Quick start for the sommer package

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The sommer package was developed to provide R users a powerful and reliable multivariate mixed model solver. The package is focused in problems of the type p > n (more effects to estimate than observations) and its core algorithm is coded in C++ using the Armadillo library. This package allows the user to fit mixed models with the advantage of specifying the variance-covariance structure for the random effects, and specify heterogeneous variances, and obtain other parameters such as BLUPs, BLUEs, residuals, fitted values, variances for fixed and random effects, etc.

The purpose of this quick start guide is to show the flexibility of the package under certain common scenarios:

- B1) Background on mixed models
- B2) Background on covariance structures
 - 1) Univariate homogeneous variance models
 - 2) Univariate heterogeneous variance models
 - 3) Univariate unstructured variance models
 - 4) Multivariate homogeneous variance models
 - 5) Multivariate heterogeneous variance models
 - 6) Multivariate unstructured variance models
 - 7) Details on special functions for variance models
 - the major vs() function for special variance models and its auxiliars:
 - at() specific levels heterogeneous variance structure
 - ds() diagonal covariance structure
 - us() unstructured covariance
 - cs() customized covariance structure
 - 8) The specification of constraints in the variance components (Gtc argument)
 - unsm() unstructured constraint
 - uncm() unconstrained
 - fixm() fixed constraint
 - fcm() constraints on fixed effects
 - 9) Special functions for special models
 - Random regression models
 - Overlayed models
 - Spatial models
 - GWAS models
 - Customized random effects
- 10) Final remarks

B1) Background on mixed models

The core of the package is the mmer function which solve the mixed model equations. The functions are an interface to call the NR Direct-Inversion Newton-Raphson or Average Information algorithms (Tunnicliffe 1989; Gilmour et al. 1995; Lee et al. 2016). From version 2.0, sommer can handle multivariate models. Following Maier et al. (2015), the multivariate (and by extension the univariate) mixed model implemented has the form:

$$y_1 = X_1 \beta_1 + Z_1 u_1 + \epsilon_1$$

$$y_2 = X_2\beta_2 + Z_2u_2 + \epsilon_2$$

. . .

$$y_i = X_i \beta_i + Z_i u_i + \epsilon_i$$

where y_i is a vector of trait phenotypes, β_i is a vector of fixed effects, u_i is a vector of random effects for individuals and e_i are residuals for trait 'i' (i = 1, ..., t). The random effects (u_1 ... u_i and e_i) are assumed to be normally distributed with mean zero. X and Z are incidence matrices for fixed and random effects respectively. The distribution of the multivariate response and the phenotypic variance covariance (V) are:

$$Y = X\beta + ZU + \epsilon_i$$
$$Y \sim MVN(X\beta, V)$$

$$\mathbf{Y} = \begin{bmatrix} y_1 \\ y_2 \\ \dots \\ y_t \end{bmatrix}$$

$$\mathbf{X} = \begin{bmatrix} X_1 & 0 & 0 \\ \vdots & \ddots & \vdots \\ 0 & 0 & X_t \end{bmatrix}$$

$$\mathbf{V} = \begin{bmatrix} Z_1 K \sigma_{g_1}^2 Z_1' + H \sigma_{\epsilon_1}^2 & \dots & Z_1 K \sigma_{g_{1,t}} Z_t' + H \sigma_{\epsilon_{1,t}} \\ \vdots & \ddots & & \vdots \\ Z_1 K \sigma_{g_{1,t}} Z_t' + H \sigma_{\epsilon_{1,t}} & \dots & Z_t K \sigma_{g_t}^2 Z_t' + H \sigma_{\epsilon_t}^2 \end{bmatrix}$$

where K is the relationship or covariance matrix for the kth random effect (u=1,...,k), and H=I is an identity matrix or a partial identity matrix for the residual term. The terms $\sigma_{g_i}^2$ and $\sigma_{\epsilon_i}^2$ denote the genetic (or any of the kth random terms) and residual variance of trait 'i', respectively and $\sigma_{g_{ij}}$ and $\sigma_{\epsilon_{ij}}$ the genetic (or any of the kth random terms) and residual covariance between traits 'i' and 'j' (i=1,...,t, and j=1,...,t). The algorithm implemented optimizes the log likelihood:

$$log L = 1/2 * ln(|V|) + ln(X'|V|X) + Y'PY$$

where || is the determinant of a matrix. And the REML estimates are updated using a Newton optimization algorithm of the form:

$$\theta^{k+1} = \theta^k + (H^k)^{-1} * \frac{dL}{d\sigma_{\cdot}^2} |\theta^k|$$

Where, θ is the vector of variance components for random effects and covariance components among traits, H^{-1} is the inverse of the Hessian matrix of second derivatives for the kth cycle, $\frac{dL}{d\sigma_i^2}$ is the vector of first derivatives of the likelihood with respect to the variance-covariance components. The Eigen decomposition of the relationship matrix proposed by Lee and Van Der Werf (2016) was included in the Newton-Raphson algorithm to improve time efficiency. Additionally, the popular pin function to estimate standard errors for linear combinations of variance components (i.e. heritabilities and genetic correlations) was added to the package as well.

Please refer to the canonical papers listed in the Literature section to check how the algorithms work. We have tested widely the methods to make sure they provide the same solution when the likelihood behaves well but for complex problems they might lead to slightly different answers. If you have any concern please contact me at cova ruber@live.com.mx.

In the following section we will go in detail over several examples on how to use mixed models in univariate and multivariate case and their use in quantitative genetics.

B2) Background on covariance structures

One of the major strengths of linear mixed models is the flexibility to specify variance-covariance structures at all levels. In general, variance structures of mixed models can be seen as tensor (kronecker) products of multiple variance-covariance structures. For example, a multi-response model (i.e. 2 traits) where "g" individuals (i.e. 100 individuals) are tested in "e" treatments (i.e. 3 environments), the variance-covariance for the random effect "individuals" can be seen as the following multiplicative model:

$$T \otimes G \otimes A$$

where:

$$\mathbf{T} = \left[egin{array}{ccc} \sigma_{g_{t1,t1}}^2 & \sigma_{g_{t1,t2}} \ \sigma_{g_{t2,t1}} & \sigma_{g_{t2,t2}}^2 \end{array}
ight]$$

is the covariance structure for individuals among traits.

$$\mathbf{G} = \left[\begin{array}{ccc} \sigma_{g_{e1,e1}}^2 & \sigma_{g_{e1,e2}} & \sigma_{g_{e1,e3}} \\ \sigma_{g_{e2,e1}} & \sigma_{g_{e2,e2}}^2 & \sigma_{g_{e2,e3}} \\ \sigma_{g_{e3,e1}} & \sigma_{g_{e3,e2}} & \sigma_{g_{e3,e3}}^2 \end{array} \right]$$

is the covariance structure for individuals among environments.

and A is a square matrix representing the covariance among the levels of the individuals (any known relationship matrix).

The T and G covariance structures shown above are unknown matrices to be estimated whereas A is known. The T and G matrices shown above are called as unstructured (US) covariance matrices, although this type is just one example from several covariance structures that the linear mixed models enable. For example, other popular covariance structures are:

Diagonal (DIAG) covariance structures

$$\boldsymbol{\Sigma} = \left[\begin{array}{ccc} \sigma_{g_{e1,e1}}^2 & 0 & 0 \\ \vdots & \ddots & \vdots \\ 0 & 0 & \sigma_{g_{ei,ei}}^2 \end{array} \right]$$

Compound simmetry (CS) covariance structures

$$\boldsymbol{\Sigma} = \left[\begin{array}{cccc} \sigma_g^2 + \sigma_{ge}^2 & \sigma_g^2 & \sigma_g^2 & \sigma_g^2 \\ \sigma_g^2 & \sigma_g^2 + \sigma_{ge}^2 & \sigma_g^2 & \sigma_g^2 \\ \vdots & \vdots & \ddots & \vdots \\ \sigma_g^2 & \sigma_g^2 & \sigma_g^2 & \sigma_g^2 + \sigma_{ge}^2 \end{array} \right]$$

First order autoregressive (AR1) covariance structures

$$\Sigma = \sigma^2 \begin{bmatrix} 1 & \rho & \rho^2 & \rho^3 \\ \rho & 1 & \rho & \rho^2 \\ \rho^2 & \rho & 1 & \rho \\ \rho^3 & \rho^2 & \rho & 1 \end{bmatrix}$$

or the already mentioned Unstructured (US) covariance structures

$$\boldsymbol{\Sigma} = \left[\begin{array}{ccc} \sigma_{g_{e1,e1}}^2 & \sigma_{g_{e1,e2}} & \sigma_{g_{e1,e3}} \\ \vdots & \ddots & \vdots \\ \sigma_{g_{e3,e1}} & \sigma_{g_{e3,e2}} & \sigma_{g_{e3,e3}}^2 \end{array} \right]$$

among others. Sommer has the capabilities to fit some of these covariance structures in the mixed model machinery.

1) Univariate homogeneous variance models

This type of models refer to single response models where a variable of interest (i.e. genotypes) needs to be analyzed as interacting with a 2nd random effect (i.e. environments), but you assume that across environments the genotypes have the same variance component. This is the so-called compound simmetry (CS) model.

```
library(sommer)
data(DT_example)
head(DT)
##
                      Name
                               Env Loc Year
                                                 Block Yield
                                                                 Weight
       Manistee(MSL292-A) CA.2013 CA 2013 CA.2013.1
## 33
                                                           4 -1.904711
               C002024-9W CA.2013 CA 2013 CA.2013.1
##
  65
                                                           5 -1.446958
       Manistee(MSL292-A) CA.2013 CA 2013 CA.2013.2
##
  66
                                                           5 -1.516271
## 67
                 MSL007-B CA.2011 CA 2011 CA.2011.2
                                                           5 -1.435510
                MSR169-8Y CA.2013 CA 2013 CA.2013.1
## 68
                                                           5 -1.469051
## 103
               AC05153-1W CA.2013 CA 2013 CA.2013.1
                                                           6 -1.307167
ans1 <- mmer(Yield~Env,
              random= ~ Name + Env:Name,
              rcov= ~ units.
              data=DT)
## iteration
                LogLik
                            wall
                                    cpu(sec)
                                                restrained
##
       1
              -31.2668
                          20:45:43
                                        Λ
##
       2
              -23.2804
                          20:45:43
                                                     0
                                                     0
##
       3
              -20.4746
                          20:45:43
                                        0
                                                     0
##
       4
              -20.1501
                          20:45:43
                                        0
                                                     0
##
       5
              -20.1454
                          20:45:43
                                        0
              -20.1454
                          20:45:43
summary(ans1)
```

```
##
         Multivariate Linear Mixed Model fit by REML
    ************** sommer 3.8 *************
         logLik
                  AIC
                         BIC Method Converge
##
## Value -20.14538 46.29075 55.95182
                               NR
                                     TRUE
  ______
## Variance-Covariance components:
                  VarComp VarCompSE Zratio Constraint
##
## Name.Yield-Yield
                    3.682
                           1.691 2.177
                                       Positive
## Env:Name.Yield-Yield
                    5.173
                           1.495
                                 3.460
                                       Positive
## units.Yield-Yield
                    4.366
                           0.647 6.748
                                       Positive
## Fixed effects:
```

```
Effect Estimate Std.Error t.value
                 16.496
## 1 Yield (Intercept)
                         0.6855
                              24.065
## 2 Yield EnvCA.2012
                 -5.777
                         0.7558
## 3 Yield EnvCA.2013
                 -6.380
                         0.7960 -8.015
 ______
## Groups and observations:
##
        Yield
## Name
          41
## Env:Name
          123
## Use the '$' sign to access results and parameters
```

2) Univariate heterogeneous variance models

Very often in multi-environment trials, the assumption that the genetic variance or the residual variance is the same across locations may be too naive. Because of that, specifying a general genetic component and a location specific genetic variance is the way to go. This requires a CS+DIAG model (also called heterogeneous CS model).

```
data(DT_example)
head(DT)
##
                            Env Loc Year
                    Name
                                            Block Yield
                                                           Weight
      Manistee(MSL292-A) CA.2013 CA 2013 CA.2013.1
## 33
                                                      4 -1.904711
              CO02024-9W CA.2013 CA 2013 CA.2013.1
## 65
                                                      5 -1.446958
## 66
      Manistee (MSL292-A) CA.2013 CA 2013 CA.2013.2
                                                      5 -1.516271
                MSL007-B CA.2011 CA 2011 CA.2011.2
## 67
                                                      5 -1.435510
                                                      5 -1.469051
## 68
               MSR169-8Y CA.2013 CA 2013 CA.2013.1
              AC05153-1W CA.2013 CA 2013 CA.2013.1
## 103
                                                      6 -1.307167
ans2 <- mmer(Yield~Env,
             random= ~Name + vs(ds(Env), Name),
             rcov= ~ vs(ds(Env), units),
             data=DT)
## iteration
               LogLik
                         wall
                                 cpu(sec)
                                           restrained
##
      1
             -31.2668
                       20:45:43
                                     0
                                                0
      2
             -19.8549
                       20:45:43
                                                0
##
                                     0
                                                0
##
      3
             -15.9797
                       20:45:43
                                     0
##
      4
             -15.4374
                       20:45:44
                                     1
                                                0
##
      5
             -15.43
                      20:45:44
                                              0
                                   1
##
      6
             -15.4298
                       20:45:44
                                     1
                                                0
summary(ans2)
Multivariate Linear Mixed Model fit by REML
  *************** sommer 3.8 ***************
           logLik
                      AIC
                               BIC Method Converge
## Value -15.42983 36.85965 46.52072
```

VarComp VarCompSE Zratio Constraint

1.496 1.980

Positive

2.963

Variance-Covariance components:

Name.Yield-Yield

##

```
## CA.2011:Name.Yield-Yield
                          10.146
                                    4.507
                                          2.251
                                                  Positive
## CA.2012:Name.Yield-Yield
                                          1.004
                           1.878
                                    1.870
                                                  Positive
## CA.2013: Name. Yield-Yield
                           6.629
                                    2.503
                                          2.649
                                                  Positive
## CA.2011:units.Yield-Yield
                           4.942
                                    1.525
                                          3.242
                                                  Positive
## CA.2012:units.Yield-Yield
                           5.725
                                    1.312
                                          4.363
                                                  Positive
## CA.2013:units.Yield-Yield
                                          4.000
                           2.560
                                    0.640
                                                  Positive
  ______
## Fixed effects:
##
    Trait
              Effect Estimate Std.Error t.value
## 1 Yield (Intercept)
                      16.508
                               0.8268
                                      19.965
## 2 Yield EnvCA.2012
                      -5.817
                               0.8575
                                      -6.783
                               0.9356
## 3 Yield
          EnvCA.2013
                      -6.412
                                      -6.854
  Groups and observations:
##
              Yield
## Name
                 41
## CA.2011:Name
                 41
## CA.2012:Name
                 41
## CA.2013:Name
                 41
## Use the '$' sign to access results and parameters
```

As you can see the special function at or diag can be used to indicate that there's a different variance for the genotypes in each environment. Same was done for the residual. The difference between at and diag is that the at function can be used to specify the levels or specific environments where the variance is different.

3) Unstructured variance models

A more relaxed assumption than the CS+DIAG model is the unstructured model (US) which assumes that among the levels of certain factor (i.e. Environments) there's a covariance struture of a second random effect (i.e. Genotypes). This can be done in sommer using the us(.) function:

```
data(DT_example)
head(DT)
##
                               Env Loc Year
                                                  Block Yield
                                                                  Weight
                      Name
##
  33
       Manistee (MSL292-A) CA.2013
                                     CA 2013 CA.2013.1
                                                            4 -1.904711
##
  65
                CO02024-9W CA.2013
                                     CA 2013 CA.2013.1
                                                            5 -1.446958
##
   66
       Manistee (MSL292-A) CA.2013
                                     CA 2013 CA.2013.2
                                                            5 -1.516271
##
  67
                 MSL007-B CA.2011
                                    CA 2011 CA.2011.2
                                                            5 -1.435510
## 68
                 MSR169-8Y CA.2013
                                    CA 2013 CA.2013.1
                                                            5 -1.469051
## 103
               AC05153-1W CA.2013 CA 2013 CA.2013.1
                                                            6 -1.307167
ans3 <- mmer(Yield~Env,</pre>
             random=~ vs(us(Env), Name),
             rcov=~vs(us(Env),units),
             data=DT)
```

```
## iteration
                  LogLik
                              wall
                                        cpu(sec)
                                                    restrained
##
        1
                -37.9059
                            20:45:44
                                            0
                                                          0
##
        2
                -17.9745
                            20:45:44
                                            0
                                                          0
                                                          0
##
        3
                -12.2427
                            20:45:44
                                            0
##
        4
                                            0
                                                          0
                -11.5121
                            20:45:44
##
        5
                -11.5001
                            20:45:44
                                            0
                                                          0
                -11.4997
                            20:45:44
                                            0
                                                          0
##
        6
```

```
summary(ans3)
##
             Multivariate Linear Mixed Model fit by REML
  ************************ sommer 3.8 *******************
  ______
##
          logLik
                    AIC
                            BIC Method Converge
## Value -11.49971 28.99943 38.66049
                                   NR
                                         TRUF.
  ______
## Variance-Covariance components:
##
                                VarComp VarCompSE
                                                  Zratio Constraint
## CA.2011:Name.Yield-Yield
                                 15.665 5.421e+00 2.890e+00
                                                          Positive
## CA.2012:CA.2011:Name.Yield-Yield
                                 6.110 2.485e+00 2.459e+00
                                                          Unconstr
## CA.2012:Name.Yield-Yield
                                 4.530 1.821e+00 2.488e+00
                                                          Positive
## CA.2013:CA.2011:Name.Yield-Yield
                                 6.384 3.066e+00 2.082e+00
                                                          Unconstr
## CA.2013:CA.2012:Name.Yield-Yield
                                 0.393 1.523e+00 2.580e-01
                                                          Unconstr
## CA.2013:Name.Yield-Yield
                                 8.597 2.484e+00 3.461e+00
                                                          Positive
## CA.2011:units.Yield-Yield
                                 4.970 1.532e+00 3.243e+00
                                                          Positive
## CA.2012:CA.2011:units.Yield-Yield
                                 4.087 2.436e-16 1.678e+16
                                                          Unconstr
## CA.2012:units.Yield-Yield
                                 5.673 1.301e+00 4.361e+00
                                                          Positive
                                 4.087 0.000e+00
## CA.2013:CA.2011:units.Yield-Yield
                                                     Inf
                                                          Unconstr
## CA.2013:CA.2012:units.Yield-Yield
                                 4.087 0.000e+00
                                                     Inf
                                                          Unconstr
## CA.2013:units.Yield-Yield
                                 2.557 6.393e-01 4.000e+00
                                                          Positive
## Fixed effects:
    Trait
             Effect Estimate Std.Error t.value
## 1 Yield (Intercept)
                    16.331
                            0.8137 20.070
## 2 Yield EnvCA.2012
                     -5.696
                              0.7404 - 7.693
## 3 Yield EnvCA.2013
                     -6.271
                              0.8191 -7.656
  _____
## Groups and observations:
##
                    Yield
## CA.2011:Name
## CA.2012:CA.2011:Name
                       82
## CA.2012:Name
## CA.2013:CA.2011:Name
                       82
```

As can be seen the us(Env) indicates that the genotypes (Name) can have a covariance structure among environments (Env).

4) Multivariate homogeneous variance models

Use the '\$' sign to access results and parameters

82

41

CA.2013:CA.2012:Name

CA.2013:Name

Currently there's a great push for multi-response models. This is motivated by the correlation that certain variables hide and that could benefit in the prediction perspective. In sommer to specify multivariate models the response requires the use of the cbind() function in the response, and the us(trait), diag(trait), or at(trait) functions in the random part of the model.

```
data(DT_example)
head(DT)
```

```
##
                        Env Loc Year
                                      Block Yield
## 33 Manistee(MSL292-A) CA.2013 CA 2013 CA.2013.1 4 -1.904711
## 65
            C002024-9W CA.2013 CA 2013 CA.2013.1
                                               5 -1.446958
    Manistee(MSL292-A) CA.2013 CA 2013 CA.2013.2 5 -1.516271
## 66
             MSL007-B CA.2011 CA 2011 CA.2011.2
## 67
                                               5 -1.435510
## 68
             MSR169-8Y CA.2013 CA 2013 CA.2013.1 5 -1.469051
            ACO5153-1W CA.2013 CA 2013 CA.2013.1
## 103
                                               6 -1.307167
DT$EnvName <- paste(DT$Env,DT$Name)</pre>
ans4 <- mmer(cbind(Yield, Weight) ~ Env,</pre>
           random= ~ vs(Name) + vs(EnvName),
           rcov= ~ vs(units),
           data=DT)
## iteration
             LogLik
                             cpu(sec)
                      wall
                                      restrained
     1
           66.0395
                   20:45:45
##
                               0
##
     2
                                         0
           131.529
                   20:45:46
                               1
##
     3
           162.769
                   20:45:46
                                         0
##
     4
           166.983
                   20:45:47
                               2
                                         0
##
     5
           167.025
                   20:45:47
                               2
                                         0
##
                                         0
     6
           167.025
                   20:45:48
                               3
summary(ans4)
Multivariate Linear Mixed Model fit by REML
## **************** sommer 3.8 ************
logLik
                 AIC BIC Method Converge
## Value 167.0252 -322.0505 -298.5695
                                NR
## Variance-Covariance components:
##
                      VarComp VarCompSE Zratio Constraint
                             1.68117 2.206
## u:Name.Yield-Yield
                       3.7089
                                            Positive
## u:Name.Yield-Weight
                      0.9071
                             0.37944 2.391
                                            Unconstr
## u:Name.Weight-Weight
                      0.2243
                              0.08775 2.557
                                            Positive
## u:EnvName.Yield-Yield
                      5.0921
                              1.47879 3.443
                                            Positive
## u:EnvName.Yield-Weight 1.0269
                              0.30767 3.338
                                            Unconstr
## u:EnvName.Weight-Weight 0.2101
                              0.06661 3.154
                                            Positive
## u:units.Yield-Yield
                      4.3837
                              0.64941 6.750
                                            Positive
## u:units.Yield-Weight
                       0.9077
                              0.14145 6.417
                                            Unconstr
## u:units.Weight-Weight
                       0.2280
                              0.03377 6.751
                                            Positive
## Fixed effects:
              Effect Estimate Std.Error t.value
     Trait
## 1 Yield (Intercept) 16.4093
                           0.6783 24.191
## 2 Weight (Intercept)
                    0.9806
                             0.1497
## 3 Yield EnvCA.2012 -5.6844
                             0.7474 -7.606
## 4 Weight EnvCA.2012 -1.1846
                             0.1593 - 7.439
## 5 Yield EnvCA.2013 -6.2952
                             0.7850 -8.019
## 6 Weight EnvCA.2013 -1.3559
                             0.1681 -8.065
## Groups and observations:
##
          Yield Weight
## u:Name
             41
```

You may notice that we have added the us(trait) behind the random effects. This is to indicate the structure that should be assume in the multivariate model. The diag(trait) used behind a random effect (i.e. Name) indicates that for the traits modeled (Yield and Weight) there's no a covariance component and should not be estimated, whereas us(trait) assumes that for such random effect, there's a covariance component to be estimated (i.e. covariance between Yield and Weight for the random effect Name). Same applies for the residual part (rcov).

5) Multivariate heterogeneous variance models

This is just an extension of the univariate heterogeneous variance models but at the multivariate level. This would be a CS+DIAG multivariate model:

```
data(DT_example)
head(DT)
##
                      Name
                                Env Loc Year
                                                  Block Yield
                                                                  Weight
## 33
       Manistee(MSL292-A) CA.2013 CA 2013 CA.2013.1
                                                             4 -1.904711
##
  65
                CO02024-9W CA.2013
                                     CA 2013 CA.2013.1
                                                             5 -1.446958
       Manistee(MSL292-A) CA.2013
                                     CA 2013 CA.2013.2
## 66
                                                             5 -1.516271
## 67
                  MSL007-B CA.2011
                                    CA 2011 CA.2011.2
                                                             5 -1.435510
## 68
                 MSR169-8Y CA.2013 CA 2013 CA.2013.1
                                                             5 -1.469051
## 103
                ACO5153-1W CA.2013 CA 2013 CA.2013.1
                                                             6 -1.307167
DT$EnvName <- paste(DT$Env,DT$Name)</pre>
ans5 <- mmer(cbind(Yield, Weight) ~ Env,
               random= ~ vs(Name) + vs(ds(Env), Name),
               rcov= ~ vs(ds(Env),units),
               data=DT)
## iteration
                 LogLik
                                     cpu(sec)
                                                 restrained
                            wall
##
       1
               66.0395
                         20:45:49
                                        1
                                                     0
       2
                                        2
                                                     0
##
               138.617
                         20:45:50
##
       3
               172.682
                         20:45:51
                                        3
                                                     0
                                                     0
##
       4
               177.662
                         20:45:52
                                        4
       5
               177.801
                                                     0
##
                         20:45:54
                                        6
               177.813
                                                     0
##
       6
                                        7
                         20:45:55
##
       7
               177.815
                                                     0
                         20:45:56
       8
               177.815
                                                     0
##
                         20:45:57
summary(ans5)
```

```
##
##
          Multivariate Linear Mixed Model fit by REML
  *******
                     sommer 3.8 **************
##
##
        logLik
                   AIC
                           BIC Method Converge
## Value 177.8154 -343.6308 -320.1497
                                        TRUE
  ______
## Variance-Covariance components:
##
                         VarComp VarCompSE Zratio Constraint
## u:Name.Yield-Yield
                                 1.45269 2.2850
                         3.31936
                                               Positive
```

0.79393

u:Name.Yield-Weight

0.32621 2.4338

Unconstr

```
## u:Name.Weight-Weight
                              0.19085
                                       0.07503 2.5438
                                                        Positive
## CA.2011:Name.Yield-Yield
                              8.70657
                                       4.01470 2.1687
                                                        Positive
## CA.2011:Name.Yield-Weight
                              1.77892
                                       0.83926 2.1196
                                                        Unconstr
## CA.2011:Name.Weight-Weight
                                       0.17903 2.0089
                              0.35966
                                                        Positive
## CA.2012:Name.Yield-Yield
                              2.57109
                                       1.94951 1.3188
                                                        Positive
## CA.2012:Name.Yield-Weight
                                                        Unconstr
                              0.33245
                                       0.39840 0.8345
## CA.2012:Name.Weight-Weight
                              0.03842
                                       0.08595 0.4470
                                                        Positive
## CA.2013:Name.Yield-Yield
                              5.46908
                                       2.16307 2.5284
                                                        Positive
## CA.2013:Name.Yield-Weight
                              1.34713
                                       0.50479 2.6687
                                                        Unconstr
## CA.2013:Name.Weight-Weight
                              0.32902
                                       0.12208 2.6952
                                                        Positive
## CA.2011:units.Yield-Yield
                              4.93852
                                       1.52318 3.2422
                                                        Positive
## CA.2011:units.Yield-Weight
                              0.99447
                                       0.32150 3.0932
                                                        Unconstr
## CA.2011:units.Weight-Weight 0.23982
                                       0.07394 3.2433
                                                        Positive
## CA.2012:units.Yield-Yield
                              5.73887
                                       1.31533 4.3631
                                                        Positive
## CA.2012:units.Yield-Weight
                             1.28009
                                       0.30157 4.2448
                                                        Unconstr
## CA.2012:units.Weight-Weight 0.31806
                                       0.07286 4.3652
                                                        Positive
## CA.2013:units.Yield-Yield
                                       0.63993 4.0024
                              2.56127
                                                        Positive
## CA.2013:units.Yield-Weight 0.44569
                                       0.12645 3.5246
                                                        Unconstr
## CA.2013:units.Weight-Weight 0.12232
                                       0.03057 4.0009
                                                        Positive
  ______
## Fixed effects:
     Trait
                Effect Estimate Std.Error t.value
## 1 Yield (Intercept)
                        16.4243
                                  0.7891
                                          20.815
## 2 Weight (Intercept)
                         0.9866
                                  0.1683
                                           5.863
## 3 Yield EnvCA.2012
                       -5.7339
                                  0.8266
                                          -6.937
## 4 Weight
            EnvCA.2012
                        -1.1998
                                  0.1698
                                          -7.066
                                          -7.209
## 5 Yield
            EnvCA.2013
                        -6.3128
                                  0.8757
                                          -7.114
## 6 Weight
            EnvCA.2013
                        -1.3621
                                  0.1915
  Groups and observations:
##
               Yield Weight
## u:Name
                  41
                         41
## CA.2011:Name
                  41
                         41
## CA.2012:Name
                  41
                         41
## CA.2013:Name
## Use the '$' sign to access results and parameters
```

6) Multivariate unstructured variance models

This is just an extension of the univariate unstructured variance models but at the multivariate level. This would be a US multivariate model:

```
data(DT_example)
head(DT)
```

```
##
                               Env Loc Year
                                                Block Yield
                     Name
                                                                Weight
                                                             -1.904711
## 33
       Manistee (MSL292-A) CA.2013
                                    CA 2013 CA.2013.1
               C002024-9W CA.2013
## 65
                                    CA 2013 CA.2013.1
                                                           5 -1.446958
##
  66
       Manistee (MSL292-A) CA.2013
                                    CA 2013 CA.2013.2
                                                           5 -1.516271
                 MSL007-B CA.2011
##
  67
                                    CA 2011 CA.2011.2
                                                           5 -1.435510
## 68
                MSR169-8Y CA.2013
                                    CA 2013 CA.2013.1
                                                           5 -1.469051
               AC05153-1W CA.2013 CA 2013 CA.2013.1
                                                           6 -1.307167
## 103
```

```
DT$EnvName <- paste(DT$Env,DT$Name)</pre>
ans6 <- mmer(cbind(Yield, Weight) ~ Env,
               random= ~ vs(us(Env), Name),
               rcov= ~ vs(ds(Env), units),
               data=DT)
## iteration
                  LogLik
                              wall
                                       cpu(sec)
                                                   restrained
##
       1
               53.5859
                           20:45:58
                                          1
                                                       0
##
       2
                                         3
                                                       0
               140.385
                           20:46:0
##
       3
               176.108
                          20:46:1
                                         4
                                                       0
##
       4
               181.454
                           20:46:2
                                         5
                                                       0
       5
                                         7
##
               181.696
                          20:46:4
                                                       0
##
       6
               181.755
                           20:46:5
##
       7
               181.777
                                         9
                                                       0
                           20:46:6
##
               181.787
                           20:46:8
                                         11
##
       9
               181.791
                           20:46:9
                                         12
##
       10
                181.793
                           20:46:10
                                           13
##
       11
                181.794
                           20:46:11
                                           14
                                                          0
       12
                181.795
                            20:46:13
                                           16
                                                          0
summary(ans6)
```

```
##
              Multivariate Linear Mixed Model fit by REML
  _____
                               BIC Method Converge
         logLik
                      AIC
## Value 181.7947 -351.5895 -328.1085
                                            TRUE
                                      NR.
  ______
## Variance-Covariance components:
##
                                  VarComp VarCompSE Zratio Constraint
## CA.2011:Name.Yield-Yield
                                  15.6405
                                            5.35495 2.921
                                                           Positive
## CA.2011:Name.Yield-Weight
                                   3.3577
                                            1.14603
                                                    2.930
                                                           Unconstr
## CA.2011:Name.Weight-Weight
                                   0.7180
                                           0.24867 2.887
                                                           Positive
## CA.2012:CA.2011:Name.Yield-Yield
                                   6.5289
                                            2.48598 2.626
                                                           Unconstr
## CA.2012:CA.2011:Name.Yield-Weight
                                   1.3505
                                            0.52388
                                                    2.578
                                                           Unconstr
## CA.2012:CA.2011:Name.Weight-Weight
                                   0.2842
                                            0.11259
                                                    2.524
                                                           Unconstr
## CA.2012:Name.Yield-Yield
                                   4.7896
                                            1.86200 2.572
                                                           Positive
## CA.2012:Name.Yield-Weight
                                   0.8641
                                            0.38382
                                                    2.251
                                                           Unconstr
## CA.2012:Name.Weight-Weight
                                   0.1693
                                            0.08355
                                                    2.027
                                                           Positive
## CA.2013:CA.2011:Name.Yield-Yield
                                   5.9941
                                            2.93825
                                                    2.040
                                                           Unconstr
## CA.2013:CA.2011:Name.Yield-Weight
                                   1.4235
                                            0.64974 2.191
                                                           Unconstr
## CA.2013:CA.2011:Name.Weight-Weight
                                            0.14681
                                                    2.302
                                                           Unconstr
                                   0.3379
## CA.2013:CA.2012:Name.Yield-Yield
                                   2.0970
                                                    1.456
                                                           Unconstr
                                            1.44043
                                                           Unconstr
## CA.2013:CA.2012:Name.Yield-Weight
                                   0.5232
                                            0.32355
                                                    1.617
## CA.2013:CA.2012:Name.Weight-Weight
                                            0.07571 1.769
                                                           Unconstr
                                   0.1339
## CA.2013:Name.Yield-Yield
                                   8.6264
                                            2.47808 3.481
                                                           Positive
## CA.2013:Name.Yield-Weight
                                   2.1046
                                            0.58737
                                                    3.583
                                                           Unconstr
                                                           Positive
## CA.2013:Name.Weight-Weight
                                   0.5124
                                            0.14279 3.588
## CA.2011:units.Yield-Yield
                                   4.9516
                                            1.52693 3.243
                                                           Positive
## CA.2011:units.Yield-Weight
                                                    3.095
                                   0.9993
                                            0.32286
                                                           Unconstr
## CA.2011:units.Weight-Weight
                                   0.2411
                                            0.07432
                                                    3.244
                                                           Positive
## CA.2012:units.Yield-Yield
                                   5.7783
                                            1.32398
                                                    4.364
                                                           Positive
## CA.2012:units.Yield-Weight
                                   1.2912
                                            0.30401 4.247
                                                           Unconstr
```

```
## CA.2012:units.Weight-Weight
                                              4.367
                               0.3211
                                       0.07354
                                                     Positive
## CA.2013:units.Yield-Yield
                               2.5567
                                       0.63882
                                              4.002
                                                     Positive
## CA.2013:units.Yield-Weight
                               0.4452
                                       0.12631
                                              3.524
                                                     Unconstr
## CA.2013:units.Weight-Weight
                               0.1223
                                       0.03056
                                              4.001
                                                     Positive
  ______
## Fixed effects:
    Trait
             Effect Estimate Std.Error t.value
                             0.8252
## 1 Yield (Intercept)
                   16.3339
                                   19.793
## 2 Weight (Intercept)
                     0.9677
                             0.1770
                                    5.467
## 3 Yield EnvCA.2012
                   -5.6635
                             0.7447
                                   -7.605
## 4 Weight EnvCA.2012 -1.1855
                             0.1604
                                   -7.391
          EnvCA.2013
                             0.8338
                                   -7.454
## 5 Yield
                    -6.2152
## 6 Weight EnvCA.2013 -1.3406
                             0.1805
                                   -7.426
## Groups and observations:
##
                   Yield Weight
## CA.2011:Name
                      41
                           41
## CA.2012:CA.2011:Name
                      82
                           82
## CA.2012:Name
                           41
                      41
## CA.2013:CA.2011:Name
                      82
                           82
## CA.2013:CA.2012:Name
                      82
                           82
## CA.2013:Name
## Use the '$' sign to access results and parameters
```

Any number of random effects can be specified with different structures.

7) Details on special functions for variance models

the major vs() function for special variance models and its auxiliars

The sommer function vs() allows to construct complex variance models that are passed to the mmer() function it constitutes one of the most important features of the sommer package. Its specification of the vs() function has the form:

```
random=~vs(..., Gu, Gt, Gtc)
```

The idea is that the vs() function reflects the special variance structure that each random effect could have in the matrix notation:

$$var(u) = T \bigotimes E \bigotimes ... \bigotimes A$$

where the ... argument in the vs() function is used to specify the kronecker products from all matrices that form the variance for the random effect , where the auxiliar function ds(), us(), cs(), at(), can be used to define such structure variance structure. The idea is that a variance model for a random effect x (i.e. individuals) might require a more flexible model than just:

random=~x

For example, if individuals are tested in different time-points and environment, we can assume a different variance and covariance components among the individuals in the different environment-timepoint combinations. An example of variance structure of the type:

$$var(u) = T \bigotimes E \bigotimes S \bigotimes A$$

would be specified in the vs() function as:

random=~vs(us(e),us(s),x, Gu=A, Gtc=T)

where the e would be a column vector in a data frame for the environments, s a column vector in the dataframe for the time points, s is the vector in the datame for the identifier of individuals, s is a known square variance covariance matrix among individuals (usually an identity matrix; default if not specified), and s is a square matrices with as many rows and columns as the number of traits that specifyies the trait covariance structure.

The auxiliar function to build the variance models for the random effect are: + ds() diagonal covariance structure + us() unstructured covariance + at() specific levels heterogeneous variance structure + cs() customized covariance structure

ds() to specify a diagonal (DIAG) covariance structures

A diagonal covariance structure looks like this:

$$\boldsymbol{\Sigma} = \left[\begin{array}{ccc} \sigma_{g_{e1,e1}}^2 & 0 & 0 \\ \vdots & \ddots & \vdots \\ 0 & 0 & \sigma_{g_{ei,ei}}^2 \end{array} \right]$$

Considering an example for one random effect (g; indicating i.e. individuals) evaluated in different treatment levels (e; indicating i.e. the different treatments) the model would look like:

random=~vs(ds(e),g)

us() to specify an unstructured (US) covariance

A unstructured covariance looks like this:

$$\mathbf{G} = \left[\begin{array}{ccc} \sigma_{g_{e1,e1}}^2 & \sigma_{g_{e1,e2}} & \sigma_{g_{e1,e3}} \\ \sigma_{g_{e2,e1}} & \sigma_{g_{e2,e2}}^2 & \sigma_{g_{e2,e3}} \\ \sigma_{g_{e3,e1}} & \sigma_{g_{e3,e2}} & \sigma_{g_{e3,e3}}^2 \end{array} \right]$$

Considering same example for one random effect (g; indicating i.e. individuals) evaluated in different treatment levels (e; indicating i.e. the different treatments) the model would look like:

random=~vs(us(e),g)

at() to specify a level-specific heterogeneous variance

A diagonal covariance structure for specific levels of the second random effect looks like this:

$$\boldsymbol{\Sigma} = \left[\begin{array}{ccc} \sigma_{g_{e1,e1}}^2 & 0 & 0 \\ \vdots & \ddots & \vdots \\ 0 & 0 & \sigma_{g_{ei,ei}}^2 \end{array} \right]$$

Considering same example for one random effect (g; indicating i.e. individuals) evaluated in different treatment levels (e; indicating i.e. the different treatments A,B,C) the model would look like:

```
random=~vs(at(e,c("A","B")),g)
```

where the variance component for g is only fitted at levels A and B.

cs() to specify a level-specific variance-covariance structure

A customized covariance structure for specific levels of the second random effect (variance and covariances) looks i.e. like this:

$$oldsymbol{\Sigma} = \left[egin{array}{ccc} \sigma_{g_{e1,e1}}^2 & \sigma_{g_{e1,e2}} & 0 \ dots & \ddots & dots \ 0 & 0 & \sigma_{g_{ei,ei}}^2 \end{array}
ight]$$

Considering same example for one random effect (g; indicating i.e. individuals) evaluated in different treatment levels (e; indicating i.e. the different treatments A,B,C) the model would look like:

```
random=~vs(cs(e,mm),g)
```

where mm indicates which variance and covariance components are estimated for g.

8) The specification of constraints in the variance components (Gtc argument)

One of the major strengths of sommer is its extreme flexibility to specify variance-covariance structures in the multi-trait framework. Since sommer 3.7 this is easily achieved by the use of the vs() function and it's argument Gtc. The Gtc argument expects a matrix of constraints for the variance-covariance components for the random effect filled with numbers according to the following rules:

0: parameter not to be estimated 1: estimated and constrained to be positive 2: estimated and unconstrained 3: not to be estimated but fixed value provided in Gt

Some useful function to specify quickly the contraint matrices are unsm() for unstructured, uncm for unconstrained, fixm() for fixed constraint, and fcm() for fixed effect constrains.

Consider a multi-trait model with 4 traits (y_1, \dots, y_4) and 1 random effects (u) and 1 fixed effect (x)

```
fixed=cbind(y1,y2,y3,y4)~x
```

```
random= ~vs(u, Gtc=?)
```

The constraint for the 4 x 4 matrix of variance covariance components to be estimated can be an:

a) unstructured (variance components have to be positive and covariances either positive or negative) random= ~vs(u, Gtc=unsm(4))

unsm(4)

```
[,1] [,2] [,3] [,4]
## [1,]
                  2
                        2
            1
                              2
## [2,]
            2
                        2
                  1
            2
                  2
                              2
## [3,]
                        1
## [4,]
```

b) unconstrained (any component variance or covariance can be positive or negative) random= ~vs(u, Gtc=uncm(4))

uncm(4)

```
## [,1] [,2] [,3] [,4]
## [1,] 2 2 2 2
## [2,] 2 2 2 2
## [3,] 2 2 2 2
## [4,] 2 2 2 2
```

c) fixed (variance or covariance components indicated with a 3 are considered fixed and values are provided in the Gt argument) random= ~vs(u, Gtc=fixm(4), Gt=mm)

```
fixm(4)
```

```
##
         [,1] [,2] [,3] [,4]
## [1,]
                  3
                        3
## [2,]
                        3
                              3
             3
                  3
## [3,]
             3
                  3
                        3
                              3
             3
                              3
## [4,]
                  3
                        3
```

where mm is a 4 x 4 matrix with initial values for the variance components.

d) constraints for fixed effects fixed= cbind(y1,y2,y3,y4)~vs(x, Gtc=fcm(c(1,0,1,0)))

```
fcm(c(1,0,1,0))
```

```
## [,1] [,2]

## [1,] 1 0

## [2,] 0 0

## [3,] 0 1

## [4,] 0 0
```

where 1's and 0's indicate the traits where the fixed effect will be estimated (1's) and where it won't (0's).

9) Special functions for special models

Random regression models

In order to fit random regression models the user can use the leg() function to fit Legendre polynomials. This can be combined with other special covariance structures such as ds(), us(), etc.

```
library(orthopolynom)
```

```
## Loading required package: polynom
```

```
data(DT_legendre)
head(DT)
```

```
SUBJECT X
##
                           Y Xf
## 1.1
             1 1 -0.7432795
## 2.1
             2 1 -0.6669945
## 3.1
             3 1 -4.2802751
                  4.1092149
## 4.1
             4 1
## 5.1
             5 1 -3.0317213
## 6.1
             6 1
                 1.3506577
```

```
mRR2<-mmer(Y~ 1 + Xf
    , random=~ vs(us(leg(X,1)),SUBJECT)
    , rcov=~vs(units)
    , data=DT)</pre>
```

```
iteration
                  LogLik
                               wall
                                        cpu(sec)
                                                    restrained
##
                -145.279
                            20:46:13
                                                          0
        1
                                            0
                                                          0
##
        2
                -138.353
                            20:46:13
                                            0
        3
                                                          0
##
                -136.403
                            20:46:14
                                            1
##
        4
                -136.224
                            20:46:14
                                            1
                                                          0
        5
                -136.222
                            20:46:14
                                                          0
##
                                            1
                -136.222
                                                          0
##
        6
                            20:46:14
                                            1
```

summary(mRR2)\$varcomp

```
## VarComp VarCompSE Zratio Constraint
## leg0:SUBJECT.Y-Y 2.5782969 0.6717242 3.838326 Positive
## leg1:leg0:SUBJECT.Y-Y 0.4765431 0.2394975 1.989763 Unconstr
## leg1:SUBJECT.Y-Y 0.3497299 0.2183229 1.601893 Positive
## u:units.Y-Y 2.6912226 0.3825197 7.035513 Positive
```

Here, a numeric covariate X is used to explain the trajectory of the SUBJECT's and combined with an unstructured covariance matrix. The details can be found in the theory.

GWAS models

##

Although genome wide association studies can be conducted through a variety of approaches, the use of mixed models to find association between markers and phenotypes still one of the most popular approaches. Two of the most classical and popular approaches is to test marker by marker trough mixed modeling (1 model by marker) to obtain the marker effect and an statistic reflecting the level of association usually provided as the -log10 p-value. The second most popular approach is to assume that the genetic variance component is similar for all markers and therefore the variance components are only estimated once (1 model for all markers) and use the inverse of the phenotypic variance matrix (V.inverse) to test all markers in the generalized linear model b=(XV-X)-XV-y. This makes the GWAS much faster and efficient without major loses. Given the straight forward extension, sommer provides the GWAS function which can fit both type of approaches (be aware that these are 2 among many existant in the literature) in univariate and multivariate models, that way genetically correlated traits can be tested together to increase the power of detection.

Here we show a simple GWAS model for an univariate example.

```
data(DT_cpdata)
#### create the variance-covariance matrix
A <- A.mat(GT) # additive relationship matrix
#### look at the data and fit the model
head(DT.3)
          id Row Col Year
##
                                color
                                       Yield FruitAver Firmness Rowf Colf
                    1 2014 0.10075269 154.67
## P003 P003
               3
                                                  41.93
                                                          588.917
                                                                     3
                                                                           1
## P004 P004
               4
                    1 2014 0.13891940 186.77
                                                  58.79
                                                          640.031
                                                                     4
                                                                           1
## P005 P005
               5
                    1 2014 0.08681502 80.21
                                                  48.16
                                                          671.523
                                                                     5
                                                                           1
head (MP, 3)
##
                    Locus Position Chrom
## 1
      scaffold_77830_839
                                 0
                                        1
      scaffold_39187_895
                                 0
                                        1
## 3 scaffold_50439_2379
                                 0
                                        1
GT[1:3,1:4]
```

scaffold_50439_2381 scaffold_39344_153 uneak_3436043 uneak_2632033

```
## P003
                              0
                                                                                     1
## P004
                              0
                                                    0
                                                                    0
                                                                                     1
## P005
                                                   -1
                                                                                     1
mix1 <- GWAS(color~1,
               random=~vs(id,Gu=A)
               + Rowf + Colf,
               rcov=~units,
               data=DT,
               M=GT, gTerm = "u:id")
## iteration
                  LogLik
                               wall
                                         cpu(sec)
                                                     restrained
##
        1
                -143.207
                             20:46:15
                                             0
                                                           0
##
        2
                -117.977
                             20:46:16
                                                           0
                                             1
        3
##
                -109.877
                             20:46:16
                                             1
                                                           1
##
        4
                -108.178
                             20:46:16
                                                           1
                                             1
##
        5
                -108.123
                             20:46:17
                                             2
                                                           1
##
        6
                -108.12
                            20:46:17
                                            2
                                                          1
##
        7
                -108.12
                            20:46:18
                                            3
                                                          1
## Performing GWAS evaluation
ms <- as.data.frame(t(mix1$scores))</pre>
ms$Locus <- rownames(ms)</pre>
MP2 <- merge(MP,ms,by="Locus",all.x = TRUE);</pre>
manhattan(MP2, pch=20,cex=.5, PVCN = "color score")
                                                                                FDR(0.05)=3.45
       10
        8
-log<sub>10</sub>(p.value)
        6
        4
        2
        0
                  Chr1
                          Chr2
                                Chr3
                                                                                 Chr11
                                                                                        Chr12
```

Be aware that the marker matrix M has to be imputed (no missing data allowed) and make sure that the number of rows in the M matrix is equivalent to the levels of the gTerm specified (i.e. if the gTerm is "id" and has 300 levels or in other words 300 individuals, then M has dimensions 300 x p, being p the number of markers).

Chromosome

Overlayed models [the overlay() function]

Another very useful function is the overlay function, which allows to overlay matrices of different random effects and estimate a single variance component for the overlayed terms.

```
data("DT_halfdiallel")
head(DT)
##
     rep geno male female
                                 sugar
## 1
            12
                          2 13.950509
       1
                   1
## 2
       2
            12
                   1
                            9.756918
## 3
       1
            13
                   1
                          3 13.906355
## 4
       2
            13
                  1
                             9.119455
## 5
       1
            14
                   1
                             5.174483
## 6
       2
            14
                   1
                             8.452221
DT$femalef <- as.factor(DT$female)</pre>
DT$malef <- as.factor(DT$male)</pre>
DT$genof <- as.factor(DT$geno)
#### model using overlay
modh <- mmer(sugar~1,</pre>
              random=~vs(overlay(femalef,malef))
              + genof,
              data=DT)
## iteration
                              wall
                                       cpu(sec)
                                                   restrained
                 LogLik
##
       1
               -10.425
                          20:46:36
                                          0
                                                       0
##
       2
               -6.487
                         20:46:36
                                         0
                                                      0
                                         0
                                                      0
##
       3
               -5.732
                         20:46:36
##
       4
               -5.67494
                           20:46:36
                                           0
                                                         0
##
               -5.67441
                           20:46:36
```

here the femalef and malef random effects are overlayed becoming a single random effect that has the same variance component.

Spatial models (using the 2-dimensional spline)

We will use the CPdata to show the use of 2-dimensional splines for accommodating spatial effects in field experiments. In early generation variety trials the availability of seed is low, which makes the use of unreplicated design a necessity more than anything else. Experimental designs such as augmented designs and partially-replicated (p-rep) designs become every day more common this days.

In order to do a good job modeling the spatial trends happening in the field special covariance structures have been proposed to accommodate such spatial trends (i.e. autoregressive residuals; ar1). Unfortunately, some of these covariance structures make the modeling rather unstable. More recently other research groups have proposed the use of 2-dimensional splines to overcome such issues and have a more robust modeling of the spatial terms (Lee et al. 2013; Rodríguez-Álvarez et al. 2018).

In this example we assume an unreplicated population where row and range information is available which allows us to fit a 2 dimensional spline model.

```
vs(Rowf) +
           vs(Colf) +
           vs(spl2D(Row,Col)),
         rcov=~vs(units),
         data=DT)
## iteration
            LogLik
                     wall
                            cpu(sec)
                                    restrained
##
     1
           -154.198
                   20:46:37
                               1
     2
                                        0
##
           -152.064
                   20:46:37
                               1
##
     3
           -151.265
                   20:46:37
                              1
                                        0
##
           -151.202
                   20:46:38
                               2
                                        0
     5
           -151.201
                   20:46:38
                              2
                                        0
##
summary(mix)
  ______
##
         Multivariate Linear Mixed Model fit by REML
## ************* sommer 3.8 ************
##
         logLik
                  AIC
                          BIC Method Converge
## Value -151.2011 304.4021 308.2938
     _____
## Variance-Covariance components:
##
                  VarComp VarCompSE Zratio Constraint
## u:id.Yield-Yield
                   783.4
                           319.3 2.4536
                                       Positive
## u:Rowf.Yield-Yield
                   814.7
                           390.5 2.0863
                                       Positive
## u:Colf.Yield-Yield
                   182.2
                           129.7 1.4053
                                       Positive
                           694.7 0.7393
## u:Row.Yield-Yield
                   513.6
                                       Positive
## u:units.Yield-Yield 2922.6
                           294.1 9.9368
                                       Positive
## Fixed effects:
   Trait
            Effect Estimate Std.Error t.value
## 1 Yield (Intercept)
                  132.1
                            8.791
                                  15.03
## Groups and observations:
##
       Yield
## u:id
         363
## u:Rowf
          13
## u:Colf
          36
## u:Row
         168
## Use the '$' sign to access results and parameters
```

Notice that the job is done by the spl2D() function that takes the Row and Col information to fit a spatial kernel.

Customized random effects

One of the most powerful features of sommer is the ability to provide any customized matrix and estimate any random effect. For example:

```
data(DT_cpdata)
GT[1:4,1:4]
```

```
##
        scaffold_50439_2381 scaffold_39344_153 uneak_3436043 uneak_2632033
## P003
                                                                              1
                                                               0
## P004
                           0
                                                0
                                                               0
                                                                              1
## P005
                           0
                                                               0
                                                                              1
                                               -1
## P006
                                               -1
                                                                              0
#### look at the data and fit the model
mix1 <- mmer(Yield~1,
               random=~vs(list(GT)),
               rcov=~units,
               data=DT)
```

##	iteration	LogLik	wall	cpu(sec)	restrained
##	1	-286.365	20:46:39	0	0
##	2	-236.78	20:46:40	1	0
##	3	-200.635	20:46:40	1	0
##	4	-180.045	20:46:40	1	0
##	5	-176.4	20:46:40	1	0
##	6	-176.211	20:46:41	2	0
##	7	-176.207	20:46:41	2	0
##	8	-176.207	20:46:41	2	0

the matrix GT is provided as a random effect by encapsulating the matrix in a list and provided in the vs() function.

10) Final remarks

Keep in mind that sommer uses direct inversion (DI) algorithm which can be very slow for large datasets. The package is focused in problems of the type p > n (more random effect levels than observations) and models with dense covariance structures. For example, for experiment with dense covariance structures with low-replication (i.e. 2000 records from 1000 individuals replicated twice with a covariance structure of 1000×1000) sommer will be faster than MME-based software. Also for genomic problems with large number of random effect levels, i.e. 300 individuals (n) with 100,000 genetic markers (p). For highly replicated trials with small covariance structures or n > p (i.e. 2000 records from 200 individuals replicated 10 times with covariance structure of 200×200) asreml or other MME-based algorithms will be much faster and we recommend you to opt for those software.

Literature

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