no separation (A, B) of G of order <|Z| with  $Z \subseteq V(A)$  and  $A \cap G_i$  null for some i  $(1 \leqslant i \leqslant k)$ . Then for every partition  $(Z_1, ..., Z_n)$  of Z into non-empty subsets, there are n connected subgraphs  $T_1, ..., T_n$  of G, mutually disjoint and with  $V(T_t) \cap Z = Z_t$   $(1 \leqslant t \leqslant n)$ .

*Proof.* By (5.3) we may choose  $\mu$  with  $0 \le \mu \le \xi$  and  $\kappa = k - \lfloor \frac{1}{2}(\xi - \mu) \rfloor$ , and  $H_1, ..., H_{\kappa}$  as in (5.3). Let  $Z = \{z_1, ..., z_{\xi}\}$ , where  $z_i \in V(H_i)$   $(1 \le i \le \xi)$ . For  $1 \le t \le n$ , let  $S_t$  be the subgraph of G induced on the set

$$\bigcup \{V(H_i): 1 \leq i \leq \xi, z_i \in Z_t\}.$$

Then  $S_1, ..., S_n$  are mutually vertex-disjoint subgraphs of G, and  $S_t \cap Z = Z_t$  for each t, but they may not be connected. If  $S_t$  is connected, define  $T_t = S_t$ . Let  $N \subseteq \{1, ..., n\}$  be

$$N = \{t : 1 \le t \le n, S_t \text{ is not connected}\}.$$

(1) If 
$$t \in N$$
 then  $|Z_t| \ge 2$  and  $Z_t \subseteq \{z_1, ..., z_n\}$ .

For if  $|Z_i| = 1$  then  $S_i$  is connected since each  $H_i$  is connected. If  $z_i \in Z_i$  for some i with  $\mu + 1 \le i \le \xi$ , then since there is an edge between  $H_i$  and every other  $H_i$  again it follows that  $S_i$  is connected.

From (1) it follows that  $|N| \le \lfloor \frac{1}{2}\mu \rfloor$ . Since  $k \ge \lfloor \frac{3}{2}\xi \rfloor$  it follows that

$$\kappa - \xi = k - \lfloor \frac{1}{2}(\xi - \mu) \rfloor - \xi \geqslant \lfloor \frac{3}{2}\xi \rfloor - \lfloor \frac{1}{2}(\xi - \mu) \rfloor - \xi \geqslant \lfloor \frac{1}{2}\mu \rfloor \geqslant |N|.$$

Let f be an injection from N into  $\{1, ..., \kappa\}$  such that  $V(H_{f(t)}) \cap Z = \emptyset$  for all  $t \in N$ . (Such an injection f exists since there are  $\kappa - \xi \ge |N|$  values of  $i \in \{1, ..., \kappa\}$  with  $V(H_i) \cap Z = \emptyset$ ). For each  $t \in N$  let  $T_i$  be the subgraph of G induced on  $V(S_i) \cup V(H_{f(t)})$ . Then  $T_i$  is connected, and  $V(T_i) \cap Z = V(S_i) \cap Z = Z_i$  for each  $t \in N$ ; and so  $T_1, ..., T_n$  satisfy the theorem.

(5.4) implies a theorem of Larman and Mani [10]. The latter asserts that if  $s_1, t_1, ..., s_n, t_n$  are distinct vertices of a 2n-connected graph G which has a subgraph that is a subdivision of  $K_{3n}$ , then there are n mutually disjoint paths joining  $s_i$ ,  $t_i$   $(1 \le i \le n)$ . It can be derived from (5.4) by setting

 $\xi = 2n, \ k = 3n, \ Z = \{s_1, ..., s_n, t_1, ..., t_n\}, \ Z_1 = \{s_1, t_1\}, ..., Z_n = \{s_n, t_n\}$  and by choosing the  $G_i$ 's suitably from the subdivided  $K_{3n}$ .

Let us digress for a moment to get a corollary of (5.4) of interest in "pure" graph theory. The following is due to Thomason [28].

(5.5) Let  $p \ge 1$  be an integer, and let G be a non-null simple graph with  $|E(G)| \ge 2.68 p(\log_2(p))^{1/2} |V(G)|$ .

Then G has a  $K_n$ -minor.

A graph G is said to be p-linked, where  $p \ge 0$  is an integer, if for all  $s_1, ..., s_p, t_1, ..., t_p \in V(G)$  with  $s_i, t_i \ne s_j, t_j$  for  $1 \le i < j \le p$ , there are p mutually vertex-disjoint paths of G linking  $s_i$  and  $t_i$  for  $1 \le i \le p$ . It is known that for any p, every sufficiently connected graph is p-linked, but it is an open problem to determine the minimum f(p) such that every f(p)-connected graph is p-linked. It seems that, up to now, the only known bounds on f(p) were exponential in p. But from (5.4) and (5.5) we obtain that  $f(p) \le 16.08 p (\log_2(3p))^{1/2}$ . More precisely,

(5.6) For every integer  $p \ge 0$ , every 2p-connected simple graph G with  $|E(G)| \ge 8.04 p(\log_2 3p)^{1/2} |V(G)|$  is p-linked.

*Proof.* By (5.5) (since we may assume that  $p \ge 1$  and  $V(G) \ne \emptyset$ ) G has a  $K_{3p}$ -minor. Hence there are 3p connected subgraphs  $G_1$ , ...,  $G_{3p}$  of G, mutually vertex-disjoint, such that for  $1 \le i < j \le 3p$  there is an edge of G between  $G_i$  and  $G_j$ . Let  $Z \subseteq V(G)$  with  $|Z| \le 2p$ . There is no separation (A, B) of G of order  $C_i = \{x_1, \dots, x_p, x_1, \dots, x_p\}$ , it follows that G is G is G is G in G in G.

#### 6. What To Do with a Clique

In this section we use (5.3) to show that, in solving Folio, if we find a large clique minor we can find an irrelevant vertex. We need the following.

- (6.1) Let G be a digraph and let  $Z \subseteq V(G)$  with  $|Z| \le \xi$ . Let  $k = \lfloor \frac{5}{2}\xi \rfloor + 3\delta + 1$  and let  $G_1, ..., G_k$  be mutually vertex-disjoint connected subgraphs of G such that for  $1 \le i < j \le k$  there is an edge of G between  $G_i$  and  $G_i$ . Let (A, B) be a separation of G such that
  - (i)  $A \cap G_i$  is null for some  $i (1 \le i \le k)$
  - (ii)  $Z \subseteq V(A)$

- (iii) subject to (i) and (ii), (A, B) has minimum order
- (iv) subject to (i), (ii), and (iii), A is maximal.

Let  $v \in V(B) - V(A)$ . Then v is irrelevant to the  $\delta$ -folio of G relative to Z.

**Proof.** By (2.3), it suffices to show that v is irrelevant to the  $\delta$ -folio of B relative to  $V(A \cap B)$ . To prove this, it suffices to show that the  $\delta$ -folio of  $B \setminus v$  relative to  $V(A \cap B)$  is generic. Let  $V(A \cap B) = Z'$ , and  $|Z'| = \xi' \leqslant \xi$ . Let  $G_1, ..., G_k$  be numbered so that  $v \notin V(G_1) \cup \cdots \cup V(G_{k-1})$ , and let  $G_i' = G_i \cap B$   $(1 \leqslant i \leqslant k-1)$ . Let  $G' = B \setminus v$ . We shall apply (5.3) to  $G', Z', G'_1, ..., G'_{k-1}$ . Let us verify its hypotheses. We observe that  $k-1 \geqslant \lfloor \frac{5}{2} \xi' \rfloor + 3\delta$ , and  $G'_1, ..., G'_{k-1}$  are subgraphs of G', mutually vertex-disjoint. Moreover, for  $1 \leqslant i \leqslant k-1$ , either  $G'_i$  is connected or every component of  $G'_i$  has a vertex in Z'; for if some component of  $G'_i$  has no vertex in Z', then it is a component of  $G_i$ , and so equals  $G_i$  since  $G_i$  is connected; and so  $G'_i$  is connected. Furthermore, for  $1 \leqslant i \leqslant j \leqslant k-1$ , either  $V(G'_i)$  and  $V(G'_j)$  both meet Z or there is an edge of G' between  $V(G'_i)$  and  $V(G'_j)$ ; for if say  $V(G'_i) \cap Z = \emptyset$  then  $G'_i = G_i$ , and since there is an edge G between  $V(G'_i)$  and  $V(G'_j)$ , this edge is therefore an edge of G' between  $V(G'_i)$  and  $V(G'_j)$ . Finally, we claim

(1) For  $1 \le i \le k-1$ , there is no separation (A', B') of G' of order  $<\xi'$  with  $Z' \subseteq V(A')$  and with  $A' \cap G'_i$  null.

Subproof. If (A', B') is such a separation, there is a separation (A'', B'') of B of order  $\leq \xi'$  with  $v \in V(A'' \cap B'')$  and  $A'' \setminus v = A'$ ,  $B'' \setminus v = B'$ . Then  $(A \cup A'', B'')$  is a separation of G of order  $\leq \xi'$ . Now  $Z \subseteq V(A \cup A'')$ , and  $(A \cup A'') \cap G_i$  is null, and  $A \cup A'' \neq A$  since  $v \in V(A'')$ . This contradicts hypothesis (iv), and proves (1).

From (1) and (5.3) we deduce that the  $\delta$ -folio of G' relative to Z' is generic, as required.

We use (6.1) for the following algorithm. Let  $\xi$ ,  $\delta \ge 0$  be integers, and let  $p = \lfloor \frac{5}{2}\xi \rfloor + 3\delta + 1$ .

## (6.2) ALGORITHM.

Input: A digraph G, a subset  $Z \subseteq V(G)$  with  $|Z| \le \xi$ , and p mutually vertex-disjoint connected subgraphs  $G_1, ..., G_p$  of G such that for  $1 \le i < j \le p$  there is an edge of G between  $G_i$  and  $G_i$ .

Output: A vertex of G irrelevant to the  $\delta$ -folio of G relative to Z. Running time:  $O(\varepsilon)$ .

Description: For each i with  $1 \le i \le p$  such that  $Z \cap V(G_i) = \emptyset$  we find a separation  $(A_i, B_i)$  of G with  $Z \subseteq V(A_i)$  and  $A_i \cap G_i$  null, of minimum order and subject to that with  $A_i$  maximal. (The usual max-flow min-cut algorithm provides a "min-cut" which yields a separation (A, B) with A

automatically maximal, if we run the augmenting paths starting from  $V(G_i)$ . Thus this is easy.) We choose  $\xi'$  minimum such that some  $(A_i, B_i)$  has order  $\xi'$ , and we choose i with  $A_i$  maximal such that  $(A_i, B_i)$  has order  $\xi'$ . We choose  $v \in V(G_i)$ . Then v is the desired irrelevant vertex, by (6.1), since  $(A_i, B_i)$  satisfies the conditions for (A, B) in (6.1).

The algorithm involves computing  $(A_i, B_i)$  for  $\leq p$  values of i; and each computation can be performed with a standard network flow algorithm in time  $O(\varepsilon)$ . Thus the algorithm has running time  $O(\varepsilon)$ .

(6.2) will form one of the key steps of our algorithm for Folio, but there is also a peripheral application for it, to reduce our general problem to the case when  $|E(G)| \le O(v)$  and, thus, to improve the overall running time of the main algorithm. In the remainder of this section we explain this.

If G is a graph and  $X \subseteq V(G)$ , we denote by N(X) the set of vertices in V(G) - X with a neighbour in X. First, we need the following.

#### (6.3) ALGORITHM.

Input: An integer k > 0 and a non-null simple graph G with  $|E(G)| \ge k |V(G)|$ .

Output: A subset  $X \subseteq V(G)$  such that

- (i) the restriction of G to X is non-null and connected
- (ii) N(X) is non-null, and
- (iii) every vertex of N(X) has  $\geqslant k$  neighbours in N(X).

Running time:  $O(v\varepsilon)$ .

Description: (1) Choose a component H of G with  $|E(H)| \ge k |V(H)|$ , and choose  $v_1 \in V(H)$ .

- (2) We perform a recursion. Set  $X_i = \{v_1\}$ . At the start of the *i* th step of the recursion we have a subset  $X_i \subseteq V(H)$  with  $|X_i| = i$  such that  $X_i$  meets at most  $|N(X_i)| + k(i-1)$  edges, and such that the restriction of G to  $X_i$  is connected.
- (3) If there exists  $v \in N(X_i)$  with < k neighbours in  $N(X_i)$ , we set  $X_{i+1} = X_i \cup \{v\}$  and return for the next iteration. To check the inequality, let v have  $d_1$  neighbours in  $N(X_i)$  and  $d_2$  in  $V(H) (X_i \cup N(X_i))$ . Then

$$|N(X_{i+1})| = |N(X_i)| - 1 + d_2$$

and  $X_{i+1}$  meets  $d_1 + d_2 \le k - 1 + d_2$  edges not met by  $X_i$ . It follows that  $X_{i+1}$  meets at most

$$|N(X_i)| + k(i-1) + k - 1 + d_2 = |N(X_{i+1})| + ki$$

edges in total, and so  $X_{i+1}$  has the properties claimed.

(4) If there is no such vertex v, we set  $X = X_i$  and stop. We claim that X has the desired properties for the output. For certainly (i) and (iii) hold; suppose that N(X) is null. Then  $X_i = V(H)$  and so i = |V(H)|. But  $X_i$  meets at most  $|N(X_i)| + k(i-1)$  edges, and  $N(X_i) = \emptyset$ , and so

$$|E(H)| \leq k(|V(H)| - 1),$$

contrary to our choice of H. Thus N(X) is non-null, as required.

There are at most v(G) iterations of the recursion, and each takes time  $O(\varepsilon)$ . Thus the total running time is  $O(v\varepsilon)$ .

We use (6.3) for the following, which is related to (5.5) and to a theorem of Mader [11]. Let  $p \ge 1$  be an integer.

(6.4) ALGORITHM.

Input: A non-null simple graph G with  $|E(G)| \ge 2^{p-3} |V(G)|$ .

Output: A model of  $K_n$  in G.

Running time:  $O(v^2)$ .

Description: We may assume that  $p \ge 3$ , for otherwise the problem is trivial.

- (1) Choose a subgraph  $H \subseteq G$  with V(H) = V(G) and  $|E(H)| = 2^{p-3} |V(H)|$ .
- (2) We perform a recursion. Let  $Y_1 = V(H)$ . At the start of the *i*th iteration we have i-1 disjoint connected subgraphs  $G_1, ..., G_{i-1} \subseteq H$  and a non-null subset  $Y_i \subseteq V(H) V(G_1 \cup \cdots \cup G_{i-1})$  such that
  - (i) there are at least  $2^{p-2-i}|Y_i|$  edges of H with both ends in  $Y_i$ ,
- (ii) every vertex in  $Y_i$  has a neighbour in each of  $V(G_1)$ , ...,  $V(G_{i-1})$ , and
- (iii) for  $1 \le j < j' \le i 1$ , each vertex of  $V(G_{j'})$  has a neighbour in  $V(G_i)$ .

The i th iteration proceeds as follows.

- (3) We apply (6.3) to the restriction of H to  $Y_i$ , taking  $k = 2^{p-2-i}$ . We obtain  $X \subseteq Y_i$  such that the restriction of H to X is non-null and connected,  $N(X) \cap Y_i$  is non-null, and every vertex of  $N(X) \cap Y_i$  has at least  $2^{p-2-i}$  neighbours in  $N(X) \cap Y_i$ . We set  $G_i$  to be the restriction of H to X, and  $Y_{i+1} = N(X) \cap Y_i$ . If i = p-2 the recursion terminates, and otherwise we return for the next iteration.
- (4) Let  $G_{p-1}$ ,  $G_p$  be one-vertex graphs with  $V(G_{p-1}) = \{u\}$ ,  $V(G_p) = \{v\}$ , for some adjacent  $u, v \in Y_{p-1}$ . (These exist since  $Y_{p+1} \neq \emptyset$  and every

 $u \in Y_{p-1}$  has a neighbour in  $Y_{p-1}$ .) Then  $G_1, ..., G_p$  are disjoint, non-null, connected subgraphs of G, and for  $1 \le i < j \le p$  every vertex of  $G_j$  has a neighbour in  $G_i$ . From this we obtain a model of  $K_p$ .

There are p-2 iterations of the recursion, and each takes time  $O(\nu(H) \varepsilon(H))$ . Since  $|E(H)| = 2^{p-3} |V(G)|$ , the running time is  $O(\nu(G)^2)$ .

Next, let  $\xi$ ,  $\delta \ge 0$  be integers.

## (6.5) Algorithm.

Input: A digraph G and a subset  $Z \subseteq V(G)$  with  $|Z| \le \xi$ .

Output: A subdigraph G' of G with  $Z \subseteq V(G')$ , such that G and G' have the same  $\delta$ -folio relative to Z, and such that  $|E(G')| \leq C |V(G')|$ , where  $C = \delta + 2(\delta + 1)2^{p-3}$  and where  $p = \lfloor \frac{5}{2}\xi \rfloor + 3\delta + 1$ .

Running time:  $O(v^3)$ .

Description:

- (1) Let H be a maximal subdigraph of G with at most  $\delta$  loops at each vertex and at most  $\delta + 1$  edges with head u and tail v, for all distinct  $u, v \in V(G)$ . Certainly G and H have the same  $\delta$ -folios relative to Z.
- (2) We set  $G_1 = H$  and perform a recursion. At the start of the *i*th iteration we have a subdigraph  $G_i$  of H with  $Z \subseteq V(G_i)$  and  $|V(G_i)| = |V(H)| i + 1$  such that  $G_i$  and H (and hence G) have the same  $\delta$ -folios relative to Z. If  $|E(G_i)| \le C |V(G_i)|$  we set  $G' = G_i$  and stop. Otherwise, the *i*th iteration proceeds as follows.
  - (3) Let  $J_i$  be the simple (undirected) graph underlying  $G_i$ . Then

$$(\delta + 2(\delta + 1)2^{p-3}) |V(J_i)| = C |V(G_i)| \le |E(G_i)|$$
  
 
$$\le \delta |V(J_i)| + 2(\delta + 1) |E(J_i)|$$

and so  $2^{p-3} |V(J_i)| \le |E(J_i)|$ . We apply (6.4) to  $J_i$  and obtain a model of  $K_p$  in  $J_i$  (and hence in  $G_i$ ).

(4) We apply (6.2) to  $G_i$  and the model of  $K_p$  and find a vertex v of  $G_i$  irrelevant to the  $\delta$ -folio of  $G_i$  relative to Z. We set  $G_{i+1} = G_i \setminus v$  and return for the next iteration.

There are at most v(G) iterations, and each iteration involves an application of (6.4) to  $J_i$ , which takes time  $O(v^2)$ , and an application of (6.2) to  $G_i$ , which takes time  $O(\varepsilon(G_i)) \leq O(v^2)$ . Thus the total running time for constant  $\delta$ ,  $\xi$  is  $O(v^3)$ .

(6.3)-(6.5) can all be implemented more quickly than stated, but for us it makes no difference.

## 7. WALLS

Now we come to the third part of the paper, which concerns what to do to solve Folio when the branch-width of our graph is too high to apply the methods of Section 4 and yet we have not found a large clique minor. In such a graph there is a large grid minor, by (4.2), and, roughly, our method is to find a section of such a minor that does not stand out among the other sections of the grid close to it in any significant way (with regard to how the rest of G is attached to it.) From a theorem which we postpone to a later paper, any vertex in the middle of such an "ordinary" section is irrelevant. Here we give an algorithm which, given a grid minor, either finds a large clique minor, or finds an "ordinary" section of the grid, or moves to a better grid minor, when we start again.

Although grids are natural objects to use from some viewpoints, grid minors introduce a complication (due to the fact that their vertices are mostly 4-valent) which is unnecessary and can be avoided by using elementary walls instead (which are parts of the hexagonal lattice instead of the square lattice). Figure 1 shows an elementary wall of height 8. It has four distinguished vertices, called its corners, shaded in the figure. The elementary wall of height h (where  $h \ge 2$  is even) is similar; there are h rows of "bricks," and h "bricks" in each row, and four "corners." Thus there are h+1 "horizontal" paths and its "vertical" edges divide into 2h+2 "collinear" sets of  $\frac{1}{2}h$  edges each, and the corners are the ends of the first and the last horizontal paths. The perimeter is the circuit which bounds the infinite region when drawn as in Fig. 1.

A wall of height h (where  $h \ge 2$  is even) is a subdivision of an elementary wall of height h. It too therefore has four distinguished vertices, called its corners. A wall is thus a pair (H, P), where H is a graph and  $P \subseteq V(H)$  is

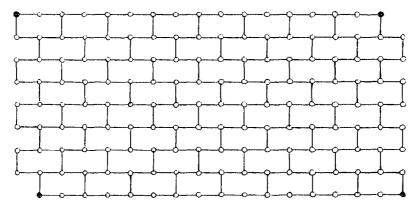


Fig. 1. Elementary 8-wall.

the set of corners, but for convenience we speak of a wall as a graph and leave the corners implicit. The *perimeter* of a wall is defined as before.

Now since every vertex of an elementary wall H of height h has valency  $\leq 3$ , if a graph has a minor isomorphic to H then it has a wall of height h as a subgraph (and algorithmically, it is easy to see how to construct the wall in time  $O(\varepsilon)$ , given a model of H).

(7.1) For  $h \ge 2$ , even, if every graph with branch-width  $\ge \omega/3$  has a minor isomorphic to the elementary wall of height h, then there is an algorithm as in (7.2), with running time  $O(v^2 + \varepsilon)$ .

## (7.2) ALGORITHM.

Input: A graph G.

Output: Either a branch-decomposition of G of width  $\leq \omega$ , or a wall of height h which is a subgraph of G.

Description: Given input G, we apply (4.4) to G to determine if there is a model of the elementary wall of height H in G and to find one if one exists. If we find one, we construct from it a wall of height h and stop. If there is none, then G has branch-width  $<\omega/3$ . We apply (3.3) to G and obtain a subgraph G' of G and a branch-decomposition of G' of width  $\le\omega$ , such that either G'=G or G' has branch-width  $\ge\omega/3$ . Thus G'=G, and we have a branch-decomposition of G of width  $\le\omega$ . We stop.

This algorithm involves one application of (4.4) and one of (3.3); thus, it has running time  $O(v^2 + \varepsilon)$ .

If H is a wall, drawn in the plane as in Fig. 1, its distance function d is defined as follows. For s, t in the plane, d(s, t) = 0 if s = t, and otherwise

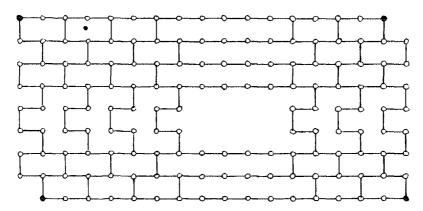


Fig. 2. A hole in a wall.

d(s, t) is the minimum of the number of points of F in the drawing, taken over all subsets F in the plane homeomorphic to [0, 1] with ends s and t. We shall speak of the distance function of H without reference to any drawing, but we assume implicitly that there is given some fixed drawing of H. If  $u, v \in V(H)$ , we define d(u, v) = d(s, t), where s, t are the points of the drawing representing u, v. If H and H' are both walls and H' is a subgraph of H, let the corresponding distance functions (using the drawing of H' obtained from the given drawing of H) be d, d'. If  $d'(s, t) \ge d(s, t) - k$  for all points s, t of the plane, we write  $d' \ge d - k$ .

Given a wall H of height h, we can obtain another wall of height h-2 as indicated in Fig. 2, omitting half the horizontal edges of two consecutive rows and all the vertical edges in four consecutive columns and the four single-edge components that result. By generalizing this construction, we deduce

- (7.3) Let H be a wall of height h with distance function d and let  $v \in V(H)$ . Let  $r \ge 0$  be an integer with  $h \ge 2r + 4$ . Then there is a subgraph H' of H, which is a wall of height h 2r 2, such that
  - (i)  $d' \ge d 4r 4$ , where d' is the distance function in H'
  - (ii) there is no vertex u of H' with  $d(u, v) \le r$ .

The proof is left to the reader. (The most difficult case is when v is close to the infinite region of H, when it is necessary to delete a band of H around the outside.)

Let G be a graph. A wall in G is a subgraph of G which is a wall. Let H be a subgraph of G. An H-path in G is a path with distinct ends, both in V(H), and with no edge or internal vertex in H. We shall need the following lemma.

(7.4) For any  $p \ge 2$  there exists  $r \ge 0$  such that the following holds. Let H be a wall in a graph G. Let  $P_1, ..., P_{\binom{p}{2}}$  be mutually vertex-disjoint H-paths in G, with their p(p-1) ends mutually at distance  $\ge r$ . Then G has a minor isomorphic to  $K_p$ . Moreover, there is an algorithm, with running time  $\le C\varepsilon(G)$ , where C depends only on p, which, given G, H, and  $P_1, ..., P_{\binom{p}{2}}$  as above, finds a model of  $K_p$  in G.

This follows from the main theorem of [16], or more directly from Theorem (4.4) of [20], taking  $\mathcal{T}$  to be the set of all separations (A, B) of H of order < r such that A contains no horizontal path of H, taking  $\Sigma$  to be a sphere, and taking  $\Gamma = H$ .

Let H be a wall in G. For  $l \ge 3$ ,  $m \ge 0$  an (l, m)-star over H in G is a subgraph  $P_1 \cup \cdots \cup P_l$  of G such that for some vertex v,

- (i)  $P_1, ..., P_l$  are paths of G and all have one end v, and are otherwise mutually vertex-disjoint
- (ii) for  $1 \le i \le l$ , let the ends of  $P_i$  be  $v, u_i$ ; then  $u_i \ne v$ , and  $u_i \in V(H)$ , and no edge or internal vertex of  $P_i$  is in H
  - (iii) for  $1 \le i < j \le l$ ,  $u_i$  and  $u_j$  are at distance  $\ge m$  in H.

We say that v is the *center* of the star. (It is unique.) The star is *external* if  $v \notin V(H)$ .

(7.5) For any  $p \ge 2$  there are integers  $l \ge 3$ ,  $m \ge 0$  with the following property. Let G be a graph and let H be a wall in G. Suppose that there are  $\binom{p}{2}$  external (l, m)-stars over H with distinct centers (but not necessarily disjoint). Then G has a  $K_p$ -minor.

*Proof.* We shall prove the following for k,  $m \ge 0$  by induction on k.

Let  $l = 2k^2 - k + 3$ . For any k external (l, m)-stars  $S_1, ..., S_k$  over H with distinct centers there are k mutually vertex-disjoint H-paths of G, each a subgraph of  $S_1 \cup \cdots \cup S_k$ , with their 2k ends mutually at distance  $\geqslant \frac{1}{2}m$ .

The claim is true for k=0, and we assume that k>0. For  $1 \le i \le k-1$ , let  $S_i'$  be an (l-1, m)-star with the same center as  $S_i$ , with  $S_i' \subseteq S_i$  and with  $v_k \notin V(S_i')$ , where  $v_k$  is the center of  $S_k$ . Since  $l-1 \ge 2(k-1)^2 - (k-1) + 3$ , there are (from our inductive hypothesis) k-1 mutually vertex-disjoint H-paths  $Q_1, ..., Q_{k-1}$  of G, with

$$Q_1 \cup \cdots \cup Q_{k-1} \subseteq S'_1 \cup \cdots \cup S'_{k-1}$$

and with their 2(k-1) ends mutually at distance  $\geq \frac{1}{2}m$ .

Let  $S_k = P_1 \cup \cdots \cup P_i$ , with the  $P_i$ 's as in the definition of a star. Suppose that for some j with  $1 \le j \le k-1$  there are  $\ge 2k$  values of i with  $1 \le i \le l$  such that  $P_i \cap Q_j$  is non-null. For each such i, let  $u_i$  be the first vertex of  $P_i$  which belongs to  $V(Q_j)$  (first as  $P_i$  is traversed starting from its end in V(H)). Choose distinct  $i_1, i_2, ..., i_{2k}$  such that  $P_{i_1} \cap Q_j$  is non-null for each t, numbered so that  $u_{i_1}, ..., u_{i_{2k}}$  occur in this order on  $Q_j$ . Then we may construct k H-paths  $R_1, ..., R_k$  with their ends mutually at distance  $\ge m \ge \frac{1}{2}m$ , where each  $R_i$  is formed from subpaths of  $P_{i_{2i-1}}$  and  $P_{i_{2i}}$  and the path of  $Q_j$  between  $u_{i_{2i-1}}, u_{i_{2i}}$ . We may assume therefore that there is no such j.

Hence the total number of pairs i, j with  $P_i \cap Q_j$  non-null is at most (k-1)(2k-1); and so there are at least

$$l-(k-1)(2k-1) \ge 2k$$

values of i such that  $P_i \cap Q_j$  is null for all j with  $1 \le j \le k-1$ . For  $1 \le i \le l$ , let  $u_i, v_k$  be the ends of  $P_i$ , and for  $1 \le j \le k-1$  let  $s_j, t_j$  be the ends of  $Q_j$ . Now for any  $x \in \{s_1, t_1, ..., s_{k-1}, t_{k-1}\}$ ,  $d(u_i, x) < \frac{1}{2}m$  for at most one value of i ( $1 \le i \le l$ ), since  $d(u_i, u_{i'}) \ge m$  for  $i \ne i'$ . Thus there are at least two values of i such that

- (i)  $P_i \cap Q_j$  is null for  $1 \le j \le k-1$ , and
- (ii)  $d(u_i, x) \ge \frac{1}{2}m$  for all  $x \in \{s_1, t_1, ..., s_{k-1}, t_{k-1}\}.$

The union of the corresponding two  $P_i$ 's yields an H-path  $Q_k$ , and  $Q_1, ..., Q_k$  are the desired H-paths. This completes the induction.

In particular, the statement holds if  $k = \binom{p}{2}$ . Let  $l = 2\binom{p}{2}^2 - \binom{p}{2} + 3$ , choose r as in (7.4) and let m = 2r. Then l, m satisfy the theorem, by (7.4).

(7.6) Let H be a wall in a graph G. Suppose there are k (l, m+4k)-stars in G over H with distinct centers  $v_1, ..., v_k$ , where  $m \ge 3$ . Then there is a wall  $H' \subseteq H$  with k external (l, m)-stars over H', with centers  $v_1, ..., v_k$ .

*Proof.* Let  $v_1, ..., v_k \in V(G)$  be distinct, and each the center of an (l, m+4k)-star over H. Let  $H_0=H$ . By k applications of (7.3), with r=0 and setting  $v=v_1, ..., v_k$  in turn, we deduce that there are walls  $H_1, ..., H_k$  such that for  $1 \le i \le k$ 

- (i)  $H_i$  is a subgraph of  $H_{i-1}$ , and  $v_i \notin V(H_i)$
- (ii)  $d_i \ge d_{i-1} 4$ , where  $d_0, ..., d_k$  are the corresponding distance functions.
- (1) If  $v \in V(G)$  is the center of an (l, m+4(k-i+1))-star over  $H_{i-1}$ , then v is the center of an (l, m+4(k-i))-star over  $H_i$ , for  $1 \le i \le k$ .

Subproof. Let  $P_1 \cup \cdots \cup P_l$  be an (l, m+4(k-i+1))-star over  $H_{i-1}$ , with center v. For  $1 \le j \le l$ , let  $P_j$  have ends v,  $u_j$ ; and let  $Q_j$  be a minimal path of  $H_{i-1}$  between  $u_j$  and  $V(H_i)$ , with ends  $u_j$ ,  $w_j$  say. Now for distinct j, j',

$$d_i(u_j, u_{j'}) \ge d_{i-1}(u_j, u_{j'}) - 4 \ge m + 4(k-i+1) - 4 = m + 4(k-i) \ge 3.$$

Consequently,  $u_j$  and  $u_{j'}$  do not belong to the closure of the same region of  $H_i$ , and hence  $Q_i$  and  $Q_{j'}$  are vertex-disjoint. It follows that

$$(P_1 \cup Q_1) \cup (P_2 \cup Q_2) \cup \cdots \cup (P_l \cup Q_l)$$

is an (l, m+4(k-i))-star over  $H_i$ , as required.

From (1), we deduce that  $v_1, ..., v_k$  are all centers of (l, m)-stars over  $H_k$ ; and these stars are all external since  $v_1, ..., v_k \notin V(H_k)$ . The result follows.

From (7.5) and (7.6) we deduce

(7.7) For any  $p \ge 2$  there are integers  $l \ge 3$ ,  $m \ge 0$  with the following property. Let G be a graph and let H be a wall in G, so that there are  $\binom{p}{2}$  (l, m)-stars over H, with distinct centers; then G has a  $K_p$ -minor. Moreover, there is an algorithm, with running time  $\le C\varepsilon(G)$ , where C depends only on p, which, given  $\binom{p}{2}$  (l, m)-stars with distinct centers over a wall H in a graph G, finds a model of  $K_p$  in G.

The first assertion is clear from (7.5) and (7.6). The second follows since the proofs of (7.5) and of (7.6) may easily be converted to algorithms.

## 8. The 2-Paths Problem

Let G be a graph and let  $\Omega$  be a cyclic permutation of a subset (denoted by  $\overline{\Omega}$ ) of V(G). We call  $(G,\Omega)$  a *society*. Actually, for our application in this paper we could assume that  $|\overline{\Omega}|=4$  throughout, but we have chosen to give the results in general. A *cross* in a society  $(G,\Omega)$  is a subgraph  $P \cup Q$  of G, where P,Q are vertex-disjoint paths of G with ends  $p_1,p_2$  and  $q_1,q_2$ , respectively, and  $p_1,q_1,p_2,q_2 \in \overline{\Omega}$  and occur in this order or its reverse under  $\Omega$ . We need the following.

#### (8.1) Algorithm.

Input: A society  $(G, \Omega)$ .

Output: A cross in  $(G, \Omega)$  if one exists.

Running time:  $O(v\varepsilon)$ .

Description: We assume that  $|\bar{\Omega}| \ge 4$ , for otherwise no cross exists. Let H be the cubic graph formed by two vertex-disjoint circuits  $C_1$ ,  $C_2$ , both of length  $|\bar{\Omega}|$ , and for  $1 \le i \le |\bar{\Omega}|$  an edge joining the i th vertices of  $C_1$  and  $C_2$ . Identify  $V(C_2)$  with  $\bar{\Omega}$ , in order, and let  $s_1$ ,  $s_2$ ,  $t_1$ ,  $t_2$  be distinct vertices of  $C_1$ , in order. We apply an algorithm of Shiloach [27] to find two paths of  $G \cup H$ , vertex-disjoint, joining  $s_1$ ,  $t_1$  and  $s_2$ ,  $t_2$ , respectively, if they exist. If we find two such paths it is easy to derive a cross in  $(G, \Omega)$ ; and if there are not two such paths it is easy to see that  $(G, \Omega)$  has no cross. (Since Shiloach's algorithm has running time  $O(v\varepsilon)$ , so does (8.1).)

Let  $(G, \Omega)$  be a society. A subset  $Z \subseteq V(G)$  is trisecting if  $\overline{\Omega} \subseteq Z$ , and for every  $v \in V(G) - Z$  there are  $\leq 3$  vertices  $z \in Z$  such that there is a path of G between v and z with no other vertex in Z. Thus, V(G) is always trisecting.

A core C in  $(G, \Omega)$  is a simple graph with vertex set a trisecting subset  $Z \subseteq V(G)$ , in which distinct  $u, v \in Z$  are adjacent if and only if there is a

path in G between u and v with no internal vertex in Z. (Note that  $E(C) \nsubseteq E(G)$  in general.) If C is a core, we say  $v \in V(C)$  is 4-linked in C if there is no separation (A, B) of C of order  $\leq 3$  with  $v \in V(A) - V(B)$  and  $\bar{\Omega} \subseteq V(B)$ . A core C is 4-linked if every  $v \in V(C)$  is 4-linked in C.

(8.2) Let C be a core of  $(G, \Omega)$ , and let  $v \in V(C)$  not be 4-linked. Let (A, B) be a separation of C with  $v \in V(A) - V(B)$  and  $\overline{\Omega} \subseteq V(B)$ , of minimum order, and let C' be the simple graph obtained from B by adding edges joining all pairs of vertices in  $V(A \cap B)$  if they are not already adjacent. Then C' is a core of  $(G, \Omega)$ , and each  $v' \in V(C')$  which is 4-linked in C is also 4-linked in C'.

Proof. (1) V(B) is trisecting.

Subproof. Let  $u \in V(G) - V(B)$  and suppose that  $z_1, ..., z_4 \in V(B)$  are distinct, and for  $1 \le i \le 4$   $P_i$  is a path of G between u and  $z_i$  with no vertex in V(B) except  $z_i$ . Since  $|V(A \cap B)| \le 3$ , we may assume that  $z_4 \notin V(A)$ . Since V(C) is trisecting, some vertex of  $P_1 \cup \cdots \cup P_4$  belongs to V(A) - V(B). Hence there is a path of  $P_1 \cup \cdots \cup P_4$  between  $z_4$  and V(A) - V(B) with no internal vertex in V(C). But then the ends of this path are adjacent in C, contradicting that (A, B) is a separation of C. This proves (1).

(2) If  $a, b \in V(A \cap B)$  are distinct, there is a path of G with ends a, b and with no internal vertex in V(B).

Subproof. By the minimality of  $|V(A \cap B)|$  and Menger's theorem, there are  $|V(A \cap B)|$  paths of A between v and  $V(A \cap B)$ , mutually disjoint except for v, and the union of two of these yields a path P of A with ends a and b and with no internal vertex in V(B). For each  $e \in E(P)$ , let  $P_e$  be a path of G with the same ends as e with no internal vertex in V(C). Then  $\bigcup (P_e : e \in E(P))$  is connected, and meets V(B) in precisely  $\{a, b\}$ , and so it includes a path of G between a and b with no internal vertex in V(B), as required.

(3) For distinct  $a, b \in V(B)$ , there is a path of G with ends a, b and with no internal vertex in V(B) if and only if a, b are adjacent in C'.

Subproof. Since every two vertices in  $V(A \cap B)$  are adjacent in C', we may assume by (2) that  $b \notin V(A \cap B)$ . If a, b are adjacent in C', they are adjacent in C and are therefore joined by a path of G as required. Conversely, suppose that P is a path of G with ends a, b and with no internal vertex in V(B). Since b is not adjacent in C to any vertex in V(A) - V(B), it follows that  $V(P) \cap V(A) \subseteq \{a\}$ , and so a, b are adjacent in C and hence in C', as required.

From (1) and (3) we see that C' is a core.



(4) If  $v' \in V(C')$  is 4-linked in C then it is 4-linked in C'.

Subproof. Suppose that (A', B') is a separation of order  $\leq 3$  of C' with  $v' \in V(A') - V(B')$  and  $\overline{\Omega} \subseteq V(B')$ . Since  $V(A \cap B)$  is the vertex set of a complete subgraph of C', either  $V(A \cap B) \subseteq V(A')$  or  $V(A \cap B) \subseteq V(B')$ . In the first case  $((A \cup A') \cap C, B' \cap C)$  and in the second case  $(A' \cap C, (A \cup B') \cap C)$  is a separation of C of order  $\leq 3$  showing that v' is not 4-linked in C, as required.

From (4), the result follows.

From (8.2) we obtain

#### (8.3) ALGORITHM.

Input: A society  $(G, \Omega)$ .

Output: A 4-linked core of  $(G, \Omega)$ .

Running time:  $O(v\varepsilon)$ .

Description: Initially, set C = G; then C is a core, but it need not be 4-linked. For each vertex v of G in turn, if  $v \in V(C)$  test if v is 4-linked in C; and if v is not 4-linked in C, choose (A, B) as in (8.2), define C' as in (8.2), and replace C by C'. When this terminates, C is a 4-linked core, by the last assertion of (8.2). (For each  $v \in V(G)$  this takes time  $O(\varepsilon)$ ; and so the total running time is  $O(v\varepsilon)$ .)

Next, we need the following.

(8.4) If C is a core of  $(G, \Omega)$  then  $(G, \Omega)$  has a cross if and only if  $(C, \Omega)$  has a cross.

The proof is easy and we omit it (compare [18, Theorem (11.10)]).

We say that  $(G, \Omega)$  is *rural* if it can be drawn in a disc with the vertices of  $\overline{\Omega}$  drawn in the boundary of the disc, in order according to  $\Omega$ . The following is related to results of [9, 18, 26, 27, 29].

(8.5) Let C be a 4-linked core of  $(G, \Omega)$ . Then  $(G, \Omega)$  has no cross if and only if  $(C, \Omega)$  is rural.

*Proof.* By (8.4),  $(G, \Omega)$  has no cross if and only if  $(C, \Omega)$  has no cross. But by [18, Theorems (2.3) and (2.4)],  $(C, \Omega)$  has no cross if and only if  $(C, \Omega)$  is rural, since C is 4-linked.

Let  $(G, \Omega)$  be a society. For a subgraph A of G we define  $\partial A$  to be the set of all  $v \in V(A)$  such that either  $v \in \overline{\Omega}$  or v is incident with an edge of G not in A. A division of  $(G, \Omega)$  is a set  $\mathscr A$  of subgraphs of G such that

- (i)  $\bigcup (A: A \in \mathcal{A}) = G$
- (ii) for all distinct  $A, A' \in \mathcal{A}$ ,  $E(A \cap A') = \emptyset$  and  $V(A \cap A') = \partial A \cap \partial A'$

- (iii) for each  $A \in \mathcal{A}$ ,  $|\partial A| \leq 3$  and there are  $|\partial A|$  mutually vertex-disjoint paths of G between  $\partial A$  and  $\Omega$
- (iv) for each  $A \in \mathcal{A}$  and all  $u, v \in \partial A$ , there is a path of A between u and v with no internal vertex in  $\partial A$ 
  - (v) if  $A, A' \in \mathcal{A}$  are distinct then  $\partial A \neq \partial A'$
- (vi) for each  $A \in \mathcal{A}$ , some vertex or edge of A belongs to no other member of  $\mathcal{A}$ .

If  $\mathscr{A}$  is a division, we write  $\partial \mathscr{A} = \bigcup (\partial A : A \in \mathscr{A})$ . Thus,  $\overline{\Omega} \subseteq \partial \mathscr{A}$ . We say a division  $\mathscr{A}$  of  $(G, \Omega)$  is rural if  $(G', \Omega)$  is rural, where G' is the bipartite graph with vertex set  $\mathscr{A} \cup \partial \mathscr{A}$  in which  $A \in \mathscr{A}$  is adjacent to  $v \in \partial \mathscr{A}$  if  $v \in \partial A$ .

(8.6) Algorithm.

Input: A society  $(G, \Omega)$ .

Output: Either a cross in  $(G, \Omega)$ , or a rural division of  $(G, \Omega)$ .

Running time:  $O(v\varepsilon)$ .

Description: First we use (8.1) to find a cross if there is one. If not, we use (8.3) to find a 4-linked core C. Let  $\mathscr{A}'$  be the set of all subgraphs A of G such that either

- (i) A consists of an edge of G with both ends in V(C), and its ends, or
- (ii) A consists of a component of  $G \setminus V(C)$ , together with all edges of G between this component and V(C), and their ends, or
  - (iii) A consists of a vertex  $v \in \overline{\Omega}$  incident with no edges of G.

We compute  $\mathscr{A}'$ . Finally, say  $A_1, A_2 \in \mathscr{A}'$  are equivalent if  $\partial A_1 = \partial A_2$ , let  $\mathscr{A}_1, ..., \mathscr{A}_k$  be the equivalence classes into which  $\mathscr{A}'$  is partitioned by this relation, and let

$$\mathcal{A} = \left\{ \bigcup \left( A : A \in \mathcal{A}_i \right) : 1 \leq i \leq k \right\}.$$

Output A, and stop.

We must prove that  $\mathcal{A}$  is a rural division of  $(G, \Omega)$ . First, we observe:

(1) For each  $A \in \mathcal{A}'$ ,  $|V(A) \cap V(C)| \leq 3$  and there are  $|V(A) \cap V(C)|$  mutually vertex-disjoint paths of G between  $V(A) \cap V(C)$  and  $\overline{\Omega}$ .

Subproof. Now  $|V(A) \cap V(C)| \le 3$  since V(C) is trisecting. Since C is 4-linked, there are  $|V(A) \cap V(C)|$  mutually vertex-disjoint paths of C between  $V(A) \cap V(C)$  and  $\overline{\Omega}$ ; and these can be converted easily to paths in G.



We deduce

(2) For each  $A \in \mathcal{A}'$ ,  $V(A) \cap V(C) = \partial A$ .

Subproof. Now  $V(A) \cap V(C) \subseteq \partial A$ , by (1). For the converse, let  $v \in V(A)$ . Then  $v \in V(A)$ , and we claim that  $v \in V(C)$ . Since  $\overline{\Omega} \subseteq V(C)$  we may assume that  $v \notin \overline{\Omega}$ , and so there is an edge e of G incident with v, with  $e \notin E(A)$ . By definition of  $\mathscr{A}'$ ,  $v \in V(C)$  as required.

From (1) and (2) it follows that  $\mathcal{A}'$  satisfies conditions (i), (ii), (iii), (iv) in the definition of a division.

(3) Let  $X \subseteq V(G)$ , let  $\mathscr{B} = \{A \in \mathscr{A}' : \partial A = X\}$ , and let  $B = \bigcup (A : A \in \mathscr{B})$ . Then  $\partial B = X$ , if  $\mathscr{B} \neq \emptyset$ .

Subproof. Certainly  $\partial B \subseteq X$ . For the converse, since  $\mathcal{B} \neq \emptyset$ , there exists  $A \in \mathcal{B}$ , and by (1) there are |X| mutually vertex-disjoint paths of G between X and  $\Omega$ . Each of these paths has no vertex in any  $A' \in \mathcal{B}$  except its end in X, because  $\partial A' = X$ . It follows that each of these paths has no vertex in B except its end in X, and so  $\partial B = X$ , as required.

Since  $\mathscr{A}'$  satisfies (i)-(iv) in the definition of a division, it follows from (3) that  $\mathscr{A}$  satisfies (i)-(v) and hence is a division. To see that  $\mathscr{A}$  is rural, we observe first that  $(C,\Omega)$  is rural, by (8.5). For each  $A \in \mathscr{A}$ , the vertices of  $V(A) \cap V(C)$  form a complete subgraph of C, and since C is 4-linked, this is either empty, a single vertex, an edge of C, or a triangle of C bounding a region of the drawing of C. Since no two members of  $\mathscr{A}$  correspond to the same complete subgraph of C (and in particular, no two correspond to the same triangular region) it follows that  $\mathscr{A}$  is rural, as required.

Thus the algorithm gives the desired output. Moreover, its running time is  $O(v\varepsilon)$ , since (8.1) and (8.3) both take time  $O(v\varepsilon)$  and computing  $\mathscr{A}'$  and  $\mathscr{A}$  both take time  $O(\varepsilon)$ .

## 9. FINDING A FLAT SECTION OF A WALL

We begin by defining a *subwall* of a wall. First, let J be an elementary wall of height h. Draw J in the plane as in Fig. 1, and let us name the vertices of J by x, y-coordinates, taking the origin in the bottom left of the figure. Thus, J has vertex set

$$\{(x, y): 0 \le x \le 2h+1, 0 \le y \le h\} - \{(0, 0), (2h+1, h)\}$$

and corners (1, 0), (2h + 1, 0), (0, h), (2h, h). Let h' be even with  $2 \le h' \le h$ , choose i, j, even, with  $0 \le i \le 2h - 2h'$  and  $0 \le j \le h - h'$ , and let J' be the subgraph of J induced on the vertex set

$$\{(x, y): i \le x \le i + 2h' + 1, j \le y \le j + h'\} - \{(i, j), (i + 2h' + 1, j + h')\}$$

with corners (i+1, j), (i+2h'+1, j), (i, j+h'), (i+2h, j+h'). Then J' is an elementary wall of height h'. Now let H be a subdivision of J, and let H' be the corresponding subdivision of J'; we say that the wall H' is a subwall of H. It follows that if H' is a subwall of H, then H' consists of its perimeter C together with the portion of H inside C. (Thus the wall H' of (7.3) is in general not a subwall of H.) If H' is a subwall of H, we shorten "inside the perimeter of H'" to "inside H'", etc. If H is a wall, and  $H_1$ ,  $H_2$  are non-null subgraphs of H, we define  $d(H_1, H_2)$  to be the minimum of  $d(v_1, v_2)$  over all  $v_1 \in V(H_1)$  and  $v_2 \in V(H_2)$ , where d is the distance function of H.

Let H be a wall in a graph G, and let  $p_1$ ,  $p_2$ ,  $p_3$ ,  $p_4$  be the corners of H, in cyclic order. A cross over H in G is a subgraph  $P \cup Q$ , where P, Q are mutually vertex-disjoint paths of G with ends  $p_1$ ,  $p_3$  and  $p_2$ ,  $p_4$ , respectively.

- (9.1) For any integer  $p \ge 0$  there exist  $k, r \ge 0$  such that the following holds. Let H be a wall in G, and let  $H_1, ..., H_k$  be subwalls of H with  $d(H_i, H_j) \ge r (1 \le i < j \le k)$ , where d is the distance function of H. For  $1 \le i \le k$ , let  $P_i \cup Q_i$  be a cross over  $H_i$ , such that
  - (i)  $(P_i \cup Q_i) \cap H \subseteq H_i$ , for  $1 \le i \le k$
  - (ii)  $(P_i \cup Q_i) \cap (P_j \cup Q_j)$  is null for  $1 \le i < j \le k$ .

Then G has a  $K_p$ -minor. Moreover, a model of  $K_p$  in G can be found in time  $\leq C\varepsilon(G)$ , where C depends only on p and the height of H.

*Proof.* Let  $\Sigma$  be a sphere, and for p as given, let  $\theta$ , t be as in [19, Theorem (4.5)]. We may assume that  $t \ge 2$ . Let k = t + 1 and let  $r = 4\theta + 4$ . Now let  $H, G, H_1, ..., H_k$  and  $P_i, Q_i$   $(1 \le i \le k)$  be as in the theorem, and let H be drawn in  $\Sigma$ . All but one of the regions of H are "bricks;" let s be a point of  $\Sigma$ , from the region that is not a brick. For  $1 \le i \le k$ , let  $d_i$  be the minimum of d(s, x) taken over all  $x \in V(H_i)$ . We may assume that  $d_1, ..., d_t \ge d_k$ . Since for  $1 \le i \le t$  we have  $r \le d(H_i, H_k) \le d_i + d_k$ , it follows that  $d_1, ..., d_t \ge \frac{1}{2}r$ .

Let H have n horizontal paths, and for each of them (say the jth), let  $P_j$  be a subpath of length  $\frac{1}{2}r - 2 = 2\theta$  with one end in the perimeter of H. Since  $d_i \geqslant \frac{1}{2}r = 2\theta + 2$  for each i, it follows that  $P_j$  is disjoint from  $H_i$ , for all i ( $1 \leqslant i \leqslant t$ ) and j ( $1 \leqslant j \leqslant n$ ). Let  $\Gamma$  be obtained from H by deleting all of  $H_i$  except its perimeter, for  $1 \leqslant i \leqslant t$ . Then for  $1 \leqslant j \leqslant j' \leqslant n$  there are  $\theta$  paths of  $\Gamma$  between  $V(P_j)$  and  $V(P_{j'})$ , mutually vertex-disjoint. Let  $\mathcal{F}$  be the set of all separations (A, B) of  $\Gamma$  of order  $<\theta$  such that none of  $P_1, ..., P_n$  is a subgraph of A. The result follows easily from [19, Theorem (4.5)] applied to  $\Sigma, \Gamma, \mathcal{F}$ .

Let H' be a subwall of a wall H in a graph G. We say H' is dividing if there is no H-path in G with one end inside H' and the other end outside, and non-dividing otherwise. The following result is closely related to Theorem (6.3) of [21] and its proof is along similar lines (although much easier).

(9.2) For any  $l \ge 3$ , and  $m, p \ge 0$ , there exist  $k, r \ge 0$  such that the following holds. Let H be a wall with distance function d in a graph G, and let  $H_1, ..., H_k$  be non-dividing subwalls of H, with

$$d(H_i, H_i) \geqslant r$$
  $(1 \leqslant i < j \leqslant k)$ .

Then either there is an (l, m)-star over H or G has a  $K_p$ -minor. Moreover, there is an algorithm with running time  $\leq C\varepsilon(G)$ , where C depends only on l, m, p and the height of H, which given such subwalls  $H_1, ..., H_k$ , finds either an (l, m)-star over H or a model of  $K_p$  in G.

*Proof.* Let k, r be sufficiently large, in terms of l, m, p. (We shall specify later how to choose k and r.) Then  $H_1$ , ...,  $H_k$  are mutually disjoint.

Let F be a minimal subgraph of G such that for  $1 \le i \le k$ , F contains an H-path from inside  $H_i$  to outside  $H_i$ . Thus  $E(F \cap H) \ne \emptyset$ . We can find F in time  $O(\varepsilon)$ , for fixed l, m, p, k, r. Since any circuit of F has  $\ge 2$  vertices in V(H) (by the minimality of F) it follows that we may express F as a union of trees  $S_j$  ( $1 \le j \le t$ ), where  $S_j \cap S_j \subseteq H$  for all  $j \ne j'$ , and every vertex of each  $S_j$  in V(H) is a leaf of  $S_j$ , and each leaf of  $S_j$  is in V(H).

A vertex  $v \in V(F \cap H)$  is essential if v is inside  $H_i$  for some i, and v has valency 1 in F, and no other vertex of F is inside  $H_i$ .

(1) For  $1 \le j \le t$ , if  $e \in E(S_j)$  there exists i with  $1 \le i \le k$  such that some leaf v of  $S_j$  inside  $H_i$  is essential, and every path of  $S_j$  between v and another leaf uses e.

Subproof. From the minimality of F, there exists i ( $1 \le i \le k$ ) such that every H-path in F from inside  $H_i$  to outside  $H_i$  uses e. In particular, every such H-path is a path of  $S_j$ , and so for  $j' \ne j$ , either no leaf of  $S_j$  is inside  $H_i$  or no leaf of  $S_j$  is outside  $H_i$ . The latter contradicts the minimality of F, for then any edge of  $S_j$  could be deleted from F. Hence for  $j' \ne j$ , no leaf of  $S_j$  is inside  $H_i$ . Let v be a leaf of  $S_j$  inside  $H_i$ , and suppose that some leaf  $u \ne v$  of  $S_j$  is either inside  $H_i$  or in the perimeter of  $H_i$ . Let f be the edge of  $S_j$  incident with f. There is a path of f from f to outside f and it does not use f. Also, for f if, there is a path of f from inside f to outside f and it can be chosen not to use f, by rerouting it to f instead of to f in necessary. But this contradicts the minimality of f. Consequently, f

is the only leaf of  $S_j$  inside  $H_i$  or in its perimeter. Let  $u \neq v$  be a leaf of  $S_j$ . We have already seen that u is outside  $H_i$ , and hence the path of  $S_j$  between u and v uses e. This proves (1).

We deduce

(2) For  $1 \le j \le t$ , at most one vertex of  $S_j$  has valency  $\ge 3$  in  $S_j$ ; and if  $S_j$  has  $\ge 3$  leaves they are all essential, and if  $S_j$  has two leaves then at least one is essential.

Subproof. The first claim follows from (1) applied to an edge e of the path of  $S_j$  between two vertices of valency  $\ge 3$ . The second and third claims also follow from (1).

(3) If  $r \ge m$  then any vertex of F of valency  $\ge l$  (in F) is the center of an (l, m)-star in G over H.

Subproof. If v is such a vertex and  $v \notin V(H)$  then v is the unique vertex of valency  $\geq 3$  of some  $S_j$  by (2), and we may choose the desired (l, m)-star from  $S_j$ . If  $v \in V(H)$ , then v is a leaf of at least l different  $S_j$ 's, each of which is an H-path from v to some essential vertex, by (2); thus the union of l of these  $S_j$ 's yields the desired (l, m)-star.

- By (3) we may assume that every vertex of F has valency < l. It follows that
- (4) For any  $n \ge 0$ , if  $k \ge n(l-1)^2$ , then there exist n mutually vertex-disjoint H-paths  $Q_1, ..., Q_n \subseteq F$  with ends  $s_i, t_i$   $(1 \le i \le n)$ , and we may renumber  $H_1, ..., H_k$  so that  $s_i$  is inside  $H_i$ ,  $t_i$  is outside  $H_i$ , and no other  $s_j$  or  $t_i$  is inside  $H_i$   $(1 \le i \le n)$ .

Subproof. By (2), for  $1 \le j \le k$  at most one vertex of  $S_j$  belongs to  $S_{j'}$  for some  $j' \ne j$ , and that vertex only belongs to  $\le l-2$   $S_{j'}$ 's for  $j' \ne j$ . Thus  $V(S_j \cap S_{j'}) \ne \emptyset$  for  $\le l-2$  values of  $j' \ne j$ . Choose  $J \subseteq \{1, ..., t\}$  maximal such that  $S_j \cap S_{j'}$  is null for all distinct  $j, j' \in J$ . Since each  $S_{j'}$  ( $j' \notin J$ ) meets  $S_j$  for some  $j \in J$ , and any  $S_j$  ( $j \in J$ ) meets  $\le l-2$   $S_{j'}$ 's ( $j' \notin J$ ), it follows that  $t-|J| \le (l-2)|J|$ , that is,  $|J| \ge t(l-1)^{-1}$ . Now each  $S_j$  ( $1 \le j \le t$ ) has  $\le l-1$  leaves, and each  $H_i$  ( $1 \le i \le k$ ) contains a leaf of some  $S_j$ , and so  $(l-1)t \ge k$ . Thus  $|J| \ge k(l-1)^{-2} \ge n$ . For each  $j \in J$  let  $Q_j \subseteq S_j$  be an H-path with one end an essential vertex v, and the other end outside  $H_i$ , where v is inside  $H_i$ ; then the claim follows.

Later we shall specify five numbers  $r_1$ ,  $n_1$ ,  $n_2$ ,  $n_3$ , r depending on l, m, p but not on G. Then n will be defined in terms of  $r_1$ ,  $n_1$ ,  $n_2$ ,  $n_3$ , r and k will be determined by (4).

(5) For all sufficiently large  $r_1$  and  $n_1$ , if there exists  $I \subseteq \{1, ..., n\}$  with  $|I| = n_1$  such that  $d(s_i, t_i) \ge r_1$  for  $i \in I$  and  $d(t_i, t_{i'}) \ge r_1$  for distinct  $i, i' \in I$ , then G has a  $K_{\sigma}$ -minor.

Subproof. If  $i \in I$ , there are at most two  $i' \in I - \{i\}$  such that either  $d(s_i, t_{i'}) \leq \frac{1}{2}r_1$  or  $d(t_i, s_{i'}) \leq \frac{1}{2}r_1$ . Thus we may choose  $I' \subseteq I$  with  $|I'| \geq \frac{1}{3}n_1$  such that for distinct  $i, i' \in I'$ ,

$$d(s_i, s_{i'}), d(s_i, t_{i'}), d(t_i, s_{i'}), d(t_i, t_{i'}) > \frac{1}{2}r_1$$

By (7.4), if  $r_1$  and  $n_1$  are sufficiently large, G has a  $K_p$ -minor. This proves (5).

Choose  $r_1$ ,  $n_1$  to satisfy (5).

(6) If  $n_2$  and r are sufficiently large, and there exists  $I \subseteq \{1, ..., n\}$  with  $|I| = n_2$  such that  $d(s_i, t_i) \le r_1$  for all  $i \in I$ , then G has a  $K_p$ -minor.

Subproof. Now  $d(s_i, C) \ge \frac{1}{2}r - 1$  for all  $i \in I$  except possibly one, where C is the perimeter of H. Hence we may enlarge  $n_2 - 1$  of the  $H_i$ 's to subwalls  $H_i'$ , say of H, still mutually far apart but now with  $t_i$  inside  $H_i'$ . These  $H_i'$ 's have crosses as in (9.1), and the claim follows from (9.1).

(7) If  $n_3$  and r are sufficiently large, and there exist  $i_0$  with  $1 \le i_0 \le n$  and  $I \subseteq \{1, ..., n\} - \{i_0\}$  such that  $|I| = n_3$  and  $d(t_i, t_{i_0}) \le r_1$  for  $i \in I$ , then G has a  $K_p$ -minor.

Subproof. By (7.3) we may choose a wall  $H' \subseteq H$  with  $t_i \notin V(H')$   $(i \in I)$  and with all the  $t_i$ 's  $(i \in I)$  in the same component of  $H \setminus V(H')$ . Since  $H \setminus V(H')$  has maximum valency  $\leq 3$ , we may pair many of the  $t_i$ 's by vertex-disjoint paths of H disjoint from H'. This yields many H'-paths in G with their ends mutually far apart, and G has a  $K_p$ -minor by (7.4), with suitable choice of  $n_3$  and r. This proves (7).

Choose  $n_2$ ,  $n_3$ , and r to satisfy (6) and (7). (All these choices are independent of G.) Finally, we observe that

(8) If n is sufficiently large, then there exists  $I \subseteq \{1, ..., n\}$  as in (5), (6), or (7).

We choose n to satisfy (8) and k as in (4). The result follows.

With k and r chosen as in the theorem, the algorithm to find an (l, m)-star over H or a model of  $K_p$  is trivial. First, we determine F. This takes time  $O(\varepsilon)$ . Since the size of F is bounded (except for divalent vertices) by a function of l, m, and p, we can find an (l, m)-star or a model of  $K_p$  in  $H \cup F$  in time  $\le C\varepsilon(G)$ , where C depends only on l, m, p, and the height of H.

From (9.2) we obtain

(9.3) For any  $p \ge 0$  there exists k,  $r \ge 0$  such that there is an algorithm as in (9.4), with running time  $\le C\varepsilon(G)$ , where C depends on p, t, and the height of H.

(9.4) ALGORITHM.

Input: A graph G, a wall  $H \subseteq G$  with distance function d, and subwalls  $H_1, ..., H_t$  of H with  $d(H_i, H_j) \geqslant r$   $(1 \leqslant i < j \leqslant t)$ .

Output: Either

- (i) a model of  $K_p$  in G, or
- (ii) a subset  $X \subseteq V(G)$  with  $|X| < \binom{p}{2}$  and a subset  $I \subseteq \{1, ..., t\}$  with  $|I| \ge t k$  such that for all  $i \in I$ ,  $H_i$  is dividing in  $(G \setminus X) \cup H$ .

Proof of (9.3). Let  $l \ge 4$ ,  $m \ge 0$  satisfy (7.7), and let k,  $r \ge 0$  satisfy (9.2). Now we describe the algorithm for (9.4). We set  $Y_1 = \emptyset$ , and perform a recursion. At the beginning of the *i*th iteration we have a set  $Y_i$  of i-1 (l, m)-stars over H in G, with distinct centers. We proceed as follows:

- (1) If  $i = \binom{p}{2}$  we apply (7.7) to obtain a model of  $K_p$  in G, and stop.
- (2) Otherwise  $i < {p \choose 2}$ . Let X be the set of centers of the stars in  $Y_i$ . Let  $G' = (G \setminus X) \cup H$ . Then H is a wall in G', and no (l, m)-star in G' has center in X (since  $l \ge 4$  and every vertex of G' in X has valency  $\le 3$ ).
- (3) We test which  $H_i$ 's are dividing in G'. If at least t-k of them are dividing in G' we stop.
- (4) Otherwise, at least k of the  $H_i$ 's are non-dividing. We choose k of them and apply (9.2). We obtain either a model of  $K_p$  in G' and hence in G, when we stop, or an (l, m)-star S over H in G', when we set  $Y_{i+1} = Y_i \cup \{S\}$  and return for the next iteration.

This algorithm has running time  $\leq C\varepsilon(G)$ , where C depends only on p, t, and the height of H, because there are at most  $\binom{p}{2}$  iterations, each involving t tests of "is  $H_i$  dividing?" which takes time  $O(\varepsilon)$ , together possibly with an application of (7.7) or (9.2).

Let H be a wall in a graph G, with perimeter C. Let  $W \subseteq V(G)$  be the union of V(C) and the vertex set of the unique component of  $G \setminus V(C)$  that contains a vertex of H. We define the *compass of* H in G to be the restriction of G to W. It follows that H is a subgraph of its compass, and its compass is connected.

(9.5) Let H be a wall in a graph G. If  $H_1$  is a dividing subwall of H with compass  $K_1$ , then  $K_1 \cap H \subseteq H_1$ ; and if  $H_2$  is another dividing subwall of H with compass  $K_2$ , and  $H_1 \cap H_2$  is null, then  $K_1 \cap K_2$  is null.

*Proof.* Since  $H_1$  is an induced subgraph of H, to prove the first claim it suffices to show that  $V(K_1 \cap H) \subseteq V(H_1)$ . Suppose that  $t \in V(K_1 \cap H) - V(H_1)$ . Since t belongs to the compass of  $H_1$  and not to the perimeter  $C_1$  of  $H_1$ , there is a path of  $G \setminus V(C_1)$  between t and the inside of  $H_1$ , and hence there is an H-path with one end inside  $H_1$  and the other end outside. But this contradicts that  $H_1$  is dividing and proves the first claim.

For the second claim, certainly  $K_1 \cap H_2$  is null, since  $K_1 \cap H_2 \subseteq K_1 \cap H \subseteq H_1$ , and similarly  $K_2 \cap H_1$  is null. Suppose that  $t \in V(K_1 \cap K_2)$ . Since  $t \in V(K_1)$  and  $t \notin V(H)$ , there is a path of  $G \setminus V(C_1)$  between t and the inside of  $H_1$ . By combining this and a similar path for  $H_2$ , we obtain an H-path from the inside of  $H_1$  to the inside of  $H_2$ , a contradiction. Hence  $K_1 \cap K_2$  is null, as required.

A subwall H of G is flat in G if there is no cross  $P \cup Q$  over H such that  $P \cup Q$  is a subgraph of the compass of H in G.

- (9.6) For any  $p, s \ge 0$  and  $h \ge 2$ , even, there exists  $h' \ge p^2 + 2$ , even, and an algorithm as in (9.7) with running time  $O(v\varepsilon)$  for fixed p, s, h.
- (9.7) ALGORITHM. Input: A graph G, and a wall H in G of height h'. Output: Either
  - (i) a model of  $K_p$  in G, or
- (ii) a subset  $X \subseteq V(G)$  with  $|X| < {p \choose 2}$  and s subwalls  $H_1, ..., H_s$  of H of height h, mutually vertex-disjoint, and each flat and dividing in  $(G \setminus X) \cup H$ , and each disjoint from X.

*Proof of* (9.6). We may assume that  $p \ge 2$ . Let  $k = k_1$ ,  $r = r_1$  satisfy (9.3), and let  $k = k_2$ ,  $r = r_2$  satisfy (9.1) for the given p. Let h' be some even number satisfying

$$h' \ge \left(s + k_1 + k_2 + {p \choose 2} + 1\right) \cdot (h + \max(r_1, r_2) + 1).$$

Our algorithm is as follows. Given G, H as input, we choose  $s + k_1 + k_2 + \binom{p}{2}$  subwalls of H, each of height h, and mutually at distance  $\ge \max(r_1, r_2)$  (this is possible from the choice of h'). We apply (9.4) and obtain either a model of  $K_p$  in G when we stop, or a subset  $X \subseteq V(G)$  with  $|X| < \binom{p}{2}$  and some  $s + k_2 + \binom{p}{2}$  of our subwalls, each dividing in  $(G \setminus X) \cup H$ . Now we test which of these subwalls are flat in  $(G \setminus X) \cup H$  and find crosses over them in their compasses, if possible, using (8.1). Suppose that we find crosses for at least  $k_2$  of them. By (9.5), these crosses satisfy the hypotheses of (9.1). By (9.1) there is a model of  $K_p$  in the union of H and these crosses, and we can find it in time  $O(\varepsilon)$ . On the other hand, if fewer than  $k_2$  of our subwalls yield crosses, then at least  $s + \binom{p}{2}$  of them are flat in  $(G \setminus X) \cup H$ , and since  $|X| < \binom{p}{2}$  we may choose s of them disjoint from X. Again we stop.

Let H be a wall in G. The society of H in G is the society  $(K, \Omega)$ , where K is the compass of H in G and  $\Omega$  is the cyclic permutation of the corners

of H corresponding to their order in the perimeter of H. Let  $\mathscr{A}$  be a rural division of the society of H in G. We say that  $A \in \mathscr{A}$  is *interior* if  $A \cap C$  is null, where C is the perimeter of H.

- (9.8) For all  $p \ge 0$  and  $h \ge 2$ , even, there exists  $\omega \ge 0$  such that there is an algorithm as in (9.9), with running time  $O(v\varepsilon)$  for fixed p, h.
  - (9.9) ALGORITHM.

Input: A graph G.

Output: Either

- (i) a branch-decomposition of G of width  $\leq \omega$ , or
- (ii) a model of  $K_p$  in G, or
- (iii) a subset  $X \subseteq V$  with  $|X| < \binom{p}{2}$  and a flat wall H in  $G \setminus X$  of height h, and a rural division  $\mathscr A$  of the society of H in  $G \setminus X$ , and a branch-decomposition of width  $\le \omega$  of each interior member of  $\mathscr A$ .

*Proof* of (9.8). We may assume that  $p \ge 2$ . Let  $s = \binom{p}{2} + 5$ . Choose  $h' \ge 2$ , even, as in (9.6). Choose  $\omega$ , by (4.2), so that every graph with branch-width  $\ge \omega/3$  contains a wall of height h'. Now we describe the algorithm. We are given G as input.

- (1) We apply (7.2) to G. (This is possible by (7.1).) If we obtain a branch-decomposition of G of width  $\leq \omega$  we stop. Otherwise we obtain a wall H in G of height h'.
- (2) Now we perform a recursion. We set  $G_1 = G$ ,  $Z_1 = \emptyset$ ,  $H_1 = H$ . At the beginning of the *i*th iteration we have
  - (i) a subgraph  $G_i$  of G with  $|\varepsilon(G_i)| \leq (\frac{1}{2})^{i-1} |\varepsilon(G)|$
- (ii) a subset  $Z_i \subseteq V(G_i)$  with  $|Z_i| \le s-3$  such that no vertex of  $G_i \setminus Z_i$  is incident with an edge of G not in  $G_i$ ,
  - (iii) a wall  $H_i$  in  $G_i$  of height h'.

The iteration proceeds as follows.

- (3) We apply (9.7) to  $G_i$ ,  $H_i$ . If we obtain a model of  $K_p$  in  $G_i$  and hence in G we stop. Otherwise, we obtain a subset  $X \subseteq V(G_i)$  with  $|X| < \binom{p}{2}$  and s subwalls  $H^1$ , ...,  $H^s$  of  $H_i$  of height h, mutually vertex-disjoint, and each flat and dividing in  $(G_i \setminus X) \cup H_i$ , and each disjoint from X. Let  $K^i$  be the compass of  $H^j$  in  $(G_i \setminus X) \cup H_i$   $(1 \le j \le s)$ .
- (4) Since the  $H^{j}$ 's are all dividing in  $(G_i \setminus X) \cup H_i$ , it follows that  $K^1$ , ...,  $K^s$  are mutually vertex-disjoint and that each contains no vertex in X, by (9.5). Since  $|Z_i| \le s-3$ , we may choose three of the  $H^{j}$ 's, say  $H^1$ ,  $H^2$ , and  $H^3$ , such that  $V(K^j) \cap Z_i = \emptyset$   $(1 \le j \le 3)$ .

Since  $H^3$  has height  $h' \ge p^2 + 2$  it follows that  $\varepsilon(K^3) \ge \varepsilon(H^3) \ge p^2 + 2 \ge |X| + 2$ . Let there be  $d_j$  edges in  $G_i$  with one end in X and the other in  $V(K^j)$ , for  $1 \le j \le 3$ . Then (we recall that by definition,  $\varepsilon(G) = |E(G)| + |V(G)| + 1$ ) we have

$$\varepsilon(K^1) + \varepsilon(K^2) + \varepsilon(K^3) - 2 + d_1 + d_2 + d_3 + |X| \le \varepsilon(G_i).$$

But  $\varepsilon(K^3) \geqslant |X| + 2$ , and so

$$\varepsilon(K^1) + \varepsilon(K^2) + d_1 + d_2 + 2|X| \le \varepsilon(G_i)$$
.

Choose one of them,  $K^1$  say, so that  $\varepsilon(K^1) + d_1 + |X| \leq \frac{1}{2}\varepsilon(G_i)$ .

- (5) We apply (8.6) to obtain a rural division  $\mathscr{A}$  of the society of  $H^1$  in  $(G_i \backslash X) \cup H_i$ .
- (6) For each interior  $A \in \mathcal{A}$ , we apply (7.2) to A and obtain either a branch-decomposition of A of width  $\leq \omega$ , or a wall in A of height h'.
- (7) If for each interior  $A \in \mathcal{A}$  we obtain a branch-decomposition of A of width  $\leq \omega$ , we stop.
- (8) If for some interior  $A \in \mathcal{A}$  we obtain a wall  $H_{i+1}$  in A of height h', we let  $G_{i+1}$  be the subgraph of  $G_i$  with vertex set  $V(A) \cup X$  and edges those edges of  $G_i$  with an end in V(A) and the other end in  $V(A) \cup X$ . Since A is a subgraph of  $K^1$ , it follows that

$$\varepsilon(G_{i+1}) \le \varepsilon(A) + d_1 + |X| \le \varepsilon(K^1) + d_1 + |X| \le \frac{1}{2}\varepsilon(G_i) \le (\frac{1}{2})^i \varepsilon(G).$$

Let  $\partial A$  be the set of all vertices v of A such that either v is a corner of  $H^1$  or v is incident with an edge of  $(G_i \backslash X) \cup H_i$  not in E(A); then  $|\partial A| \leq 3$  since  $\mathscr A$  is a division. Set  $Z_{i+1} = X \cup \partial A$ ; then  $|Z_{i+1}| \leq {r \choose 2} + 2 = s - 3$ , since  $|X| \leq {r \choose 2} - 1$ . We return for the next iteration.

We must verify that the conditions (i)–(iii) of (2) hold with  $G_i, Z_i, H_i$  replaced by  $G_{i+1}, Z_{i+1}, H_{i+1}$ . Certainly (i) holds, as we saw above, and so does (iii). Let us verify (ii). Let  $v \in V(G_{i+1} \setminus Z_{i+1})$ , and let  $e \in E(G)$  be incident with v, and suppose for a contradiction that  $e \notin E(G_{i+1})$ . Since  $v \in V(G_{i+1} \setminus Z_{i+1}) = V(A) - \partial A$  and  $V(A) \subseteq V(K^1)$  and  $V(K^1) \cap Z_i = \emptyset$ , it follows that  $v \notin Z_i$ , and so  $e \in E(G_i)$ . Since e has one end in V(A) and  $e \notin E(G_{i+1})$ , its other end is not in  $V(A) \cup X$ , and in particular,  $e \in E((G_i \setminus X) \cup H_i)$ . Since A is internal and hence v does not belong to the perimeter of  $H^1$ , it follows that  $e \in E(K^1)$ , since  $v \in V(A) \subseteq V(K^1)$ . But every edge of  $K^1$  incident with v belongs to E(A), since  $\mathscr A$  is a division of the society of  $H^1$  in  $(G_i \setminus X) \cup H_i$  and  $v \in V(A) - \partial A$ . This contradicts that  $e \notin E(G_{i+1})$  as required, and completes the verification of (ii).

This completes the description of the algorithm. To estimate running time we observe that step (1) takes time  $O(v^2 + \varepsilon)$ . Steps (3) and (5) both

take time  $O(v\varepsilon)$ . Step (6) takes time  $\Sigma(Cv(A')\varepsilon(A'):A'\in\mathcal{A})$  for some constant C. But for  $A'\in\mathcal{A}$ ,  $\varepsilon(A')\leqslant 4(\varepsilon(A')-|\partial A'|-1)$ , since some vertex or edge of A' belongs to no other member of  $\mathcal{A}$ , and hence, summing over  $A'\in\mathcal{A}$ ,

$$\Sigma \nu(A') \, \varepsilon(A') \leqslant \Sigma 4 \nu(A') (\varepsilon(A') - |\partial A'| - 1)$$
  
$$\leqslant 4 \nu(G_i) \, \Sigma(\varepsilon(A') - |\partial A| - 1) \leqslant 4 \nu(G_i) \, \varepsilon(G_i).$$

Consequently, step (6) takes time  $O(v(G_i) \varepsilon(G_i))$ . Thus the *i*th iteration takes time  $O(v(G_i) \varepsilon(G_i))$ . Since  $\varepsilon(G_i) \leq (\frac{1}{2})^{i-1} \varepsilon(G)$  and  $v(G_i) \leq v(G)$ , the whole recursion takes time  $O(v\varepsilon)$ . Hence the algorithm takes time  $O(v\varepsilon)$ , as claimed.

#### 10. Homogeneously Labelled Walls

Our last task is to show how to make use of the third possible outcome of (9.8) when solving Folio. The following condition is a common hypothesis of several results to follow and so we give it a name.

HYPOTHESIS C. G is a digraph,  $X \subseteq V(G)$  with |X| = q, H is a wall in  $G \setminus X$ , and  $\mathscr{A}$  is a rural division of the society of H in  $G \setminus X$ .

Under Hypothesis C, let  $(K, \Omega)$  be the society of H in  $G \setminus X$ . Let  $A \in \mathcal{A}$  be interior, and let  $|\partial A| = k$ ; then  $k \leq 3$ . Choose a numbering  $\{s_1, ..., s_k\}$  of  $\partial A$ , where if k = 3 we number "clockwise;" that is, we number  $s_1, s_2, s_3$  so that there are three paths  $P_1, P_2, P_3$  of K from  $\partial A$  to  $\overline{\Omega}$ , mutually vertex-disjoint, where  $P_i$  has ends  $s_i, t_i$  (i = 1, 2, 3), and  $t_1, t_2, t_3$  occur in this order under  $\Omega$ . Let  $X = \{x_1, ..., x_r\}$ ; the sequence  $(s_1, ..., s_k, x_1, ..., x_r)$  is called an attachment sequence of A, and we denote it by  $\pi(A)$ . For each  $A \in \mathcal{A}$ , choose a subgraph  $\widetilde{A}$  of G with  $X \subseteq V(\widetilde{A})$  and with  $\widetilde{A} \setminus X = A$ , such that every edge of G with both ends in  $X \cup V(K)$  is in  $E(\widetilde{A})$  for exactly one  $A \in \mathcal{A}$ . Let  $T_A$  be the  $\delta$ -folio of  $(\widetilde{A}, \pi(A))$ .

Now for each interior  $A \in \mathcal{A}$ , let  $v(A) \in V(H)$  such that there is a path of K from  $\partial A$  to v(A) with no vertex in V(H) except v(A). (Such a path always exists since K is connected and A is non-null.) This information (that is, for each interior  $A \in \mathcal{A}$ , a choice of  $\widetilde{A}$ , an attachment sequence  $\pi(A)$  of A, the  $\delta$ -folio of  $(\widetilde{A}, \pi(A))$ , and a vertex v(A) is called a *vision*. For fixed  $\omega$  and q, we need the following.

## (10.1) ALGORITHM.

Input:  $G, X, H, \mathcal{A}$  satisfying Hypothesis C, and an integer  $\delta \geqslant 0$ , and for each interior  $A \in \mathcal{A}$  a branch-decomposition of A of width  $\leqslant \omega$ .

Output: A vision. Running time:  $O(\varepsilon^2)$ .

Description: First we compute an attachment sequence for each interior  $A \in \mathcal{A}$ . For each A this takes time  $O(\varepsilon(G))$ , and so we can compute all the attachment sequences in time  $O(\varepsilon^2)$ , since  $|\mathcal{A}| \leq |E(G)|$ .

For each interior  $A \in \mathcal{A}$  we choose  $\widetilde{A}$ , and find a branch-decomposition of  $\widetilde{A}$  of width  $\leq \omega + q$  (this exists, since  $\widetilde{A}$  is obtained from A by adding q extra vertices), by adapting the given branch-decomposition of A in the natural way. This takes time  $O(\varepsilon)$ .

Third, we compute the  $\delta$ -folio of  $(\tilde{A}, \pi(A))$  for each interior  $A \in \mathcal{A}$ , by (4.1). This takes time  $O(v\varepsilon)$ , since  $\omega + q$  is a constant.

Fourth, for each interior  $A \in \mathcal{A}$  we compute some v(A), for instance, by extending a spanning tree of H to a spanning tree of its compass. This takes time  $O(v\varepsilon)$  again, and completes the algorithm.

Under Hypothesis C and given some vision, a subwall H' of H is said to be h-homogeneous if for every internal  $A \in \mathcal{A}$  with v(A) inside H' and for every subwall H'' of H' of height h, there exists some internal  $A' \in \mathcal{A}$  with v(A') inside H'' such that  $(A', \pi(A'))$  has the same  $\delta$ -folio as  $(A, \pi(A))$ . The following lemma is proved in [24]; it is an application of the main results of [21, 22].

(10.2) For any q,  $\delta \geqslant 0$  and  $h \geqslant 2$ , even, there exists  $f(h) \geqslant h$ , even, such that under Hypothesis C, if  $H' \subseteq H$  is an h-homogeneous subwall of height f(h) then both middle vertices of H' are irrelevant to the  $\delta$ -folio of G relative to X.

(The *middle* vertices of a wall are the two vertices corresponding to the two middle vertices of the elementary wall of which the wall is a subdivision.) We use (10.2) to show

(10.3) For all  $q, \delta \ge 0$  there exists  $h \ge 0$ , even, such that for all  $\omega \ge 0$  there is an algorithm as in (10.4) with running time  $O(\varepsilon^2)$ .

## (10.4) ALGORITHM.

Input:  $G, X, H, \mathcal{A}$  satisfying Hypothesis C, where H has height h, and for each interior  $A \in \mathcal{A}$  a branch-decomposition of A of width  $\leq \omega$ .

Output: A vertex irrelevant to the  $\delta$ -folio of G relative to X.

*Proof of* (10.3). Let f be the function of (10.2) (for given q,  $\delta$ ). Let  $\mathcal{L}$  be the class of all rooted digraphs with  $\leq q+3$  roots and with detail  $\leq \delta$ . Then  $\mathcal{L}$  is the union of finitely many isomorphism classes  $W_1, ..., W_t$ , say.

For any rooted graph with  $\leq q+3$  roots, its  $\delta$ -folio is a union of some of  $W_1, ..., W_l$ . Let

$$\mathcal{T}_1 = \left\{ \bigcup (W_i : i \in I) : I \subseteq \{1, ..., I\} \right\}.$$

Thus,  $\mathcal{T}_1$  contains the  $\delta$ -folio of every rooted graph with  $\leq q+3$  roots, and  $\mathcal{T}_1$  is finite. Let  $|\mathcal{T}_1|=n$ , let  $h_{n+1}=2$ , for  $1\leq i\leq n$  let  $h_i=f(h_{i+1})$ , and let  $h=h_1$ . Then h satisfies (10.3), for an algorithm proceeds as follows. Given input as in (10.4), we first use (10.1) to find a vision. Second we set  $H_1=H$  and perform a recursion. At the beginning of the ith iteration we have  $H_i$  and  $\mathcal{T}_i$  such that

- (i)  $H_i$  is a subwall of H of height  $h_i$
- (ii)  $\mathcal{T}_i \subseteq \mathcal{T}_1$  and  $|\mathcal{T}_i| = n + 1 i$
- (iii) for each internal  $A \in \mathcal{A}$ , if v(A) is inside  $H_i$ , then  $\mathcal{T}_i$  contains the  $\delta$ -folio of  $(\tilde{A}, \pi(A))$ .

The iteration proceeds as follows. We check if  $H_i$  is  $h_{i+1}$ -homogeneous. If it is, we may output one of its middle vertices and stop, by (10.2). Otherwise we choose a subwall  $H_{i+1}$  of  $H_i$  of height  $h_{i+1}$  such that some  $T \in \mathcal{F}_1$  is the  $\delta$ -folio of  $(\widetilde{A}, \pi(A))$  for some internal  $A \in \mathcal{A}$  with v(A) inside  $H_i$ , and T is not the  $\delta$ -folio of  $(\widetilde{A}, \pi(A))$  for any internal  $A \in \mathcal{A}$  with v(A) inside  $H_{i+1}$ . Then  $T \in \mathcal{F}_i$ ; we set  $\mathcal{F}_{i+1} = \mathcal{F}_i - \{T\}$ , and return for the next iteration.

Each iteration takes time O(v) (since there are only a bounded number of subwalls of  $H_i$  to check) and there are at most l+1 iterations. Finding a  $\delta$ -vision initially takes time  $O(\varepsilon^2)$ , and so the algorithm also has running time  $O(\varepsilon^2)$ .

Now we give the complete algorithm for Folio. Let  $\xi$  and  $\delta \geqslant 0$  be fixed integers.

## (10.5) ALGORITHM.

Input: A digraph G and a subset  $Z \subseteq V(G)$  with  $|Z| \leq \xi$ .

Output: The  $\delta$ -folio of G relative to Z.

Running time:  $O(v^3)$ .

Description: (1) Let p, C be as in (6.5). We apply (6.5) to G, Z, and obtain a subdigraph  $G' \subseteq G$  with  $Z \subseteq V(G')$  such that G and G' have the same  $\delta$ -folio relative to Z and such that  $|E(G')| \leq C |V(G')|$ .

Let  $q = \binom{p}{2} + \xi - 1$ ; let h be as in (10.3); let  $\omega$  be as in (9.8). We set  $G_1 = G'$  and perform a recursion. At the beginning of the i th iteration, we have a subdigraph  $G_i$  of G' with  $|V(G_i)| = |V(G')| - i + 1$  and with  $Z \subseteq V(G_i)$ , such that the  $\delta$ -folios of  $G_i$  and G' relative to Z are equal. The iteration proceeds as follows. We apply (9.9) to  $G_i \setminus Z$ .

- (2) If we obtain a branch-decomposition of  $G_i \setminus Z$  of width  $\leq \omega$ , we convert it to a branch-decomposition of  $G_i$  of width  $\leq \omega + \xi$ , compute the  $\delta$ -folio of  $G_i$  relative to Z by (4.1), and stop.
- (3) If we obtain a model of  $K_p$  in  $G_i \setminus Z$  and hence in  $G_i$ , we apply (6.2) to find a vertex v of  $G_i$  irrelevant to the  $\delta$ -folio of  $G_i$  relative to Z; we set  $G_{i+1} = G_i \setminus v$  and return for the next iteration.
- (4) Otherwise, we obtain X, H,  $\mathscr{A}$  as in Hypothesis C (with G replaced by  $G_i \backslash Z$ ), where  $|X| \leqslant \binom{p}{2} 1$  and H has height h. Put  $X' = X \cup Z$ ; then  $G_i$ , X', H,  $\mathscr{A}$  also satisfy Hypothesis C. We apply (10.4) to  $G_i$ , X', H,  $\mathscr{A}$ , to compute an irrelevant vertex v for the  $\delta$ -folio of  $G_i$  relative to X'. Since  $Z \subseteq X'$ , v is also irrelevant for the  $\delta$ -folio of  $G_i$  relative to Z, by (2.1). We set  $G_{i+1} = G_i \backslash v$ , and return for the next iteration.

The application of (6.5) in step (1) takes time  $O(v^3)$ . In the recursion, each iteration takes time  $O(\varepsilon(G')^2)$ . Since there are  $\leq v(G)$  iterations, and  $\varepsilon(G') \leq O(v(G))$ , the algorithm takes time  $O(v^3)$ .

We would like to say that not only does there exist an algorithm as in (10.5), but we can construct it, and that depends on being able to construct the various constants  $p, h, \omega$  used in the course of the proof, for given numerical values of  $\xi$  and  $\delta$ . Now p is easy, but h and  $\omega$  are defined by (10.3) and (9.8), and these are formulated as purely existential statements, containing no method for calculating h and  $\omega$ . ("For all q,  $\delta \ge 0$  there exists  $h \ge 0...$ ") Nevertheless the proofs of (10.3) and (9.8) do yield procedures for calculating h and  $\omega$ , except that (10.3) uses the function f of (10.2), and (9.8) uses h' of (9.5) and another  $\omega$ , from (4.2). The sequences of definitions can be traced further and further back, through other papers in this series, until they terminate; and all the proofs used to define these constants are constructive. Even, say, Theorem (3.5) of [16], which asserts that "For every matching G in  $\Sigma$ and every homoplasty class  $\mathscr{D}$  there exists  $H \in \mathscr{D}$  with  $U(G) \cap U(H)$ finite" can be proved constructively, in the sense that it is easy to give an algorithm which, given explicitly some G and  $\mathcal{Q}$ , will compute an appropriate H. Thus, we do indeed have an algorithm which, with input numbers  $\xi$  and  $\delta \ge 0$ , finds the algorithm of (10.5); and also the constant C in the bound on its running time can be constructed.

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