



# CMPE 258, Deep Learning

## Convolutional layer

March 22, 2018

DMH 149A

**Taehee Jeong**

**Ph.D., Data Scientist**

# Mid-term Exam\_2

12<sup>th</sup> April to 15<sup>th</sup> April

## Assignment\_5

Due to 8<sup>th</sup> April

# Group Project Proposal

Due to 9<sup>th</sup> April

- Project title
- Members
- Preferred presentation day: 4/12 or 4/24

# Today's lesson

- Convolution calculation
- Convolution on RGB images
- Multiple filters
- Size of matrix in convolution layers
- Pooling
- CNN architectures

# Convolution

Input matrix

$X_1$	$X_2$	$X_3$	$X_4$
$X_5$	$X_6$	$X_7$	$X_8$
$X_9$	$X_{10}$	$X_{11}$	$X_{12}$
$X_{13}$	$X_{14}$	$X_{15}$	$X_{16}$

Size: 4 x 4

convolution  
\*

filter

$W_1$	$W_2$	$W_3$
$W_4$	$W_5$	$W_6$
$W_7$	$W_8$	$W_9$

Size: 3 x 3

Output matrix

=

$Z_1$	$Z_2$
$Z_3$	$Z_4$

Size: 2 x 2

# Convolution

Input matrix

$X_1$	$X_2$	$X_3$	$X_4$
$X_5$	$X_6$	$X_7$	$X_8$
$X_9$	$X_{10}$	$X_{11}$	$X_{12}$
$X_{13}$	$X_{14}$	$X_{15}$	$X_{16}$

Size: 4 x 4

convolution  
\*

filter

$W_1$	$W_2$
$W_3$	$W_4$

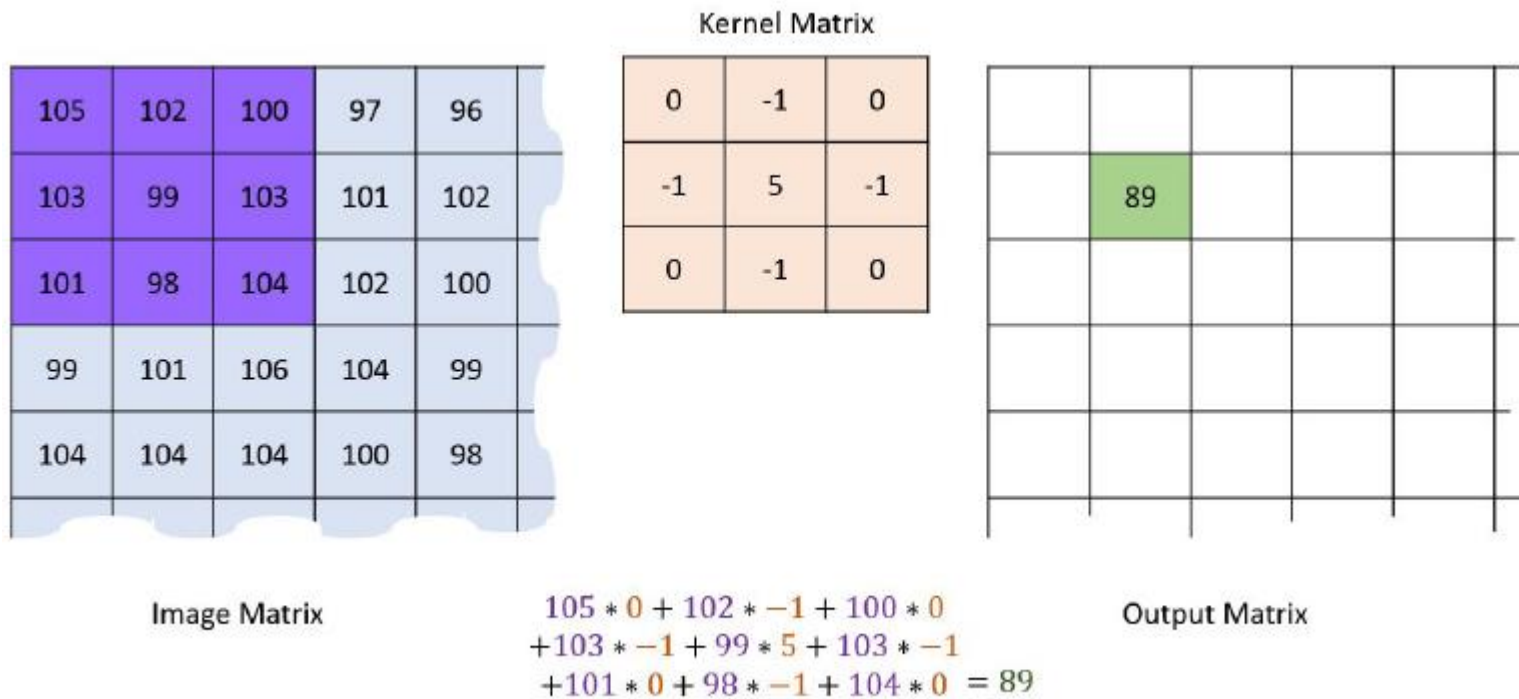
Size: 2 x 2

Output matrix

=  
Size: 3 x 3

$Z_1$	$Z_2$	$Z_3$
$Z_4$	$Z_5$	$Z_6$
$Z_7$	$Z_8$	$Z_9$

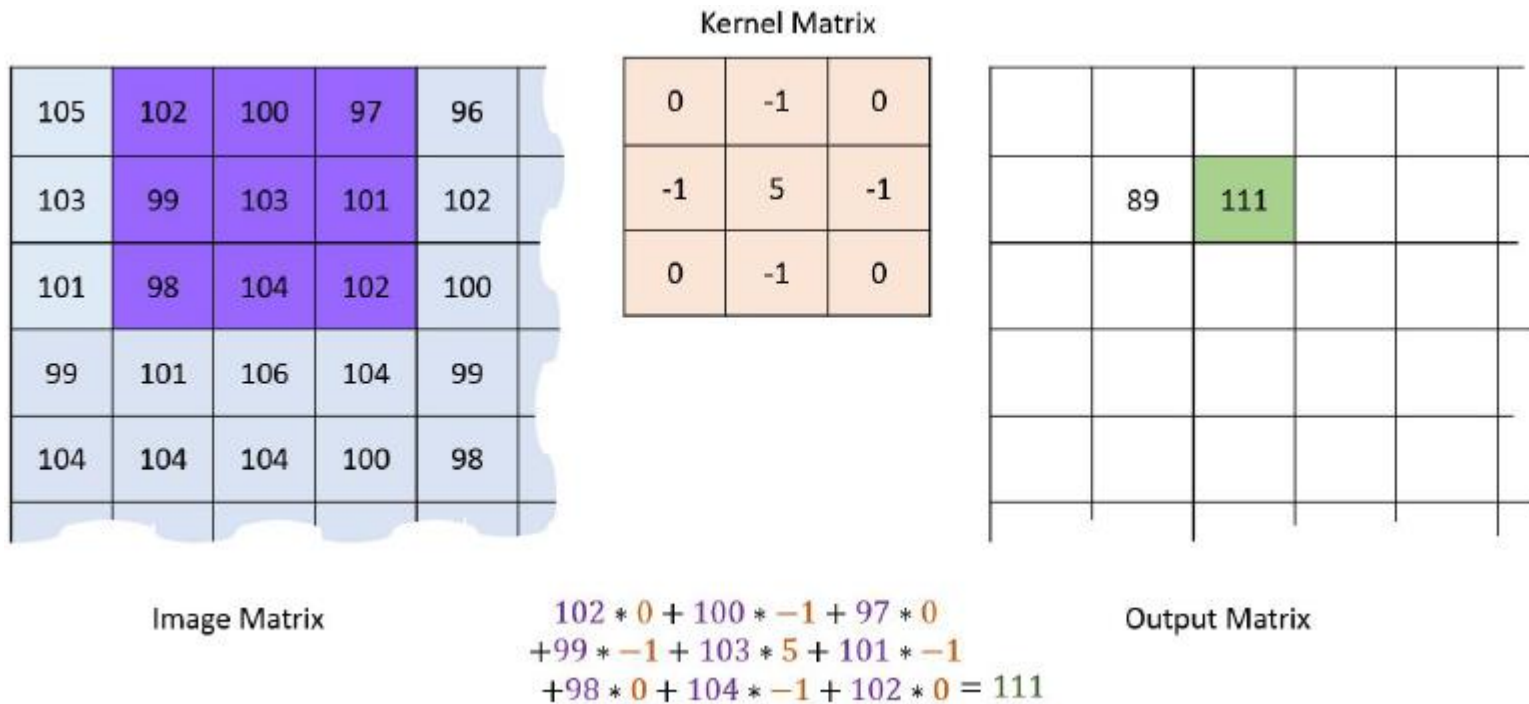
# Convolution calculation



<image Convolution>

[Machinelearningguru.com/computer\\_vision/basics/convolution/image\\_convolution\\_1.html](http://Machinelearningguru.com/computer_vision/basics/convolution/image_convolution_1.html)

# Convolution calculation



<image Convolution>

[Machinelearningguru.com/computer\\_vision/basics/convolution/image\\_convolution\\_1.html](http://Machinelearningguru.com/computer_vision/basics/convolution/image_convolution_1.html)



# Convolution calculation:pre-activation

X <sub>1</sub>	X <sub>2</sub>	X <sub>3</sub>	X <sub>4</sub>
X <sub>5</sub>	X <sub>6</sub>	X <sub>7</sub>	X <sub>8</sub>
X <sub>9</sub>	X <sub>10</sub>	X <sub>11</sub>	X <sub>12</sub>
X <sub>13</sub>	X <sub>14</sub>	X <sub>15</sub>	X <sub>16</sub>

 $\ast$ 

W <sub>1</sub>	W <sub>2</sub>	W <sub>3</sub>
W <sub>4</sub>	W <sub>5</sub>	W <sub>6</sub>
W <sub>7</sub>	W <sub>8</sub>	W <sub>9</sub>

 $=$ 

Z <sub>1</sub>	Z <sub>2</sub>
Z <sub>3</sub>	Z <sub>4</sub>

$$Z_1 = X_1 \times W_1 + X_2 \times W_2 + X_3 \times W_3 \\ + X_5 \times W_4 + X_6 \times W_5 + X_7 \times W_6 \\ + X_9 \times W_7 + X_{10} \times W_8 + X_{11} \times W_9$$

$$Z_3 = X_5 \times W_4 + X_6 \times W_5 + X_7 \times W_6 \\ + X_9 \times W_7 + X_{10} \times W_8 + X_{11} \times W_9 \\ + X_{13} \times W_{14} + X_{10} \times W_8 + X_{15} \times W_9$$

$$Z_2 = X_2 \times W_1 + X_3 \times W_2 + X_4 \times W_3 \\ + X_6 \times W_4 + X_7 \times W_5 + X_8 \times W_6 \\ + X_{10} \times W_7 + X_{11} \times W_8 + X_{12} \times W_9$$

$$Z_4 = X_6 \times W_4 + X_7 \times W_5 + X_8 \times W_6 \\ + X_{10} \times W_7 + X_{11} \times W_8 + X_{12} \times W_9 \\ + X_{14} \times W_7 + X_{15} \times W_8 + X_{16} \times W_9$$

# Convolution: activation

Input matrix

$X_1$	$X_2$	$X_3$	$X_4$
$X_5$	$X_6$	$X_7$	$X_8$
$X_9$	$X_{10}$	$X_{11}$	$X_{12}$
$X_{13}$	$X_{14}$	$X_{15}$	$X_{16}$

Size: 4 x 4

convolution  
\*

filter

$W_1$	$W_2$	$W_3$
$W_4$	$W_5$	$W_6$
$W_7$	$W_8$	$W_9$

Size: 3 x 3

$g$  : activation function

$b$  : bias

=

$g(Z_1 + b)$	$g(Z_2 + b)$
$g(Z_3 + b)$	$g(Z_4 + b)$

=

Output matrix

$a_1$	$a_2$
$a_3$	$a_4$

Size: 2 x 2

# Padding

0	0	0	0	0	0
0	$x_1$	$x_2$	$x_3$	$x_4$	0
0	$x_5$	$x_6$	$x_7$	$x_8$	0
0	$x_9$	$x_{10}$	$x_{11}$	$x_{12}$	0
0	$x_{13}$	$x_{14}$	$x_{15}$	$x_{16}$	0
0	0	0	0	0	0

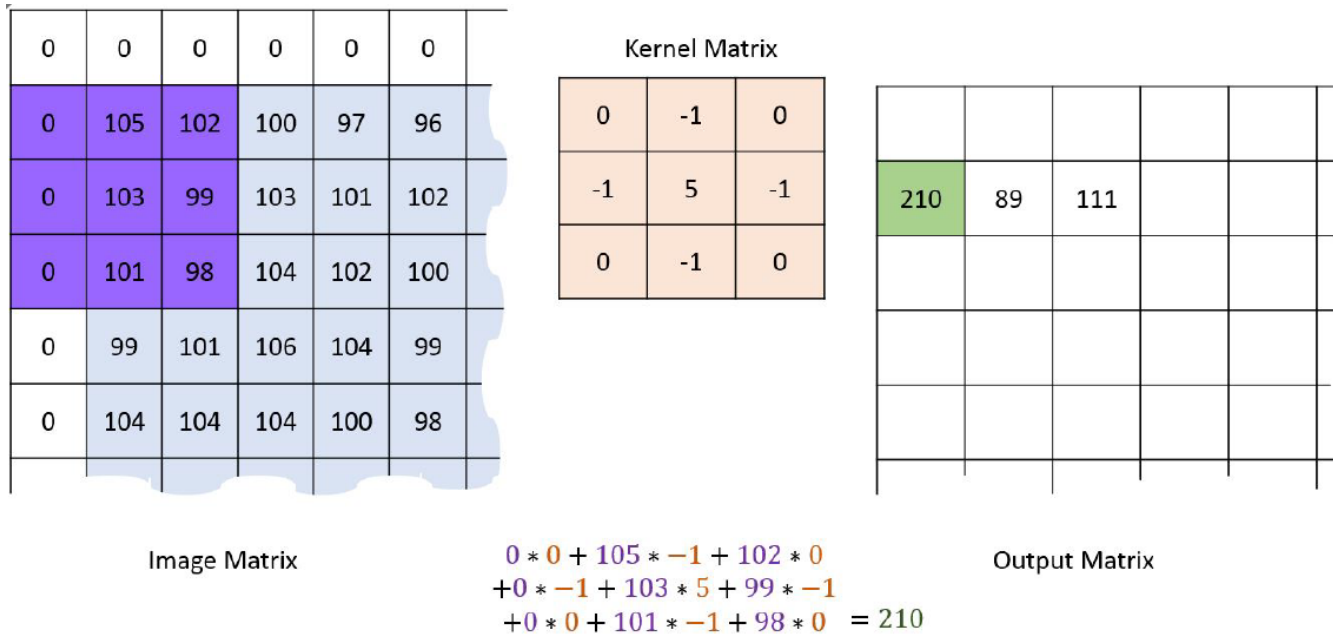
\*

$w_1$	$w_2$	$w_3$
$w_4$	$w_5$	$w_6$
$w_7$	$w_8$	$w_9$

=

$z_1$	$z_2$	$z_3$	$z_4$
$z_5$	$z_6$	$z_7$	$z_8$
$z_9$	$z_{10}$	$z_{11}$	$z_{12}$
$z_{13}$	$z_{14}$	$z_{15}$	$z_{16}$

# Convolution calculation on borders



<image Convolution>

[Machinelearningguru.com/computer\\_vision/basics/convolution/image\\_convolution\\_1.html](http://Machinelearningguru.com/computer_vision/basics/convolution/image_convolution_1.html)

# Convolution calculation on borders

0	0	0	0	0	0
0	105	102	100	97	96
0	103	99	103	101	102
0	101	98	104	102	100
0	99	101	106	104	99
0	104	104	104	100	98

Image Matrix

Kernel Matrix		
0	-1	0
-1	5	-1
0	-1	0

320				
210	89	111		

Output Matrix

$$\begin{aligned}
 &0 * 0 + 0 * -1 + 0 * 0 \\
 &+ 0 * -1 + 105 * 5 + 102 * -1 \\
 &+ 0 * 0 + 103 * -1 + 99 * 0 = 320
 \end{aligned}$$

<image Convolution>

[Machinelearningguru.com/computer\\_vision/basics/convolution/image\\_convolution\\_1.html](http://Machinelearningguru.com/computer_vision/basics/convolution/image_convolution_1.html)

# Padding: 1 zero padding

0	0	0	0	0	0
0	$X_1$	$X_2$	$X_3$	$X_4$	0
0	$X_5$	$X_6$	$X_7$	$X_8$	0
0	$X_9$	$X_{10}$	$X_{11}$	$X_{12}$	0
0	$X_{13}$	$X_{14}$	$X_{15}$	$X_{16}$	0
0	0	0	0	0	0

\*

$W_1$	$W_2$	$W_3$
$W_4$	$W_5$	$W_6$
$W_7$	$W_8$	$W_9$

=

$Z_1$	$Z_2$	$Z_3$	$Z_4$
$Z_5$	$Z_6$	$Z_7$	$Z_8$
$Z_9$	$Z_{10}$	$Z_{11}$	$Z_{12}$
$Z_{13}$	$Z_{14}$	$Z_{15}$	$Z_{16}$

$$\begin{aligned} Z_1 &= 0 \times W_1 + 0 \times W_2 + 0 \times W_3 \\ &\quad + 0 \times W_4 + X_1 \times W_5 + X_2 \times W_6 \\ &\quad + 0 \times W_7 + X_5 \times W_8 + X_6 \times W_9 \end{aligned}$$

$$\begin{aligned} Z_4 &= 0 \times W_1 + 0 \times W_2 + 0 \times W_3 \\ &\quad + X_3 \times W_4 + X_4 \times W_5 + 0 \times W_6 \\ &\quad + X_7 \times W_7 + X_8 \times W_8 + 0 \times W_9 \end{aligned}$$

# Padding: 2 zero padding

0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	$X_1$	$X_2$	$X_3$	$X_4$	0	0
0	0	$X_5$	$X_6$	$X_7$	$X_8$	0	0
0	0	$X_9$	$X_{10}$	$X_{11}$	$X_{12}$	0	0
0	0	$X_{13}$	$X_{14}$	$X_{15}$	$X_{16}$	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0

\*

$W_1$	$W_2$	$W_3$
$W_4$	$W_5$	$W_6$
$W_7$	$W_8$	$W_9$

# Padding

To detect the information of edge or border

To prevent shrinking(compression) of size of next layer

- Valid convolution: without padding

$$(n \times n) * (f \times f) \rightarrow (n-f+1) \times (n-f+1)$$

$$(6 \times 6) * (3 \times 3) \rightarrow (4 \times 4)$$

- Same convolution: with zero padding, output size is the same as the input size

$$(n \times n) * (f \times f) \rightarrow (n+2p-f+1) \times (n+2p-f+1)$$

$$(6 \times 6) * (3 \times 3) \rightarrow (6 \times 6)$$



# Stride

Input matrix

X <sub>1</sub>	X <sub>2</sub>	X <sub>3</sub>	X <sub>4</sub>	X <sub>5</sub>
X <sub>6</sub>	X <sub>7</sub>	X <sub>8</sub>	X <sub>9</sub>	X <sub>10</sub>
X <sub>11</sub>	X <sub>12</sub>	X <sub>13</sub>	X <sub>14</sub>	X <sub>15</sub>
X <sub>16</sub>	X <sub>17</sub>	X <sub>18</sub>	X <sub>19</sub>	X <sub>20</sub>
X <sub>21</sub>	X <sub>22</sub>	X <sub>23</sub>	X <sub>24</sub>	X <sub>25</sub>

filter

W <sub>1</sub>	W <sub>2</sub>
W <sub>3</sub>	W <sub>4</sub>

\*

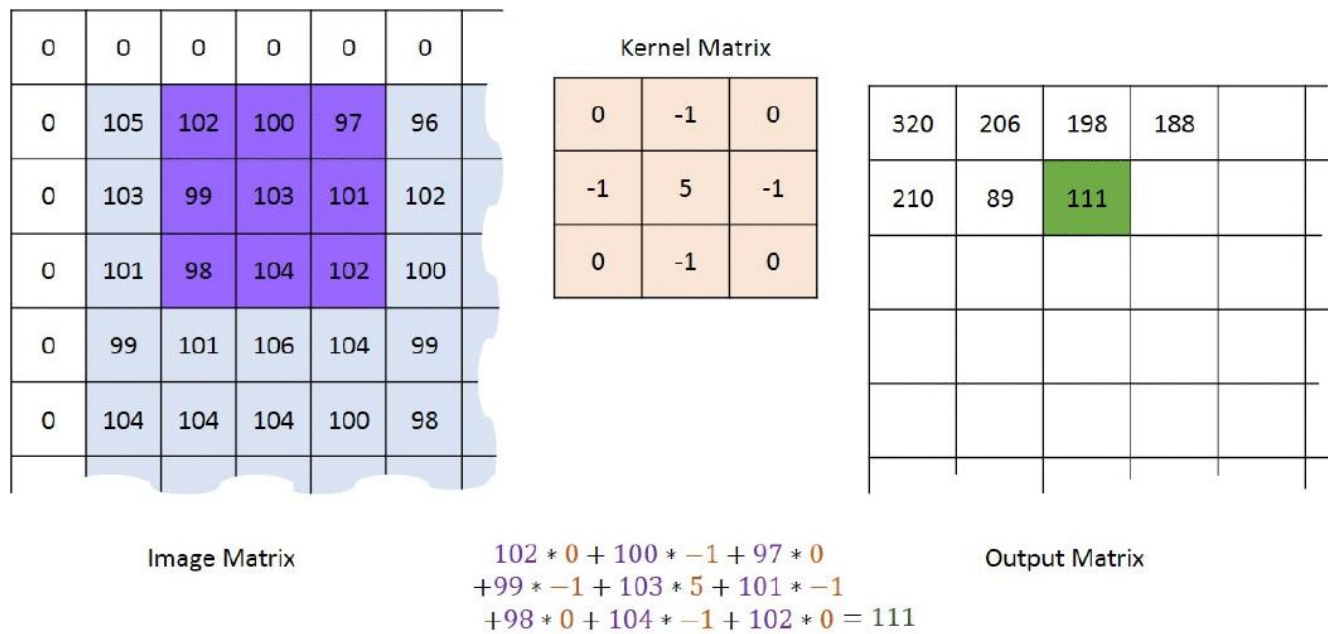
Stride 2

Output matrix

Z <sub>1</sub>	Z <sub>2</sub>
Z <sub>3</sub>	Z <sub>4</sub>

Size: 2 x 2

# Convolution calculation with stride 1



<understanding convolutional layers in convolutional neural networks>

[Machinelearningguru.com/computer\\_vision/basics/convolution/convolution\\_layer.html](https://machinelearningguru.com/computer_vision/basics/convolution/convolution_layer.html)

# Convolution calculation with stride 2

0	0	0	0	0	0	0
0	105	102	100	97	96	100
0	103	99	103	101	102	100
0	101	98	104	102	100	100
0	99	101	106	104	99	100
0	104	104	104	100	98	100

Image Matrix

Kernel Matrix		
0	-1	0
-1	5	-1
0	-1	0

320	198	182		

Output Matrix

$$\begin{aligned}
 &0 * 0 + 0 * -1 + 0 * 0 \\
 &+ 97 * -1 + 96 * 5 + 99 * -1 \\
 &+ 101 * 0 + 102 * -1 + 101 * 0 = 182
 \end{aligned}$$

<understanding convolutional layers in convolutional neural networks>

[Machinelearningguru.com/computer\\_vision/basics/convolution/convolution\\_layer.html](https://machinelearningguru.com/computer_vision/basics/convolution/convolution_layer.html)

# Stride

To compress the size of next layer

# Summary of convolutions

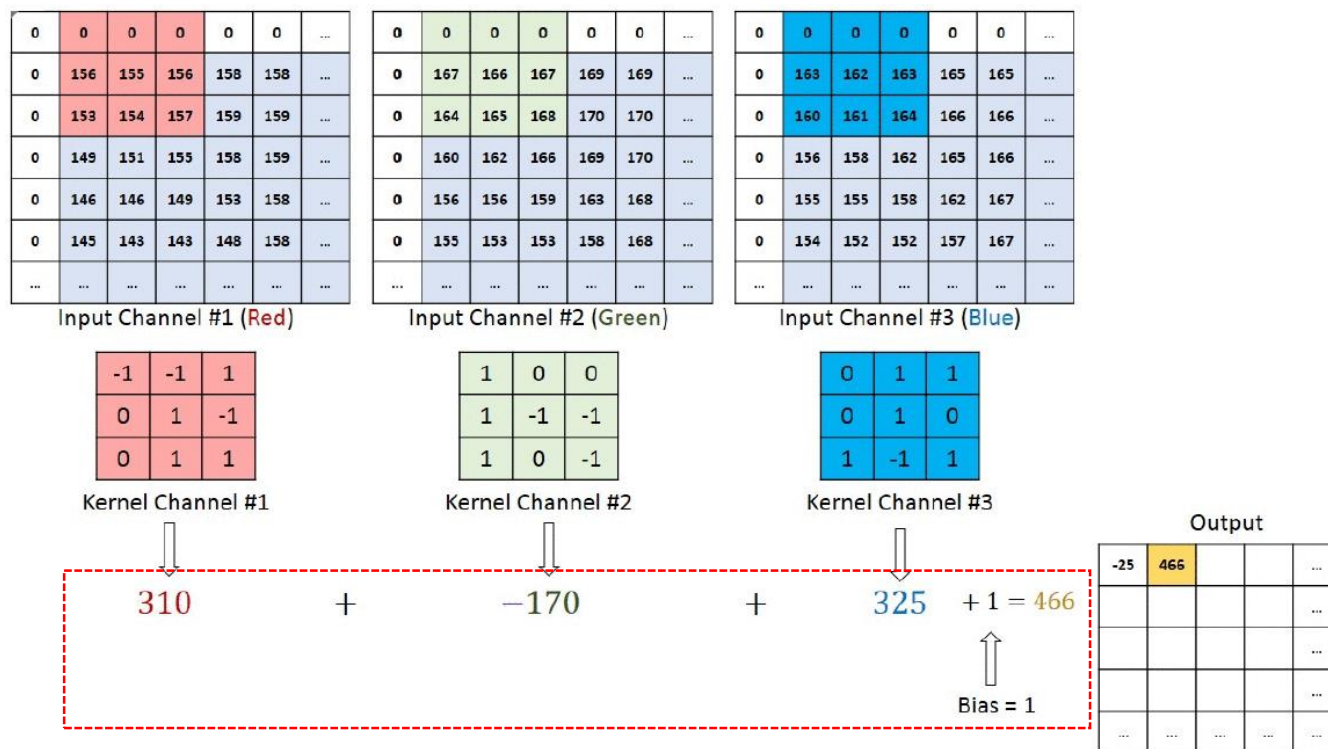
- $n \times n$  image
- $f \times f$  filter
- padding  $p$
- stride  $s$

Output size:

$$\left\lceil \frac{n + 2p - f}{s} + 1 \right\rceil \times \left\lceil \frac{n + 2p - f}{s} + 1 \right\rceil$$

$f$  is usually odd number in computer vision.

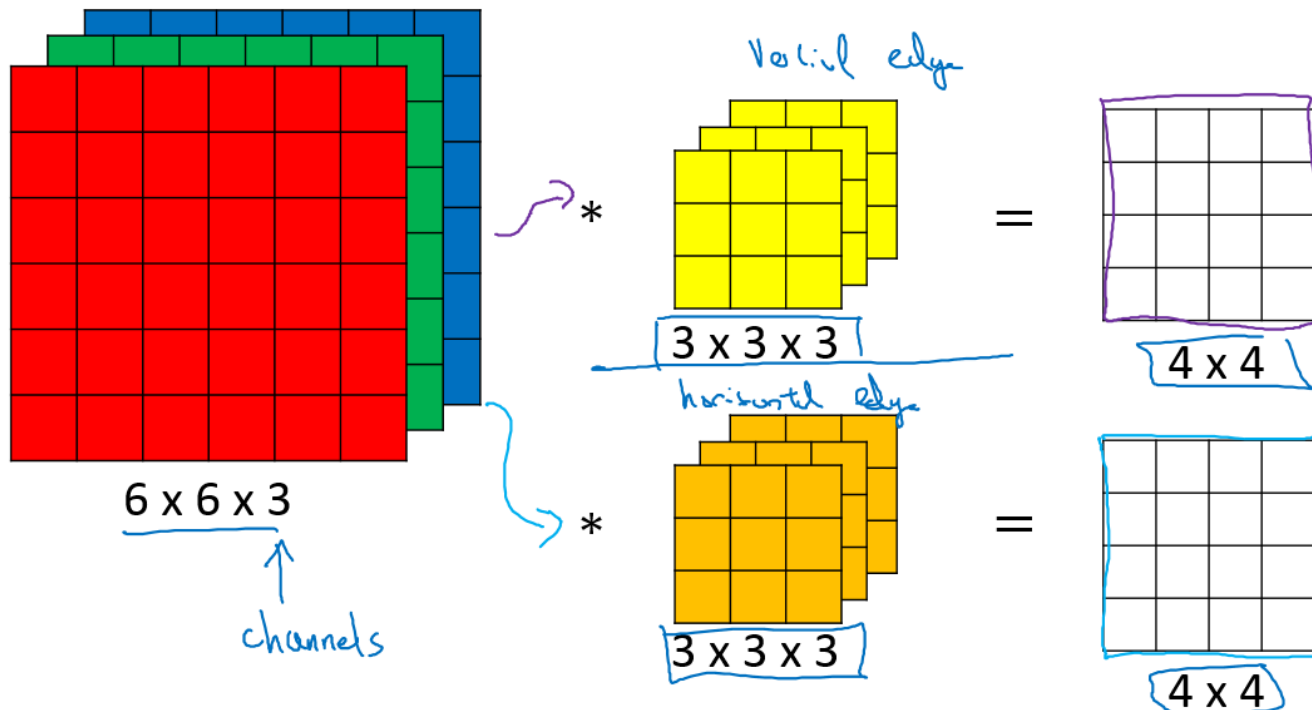
# Convolutions on RGB images



<understanding convolutional layers in convolutional neural networks>

[Machinelearningguru.com/computer\\_vision/basics/convolution/convolution\\_layer.html](https://machinelearningguru.com/computer_vision/basics/convolution/convolution_layer.html)

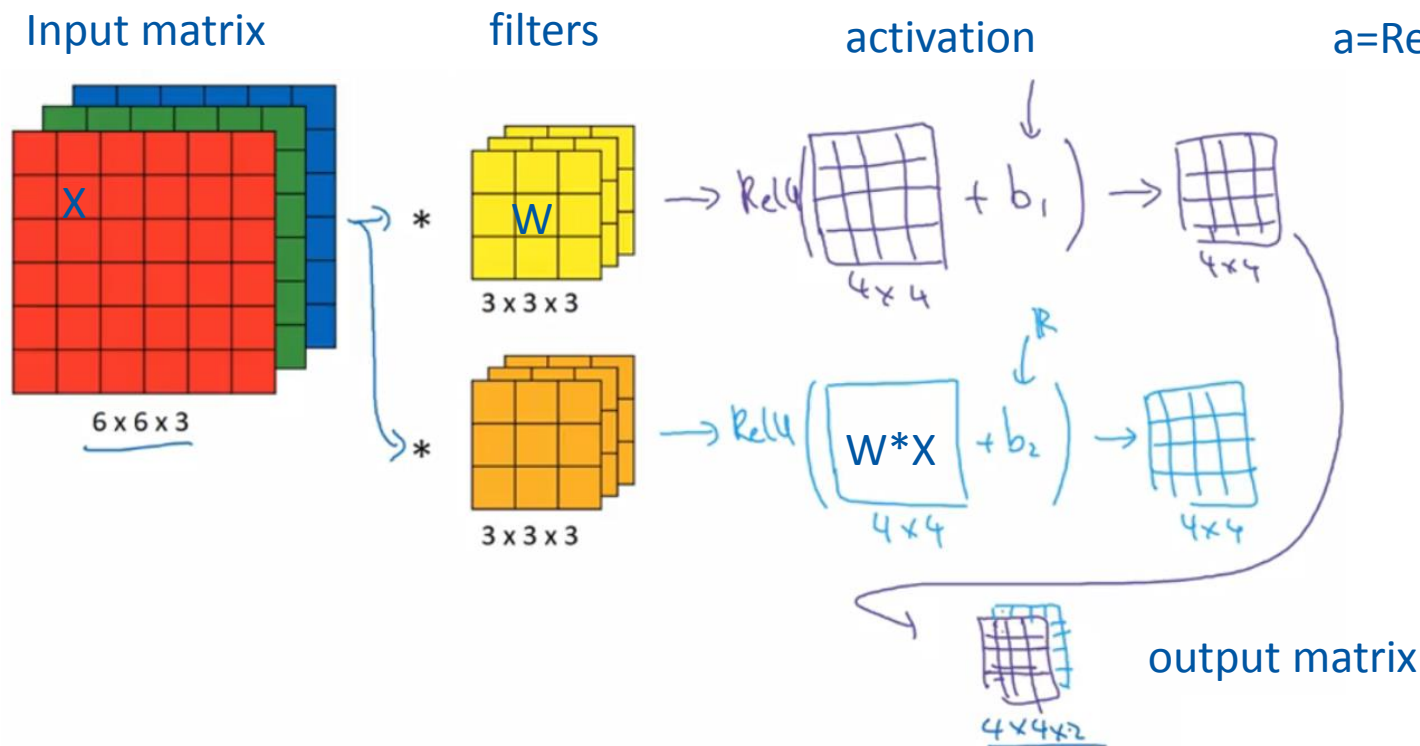
# Multiple filters (channels)



<deep learning, Andrew Ng>

# Multiple filters (channels)

$$Z = W * X + b$$
$$a = \text{ReLU}(Z)$$



<deep learning, Andrew Ng>



# Summary of convolution

If layer  $l$  is a convolution layer:

$f^{[l]}$  = filter size

$p^{[l]}$  = padding

$s^{[l]}$  = stride

Input size:

$$n_H^{[l-1]} \times n_W^{[l-1]} \times n_c^{[l-1]}$$

output size:

$$n_H^{[l]} \times n_W^{[l]} \times n_c^{[l]}$$

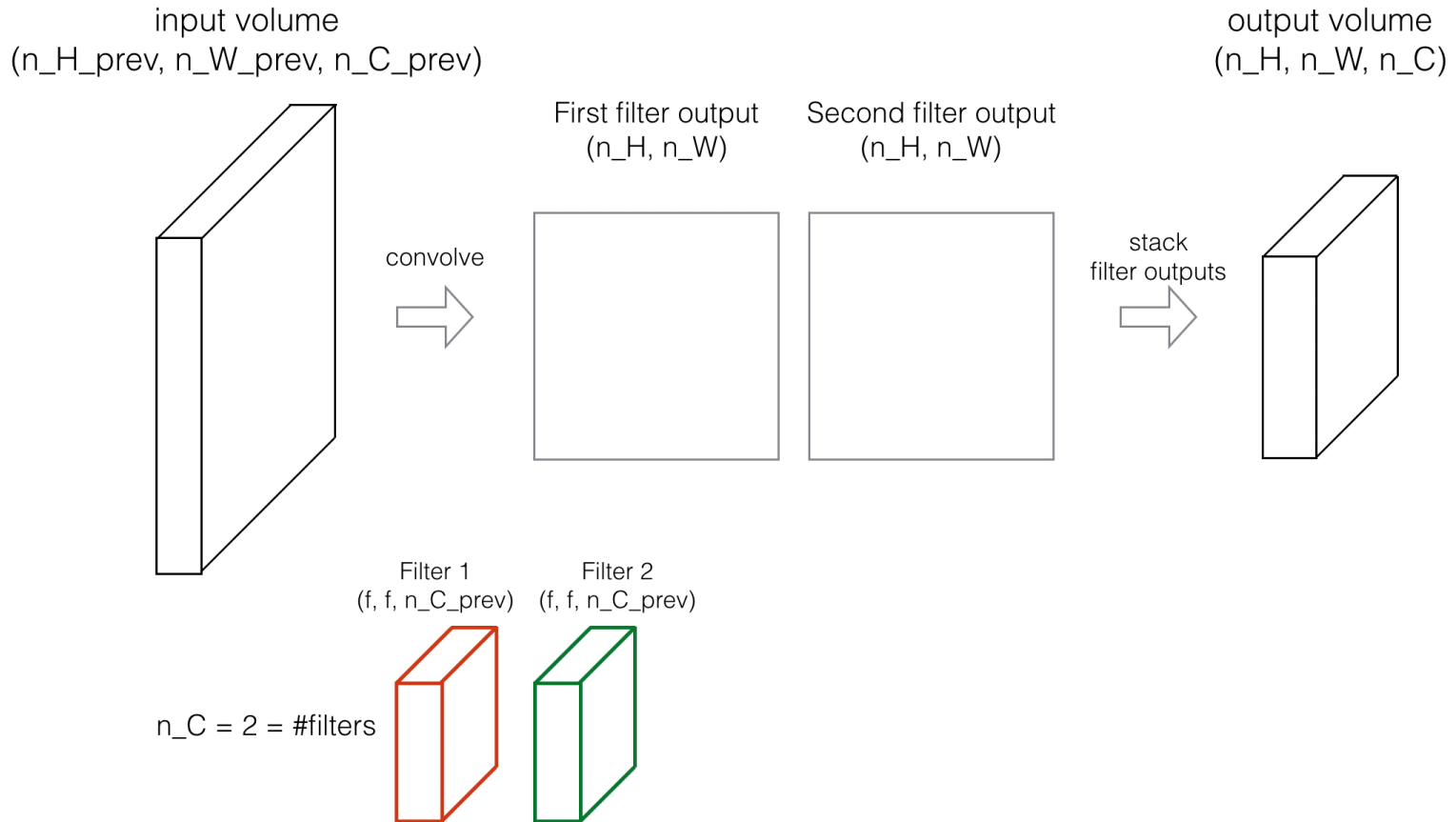
$$n_H^{[l]} = \frac{n_H^{[l-1]} + 2p^{[l]} - f^{[l]}}{s^{[l]}} + 1$$

$$n_W^{[l]} = \frac{n_W^{[l-1]} + 2p^{[l]} - f^{[l]}}{s^{[l]}} + 1$$

Weights:  $f^{[l]} \times f^{[l]} \times n_c^{[l-1]} \times n_c^{[l]}$

bias:  $1 \times 1 \times 1 \times n_c^{[l]}$

## How do convolutions work?



# Types of layer in a convolutional network

- Convolution (CONV)
- Pooling (POOL)
- Fully connected (FC)

# Pooling layers

## No parameters to learn

- To subsample (shrink) the input image in order to reduce the computational load, the memory usage, the number of parameters (reduce overfitting)
- Max Pooling : more commonly use
- Average Pooling

# Max Pooling

2	3	1	9
4	7	3	5
8	2	2	2
1	3	4	5

Hyperparameters

$f=2$

$s=2$

7	9
8	5

<Deep Learning, Andrew Ng>

# Max Pooling

1	3	2	1
2	9	1	1
1	4	2	3
5	6	1	2

(4 x 4)

Hyperparameters →

$f=2$

$s=2$


(2 x 2)

What will be the value?

# Max Pooling

1	3	2	1
2	9	1	1
1	4	2	3
5	6	1	2

(4 x 4)

Hyperparameters

$f=2$

$s=2$

9	2
6	3

(2 x 2)

# Max Pooling

1	3	2	1	3
2	9	1	1	5
1	3	2	3	2
8	3	5	1	0
5	6	1	2	9

(5 x 5)

Hyperparameters



$f=3$

$s=1$

What is the size of output matrix?



# Max Pooling

1	3	2	1	3
2	9	1	1	5
1	3	2	3	2
8	3	5	1	0
5	6	1	2	9

(5 x 5)

Hyperparameters



$f=3$

$s=1$


(3 x 3)

What will be the value?

# Max Pooling

1	3	2	1	3
2	9	1	1	5
1	3	2	3	2
8	3	5	1	0
5	6	1	2	9

(5 x 5)

Hyperparameters



$f=3$

$s=1$

9	9	5
9	9	5
8	6	9

(3 x 3)

# Average Pooling

2	3	1	9
4	7	3	5
8	2	2	2
1	3	4	5

Hyperparameters

$f=2$

$s=2$

4	4.5
3.25	3.25

<Deep Learning, Andrew Ng>

# Average Pooling

1	3	2	1
2	9	1	1
1	4	2	3
5	6	1	2

(4 x 4)

Hyperparameters

$f=2$

$s=2$

What will be the value?


(2 x 2)

# Average Pooling

1	3	2	1
2	9	1	1
1	4	2	3
5	6	1	2

(4 x 4)

Hyperparameters

$f=2$

$s=2$

3.75	1.25
4	2

(2 x 2)

# Summary of Pooling

- Hyperparameters
  - $f$ : filter size
  - $s$  : stride
  - $p$  : padding (usually  $p=0$ )
- Max or average pooling
- No parameters to learn

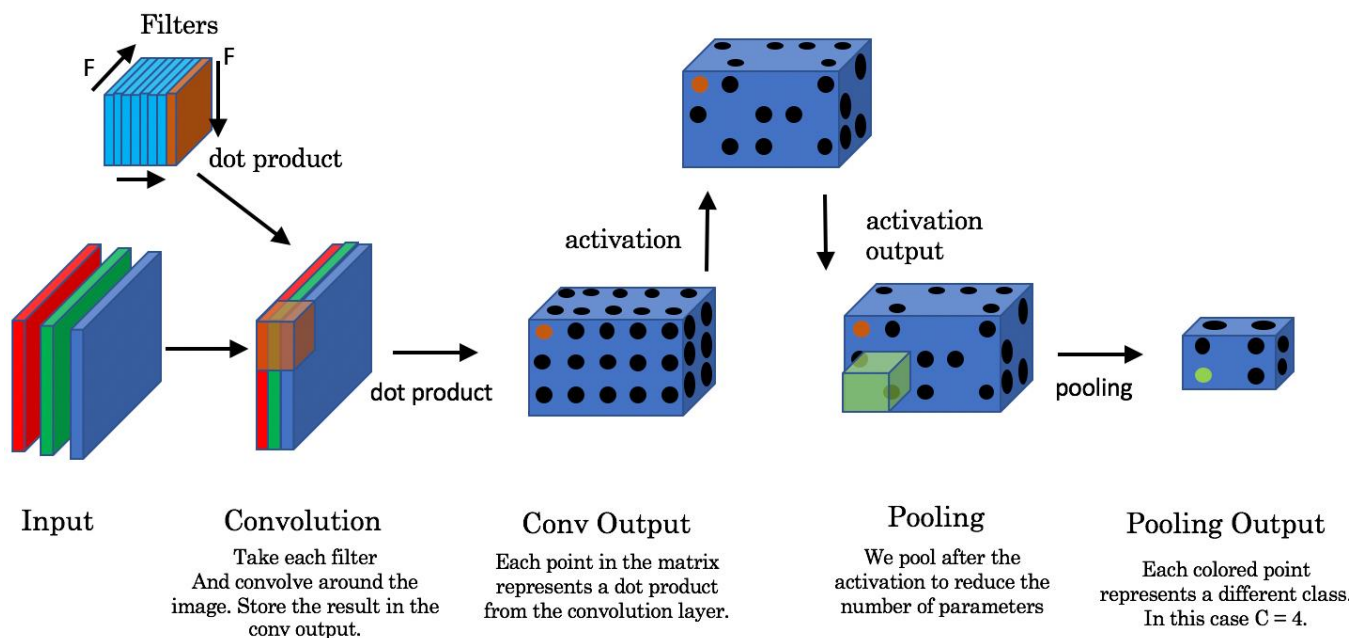
Input size:  $n_H^{[l-1]} \times n_W^{[l-1]} \times n_c^{[l-1]}$

output size:  $n_H^{[l]} \times n_W^{[l]} \times n_c^{[l]}$

$$n_H^{[l]} = \frac{n_H^{[l-1]} - f^{[l]}}{s^{[l]}} + 1$$

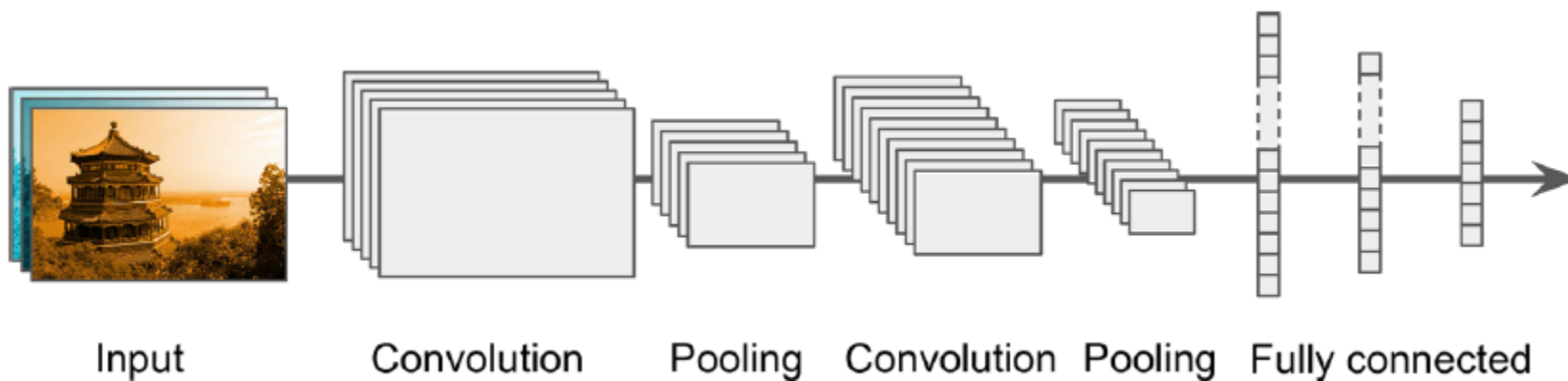
$$n_W^{[l]} = \frac{n_W^{[l-1]} - f^{[l]}}{s^{[l]}} + 1$$

# Convolution and Pooling



<Deep Learning, Andrew Ng>

# CNN Architectures



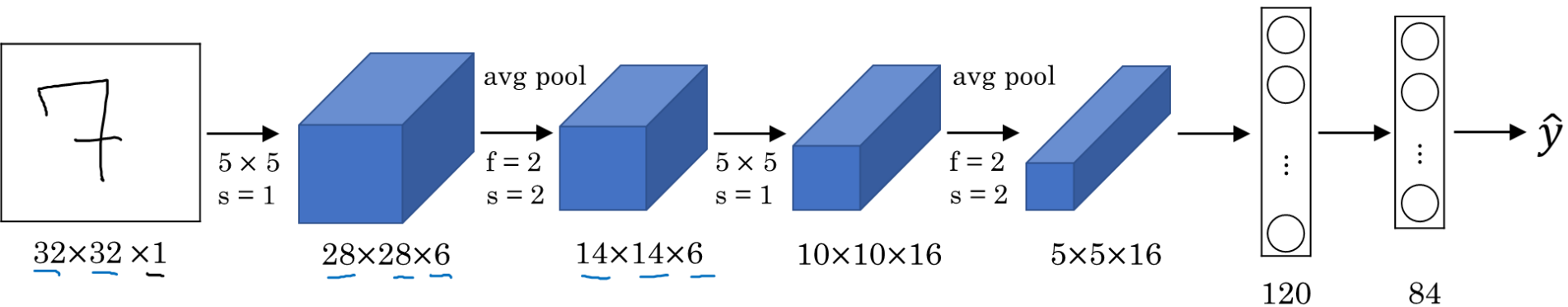
<Hands-on ML, Aurelien Geron>

Typical CNN architecture

<Deep Learning, Andrew Ng>



# LeNet-5



<Deep Learning, Andrew Ng>

LeCun et al., 1998. Gradient-based learning applied to document recognition

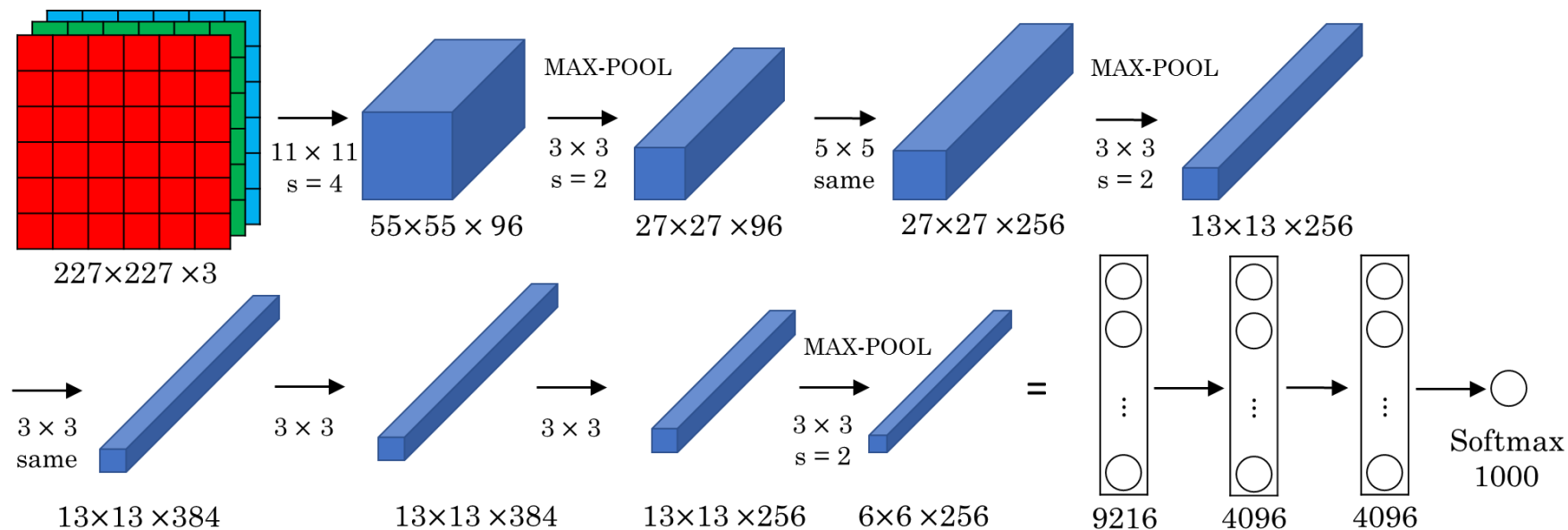
# LeNet-5

created by Yann LeCun in 1998 and widely used for handwritten digit recognition (MNIST)

Layer	Type	Maps	Size	Kernel size	Stride	Activation
Out	Fully Connected	—	10	—	—	RBF
F6	Fully Connected	—	84	—	—	tanh
C5	Convolution	120	$1 \times 1$	$5 \times 5$	1	tanh
S4	Avg Pooling	16	$5 \times 5$	$2 \times 2$	2	tanh
C3	Convolution	16	$10 \times 10$	$5 \times 5$	1	tanh
S2	Avg Pooling	6	$14 \times 14$	$2 \times 2$	2	tanh
C1	Convolution	6	$28 \times 28$	$5 \times 5$	1	tanh
In	Input	1	$32 \times 32$	—	—	—

<Hands-on ML, Aurelien Geron>

# AlexNet



<Deep Learning, Andrew Ng>

# AlexNet

won the 2012 ImageNet ILSVRC challenge with 83% accuracy.

Layer	Type	Maps	Size	Kernel size	Stride	Padding	Activation
Out	Fully Connected	—	1,000	—	—	—	Softmax
F9	Fully Connected	—	4,096	—	—	—	ReLU
F8	Fully Connected	—	4,096	—	—	—	ReLU
C7	Convolution	256	$13 \times 13$	$3 \times 3$	1	SAME	ReLU
C6	Convolution	384	$13 \times 13$	$3 \times 3$	1	SAME	ReLU
C5	Convolution	384	$13 \times 13$	$3 \times 3$	1	SAME	ReLU
S4	Max Pooling	256	$13 \times 13$	$3 \times 3$	2	VALID	—
C3	Convolution	256	$27 \times 27$	$5 \times 5$	1	SAME	ReLU
S2	Max Pooling	96	$27 \times 27$	$3 \times 3$	2	VALID	—
C1	Convolution	96	$55 \times 55$	$11 \times 11$	4	SAME	ReLU
In	Input	3 (RGB)	$224 \times 224$	—	—	—	—

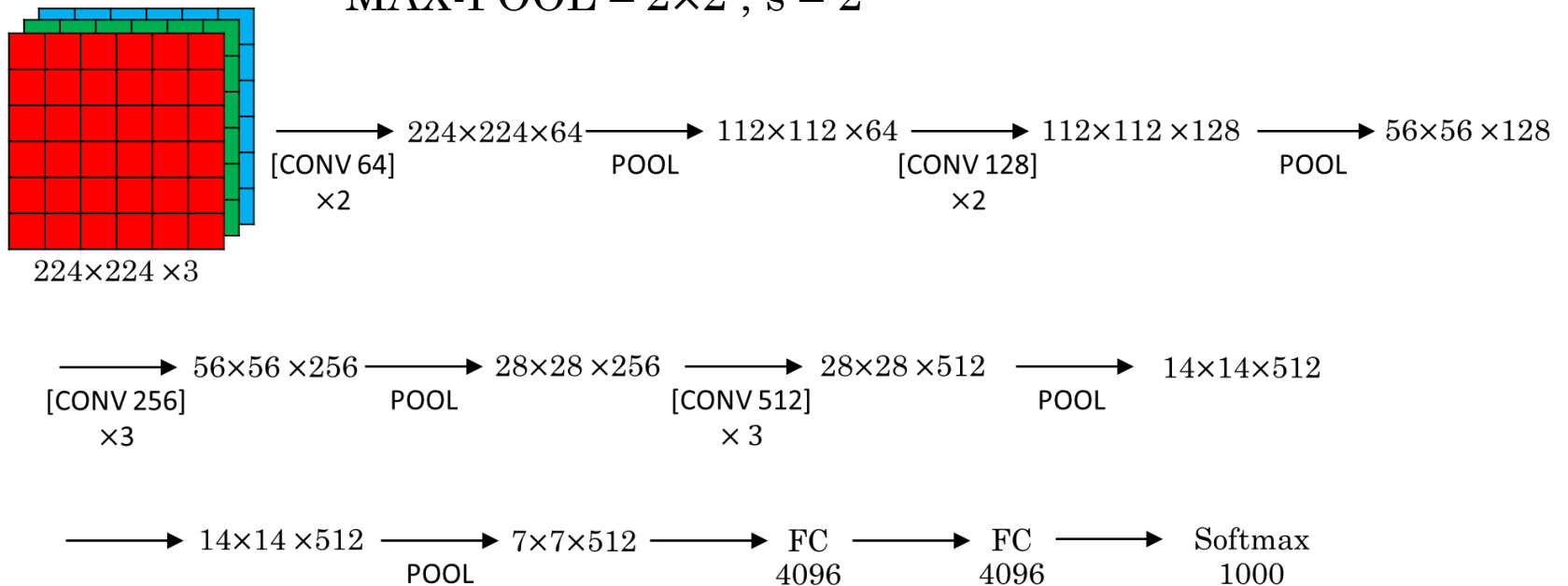
<Hands-on ML, Aurelien Geron>

“ImageNet Classification with Deep Convolutional Neural Networks,” A. Krizhevsky et al. (2012)

# VGG-16

CONV =  $3 \times 3$  filter,  $s = 1$ , same

MAX-POOL =  $2 \times 2$ ,  $s = 2$

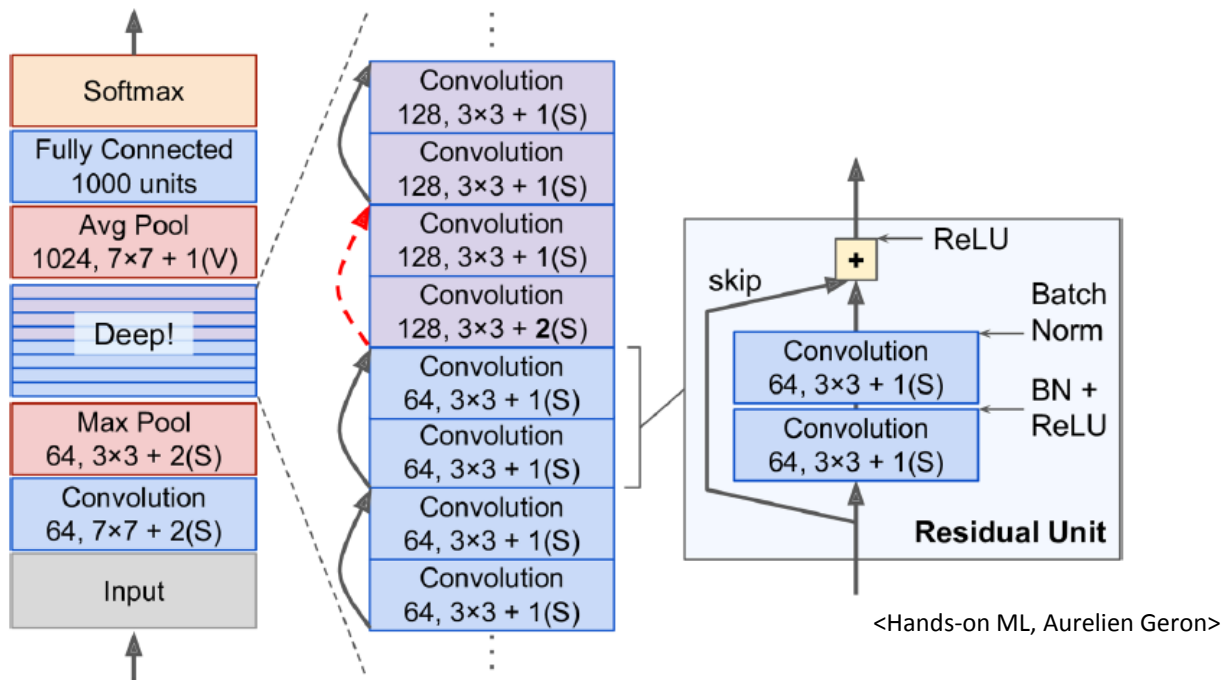


<Deep Learning, Andrew Ng>

Simonyan & Zisserman 2015. Very deep convolutional networks for large-scale image recognition

# ResNet (residual network)

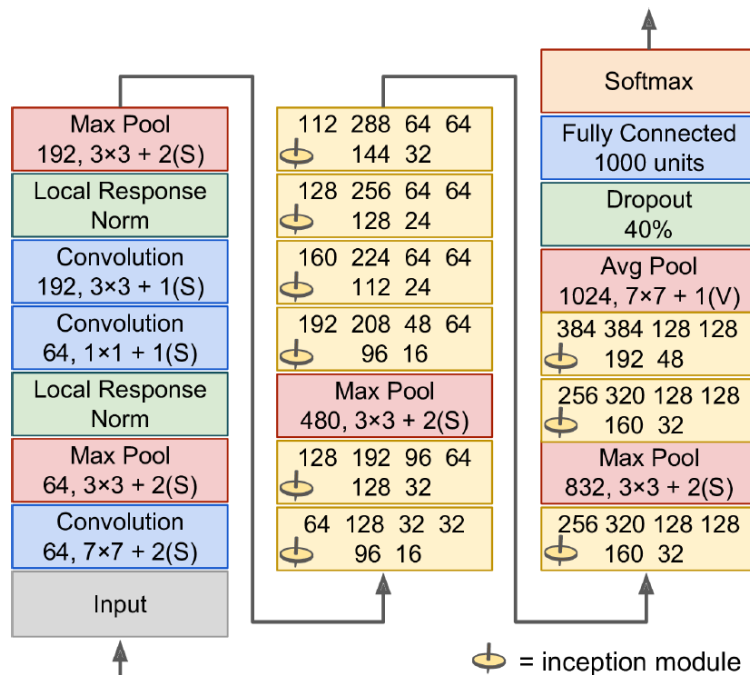
won the ILSVRC 2015 challenge with 96.4% accuracy.



He et al., 2015. Deep residual networks for image recognition

# GoogLeNet

won the ILSVRC 2014 challenge with 93% accuracy.



<Hands-on ML, Aurelien Geron>

“Going Deeper with Convolutions,” C. Szegedy et al. (2015)

# Summary

- Convolution calculation
- Convolution on RGB images
- Multiple filters
- Size of matrix in convolution layers
- Pooling
- CNN architectures