directs the movement of data through the registers. Whenever the F_i bit of the control register is set $(F_i = 1)$ and the F_{i+1} bit is reset $(F'_{i+1} = 1)$, a clock is generated causing register R(I+1) to accept the data from register RI. The same clock transition sets F_{i+1} to 1 and resets F_i to 0. This causes the control flag to move one position to the right together with the data. Data in the registers move down the FIFO toward the output as long as there are empty locations ahead of it. This ripple-through operation stops when the data reach a register RI with the next flip-flop F_{i+1} being set to 1, or at the last register RI. An overall master clear is used to initialize all control register flip-flops to 0.

Data are inserted into the buffer provided that the *input ready* signal is enabled. This occurs when the first control flip-flop F_1 is reset, indicating that register R1 is empty. Data are loaded from the input lines by enabling the clock in R1 through the *insert* control line. The same clock sets F_1 , which disables the *input ready* control, indicating that the FIFO is now busy and unable to accept more data. The ripple-through process begins provided that R2 is empty. The data in R1 are transferred into R2 and F_1 is cleared. This enables the *input ready* line, indicating that the inputs are now available for another data word. If the FIFO is full, F_1 remains set and the *input ready* line stays in the 0 state. Note that the two control lines *input ready* and *insert* constitute a destination-initiated pair of handshake lines.

The data falling through the registers stack up at the output end. The *output ready* control line is enabled when the last control flip-flop F_4 is set, indicating that there are valid data in the output register R4. The output data from R4 are accepted by a destination unit, which then enables the *delete* control signal. This resets F_4 , causing *output ready* to disable, indicating that the data on the output are no longer valid. Only after the *delete* signal goes back to 0 can the data from R3 move into R4. If the FIFO is empty, there will be no data in R3 and F_4 will remain in the reset state. Note that the two control lines *output ready* and *delete* constitute a source-initiated pair of handshake lines.

11-4 Modes of Transfer

Binary information received from an external device is usually stored in memory for later processing. Information transferred from the central computer into an external device originates in the memory unit. The CPU merely executes the I/O instructions and may accept the data temporarily, but the ultimate source or destination is the memory unit. Data transfer between the central computer and I/O devices may be handled in a variety of modes. Some modes use the CPU as an intermediate path; others transfer the data directly to and from the memory unit. Data transfer to and from peripherals may be handled in one of three possible modes:

- 1. Programmed I/O
- 2. Interrupt-initiated I/O
- 3. Direct memory access (DMA)

programmed I/O

Programmed I/O operations are the result of I/O instructions written in the computer program. Each data item transfer is initiated by an instruction in the program. Usually, the transfer is to and from a CPU register and peripheral. Other instructions are needed to transfer the data to and from CPU and memory. Transferring data under program control requires constant monitoring of the peripheral by the CPU. Once a data transfer is initiated, the CPU is required to monitor the interface to see when a transfer can again be made. It is up to the programmed instructions executed in the CPU to keep close tabs on everything that is taking place in the interface unit and the I/O device.

In the programmed I/O method, the CPU stays in a program loop until the I/O unit indicates that it is ready for data transfer. This is a time-consuming process since it keeps the processor busy needlessly. It can be avoided by using an interrupt facility and special commands to inform the interface to issue an interrupt request signal when the data are available from the device. In the meantime the CPU can proceed to execute another program. The interface meanwhile keeps monitoring the device. When the interface determines that the device is ready for data transfer, it generates an interrupt request to the computer. Upon detecting the external interrupt signal, the CPU momentarily stops the task it is processing, branches to a service program to process the I/O transfer, and then returns to the task it was originally performing.

Transfer of data under programmed I/O is between CPU and peripheral. In direct memory access (DMA), the interface transfers data into and out of the memory unit through the memory bus. The CPU initiates the transfer by supplying the interface with the starting address and the number of words needed to be transferred and then proceeds to execute other tasks. When the transfer is made, the DMA requests memory cycles through the memory bus. When the request is granted by the memory controller, the DMA transfers the data directly into memory. The CPU merely delays its memory access operation to allow the direct memory I/O transfer. Since peripheral speed is usually slower than processor speed, I/O-memory transfers are infrequent compared to processor access to memory. DMA transfer is discussed in more detail in Sec. 11-6.

Many computers combine the interface logic with the requirements for direct memory access into one unit and call it an I/O processor (IOP). The IOP can handle many peripherals through a DMA and interrupt facility. In such a system, the computer is divided into three separate modules: the memory unit, the CPU, and the IOP. I/O processors are presented in Sec. 11-7.

Example of Programmed I/O

In the programmed I/O method, the I/O device does not have direct access to memory. A transfer from an I/O device to memory requires the execution of several instructions by the CPU, including an input instruction to transfer the data from the device to the CPU and a store instruction to transfer the data from the CPU to memory. Other instructions may be needed to verify that the data are available from the device and to count the numbers of words transferred.

interrupt

DMA

IOP

An example of data transfer from an I/O device through an interface into the CPU is shown in Fig. 11-10. The device transfers bytes of data one at a time as they are available. When a byte of data is available, the device places it in the I/O bus and enables its data valid line. The interface accepts the byte into its data register and enables the data accepted line. The interface sets a bit in the status register that we will refer to as an F or "flag" bit. The device can now disable the data valid line, but it will not transfer another byte until the data accepted line is disabled by the interface. This is according to the handshaking procedure established in Fig. 11-5.

A program is written for the computer to check the flag in the status register to determine if a byte has been placed in the data register by the I/O device. This is done by reading the status register into a CPU register and checking the value of the flag bit. If the flag is equal to 1, the CPU reads the data from the data register. The flag bit is then cleared to 0 by either the CPU or the interface, depending on how the interface circuits are designed. Once the flag is cleared, the interface disables the data accepted line and the device can then transfer the next data byte.

A flowchart of the program that must be written for the CPU is shown in Fig. 11-11. It is assumed that the device is sending a sequence of bytes that must be stored in memory. The transfer of each byte requires three instructions:

- 1. Read the status register.
- 2. Check the status of the flag bit and branch to step 1 if not set or to step 3 if set.
- 3. Read the data register.

Each byte is read into a CPU register and then transferred to memory with a store instruction. A common I/O programming task is to transfer a block of words from an I/O device and store them in a memory buffer. A program that

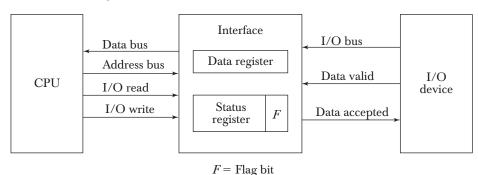


Figure 11-10 Data transfer from I/O device to CPU.

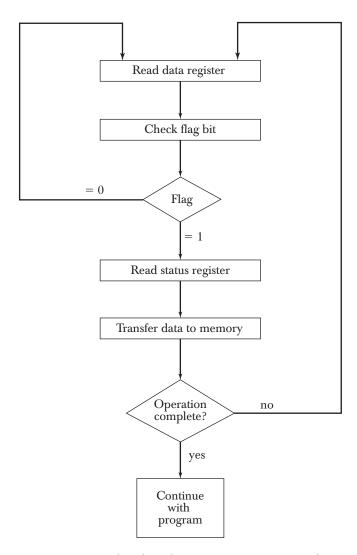


Figure 11-11 Flowchart for CPU program to input data.

stores input characters in a memory buffer using the instructions defined in Chap. 6 is listed in Table 6-21.

The programmed I/O method is particularly useful in small low-speed computers or in systems that are dedicated to monitor a device continuously. The difference in information transfer rate between the CPU and the I/O device makes this type of transfer inefficient. To see why this is inefficient, consider a typical computer that can execute the two instructions that read the status register and check the flag in 1 μs . Assume that the input device transfers

its data at an average rate of 100 bytes per second. This is equivalent to one byte every $10,000~\mu s$. This means that the CPU will check the flag $10,000~\mu s$ times between each transfer. The CPU is wasting time while checking the flag instead of doing some other useful processing task.

Interrupt-Initiated I/O

An alternative to the CPU constantly monitoring the flag is to let the interface inform the computer when it is ready to transfer data. This mode of transfer uses the interrupt facility. While the CPU is running a program, it does not check the flag. However, when the flag is set, the computer is momentarily interrupted from proceeding with the current program and is informed of the fact that the flag has been set. The CPU deviates from what it is doing to take care of the input or output transfer. After the transfer is completed, the computer returns to the previous program to continue what it was doing before the interrupt.

The CPU responds to the interrupt signal by storing the return address from the program counter into a memory stack and then control branches to a service routine that processes the required I/O transfer. The way that the processor chooses the branch address of the service routine varies from one unit to another. In principle, there are two methods for accomplishing this. One is called *vectored interrupt* and the other, *nonvectored interrupt*. In a nonvectored interrupt, the branch address is assigned to a fixed location in memory. In a vectored interrupt, the source that interrupts supplies the branch information to the computer. This information is called the *interrupt vector*. In some computers the interrupt vector is the first address of the I/O service routine. In other computers the interrupt vector is an address that points to a location in memory where the beginning address of the I/O service routine is stored. A system with vectored interrupt is demonstrated in Sec. 11-5.

Software Considerations

The previous discussion was concerned with the basic hardware needed to interface I/O devices to a computer system. A computer must also have software routines for controlling peripherals and for transfer of data between the processor and peripherals. I/O routines must issue control commands to activate the peripheral and to check the device status to determine when it is ready for data transfer. Once ready, information is transferred item by item until all the data are transferred. In some cases, a control command is then given to execute a device function such as stop tape or print characters. Error checking and other useful steps often accompany the transfers. In interrupt-controlled transfers, the I/O software must issue commands to the peripheral to interrupt when ready and to service the interrupt when it occurs. In DMA transfer, the I/O software must initiate the DMA channel to start its operation.

vectored interrupt

I/O routines