

CMPE 258, Deep Learning

#### Sequence learning & NLP

May 03, 2018

**DMH 149A** 

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Ph.D., Data Scientist



#### Final exam

#### Comprehensive exam

Linear regression, logistic regression, DNN, CNN, RNN

Thursday, May 17

14:45-17:00

**DMH 149A** 



## Group Project schedule

Presentation date: 5/8, 5/10

Report (including code) due date: 5/13

Number of members: 1 to 4

Content: DNN, CNN, RNN related

Platform: Pandas, Numpy, tensorflow, keras (please discuss with me for

others)

Grading policy:

Content: 40 pts

; Creativity in data collection, Neural network architecture / algorithm, application (same quality as a conference paper)

Presentation: 20 pts

Report: 20 pts Code: 20 pts



#### Last class

- Character-based token → 1D convolution(word) + pooling(n-gram) → Neural Network → softmax
- Count vector or TF-IDF→ Neural Network → softmax
- Word vector (word2vec, glove) → Neural Network → softmax
- Word-embedding (word2vec, glove) → RNN



## Character-level language model

Vocabulary = [a, b, c, ..., z, [], ., ', ; , ... , A, ..., Z]

- Advantage:
  - Do not worry about unknown token.
- Disadvantage:
  - Much longer sequence
  - Is not as good as word level language models at capturing long range dependencies between how
  - the earlier parts of the sentence also affect the later part of the sentence.
  - more computationally expensive to train

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## Example of Name entity recognition

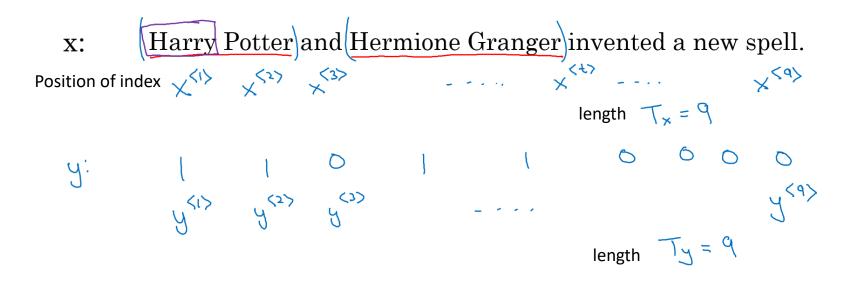
Name entity recognition can be used to find people's names, companies names, times, locations, countries names, currency names, and so on.

x: Harry Potter and Hermione Granger invented a new spell.

4: 1 1 0 0 0 0 0



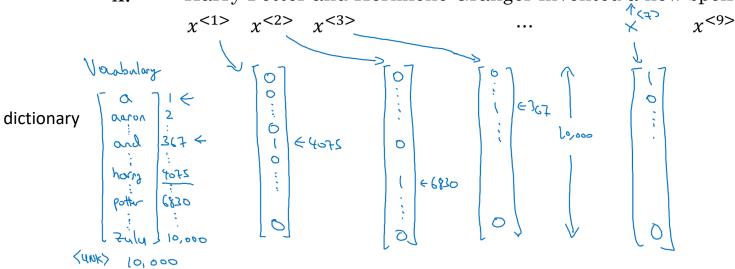
## Name entity recognition



## One-hot vector to represent words

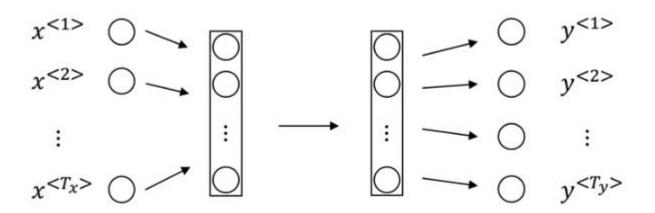
Map: 
$$x^{} \rightarrow y^{}$$

x: Harry Potter and Hermione Granger invented a new spell.





# Why not a Neural Network?



#### Problems:

- Arbitrary sequence length: Inputs, outputs can be different lengths in different examples.
- Doesn't share features learned across different positions of text.
- Large number of parameters



## Language model

Cats sleep average 15 hours per a day. <EOS>

$$P(text) = P(x_0, ..., x_n) =$$

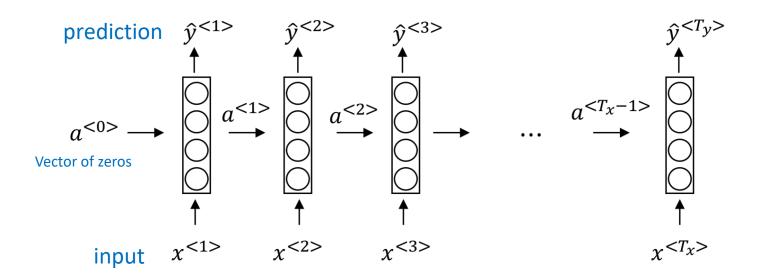
$$= P(x_0)P(x_1|x_0)P(x_2|x_0, x_1)...P(x_n|...)$$

$$\hat{P}(w_1^T) = \prod_{t=1}^T \hat{P}(w_t|w_1^{t-1}) \quad \text{A neural probabilistic language model , Bengio et. al., 2003}$$

Coursera: Natural Language Processing, National Research University Higher School of Economics



#### Recurrent Neural Networks



x<t>: current input (word embedding)

a<t-1>: activation value in previous hidden state

a<t>: activation value in current hidden state

y<t>: prediction (probability distribution for the next word)

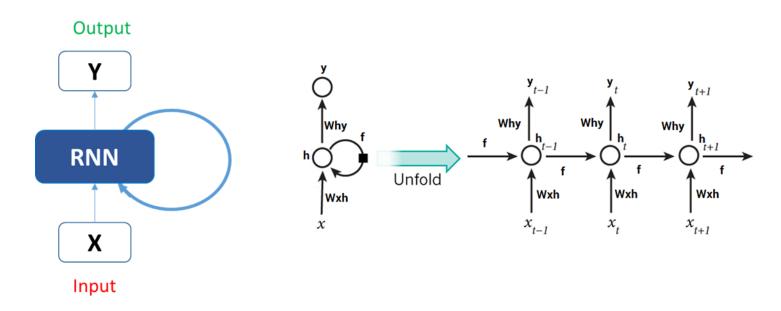
Coursera: Deep learning Specialization, Andrew Ng



Fixed number of inputs at each time step

→ Solve the arbitrary sequence length

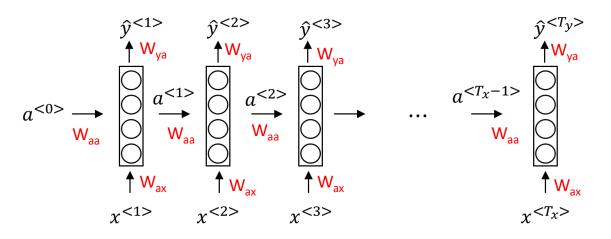
## Recurrent Neural Networks



Fundamentals of Deep Learning – Introduction to Recurrent Neural Networks DISHASHREE GUPTA, 2017



## **Forward Propagation**



x<t>: current input

a<t-1>: activation in previous

hidden state

a<t>: activation in current

hidden state y<t> : prediction

 $g_1, g_2$ : activation function

g<sub>1</sub>: tanh, ReLU

g<sub>2</sub>: sigmoid, softmax

$$a^{} = g(W_{aa}a^{} + W_{ax}x^{} + b_a)$$

$$a^{<1>} = g_1(W_{aa}a^{<0>} + W_{ax}x^{<1>} + b_a)$$

$$\hat{y}^{< t>} = g(W_{ya}a^{< t>} + b_y)$$

$$\hat{y}^{<1>} = g_2(W_{ya}a^{<1>} + b_y)$$

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## Simplified RNN notation

$$a^{< t>} = g(W_{aa}a^{< t-1>} + W_{ax}x^{< t>} + b_a)$$

$$\hat{y}^{< t>} = g(W_{ya}a^{< t>} + b_y)$$

$$a^{< t>} = g(W_a[a^{< t-1>}, x^{< t>}] + b_a)$$

$$\hat{y}^{< t>} = g(W_y a^{< t>} + b_y)$$

$$[W_{aa}; W_{ax}] = W_a$$

$$[W_{aa}; W_{ax}] \begin{bmatrix} a^{< t-1>} \\ x^{< t>} \end{bmatrix} = W_{aa} a^{< t-1>} + W_{ax} x^{< t>}$$

x<t>: current input

a<t-1>: activation in previous

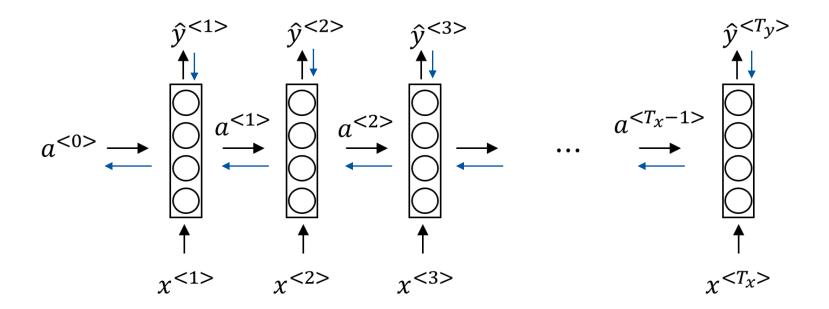
hidden state

a<t>: activation in current

hidden state y<t>: prediction

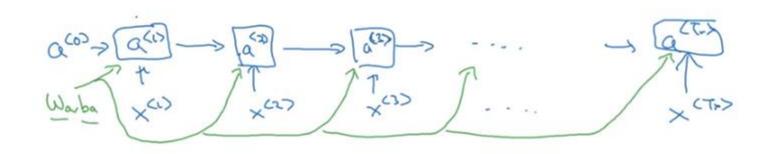


# Forward propagation and backpropagation



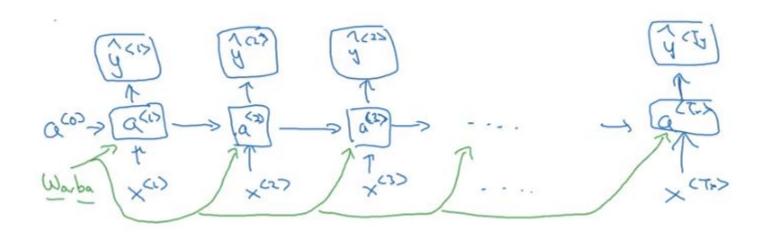


## Backpropagation through time



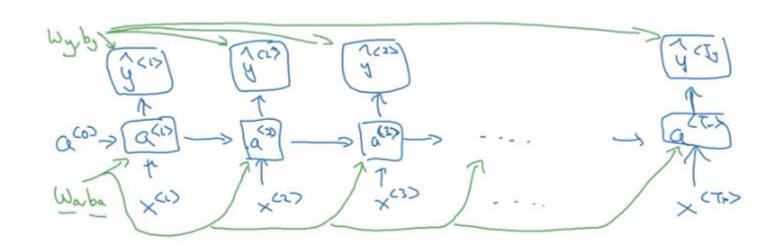


## Backpropagation through time



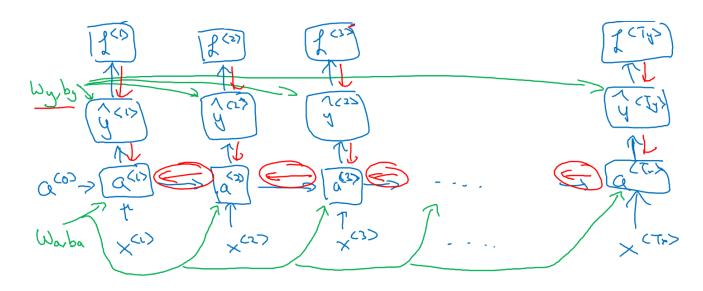


## Backpropagation through time





# Backpropagation through time(BPTT)

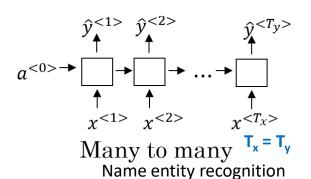


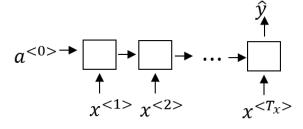
Cross-entropy loss 
$$L^{}(\hat{y}^{}, y^{}) = -y^{}\log(\hat{y}^{}) - (1 - y^{})\log(1 - \hat{y}^{})$$

Total loss 
$$L(\hat{y}, y) = \sum_{t=1}^{T_y} L^{}(\hat{y}^{}, y^{})$$

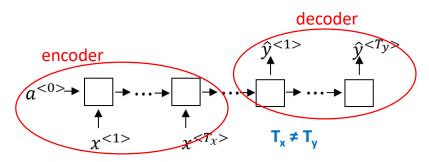


## RNN types

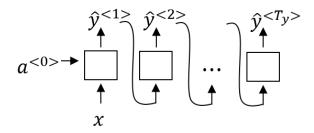




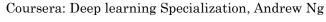
Many to one
Sentiment classification



Many to many
Machine translation



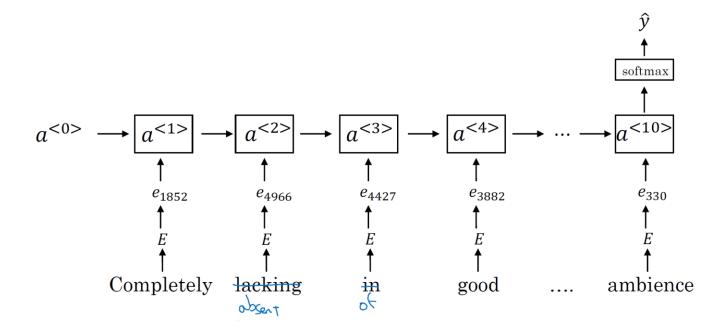
One to many Music generation



© Taehee Jeong



#### RNN for sentiment classification





## Long range of dependence

The cat, which already ate ..., was full.

The <u>cats</u>, which already ate ..., <u>were</u> full.

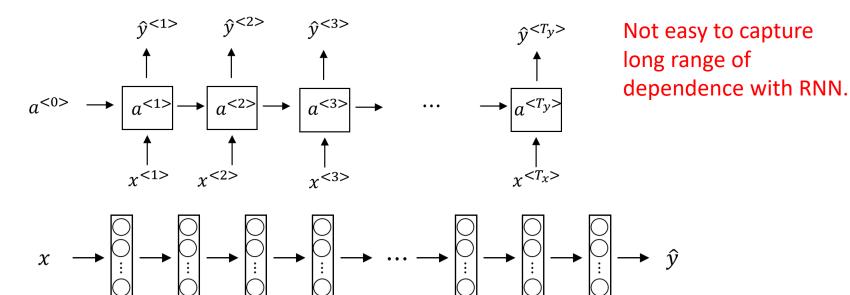
long range dependencies is how the earlier parts of the sentence affects the later part of the sentence.



## Vanishing gradients with RNNs

The <u>cat</u>, which already ate ..., <u>was</u> full.

The <u>cats</u>, which already ate ..., <u>were</u> full.







#### **Gradients with RNNs**

$$\left| \frac{\partial h_i}{\partial h_{i-1}} \right| < 1$$

- $\left|\frac{\partial h_i}{\partial h_{i-1}}\right| < 1 \qquad \text{Gradients is vanishing after 3-4 time steps.} \\ \quad \text{Not easy to capture long range of dependence between the earlier parts of the sentence and the later part of the}$ sentence.

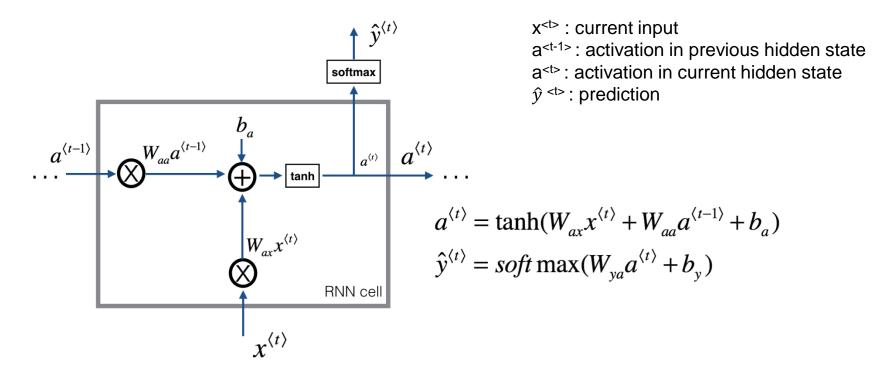
$$\left| \frac{\partial h_i}{\partial h_{i-1}} \right| > 1$$

- $\left| \frac{\partial h_i}{\partial h_{i-1}} \right| > 1$  Exploding gradients: Learning process becomes unstable
  - Gradient becomes NaN
  - **Gradient clipping**

Coursera: Natural Language Processing, National Research University Higher School of Economics

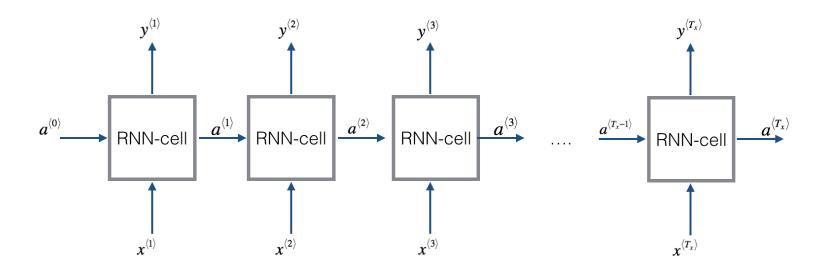


#### RNN unit





# RNN forward pass





# Gated Recurrent Unit (simplified GRU)

The cat, which already ate ..., was full.

$$c^{} = 1$$

$$c^{} = 1$$

$$\Gamma_u=1$$
  $\Gamma_u=0$   $\Gamma_u=0$ 

$$\Gamma_{\rm u}=1$$

c: memory cell

 $c^{<t>} = a^{<t>}$ 

 $\tilde{c}^{\text{-t}}$ : candidate of activation

Γ<sub>...</sub>: Update gate

$$\tilde{c}^{< t>} = \tanh(W_c[c^{< t-1>}, x^{< t>}] + b_c)$$

$$\Gamma_u = \sigma(W_u[c^{< t-1>}, x^{< t>}] + b_u)$$

$$c^{} = \Gamma_u * \tilde{c}^{} + (1 - \Gamma_u) * c^{}$$

\*: Element-wise multiplication

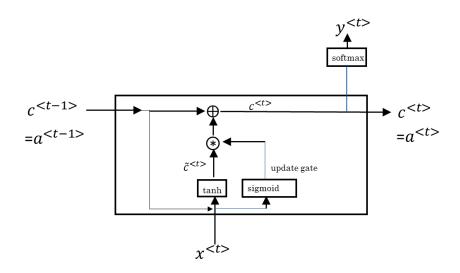
Coursera: Deep learning Specialization, Andrew Ng

On the properties of neural machine translation: Encoder-decoder approaches, Cho et al., 2014.

Empirical Evaluation of Gated Recurrent Neural Networks on Sequence Modeling, Chung et al., 2014.



## Gated Recurrent Unit (GRU)



$$\tilde{c}^{} = \tanh(W_c[c^{}, x^{}] + b_c)$$

$$\Gamma_u = \sigma(W_u[c^{}, x^{}] + b_u)$$

$$c^{} = \Gamma_u * \tilde{c}^{} + (1 - \Gamma_u) * c^{}$$

 $\Gamma_{II} \approx 0$ ,  $c^{<t>} = c^{<t-1>}$ 

c<t> maintains many previous time-steps and helps vanishing gradient problem and allows long range dependencies.

Coursera: Deep learning Specialization, Andrew Ng

On the properties of neural machine translation: Encoder-decoder approaches, Cho et al., 2014.

Empirical Evaluation of Gated Recurrent Neural Networks on Sequence Modeling, Chung et al., 2014.



#### **Full GRU**

$$\tilde{h}$$
  $\tilde{c}^{} = \tanh(W_c[\Gamma_u * c^{}, x^{}] + b_c)$ 

 $\Gamma_r$ : relevance gate

$$\Gamma_u = \sigma(W_u[c^{< t-1>}, x^{< t>}] + b_u)$$

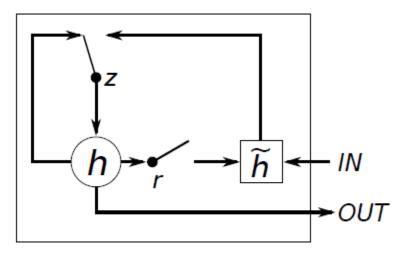
$$\Gamma_r = \sigma(W_r[c^{< t-1>}, x^{< t>}] + b_r)$$

h 
$$c^{} = \Gamma_u * \tilde{c}^{} + (1 - \Gamma_u) * c^{}$$

Relevance gate is how relevant  $c^{< t-1>}$  is to compute  $\tilde{c}^{< t>}$ .



## Gated Recurrent Unit (GRU)



r: reset gate

z : update gates

h: activation in hidden state

 $\hat{h}$ : candidate activation in hidden state.

On the properties of neural machine translation: Encoder-decoder approaches, Cho et al., 2014.

Empirical Evaluation of Gated Recurrent Neural Networks on Sequence Modeling, Chung et al., 2014.



# GRU vs. LSTM(long short term memory)

#### **GRU**

$$\tilde{c}^{< t>} = \tanh(W_c[\Gamma_r * c^{< t-1>}, x^{< t>}] + b_c)$$

$$\Gamma_u = \sigma(W_u[c^{< t-1>}, x^{< t>}] + b_u)$$

$$\Gamma_r = \sigma(W_r[c^{< t-1>}, x^{< t>}] + b_r)$$

$$c^{} = \Gamma_u * \tilde{c}^{} + (1 - \Gamma_u) * c^{}$$

$$a^{< t>} = c^{< t>}$$

Coursera: Deep learning Specialization, Andrew Ng Long short-term memory, Hochreiter & Schmidhuber 1997.

#### LSTM

$$\tilde{c}^{< t>} = \tanh(W_c[a^{< t-1>}, x^{< t>}] + b_c)$$

update 
$$\Gamma_u = \sigma(W_u[a^{< t-1>}, x^{< t>}] + b_u)$$

forget 
$$\Gamma_f = \sigma(W_f[\alpha^{< t-1>}, x^{< t>}] + b_f)$$

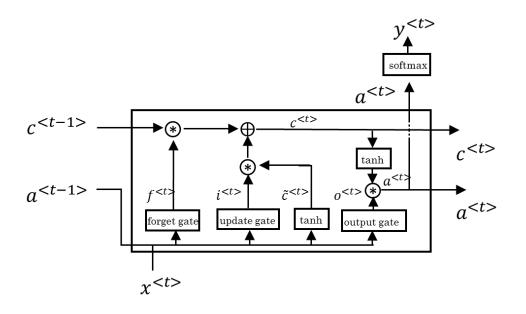
output 
$$\Gamma_o = \sigma(W_o[\alpha^{< t-1>}, x^{< t>}] + b_o)$$

$$c^{< t>} = \Gamma_u * \tilde{c}^{< t>} + \Gamma_f * c^{< t-1>}$$

$$a^{< t>} = \Gamma_o * \tanh(c^{< t>})$$



# LSTM (long short term memory) cell



$$\tilde{c}^{} = \tanh(W_c[a^{}, x^{}] + b_c)$$

$$\Gamma_u = \sigma(W_u[a^{}, x^{}] + b_u)$$

$$\Gamma_f = \sigma(W_f[a^{}, x^{}] + b_f)$$

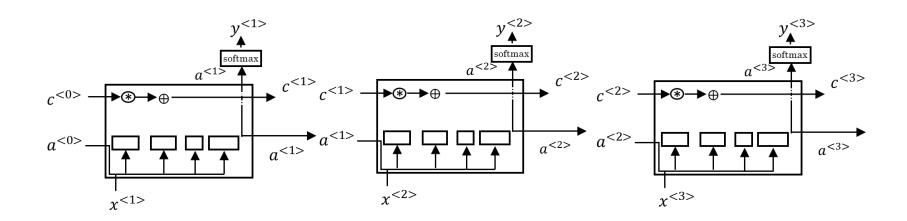
$$\Gamma_o = \sigma(W_o[a^{}, x^{}] + b_o)$$

$$c^{} = \Gamma_u * \tilde{c}^{} + \Gamma_f * c^{}$$

 $a^{< t>} = \Gamma_o * \tanh(c^{< t>})$ 



## LSTM forward



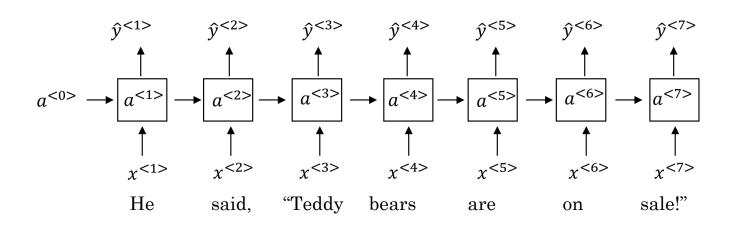
$$\Gamma_{\rm f} \approx 0$$
,  ${\rm c}^{<3>} = {\rm c}^{<0>}$ 

c<t> maintains many previous time-steps and helps vanishing gradient problem and allows long range dependencies.



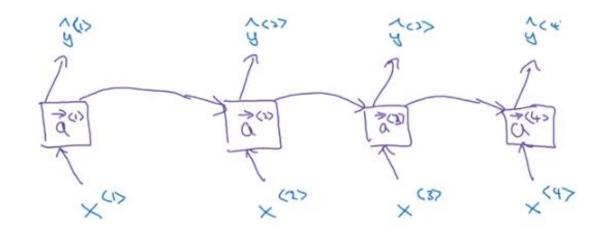
He said, "Teddy bears are on sale!"

He said, "Teddy Roosevelt was a great President!"



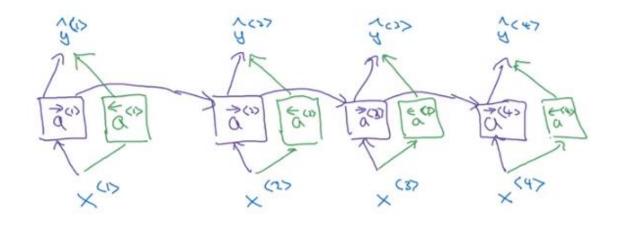


#### Getting information from the future



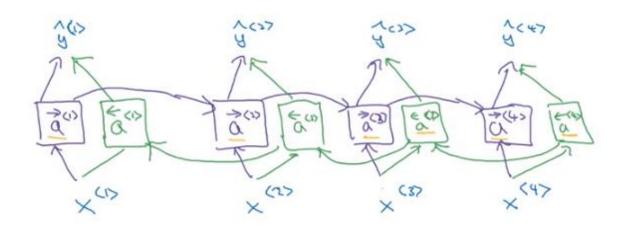


#### Getting information from the future



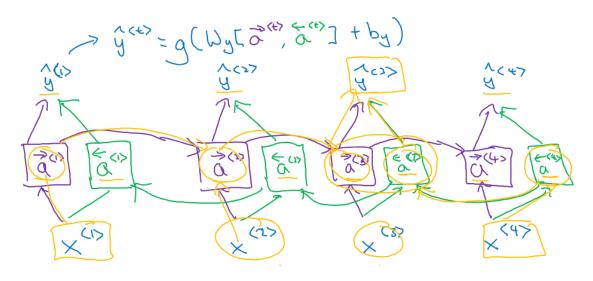


#### Getting information from the future





#### Getting information from the future

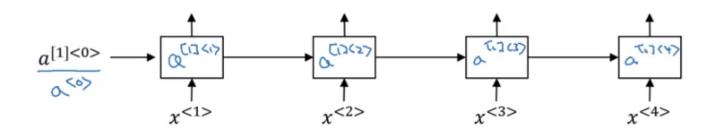


BRNN with GRU/LSTM

Entire sentence is needed to build BRNN.

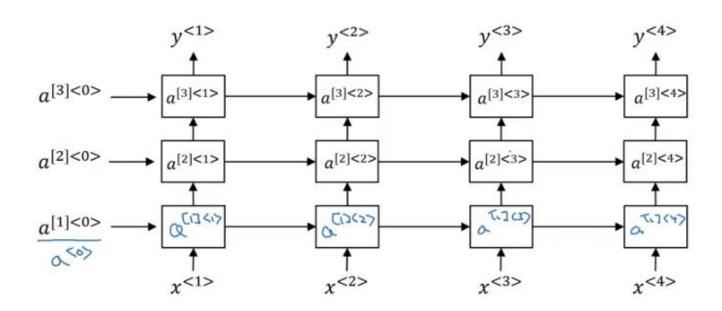


## Deep RNNs



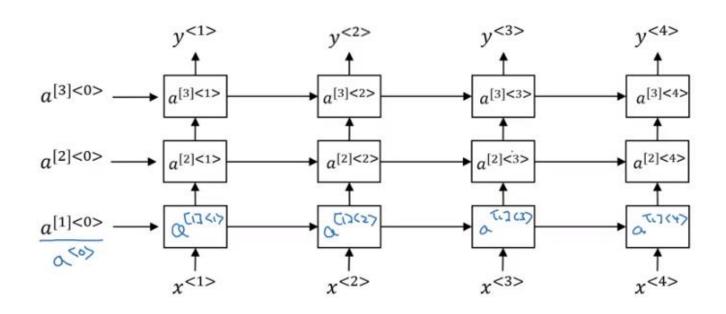


## Deep RNNs





## Deep RNNs



$$a^{[2] < 3>} = g(W_a^{[2]} [a^{[2] < 2>}, a^{[1] < 3>}] + b_a^{[2]})$$



## Summary

- RNN
- Backpropagation through time (BPTT)
- RNN type: many to many, one to many, many to one
- Gated Recurrent Unit (GRU)
- long short term memory (LSTM)
- Bidirectional RNN
- Deep RNN

