



1. Project #1 due Friday

2. Gradiance homeworks



R*S	JOIN
+, -, ∀, ∃	Union, intersect
f(g(x))	Nesting
Σ #	SUM, Count,

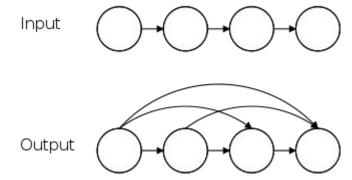
A => B

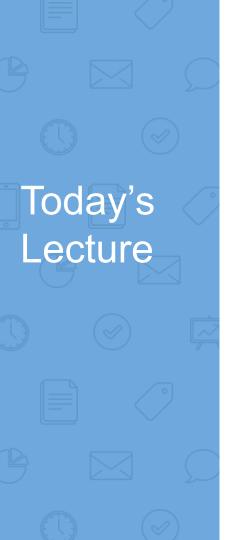






$$B \Rightarrow C, C \Rightarrow D, ... X \Rightarrow Y, ... (transitive closures)$$



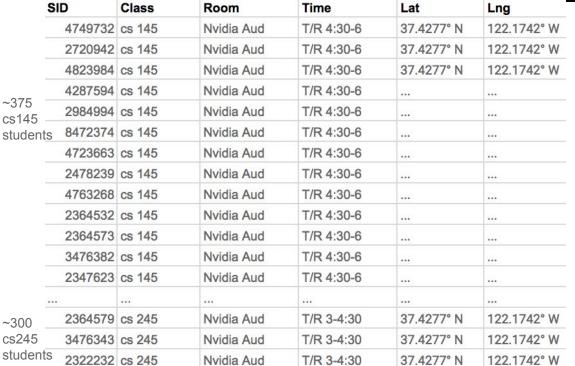


1. Normal forms & functional dependencies

2. Finding functional dependencies

3. Closures, superkeys & keys







Problems

Repeats?
Room/time change?

Deletes?

<u>Properties</u>

Class -> Room/time Room -> Lat, Lng

(more compact)

# Example Franken tables





### Example Enrollment table - "v1"

	SID	Class
	4749732	cs 145
	2720942	cs 145
	4823984	cs 145
	4287594	cs 145
375	2984994	cs 145
s145	8472374	cs 145
tudents	4723663	cs 145
	2478239	cs 145
	4763268	cs 145
	2364532	cs 145
	2364573	cs 145
	3476382	cs 145
	2347623	cs 145
00	2364579	cs 245
s245	3476343	cs 245
tudents	2322232	cs 245



Class	Room	Time
cs 145	Nvidia Aud	T/R 4:30-6
cs 245	Nvidia Aud	T/R 3-4:30
cs 246	Nvidia Aud	M/W 3-4:30

Room	Lat	Lng
Nvidia Aud	37.4277° N	122.1742° W

# Why Joins? (Recall)

Option 1 (organized tables, with 10s-100s of columns)

Zipcode	Census
94305	
94040	
94041	

Zipcode	Solar
94305	
94040	
94041	

Z	Zipcode	Bikeshare
	94305	
	94040	
	94041	



Zipcode ...

Option 2 ('universal table', with 1000s-millions of columns)

4	Zipcode {	Census	{	Solar	}	{	BikeSnare	}	
	94305								
	94040								
	94041								

Option 3 (One table per column, zipcode in each column)

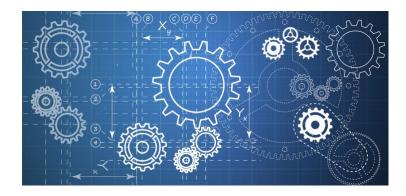
#### Trade offs?

- Reads? Writes?
- 100s thousands of applications reading/writing data



## **Design Theory**

- Design theory is about how to represent your data to avoid *anomalies*.
- Simple algorithms for "best practices"







### **Normal Forms**

- 1st Normal Form (1NF) = All tables are flat
- <u>2<sup>nd</sup> Normal Form</u> = disused
- Boyce-Codd Normal Form (BCNF)
- 3<sup>rd</sup> Normal Form (3NF)

DB designs based on functional dependencies, intended to prevent data anomalies

Our focus in this lecture + next one

• 4<sup>th</sup> and 5<sup>th</sup> Normal Forms = see text books



# 1<sup>st</sup> Normal Form (1NF)

Student	Courses	
Mary	{CS145,CS229}	
Joe	{CS145,CS106}	
•••		

Student	Courses
Mary	CS145
Mary	CS229
Joe	CS145
Joe	CS106

Violates 1NF.

In 1st NF

**1NF Constraint:** Types must be atomic!



A poorly designed database causes *anomalies*:

	1	
Student	Course	Room
Mary	CS145	B01
Joe	CS145	B01
Sam	CS145	B01
••		••

If every course is in only one room, contains *redundant* information!

A poorly designed database causes *anomalies*:

Student	Course	Room
Mary	CS145	B01
Joe	CS145	C12
Sam	CS145	B01

If we update the room number for one tuple, we get inconsistent data = an *update* anomaly

A poorly designed database causes *anomalies*:

Student	Course	Room

If everyone drops the class, we lose what room the class is in! = a <u>delete anomaly</u>

CS229

C12

A poorly designed database causes *anomalies*:

Student	Course	Room
Mary	CS145	B01
Joe	CS145	B01
Sam	CS145	B01
••		

Similarly, we can't reserve a room without students = an <u>insert</u> anomaly

Student	Course
Mary	CS145
Joe	CS145
Sam	CS145

Course	Room
CS145	B01
CS229	C12

Is this form better?

- Redundancy?
- Update anomaly?
- Delete anomaly?
- Insert anomaly?

Today: develop theory to understand why this design may be better **and** how to find this *decomposition*...





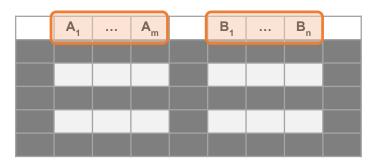
## **Functional Dependency**

**Def:** Let A,B be *sets* of attributes We write A  $\rightarrow$  B or say A *functionally determines* B if, for any tuples  $t_1$  and  $t_2$ :

 $t_1[A] = t_2[A]$  implies  $t_1[B] = t_2[B]$ 

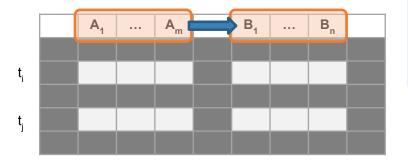
and we call  $A \rightarrow B$  a **functional dependency** 

A->B means that "whenever two tuples agree on A then they agree on B."



#### Defn (again):

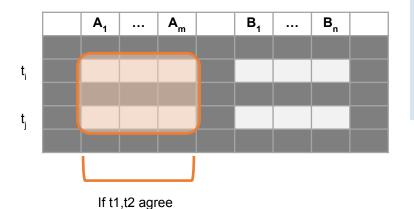
Given attribute sets  $A=\{A_1,...,A_m\}$ and  $B=\{B_1,...,B_n\}$  in R,



#### Defn (again):

Given attribute sets  $A=\{A_1,...,A_m\}$ and  $B=\{B_1,...,B_n\}$  in R,

The *functional dependency*  $A \rightarrow B$  on R holds if for *any*  $t_i, t_j$  in R:



here..

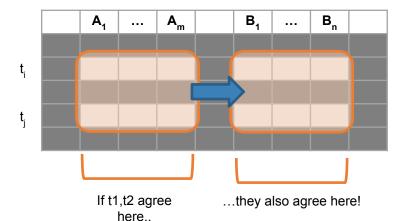
#### Defn (again):

Given attribute sets  $A=\{A_1,...,A_m\}$  and  $B=\{B_1,...,B_n\}$  in R,

The *functional dependency*  $A \rightarrow B$  on R holds if for *any*  $t_i, t_j$  in R:

$$t_i[A_1] = t_j[A_1] \text{ AND } t_i[A_2] = t_j[A_2] \text{ AND } \dots \text{ AND } t_i[A_m] = t_i[A_m]$$





#### Defn (again):

Given attribute sets  $A=\{A_1,...,A_m\}$  and  $B=\{B_1,...B_n\}$  in R,

The functional dependency  $A \rightarrow B$  on R holds if for any  $t_i, t_j$  in R:

$$\begin{split} & \underline{\textbf{if}} \ t_i[A_1] = t_j[A_1] \ \text{AND} \ t_i[A_2] = t_j[A_2] \ \text{AND} \\ & \dots \ \text{AND} \ t_i[A_m] = t_j[A_m] \end{split}$$

 $\begin{array}{l} \underline{\textbf{then}} \; t_i[B_1] = t_j[B_1] \; \text{AND} \; t_i[B_2] = t_j[B_2] \\ \text{AND} \; \dots \; \text{AND} \; t_i[B_n] = t_i[B_n] \end{array}$ 



## FDs for Relational Schema Design

High-level idea: why do we care about FDs?

- 1. Start with some relational schema
- 2. Find out its functional dependencies (FDs)
- 3. Use these to *design a better schema*One which minimizes the possibility of anomalies

# Functional Dependencies as Constraints

Student	Course	Room
Mary	CS145	B01
Joe	CS145	B01
Sam	CS145	B01

Note: The FD {Course} -> {Room} holds on this instance

However, cannot *prove* that the FD {Course} -> {Room} is *part of the schema* 

Recall: an <u>instance</u> of a schema is a multiset of tuples conforming to that schema, **i.e. a table** 

# Functional Dependencies as Constraints

#### Note that:

- You can check if an FD is violated by examining a single instance;
- However, you cannot prove that an FD is part of the schema by examining a single instance.
  - This would require checking every valid instance

Student	Course	Room
Mary	CS145	B01
Joe	CS145	B01
Sam	CS145	B01

## **More Examples**

An FD is a constraint which holds, or does not hold on an instance:

EmpID	Name	Phone	Position
E0045	Smith	1234	Clerk
E3542	Mike	9876	Salesrep
E1111	Smith	9876	Salesrep
E9999	Mary	1234	Lawyer

## **More Examples**

EmpID	Name	Phone	Position
E0045	Smith	1234	Clerk
E3542	Mike	9876 ←	Salesrep
E1111	Smith	9876 ←	Salesrep
E9999	Mary	1234	Lawyer

 $\{Position\} \rightarrow \{Phone\}$ 

## **More Examples**

<b>EmpID</b>	Name	Phone	Position
E0045	Smith	1234 →	Clerk
E3542	Mike	9876	Salesrep
E1111	Smith	9876	Salesrep
E9999	Mary	1234 →	Lawyer

but *not* {Phone} → {Position}

## **ACTIVITY**

A	В	С	D	E
1	2	4	3	6
3	2	5	1	8
1	4	4	5	7
1	2	4	3	6
3	2	5	1	8

Find at least *three* FDs which are violated on this instance:



What you will learn about in this section

1. "Good" vs. "Bad" FDs: Intuition

2. Finding FDs

3. Closures

4. ACTIVITY: Compute the closures

### "Good" vs. "Bad" FDs

### We can start to develop a notion of **good** vs. **bad** FDs:

EmplD	Name	Phone	Position
E0045	Smith	1234	Clerk
E3542	Mike	9876	Salesrep
E1111	Smith	9876	Salesrep
E9999	Mary	1234	Lawyer

#### Intuitively:

EmpID -> Name, Phone, Position is "good FD"

 Minimal redundancy, less possibility of anomalies

## "Good" vs. "Bad" FDs

We can start to develop a notion of **good** vs. **bad** FDs:

EmpID	Name	Phone	Position
E0045	Smith	1234	Clerk
E3542	Mike	9876	Salesrep
E1111	Smith	9876	Salesrep
E9999	Mary	1234	Lawyer

#### Intuitively:

EmpID -> Name, Phone, Position is "good FD"

But Position -> Phone is a "bad FD"

Redundancy!
 Possibility of data anomalies

### "Good" vs. "Bad" FDs

Student	Course	Room
Mary	CS145	B01
Joe	CS145	B01
Sam	CS145	B01
••		

Returning to our original example... can you see how the "bad FD" {Course} -> {Room} could lead to an:

- Update Anomaly
- Insert Anomaly
- Delete Anomaly
- ...

Given a set of FDs (from user) our goal is to:

- 1. Find all FDs, and
- 2. Eliminate the "Bad Ones".



## FDs for Relational Schema Design

- High-level idea: why do we care about FDs?
  - Start with some relational schema
  - 2. Find out its functional dependencies (FDs)

This part can be tricky!

- 3. Use these to design a better schema
  - . One which minimizes possibility of anomalies



- There can be a very **large number** of FDs...
  - How to find them all efficiently?
- We can't necessarily show that any FD will hold on all instances...
  - How to do this?

We will start with this problem: Given a set of FDs, F, what other FDs *must* hold?



Equivalent to asking: Given a set of FDs,  $F = \{f_1, ..., f_n\}$ , does an FD g hold?

Inference problem: How do we decide?

#### **Example:**

#### **Products**

Name	Color	Category	Dep	Price
Gizmo	Green	Gadget	Toys	49
Widget	Black	Gadget	Toys	59
Gizmo	Green	Whatsit	Garden	99

#### **Provided FDs:**

- 1.  $\{Name\} \rightarrow \{Color\}$
- 2. {Category} → {Department}
- 3. {Color, Category}  $\rightarrow$  {Price}

Given the provided FDs, we can see that {Name, Category} → {Price} must also hold on **any instance**...

Which / how many other FDs do?!?



Equivalent to asking: Given a set of FDs,  $F = \{f_1, ..., f_n\}$ , does an FD g hold?

Inference problem: How do we decide?

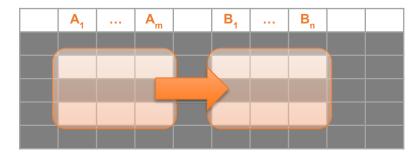
Answer: Three simple rules called **Armstrong's Rules.** 

- 1. Split/Combine
- 2. Reduction
- 3. Transitivity





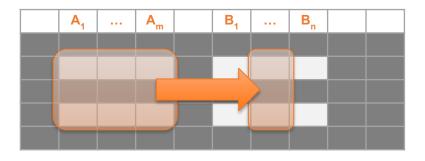
# 1. Split/Combine



$$\boldsymbol{A}_1,\,...,\boldsymbol{A}_m \to \boldsymbol{B}_1,\!...,\!\boldsymbol{B}_n$$



# 1. Split/Combine



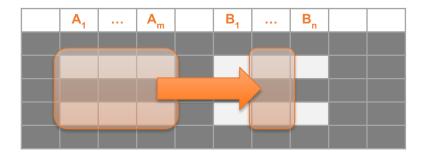
$$A_1, ..., A_m \rightarrow B_1, ..., B_n$$

... is equivalent to the following *n* FDs...

$$A_1,...,A_m \rightarrow B_i$$
 for  $i=1,...,n$ 



# 1. Split/Combine



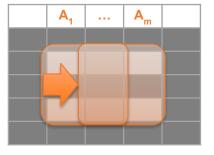
And vice-versa, 
$$A_1, \dots, A_m \rightarrow B_i$$
 for  $i=1,\dots,n$ 

... is equivalent to ...

$$A_1, ..., A_m \rightarrow B_1, ..., B_n$$



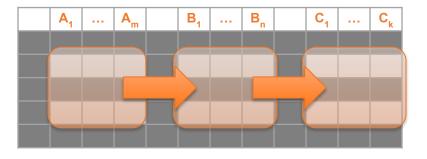
# **Reduction/Trivial**



$$A_1,...,A_m \rightarrow A_j$$
 for any j=1,...,m



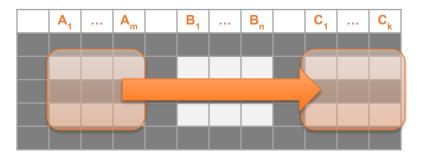
### 3. Transitive Closure



$$A_1, ..., A_m \rightarrow B_1, ..., B_n$$
 and  $B_1, ..., B_n \rightarrow C_1, ..., C_k$ 



### 3. Transitive Closure



$$A_1, ..., A_m \rightarrow B_1, ..., B_n$$
 and  $B_1, ..., B_n \rightarrow C_1, ..., C_k$ 

implies

$$A_1,...,A_m \rightarrow C_1,...,C_k$$

#### **Example:**

#### **Products**

Name	Color	Category	Dep	Price
Gizmo	Green	Gadget	Toys	49
Widget	Black	Gadget	Toys	59
Gizmo	Green	Whatsit	Garden	99

#### **Provided FDs:**

- 1.  $\{Name\} \rightarrow \{Color\}$
- 2. {Category} → {Department}
- 3. {Color, Category} → {Price}

Which / how many other FDs hold?

#### **Example:**

#### Inferred FDs:

Inferred FD	Rule used
4. {Name, Category} -> {Name}	?
5. {Name, Category} -> {Color}	?
6. {Name, Category} -> {Category}	?
7. {Name, Category -> {Color, Category}	?
8. {Name, Category} -> {Price}	?

#### **Provided FDs:**

- 1.  $\{Name\} \rightarrow \{Color\}$
- 2.  $\{Category\} \rightarrow \{Dept.\}$
- 3. {Color, Category} → {Price}

Which / how many other FDs hold?

#### **Example:**

#### Inferred FDs:

Inferred FD	Rule used
4. {Name, Category} -> {Name}	Trivial
5. {Name, Category} -> {Color}	Transitive (4 -> 1)
6. {Name, Category} -> {Category}	Trivial
7. {Name, Category} -> {Color, Category}	Split/Combine (5 + 6)
8. {Name, Category} -> {Price}	Transitive (7 -> 3)

#### **Provided FDs:**

- 1.  $\{Name\} \rightarrow \{Color\}$
- 2.  $\{Category\} \rightarrow \{Dept.\}$
- 3. {Color, Category}  $\rightarrow$  {Price}

What's an algorithmic way to do this?





### Closure of a set of Attributes

**Given** a set of attributes  $A_1, ..., A_n$  and a set of FDs **F**: Then the <u>closure</u>,  $\{A_1, ..., A_n\}^+$  is the set of attributes **B** s.t.  $\{A_1, ..., A_n\} \to B$ 

Example: F =

{name} → {color} {category} → {department} {color, category} → {price}

Example Closures:

{name}<sup>+</sup> = {name, color} {name, category}<sup>+</sup> = {name, category, color, dept, price} {color}<sup>+</sup> = {color}



Start with  $X = \{A_1, ..., A_n\}$  and set of FDs F.

Repeat until X doesn't change; do:

if  $\{B_1, ..., B_n\} \rightarrow C$  is entailed by F

and  $\{B_1, ..., B_n\} \subseteq X$ 

then add C to X.

Return X as X<sup>+</sup>

```
Start with X = \{A_1, ..., A_n\}, FDs F.

Repeat until X doesn't change; do:

if \{B_1, ..., B_n\} \rightarrow C is in F and \{B_1, ..., B_n\} \subseteq X:

then add C to X.

Return X as X<sup>+</sup>
```

```
{name, category}<sup>+</sup> = {name, category}
```

```
F =

{name} → {color}

{category} → {dept}

{color, category} → {price}
```

```
Start with X = \{A_1, ..., A_n\}, FDs F.

Repeat until X doesn't change; do:

if \{B_1, ..., B_n\} \rightarrow C is in F and \{B_1, ..., B_n\} \subseteq X:

then add C to X.

Return X as X<sup>+</sup>
```

```
{name, category}<sup>+</sup> = {name, category}
```

```
{name, category}* =
{name, category, color}
```

```
F = \begin{cases} \text{(name)} \rightarrow \{\text{color}\} \\ \text{(category)} \rightarrow \{\text{dept}\} \\ \text{(color, category)} \rightarrow \{\text{price}\} \end{cases}
```

Start with  $X = \{A_1, ..., A_n\}$ , FDs F.

```
Repeat until X doesn't change; do:

if \{B_1, ..., B_n\} \rightarrow C is in F and \{B_1, ..., B_n\} \subseteq X:

then add C to X.

Return X as X<sup>+</sup>

F =

 \{\text{name}\} \rightarrow \{\text{color}\} 
 \{\text{category}\} \rightarrow \{\text{dept}\} 
 \{\text{color, category}\} \rightarrow \{\text{price}\}
```

```
{name, category}<sup>+</sup> = {name, category}
```

```
{name, category}* =
{name, category, color}
```

```
{name, category}<sup>+</sup> = {name, category, color, dept}
```

Start with  $X = \{A_1, ..., A_n\}$ , FDs F.

```
Repeat until X doesn't change; do:

if \{B_1, ..., B_n\} \rightarrow C is in F and \{B_1, ..., B_n\} \subseteq X:

then add C to X.

Return X as X<sup>+</sup>

F = 

{name} \rightarrow \{color\}

{category} \rightarrow \{dept\}
```

 $\{color, category\} \rightarrow \{price\}$ 

```
{name, category}<sup>+</sup> = {name, category}
```

```
{name, category}<sup>+</sup> = {name, category, color}
```

```
{name, category}<sup>+</sup> = {name, category, color, dept}
```

```
{name, category}<sup>+</sup> = {name, category, color, dept, price}
```

### Example

Compute 
$$\{A,B\}^+ = \{A, B,$$

Compute 
$$\{A, F\}^+ = \{A, F, A, F, A,$$

### Example

Compute 
$$\{A,B\}^+ = \{A, B, C, D\}$$

Compute 
$$\{A, F\}^+ = \{A, F, B\}$$

### Example

R(A,B,C,D,E,F)

$${A,B} \rightarrow {C}$$
  
 ${A,D} \rightarrow {E}$   
 ${B} \rightarrow {D}$   
 ${A,F} \rightarrow {B}$ 

Compute  $\{A,B\}^+ = \{A, B, C, D, E\}$ 

Compute  $\{A, F\}^+ = \{A, B, C, D, E, F\}$ 



What you will learn about in this section

1. Closures Pt. II

2. Superkeys & Keys

3. ACTIVITY: The key or a key?



## Why Do We Need the Closure?

With closure we can find all FD's easily

To check if X → A
 Check if A ∈ X<sup>+</sup>

Note here that **X** is a *set* of attributes, but **A** is a *single* attribute. Why does considering FDs of this form suffice?

Recall the **Split/combine** rule:

$$X \rightarrow A_1, ..., X \rightarrow A_n$$
  
implies  
 $X \rightarrow \{A_1, ..., A_n\}$ 

Step 1: Compute X<sup>+</sup>, for every set of attributes X:

Given F =

```
Example: \{A,B\} \rightarrow C

Given F = \{A,D\} \rightarrow B

\{B\} \rightarrow D
```

```
{A}^{+} = {A}

{B}^{+} = {B,D}

{C}^{+} = {C}

{D}^{+} = {D}

{A,B}^{+} = {A,B,C,D}

{A,C}^{+} = {A,C}

{A,D}^{+} = {A,B,C,D}

{A,B,C}^{+} = {A,B,D}^{+} = {A,C,D}^{+} = {A,B,C,D} {B,C,D}^{+} = {B,C,D}

{A,B,C,D}^{+} = {A,B,C,D}
```

No need to compute all of these- why?

Example: Given F =  $\{A,B\} \rightarrow C$  $\{A,D\} \rightarrow B$  $\{B\} \rightarrow D$ 

```
Step 1: Compute X<sup>+</sup>, for every set of attributes X:
```

$${A}^{+} = {A}, {B}^{+} = {B,D}, {C}^{+} = {C}, {D}^{+} = {D}, {A,B}^{+} = {A,B,C,D}, {A,C}^{+} = {A,C}, {A,D}^{+} = {A,B,C,D}, {A,B,C}^{+} = {A,B,D}^{+} = {A,C,D}^{+} = {A,B,C,D}, {B,C,D}^{+} = {B,C,D}, {A,B,C,D}^{+} = {$$

#### Step 2: Enumerate all FDs X $\rightarrow$ Y, s.t. Y $\subseteq$ X<sup>+</sup> and X $\cap$ Y = $\emptyset$ :

```
{A,B} \rightarrow {C,D}, {A,D} \rightarrow {B,C},

{A,B,C} \rightarrow {D}, {A,B,D} \rightarrow {C},

{A,C,D} \rightarrow {B}
```

Example: Given F =

Step 1: Compute X<sup>+</sup>, for every set of attributes X:

$${A}^{+} = {A}, {B}^{+} = {B,D}, {C}^{+} = {C}, {D}^{+} = {D}, {A,B}^{+} = {A,B,C,D}, {A,C}^{+} = {A,C}, {A,D}^{+} = {A,B,C,D}, {A,B,C}^{+} = {A,B,D}^{+} = {A,C,D}^{+} = {A,B,C,D}, {B,C,D}^{+} = {B,C,D}, {A,B,C,D}^{+} = {$$

Step 2: Enumerate all FDs X  $\rightarrow$  Y, s.t.  $Y \subseteq X^+$  and X  $\cap$  Y =  $\emptyset$ :

$${A,B} \rightarrow {C,D}, {A,D} \rightarrow {B,C},$$
  
 ${A,B,C} \rightarrow {D}, {A,B,D} \rightarrow {C},$   
 ${A,C,D} \rightarrow {B}$ 

"Y is in the closure of

Example: Given F =  $\{A,B\} \rightarrow C$  $\{A,D\} \rightarrow B$  $\{B\} \rightarrow D$ 

Step 1: Compute X<sup>+</sup>, for every set of attributes X:

```
{A}^+ = {A}, {B}^+ = {B,D}, {C}^+ = {C}, {D}^+ = {D}, {A,B}^+ = {A,B,C,D}, {A,C}^+ = {A,C}, {A,D}^+ = {A,B,C,D}, {A,B,C}^+ = {A,B,C,D}^+ = {A,C,D}^+ = {A,B,C,D}^+ = {B,C,D}, {A,B,C,D}^+ = {A,B,C,D
```

Step 2: Enumerate all FDs X  $\rightarrow$  Y, s.t. Y  $\subseteq$  X<sup>+</sup> and  $\bigvee$   $\bigcap$  Y =  $\emptyset$ :

```
\{A,B\} \rightarrow \{C,D\}, \{A,D\} \rightarrow \{B,C\},
\{A,B,C\} \rightarrow \{D\}, \{A,B,D\} \rightarrow \{C\},
\{A,C,D\} \rightarrow \{B\}
```

The FD  $X \rightarrow Y$  is non-trivial





### **Keys and Superkeys**

A <u>superkey</u> is a set of attributes  $A_1, ..., A_n$  s.t. for *any other* attribute **B** in R, we have  $\{A_1, ..., A_n\} \rightarrow B$ 

I.e. all attributes are functionally determined by a superkey

A **key** is a *minimal* superkey

This means that no subset of a key is also a superkey (i.e., dropping any attribute from the key makes it no longer a superkey)



# **Finding Keys and Superkeys**

- For each set of attributes X
  - Compute X<sup>+</sup>
  - 2. If  $X^+$  = set of all attributes then X is a **superkey**
  - 3. If X is minimal, then it is a **key**

## **Example of Finding Keys**

Product(name, price, category, color)

```
{name, category} → price
{category} → color
```

What is a key?

#### **Example of Keys**

Product(name, price, category, color)

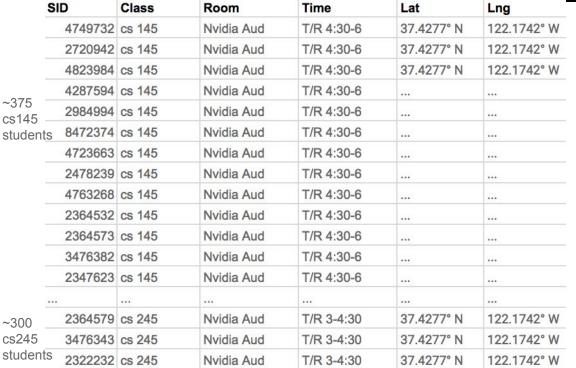
```
{name, category} → price
{category} → color
```

```
{name, category}+ = {name, price, category, color}
= the set of all attributes

⇒ this is a superkey
⇒ this is a key, since neither name nor category alone is a superkey
```









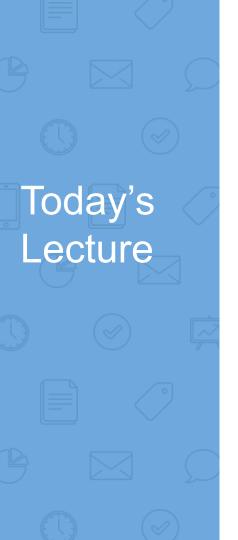
#### <u>Problems</u>

Repeats?
Room/time change?
Deletes?

#### <u>FDs</u>

Class -> Room,Time Room -> Lat, Lng

(more compact)



1. Conceptual design

2. Decomposing tables

3. Boyce-Codd Normal Form, 3NF

3. MVDs

### **Conceptual Design**



#### For a "mega" table

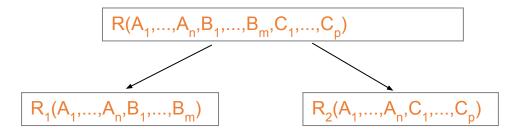
- Search for "bad" <u>dependencies</u>
- If any, keep <u>decomposing</u> the table into sub-tables until no more bad dependencies
- When done, the database schema is *normalized*

Recall: there are several normal forms...





#### **Decompositions**



 $R_1$  = the *projection* of R on  $A_1, ..., A_n, B_1, ..., B_m$ 

 $R_2$  = the *projection* of R on  $A_1$ , ...,  $A_n$ ,  $C_1$ , ...,  $C_p$ 

## **Theory of Decomposition**

Name	Price	Category
Gizmo	19.99	Gadget
OneClick	24.99	Camera
Gizmo	19.99	Camera

Sometimes a decomposition is "correct"

I.e. it is a **Lossless** decomposition

Name	Price
Gizmo	19.99
OneClick	24.99
Gizmo	19.99

*		
Name	Category	
Gizmo	Gadget	
OneClick	Camera	
Gizmo	Camera	

## **Lossy Decomposition**

Name	Price	Category
Gizmo	19.99	Gadget
OneClick	24.99	Camera
Gizmo	19.99	Camera

However sometimes it isn't

What's wrong here?



Price	Category
19.99	Gadget
24.99	Camera
19.99	Camera

## **Lossy Decomposition**

Name	Price	Category
Gizmo	19.99	Gadget
OneClick	24.99	Camera
Gizmo	19.99	Camera

M



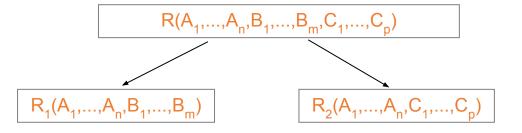
Name	Category
Gizmo	Gadget
OneClick	Camera
Gizmo	Camera



Name	Price	Category
Gizmo	19.99	Gadget
OneClick	24.99	Camera
Gizmo	19.99	Camera
OneClick	19.99	Camera
Gizmo	24.99	Camera



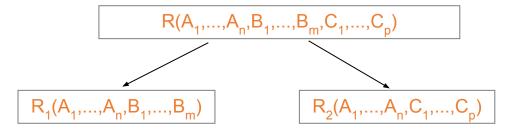
### **Lossless Decompositions**



A decomposition R to (R1, R2) is **lossless** if  $R = R1 \bowtie R2$ 



#### **Lossless Decompositions**



If  $\{A_1, ..., A_n\} \rightarrow \{B_1, ..., B_m\}$ Then the decomposition is lossless Note: don't need  $\{A_1, ..., A_n\} \rightarrow \{C_1, ..., C_p\}$ 

### **Conceptual Design**



#### For a "mega" table

- Search for "bad" <u>dependencies</u>
- If any, keep <u>decomposing</u> (<u>lossless</u>) the table into sub-tables until no more bad dependencies
- When done, the database schema is *normalized*

Recall: there are several normal forms...



#### Recap: FDs, keys, closures

Given a set of FDs,  $F = \{f_1, ..., f_n\}$ , does FD 'g' hold? (Closure)

If  $X^+$  = set of all attributes, then X is a **superkey** 

If X is minimal, then it is a **key** 



#### **Armstrong's Rules**

- 1. Split/Combine (rhs of FD)
- 2. Reduction
- 3. Transitivity





### **Boyce-Codd Normal Form (BCNF)**

Main idea: define "good" and "bad" FDs as follows:

- X → A is a "good FD" if X is a (super) key
   I.e., A is the set of all attributes
- Else, X → A is a "bad FD"

We will try to eliminate the "bad" FDs!

#### **Boyce-Codd Normal Form (BCNF)**

Why does this definition of "good" and "bad" FDs make sense?

If X is *not* a (super)key, it functionally determines *some* of the attributes; therefore, those other attributes can be duplicated

Recall: this means there is <u>redundancy</u> and can lead to <u>anomalies</u>

EmpID	Name	Phone	Position
E0045	Smith	1234	Clerk
E3542	Mike	9876	Salesrep
E1111	Smith	9876	Salesrep
E9999	Mary	1234	Lawyer



### **Boyce-Codd Normal Form**

BCNF is a simple condition for removing anomalies from relations:

A relation R is **in BCNF** if:

if  $\{A_1, ..., A_n\} \rightarrow B$  is a non-trivial FD in R

then  $\{A_1, ..., A_n\}$  is a superkey for R

In other words: there are no "bad" FDs

#### Example

Name	SSN	PhoneNumber	City
Fred	123-45-6789	206-555-1234	Seattle
Fred	123-45-6789	206-555-6543	Seattle
Joe	987-65-4321	908-555-2121	Westfield
Joe	987-65-4321	908-555-1234	Westfield

 $\{SSN\} \rightarrow \{Name, City\}$ 

This FD is *bad* because it is **not** a superkey

 $\Rightarrow \underline{\text{Not}}$  in BCNF

What is the key? {SSN, PhoneNumber}



### **Example decomposition**

Name	SSN	City
Fred	123-45-6789	Seattle
Joe	987-65-4321	Madison

<u>SSN</u>	<u>PhoneNumber</u>
123-45-6789	206-555-1234
123-45-6789	206-555-6543
987-65-4321	908-555-2121
987-65-4321	908-555-1234

Now in BCNF!

 $\{SSN\} \rightarrow \{Name, City\}$ 

This FD is now good because it is the key

#### Let's check anomalies:

- Redundancy?
- Update?
- Delete?



BCNFDecomp(R):



#### BCNFDecomp(R):

Find a set of attributes X s.t.:  $X^+ \neq X$  and  $X^+ \neq [$ all attributes]

Find a set of attributes X which has non-trivial "bad" FDs, i.e. is not a superkey, using closures



BCNFDecomp(R):

Find a set of attributes X s.t.:  $X^+ \neq X$  and  $X^+ \neq [$ all attributes]

if (not found) then Return R

If no "bad" FDs found, in BCNF!



BCNFDecomp(R):

Find a set of attributes X s.t.:  $X^+ \neq X$  and  $X^+ \neq [$ all attributes]

if (not found) then Return R

**decompose** R into  $R_1(X^+)$  and  $R_2(X \cup Rest)$ 

R2: Rest of attributes not in X<sup>+</sup>



BCNFDecomp(R):

Find a set of attributes X s.t.:  $X^+ \neq X$  and  $X^+ \neq [$ all attributes]

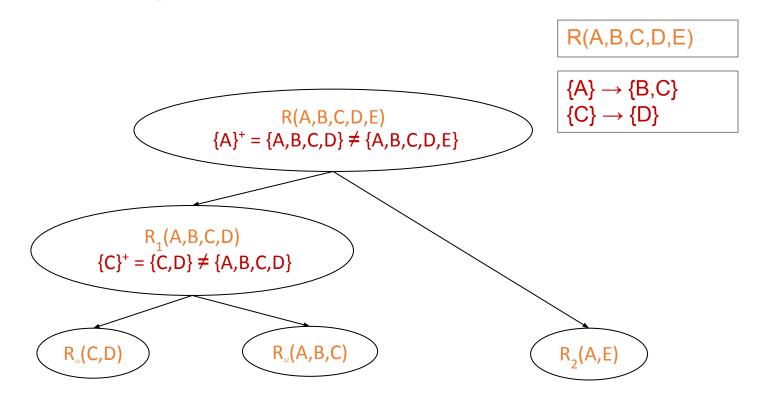
if (not found) then Return R

decompose R into  $R_1(X^+)$  and  $R_2(X \cup Rest)$ 

**Return** BCNFDecomp(R<sub>2</sub>), BCNFDecomp(R<sub>2</sub>)

Proceed recursively until no more "bad" FDs!

#### Example

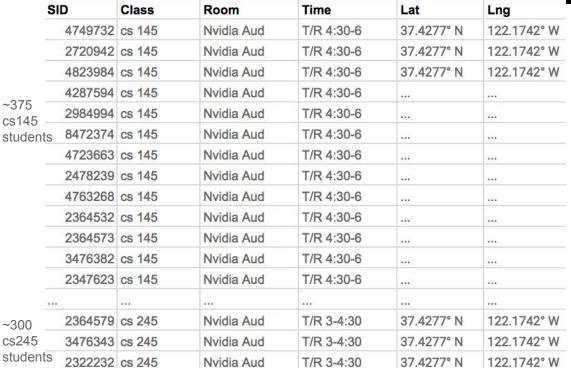


### **Conceptual Design (recap)**

For a "mega" table

- Search for "bad" <u>dependencies</u>
- If any, *keep <u>decomposing</u>* (lossless) the table into sub-tables until no more bad dependencies
- When done, the database schema is *normalized*







<u>FDs</u> Class -> Room,Time Room -> Lat, Lng

(more compact)



# BCNF decomposition

SID	Class	Room	Time	Lat	Lng
4749732	cs 145	Nvidia Aud	T/R 4:30-6	37.4277° N	122.1742° W
2720942	cs 145	Nvidia Aud	T/R 4:30-6	37.4277° N	122.1742° W
4823984	cs 145	Nvidia Aud	T/R 4:30-6	37.4277° N	122.1742° W
4287594	cs 145	Nvidia Aud	T/R 4:30-6		
2984994	cs 145	Nvidia Aud	T/R 4:30-6		
8472374	cs 145	Nvidia Aud	T/R 4:30-6		
4723663	cs 145	Nvidia Aud	T/R 4:30-6		
2478239	cs 145	Nvidia Aud	T/R 4:30-6		
4763268	cs 145	Nvidia Aud	T/R 4:30-6		
2364532	cs 145	Nvidia Aud	T/R 4:30-6		
2364573	cs 145	Nvidia Aud	T/R 4:30-6		
3476382	cs 145	Nvidia Aud	T/R 4:30-6		
2347623	cs 145	Nvidia Aud	T/R 4:30-6		
2364579	cs 245	Nvidia Aud	T/R 3-4:30	37.4277° N	122.1742° W
3476343	cs 245	Nvidia Aud	T/R 3-4:30	37.4277° N	122.1742° W
2322232	cs 245	Nvidia Aud	T/R 3-4:30	37.4277° N	122.1742° W



SID, Class

FDs

Class -> Room,Time Room -> Lat, Lng

Schema: SID, Class, Room, Time, Lat, Lng

#### **BCNF** decomposition

- Find bad FD #1: Class<sup>+</sup> -> Class, Room, Time, Lat, Lng
   Decomposed: R1(Class, Room, Time, Lat, Lng) and R2(SID, Class)
- Find bad FD #2: Room<sup>+</sup> -> Room, Lat, Lng
   Decompose R1 into R11(Room, Lat, Lng) and R12(Class, Room, Time)
- ⇒ BCNF schema: R2(SID, Class), R12(Class, Room, Time), R11(Room, Lat, Lng)



#### Example Enrollment table - "v1"

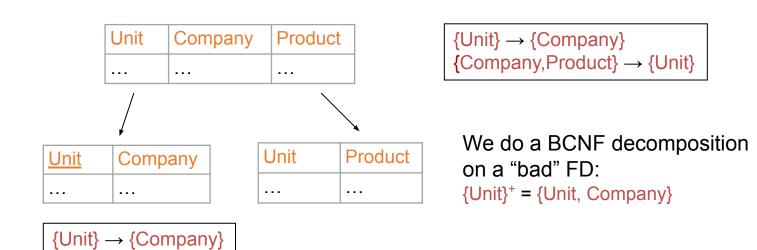
	SID	Class
375 cs145 students	4749732	cs 145
	2720942	cs 145
	4823984	cs 145
	4287594	cs 145
	2984994	cs 145
	8472374	cs 145
	4723663	cs 145
	2478239	cs 145
	4763268	cs 145
	2364532	cs 145
	2364573	cs 145
	3476382	cs 145
	2347623	cs 145
300 cs245 students	2364579	cs 245
	3476343	cs 245
	2322232	cs 245



Class	Room	Time
cs 145	Nvidia Aud	T/R 4:30-6
cs 245	Nvidia Aud	T/R 3-4:30
cs 246	Nvidia Aud	M/W 3-4:30

Room	Lat	Lng
Nvidia Aud	37.4277° N	122.1742° W

#### A Problem with BCNF



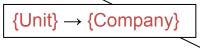
We lose the FD {Company, Product}  $\rightarrow$  {Unit}!!

## So Why is that a Problem?

<u>Unit</u>	Company
Galaga99	UW
Bingo	UW

Unit	Product
Galaga99	Databases
Bingo	Databases

No problem so far. All *local* FD's are satisfied.



Unit	Company	Product
Galaga99	UW	Databases
Bingo	UW	Databases

Let's put all the data back into a single table again:

Violates the FD {Company, Product} → {Unit}!!



#### The Problem

- We started with a table R and FDs F
- We decomposed R into BCNF tables R<sub>1</sub>, R<sub>2</sub>, ... with their own FDs F<sub>1</sub>, F<sub>2</sub>, ...
- We insert some tuples into each of the relations—which satisfy their local FDs but when reconstruct it violates some FD across tables!

<u>Practical Problem</u>: To enforce FD, must reconstruct R—on each insert!

#### **Possible Solutions**

 Various ways to handle so that decompositions are all lossless / no FDs lost

 Usually a tradeoff between redundancy / data anomalies and FD preservation...



#### **BCNF vs 3NF**

#### BCNF (recap)

X → A is a "good FD" if X is a (super) key
 I.e., A is the set of all attributes

#### 3NF:

- $X \rightarrow A$  is a "good FD" if X is a (super) key
- · Or, if A is part of any key

BCNF still most common- with additional steps to keep track of lost FDs...





#### Example: Student profile

"Student 4749732 is taking Classes = {cs145, cs 245, cs 222}, Hobbies = {Surfing, Music, Astronomy}"



Class	Hobby
cs 145	Surfing
cs 245	Surfing
cs 222	Surfing
cs 145	Music
cs 245	Music
cs 222	Music
cs 145	Astronomy
cs 245	Astronomy
cs 222	Astronomy
cs 145	-
cs 336	-
	cs 145 cs 245 cs 222 cs 145 cs 245 cs 222 cs 145 cs 245 cs 245 cs 245 cs 245 cs 245

#### **Problem**

FDs? Lots of redundancy

#### Root cause

Conditional independence given SID: Classes & Hobbies are independent



#### Multi-Value Dependencies (MVDs)

- A multi-value dependency (MVD) is another type of dependency that could hold in our data, which is not captured by FDs
- Formal definition:
  - Given a relation R having attribute set A, and two sets of attributes X, Y ⊆ A
  - The multi-value dependency (MVD) X → Y holds on R if
  - for any tuples  $t_1, t_2 \in R$  s.t.  $t_1[X] = t_2[X]$ , there exists a tuple  $t_3$  s.t.:
    - $t_1[X] = t_2[X] = t_3[X]$
    - $t_1[Y] = t_3[Y]$
    - $t_2[A \mid Y] = t_3[A \mid Y]$ 
      - Where A\B means "elements of set A not in set B"



#### Multi-Value Dependencies (MVDs)

- Another way to understand MVDs, in terms of conditional independence:
- The MVD X → Y holds on R if given X, Y is conditionally independent of A \ Y and vice versa...



# **Activity: Movie Theatre Example**

Movie_theater	film_name	snack
Rains 216	Star Trek: The Wrath of Kahn	Kale Chips
Rains 216	Star Trek: The Wrath of Kahn	Burrito
Rains 216	Lord of the Rings: Concatenated & Extended Edition	Kale Chips
Rains 216	Lord of the Rings: Concatenated & Extended Edition	Burrito
Rains 218	Star Wars: The Boba Fett Prequel	Ramen
Rains 218	Star Wars: The Boba Fett Prequel	Plain Pasta

Any FDs?

No...



Movie_theater		film_name	snack
Rains 216		Star Trek: The Wrath of Kahn	Kale Chips
Rains 216		Star Trek: The Wrath of Kahn	Burrito
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Kale Chips
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Burrito
Rains 218		Star Wars: The Boba Fett Prequel	Ramen
Rains 218		Star Wars: The Boba Fett Prequel	Plain Pasta

For a given movie theatre...



Movie_theater		film_name		snack	
Rains 216		Star Trek: The Wrath of Kahn		Kale Chips	
Rains 216		Star Trek: The Wrath of Kahn		Burrito	
Rains 216	Lord of the Rings: Concatenated & Extended Edition		Kale Chips		
		Lord of the Rings: Concatenated & Extendition	ended	Burrito	
Rains 218		8 Star Wars: The Boba Fett Prequel		Ramen	
Rains 218 Star Wars: The Boba Fett Prequel			Plain Pasta		

For a given movie theatre...

Given a set of movies and snacks...

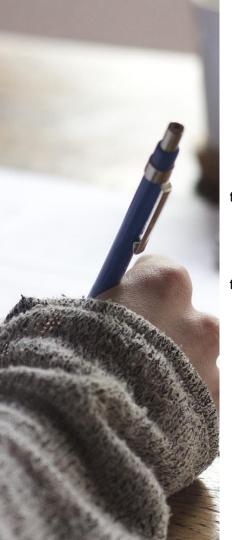


Movie_theater		film_name	snack
Rains 216		Star Trek: The Wrath of Kahn	Kale Chips
Rains 216		Star Trek: The Wrath of Kahn	Burrito
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Kale Chips
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Burrito
Rains 218		Star Wars: The Boba Fett Prequel	Ramen
Rains 218		Star Wars: The Boba Fett Prequel	Plain Pasta

For a given movie theatre...

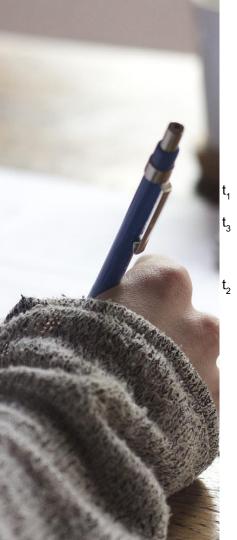
Given a set of movies and snacks...

Any movie / snack combination is possible!



	Movie_theater (A	film_name (B)	Snack (C)
	Rains 216	Star Trek: The Wrath of Kahn	Kale Chips
'	Rains 216	Star Trek: The Wrath of Kahn	Burrito
	Rains 216	Lord of the Rings: Concatenated & Extended Edition	Kale Chips
2	Rains 216	Lord of the Rings: Concatenated & Extended Edition	Burrito
	Rains 218	Star Wars: The Boba Fett Prequel	Ramen
	Rains 218	Star Wars: The Boba Fett Prequel	Plain Pasta

More formally, we write  $\{A\} \rightarrow \{B\}$  if for any tuples  $t_1, t_2$  s.t.  $t_1[A] = t_2[A]$ 

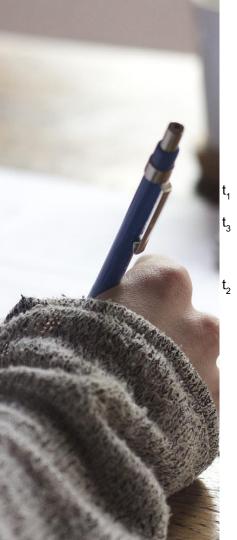


Movie_theater (A)		film_name (B)	Snack (C)
Rains 216		Star Trek: The Wrath of Kahn	Kale Chips
Rains 216		Star Trek: The Wrath of Kahn	Burrito
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Kale Chips
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Burrito
Rains 218		Star Wars: The Boba Fett Prequel	Ramen
Rains 218		Star Wars: The Boba Fett Prequel	Plain Pasta



Movie_theater (A)		film_name (B)	Snack (C)
Rains 216		Star Trek: The Wrath of Kahn	Kale Chips
Rains 216		Star Trek: The Wrath of Kahn	Burrito
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Kale Chips
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Burrito
Rains 218		Star Wars: The Boba Fett Prequel	Ramen
Rains 218		Star Wars: The Boba Fett Prequel	Plain Pasta

More formally, we write {A} \* {B} if for any tuples  $t_1, t_2$  s.t.  $t_1[A] = t_2[A]$  there is a tuple  $t_3$  s.t. •  $t_3[A] = t_1[A]$ •  $t_3[B] = t_1[B]$ 

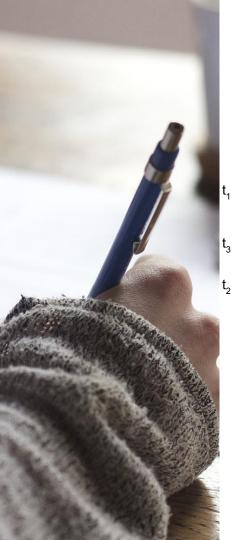


Movie_theater (A)		film_name (B)	Snack (C)
Rains 216		Star Trek: The Wrath of Kahn	Kale Chips
Rains 216		Star Trek: The Wrath of Kahn	Burrito
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Kale Chips
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Burrito
Rains 218		Star Wars: The Boba Fett Prequel	Ramen
Rains 218		Star Wars: The Boba Fett Prequel	Plain Pasta

More formally, we write {A} \* {B} if for any tuples  $t_1, t_2$  s.t.  $t_1[A] = t_2[A]$  there is a tuple  $t_3$  s.t. •  $t_3[A] = t_1[A]$ 

- $t_3[B] = t_1[B]$  and  $t_3[R\backslash B] = t_2[R\backslash B]$

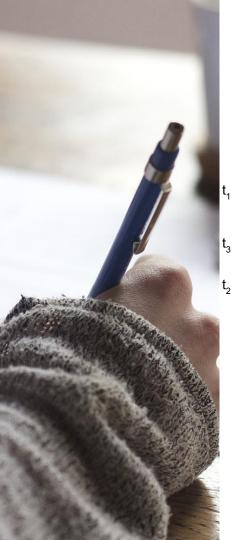
Where R\B is "R minus B" i.e. the attributes of R not in



Movie_theater (A)		film_name (B)	Snack (C)
Rains 216		Star Trek: The Wrath of Kahn	Kale Chips
Rains 216		Star Trek: The Wrath of Kahn	Burrito
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Kale Chips
Rains 216		Lord of the Rings: Concatenated & Extended Edition	Burrito
Rains 218		Star Wars: The Boba Fett Prequel	Ramen
Rains 218		Star Wars: The Boba Fett Prequel	Plain Pasta

Note this also works!

Remember, an MVD holds over a relation or an instance, so defn. must hold for every applicable pair...



Movie_theater (A)		film_name (B)	name (B)	
Rains 216		Star Trek: The Wrath of Kahn		Kale Chips
Rains 216		Star Trek: The Wrath of Kahn		Burrito
Rains 216		Lord of the Rings: Concatenated & Extended Edition		Kale Chips
Rains 216		Lord of the Rings: Concatenated & Extended Edition		Burrito
Rains 218		Star Wars: The Boba Fett Prequel		Ramen
Rains 218		Star Wars: The Boba Fett Prequel		Plain Pasta

This expresses a sort of dependency (= data redundancy) that we can't express with FDs

\*Actually, it expresses conditional independence (between film and snack given movie theatre)!



#### **Summary**

- Constraints allow one to reason about redundancy in the data
- Normal forms describe how to remove this redundancy by decomposing relations
  - Elegant—by representing data appropriately certain errors are essentially impossible
  - For FDs, BCNF is the normal form.
- A tradeoff for insert performance: 3NF



# THANK YOU!