

A New Approach To Extract Meaningful Clinical Information From Medical Notes

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Abstract— In medical domain, one of the most important documents is the notes that doctors, nurses or other medical practitioners take during patient interview. These notes contain important information about the patient current condition, symptoms, family history, disease, procedures (like x-ray, lab test etc.), medication and so on. These notes are in plain text English language and are presented in an unstructured way. An unstructured text can be defined as text which contains information not in a common structured format. Since these notes contain very useful clinical information, everyone is willing to extract such information. But lack of defined structure, it makes these texts to be interpreted only by humans and not by any computer program. In structured information, we have only the useful information extracted from the text and other unnecessary information is thrown away. This makes the information to be presented in a definite structure which can be stored in database for further processing. In this approach, we are going to build a medical corpus using the training dataset and apply the corpus in order to extract the information like diagnosis, procedure, drug, habit and vitals from the clinical notes and evaluate it based on different accuracy parameters.

Keywords— *unstructured texts; structured texts; natural language processing; health informatics; corpus; accuracy*

I. INTRODUCTION

Natural Language Processing (NLP) [1] is an emerging field of Artificial Intelligence. One of the challenges that we face during NLP implementation is the unstructured content of natural languages. We'll focus on English as Natural Language for this work. Though the English language has definite structures governed by the rules of grammar, in the normal communication, it becomes quite unstructured [2]. Use of abbreviations, ambiguity, not always following the correct grammar rules, incomplete sentences are some of the factors which makes it unstructured. Human brains are smart enough to capture such information and convert into the meaningful information for their use. But it's very hard for the automated computerized system to extract meaningful information from such unstructured texts. Maintaining accuracy is another challenge for such systems. Since we are dealing with medical domain, the accuracy factor is very

crucial as it could be life threatening if wrong interpretation is presented.

The main discussion point of this paper is focused on the healthcare and clinical sector, where the use of unstructured data [3] is prevalent. There are several instances where the medical practitioners like doctors, pharmacists and nurses generate such unstructured texts while collecting family history, prescribing drugs and so on. These unstructured texts are rich in clinical information and those needs to be extracted as meaningful knowledge for further processing. An unstructured text can be defined as text which contains information not in a common structured format. Whereas, in structured information, we have only the useful information extracted from the text and other unnecessary information are thrown away. The section below discusses about this in detail.

A. Unstructured Text And Its Disadvantages

As discussed above, unstructured texts don't follow any pre-defined structure to store information. It depends on person who is maintaining the note. These can be understood quite easily by humans but not by the computer systems. Here are some of the examples-

- Spoke with pt over the phone. Pt presents with fairly new dx of diabetes, and taking metformin.
- Pt presents with hyperlipidemia and strong family hx of CAD. Keeps active with job, kids, and softball, and cardio exercise.

As we can see in these notes, there is no regular pattern of information present. Not all notes contain information about diagnosis or drug. Similarly there is not any structured way where the diagnosis comes first, then procedure and then drug. Also these notes contain so many abbreviated texts. Lack of any system to convert that information into structured one makes the medical practitioners' job tedious by needing to read all notes and history each time manually and extracting information from them and again storing it some other place. It consumes a considerable amount of time for them. It's more tedious when there is any transfer between one departments of hospital to another like from emergency to operation theatre.

B. Structured Information & Its Usefulness

By structured information, it means that the information stored in a regular and general pattern not haphazardly as we saw in previous section example notes. For above notes, the structured way of presenting information would be,

Note 1 -

Diagnosis - Diabetes

Drug - metformin

Habit - Exercise

Vital - blood sugar

Note 2 -

Diagnosis - Hyperlipidemia

Habit - Exercise

Presenting information in the structured way will make it easy to be interpreted by any software program and do further processing like

- Extracting the useful information with some level of accuracy and speed.
- Presenting report based on these facts.
- Suggesting some course of action with some automated suggestion module.

The main objective of this research is as to find out the appropriate method of converting unstructured text to structured information to extract meaningful clinical information from notes entered by medical practitioner using appropriate NLP techniques. Also we'll be looking into storing the information for future use.

Rest of the paper has been organized as below.

- In section II, we have discussed about the background and some related works in the context of this research.
- In section III, we have discussed about the methodology that has been used for carrying out this work. This section describes all the related steps.
- In section IV, the result and its discussion is presented with the accuracy parameters and their values obtained by this work.
- Section V deals with application areas of this research work.
- Section VI presents the conclusion and future direction of this work.
- The paper ends with acknowledgement and the list of references.

II. BACKGROUND AND RELATED WORKS

In current scenario, there are myriad of research going on in medical NLP sector. We are going to discuss some of the related works here which are more relevant to our system.

In 2009, Xu *et al.* designed a natural language processing (NLP) based tool MedEX [4] which is used for extracting the medication or drugs information from clinical narratives. It was initially developed using discharge summary. It does well in identifying not only the drug names but other useful information as well like strength, route and frequency etc. A clinical text undergoes three steps in MedEX [4] system to obtain the structured information, 1) Preprocessing in which the sentence boundary detection is done in the clinical texts. 2) Semantic tagging in which each clinical sentence is broken down in tokens and each token is labeled with a semantic category like Drug name, drug strength etc. 3) Parsing then uses context-free grammar to parse the textual sentences into structured form using a chart parser.

G.K. Sovava *et al.* developed system for Mayo Clinical text analysis, cTAKES [5] based on open source NLP for information extraction from Electronic Medical Record (EMR). The system is built on existing open source NLP technologies like the Unstructured Information Management Architecture (UIMA) framework and OpenNLP [6] natural language processing toolkit. This system is trained on clinical domain for creating rich linguistic and semantic annotations. The dataset used for cTAKES [5] is a subset of clinical notes from Mayo Clinic EMR.

In 2010, V. Glara *et al.* developed a system based on cTAKES [5] for the classification of radiology reports that contains the findings leading to suggest that the case is of hepatic decompensation. The system is called The Yale cTAKES [5] extensions for document classification, YTEX [7]. YTEX [7] modified cTAKES [5] by using 1) A regular expression based named entity recognition. 2) Latest version of NegEx algorithm to detect Negation 3) a database module to store the annotations in the database.

Dr. Alan Aronson developed a highly configurable program, MetaMap [8] at the National Library of Medicine (NLM) to map biomedical text to the United Medical Language System (UMLS) [9] Metathesaurus.

III. METHODOLOGY

The research methodology used is design and creation method to carry out this work. As per this method, after the background study and awareness of problem, a working system is designed and developed. Data collection is one of the crucial parts of this research. We need to collect the data for training as well as testing purpose. After data collection, data processing is done using the system developed. Once we get the result after this processing, the analysis is done in the result to see how accurate the system was able to classify the data in the appropriate class. Since the notes are entered in natural language, we are going to use NLP techniques to solve the problem. We have considered English language for this research work. For the Named Entity Recognition (NER) step, there is the use of corpus based approach where we develop medical corpus using training dataset and apply it during NER task. The steps are discussed below.

A. Sentence Boundary Detection

In this step, the sentences from clinically rich texts are identified. It detects sentences by using sentence terminators like period (.), question mark (?) etc. E.g.

- Input note – [“FBS & hgA1c both slightly improved, but still prediabetes (HgA1c = 5.8%). But did instruct on diet/exercise.”]
- Output sentences - [“FBS & hgA1c both slightly improved, but still prediabetes (HgA1c = 5.8%).”, “But did instruct on diet/exercise.”]

As we can see here, the period appearing in 5.8 is not considered as sentence boundary rather the actual boundary at the end of sentence is detected as sentence boundary and sentences are separated based on that.

B. Preprocessing

Preprocessing, as the name suggests, is done before actual processing starts and is done in order to standardize the sentences so that it becomes easy in further steps. Following tasks are achieved in this preprocessing phase –

- Abbreviation handling: Abbreviated texts are replaced by its full form. A list based replacement approach is used for this. E.g. pt is expanded as patient; dx is expanded as diagnosis etc.
- Punctuation handling: The texts having punctuation and denoting negation, like “don’t”, “hasn’t” etc. are converted into actual negative form like “do not”, “has not”. This makes the negation handling part easy to detect the negative scenarios.
- Lower case conversion: In order to bring standardization and reduce the case conversion effort during named entity detection phase, all texts are converted in lower case characters.
- ASCII character removal: Since the notes are maintained in different systems, there is chance of having different ASCII characters which makes the program to fail. So ASCII characters are removed before further processing.

C. Tokenization

In this step, each sentence is further broken down into individual tokens. A token is an instance of a sequence of characters in some particular document that are grouped together as a useful semantic unit for processing. NLTK [16] tokenize module is used for this. Following is an example of tokenizer –

- Input sentences - [“FBS & hgA1c both slightly improved, but still prediabetes (HgA1c = 5.8%).”, “But did instruct on diet/exercise.”]
- Output tokens - [‘FBS’, ‘&’, ‘hgA1c’, ‘both’, ‘slightly’, ‘improved’, ‘,’, ‘but’, ‘still’, ‘prediabetes’, ‘(’, ‘HgA1c’, ‘=’, ‘5.8’, ‘%’, ‘)’, ‘,’, ‘But’, ‘did’, ‘instruct’, ‘on’, ‘diet/exercise’, ‘.’]

This phase constructs very effective input for next phase which can deal each token wise rather having to deal with large sentences. It can deal with small chunks only.

D. Parts-Of-Speech Tagging (POS Tagging)

The next step in the processing is to assign a parts of speech tag to each token. This step is required so that we can limit our search to only those tokens which are tagged as Noun or Verb during further phase of Named Entity Recognition. For POS tagging Penn Treebank tagger [10] is used. This tag-set is developed by The University of Pennsylvania (Penn). The example of tagging using this tagger is as follows –

- Input tokens - [‘FBS’, ‘&’, ‘hgA1c’, ‘both’, ‘slightly’, ‘improved’, ‘,’, ‘but’, ‘still’, ‘prediabetes’, ‘(’, ‘HgA1c’, ‘=’, ‘5.8’, ‘%’, ‘)’, ‘,’, ‘But’, ‘did’, ‘instruct’, ‘on’, ‘diet/exercise’, ‘.’]
- Output POS tags – [(‘FBS’, ‘NNS’) (‘&’, ‘CC’) (‘hgA1c’, ‘NNP’) (‘both’, ‘DT’) (‘slightly’, ‘RB’) (‘improved’, ‘VBN’) (‘,’, ‘,’) (‘but’, ‘CC’) (‘still’, ‘RB’) (‘prediabetes’, ‘VBZ’) (‘(’, ‘,’) (‘HgA1c’, ‘NNP’) (‘=’, ‘,’) (‘5.8’, ‘CD’) (‘%’, ‘NN’) (‘)’, ‘,’) (‘,’, ‘,’) (‘But’, ‘CC’) (‘did’, ‘VBD’) (‘instruct’, ‘NN’) (‘on’, ‘IN’) (‘diet/exercise’, ‘JJ’) (‘.’, ‘.’)]

E. NN-VB Extraction

Once token is tagged, the main area of concern for further processing would be Noun and Verb phrases. So before the actual recognition of entities like diagnosis, procedure etc., we first extract the Noun and Verb phrases in this phase. This makes recognizer module work on small set of significant data only rather than searching through entire dataset. Only the tokens with following tags are extracted from POS tagger output.

- Noun phrases - NN, NNS, NNP, NNPS
- Verb phrases - VB, VBD, VBG, VBN, VBP, VBZ

F. Named Entity Recognition (NER)

This is the phase where we actually recognize one of the following entities from the notes; diagnosis, procedure, drug or medication, vital and habit. In order to effectively implement this module, we have taken the approach of building a medical corpus with training data set. Later on, the corpus is used to find out various entities through the recognition process.

1) Medical Corpus

The sole purpose of the corpus building is to apply it in Named Entity Recognition phase to classify the correct entity. The idea is to generate a rich tagged set of corpus from the available set of data. This corpus will be then used to tag the unstructured text automatically by the system. For the purpose of this research, we need to identify the entities like Diagnosis, Procedure and Drug. So the corpus is focused around these named entities. The corpus is built to recognize one of the following entities as mentioned in the Table I, within any note-

TABLE I. ENTITY TYPES

Entity Type	Description	Example
Diagnosis	Disease associated with the patient	Diabetes, hypertension, cancer etc.
Procedure	Any procedure done for identification or cure of the disease	MRI, CT Scan, Lab Tests, Therapies etc.
Drug	Medications taken by the patient	Metformin, Lantus, Insulin etc.
Habits	Different habits related to health	Exercise, smoking, jogging etc.
Vitals	Vital signs associated with patient	Weight, height, blood sugar etc.

2) Method Of Building Corpus

Following methods were involved in building medical corpus data.

- Training data collection – Corpus is generally associated with some domain. Here we are dealing with medical texts so the domain is limited to medical domain. For this purpose, we collected a large set of texts entered by nurses during patient visits or patient calls with the details of their diagnosis, procedure, medication, vitals and habit. Around 5,000 such sentences are used for building the corpus.
- Manual annotation - After data collection, the second task is manually annotating the notes to tag the relevant texts. The relevant text is tagged within the span of <START:{type}> (Text) <END> as shown in the Table II below.

TABLE II. ENTITY TYPES

Entity Type	Annotation Span
Diagnosis	<START:diagnosis> <END>
Procedure	<START:procedure> <END>
Drug	<START:drug> <END>
Habit	<START:habit> <END>
Vitals	<START:vital> <END>

Each text is read manually and put one of these tags for appropriate words with human knowledge as shown in Fig.1.

- Corpus file generation- After the human annotation is completed; a computer program is built in order to generate the corpus file out of it automatically. Each type of corpus is saved in its separate file name {type}.ner. Diagnosis corpus is saved in a file named diagnosis.ner, procedures in procedure.ner, drugs in drug.ner, vitals in vital.ner and habits in habit.ner. files. A sample of diagnosis.ner file is shown in the Fig. 2 below.

- Redundancy handling - While going through such huge amount of data collected, we end up having same elements in the corpus repeated several times in the file bringing redundancy in corpus file. A duplicate removal algorithm is used in order to clean up the redundant information hence bringing enhancement in the recognition performance. Handling redundancy resulted into a higher system performance. The result shows that there is almost 400% gain in the actual processing time with non-redundant corpus.

```
<START:habit> Smoker <END> > 40 pack
years. <START:diagnosis> Diabetes <END>
(<START:vital> HgA1c <END> 7 in 2011),
<START:diagnosis> overweight <END>.
\He\" , has acceptable control of his
<START:diagnosis> diabetes <END> with
<START:vital> HgA1c <END> of 6.3 (was 7 in
2011) & <START:vital> FBS <END> 115.
<START:vital> Triglycerides <END> elevated
@ 175, depressed <START:vital> HDL <END>
of 29 (huge Risk Factor). <START:habit>
Nicotine <END> use high, 1 PPD x 40 years.
NO <START:habit> exercise <END> .
<START:diagnosis> Type 2 Diabetes <END> ,
<START:diagnosis> Obesity <END> ,
<START:diagnosis> Gout <END>,
<START:diagnosis> HTN <END>,
<START:diagnosis> Hyperlipidemia <END>
```

Fig. 1. Manual Tagging

1	diabetes
2	hydrocephalus
3	shunt malfunction
4	diabetic
5	hyperlipidemia
6	cad
7	obesity
8	hyperglycemia
9	pectus excavatum
10	wound
11	type2diabetes
12	bad lower back
13	plantar fasciitis
14	arthritis
15	cancer

Fig. 2. Snapshot Of Diagnosis Corpus File

G. Entity Detection

After the corpus generation, actual entity detection phase is entered using the corpus file. Input to this module is noun-verb extractor output, i.e., Noun and Verb phrases only and the output is recognized entities. Each NN-VB phrase is matched against all corpus files to categorize the element as one of the classes of diagnosis, procedure, habit,

vital and drug. Thus identified elements are saved in Database in a structured way as shown in the Fig. 3. All these steps are carried out using a computer program which does these automatically after feeding the input.

H. Additional components

In order to enhance the accuracy rate, following three additional components are integrated to the systems:

- Negation handlings – where the negative words like no, none, free etc. are tracked and flag the detected entity as negative so that true negative rate is good.
- Bigram Analysis – in which the consecutive two words are analyzed in unison to detect more positive entities like heat attack, lever disease etc.
- Stemming – in which the stem of word is extracted and compared so that we have less redundancy and better performance.

IV. RESULTS ANALYSIS AND DISCUSSION

After the completion of corpus building, we analyzed the accuracy of corpus itself. This was done manually to check if there is any wrong tag element during corpus building. During this phase, we have consulted with physicians also to validate the tagging. Once the corpus set is verified, we were ready to test it in the available dataset. The main focus of the test was to check the extent of the accuracy of this approach so that we can check its effectiveness. We followed an iterative process during testing where the testing was conducted in several pass and the corpus set was enhanced as a feedback of test so that we can cover more during element classification. The structured output is categorized as set1 output for this dataset and the elements which can't be categorized by the system is flagged as "no match xx". The experimental environment used during the test is described in the section below.

A. Experimental Setup

For this work, following experimental environment was used.

- RAM - 8 GB
- Processor - Intel(R) Core(TM) i5-3320M CPU @ 2.60GHz, 2 Core(s), 4 Logical Processor(s)
- Operating System - Microsoft Windows 7
- Coding platform - Python 2.7
- Database – MySQL 5.7.9
- Input type – text file

B. Test Set

Determining test data is one of the crucial parts of testing. Test data taken is generally some % of the training data that we use to make the corpus. While selecting test data, we need to be careful to take the test data so that it represents the actual real scenario and the result is biased.

We have chosen the simple holdout method [27] where a ratio of 75-25% of training set and test set is maintained. The test data was an unseen data which went through the corpus based recognition system and detected the entities. Training set consumed around 5000 sentences whereas test set had around 1300 sentences to maintain this ratio.

note_id	detected_element	element_type	set_id	updated_date	id
Note_Number-73:	cholesterol	vital	set1	7/30/2017 14:02	34
Note_Number-74:	exercises	habit	set1	7/30/2017 14:02	34
Note_Number-75:	tchol	vital	set1	7/30/2017 14:02	34
Note_Number-75:	hdl	vital	set1	7/30/2017 14:02	34
Note_Number-75:	ldl	vital	set1	7/30/2017 14:02	34
Note_Number-75:	wt	vital	set1	7/30/2017 14:02	34
Note_Number-75:	ht	vital	set1	7/30/2017 14:02	34
Note_Number-75:	bmi	vital	set1	7/30/2017 14:02	34
Note_Number-76:	hyperlipidemia	diagnosis	set1	7/30/2017 14:02	34
Note_Number-76:	obesity	diagnosis	set1	7/30/2017 14:02	34
Note_Number-77:	cholesterol	vital	set1	7/30/2017 14:02	34
Note_Number-78:	tchol	vital	set1	7/30/2017 14:02	34

Fig. 3. Structured Output Saved in Database Table

With this corpus size and test data, we were able to achieve a detection rate of 77%. This means, 77% of elements were detected from the test sent using the corpus of the size we built.

C. Evaluation Metrics

For evaluating the results, we used the standard metrics namely accuracy, precision, recall and F-Score. The metrics formula [2] of these performance evaluation metrics are given as below.

$$Total\ Accuracy = \frac{TP+TN}{TP+FP+TN+FN} \quad (1)$$

$$Precision = \frac{TP}{TP+FP} \quad (2)$$

$$Recall = \frac{TP}{TP+FN} \quad (3)$$

$$F - Score = \frac{2*Precision*Recall}{Precision + Recall} \quad (4)$$

where,

True Positive (TP) is the condition when the system is accurately able to identify the elements with correct type. So if the element is correctly classified as one of the diagnosis, procedure, habit, vital and drug, it falls under true positive.

True Negative (TN) is the condition when accurately detects that the element doesn't belong to any category. In our case, if the element is flagged as "no match xx" correctly, the count of such values is considered as True Negative values.

False Positive (FP) is the condition when the system flags the element to be classified as one of the diagnosis, procedure, habit, vital and drug, but actually the element is should not have been detected. Main reason for false positive is the ambiguity and negativity due to which the system thinks that it got a match but in real context that is not true.

False Negative (FN) is the condition when the element is not detected by the system but should have been detected in real case. So in our case, if the element is flagged as "no match xx" but in real case, it falls in one of the groups - diagnosis, procedure, habit, vital and drug; then this contributes to false negative.

Once the test is completed, the test result was analyzed manually to find out the values for TP, TN, FP and FN. After we obtain these values, we find out the 4 accuracy parameters viz.; accuracy, precision, recall and F-score.

Table III shows the values of these parameters which we were able to achieve by using corpus based NER approach.

TABLE III. EVALUATION METRICS

Evaluation Metrics	Value
Accuracy	0.97
Precision	0.95
Recall	0.73
F-Score	0.82

The accuracy parameters were compared against MedEX, one of the existing tool in the dataset available for this research and we found the accuracy value enhanced greatly in the new proposed system compared to MedEX. The accuracy values are in between 0.4 and 0.5 for MedEX whereas the new system has between 0.7 and 0.9 as shown in table III as well.

V. APPLICATION AREAS

The system having the capability of automatically converting unstructured text to structured knowledge has huge implications in the medical sector. Some of them are discussed here briefly.

- Efficiently extracting information from clinical notes - There are several notes generated by doctors and nurses during diagnosis and follow-ups in different health centers. Those are very helpful for future use in case of patient transfer from one hospital to another or from one department to another department within a

hospital. Such notes serve as an eye to look into the entire health condition, diagnoses, procedures and drug conditions of the patient based on which the doctors can take further actions. Going through the notes manually and then searching such information will be really cumbersome and take a lot of time. The automated system to collect relevant knowledge will definitely save the human effort and also a lot of time.

- Extracting information from prescription - Drug prescription contains the important information about drug name, composition of drug, doses, strength, route, frequency, form (tablet or injection), duration, refill etc. Physicians need to check these during follow-ups to decide on future course of action. These are also helpful for the health insurance companies which are responsible for covering these expenses for any patient.
- Extracting information from discharge summary - Whenever patient gets discharged from inpatient department, summary information is generated. This contains the information about the inpatient stay duration, diagnosis/procedures done during the inpatient stay etc. Again such information is used during follow-ups. This information is also useful to the insurance company while covering the inpatient costs for any patient.
- Machine learning and prediction systems in healthcare - The extracted information present a very structured way of storage of data in the systems like Databases. This information will prove a great input to the machine learning systems which require data for predicting various phenomena in health system. Some examples could be, predicting future disease based on current diagnosis and living, predicting vitals value based on current status etc. These systems require huge number of data in very structured format. Data present in clinical notes are really helpful and can be converted in the structured format using the proposed system automatically, hence providing a greater aid to gathering input to such systems.
- Reporting systems in Health sector - The data extracted and saved in structured format will help to a great deal to generate various kinds of reports like patient having some specific kind of disease, doing some specific kind of procedure and taking some specific kind of drug. Such reports can be very helpful in decision making in health reform areas.
- Developing standards - The automatic system to work, we need to have certain kind of standardization. With the help of this research

and based on the accuracy of the results of the sample data, we can come up with some standard practice while taking medical notes. We can suggest the medical practitioner not to include some Parts of speech, which could be irrelevant to the medical condition and can be ignored.

VI. CONCLUSION AND FUTURE WORK

Extraction of meaningful information clinical notes is really challenging task as it needs to be very accurate. The system needs to present the information accurately hence the accuracy parameters should be of high value. The accuracy of the system discussed in the paper is in higher side but we can achieve more accuracy by increasing more number of sentences in the corpus. Main contribution to knowledge of this research work is building medical corpus which can be used for future works in this area.

Some of the limitations of this work include; being limited to English language only, input format supported is text files only and the elements detected from notes are limited to Diagnosis, Procedure, Drugs, Habits and Vitals or Labs only. The corpus size being 5000 is another limitation of this work. The more we add in corpus, the better result we can achieve. We can also play around with the test data % to get a view of accuracy across other dataset too. This work can be extended to include more N-gram analysis (like Trigram etc.) to have more accuracy and reducing more ambiguity. Corpus size can be increased as much as possible to bring more coverage in the Named Entity Recognition process.

Currently this work is limited to detecting the lab elements only but it can be extended to detect the lab values too from the notes. This work can also be extended in order to build a learning system which will allow to add the undetected elements from this work but which are actually the structured data, in the corpus. We can also extend this work to get the data from speech or images (scanned copies of notes) and then do the analysis as per based on this work.

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