

# Regional Controllability of Boolean Cellular Automata

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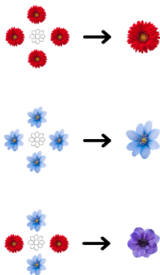
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# Introduction

Cellular automata are simple computational models that,

- 1 Consist of a **grid of cells**.
- 2 Each with a state that can **change** over time based on a set of **predefined rules**.
- 3 At each discrete time step, the state of each cell is updated **simultaneously** according to the rules
- 4 Typically **depends** on the **current state** of the cell and its **neighboring cells**.
- 5 These rules **determine** how the cells **evolve** and transition to different states.

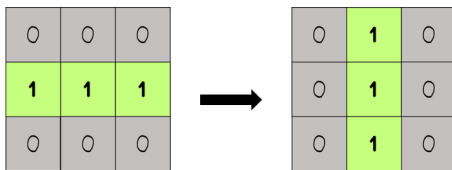


# Conway's Game of Life

- Devised by the British mathematician John Horton Conway in 1970.
- It is a zero-player game.
- One interacts with the Game of Life by creating an initial configuration and observing how it evolves.

# Example: Conway's Game of Life

- 1 **Live** cell with  $< 2$  live neighbors  $\rightarrow$  **DIES** due to underpopulation.
- 2 **Live** cell with  $> 3$  live neighbors  $\rightarrow$  **DIES** due to overpopulation.
- 3 **Live** cell with 2/3 live neighbors  $\rightarrow$  **Stays Alive** (Balanced).
- 4 **Dead** cell with  $= 3$  live neighbors  $\rightarrow$  **Becomes Alive** (Reproduction).



# Preliminary theory

## Definition 0

A cellular automaton (CA) is defined by a tuple  $A = (\mathcal{L}, \mathcal{S}, \mathcal{N}, f)$ , where:

- 1  $\mathcal{L}$  is a cellular space.
- 2  $\mathcal{S}$  is a finite set of possible states.
- 3  $\mathcal{N}$  is a function that defines the neighborhood of a cell  $c$ . We denote:

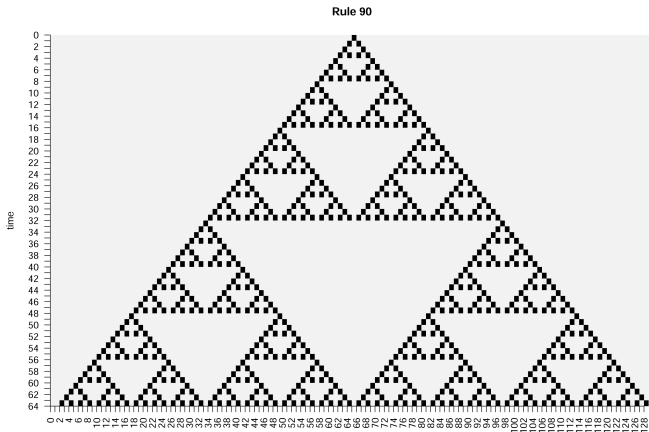
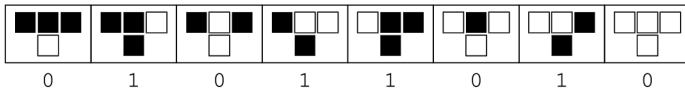
$$\begin{aligned}\mathcal{N}: \mathcal{L} &\longrightarrow \mathcal{L}^r \\ c &\longmapsto \mathcal{N}(c) = (c_{i_1}, c_{i_2}, \dots, c_{i_r})\end{aligned}$$

where  $c_{i_j}$  is a cell for  $j = 1, \dots, r$  and  $r$  is the size of the neighborhood  $\mathcal{N}(c)$  of the cell  $c$ .

- 4  $f$  is the transition function. It is defined as follow:

$$\begin{aligned}f: \mathcal{S}^r &\rightarrow \mathcal{S} \\ s_t(\mathcal{N}(c)) &\longrightarrow f(s_t \mathcal{N}(c)) = s_{t+1}(c)\end{aligned}$$

where  $s_t(c)$  is the state of a cell  $c$  at time  $t$  and  $s_t(\mathcal{N}(c))$  is the state of the neighborhood of  $c$ . [3, 4]

*rule 90*



## Definition 1 (Global transition function)

The configuration of a CA at time  $t$  corresponds to the set  $\{s_t(c), c \in \mathcal{L}\}$ .

$$\begin{aligned} F: \mathcal{S}^{\mathcal{L}} &\longrightarrow \mathcal{S}^{\mathcal{L}} \\ \{s_t(c), c \in \mathcal{L}\} &\longrightarrow \{s_{t+1}(c), c \in \mathcal{L}\} \end{aligned}$$

We denote the region we want to control by  $\omega = \{c_1, \dots, c_n\}$ . [3, 4, 7].

## Definition 2 (regional controllability)

The CA is said to be regionally controllable for  $\omega$  at time  $T$  if there exists a control sequence  $u = (u_0, \dots, u_{T-1})$  where  $u_i = (u_i(c_0), u_i(c_{n+1}))$ ,  $i = 0, \dots, T-1$  such that:

$$s_T = s_d \quad \text{on} \quad \omega$$

where  $s_T$  is the final configuration at time  $T$  and  $s_d$  is the desired configuration [3, 4].

# Problem

- A 1D-cellular domain  $\mathcal{L}$  of  $\mathcal{N}$  cells.
- $S = \{0, 1\}$
- $N(c_i) = (c_{i-1}, c_i, c_{i+1})$
- $f$  is a linear function.
- $\omega$  defines controlled region where  $\omega = \{c_1, \dots, c_n\} \subseteq L$ .
- The boundary cells of  $\omega$  where we apply the control are  $\{c_0, c_{n+1}\}$ .

## Aim

To find two suitable sequences of controls to be applied on the left and right boundaries, in order to reach a desired configuration on the subregion  $\omega$  at a given time  $T$  from an initial state.

[1, 3].

# Controllability Theorem

The state equation of a Boolean cellular automata can be written as :

$$\begin{cases} s^{t+1} = Js^t \oplus Bu^t; & 0 \leq t \leq T-1 \\ s^0 \in S^L \end{cases}$$

## Theorem 3

*A 1D linear Cellular Automaton is regionally controllable via boundary actions iff:*

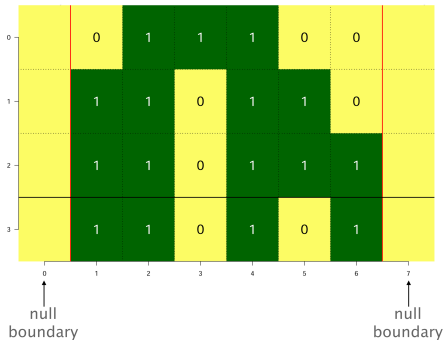
$$\text{Rank}(C) = \text{Rank}(B, JB, J^2B, \dots, J^{T-1}B) = T \quad (1)$$

*Where  $T$  is the time horizon and  $J$  is the Jacobian matrix. [3]*

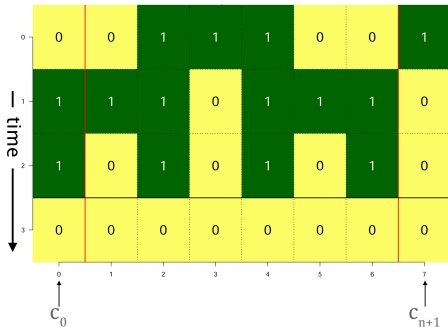
# Wolfram's rule 90

$$f_{90} : \begin{array}{cccccccc} 111 & 110 & 101 & 100 & 011 & 010 & 001 & 000 \\ \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ 0 & 1 & 0 & 1 & 1 & 0 & 1 & 0 \end{array}$$

without control



with control



# Wolfram's rule 90

For the Wolfram rule 90 we get the following Jacobian matrix for  $|\omega| = 6$ ,  $T = 6$  and the following B:

$$J = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix} \quad B = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

From the above, we get the following control matrix:

$$C = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 4 \\ 0 & 0 & 1 & 0 & 3 & 0 \\ 0 & 1 & 0 & 2 & 0 & 5 \\ 1 & 0 & 1 & 0 & 2 & 0 \end{pmatrix}$$

This Control matrix C has full rank then rule 90 is regional controllable.

# Algorithm

<b>Step 1 :</b> <i>Define constants</i>	<b>Inputs :</b>	1. rule number	:	rule_no
		2. length of grid	:	$n$
		3. time steps	:	$T$
		4. initial state	:	$s_0(c_1, \dots, c_n)$
		5. control	:	$(l, r) = (u(c_0), u(c_{n+1}))$
	<b>Constants :</b>	6. neighbourhood	:	$\mathcal{N}(c_i)$
		7. possible states	:	$S = \{0, 1\}$
<b>Step 2 :</b> <b>Initialize automaton</b>	1. Create empty grid of size $n \times T$		:	ECA
	2. set initial condition		:	$\text{ECA}[1, ] = s_0$
	3. convert rule no. into 8 bit binary		:	$\text{bin\_rule} = \{b_1, b_2, \dots, b_8\}$
<b>Step 3 :</b> <b>Define update function</b>	$f_{\text{rule\_no}}(\mathcal{N}(c_i))$		:	eg. $\{1, 1, 1\} \mapsto b_1$
<b>Step 4 :</b> <b>Simulate ECA</b>	1. For $t \in [1, T - 1]$			
	2. For $i \in [2, n - 1]$			
	Compute next state		:	$s(c_i)_{t+1} = f_{\text{rule\_no}}(\mathcal{N}_t)$
	For $i = 1, n$			
	set control boundary		:	$(c_0, c_{n+1}) = (l, r)$
	Compute next state		:	$s(c_i)_{t+1} = f_{\text{rule\_no}}(\mathcal{N}_t)$
<b>Step 5 :</b> <b>Visualize</b>	Update ECA		:	$\text{ECA}[t + 1, ] = s_{t+1}$
	Plot ECA			

## Definition 4 (Transition graph)

For a given rule number and size ( $|\omega|$ ), the transition graph  $\Upsilon = (V, E)$  is defined by edges ( $E$ ) between possible states (vertices,  $V$ ).

## Definition 5 (Hamiltonian cycle)

A Hamiltonian cycle of a graph  $G = (V, A)$  is a simple directed path of  $G$  that includes every vertex exactly once.[3]

## Theorem 6

*If there exists a Hamiltonian cycle in  $\Upsilon$ , then the CA is regionally controllable.*

# Example: Rule 90

Rule 90 :  $|w| = 3$   
(controllable)

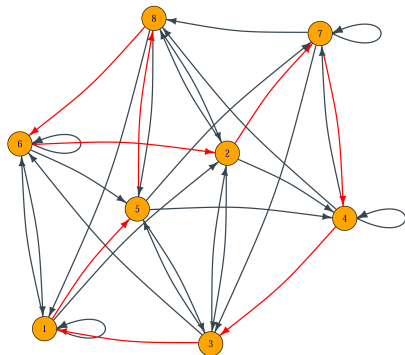


Figure: Transition graph

$$\gamma = \begin{pmatrix} 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \end{pmatrix}$$

Figure: Transition matrix



# Example: Controllable but not Hamiltonian

Rule 1 ;  $|w| = 2$   
(controllable, but no Hamiltonian)

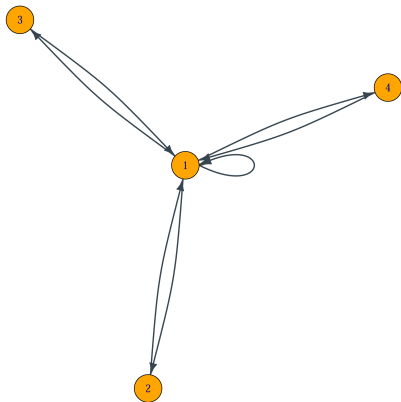


Figure: Transition graph

$$\Upsilon = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$

Figure: Transition matrix

# Transformation matrix

## Definition 7 (Transformation matrix)

The  $(i, j)$ th element of the transformation matrix,  $\mathfrak{C}(t; rule, |\omega|)$ , corresponds to the number of paths of length  $t$  from vertex  $i$  to  $j$ .

## Theorem 8

*A Cellular Automaton is regionally controllable if and only if there exists a  $t$  such that the graph associated to the transformation matrix  $\mathfrak{C}(t; rule, |\omega|)$  contains a Hamiltonian cycle.*

# Example: Rule 1

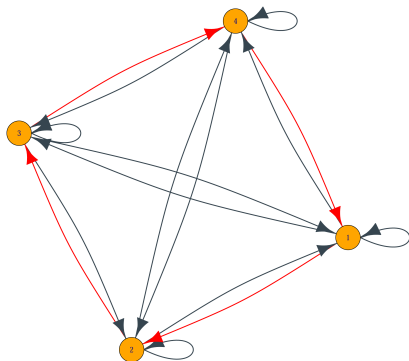


Figure: graph of  $C(2)$

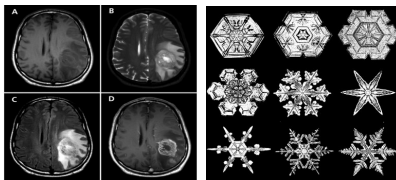
$$\mathfrak{C}(1) = \begin{pmatrix} 1 & 2 & 2 & 2 \\ 2 & 0 & 0 & 0 \\ 2 & 0 & 0 & 0 \\ 2 & 0 & 0 & 0 \end{pmatrix}$$

$$\mathfrak{C}(2) = \begin{pmatrix} 3 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{pmatrix} > 0$$

Figure: Transformation matrix

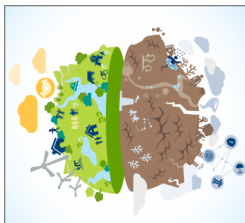
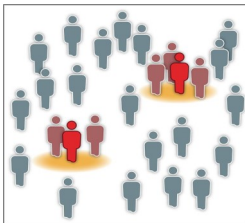
# Successful use of CCA in real life

- Biology and Medicine:
  - Modeling cell behavior and growth patterns.
  - Understanding disease spread and epidemiological patterns.
  - Simulating tumor growth and treatment responses.
- Physics and Chemistry:
  - Simulating crystal growth and material properties.
  - Studying phase transitions and fluid dynamics.
  - Analyzing chemical reactions and diffusion processes.



# Successful use of CCA in real life

- Ecology and Environment:
  - Modeling ecosystem dynamics and biodiversity.
  - Analyzing climate change and environmental disruptions.



# Limitations

- Computational Complexity.
- Lack of Continuous Representation.
- Limited Interaction Range.
- Simplistic Rules and Behavior.

# Conclusions

- The problem of the regional controllability of Boolean CA was studied and some necessary and sufficient conditions were established using:
  - ① Graph Theory
  - ② Kalman condition

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# How do we know if a graph has a Hamiltonian graph?

## Definition 9

A Hamiltonian graph is a path that visits each vertex of the graph exactly once.

- Brute force search algorithms would be very slow because given an  $n$ -vertex graph, there might be  $n!$  different possible paths to check for Hamiltonian cycles.
- In this project, a backtracking algorithm (from the 'adagio') was implemented

# Nondeterministic polynomial problem

A problem is called NP (nondeterministic polynomial) if its solution can be guessed and verified in polynomial time; nondeterministic means that no particular rule is followed to make the guess. For example:

- Sudoku game.
- The Traveling Salesman Problem.
  - “Given a list of cities and the distances between each pair of cities, what is the shortest possible route that visits each city exactly once and returns to the origin city?”

# Transition graph

- $\Upsilon(V, A)$  is the transition graph with  $V$  as vertices representing each possible configuration of the region  $\omega$  and  $A$  as the set of arcs
- For  $v_1, v_2 \in V$ : if there exists a arc between  $v_1$  and  $v_2$  that means there exists a control  $u = (l, r) \in \{(0, 0), (0, 1), (1, 0), (1, 1)\}$  such that the rule applied on  $v_1$  using  $u$  maps to  $v_2$ .

# Proof of Theorem 6

If a graph  $\Upsilon = (V, E)$  contains a Hamiltonian cycle, then there exists a path between any two arbitrary vertices,  $s_i$  and  $s_j$ .

This path (of length  $T$ ) is a sequence of edges that represents a control sequence  $\{u_1, \dots, u_T\}$  such that  $s_i$  goes to  $s_j$  in  $T$  time steps.

Hence, considering the arbitrary states  $s_i$  and  $s_j$  to be the initial condition and the desired configuration respectively, we have shown that the CA corresponding to  $\Upsilon$  is regionally controllable.