

Gauge fields in condensed matter physics

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Plan of this lecture

1. Introduction

Berry phase

Haldane problem in 1D antiferromagnet

2. Topological Hall effects

Quantum Hall effect, Anomalous Hall effect

Spin Hall effect, Hall effect of light

Magnon Hall effect

3. Topological materials

Topological insulators

Topological superconductors

Topological periodic table

4. Physics of non-collinear spin structures

Multiferroics

Spin textures

Skyrmions

Why topology matters ?

1. Gauge structure of electrons in solids

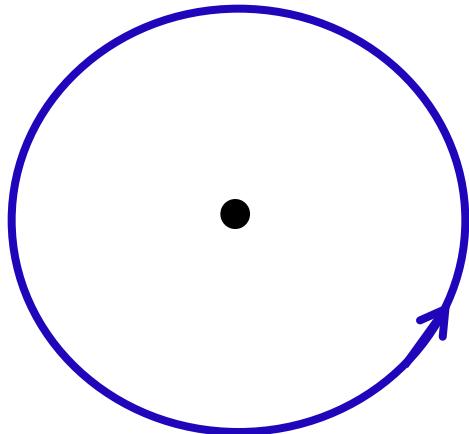
electron wavefunction is often “constrained” in sub-Hilbert space → connection and curvature

2. Two sources of “conservation law”

symmetry is related to conservation - Noether
topological index and quantum protectorate



Symmetry v.s. Topology



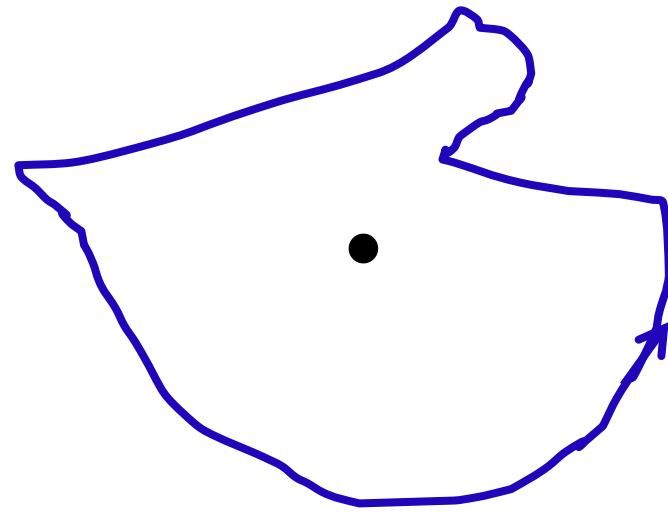
Rotational symmetry



Noether's theorem



Conservation of L_z



Winding number N_w



Connectivity of the loop



Conservation of N_w

Introduction

From Ryogo Kubo "Progress in Solid State Physics" 1962

Before "atomism"
-19th century

Mechanics elasticity
Electromagnetism Maxwell equation
Thermodynamics e.m. properties of materials
Crystallography Bravais (1848), space group
Optics gas/solution metallurgy

Atomism
Late 19th cen.

Statistical mechanics Maxwell, Boltzmann, Gibbs
electron (Lorentz) theory of metals
Puzzles : thermal radiation, Palmer series, specific heat

20th century
1900-1925

1905 **Special relativity**, 1915 **General relativity**
Planck (h) , Einstein (photon, specific heat) , Bohr (atom model)
Low temp. phys. Onnes (Liquid He 1908, Superconductivity 1911)
Laue, Bragg (X-ray crystallography 1912)
Born (Lattice dynamics 1915)

1925-1940

Quantum mechanics Schroedinger, Heisenberg
chemical bonds, metallic bonds
1927- Quantum field theory
1940 Seitz Modern Theory of Solids

1941-1945

World War II
Quantum electro-dynamics (Tomonaga, Feynman, Schwinger)

1945

Magnetic resonance

1947

Transistor

1953

Laser

1957

BCS, Kubo formula

1958

Anderson localization

1959

Super-exchange interaction, Anderson, Kanamori-Goodenough

1962

Josephson effect

1964

Kondo effect, DFT

1970-

Renormalization group critical phenomena

Synthetic metals polyacetylene soliton

Charge/spin density wave



Quantum Hall physics

High T_c SC

1980's

Quantum topology

Topological current
Berry phase

New state of matter
fractionalization

Nano
physics

1990's

AHE, SHE

Spin liquid

Cold atom

spectroscopy

Topological
insulator

Orbital
physics

Quantum
simulator

Nano
devices

present

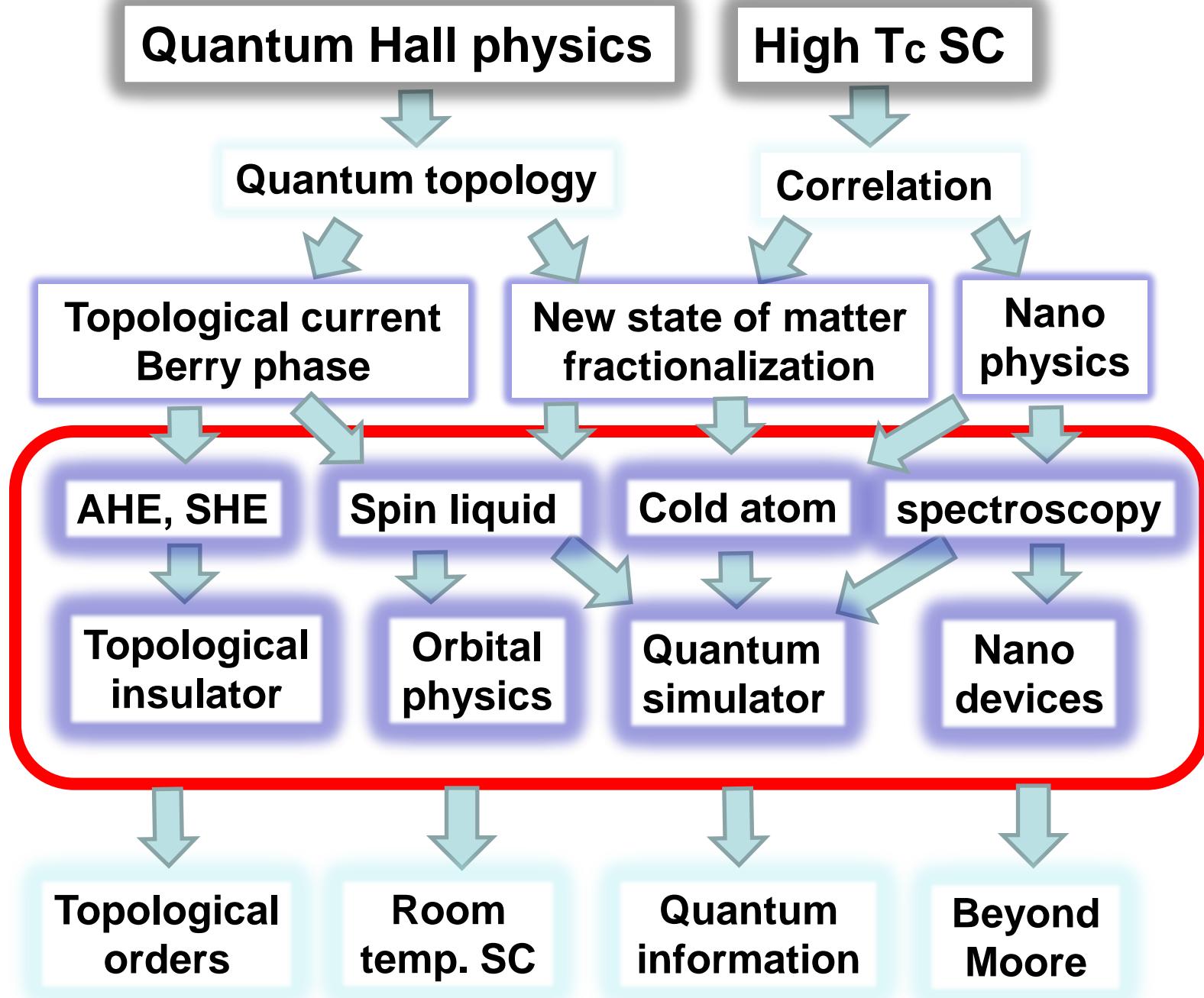
Topological
orders

Room
temp. SC

Quantum
information

Beyond
Moore

future



Berry Phase

Berry phase

M.V.Berry, Proc. R.Soc. Lond. A392, 45(1984)

$H(X)$ Hamiltonian,

$X = (X_1, X_2, \dots, X_n)$ Parameters \rightarrow adiabatic change

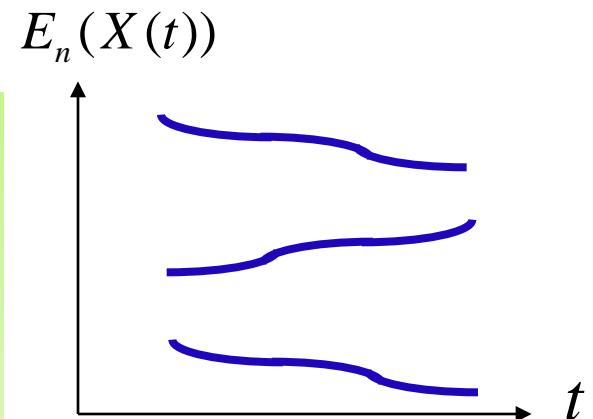
$$i\hbar\partial_t\psi(t) = H(X(t))\psi(t)$$

$$H(X)\phi_n(X) = E_n(X)\phi_n(X)$$

eigenvalue and eigenstate for each parameter set X

Transitions between eigenstates are forbidden during the adiabatic change

\rightarrow Projection to the sub-space of Hilbert space constrained quantum system



Berry phase

M.V.Berry, Proc. R.Soc. Lond. A392, 45(1984)

$$i\hbar\partial_t\psi(t) = H(X(t))\psi(t)$$

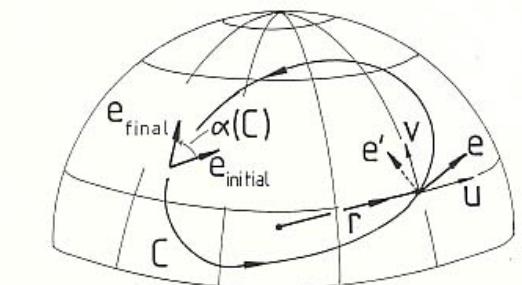
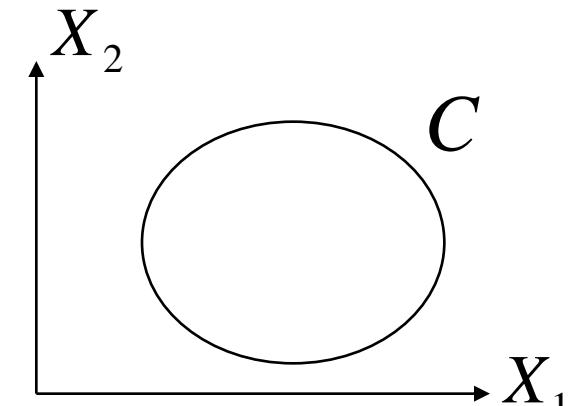
$$H(X)\phi_n(X) = E_n(X)\phi_n(X)$$

$$\psi(t) = e^{i\gamma_n(t)} e^{-(i/\hbar)\int_0^t dt' E_n(X(t'))} \phi_n(X(t))$$

→ $\frac{d\gamma_n(t)}{dt} = i \langle \phi_n(X(t)) | \frac{\partial \phi_n(X(t))}{\partial X} \rangle \cdot \frac{dX(t)}{dt}$

$$\psi(T) = e^{i\gamma_n(C)} e^{-(i/\hbar)\int_0^T dt E_n(X(t))} \psi(0)$$

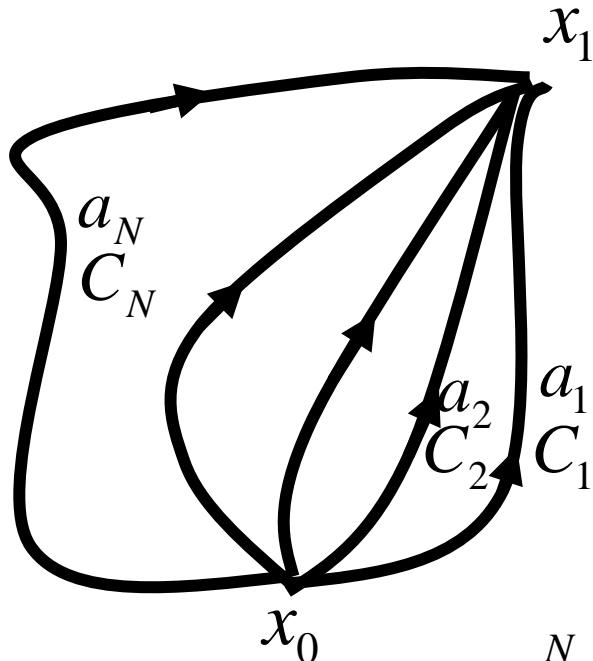
$$\begin{aligned} \gamma_n(C) &= i \oint_C dX \bullet \langle \phi_n(X) | \nabla_X \phi_n(X) \rangle \\ &= \oint_C dX \bullet A_n(X) = \iint dS \bullet B_n(X) \end{aligned}$$



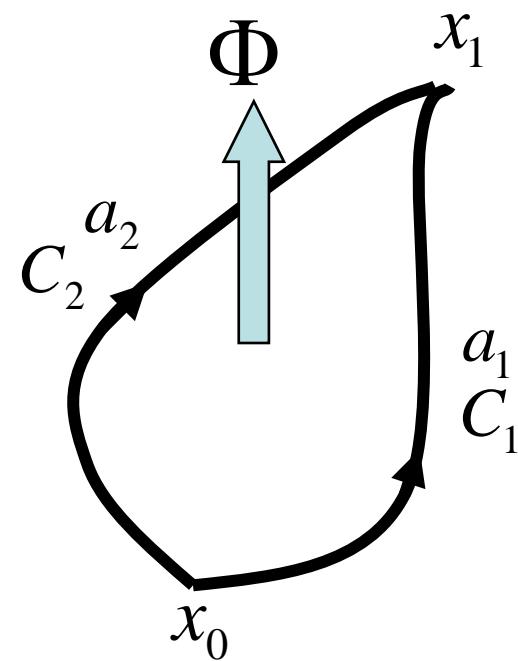
Berry Phase

Connection of the wave-function in the parameter space
→ Berry phase curvature

Path integral and Aharonov-Bohm effect



$$\text{Amplitude from A to B} = \sum_{j=1}^N a_j$$



$$a_1^* a_2 |_{\Phi} = a_1^* a_2 |_{\Phi=0} e^{ie\Phi/c\hbar}$$

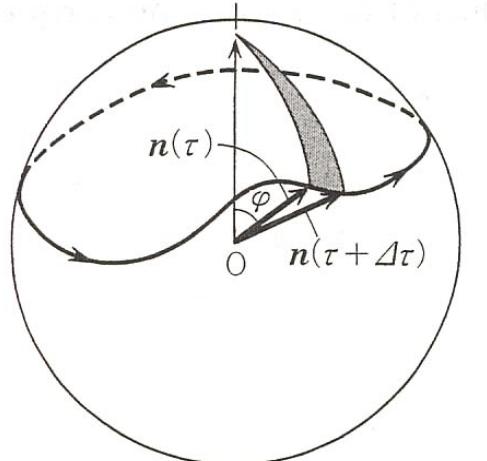
$r \Rightarrow r, k, X_1, X_2, \dots, X_n$

Generalized space

Berry Phase



Berry phase of 2x2 system - a spin



$$Z = \int D\vec{n}(\tau) \exp[-A(\{\vec{n}(\tau)\})]$$

$$|\vec{n}(\tau)\rangle = [\cos(\theta(\tau)/2), e^{i\phi(\tau)} \sin(\theta(\tau)/2)]$$

$$A = \int_0^\beta d\tau \left[\langle \vec{n}(\tau) | \frac{d}{d\tau} | \vec{n}(\tau) \rangle + \int_0^\beta d\tau \langle \vec{n}(\tau) | H | \vec{n}(\tau) \rangle \right]$$

$$A = iS \int_0^\beta d\tau (1 - \cos \theta(\tau)) \dot{\phi}(\tau) + \int_0^\beta d\tau H(\vec{n}(\tau))$$

$= iS\omega$ Berry phase

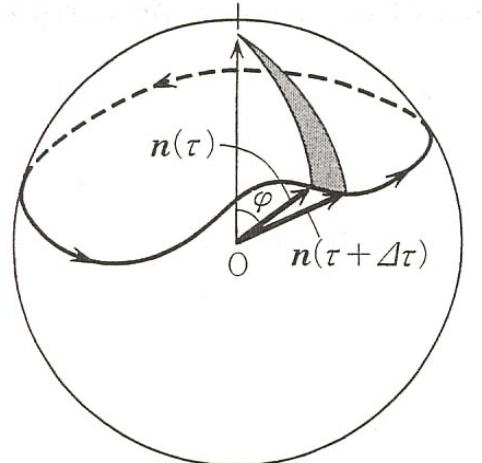
= solid angle enclosed by the path

$$\delta\omega = iS \int_0^\beta d\tau \vec{\delta n}(\tau) \cdot \left[\frac{d\vec{n}(\tau)}{d\tau} \times \vec{n}(\tau) \right]$$



$$S \frac{d\vec{n}(t)}{dt} = \vec{n}(t) \times \frac{\partial H(\vec{n}(t))}{\partial \vec{n}(t)}$$

Dirac Magnetic monopole



$$\vec{B}(\vec{n}) = S \frac{\vec{n}}{|\vec{n}|^3} = \nabla_{\vec{n}} \times \vec{A}(\vec{n}) \quad \text{Berry curvature}$$

$$\vec{A}_I(\vec{n}) = \left[\frac{S(1 - \cos \theta)}{n \sin \theta} \right] \hat{\phi} \quad \vec{A}_{II}(\vec{n}) = - \left[\frac{S(1 + \cos \theta)}{n \sin \theta} \right] \hat{\phi}$$

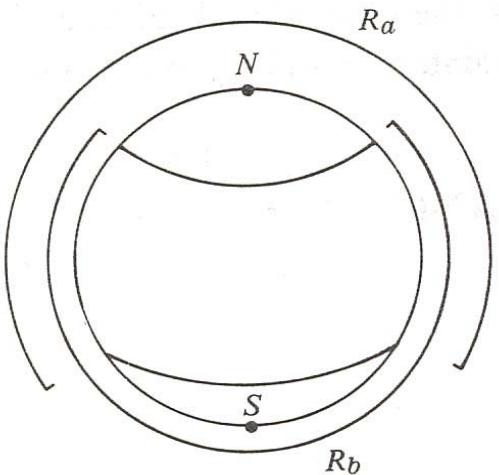
Berry connection

$$\vec{A}_{II}(\vec{n}) - \vec{A}_I(\vec{n}) = - \left[\frac{2S}{n \sin \theta} \right] \hat{\phi} = \nabla_{\vec{n}} \Lambda(\vec{n})$$

connected by gauge tr.

$$\Delta[\Lambda(\vec{n})]_C = 4\pi S = 2\pi \times \text{integer}$$

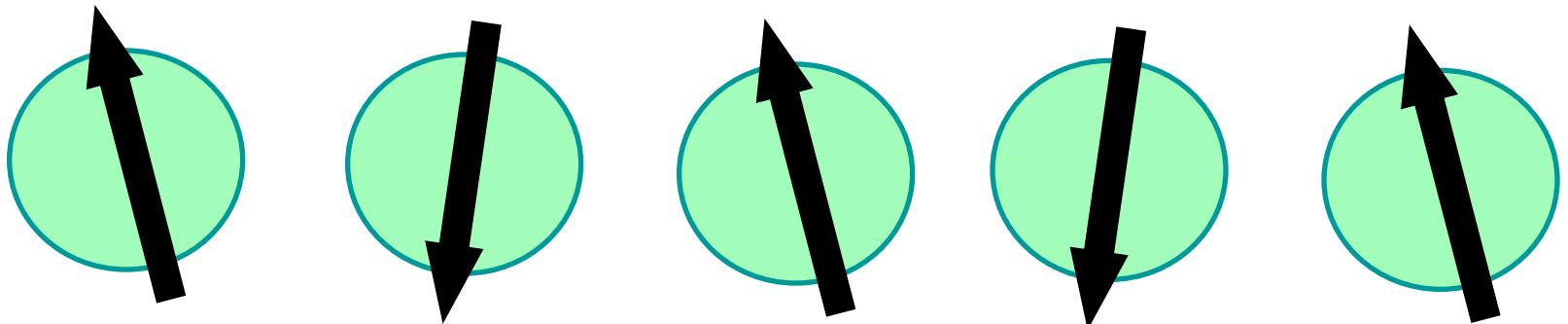
Dirac quantization condition



Yang-Wu construction

Haldane problem

1D quantum antiferromagnet - Haldane gap problem



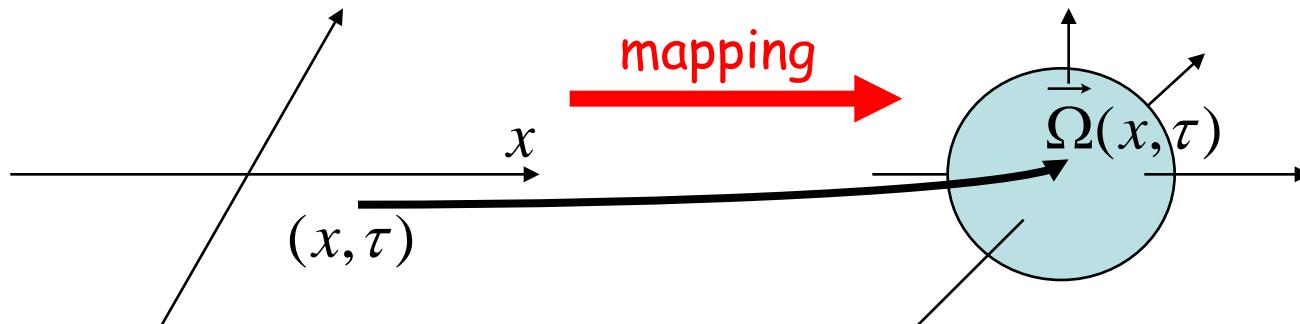
$$\vec{n}_i = (-1)^i \vec{\Omega}(x_i)$$

$$A_{Berry} = iS \sum_{i=1,2N} \omega(\vec{n}_i) = iS \sum_{i=1,2N} (-1)^i \omega(\vec{\Omega}(x_i)) = iS \sum_{k=1,N} [\omega(\vec{\Omega}(2ka)) - \omega(\vec{\Omega}((2k-1)a))]$$

$$= i \frac{S}{2} \int_0^\beta d\tau \int dx \frac{\partial \vec{\Omega}(x, \tau)}{\partial \tau} \times \vec{\Omega}(x, \tau) \cdot \frac{\partial \vec{\Omega}(x, \tau)}{\partial x} = 2\pi Q$$

Q : Skymion number

τ How many times the mapping wraps the unit sphere



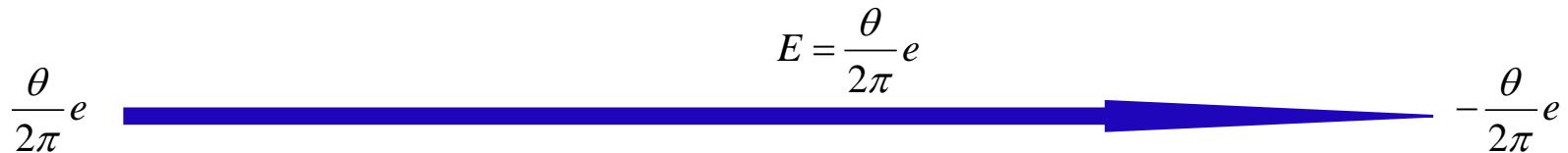
Gauge theory of 1D quantum antiferromagnet

$$A = i2\pi S Q + \int d\tau dx \frac{1}{g} |\partial_\mu \vec{\Omega}|^2 \quad \text{Non-linear sigma model}$$

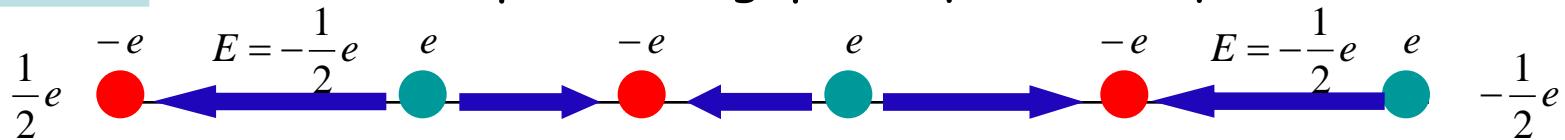
$$\vec{\Omega} = z^* \vec{\sigma} z \quad z = (z_\uparrow, z_\downarrow) \quad |z_\uparrow|^2 + |z_\downarrow|^2 = 1$$

$$L = i \frac{\theta}{2\pi} \epsilon^{\mu\nu} \partial_\mu a_\nu + \frac{1}{g} |(\partial_\mu - ia_\mu) z_\sigma|^2 \quad \text{1D QED with } \theta = 2\pi S$$

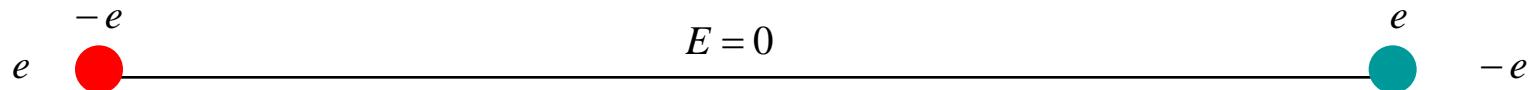
$$a_\mu = iz_\sigma^* \partial_\mu z_\sigma \quad \text{Emergent Gauge field}$$



$S = 1/2$ deconfined spinons \rightarrow gapless quantum liquid



$S = 1$ confined spinons \rightarrow gapful quantum liquid (Haldane gap)



Neutron Scattering Study of Magnetic Excitations
 in the Spin $S = 1$ One-Dimensional Heisenberg
 Antiferromagnet Y_2BaNiO_5

Takehiro SAKAGUCHI*, Kazuhisa KAKURAI, Tetsuya YOKOO¹ and Jun AKIMITSU¹

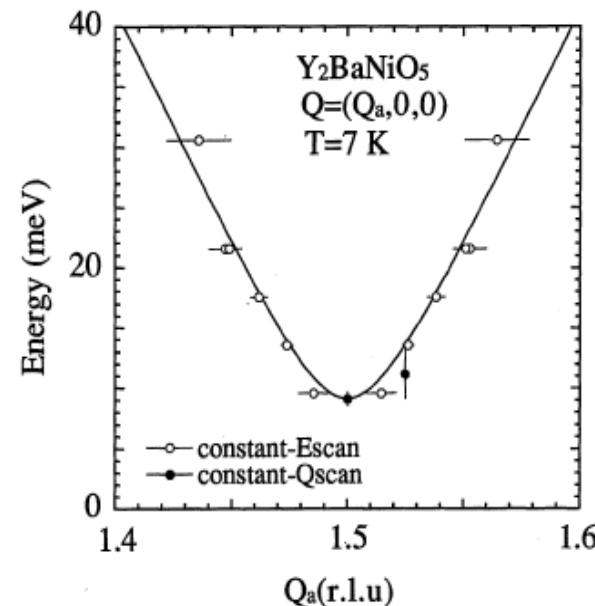
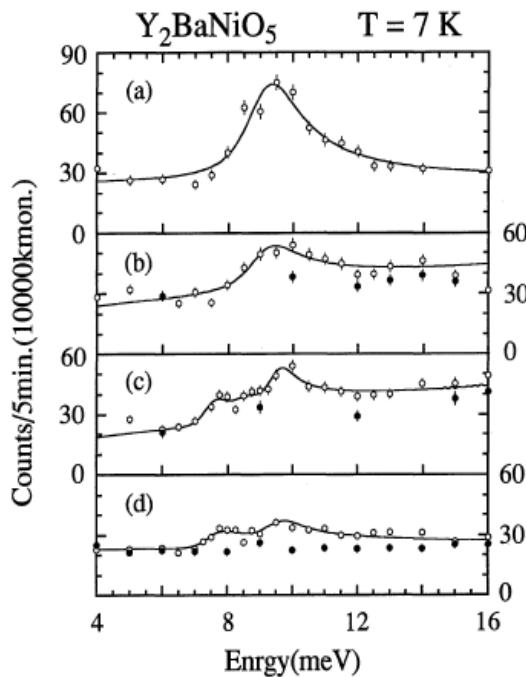
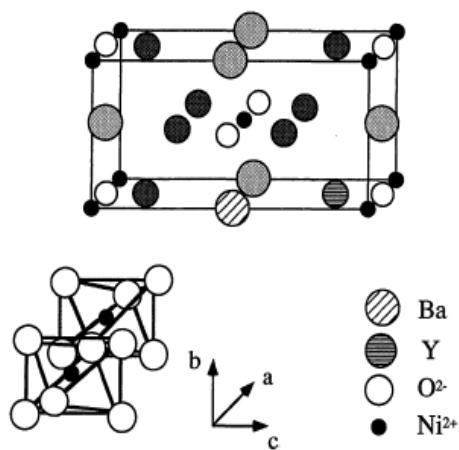
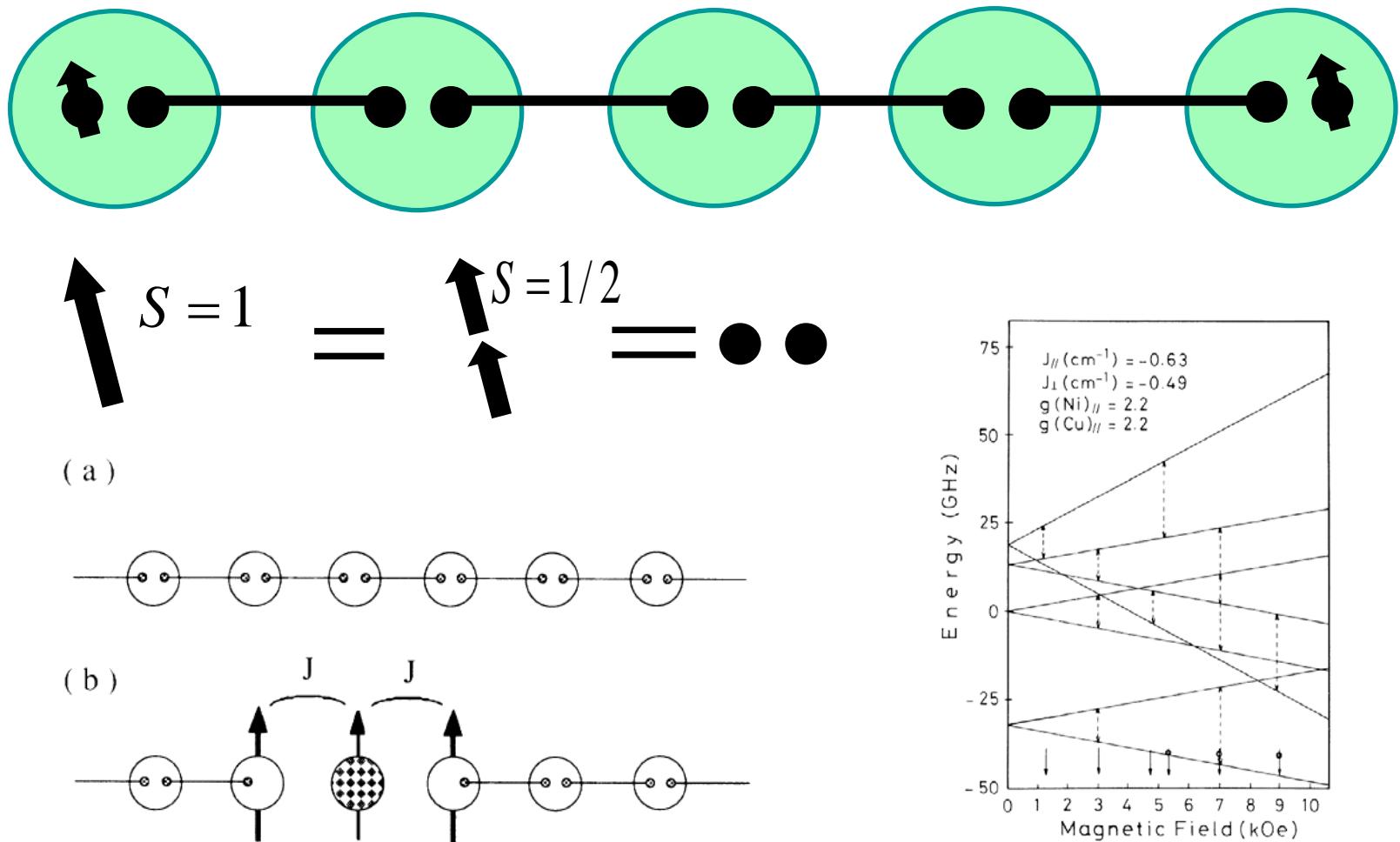


Fig. 6. Constant- Q scan at various wave vectors to observe the $\langle \widehat{S_Q^z} \widehat{S_{-Q}^z}(t) \rangle$ excitation at (a) $Q = (1.5, 0, 0)$, (b) $Q = (1.5, 0.835, 0)$, (c) $Q = (0.5, 1.1, 0)$ and (d) $Q = (0.5, 1.77, 0)$, represented by the open points. The closed points stand for the scans at (b) $Q = (1.65, 0.835, 0)$, (c) $Q = (0.65, 1.1, 0)$ and (d) $Q = (0.65, 1.77, 0)$, respectively. The solid lines in (b)–(d) represent least-square fits to three δ -like peaks convoluted with the instrumental resolution as described in the text. The samples were aligned with its a - and b -axis in the scattering plane.

$S=1/2$ spin at the edge of Haldane system



M. Hagiwara et al. 1990

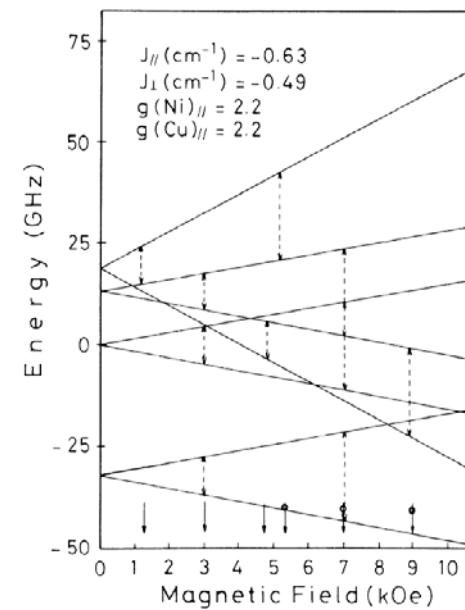
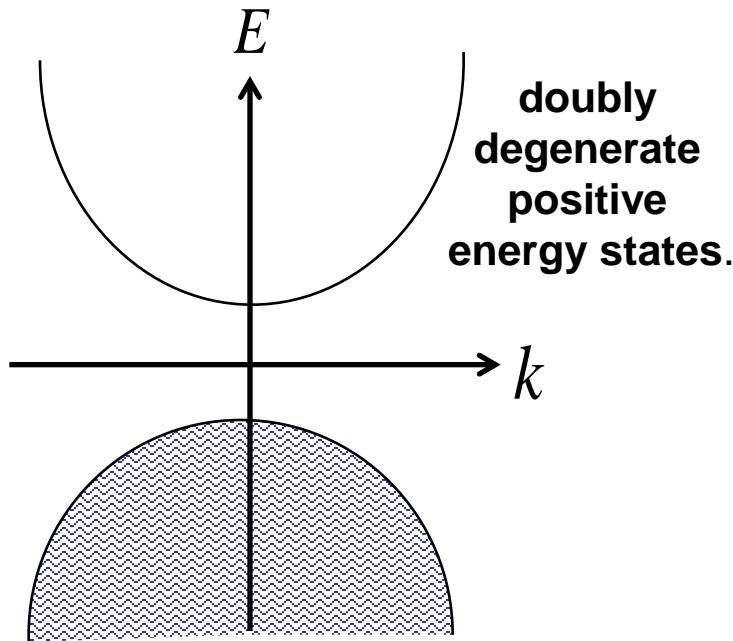


FIG. 4. ESR energy vs external magnetic-field diagram for the model shown in Fig. 1(b). The arrows show the experimental fields obtained at the frequency of 9.25 GHz and the arrows with circles those at 21.7 GHz. The broken arrows represent the theoretical transitions predicted for the frequencies of 9.25 and 21.7 GHz.

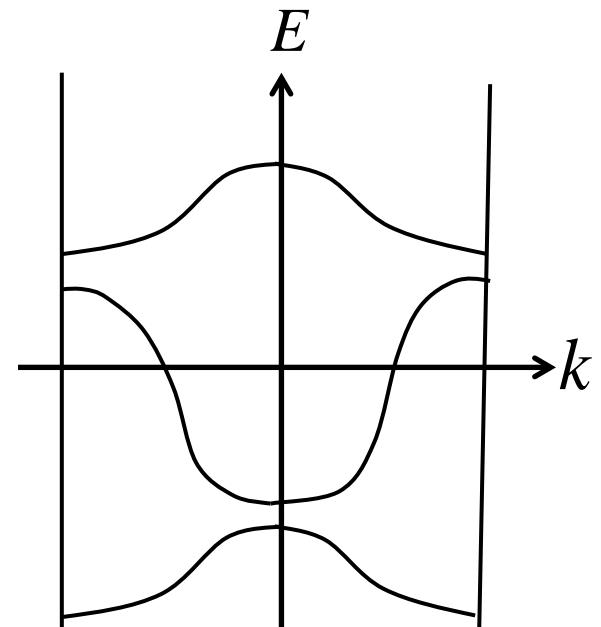
Topological Hall effects

Electrons with "constraint"



Dirac electrons

Projection onto positive energy state
→ Spin-orbit interaction
as $SU(2)$ gauge connection



Bloch electrons

Projection onto each band
→ Berry phase
of Bloch wavefunction

Berry Phase Curvature in k-space

$$\psi_{nk}(r) = e^{ikr} u_{nk}(r)$$

Bloch wavefunction

$$A_n(k) = -i \langle u_{nk} | \nabla_k | u_{nk} \rangle$$

Berry phase connection in k-space

$$x_i = r_i + A_n(k) = i\partial_{k_i} + A_n(k)$$

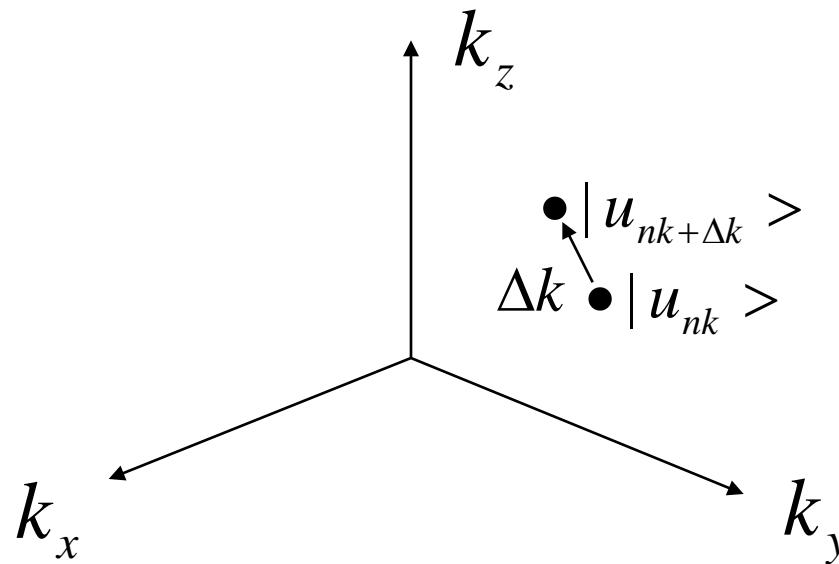
covariant derivative

$$[x, y] = i(\partial_{k_x} A_{ny}(k) - \partial_{k_y} A_{nx}(k)) = iB_{nz}(k)$$

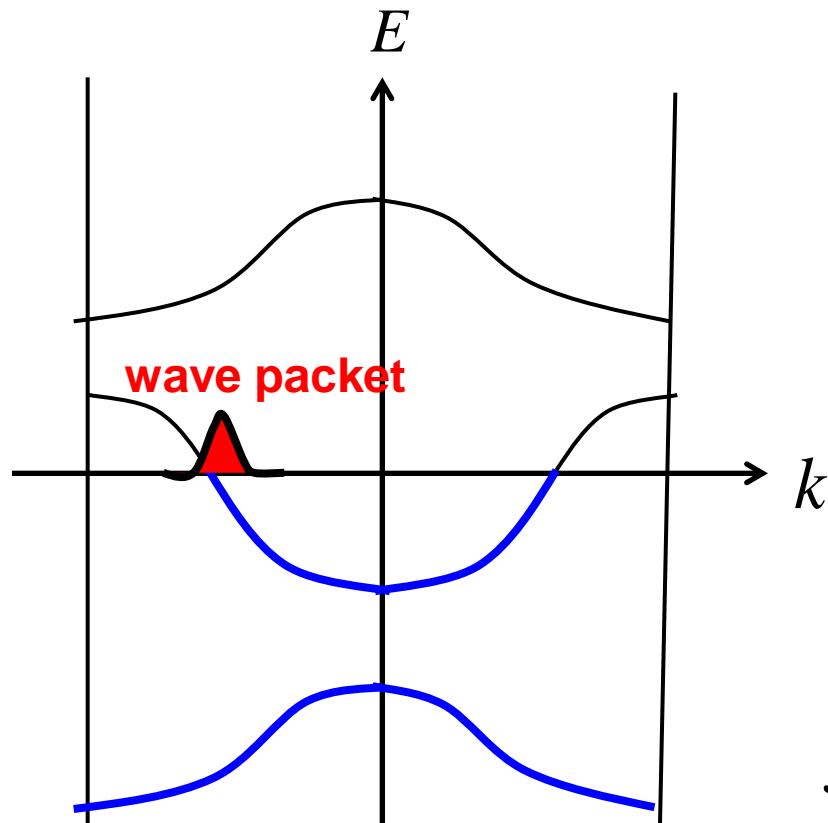
Curvature in k-space

$$\frac{dx(t)}{dt} = -i[x, H] = \frac{k_x}{m} - i[x, y] \frac{\partial V}{\partial y} = \frac{k_x}{m} + B_{nz}(k) \frac{\partial V}{\partial y}$$

Anomalous Velocity and
Anomalous Hall Effect



Electron Wavepacket Dynamics in solids



$$\frac{d \vec{r}(t)}{dt} = \frac{\partial \varepsilon_n(\vec{k})}{\partial \vec{k}} = \vec{v}_{nk} \quad \text{group velocity}$$

$$\frac{d \vec{k}(t)}{dt} = -\frac{\partial V(\vec{r})}{\partial \vec{r}} - \vec{B}(\vec{r}) \times \frac{d \vec{r}(t)}{dt}$$

Boltzmann transport equation

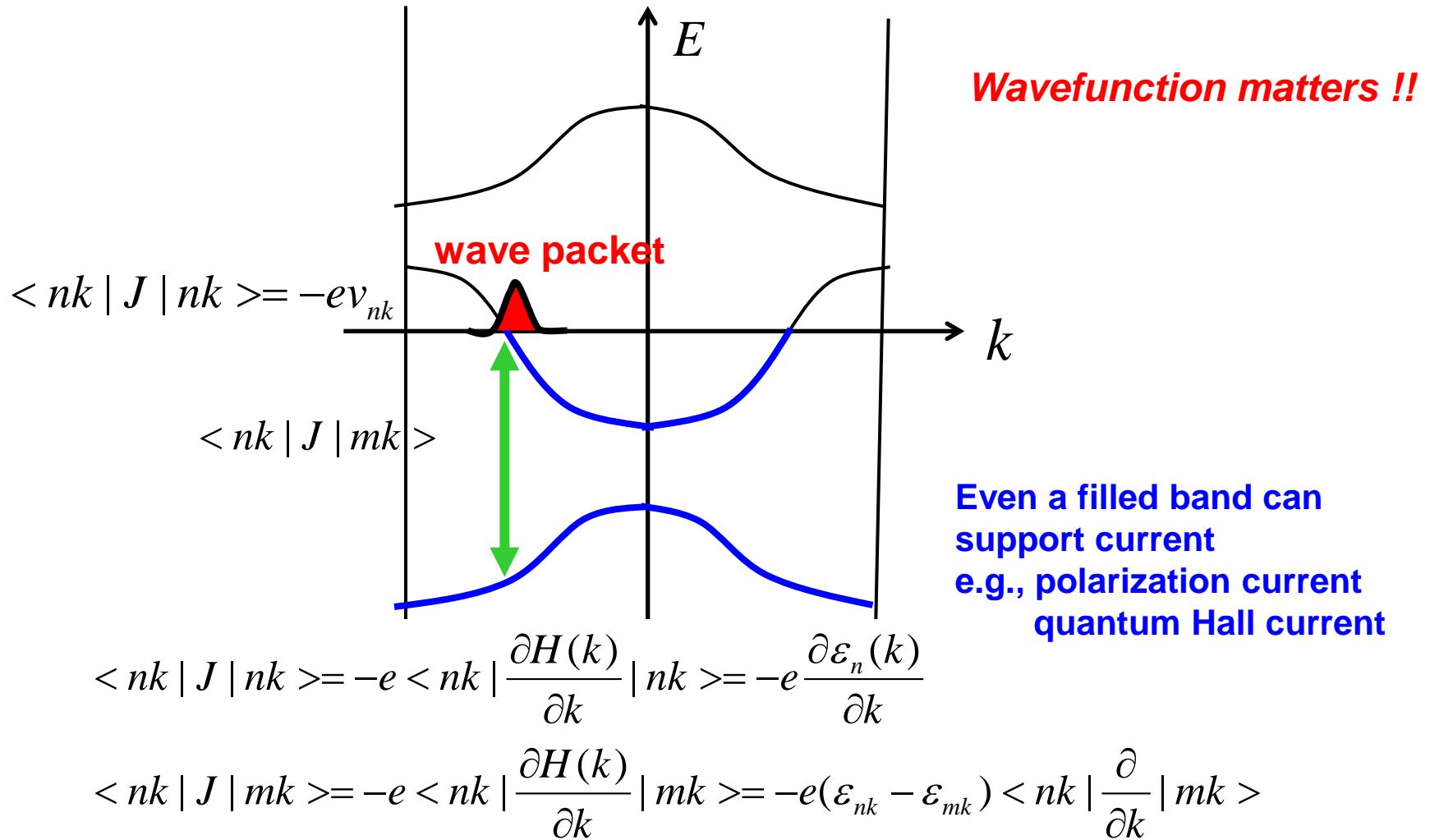
$$J = -e \int_{-\pi}^{\pi} \frac{dk}{2\pi} f_{nk} v_{nk}$$

$$J = -e \int_{-\pi}^{\pi} \frac{dk}{2\pi} \frac{\partial \varepsilon_{nk}}{\partial k} = \varepsilon_{n\pi} - \varepsilon_{n-\pi} = 0$$

Totally-filled band does not contribute to current.

Only energy dispersion $\varepsilon_n(\vec{k})$ matters ?

Intra- and Inter-band matrix elements of current



Correct equation of motion taking into account inter-band matrix element

$$\frac{d \vec{r}(t)}{dt} = \frac{\partial \epsilon_n(\vec{k})}{\partial \vec{k}} - \vec{B}_n(\vec{k}) \times \frac{d \vec{k}(t)}{dt}$$

k-space curvature

anomalous velocity

Luttinger,
Blount,
Niu

$$\frac{d \vec{k}(t)}{dt} = - \frac{\partial V(\vec{r})}{\partial \vec{r}} - \vec{B}(\vec{r}) \times \frac{d \vec{r}(t)}{dt}$$

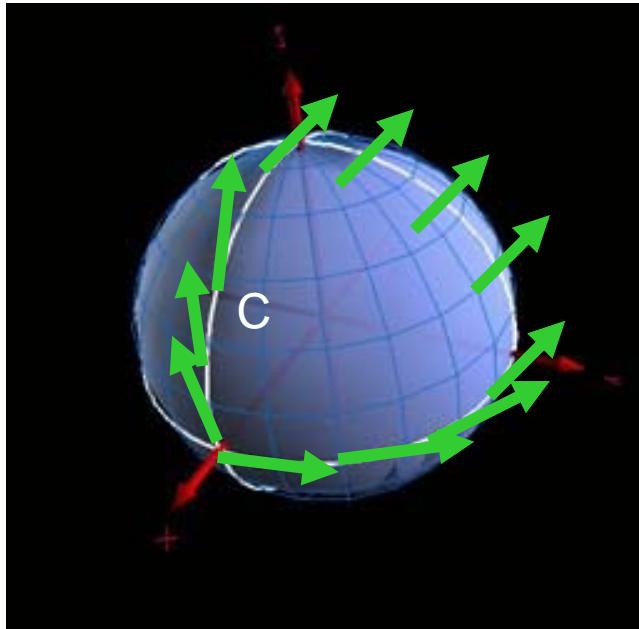
r-space curvature

Origin of the k-space curvature = interband current matrix

$$B_n(k) = \nabla \times A_n(k) \quad A_n(k) = i \langle nk | \nabla | nk \rangle$$

**How the wavefunction is connected in k-space
→ Berry phase**

Dirac's magnetic monopole in momentum space



$$H = p_x \sigma_x + p_y \sigma_y + p_z \sigma_z$$

$$A_\mu(p) = i \langle \psi(p) | (\partial / \partial p_\mu) | \psi(p) \rangle$$

$$\vec{B}(p) = \nabla_p \times \vec{A}(p) = \vec{p} / (2 |\vec{p}|^3) = \text{solid angle}/2$$

$\vec{p} \Rightarrow \vec{k}$: momentum

$$\frac{d \vec{r}(t)}{dt} = \frac{\partial \epsilon_n(\vec{k})}{\partial \vec{k}}$$

group
velocity

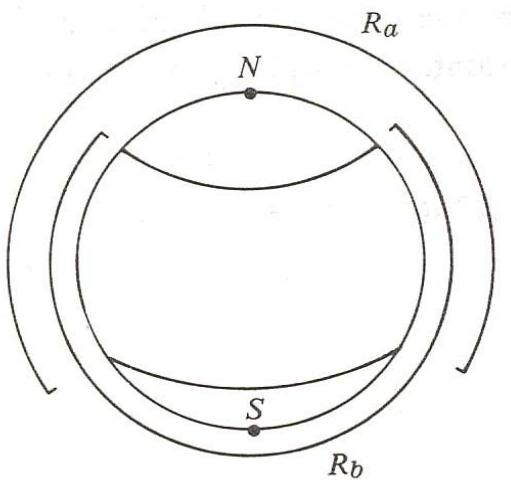
$$\vec{B}_n(\vec{k}) \times \frac{d \vec{k}(t)}{dt}$$

k-pace-

curvature

anomalous
velocity

AHE
SHE
QHE
Pol. current



Quantal phase can not be
determined self-
consistently
in a single gauge choice

We start with QED

$$L = \bar{\psi}(i\gamma^\mu[\partial_\mu - ieA_\mu] - m)\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}$$

ψ 4-component spinor

$j^\mu = e\bar{\psi}\gamma^\mu\psi$ charge current

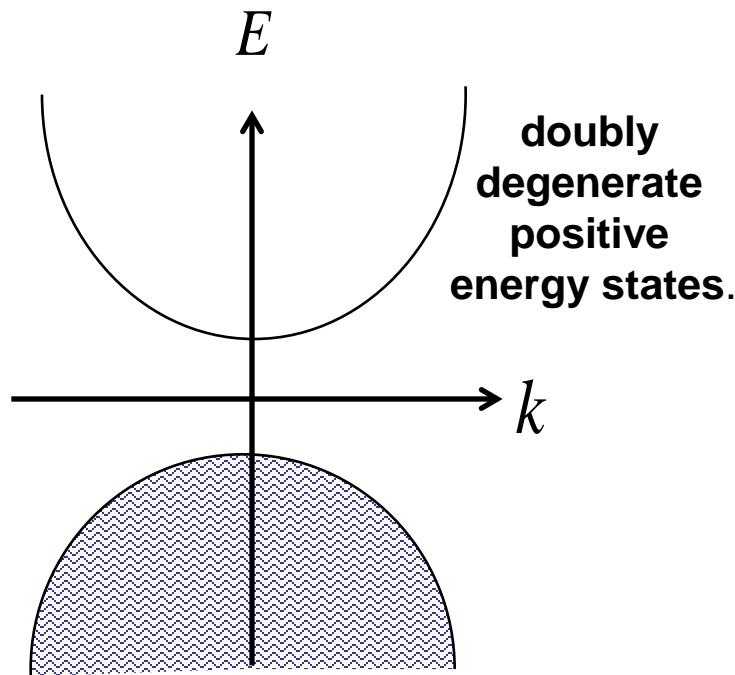
Why do we care about spin current ?

Projection onto sub Hilbert space

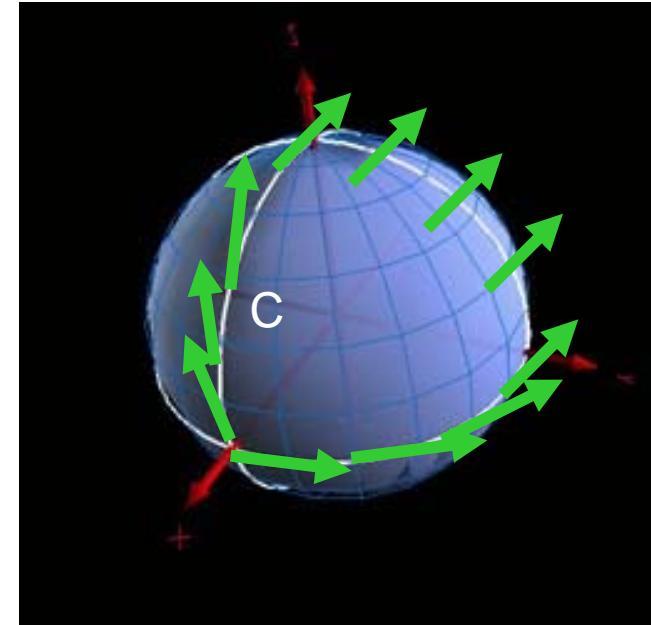
$$L = \bar{\psi} (i\gamma^\mu [\partial_\mu - ieA_\mu] - m)\psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

$$j^\mu = e\bar{\psi}\gamma^\mu\psi$$

charge current



Dirac electrons



Projection onto positive energy state
Spin-orbit interaction
as $SU(2)$ gauge connection

Non-relativistic approximation as Non-Abelian gauge theory

Froelich et al.,

$1/mc^2$ -expansion non-relativistic approximation

$$\begin{aligned}\mathcal{L} = & i\hbar\psi^\dagger D_0\psi + \psi^\dagger \frac{\hbar^2}{2m} \vec{D}^2\psi \\ & + \frac{1}{2m}\psi^\dagger \left(2eq\frac{\tau^a}{2}\vec{A} \cdot \vec{A}^a + \frac{q^2}{4}\vec{A}^a \cdot \vec{A}^a \right) \psi \\ & + \frac{1}{8\pi}(E^2 - B^2).\end{aligned}$$

$$D_i = \partial_i - i\frac{q}{\hbar}A_i^a \frac{\tau^a}{2}$$

ψ 2-component spinor

$$D_0 = \partial_0 + i\frac{q}{\hbar}A_0^a \frac{\tau^a}{2}$$

A_μ^a is coupled to spin current j_μ^a

SU(2) gauge field U(1) e.m. coupling

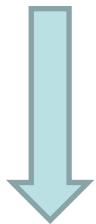
$$A_0^a = B^a \quad A_i^a = \epsilon_{ial} E_l \rightarrow \text{No SU(2) gauge symmetry !!} \quad \partial^\mu A_\mu^a = 0$$

QED



Project out the positron states

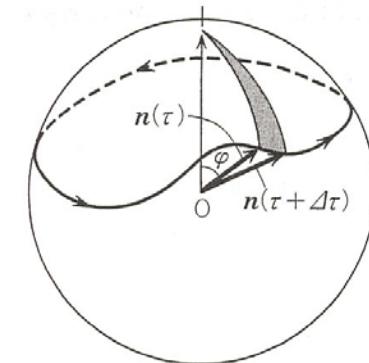
Non-rel. approx. SU(2) gauge coupled to spin current



Project onto spin wavefunction

$$(\psi^+ = f^+ z) \quad z: \text{spin w.f.}$$

U(1) electromagnetism



Spin Berry phase
→ Spin motive force

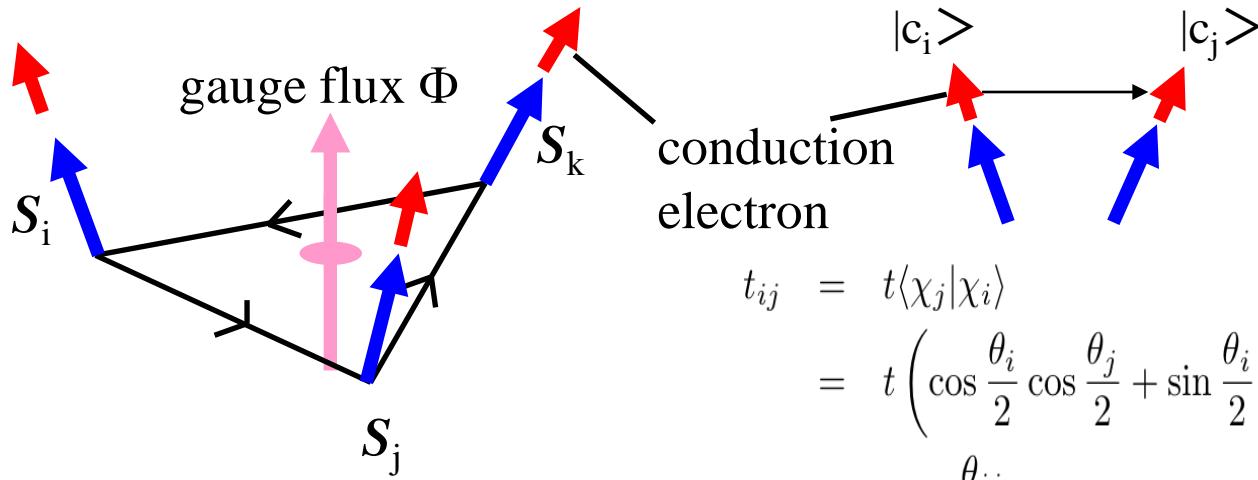
$$\psi^+ \psi_j = f^+ f_j \langle z_i / z_j \rangle \quad \langle z_i / z_j \rangle \propto e^{ia_{ij}} \quad \text{"e.m.f." from non-collinear spins}$$

$$\psi^+ (A_\mu^a \tau_a) \psi = f^+ f A_\mu^a \langle z / \tau_a / z \rangle \quad \text{"e.m.f." from spin-orbit int.}$$

$$\psi^+ A_\mu \psi = f^+ f A_\mu$$

Maxwell e.m.f.

Solid angle by spins acting as a gauge field



$$t_{ij} = t \langle \chi_j | \chi_i \rangle$$

$$= t \left(\cos \frac{\theta_i}{2} \cos \frac{\theta_j}{2} + \sin \frac{\theta_i}{2} \sin \frac{\theta_j}{2} \exp(i(\phi_j - \phi_i)) \right)$$

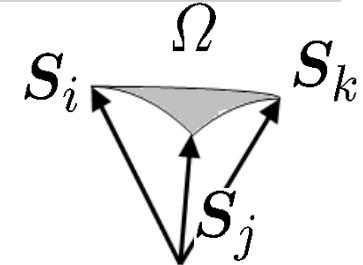
$$= t \cos \frac{\theta_{ij}}{2} \exp(ia_{ij})$$

acquire a phase factor

Fictitious flux (in a continuum limit)

$$\Phi \propto \frac{\mathbf{S}_i \cdot (\mathbf{S}_j \times \mathbf{S}_k)}{2} = \frac{\Omega}{2}$$

scalar spin chirality



Gauge theory of strongly correlated electrons - fluctuating spin field -

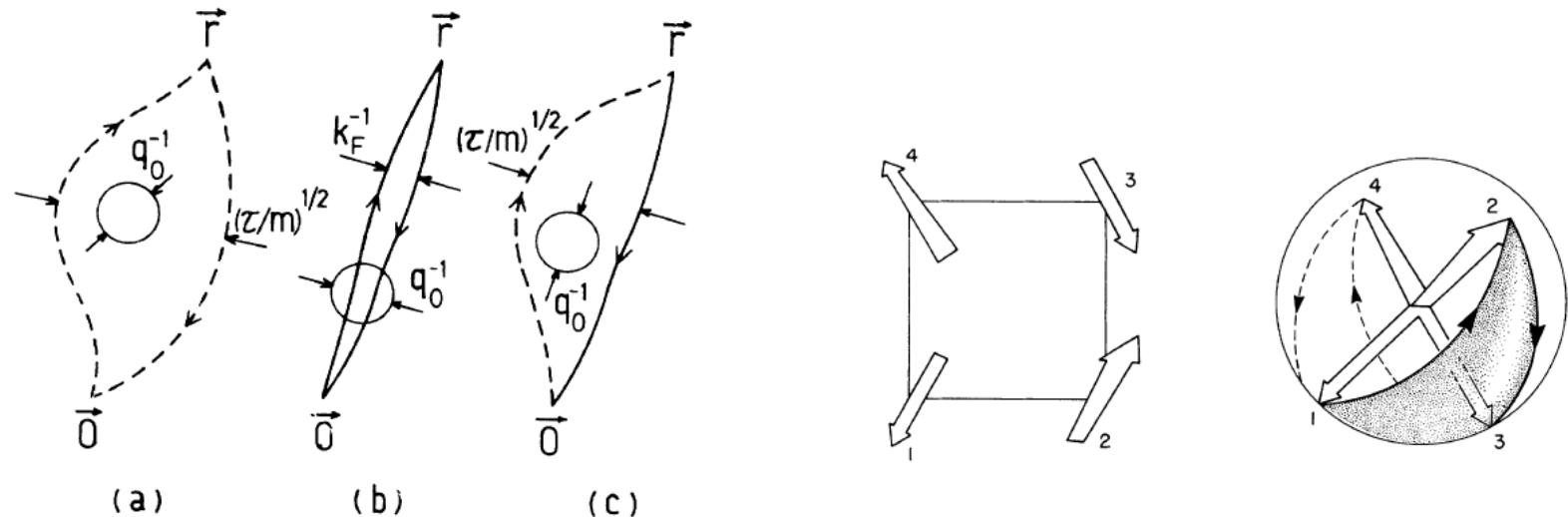
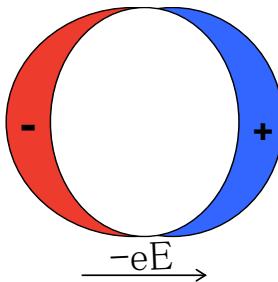


FIG. 1. Typical Feynman paths, projected onto the two-dimensional plane, which contribute to (a) the boson polarization Π_B , (b) the fermion polarization Π_F , and (c) the electron Green's function G_σ . Dashed and solid lines refer to boson and fermion paths. The circle with radius q_0^{-1} represents the scale of the fluctuating gauge-field flux.

N.N. and P.A.Lee PRL 1990
P.A.Lee, X.G. Wen, and N.N. RMP2006

3 Kinds of Current in Solids

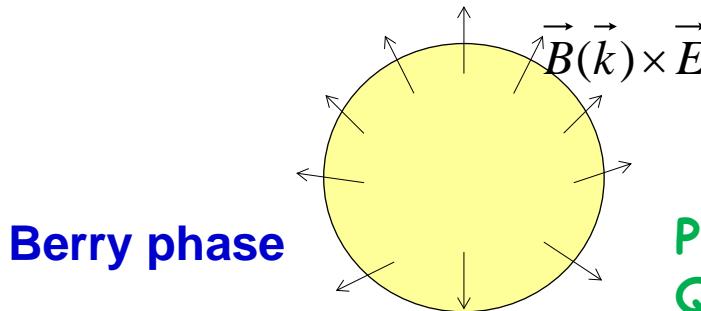
1. Ohmic (transport) Current



Dissipation/Joule heating
in nonequilibrium state

$$\propto -\frac{\partial f(\varepsilon)}{\partial \varepsilon}$$

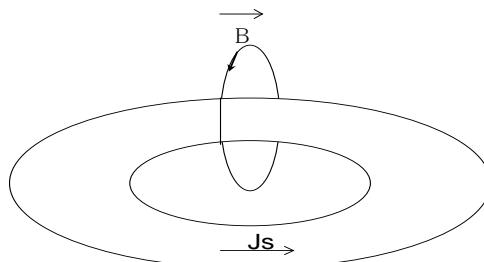
2. Topological Current



Due to multi-band effect/Berry phase
Dissipationless in equilibrium
The occupied states contribute
Polarization current
Quantum Hall current
Anomalous Hall current, Spin Hall current

$$\propto f(\varepsilon)$$

3. Superconducting Current

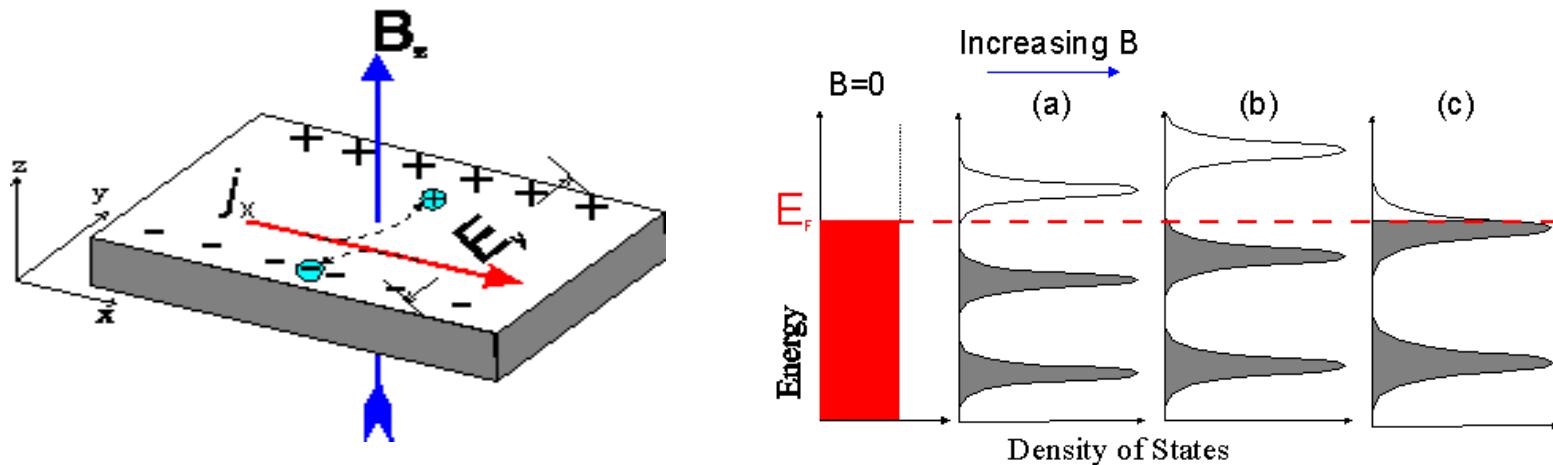


Dissipationless in equilibrium
Responding to \mathbf{A}

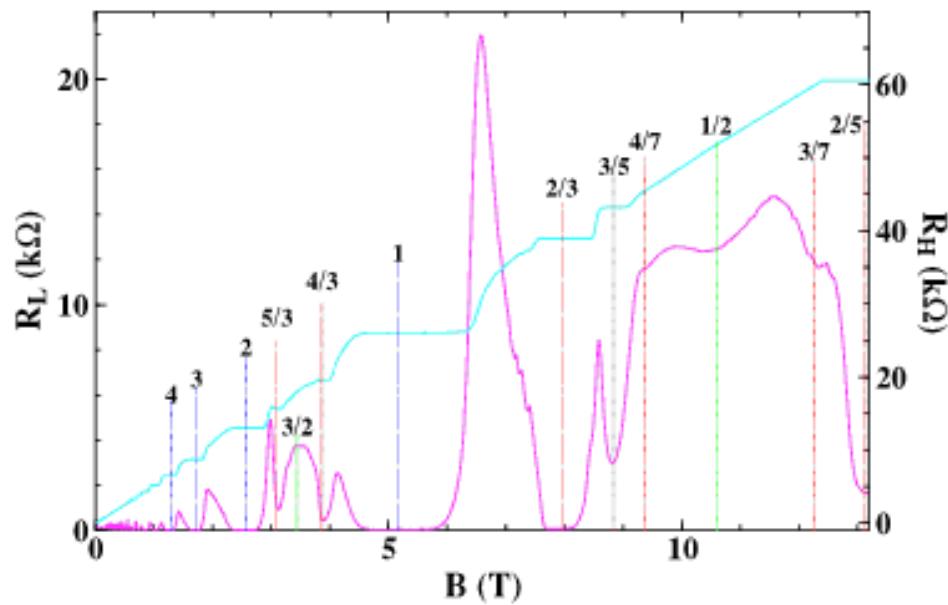
$$\propto \rho_s$$

Quantum Hall Effect

Quantum Hall effect



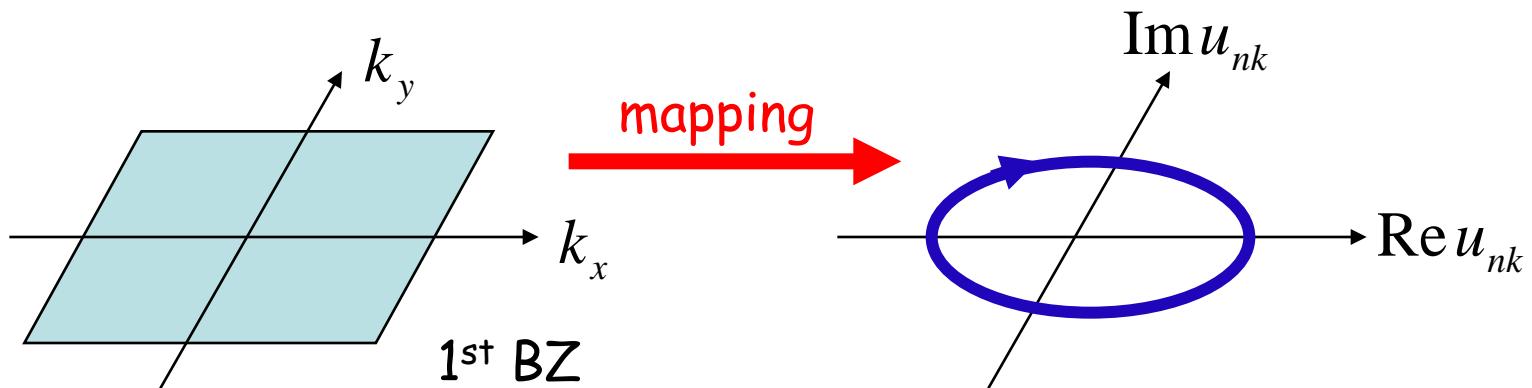
Integer and Fractional Quantum Hall Effects



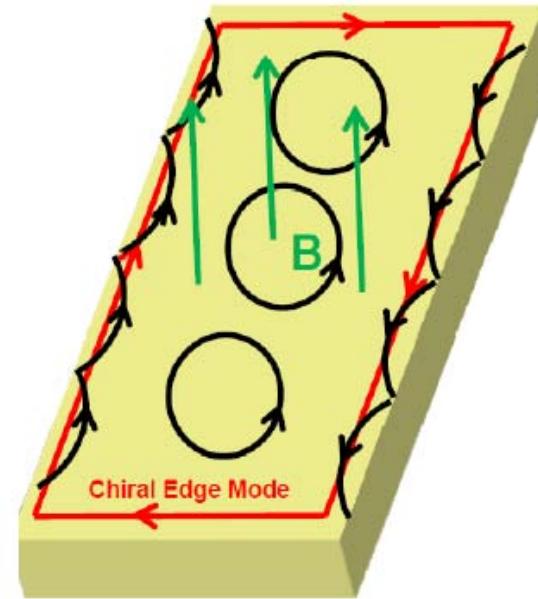
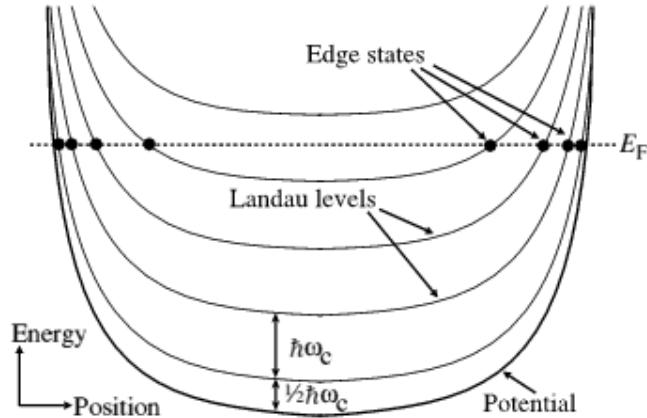
Topological nature of Hall effect - TKNN formula

$$\begin{aligned}\sigma_{xy} &= i \sum_{n,\vec{k}} f(\varepsilon_n(\vec{k})) \sum_{m \neq n} \frac{\langle n\vec{k} | J_y | m\vec{k} \rangle \langle m\vec{k} | J_x | n\vec{k} \rangle - (J_x \leftrightarrow J_y)}{[\varepsilon_n(\vec{k}) - \varepsilon_m(\vec{k})]^2} \\ &= e^2 \sum_{n,\vec{k}} f(\varepsilon_n(\vec{k})) [\nabla_{\vec{k}} \times \vec{A}_n(\vec{k})]_z \\ \vec{A}_n(\vec{k}) &= -i \langle n\vec{k} | \nabla_{\vec{k}} | n\vec{k} \rangle\end{aligned}$$

$$\sum_{\vec{k} \in \text{1st BZ}} b_n(\vec{k}) = \frac{N_\phi}{2\pi} \quad N_\phi : \text{Chern number} \implies \sigma_{xy} = \frac{e^2}{h} N_\phi$$



Bulk v.s. Edge in topological states

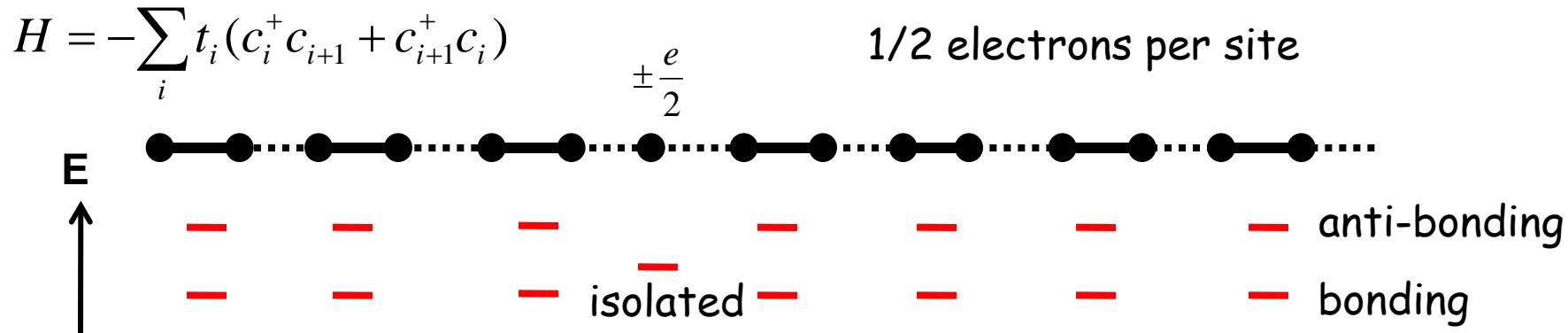


X.G.Wen

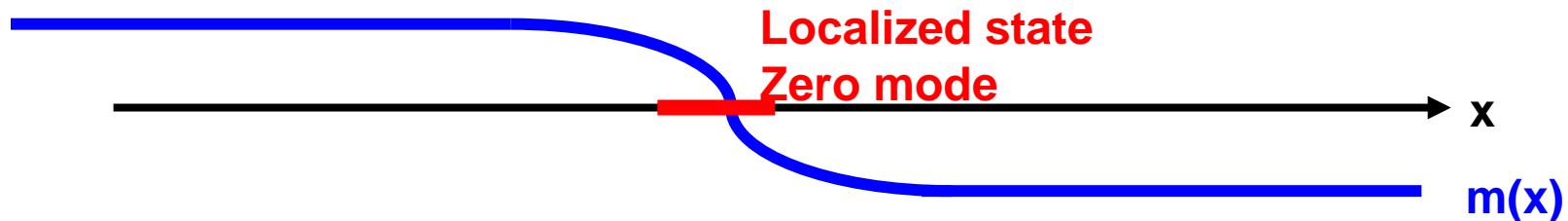
$$S_{Chern-Simons} = -\frac{m}{4\pi} \int d^2x dt \epsilon^{\mu\nu\lambda} a_\mu \partial_\nu a_\lambda$$

$$S_{Edge} = -\frac{m}{4\pi} \int dx dt (\partial_t + v \partial_x) \phi \partial_x \phi = \frac{m}{2\pi} \int dt \sum_{k>0} (i \dot{\phi}_k \phi_{-k} - v k^2 \phi_k \phi_{-k})$$

Fractional charge and Spin-Charge separation in 1D



$$H = \int dx \psi^+(x) (-i\sigma^x \partial_x + m(x)\sigma^z) \psi(x)$$



Spinful case: 1 electrons per site



Anomalous Hall Effect

Anomalous Hall Effect

$$\rho_{xy} = \frac{R_0 H}{\text{ordinary term}} + 4\pi R_s M$$

ordinary term anomalous term

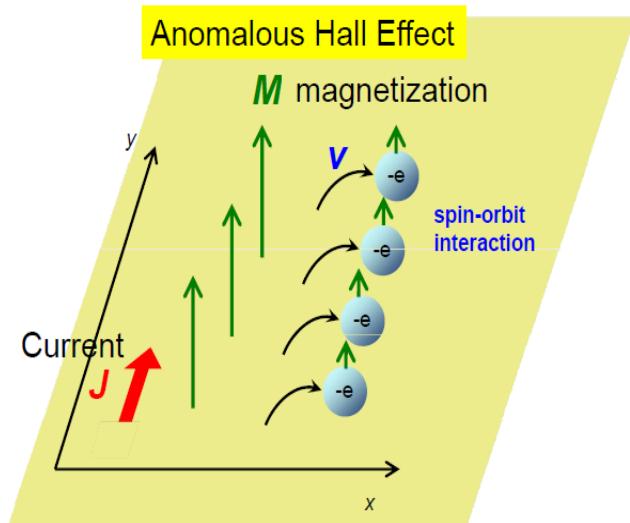
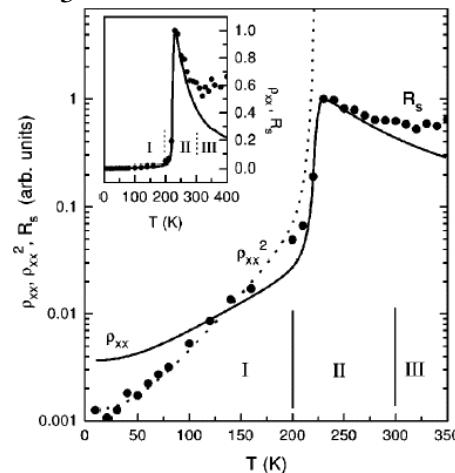
Conventional theory

Karplus and Luttinger $R_s \propto \rho^2$
intrinsic

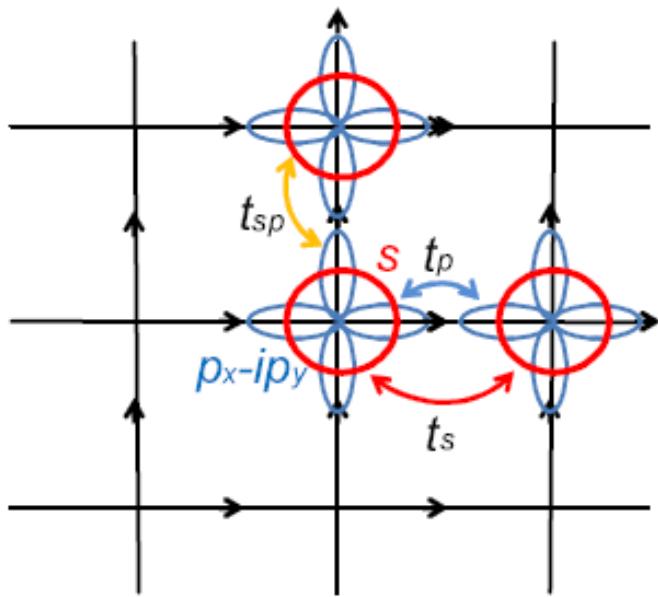
J. Kondo $R_s \propto \langle (m - \langle m \rangle)^3 \rangle$
extrinsic

$$T \rightarrow 0 \quad R_s \rightarrow 0$$

(La,Ca)MnO₃ S. H. Chun et al. Phys. Rev. B 61, R9225 (2000).



Intrinsic AHE - Topological nature



$$\begin{aligned}\sigma_{xy} &= i \sum_{n,\vec{k}} f(\varepsilon_n(\vec{k})) \sum_{m \neq n} \frac{\langle n\vec{k} | J_y | m\vec{k} \rangle \langle m\vec{k} | J_x | n\vec{k} \rangle - (J_x \leftrightarrow J_y)}{[\varepsilon_n(\vec{k}) - \varepsilon_m(\vec{k})]^2} \\ &= e^2 \sum_{n,\vec{k}} f(\varepsilon_n(\vec{k})) [\nabla_{\vec{k}} \times \vec{A}_n(\vec{k})]_z \\ \vec{A}_n(\vec{k}) &= -i \langle n\vec{k} | \nabla_{\vec{k}} | n\vec{k} \rangle\end{aligned}$$

$$\begin{aligned}H &= - \sum_{i,\sigma,a=x,y} t_s s_{i,\sigma}^\dagger s_{i+a,\sigma} + h.c. \\ &+ \sum_{i,\sigma,a=x,y} t_p p_{i,a,\sigma}^\dagger s_{i+a,a,\sigma} + h.c. \\ &+ \sum_{i,\sigma,a=x,y} t_{sp} s_{i\sigma}^\dagger p_{i+a,a,\sigma} + h.c. \\ &+ \lambda \sum_{i,\sigma} \sigma(p_{i,x,\sigma}^\dagger - i\sigma p_{i,y,\sigma}^\dagger)(p_{i,x,\sigma} + i\sigma p_{i,y,\sigma}).\end{aligned}$$

$$h(\vec{k}) = \begin{bmatrix} \varepsilon_s - 2t_s(\cos k_x + \cos k_y) & \sqrt{2}t_{sp}(i \sin k_x + \sin k_y) \\ \sqrt{2}t_{sp}(-i \sin k_x + \sin k_y) & \varepsilon_p + t_p(\cos k_x + \cos k_y) \end{bmatrix}$$

$$h(\vec{k}) = \bar{\varepsilon} + m\sigma_z + \sqrt{2}t_{sp}(k_y\sigma_x - k_x\sigma_y).$$

Spin-Orbit Interaction

$$\mathcal{H}_{S-O} = \frac{-e\hbar}{2m^2c^2} (\vec{p} \times \nabla V) \cdot \vec{s}$$

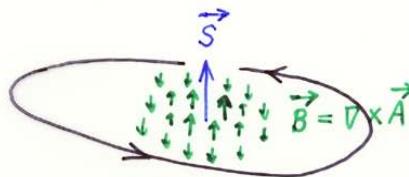
$$= \frac{e\hbar}{2m^2c^2} (\vec{s} \times \nabla V) \cdot \vec{p}$$

$$V = V(r) : \quad \mathcal{H}_{S-O} = \frac{\hbar^2}{2m^2c^2} \cdot \frac{1}{r} \cdot \frac{dV}{dr} \vec{l} \cdot \vec{s}$$

$$\mathcal{H}_{S-O} = \vec{A} \cdot \vec{p} \quad \vec{A} : \text{vector potential}$$

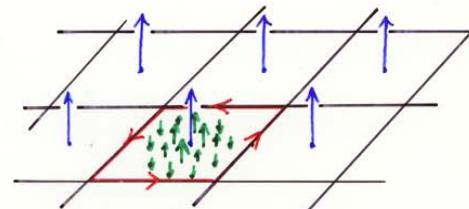
$$\boxed{\vec{A} = \frac{e\hbar}{2m^2c^2} \vec{s} \times \nabla V}$$

DM interaction



$$\begin{aligned}\Phi_D &= \int_D d\vec{D} \cdot \nabla \times \vec{A} \\ &= \oint_C d\vec{r} \cdot \vec{A}\end{aligned}$$

infinite D $\rightarrow 0$

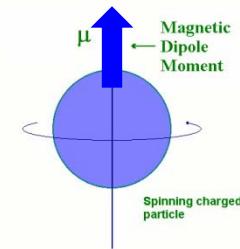


$$\begin{aligned}\Phi_{\text{Unit Cell}} &= \int_{\text{Unit Cell}} d\vec{D} \cdot \nabla \times \vec{A} \\ &= \oint_C d\vec{r} \cdot \vec{A} = 0\end{aligned}$$

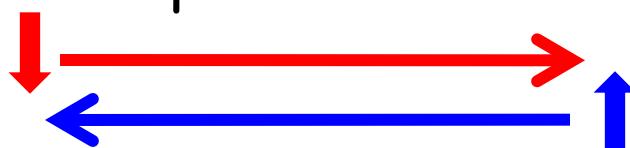
V : periodic function

Classification of Order Parameters

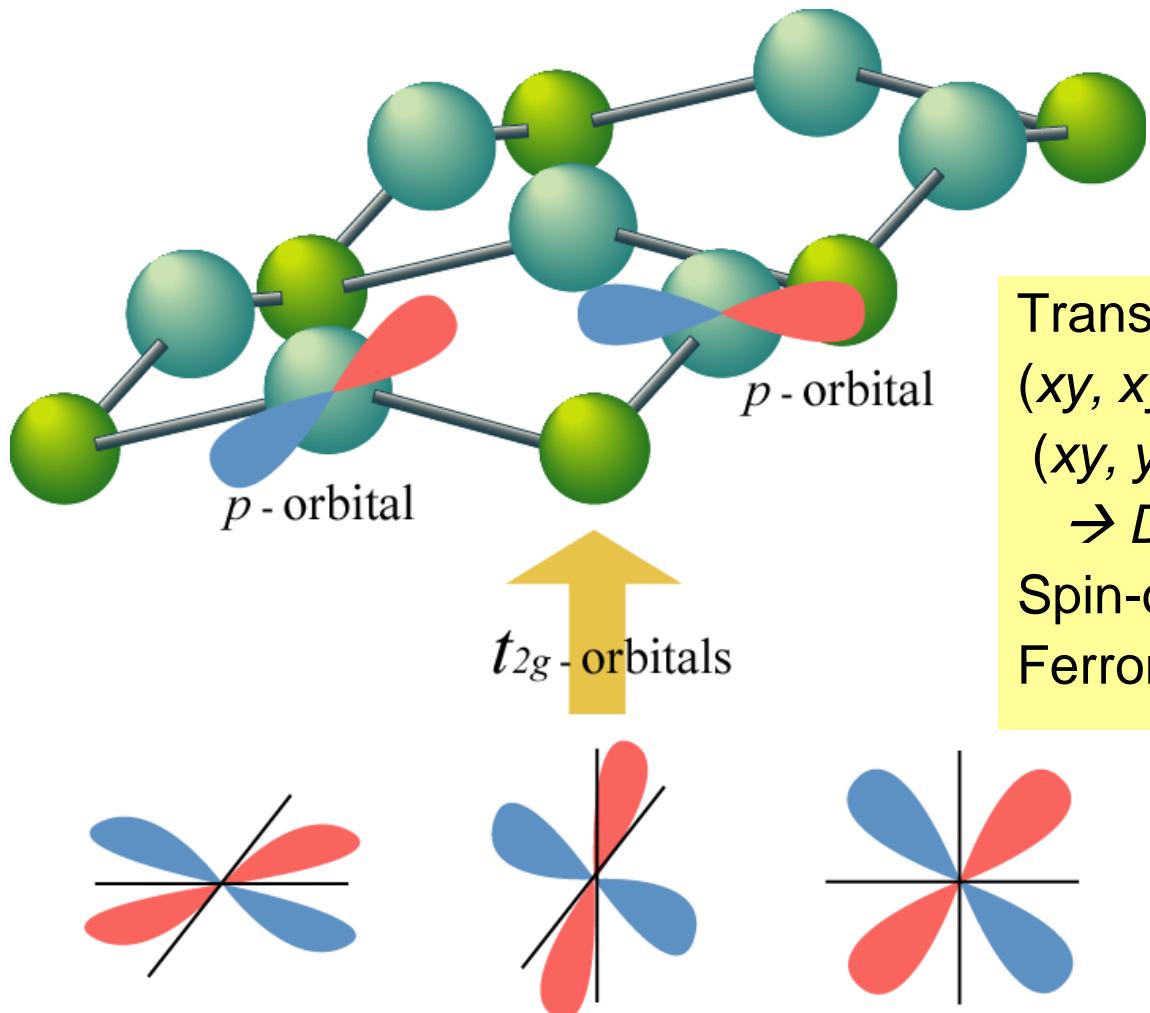
	Time reversal	even	odd
Inversion			Spin
even	ρ charge density	\vec{M} magnetization	
odd	\vec{j}_s, \vec{P} spin current polarization	\vec{j}, \vec{T} current toroidal moment	



Spin current



Model



Transfer integrals

$$(xy, xy) = (yz, yz) = (zx, zx) = t_0$$

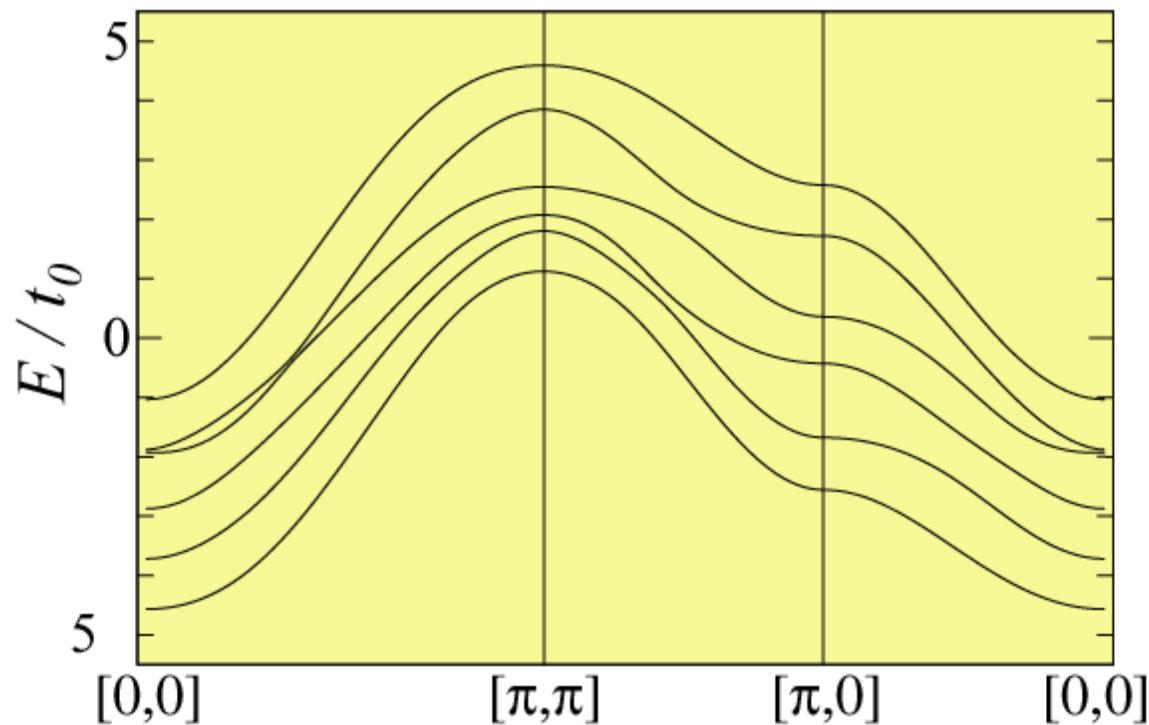
$$(xy, yz) = (xy, zx) = +t_1, -t_1$$

→ DM interaction

Spin-orbit coupling λ

Ferromagnetic moment Um_z

Dispersion

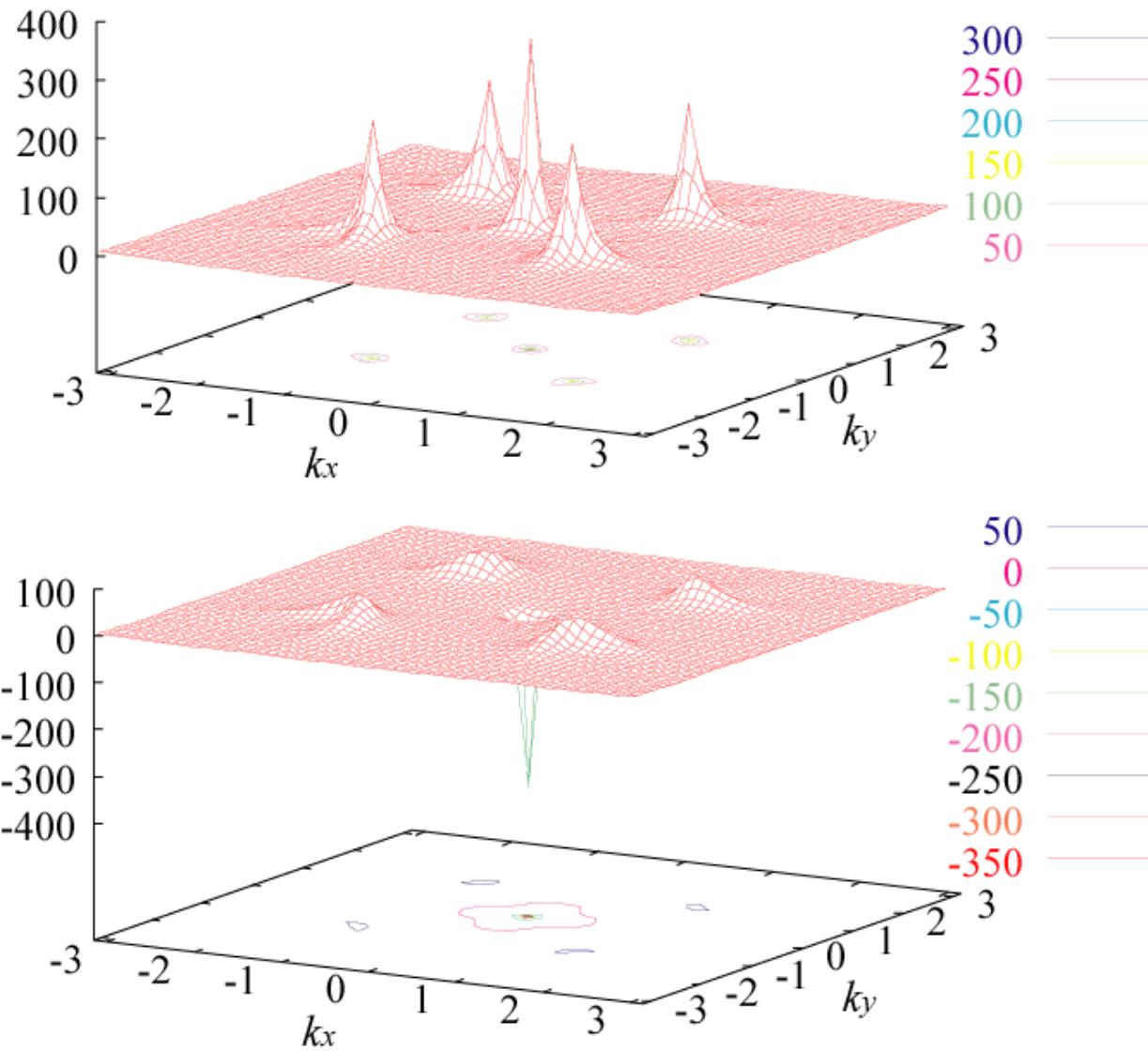


$$t1 = 0.5t0, I = 0.4t0, Umz = 0.95t0.$$

The 4th and 5th bands are nearly degenerate at $k = [0,0]$ and $[\pi/2, \pi/2]$.

$$Chn's : (-1, -2, 3, -4, 5 -1).$$

Gauge flux density



Gauge flux density in k -space of the 5th band

$t_1=0.5t_0$,
 $\lambda=0.4t_0$, $Um_z=0.95t_0$
for the upper
 $Um_z=1.05t_0$ for the lower

The transfer of Ch_n :
4th \Leftrightarrow 5th bands at
 $(Um_z)_c \sim 1.0t_0$.

(The transfer occurs
only at $\mathbf{k} = [0,0]$ in
this case.)

Parity Anomaly

- Parity transformation in 2D
 $(x, y) \rightarrow (-x, y)$

- Dirac fermion

$$H \cong \int \frac{d^2 k}{(2\pi)^2} \psi^+(\vec{k}) h(\vec{k}) \psi(\vec{k})$$

$$h(\vec{k}) = \begin{bmatrix} V(\vec{k}) + m & k_D (\kappa_x - i\kappa_y)^p \\ k_D (\kappa_x + i\kappa_y)^p & V(\vec{k}) - m \end{bmatrix}$$

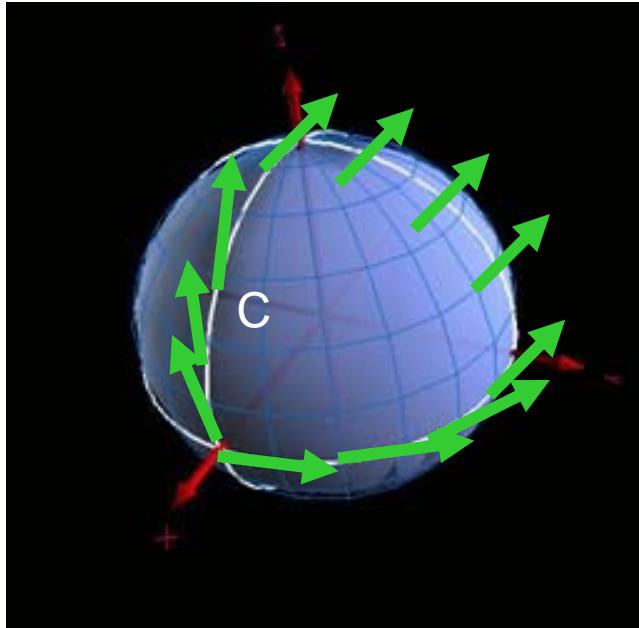
$$\varepsilon_{\pm}(\vec{k}) = \pm \sqrt{(k_D \kappa^p)^2 + m^2}$$

$$\vec{\kappa} = \frac{\vec{k} - \vec{k}_0}{k_D}$$

$$b_{\pm}(\vec{k}) = [\nabla_k \times \vec{A}_n(\vec{k})]_z = \pm \frac{(p \kappa^{p-1})^2 m}{2[(k_D \kappa^p)^2 + m^2]^{\frac{3}{2}}}$$

- Mass term breaks P-symmetry \rightarrow New Energy Scale
 m is a function of (λ, Umz) and can change the sign at the critical lines in (λ, Umz) -plane

Dirac's magnetic monopole in momentum space



$$H = p_x \sigma_x + p_y \sigma_y + p_z \sigma_z$$

$$A_\mu(p) = i \langle \psi(p) | (\partial / \partial p_\mu) | \psi(p) \rangle$$

$$\vec{B}(p) = \nabla_p \times \vec{A}(p) = \vec{p} / (2 |\vec{p}|^3) = \text{solid angle}/2$$

$\vec{p} \Rightarrow \vec{k}$: momentum

$$\frac{d \vec{r}(t)}{dt} = \frac{\partial \epsilon_n(\vec{k})}{\partial \vec{k}}$$

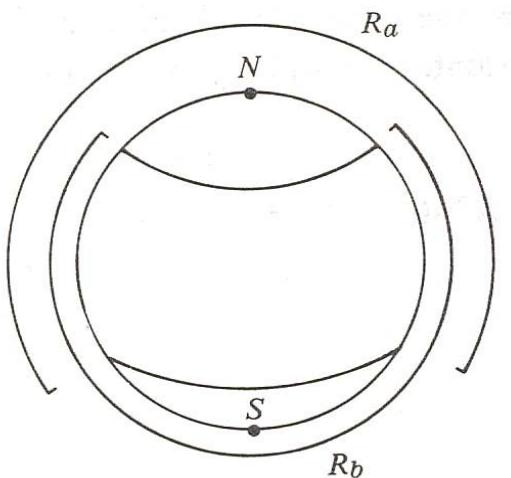
group
velocity

$$\vec{B}_n(\vec{k}) \times \frac{d \vec{k}(t)}{dt}$$

k-pace-
curvature

anomalous
velocity

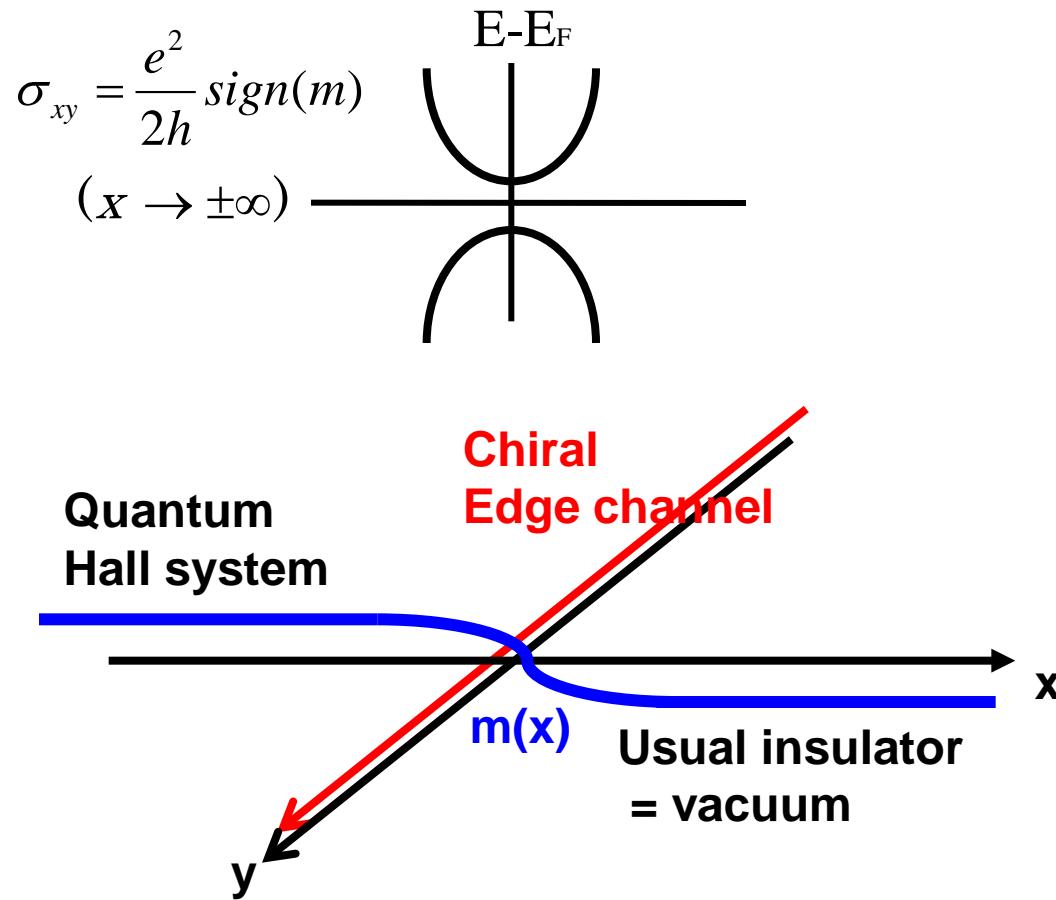
AHE
SHE
QHE
Pol. current



Quantal phase can not be
determined self-
consistently
in a single gauge choice

Electron fractionalization in 2D

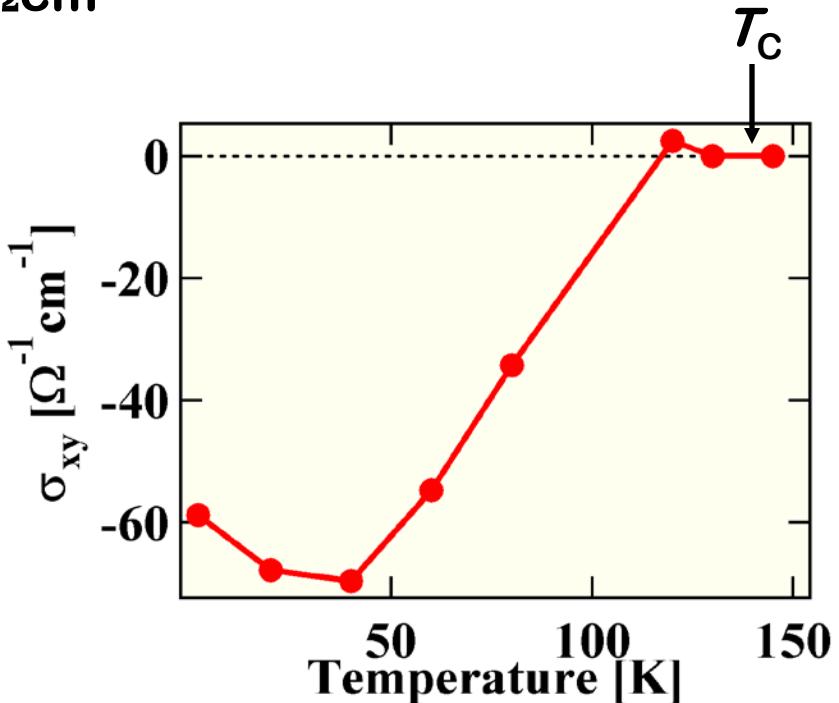
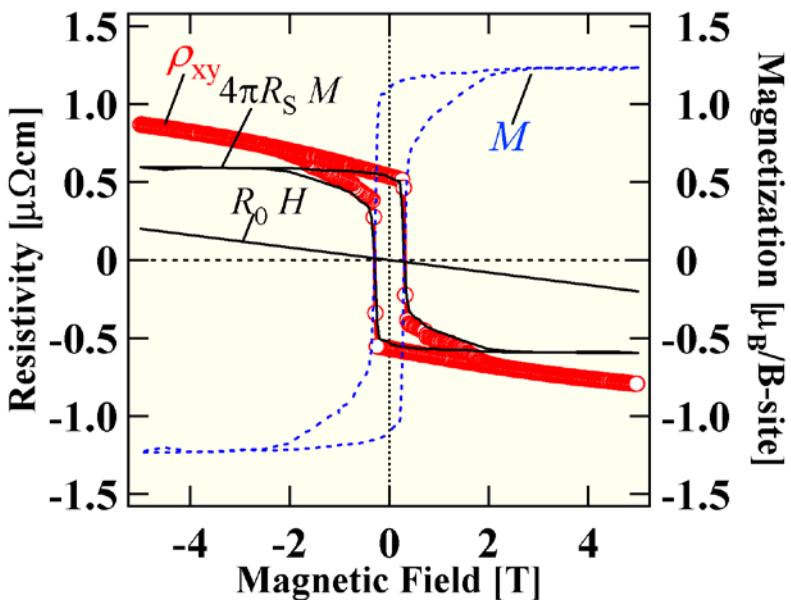
$$H = \psi^+ [\sigma^x p_x + \sigma^y p_y + \sigma^z m(x)] \psi$$



Anomalous Hall Effect of SrRuO₃

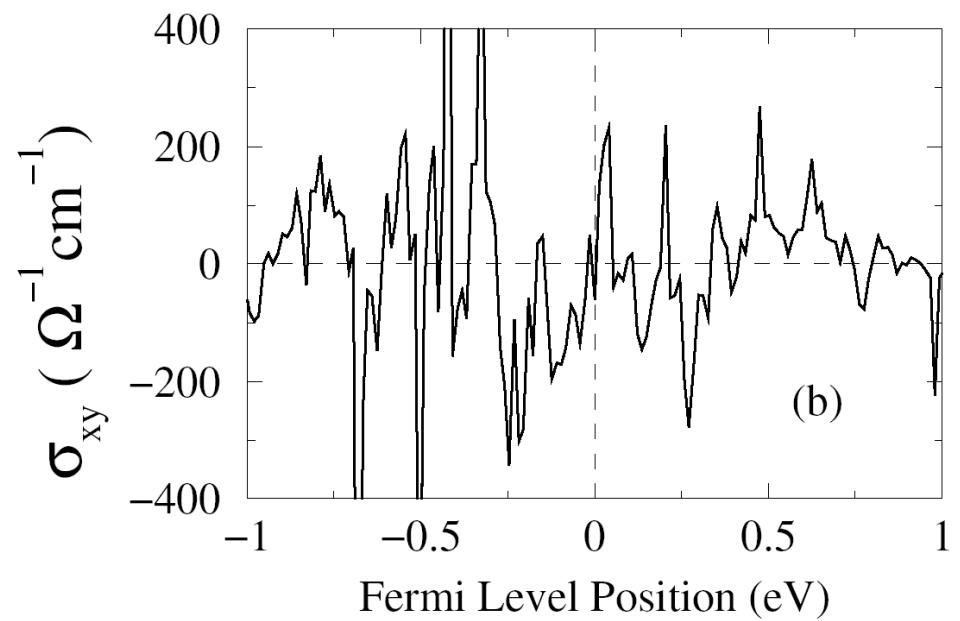
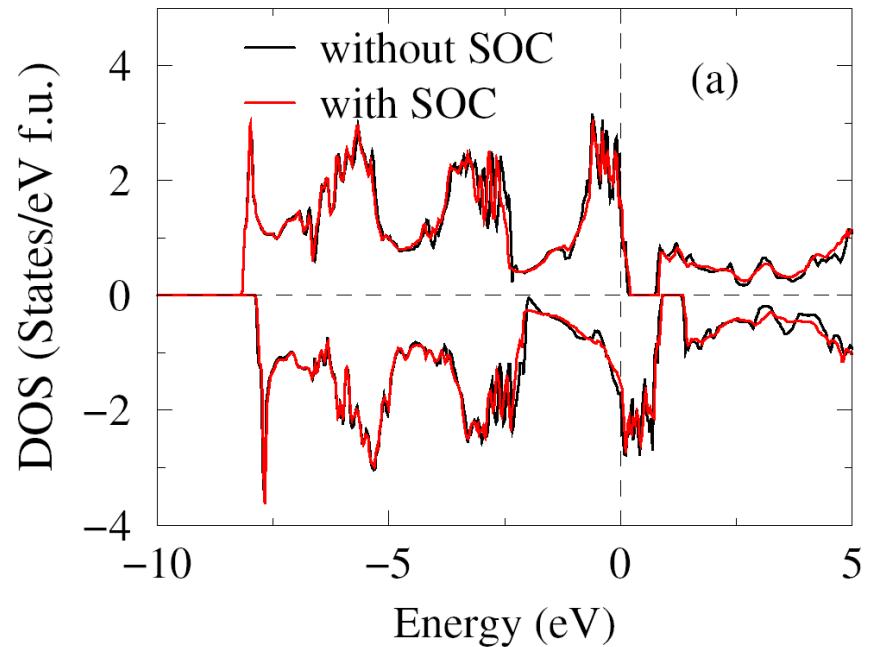
SrRuO₃ thin film on STO substrate

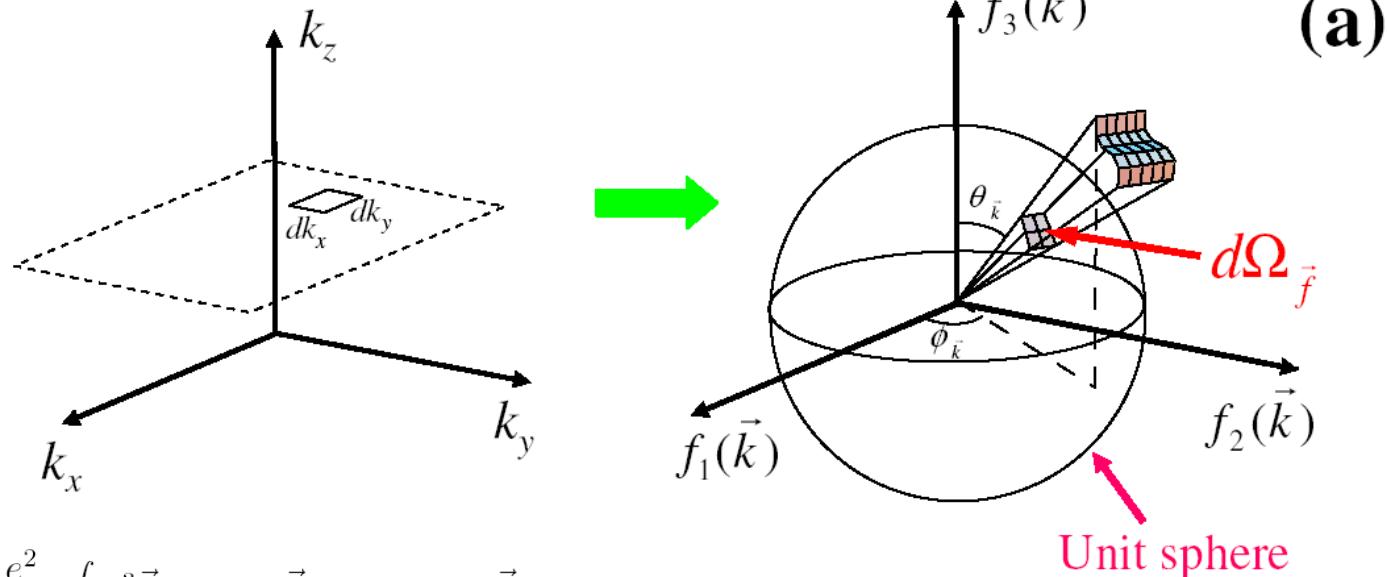
$$T_C = 140 \text{ K} \quad \rho_0 = 50 \mu\Omega\text{cm}$$



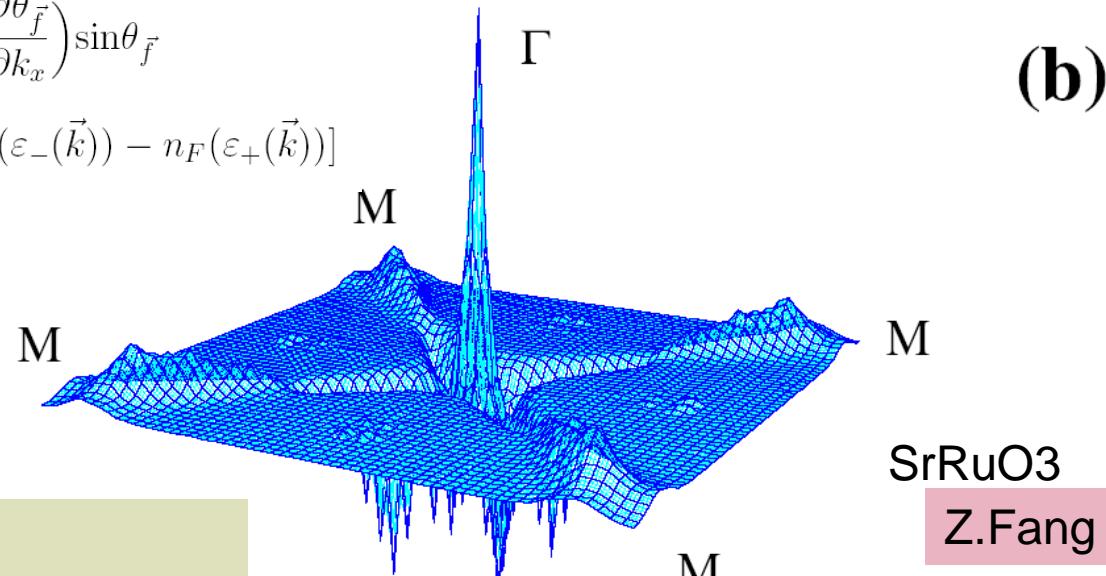
Large value at low temperature

Anomalous temperature dependence





$$\begin{aligned}\sigma_{xy}^{2\text{-bands}} &= \frac{e^2}{8\pi h} \int d^3\vec{k} [n_F(\varepsilon_-(\vec{k})) - n_F(\varepsilon_+(\vec{k}))] \\ &\times \left(\frac{\partial \varphi_{\vec{f}}}{\partial k_x} \frac{\partial \theta_{\vec{f}}}{\partial k_y} - \frac{\partial \varphi_{\vec{f}}}{\partial k_y} \frac{\partial \theta_{\vec{f}}}{\partial k_x} \right) \sin \theta_{\vec{f}} \\ &= \frac{e^2}{8\pi h} \int dk_z d\Omega_{\vec{f}} [n_F(\varepsilon_-(\vec{k})) - n_F(\varepsilon_+(\vec{k}))]\end{aligned}$$

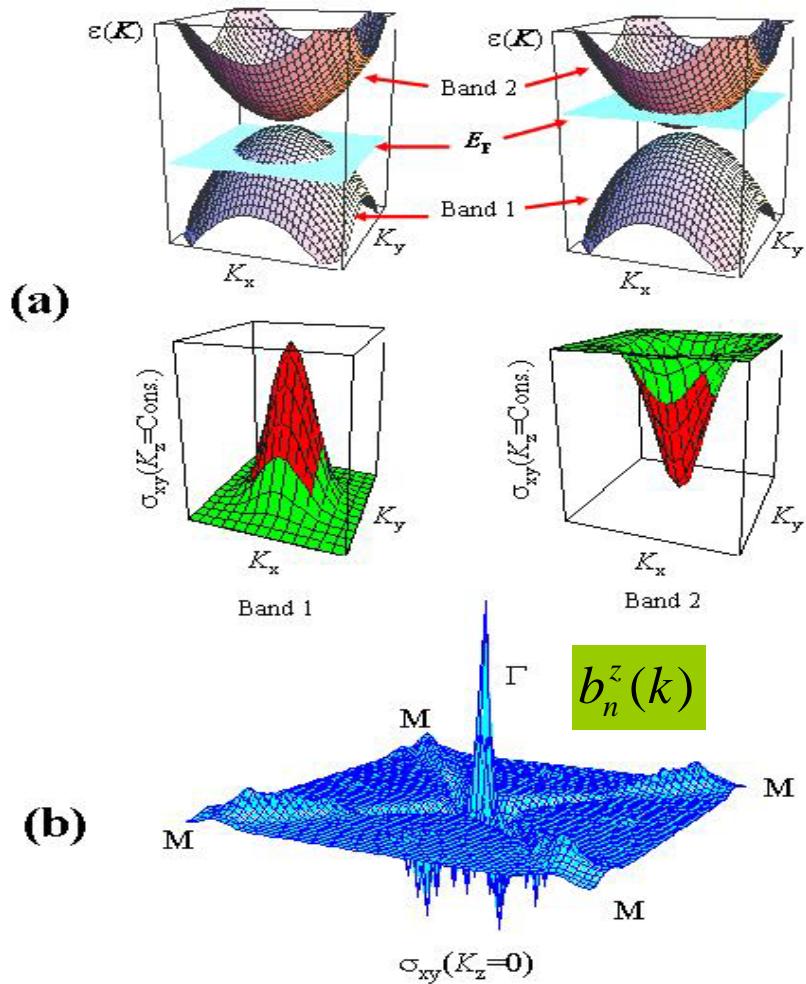


Degeneracy point
 \rightarrow Monopole in momentum space

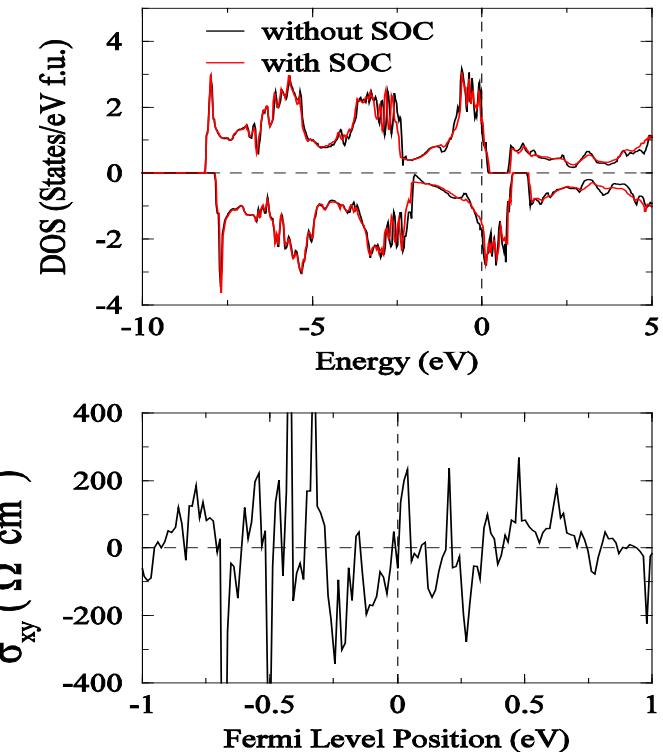
$b_z(k_z=0)$

Anomalous Hall Effect in SrRuO₃ - Magnetic Monopole in k-Space

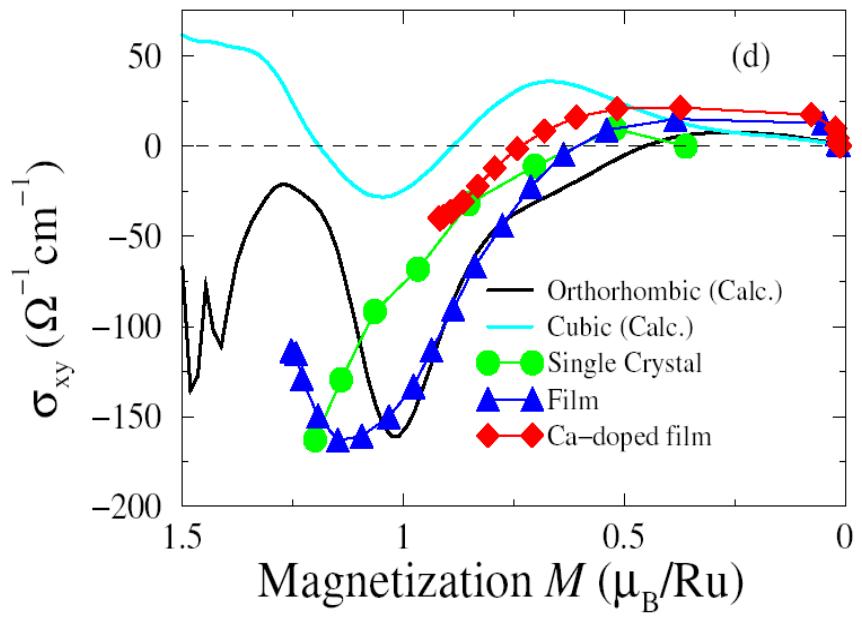
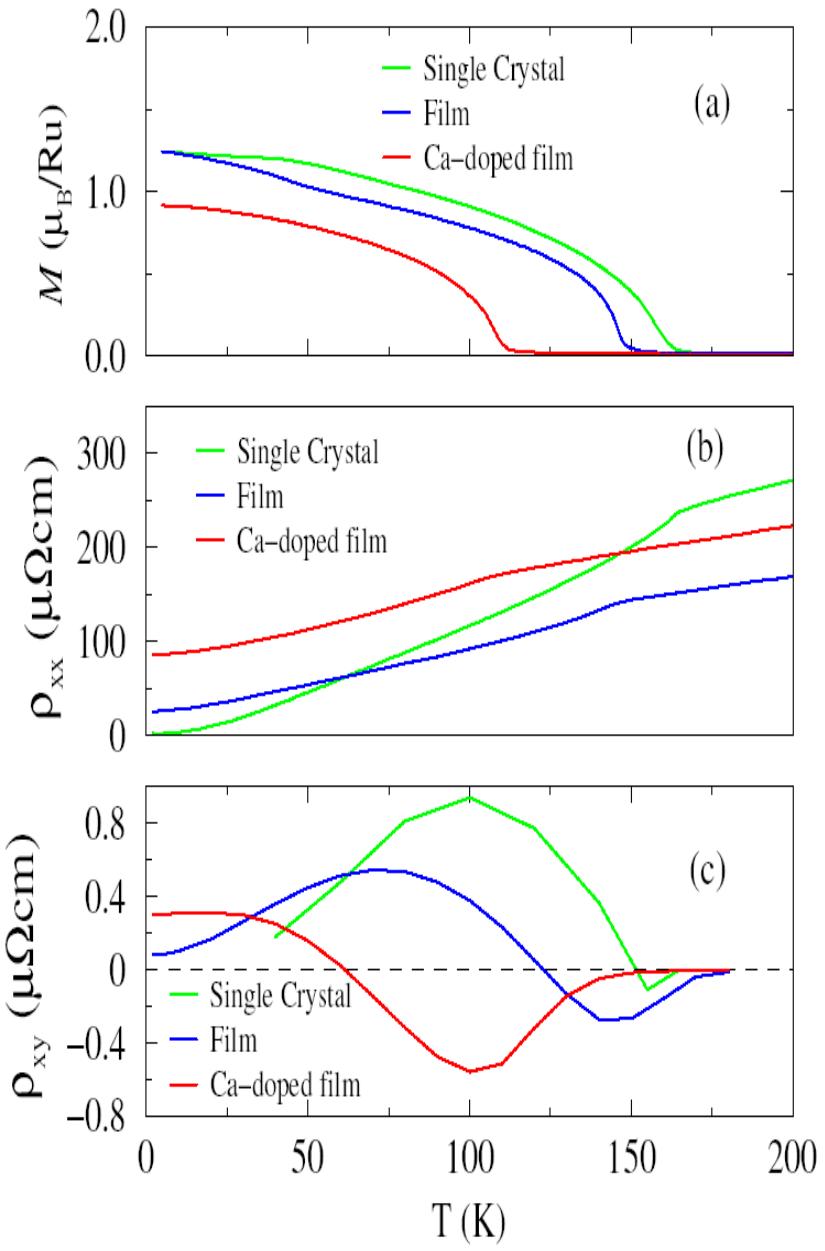
Z.Fang et al.



$$\sigma_{ij}^{TKNN} = -\epsilon_{ij\ell} e^2 \hbar \sum_n \int \frac{d^d p}{(2\pi\hbar)^2} b_n^\ell(p) f(\varepsilon_n(p))$$

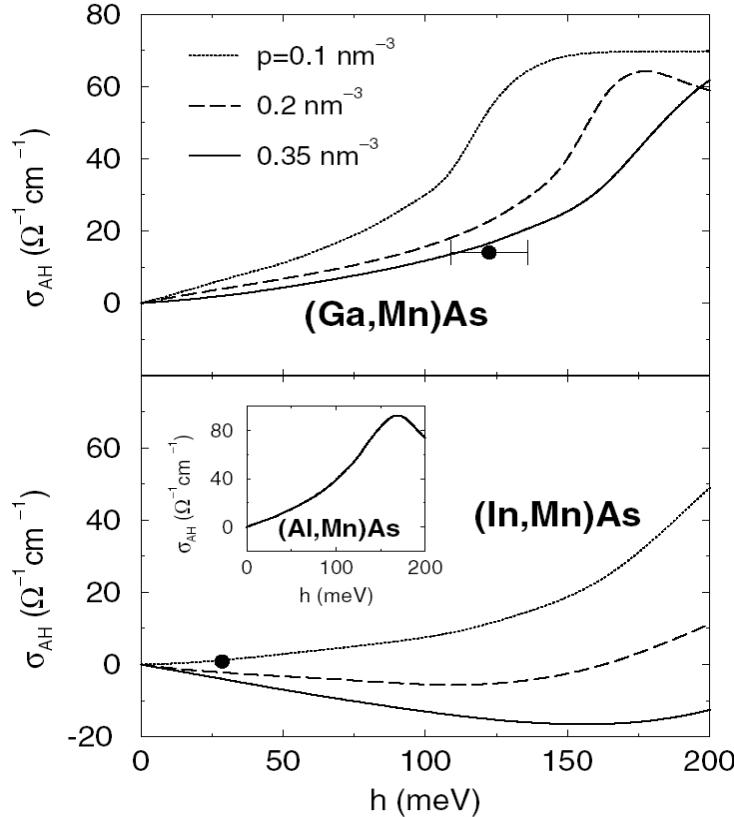


**Small energy scale
0.02eV
Behavior like quantum
chaos**



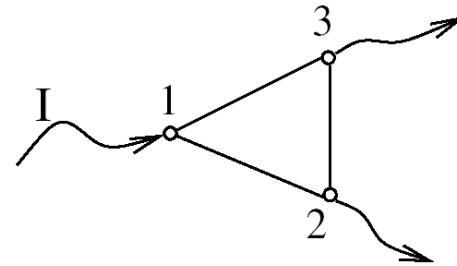
c.f. T. Jungwirth et al
(Ga,Mn)As

Another system of dissipationless AHE -- (Ga,Mn)As



Jungwirth et al (2002)

Hopping transport
-- random network model



$$\sigma_{xy}^{AH} \sim L \sigma_{xx}^2 \frac{d \ln Q_0}{d \epsilon} \frac{h T}{e^2 t_{3/2}} (T_0/T)^{1/4} e^{-(T_0/T)^{1/4}},$$

Burkov-Balents (2003)

It turns that the intrinsic (Berry phase) mechanism dominates !!

Anderson Localization and Quantized Anomalous Hall Effect

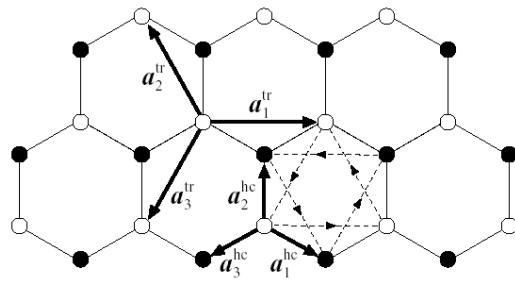
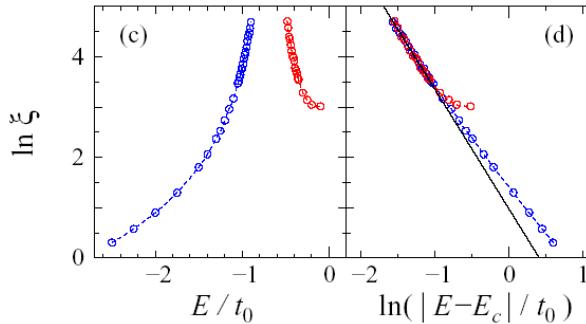
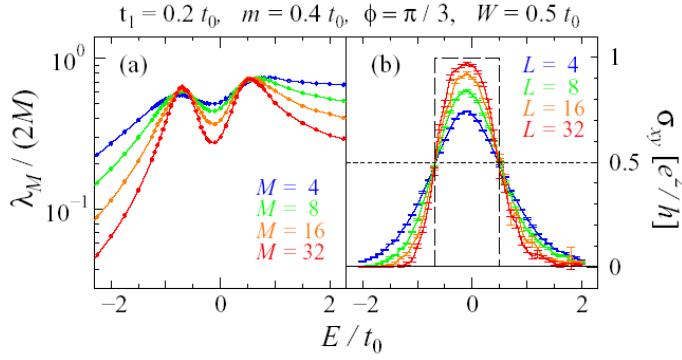
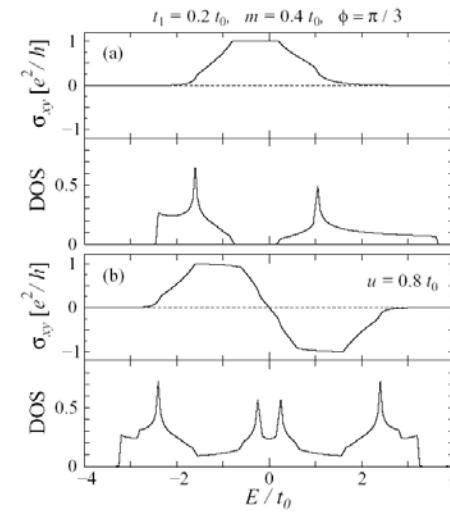
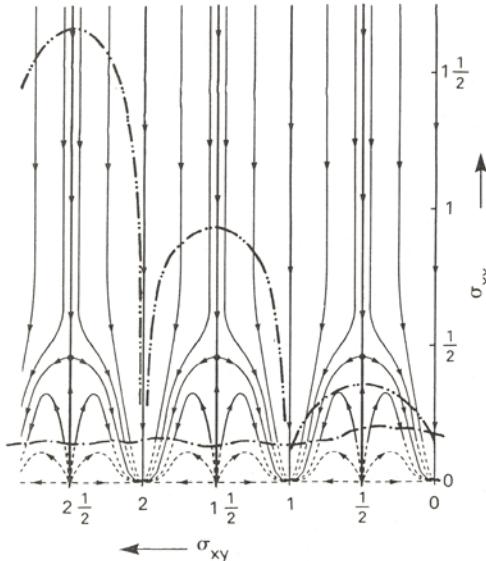


FIG. 1: Haldane's model defined on honeycomb lattice [12]. Open and closed circles represent respectively. The lattice vectors of horizontal and vertical lattice respectively. The respective hopping parameters are the neighbor hopping.

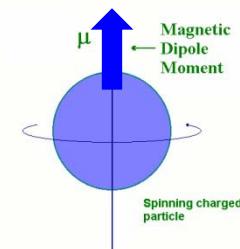
D.F.M. Haldane (1988)
Zero field QHE



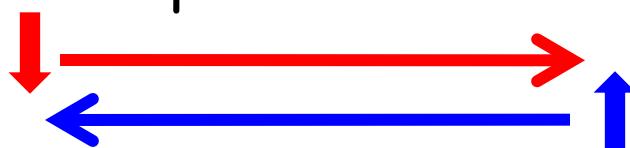
Spin Hall Effect

Classification of Order Parameters

	Time reversal	even	odd
Inversion			Spin
even	ρ charge density	\vec{M} magnetization	
odd	\vec{j}_s, \vec{P} spin current polarization	\vec{j}, \vec{T} current toroidal moment	



Spin current



Time-reversal symmetry in quantum mechanics

$$\Theta = e^{-i\pi S_y/\hbar} K \quad \text{Time-reversal operation}$$

K complex conjugation

$$\Theta[\alpha\psi] = \alpha^*\Theta\psi \quad \langle \Theta\psi | \Theta\phi \rangle = \langle \phi | \psi \rangle \text{ anti-unitary}$$

$$\Theta^2 = (-1)^{2S}$$

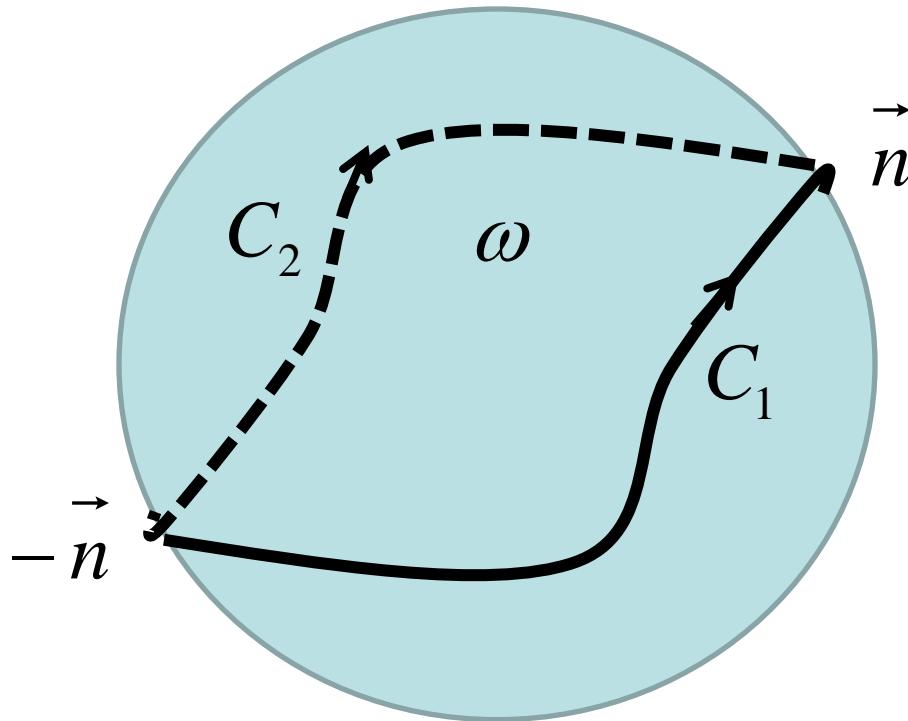
Kramers theorem

$\Theta H = H\Theta$ Time-reversal symmetric Hamiltonian

ψ and $\Theta\psi$ are two orthogonal degenerate states

$$\langle \psi | \Theta\psi \rangle = \langle \Theta^2\psi | \Theta\psi \rangle = -\langle \psi | \Theta\psi \rangle = 0$$

Barry phase and Kramers theorem



$$\exp[i \oint_{C_1 + (-C_2)} d\vec{n} \cdot \vec{A}_s(\vec{n})] = e^{iS\omega}$$

$$A_j = \exp \left[i \int_{C_j} d\vec{n} \cdot \vec{A}_s(\vec{n}) \right]$$

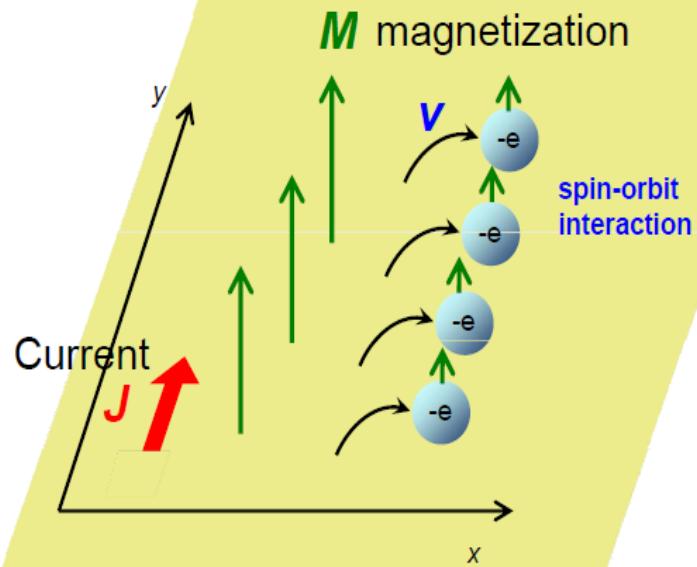
Amplitude for C_j

$$\omega = 2\pi \quad S = 1/2$$

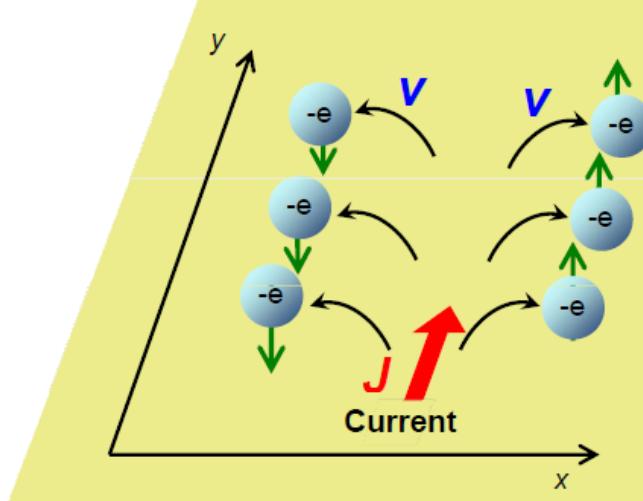
→ $A_1 A_2^* = -1 \quad A_1 = -A_2$

→ Tunneling amplitude from n to $-n$ is zero $A_1 + A_2 = 0$

Anomalous Hall Effect



Spin Hall Effect



Spin Hall effect in semiconductors

$$j_{xy} = \frac{eE_z}{12\pi^2\hbar} (k_F^H - k_F^L) \equiv \frac{1}{2e} \sigma_s E_z$$

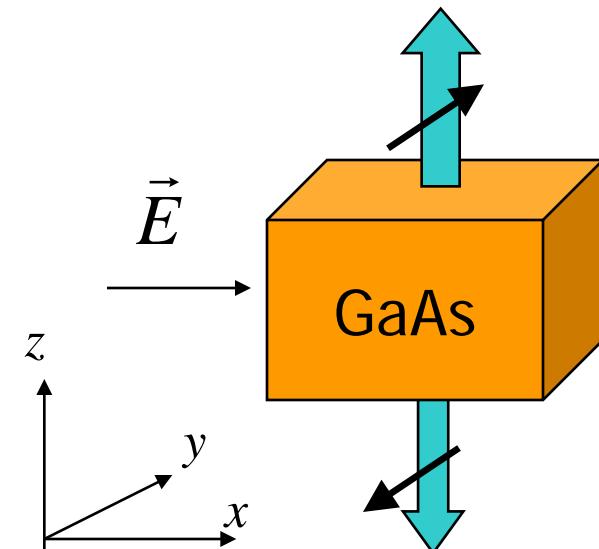
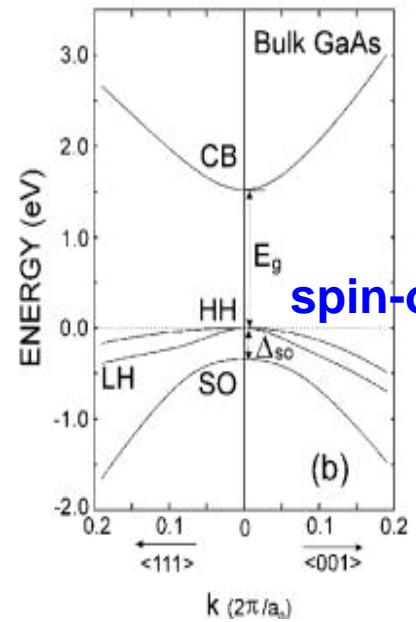
x: current direction
y: spin direction
z: electric field

SU(2) analog of the QHE

- topological origin
- dissipationless
- All occupied states in the valence band contribute.

External electric field does not break time-reversal symmetry.

Spin current is allowed in this system with time-reversal symmetry



Wave-packet formalism in systems with Kramers degeneracy

Let us extend the wave-packet formalism to the case with time-reversal symmetry.

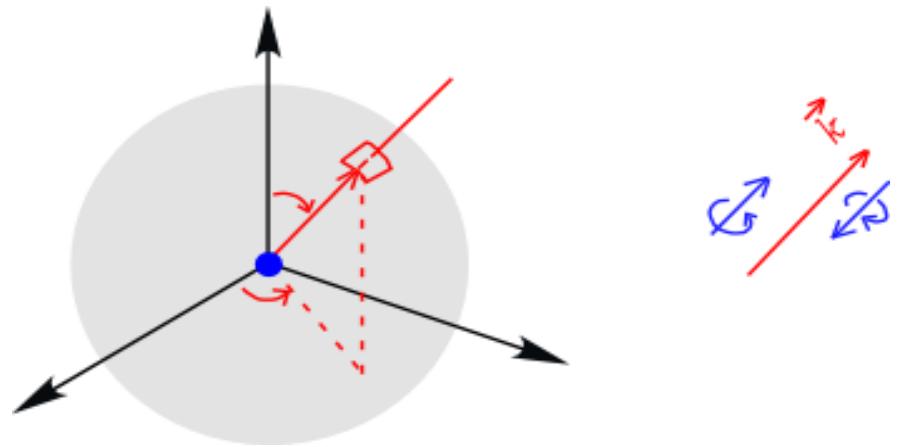
Adiabatic transport

= The wave-packet stays in the same band, but can transform inside the Kramers degeneracy.

$$|\psi_n(t)\rangle = \int d^3q \left(a_1(\vec{q}, t) |\psi_{n1}(\vec{q}, \vec{x}_c, t)\rangle + a_2(\vec{q}, t) |\psi_{n2}(\vec{q}, \vec{x}_c, t)\rangle \right) \quad (n = H, L)$$
$$\begin{pmatrix} z_1(\vec{q}, t) \\ z_2(\vec{q}, t) \end{pmatrix} = \frac{1}{\sqrt{a_1^2 + a_2^2}} \begin{pmatrix} a_1(\vec{q}, t) \\ a_2(\vec{q}, t) \end{pmatrix}$$

Eq. of motion

$$\begin{cases} \dot{\vec{k}} = -e\vec{E} \\ \dot{x}_l = \frac{\partial E^n}{\partial k_l} - \vec{k}_j (z^+ F_{lj}^n z) \quad n = H, L \\ \dot{z} = i(\vec{k} \cdot \vec{A}^n) z \end{cases}$$



Real-space trajectory within Abelian approximation

Eq. of motion: $\dot{k}_i = -E_i$, $\dot{x}_i = \frac{k_i}{m_\lambda} + \frac{\lambda}{k^3} \epsilon_{ijk} \dot{k}_j k_k$

It can be integrated:

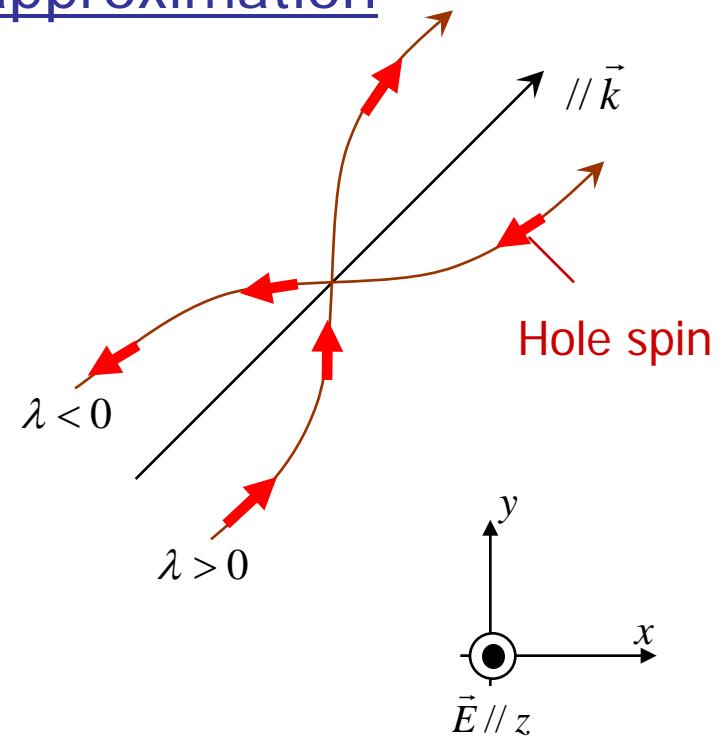
$$\vec{k}(t) = (k_{x0}, k_{y0}, k_{z0} - E_z t)$$

$$z(t) = z_0 + \frac{k_{z0}}{m_\lambda} t - \frac{E_z}{2m_\lambda} t^2,$$

$$x(t) = x_0 + \frac{k_{x0}}{m_\lambda} t + \frac{\lambda k_{y0}}{k_{x0}^2 + k_{y0}^2} \frac{E_z t - k_{z0}}{\sqrt{k_{x0}^2 + k_{y0}^2 + (E_z t - k_{z0})^2}},$$

$$y(t) = x_0 + \frac{k_{y0}}{m_\lambda} t - \frac{\lambda k_{x0}}{k_{x0}^2 + k_{y0}^2} \frac{E_z t - k_{z0}}{\sqrt{k_{x0}^2 + k_{y0}^2 + (E_z t - k_{z0})^2}}$$

Side jump ($\perp \vec{k} (\parallel \vec{S})$)



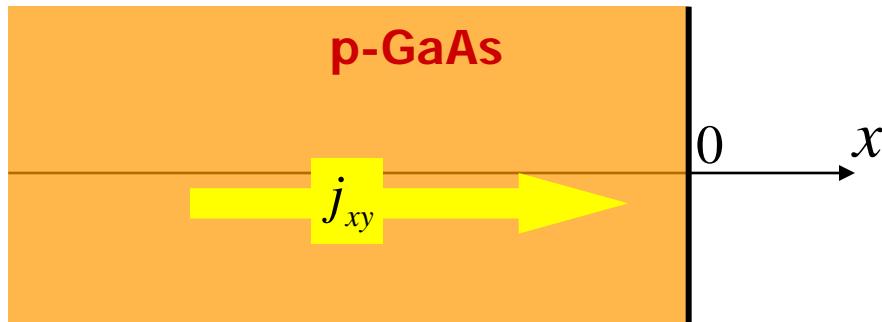
Spin motion can be known from orbital motion since $\vec{S} = \lambda \hat{k}$.

Spin current (spin//y, velocity//x)

$$j_{xy}^H = \frac{1}{3} \sum_{\lambda=\pm\frac{3}{2}, \vec{k}} \dot{x} S_y n^\lambda(\vec{k}) = \frac{E_z k_F^H}{4\pi^2 \hbar},$$

$$j_{xy}^L = \frac{1}{3} \sum_{\lambda=\pm\frac{1}{2}, \vec{k}} \dot{x} S_y n^\lambda(\vec{k}) = \frac{E_z k_F^L}{36\pi^2 \hbar},$$

Spin accumulation at the boundary



p-GaAs : $x \leq 0$

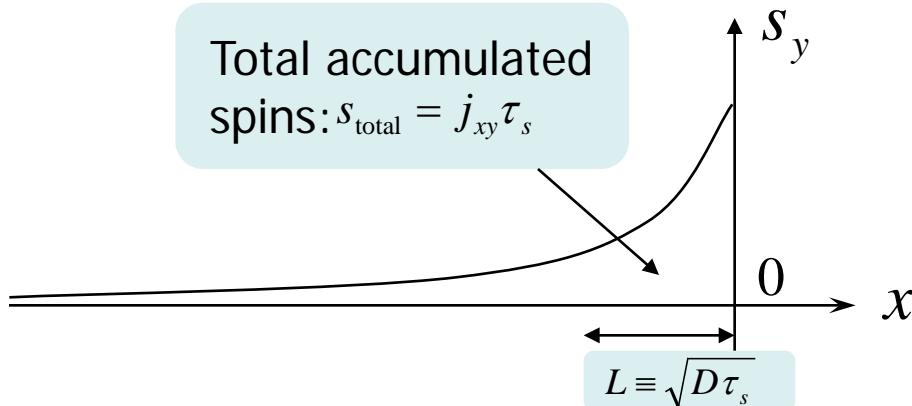
Spin current : $j_{xy}(x) = j_{xy}\theta(-x)$

Diffusion eq.

$$\frac{\partial s^y(x,t)}{\partial t} - D \frac{\partial^2 s^y(x,t)}{\partial x^2} = -\frac{\partial j_{xy}(x,t)}{\partial x} - \frac{s^y(x,t)}{\tau_s}$$

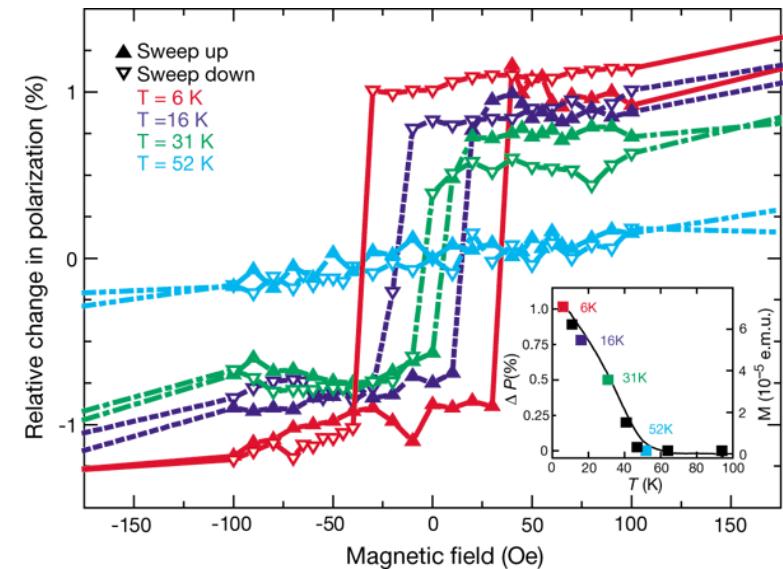
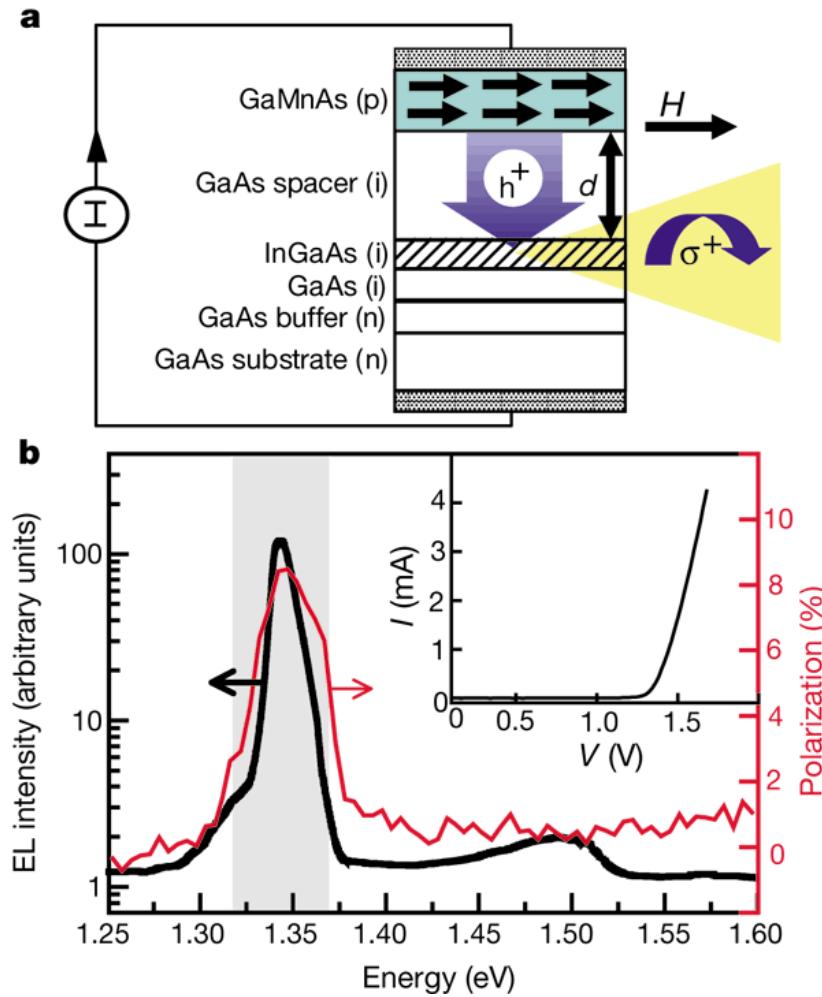
Steady-state solution: $s^y(x) = j_{xy} \sqrt{\frac{\tau_s}{D}} e^{x/L}, \quad L \equiv \sqrt{D\tau_s}$

Total accumulated
spins: $s_{\text{total}} = j_{xy} \tau_s$

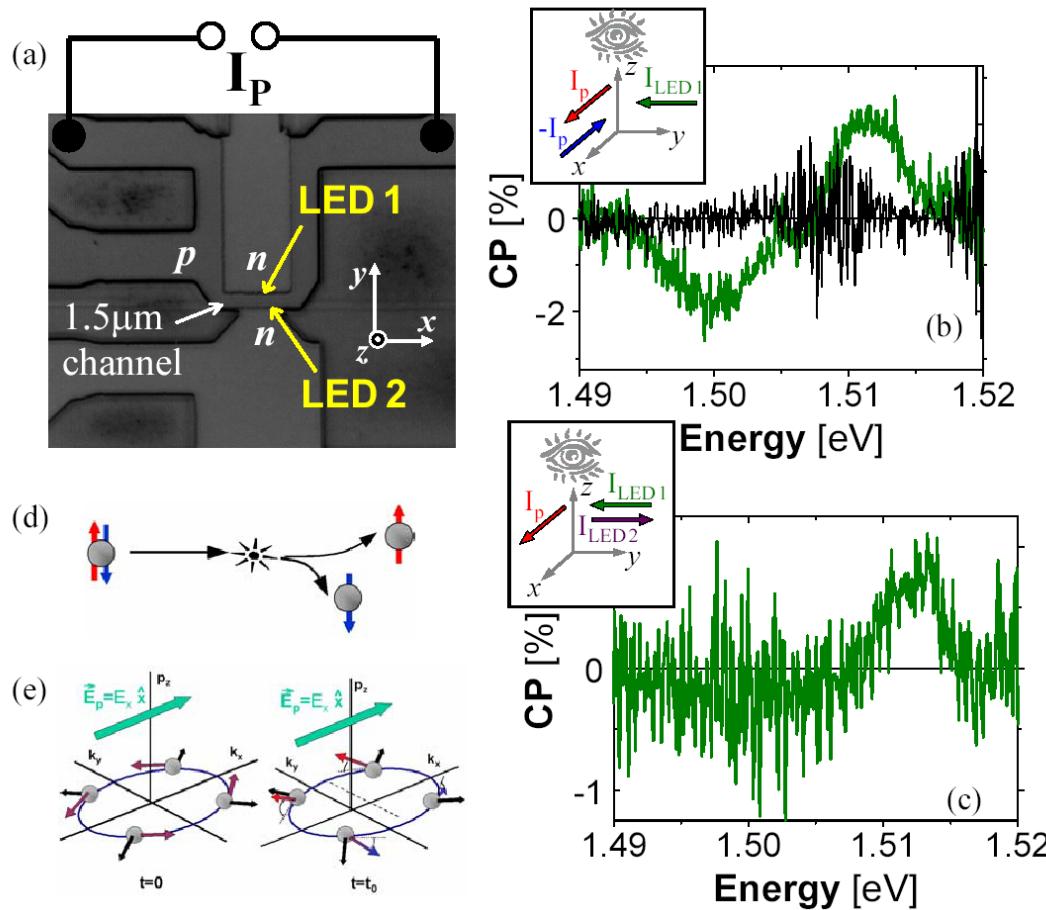


Spin injection by ferromagnetic semiconductor $\text{Ga}_{1-x}\text{Mn}_x\text{As}$

Ohno et al., Nature 402, 790 (1999)



Experimental confirmation of spin Hall effect in GaAs
D.D Awschalom (n-type) UC Santa Barbara
J.Wunderlich (p-type) Hitachi Cambridge



Wunderlich et al. 2004

Hall Effect of Light

Can neutral particle show Hall effect ?

Hall effect of photon

M. Onoda et al, Phys. Rev. Lett. **93**, 083901 (2004).

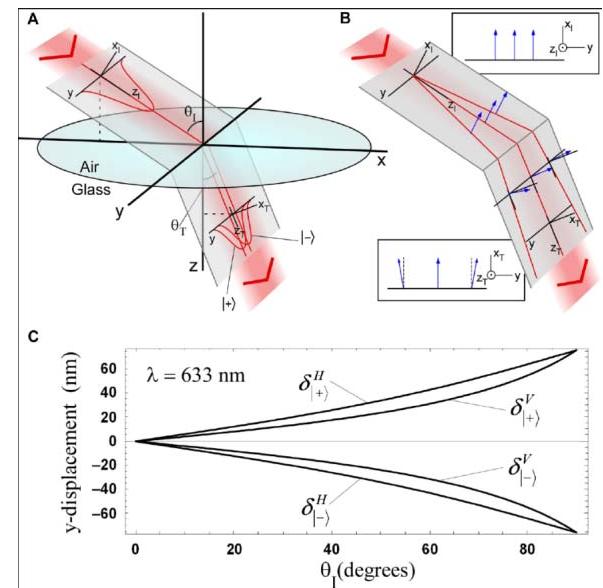
K.Y. Bliokh and Y.P. Bliokh

Phys. Rev. Lett. **96**, 073903 (2006).

F. D. M. Haldane and S. Raghu,

Phys. Rev. Lett. **100**, 013904 (2008)

O. Hosten, P. Kwiat, Science **319**, 787 (2008).



Thermal Hall effect by phonon : $\text{Tb}_3\text{Ga}_5\text{O}_{12}$

Strohm, Rikken, & Wyder, PRL **95** ('05).

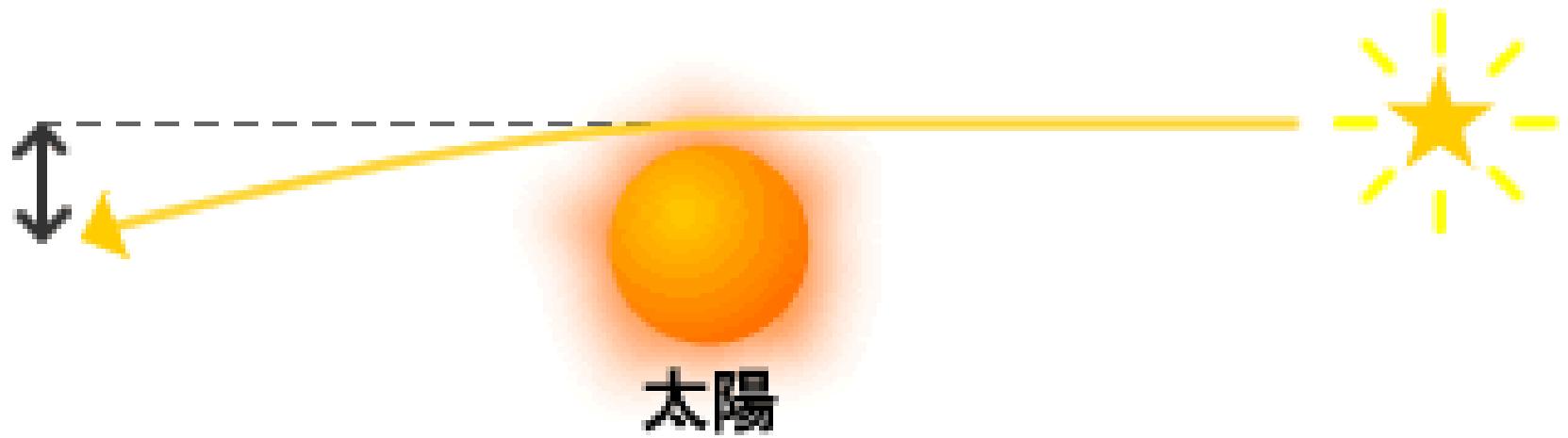
Thermal Hall angle: $\alpha(B) = \kappa_{xy}(B)/\kappa_{xx}(B) \sim 10^{-4} \text{ rad T}^{-1}$ at 5K.

Thermal Hall effect by magnons

H. Kastura, N.N., and P.A. Lee, PRL **104** ('10).

Y. Onose et al., Science (2010)

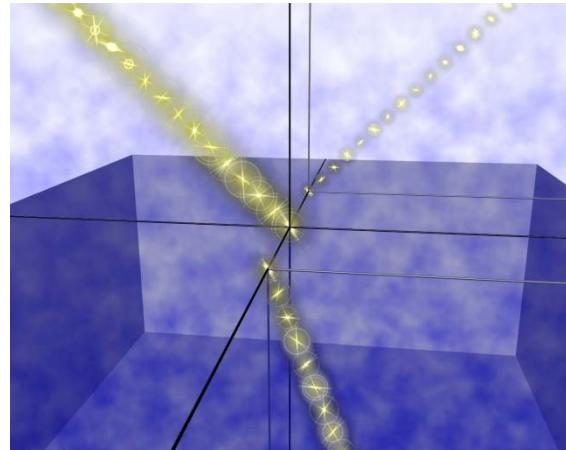
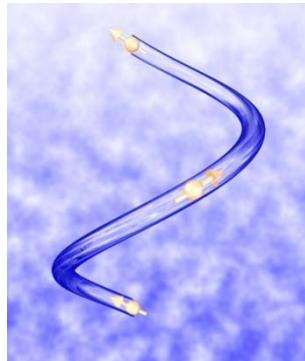
gravitational lens



Curvature in momentum space changes the trajectory of light

Hall Effect of Light

Photon also has “spin”

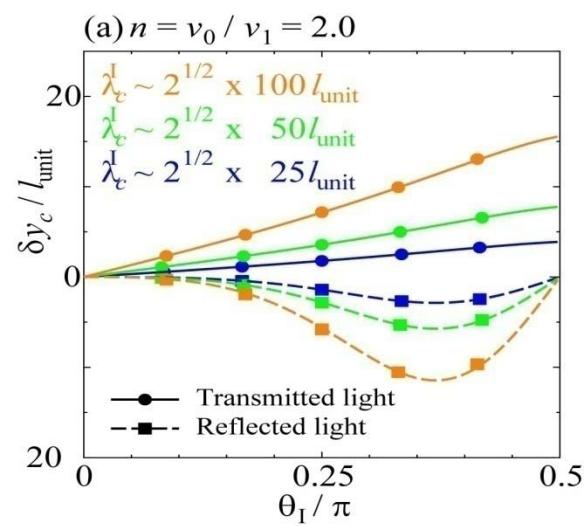


Extended equation of geometrical optics

$$velocity: \dot{\vec{r}}_c = v(\vec{r}_c) \frac{\vec{k}_c}{k_c} + \vec{k}_c \times (z_c | \vec{\Omega}_{\vec{k}_c} | z_c)$$

$$force: \dot{\vec{k}}_c = -[\vec{\nabla}v(\vec{r}_c)]k_c$$

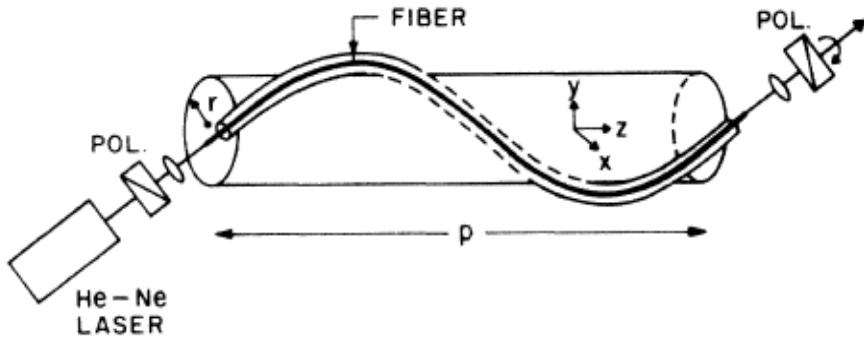
$$polarization: |\dot{z}_c) = -i\vec{k}_c \cdot \vec{\Lambda}_{\vec{k}_c} | z_c)$$



M.Onoda,
S.Murakami,
N.N. (PRL2004)

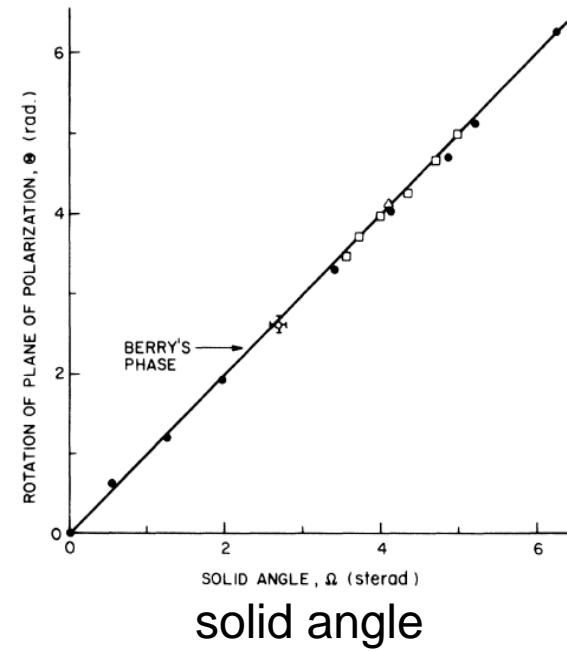
K.Y. Blikhoh,
Y.P.Blikhoh

Rotation of polarization in optical fiber



*Tomita-Chiao 1986
M.V.Berry*

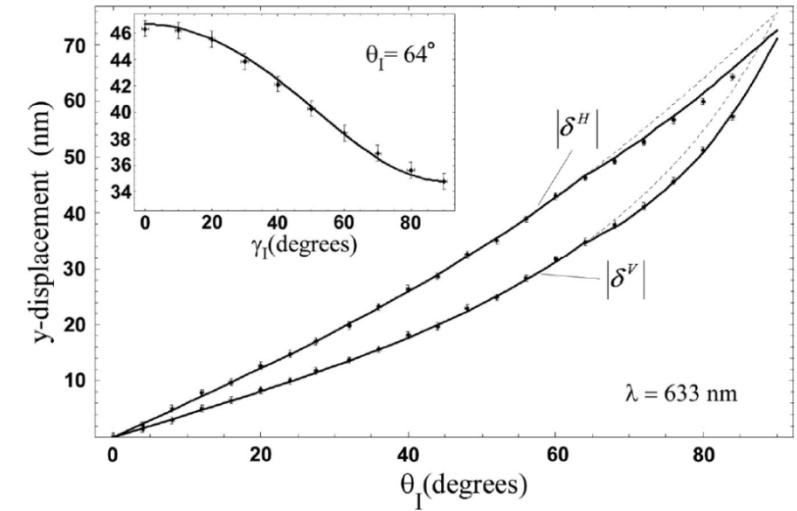
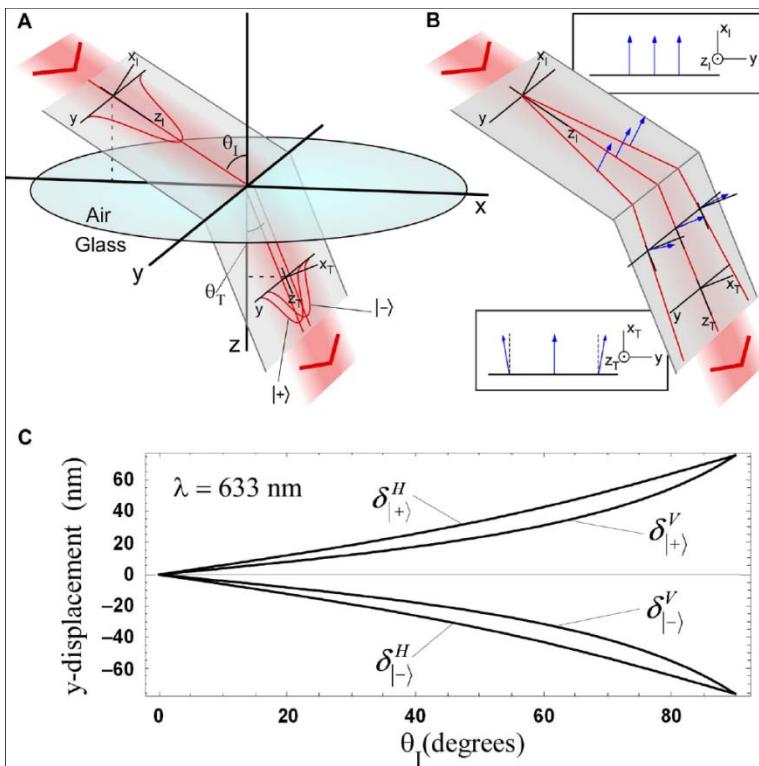
polarization
rotation



Observation of the Spin Hall Effect of Light via Weak Measurements

Onur Hosten* and Paul Kwiat

Department of Physics, University of Illinois at Urbana-Champaign, Urbana, IL 61801, USA.

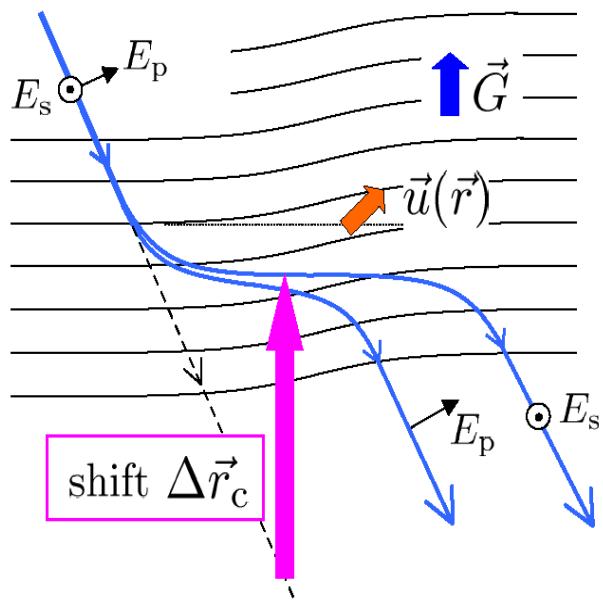
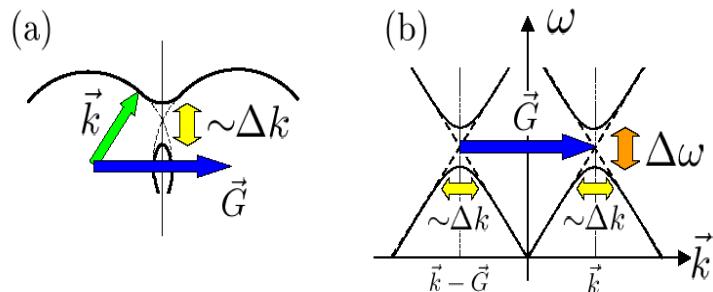


1 angstrom accuracy by quantum “weak measurement”

$$A_w = \frac{\langle \Psi_2 | \hat{A} | \Psi_1 \rangle}{\langle \Psi_2 | \Psi_1 \rangle}$$

Giant shift of X-ray beam in deformed crystal

Sawada-Murakami-Nagaosa PRL06
Berry curvature in r-k space



$\approx 10^6$ enhancement

PRL 104, 244801 (2010)

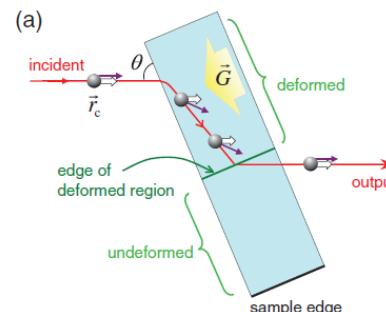
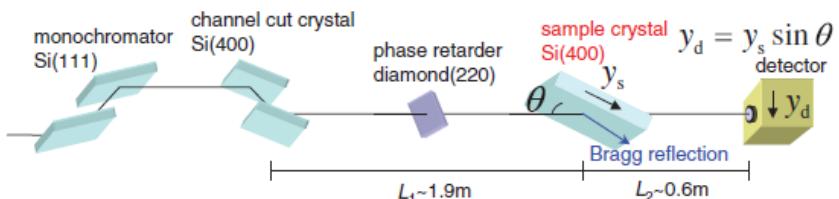
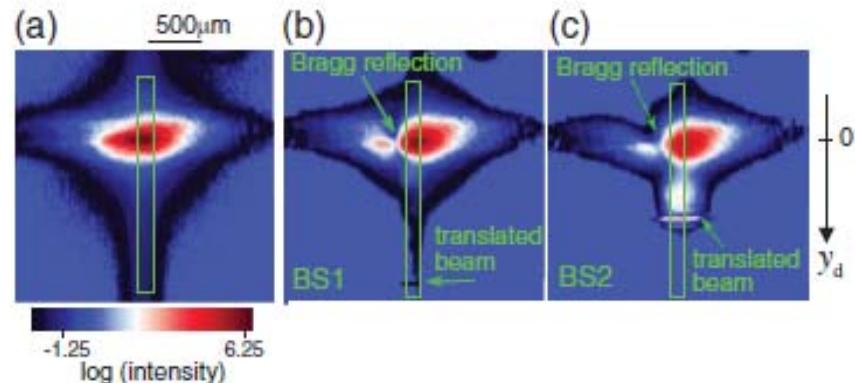
Selected for a Viewpoint in Physics
PHYSICAL REVIEW LETTERS

week ending
18 JUNE 2010

PRL2010

Berry-Phase Translation of X Rays by a Deformed Crystal

Yoshiki Kohmura, Kei Sawada, and Tetsuya Ishikawa
RIKEN, Spring-8 Center, 1-1-1, Kouto, Sayo-cho, Sayo-gun, Hyogo 679-5148, Japan
(Received 21 December 2009; published 14 June 2010)



Magnon Hall Effect

Kubo formula for thermal Hall conductivity

$$\kappa^{xy} = \frac{V}{T} \int_0^\infty dt \int_0^\beta d\lambda \langle j_E^x(-i\lambda) j_E^y(t) \rangle_{\text{th}}$$

$$\begin{aligned} \kappa^{xy} &= -i \frac{1}{4T} \frac{1}{V} \sum_{\vec{k}} n_\alpha(\vec{k}) [\Theta_{\alpha\beta}^x(\vec{k})(\omega_\alpha(\vec{k}) + \omega_\beta(\vec{k}))^2 \Theta_{\beta\alpha}^y(\vec{k}) - (x \leftrightarrow y)] \\ &= -\frac{1}{2} \frac{1}{T} \text{Im} \sum_{\alpha} \int_{\text{BZ}} \frac{d^2 k}{(2\pi)^2} n_\alpha(k) \left\langle \frac{\partial u_\alpha(k)}{\partial k_x} \right| (\mathcal{H}(k) + \omega_\alpha(k))^2 \left| \frac{\partial u_\alpha(k)}{\partial k_y} \right\rangle \end{aligned}$$

Berry curvature

Bose distribution function $n_\alpha(\vec{k}) = 1/(e^{\beta\omega_\alpha(\vec{k})} - 1)$

$$\mathcal{H}(\vec{k}) |u_\alpha(\vec{k})\rangle = \omega_\alpha(\vec{k}) |u_\alpha(\vec{k})\rangle$$

c.f. Matsumoto- Murakami

$$L_z^{\text{self}} \simeq m_1^* l_z^{\text{self}} = -\frac{16JSm_1^*}{\hbar V} \text{Im} \sum_{\mathbf{k}} \rho(\varepsilon_{1\mathbf{k}}) \left\langle \frac{\partial u_1}{\partial k_\alpha} \left| \frac{\partial u_1}{\partial k_\beta} \right. \right\rangle$$

Thermal Hall effect in Kagome ferromagnet

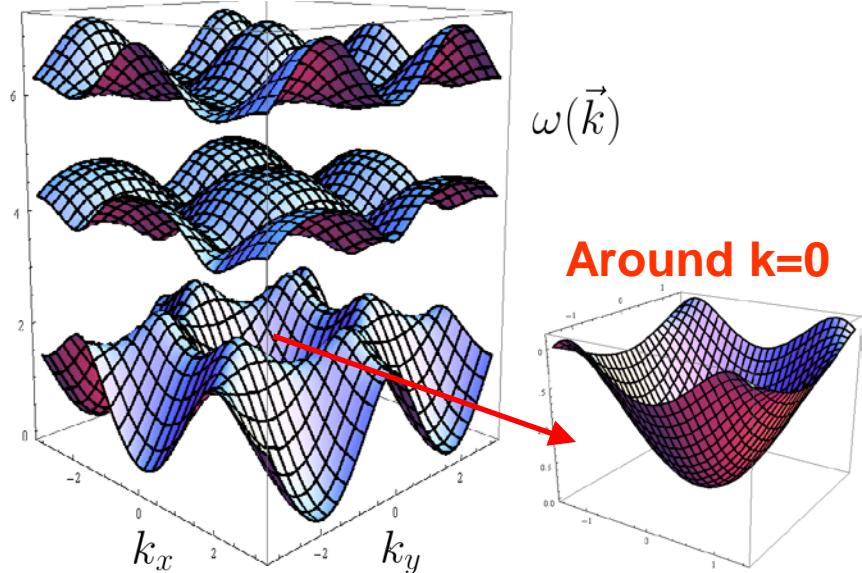
Spin Wave Hamiltonian

$$\mathcal{H}(\vec{k}) = 4JS - 2JS\Lambda(\vec{k}, \phi)/\cos(\phi/3)$$

$$\Lambda(\vec{k}, \phi) = \begin{pmatrix} 0 & \cos k_1 e^{-i\phi/3} & \cos k_3 e^{i\phi/3} \\ \cos k_1 e^{i\phi/3} & 0 & \cos k_2 e^{-i\phi/3} \\ \cos k_3 e^{-i\phi/3} & \cos k_2 e^{i\phi/3} & 0 \end{pmatrix}$$

$(k_j \equiv \vec{k} \cdot \vec{a}_j)$

Magnon dispersion $JS = 1, \phi = \pi/3$



TKNN-like formula:

$$\kappa^{xy} \sim -\frac{(6JS)^2}{2T} \int_{BZ} \frac{d^2k}{(2\pi)^2} n_1(\vec{k}) \text{Im} \langle \partial_{k_x} u_1(\vec{k}) | \partial_{k_y} u_1(\vec{k}) \rangle$$

$$\sim -\frac{(6JS)^2}{2T} \int_0^\infty \frac{dk}{2\pi} \frac{k}{e^{\beta JS k^2} - 1} \left(\frac{-\phi k^2}{27\sqrt{3}} \right) = \boxed{\frac{\pi\phi}{36\sqrt{3}} T}$$

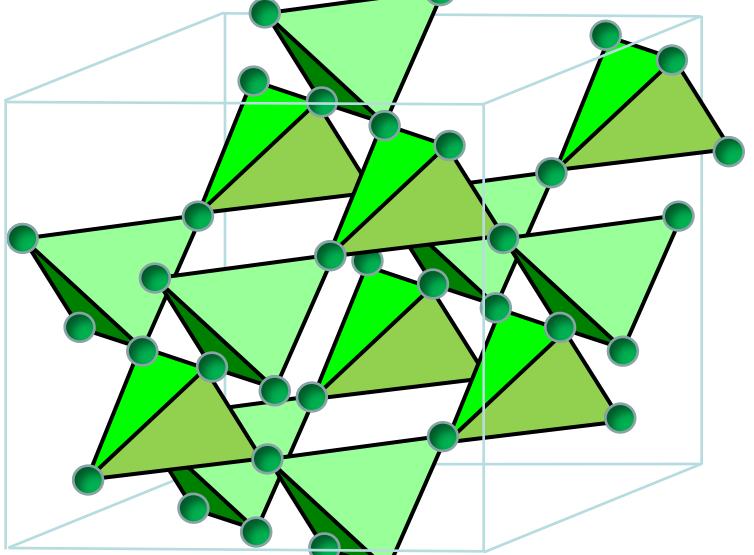
$$\omega_1(\vec{k}) \sim JS(k_x^2 + k_y^2)$$

T-linear & B-linear!

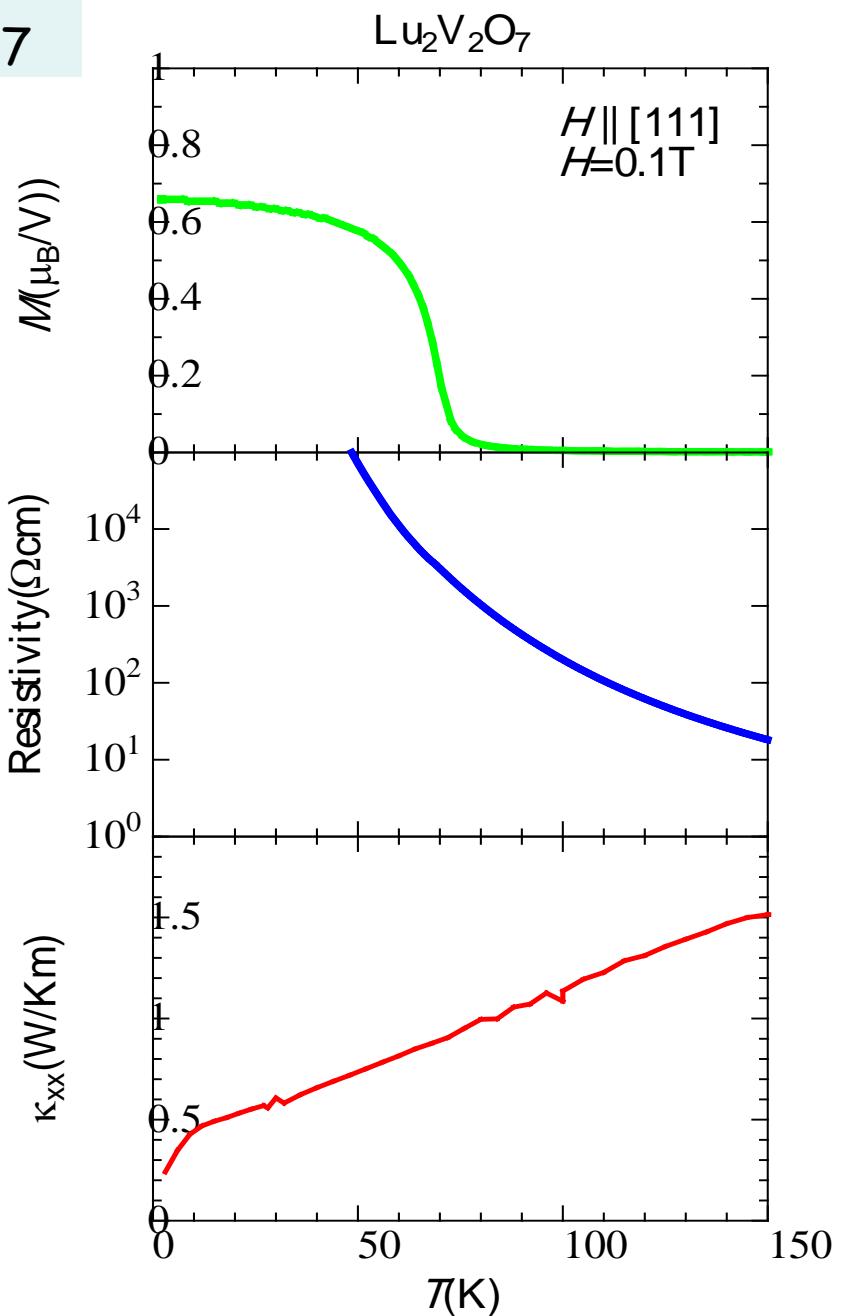
$$\phi \propto \Phi = \frac{eBA_\Delta}{\hbar c}$$

Skew scattering? Small in the scattering of low energy limit (s-wave).

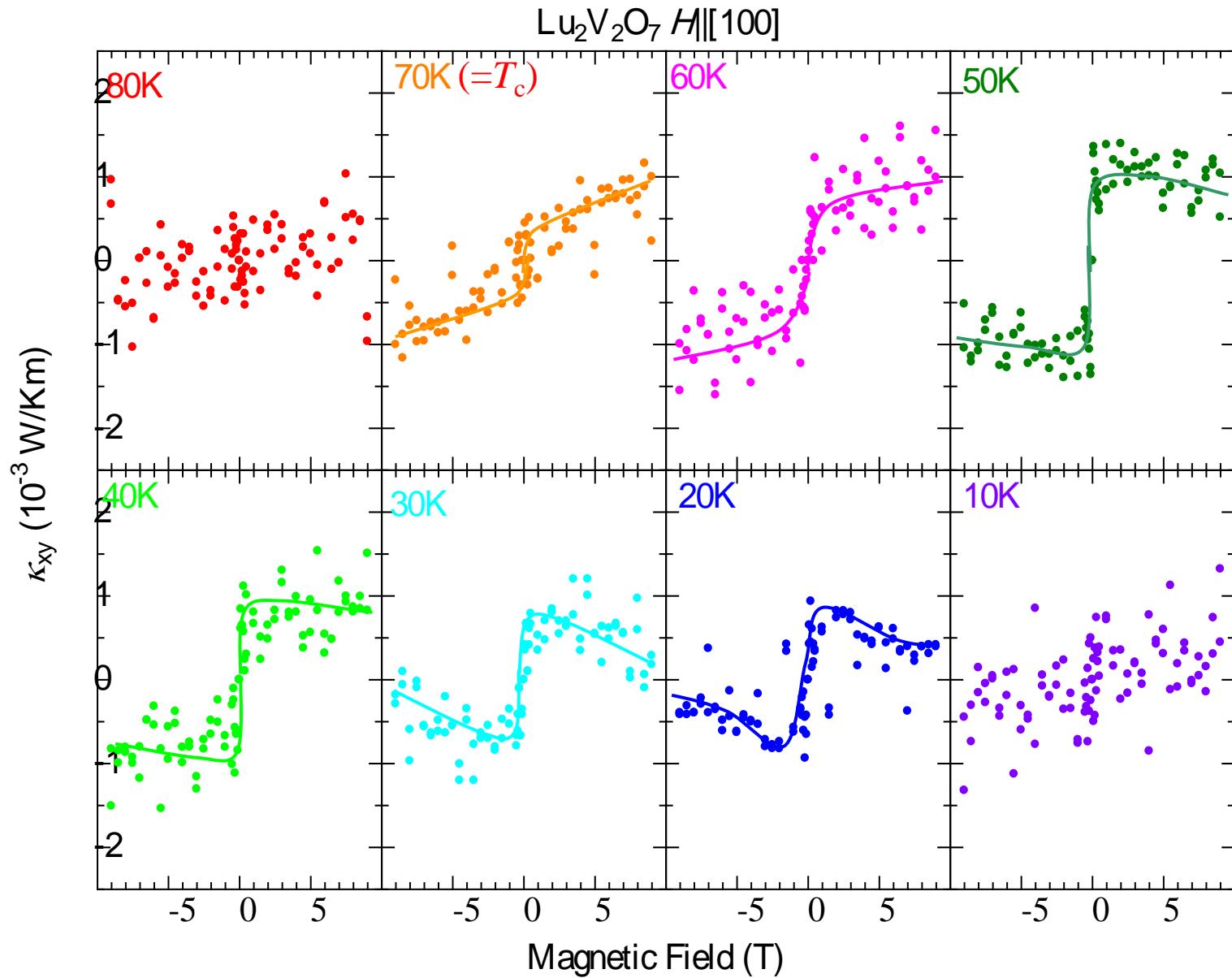
Target material - $\text{Lu}_2\text{V}_2\text{O}_7$



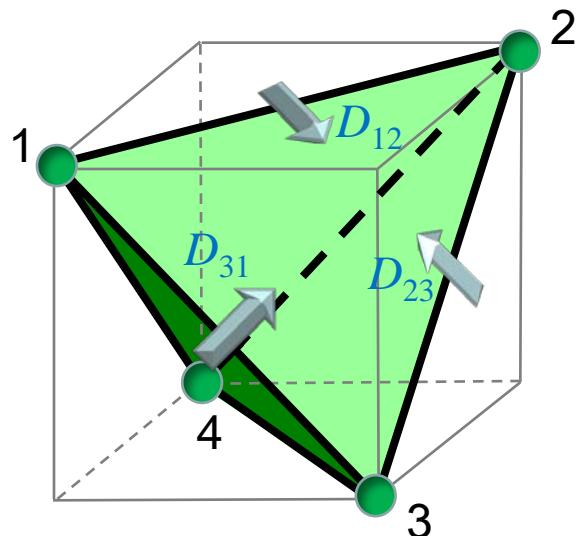
- ✓ Pyrochlore Lattice
- (111) Plane is Kagome
- ✓ Collinear ferromagnet
- ✓ insulator



Thermal Hall conductivity for $\text{Lu}_2\text{V}_2\text{O}_7$



Theory of magnon Hall effect based on DM interaction



i site

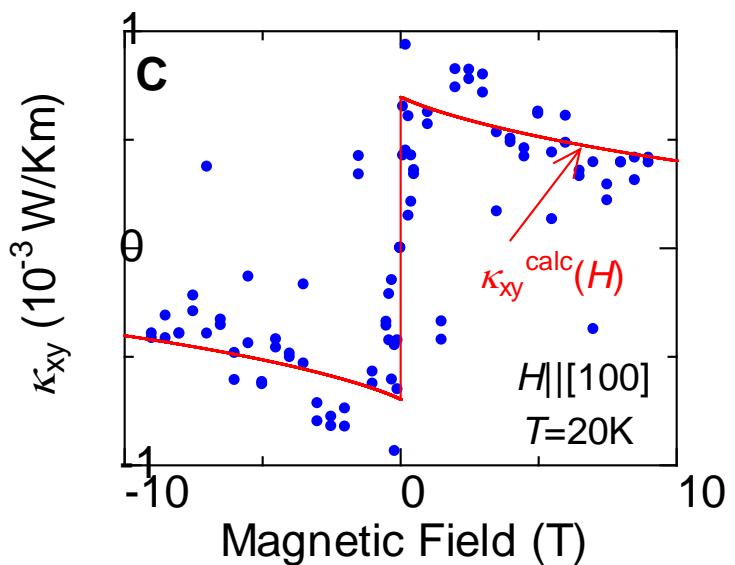
Katsura & Nagaosa

$$| i \rangle = \uparrow \uparrow \uparrow \uparrow \uparrow \circlearrowleft \uparrow \uparrow \uparrow \uparrow \uparrow$$

$$\langle j | -JS_i \cdot \vec{S}_j + \vec{D}_{ij} \cdot (\vec{S}_i \times \vec{S}_j) / i \rangle = -(\tilde{J}/2) e^{i\phi_{ij}}$$

$$\tilde{J} e^{i\phi_{ij}} = J + i \vec{D}_{ij} \cdot \vec{n}$$

Magnons acquire Berry phase owing to
DM interaction.



$$\kappa_{\alpha\beta}(H, T) = \Phi_{\alpha\beta} \frac{k_B^2 T}{\pi^{3/2} \hbar a} \left(2 + \frac{g\mu_B H}{2JS} \right)^2 \sqrt{\frac{k_B T}{2JS}} \text{Li}_{5/2} \left[\exp \left(-\frac{g\mu_B H}{k_B T} \right) \right],$$

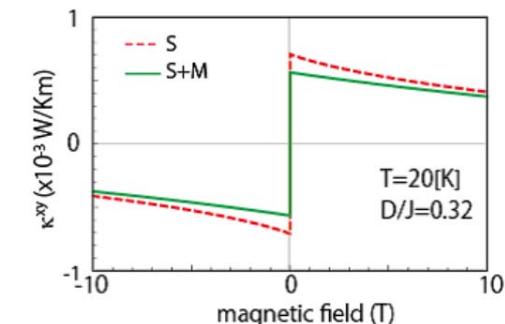
(isotropic)

$$\text{Li}_n(z) = \sum_{k=0}^{\infty} \frac{z^k}{k^n}$$

$$D/J=0.32$$

Cf. $D/J=0.19$ for
 CdCr_2O_4

c.f. Matsumoto
-Murakami



Topological Materials

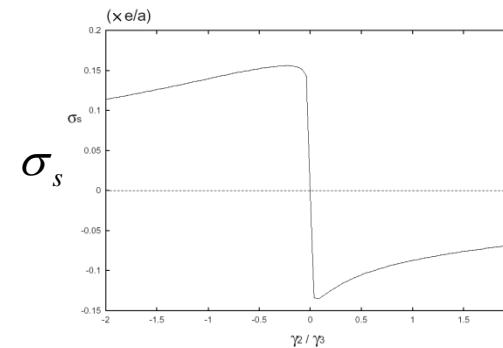
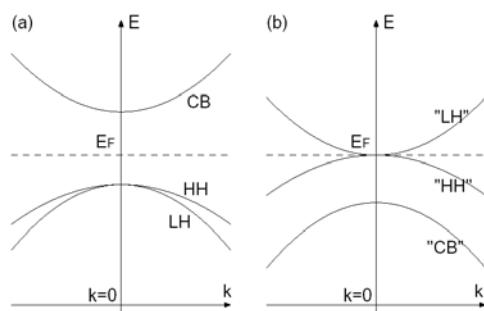
Topological Insulator

Spin Hall Insulator

S.Murakami, N.N., S.C.Zhang (2004)

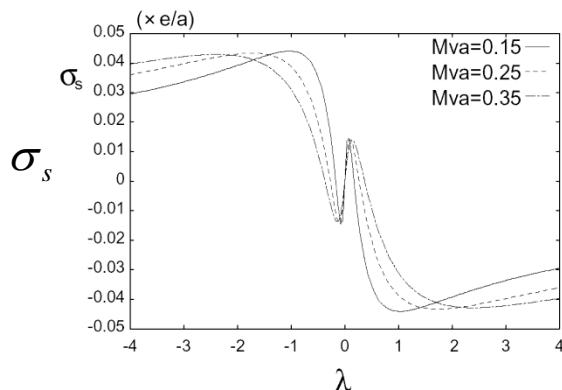
Zero gap semiconductors

HgTe, HgSe, HgS, alpha-Sn



Narrow gap semiconductors

Rocksalt structure: PbTe, PbSe, PbS



Geometrical meaning
of σ_s in 5d space

*Fradkin-Dagotto
-Boyanovsky
Tchernyshyov*

$$H = v\mathbf{k} \cdot \hat{p}\tau_1 + \lambda v\mathbf{k} \cdot (\hat{p} \times \boldsymbol{\sigma})\tau_2 + Mv^2\tau_3.$$

$$H = \epsilon(\mathbf{k}) + \sum_{a=1}^5 d_a(\mathbf{k})\Gamma_a,$$

$$\sigma_{ij(c)}^l = \frac{4}{2V} \sum (n_L(\mathbf{k}) - n_H(\mathbf{k})) \eta_{ab}^l G_{ij}^{ab},$$

$$G_{ij}^{ab} = \frac{1}{4d^3} \epsilon_{abcde} d_c \frac{\partial d_d}{\partial k_i} \frac{\partial d_e}{\partial k_j}.$$

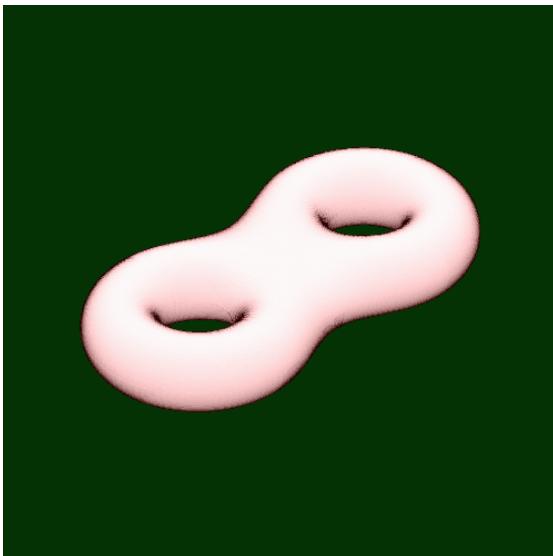
Global properties of manifolds and topological order



Gauss-Bonnet

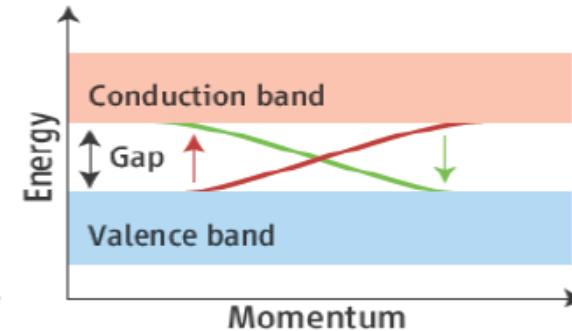
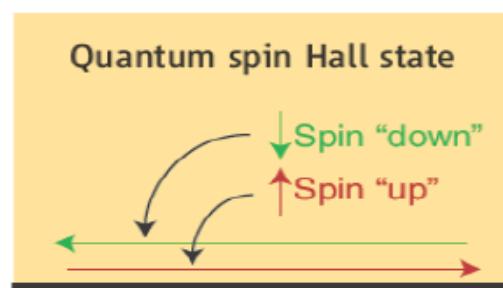
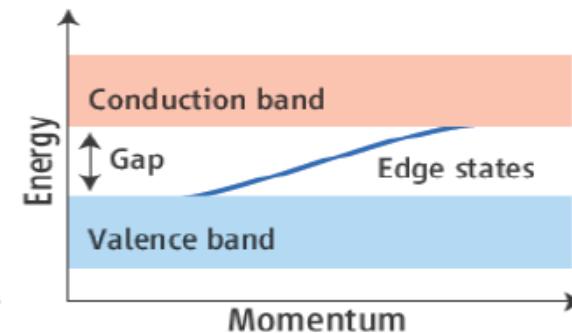
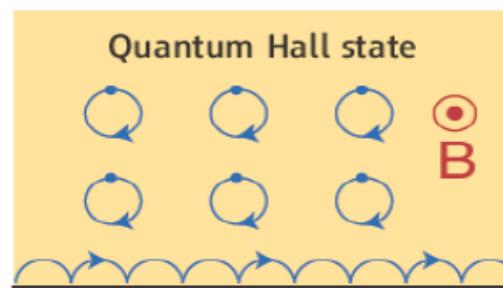
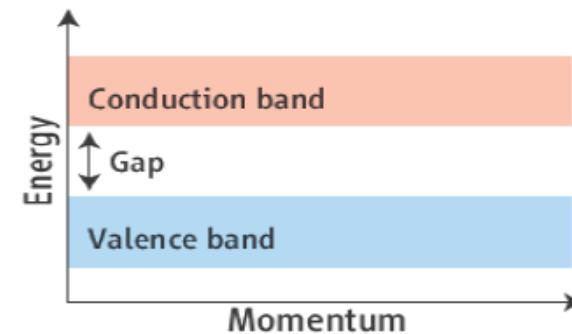
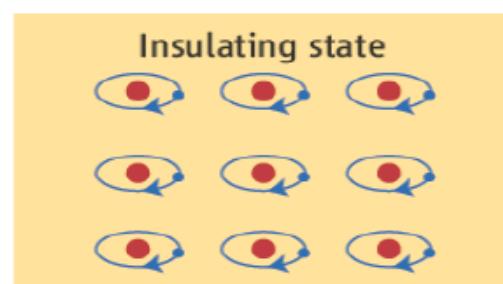
$$\int_S K \sigma_1 \wedge \sigma_2 = 2\pi\chi(S)$$

$$\chi(S) = 2 - 2g$$



Quantum Spin Hall insulator system

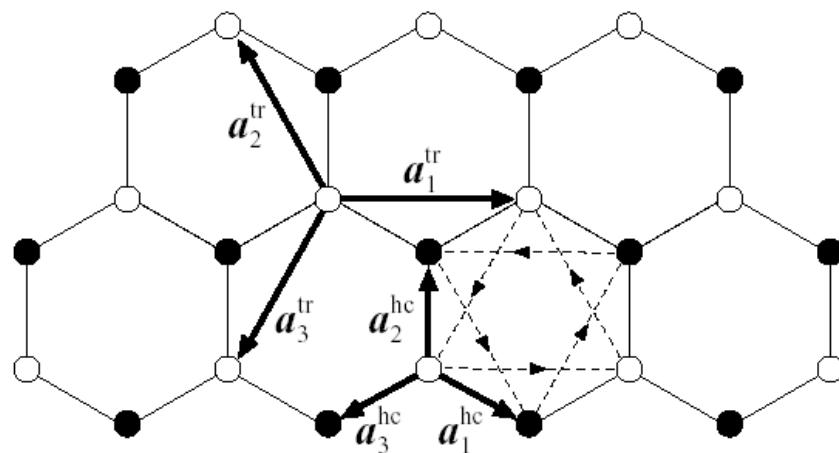
© C.L.Kane



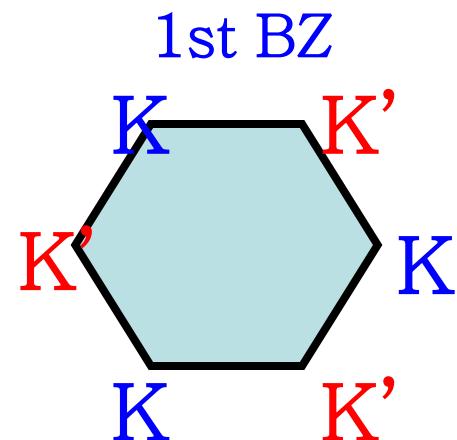
Backward scattering is forbidden
by time-reversal symmetry

Xu-Moore
Wu-Berbevig-Zhang

Haldane model for quantum Hall effect



Complex transfer integral
between next nearest neighbor sites



Dirac fermion at
K and K' points

→ Generation of the mass m with the same sign
at K and K' points

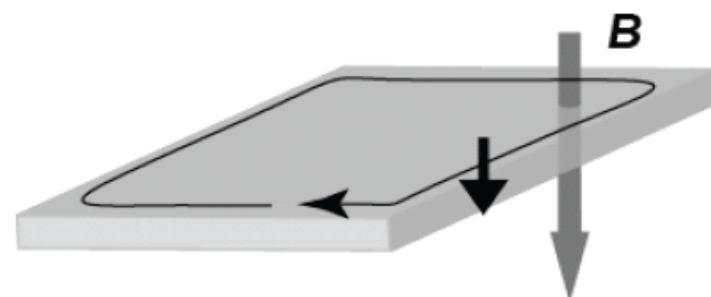
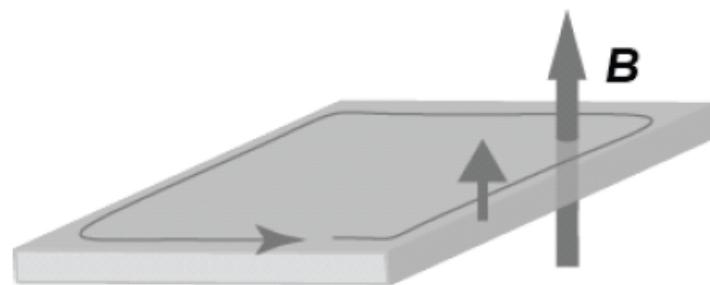
→ Quantized Hall effect
without Landau level formation

Quantum spin Hall phases

Bernevig and Zhang, PRL (2005)
Kane and Mele, PRL (2005),

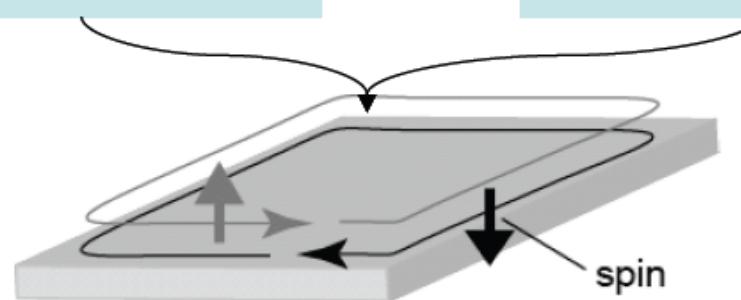
- bulk = gapped (insulator)
- gapless edge states -- carry spin current, topologically protected

Quantum spin Hall state \approx Quantum Hall state $\times 2$



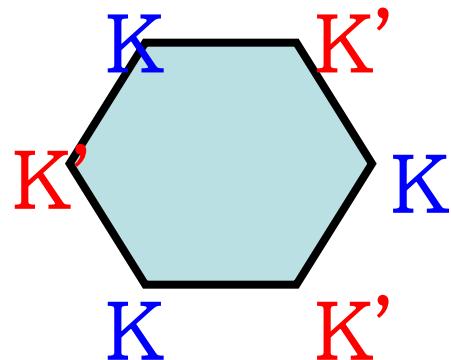
$$\sigma_{xy} = \frac{e^2}{h} \quad \text{for up spin}$$

$$\sigma_{xy} = -\frac{e^2}{h} \quad \text{for down spin}$$



Emergence of the helical edge mode

1st BZ



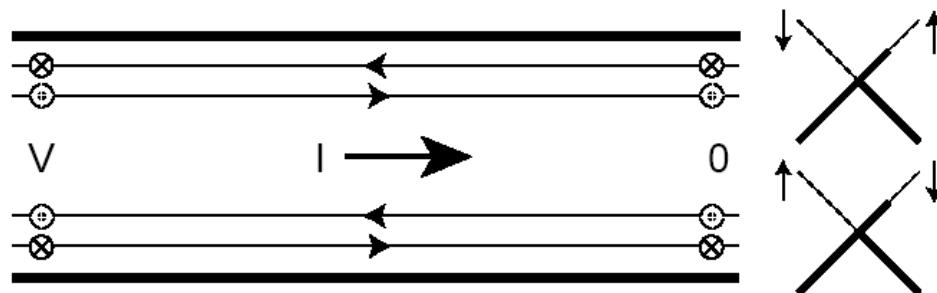
Two Dirac Fermions at K and K' \rightarrow 8 components

$$\mathcal{H}_0 = -i\hbar v_F \psi^\dagger (\sigma_x \tau_z \partial_x + \sigma_y \partial_y) \psi.$$

$$\mathcal{H}_{SO} = \Delta_{so} \psi^\dagger \sigma_z \tau_z s_z \psi.$$

$$\mathcal{H}_R = \lambda_R \psi^\dagger (\sigma_x \tau_z s_y - \sigma_y s_x) \psi.$$

helical edge modes



Stability against the T-invariant disorder due to Kramer's theorem

Kane-Mele, Xu-Moore, Wu-Bernevig-Zhang

$$| -k \downarrow \rangle = \Theta | k \uparrow \rangle \quad H\Theta = \Theta H$$

$$\begin{aligned} & \langle k \uparrow | H | -k \downarrow \rangle = \langle k \uparrow | H \Theta | k \uparrow \rangle = [H | k \uparrow \rangle]^+ \Theta | k \uparrow \rangle \\ & = [\Theta^2 | k \uparrow \rangle]^+ [\Theta H | k \uparrow \rangle] = - \langle k \uparrow | H \Theta | k \uparrow \rangle = 0 \end{aligned}$$

Charge pumping

$$|\psi_{n,k}\rangle = \frac{1}{\sqrt{N_c}} e^{ikx} |u_{n,k}\rangle \quad |R,n\rangle = \frac{1}{2\pi} \int dk e^{-ik(R-r)} |u_{k,n}\rangle$$

Wannier function

$$P_\rho = \sum_n \langle 0, n | r | 0, n \rangle = \frac{1}{2\pi} \oint dk \mathcal{A}(k) \quad \text{polarization}$$

$$\mathcal{A}(k) = i \sum_n \langle u_{k,n} | \nabla_k | u_{k,n} \rangle \quad \text{Berry connection}$$

$$P_\rho[t_2] - P_\rho[t_1] = \frac{1}{2\pi} \left[\oint_{c_2} dk \mathcal{A}(t, k) - \oint_{c_1} dk \mathcal{A}(t, k) \right]$$

$$P_\rho[t_2] - P_\rho[t_1] = \frac{1}{2\pi} \int_{\tau_{12}} dt dk \mathcal{F}(t, k)$$

$$\mathcal{F}(t, k) = i \sum_n (\langle \nabla_t u_{k,n}(t) | \nabla_k u_{k,n}(t) \rangle - c.c.)$$

Berry curvature

Charge pumping and electric polarization

$$|\psi_{n,k}\rangle = \frac{1}{\sqrt{N_c}} e^{ikx} |u_{n,k}\rangle \quad \text{Bloch function}$$

\vec{r} unbounded operator

$$\dot{J} = -e\dot{\vec{r}} = \dot{P}$$

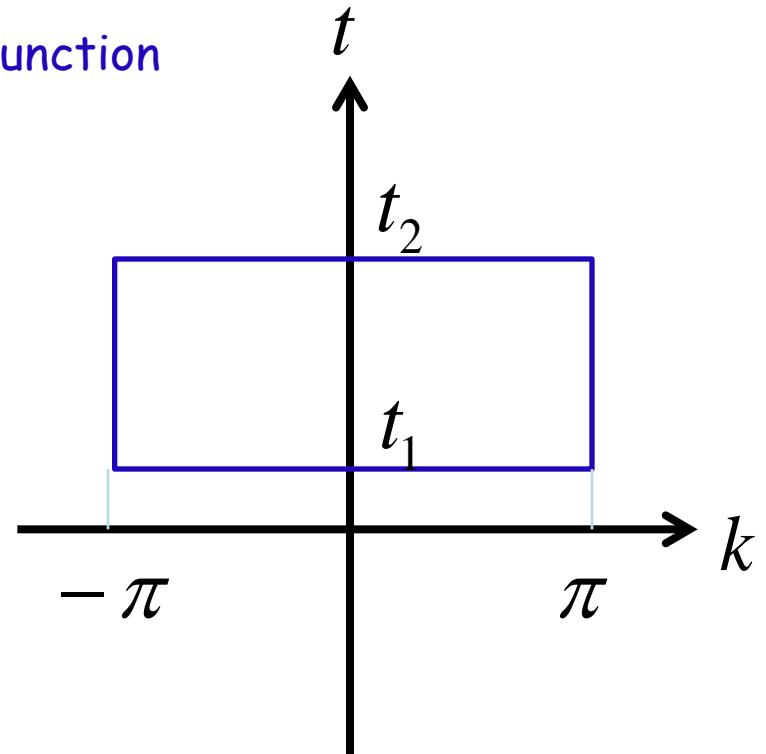
Polarization current

$$P(t_2) - P(t_1) = \int_{t_1}^{t_2} dt \dot{J}$$

$$P_\rho[t_2] - P_\rho[t_1] = \frac{1}{2\pi} \int_{\tau_{12}} dt dk \mathcal{F}(t, k)$$

$$\mathcal{F}(t, k) = i \sum_n (\langle \nabla_t u_{k,n}(t) | \nabla_k u_{k,n}(t) \rangle - c.c)$$

Berry curvature



Z2 pseudo spin pumping

Fu-Kane

$$|u_{-k,\alpha}^I\rangle = e^{i\chi_{k,\alpha}} \Theta |u_{k,\alpha}^{II}\rangle \quad \text{Time-reversal pair}$$
$$|u_{-k,\alpha}^{II}\rangle = -e^{i\chi_{-k,\alpha}} \Theta |u_{k,\alpha}^I\rangle$$

$$P^s = \frac{1}{2\pi} \int_{-\pi}^{\pi} dk \mathcal{A}^s(k), \quad s = I \text{ or } II \quad \text{"spin" selective polarization}$$

$$\mathcal{A}^s(k) = i \sum_{\alpha} \langle u_{k,\alpha}^s | \nabla_k | u_{k,\alpha}^s \rangle$$

$$\Rightarrow \mathcal{A}^I(-k) = \mathcal{A}^{II}(k) - \sum_{\alpha} \nabla_k \chi_{k,\alpha}$$

$$\Rightarrow P^I = \frac{1}{2\pi} \left[\int_0^{\pi} dk \mathcal{A}(k) - \sum_{\alpha} (\chi_{\pi,\alpha} - \chi_{0,\alpha}) \right]$$

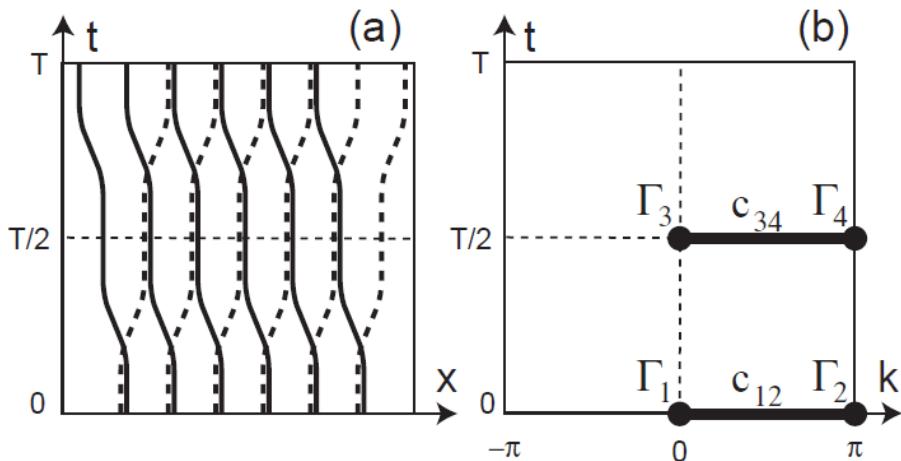
$$\frac{\text{Pf}[w(\pi)]}{\text{Pf}[w(0)]} = \exp[i \sum_{\alpha} (\chi_{\pi,\alpha} - \chi_{0,\alpha})]$$

$$w_{mn}(k) = \langle u_{-k,m} | \Theta | u_{k,n} \rangle$$

$$P_\theta = P^I - P^{II}$$

$$P_\theta = \frac{1}{2\pi i} \left[\int_0^\pi dk \nabla_k \log \text{Det}[w(k)] - 2 \log \left(\frac{\text{Pf}[w(\pi)]}{\text{Pf}[w(0)]} \right) \right]$$

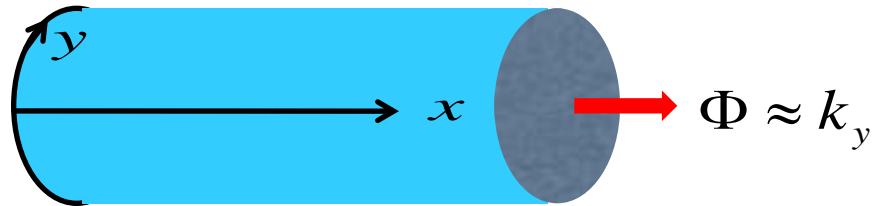
$$(-1)^{P_\theta} = \frac{\sqrt{\text{Det}[w(0)]}}{\text{Pf}[w(0)]} \frac{\sqrt{\text{Det}[w(\pi)]}}{\text{Pf}[w(\pi)]}$$



$$\Delta = P_\theta(T/2) - P_\theta(0) \bmod 2$$

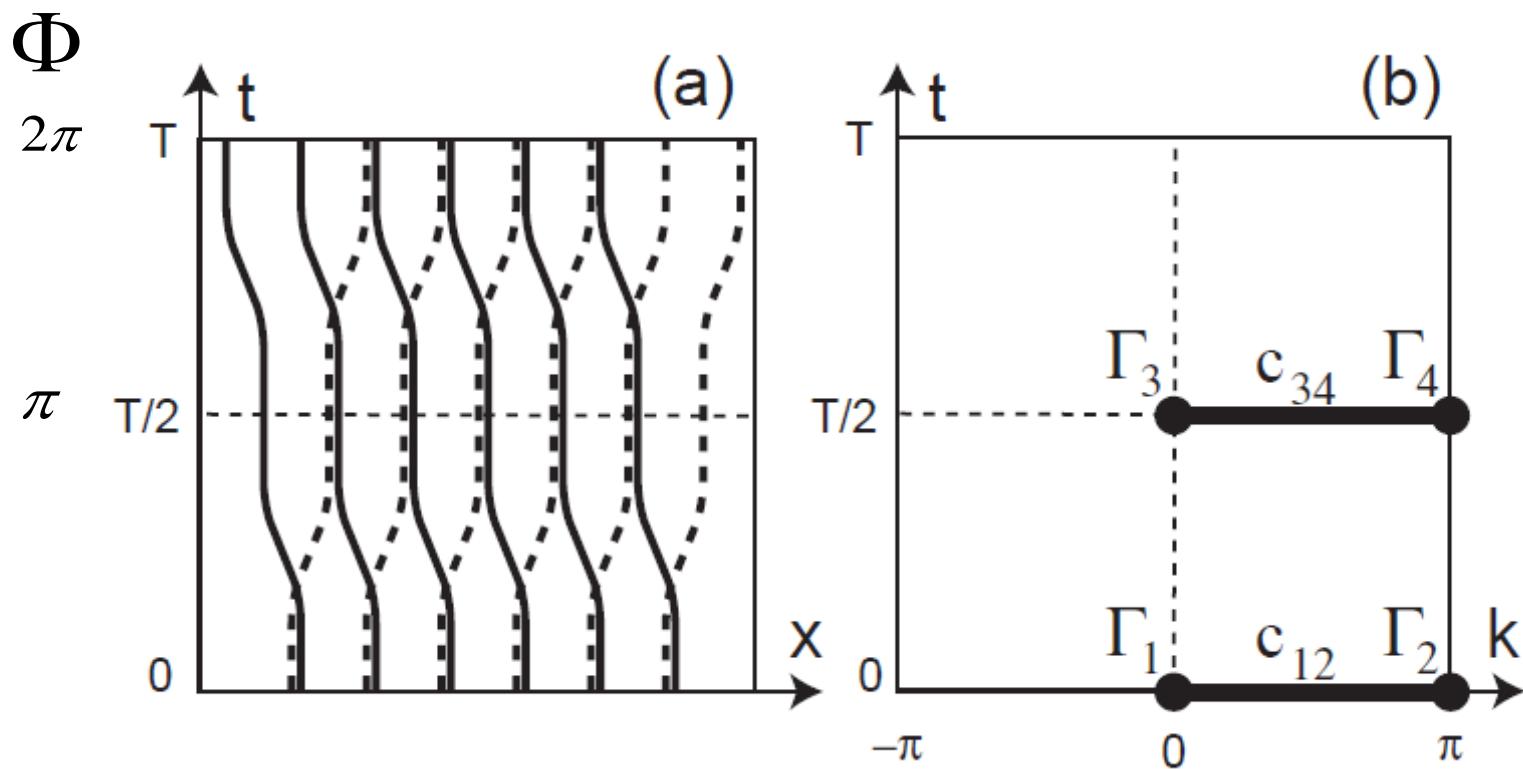
$$(-1)^\Delta = \prod_{i=1}^4 \frac{\sqrt{\text{Det}[w(\Gamma_i)]}}{\text{Pf}[w(\Gamma_i)]}$$

Z2 topological invariant



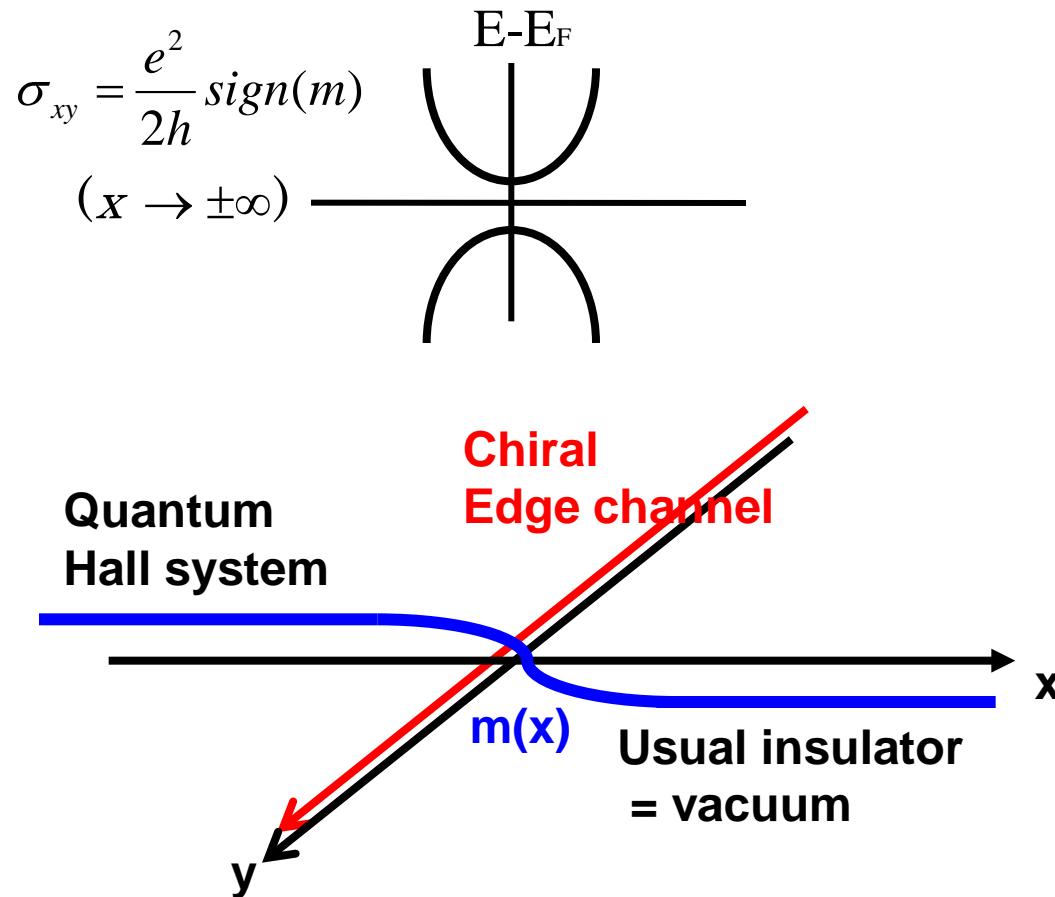
$$(-1)^\Delta = \prod_{i=1}^4 \frac{\sqrt{\text{Det}[w(\Gamma_i)]}}{\text{Pf}[w(\Gamma_i)]}$$

Kane-Mele-Fu
Z2 number and helical edge modes



Electron fractionalization in 2D

$$H = \psi^+ [\sigma^x p_x + \sigma^y p_y + \sigma^z m(x)] \psi$$



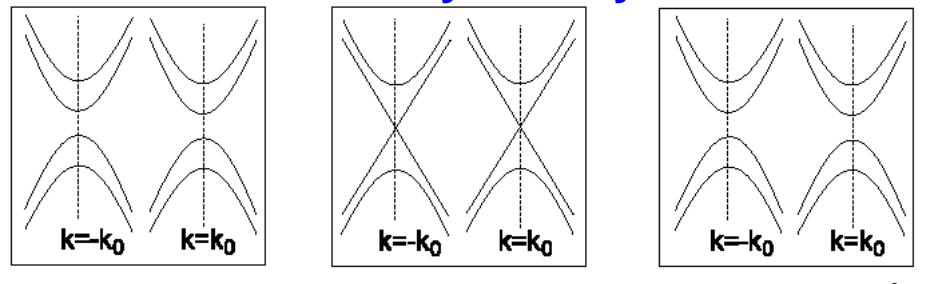
Effective Theory for the phase transition between QSHS and Insulator in 2D

Murakami et al. 07

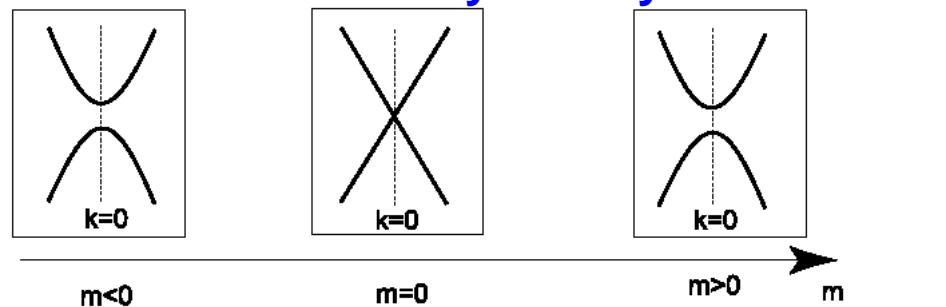
$$H(\vec{k}) = \begin{pmatrix} h_{\uparrow\uparrow}(\vec{k}) & h_{\uparrow\downarrow}(\vec{k}) \\ h_{\downarrow\uparrow}(\vec{k}) & h_{\downarrow\downarrow}(\vec{k}) \end{pmatrix}$$

$$H(\vec{k}) = \sigma_y H^T(-\vec{k}) \sigma_y$$

(a) no- inversion symmetry



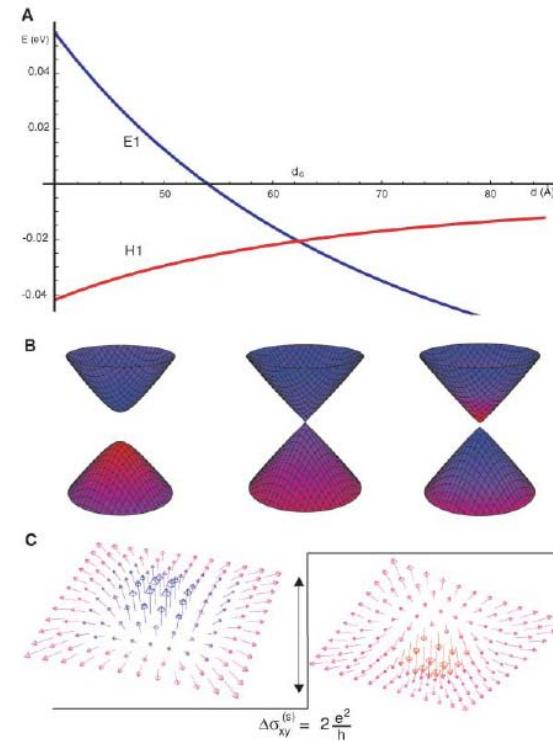
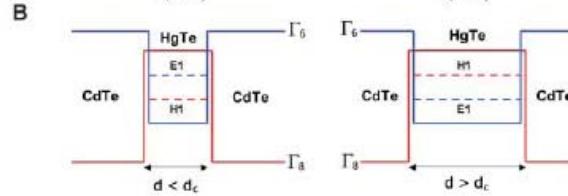
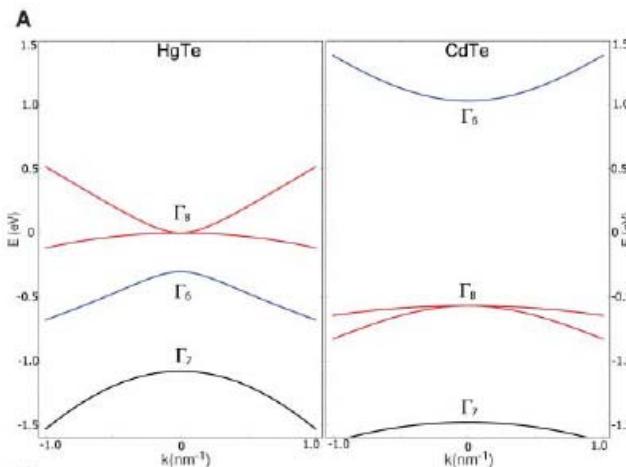
(b) with inversion symmetry



$$\begin{aligned} H(0) &= E_0 + \begin{pmatrix} a_3 & a_1 - ia_2 & 0 & -a_4 - ia_5 \\ a_1 + ia_2 & -a_3 & a_4 + ia_5 & 0 \\ 0 & a_4 - ia_5 & a_3 & a_1 + ia_2 \\ -a_4 + ia_5 & 0 & -a_2 & -a_3 \end{pmatrix} \\ &= E_0 + \sum_{i=1}^5 a_i \Gamma_i, \end{aligned} \quad (8)$$

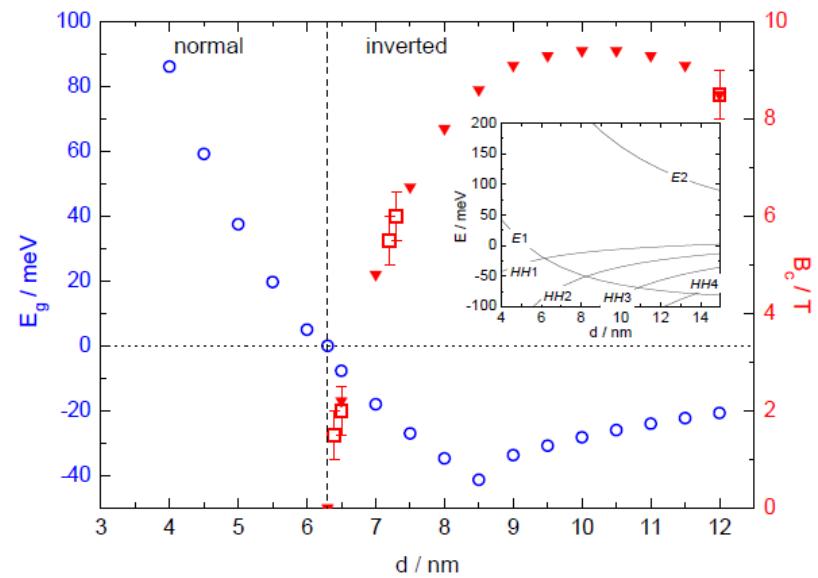
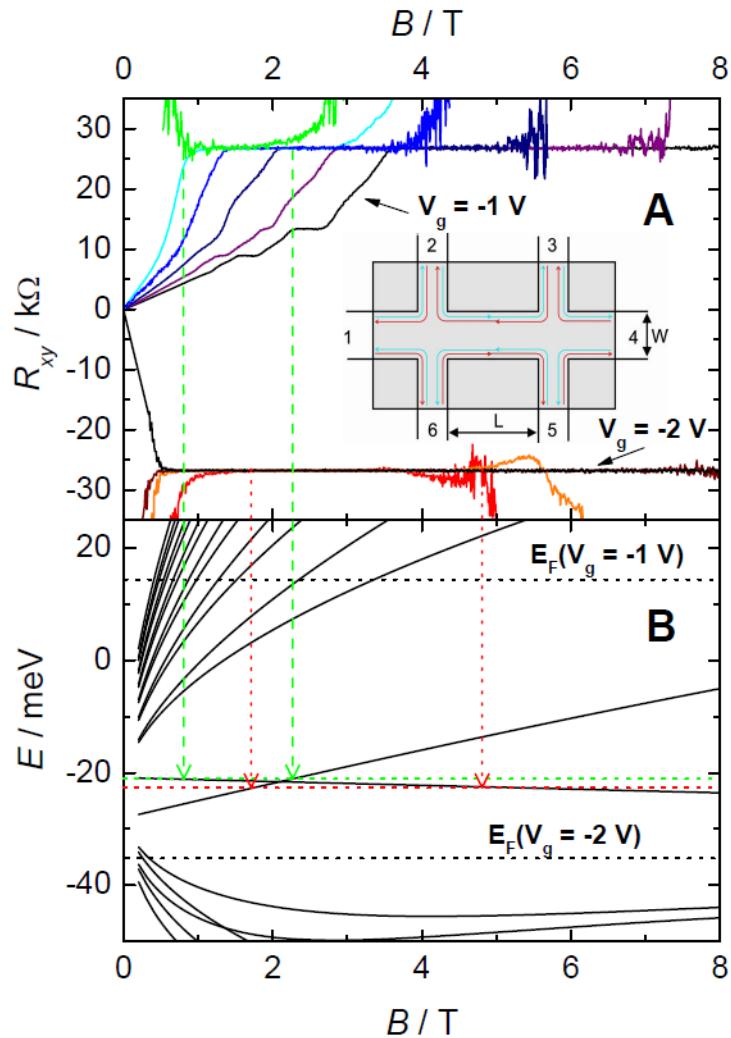
CdTe/HgTe/CdTe quantum well

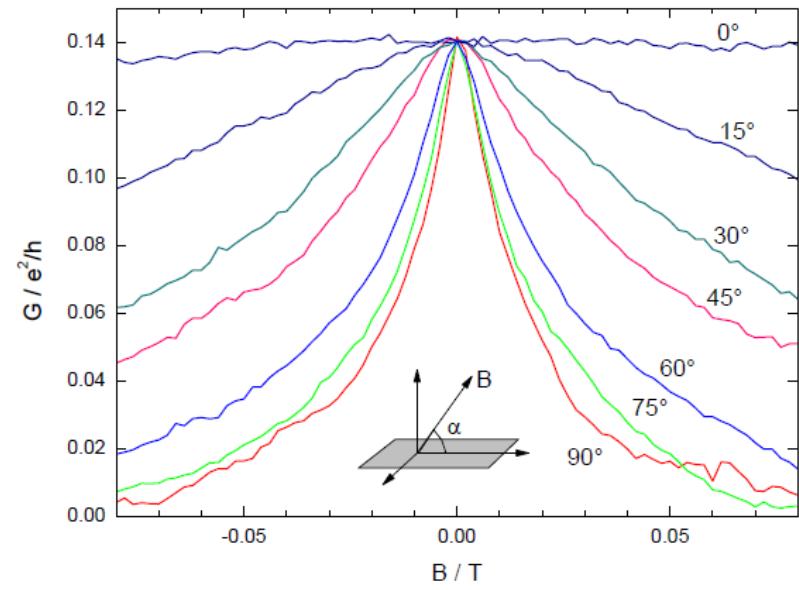
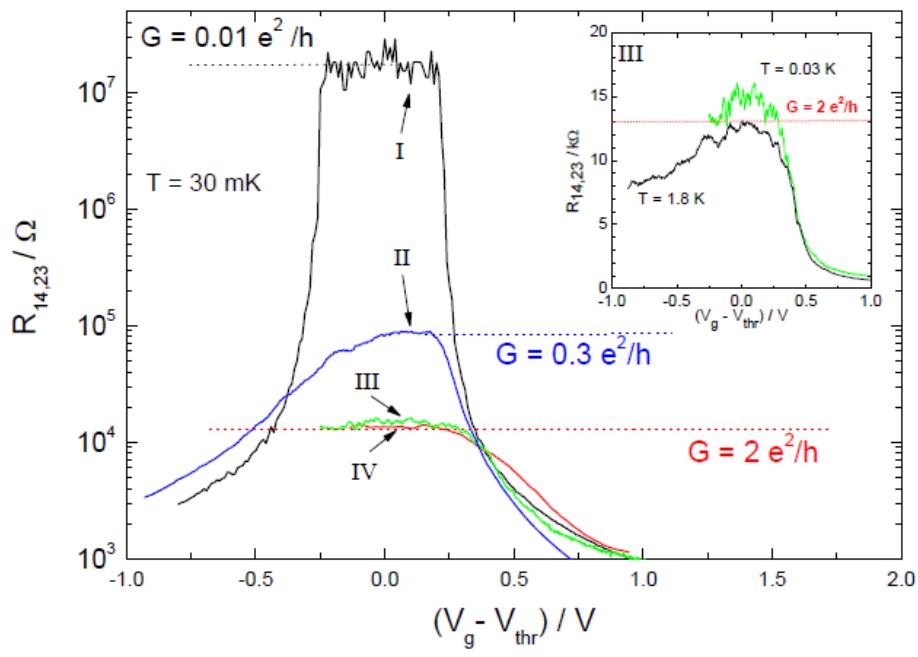
Bernevig et al.



Experimental observation of QSHE

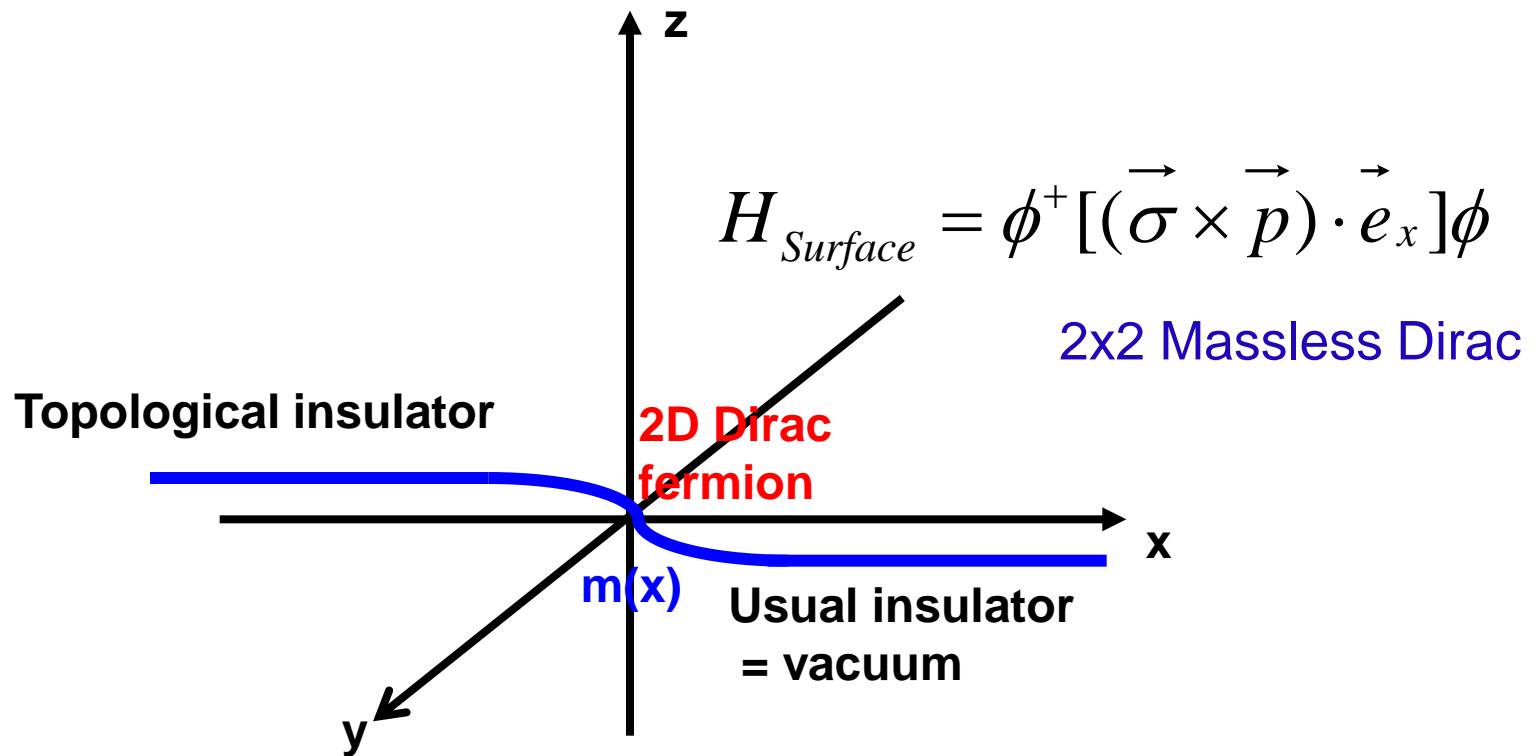
Molenkamp group



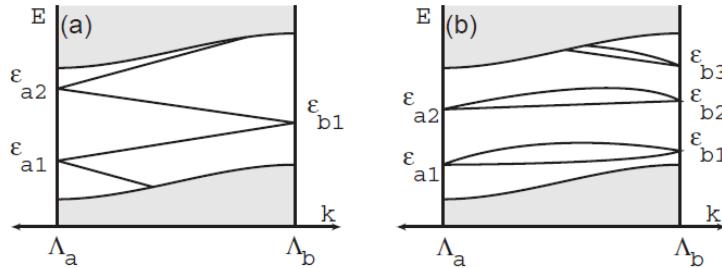


Electron fractionalization in 3D

$$H = \psi^+ [\tau^x (\vec{\sigma} \cdot \vec{p}) + \tau^z m(x)] \psi \quad 4 \times 4 \text{ Dirac}$$



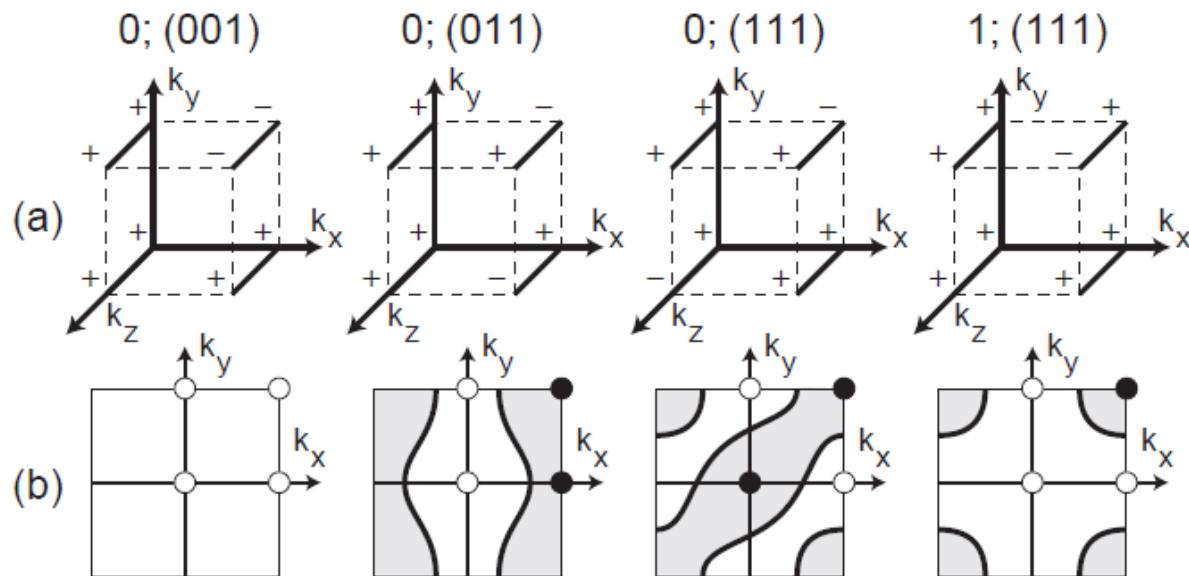
Generalization to 3D system



$$H = \psi^+ [\rho^x (\vec{\sigma} \cdot \vec{p}) + \rho^z m(x)] \psi$$

$$(-1)^{\nu_0} = \prod_{n_j=0,1} \delta_{n_1 n_2 n_3}$$

$$(-1)^{\nu_{i=1,2,3}} = \prod_{n_j \neq i = 0,1; n_i = 1} \delta_{n_1 n_2 n_3}$$

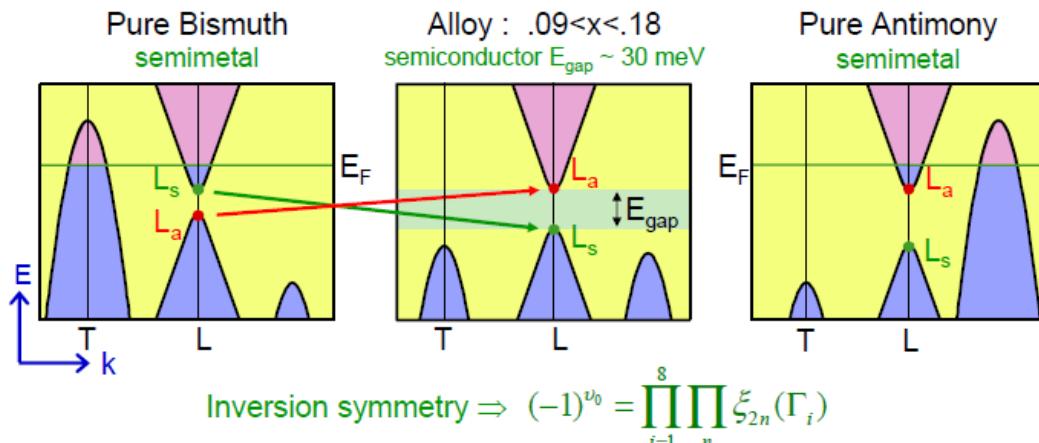


$\nu_0 = 1$ Strong TI

$\nu_0 = 0; \nu_i = 1$

Weak TI

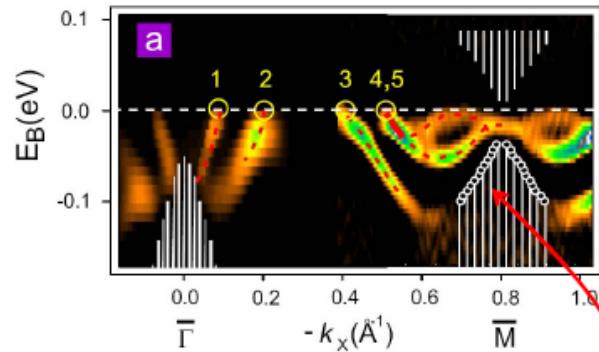
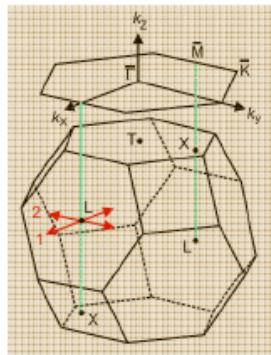
$\text{Bi}_{1-x}\text{Sb}_x$



Experiments on $\text{Bi}_{1-x}\text{Sb}_x$

Map $E(k_x, k_y)$ for (111) surface states below E_F using Angle Resolved Photoemission Spectroscopy

D. Hsieh, D. Qian, L. Wray, Y. Xia, Y. S. Hor, R. J. Cava and M. Z. Hasan, Nature (08) in press



- Bulk Dirac points at L project to M in surface Brillouin Zone
- Observe 5 surface state bands crossing E_F between Γ and M and Kramers degenerate surface Dirac point at M.
- $\text{Bi}_{1-x}\text{Sb}_x$ is a Strong Topological Insulator

From C.L.Kane's homepage

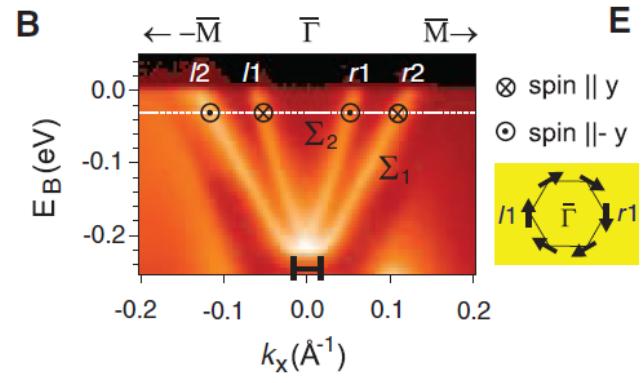
3D generalization
of QSH system
Topological insulator

helical edge channels

$$\rightarrow H = \psi^+ (\vec{\sigma} \times \vec{p}) \cdot \vec{e}_z \psi$$

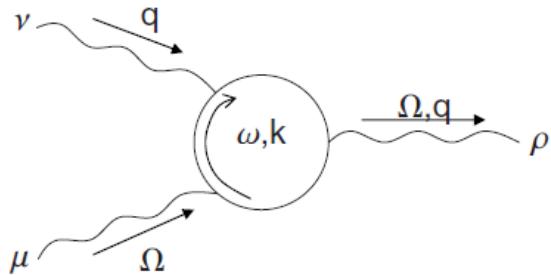
odd # of 2D chiral
Dirac surface metal

- Robust against disorder
- Superconductivity ?



Field theory of topological insulator

Qi et al., PRB78, 195424(2008)



$$S_{\text{eff}} = \frac{C_2}{24\pi^2} \int d^4x dt \epsilon^{\mu\nu\rho\sigma\tau} A_\mu \partial_\nu A_\rho \partial_\sigma A_\tau$$

$$C_2 = -\frac{\pi^2}{15} \epsilon^{\mu\nu\rho\sigma\tau} \int \frac{d^4k d\omega}{(2\pi)^5} \text{Tr} \left[\left(G \frac{\partial G^{-1}}{\partial q^\mu} \right) \left(G \frac{\partial G^{-1}}{\partial q^\nu} \right) \left(G \frac{\partial G^{-1}}{\partial q^\rho} \right) \right. \\ \left. \times \left(G \frac{\partial G^{-1}}{\partial q^\sigma} \right) \left(G \frac{\partial G^{-1}}{\partial q^\tau} \right) \right], \quad \text{Bloch wave in (4+1)D}$$

$$C_2 = \frac{1}{32\pi^2} \int d^4k \epsilon^{ijk\ell} \text{tr}[f_{ij} f_{k\ell}]$$

$$f_{ij}^{\alpha\beta} = \partial_i a_j^{\alpha\beta} - \partial_j a_i^{\alpha\beta} + i[a_i, a_j]^{\alpha\beta} \quad a_i^{\alpha\beta}(\mathbf{k}) = -i \langle \alpha, \mathbf{k} | \frac{\partial}{\partial k_i} | \beta, \mathbf{k} \rangle$$

Current density

$$j_\mu(\mathbf{x}) = \frac{\delta S_{\text{eff}}[A]}{\delta A_\mu(\mathbf{x})} \quad j^\mu = \frac{C_2}{8\pi^2} \epsilon^{\mu\nu\rho\sigma\tau} \partial_\nu A_\rho \partial_\sigma A_\tau$$

$$A_x = 0, \quad A_y = B_z x, \quad A_z = -E_z t, \quad A_w = A_t = 0, \quad \rightarrow \quad j_w = \frac{C_2}{4\pi^2} B_z E_z$$

Dimensional reduction: From (4+1)D to (3+1)D

$$k_w \rightarrow \theta(\vec{x}) = \theta_0 + \delta\theta(\vec{x}) \quad \rightarrow \quad S_{\text{3D}} = \frac{G_3(\theta_0)}{4\pi} \int d^3x dt \epsilon^{\mu\nu\sigma\tau} \delta\theta \partial_\mu A_\nu \partial_\sigma A_\tau$$

$$\begin{aligned} G_3(\theta_0) = & -\frac{\pi}{6} \int \frac{d^3 k d\omega}{(2\pi)^4} \text{Tr } \epsilon^{\mu\nu\sigma\tau} \left[\left(G \frac{\partial G^{-1}}{\partial q^\mu} \right) \left(G \frac{\partial G^{-1}}{\partial q^\nu} \right) \right. \\ & \times \left. \left(G \frac{\partial G^{-1}}{\partial q^\sigma} \right) \left(G \frac{\partial G^{-1}}{\partial q^\tau} \right) \left(G \frac{\partial G^{-1}}{\partial \theta_0} \right) \right], \end{aligned}$$

$$G_3(\theta_0) = \frac{1}{8\pi^2} \int d^3 k \epsilon^{ijk} \text{tr}[f_{\theta i} f_{jk}]$$

$$\partial_A \mathcal{K}^A = \frac{1}{32\pi^2} \epsilon^{ABCD} \text{tr}[f_{AB} f_{CD}] \Rightarrow G_3(\theta_0) = \int d^3k \partial_A \mathcal{K}^A$$

$$\mathcal{K}^A = \frac{1}{16\pi^2} \epsilon^{ABCD} \text{Tr} \left[\left(f_{BC} - \frac{1}{3} [a_B, a_C] \right) \cdot a_D \right]$$

$$P_3(\theta_0) = \int d^3k \mathcal{K}^\theta$$

→

$$S_{3D} = \frac{1}{4\pi} \int d^3x dt \epsilon^{\mu\nu\sigma\tau} A_\mu (\partial P_3 / \partial \theta) \partial_\nu \delta \theta \partial_\sigma A_\tau$$

→

$$S_{3D} = \frac{1}{4\pi} \int d^3x dt \epsilon^{\mu\nu\sigma\tau} P_3(x, t) \partial_\mu A_\nu \partial_\sigma A_\tau$$

Axion electrodynamics

Time-reversal symmetry → $P_3 = 1/2$ or $0 \mod 1$

Prediction for phenomena

1. Hall effect induced by spatial gradient of P_3

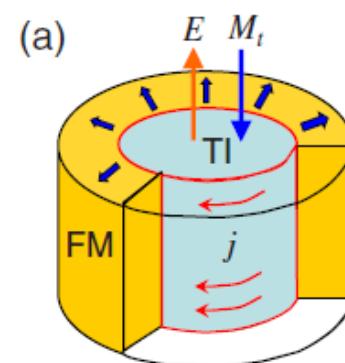
$$j^\mu = \frac{\partial_z P_3}{2\pi} \epsilon^{\mu\mu\rho} \partial_\nu A_\rho$$

$$J_y^{2D} = \int_{z_1}^{z_2} dz j_y = \frac{1}{2\pi} \left(\int_{z_1}^{z_2} dP_3 \right) E_x.$$

$$\sigma_{xy}^{2D} = \int_{z_1}^{z_2} dP_3 / 2\pi, = \pm \frac{e^2}{2h}$$

2. TME induced by temporal gradient of P_3

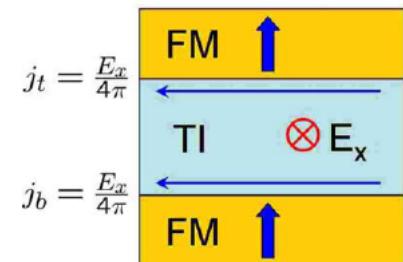
$$P_t = \left(n + \frac{1}{2} \right) \frac{e^2}{hc} B$$



\uparrow Vacuum $P_3 = 0$

TI $P_3 = \pm 1/2$

(a) $\sigma_H = 1/2\pi$



$j_t = \frac{E_x}{4\pi}$

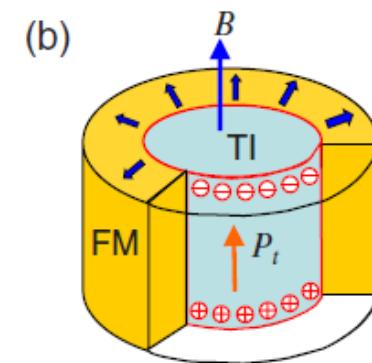
$j_b = \frac{E_x}{4\pi}$

$\otimes E_x$

\uparrow

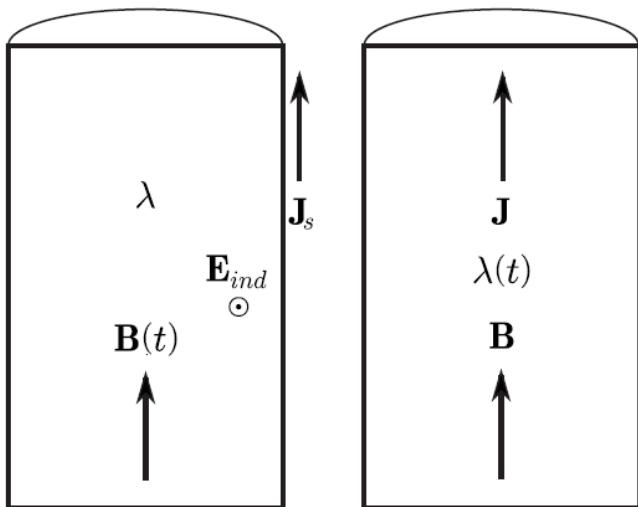
\uparrow

\uparrow



Bulk v.s. surface in topological ME effect

$$S_\theta = \int d^3x dt \left(\frac{\theta(x,t)}{2\pi} \right) \left(\frac{\alpha}{2\pi} \right) \vec{E} \cdot \vec{B}$$



$$J \propto \nabla \theta \times E + \dot{\theta} B$$

$$\rho \propto -\nabla \theta \cdot B$$

θ appears in the form of $\partial_\mu \theta$ for charge and current densities

$\nabla \theta$ produces the surface current

$\dot{\theta}$ requires the bulk T-symmetry breaking

Qi et al., Essin et al.

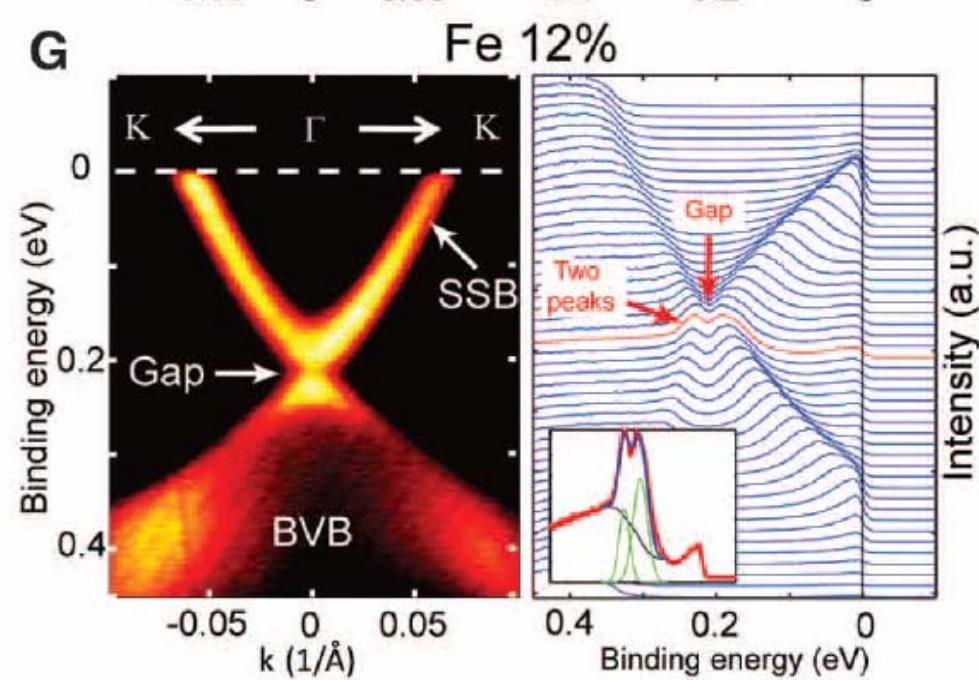
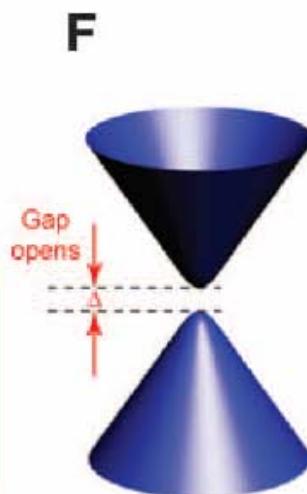
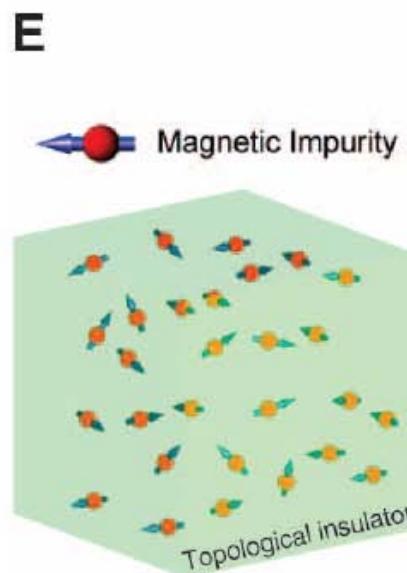
$$\theta = 0 \bmod 2\pi \text{ or } \theta = \pi \bmod 2\pi$$

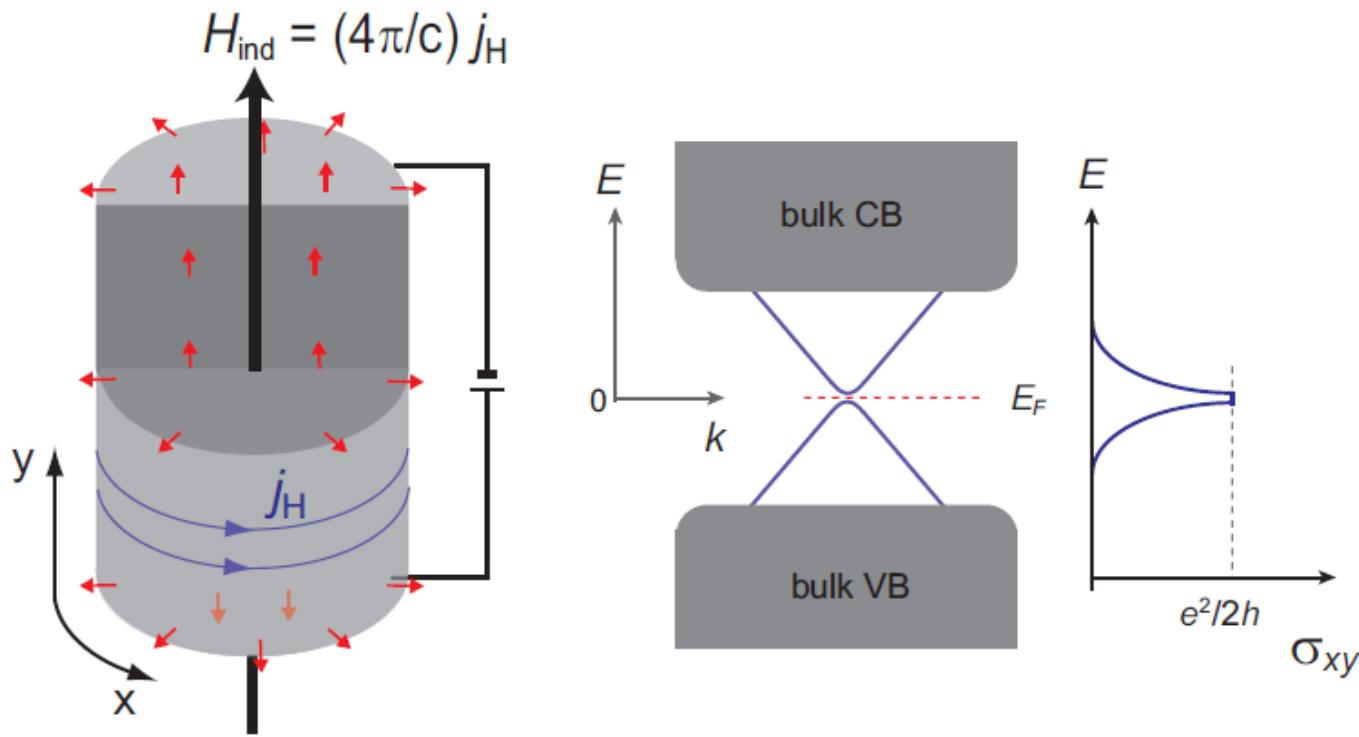
due to the time-reversal symmetry

Magnetic impurities in topological insulators

Z. Hasan's group 2008
Y.L. Chen et al. 2010

Magnetic impurities could form insulating ferromagnet on TI through localization





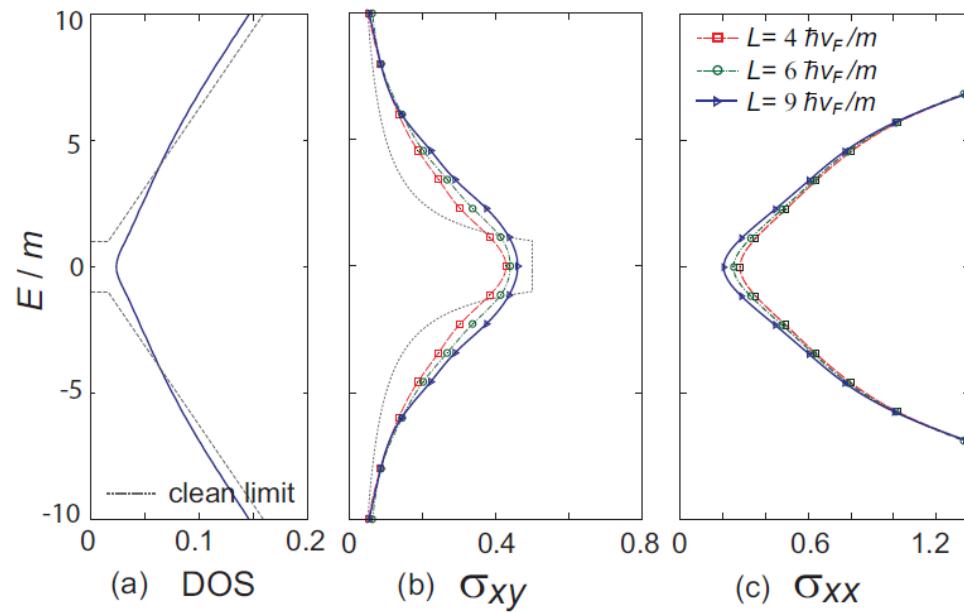
Difficulties to realize TME

1. Get rid of carriers in the bulk
2. Attach the **insulating** ferromagnetic layer with the magnetization **perpendicular** to the surface
3. Tune the Fermi energy **within the gap** of surface Dirac

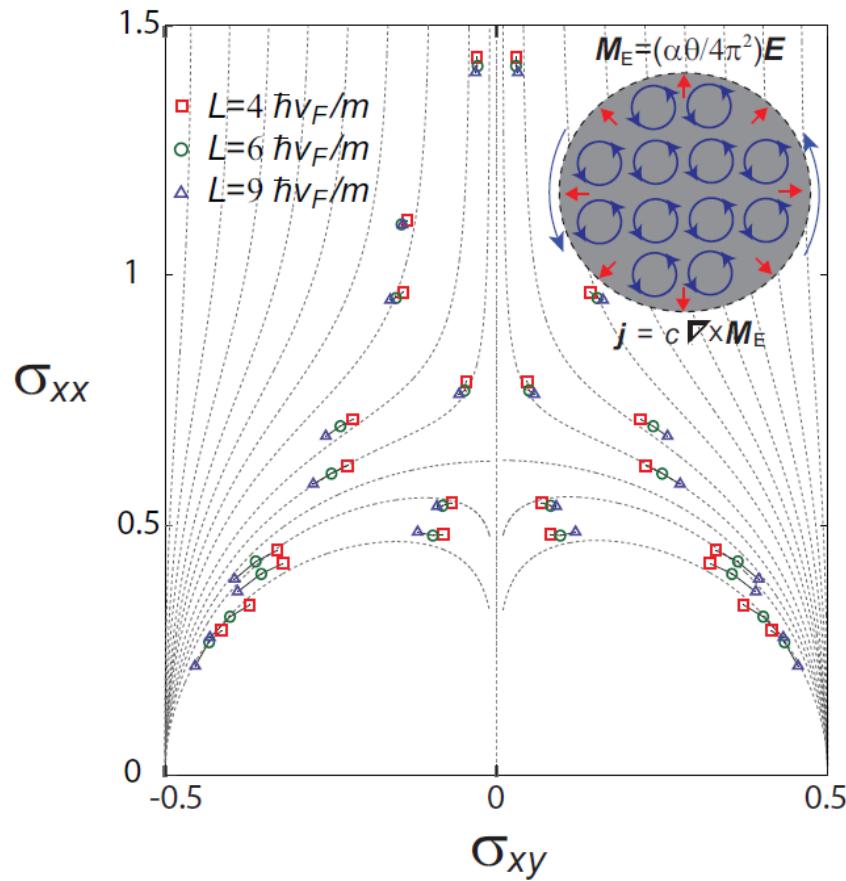
Localization of surface states by magnetic impurities

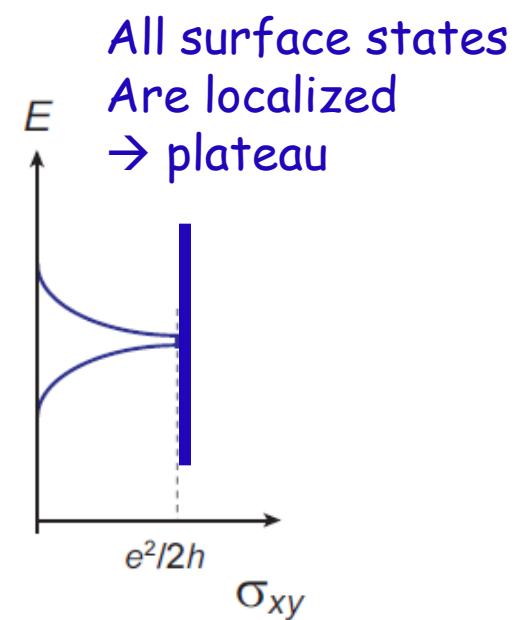
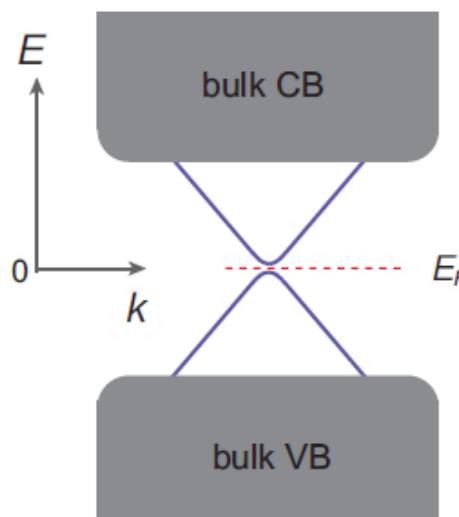
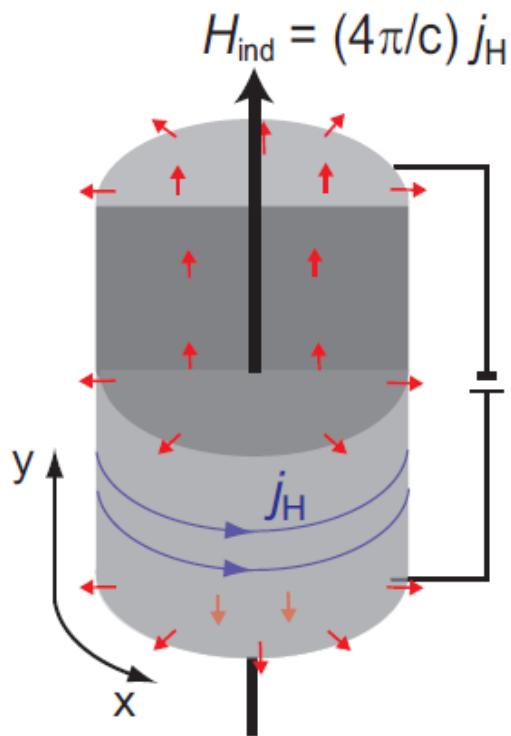
$$\mathcal{H}_{\text{Dirac}}^{2D} = -i\hbar v_F \hat{\mathbf{z}} \times \boldsymbol{\sigma} \cdot \nabla + m\sigma_3$$

$\mathcal{V} = \sum_{\mu=0}^3 \sigma_\mu V_\mu(\mathbf{r})$ Disorder



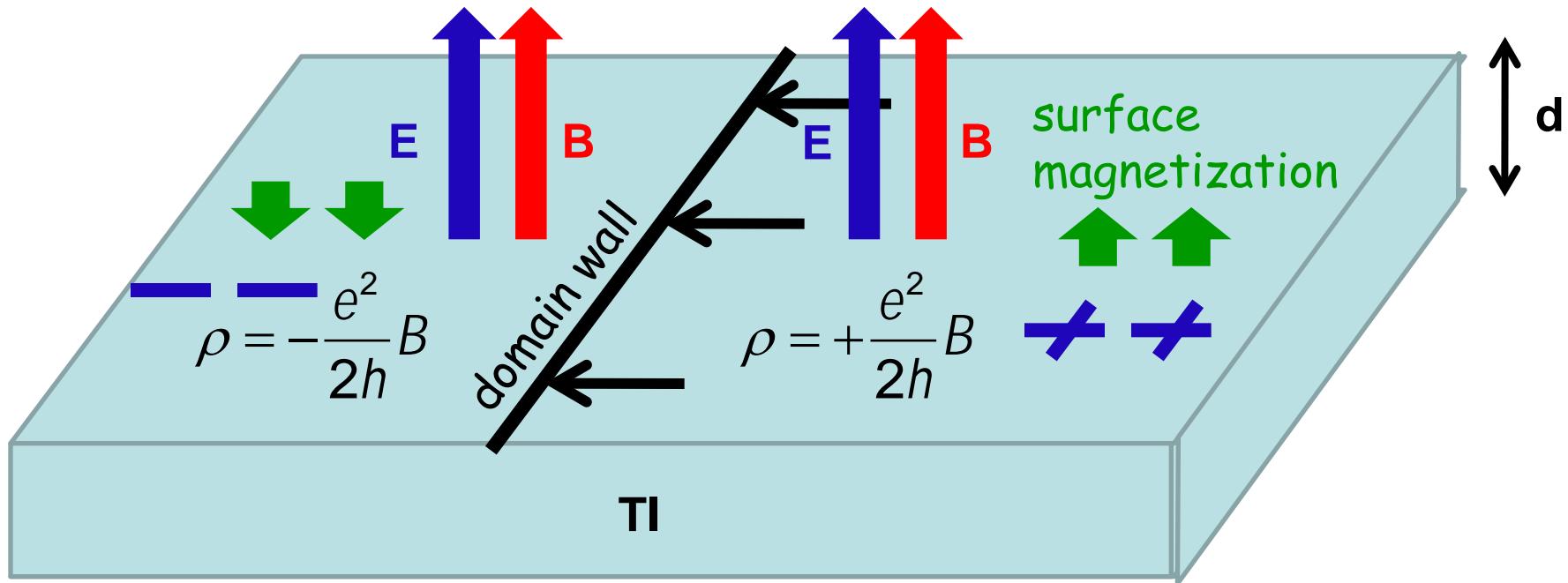
K.Nomura and N.N. PRL2011
c.f. Q.Niu, arXiv:1011.4083





ME control of surface magnetization

K. Nomura and N.N. PRL2011



$$\rho = \pm \frac{e^2}{2h} B$$

$$E = \rho E d = \pm \frac{e^2}{2h} B E d$$

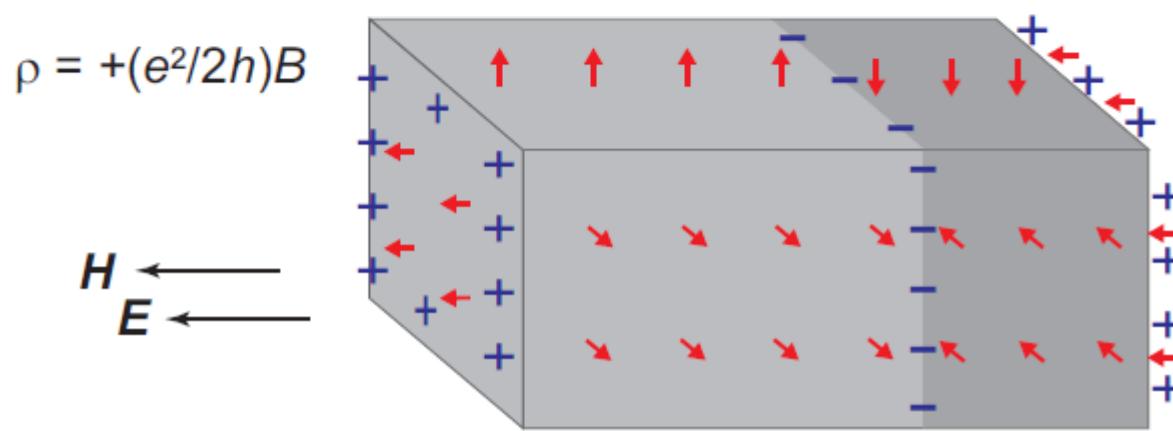
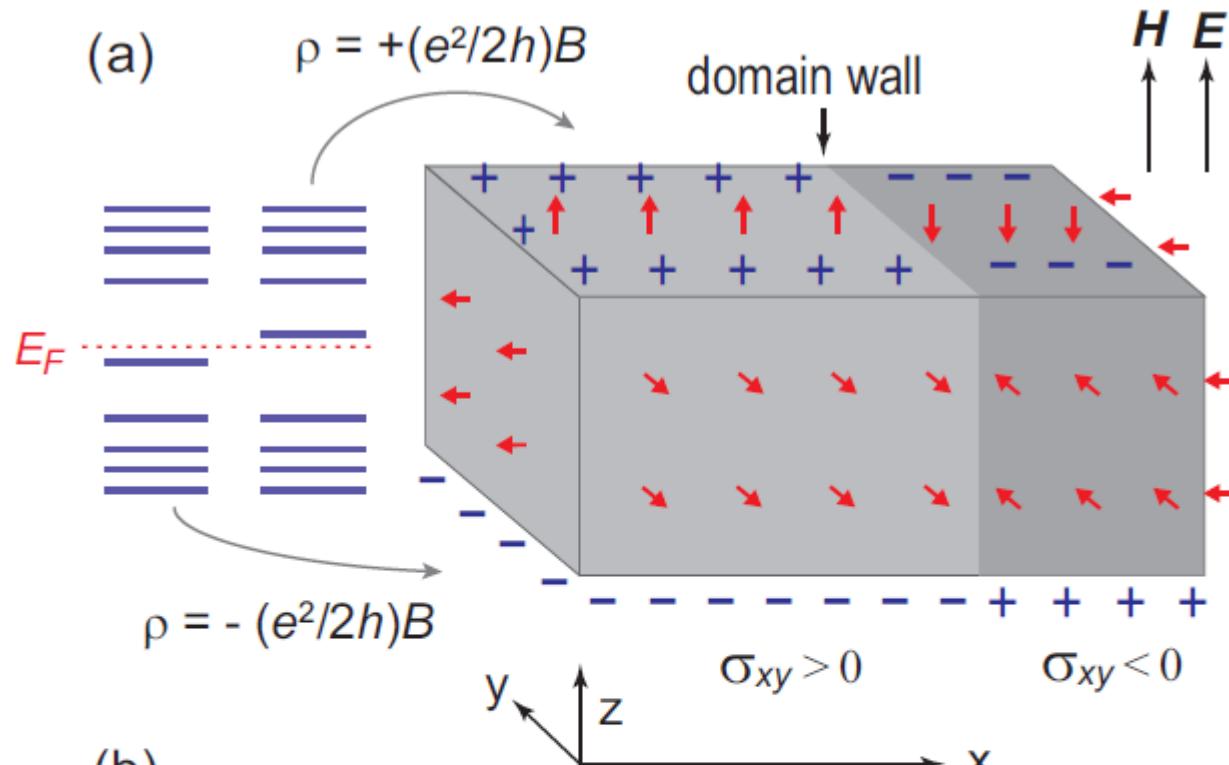
per unit area

Bulk energy gain controlled by
surface magnetization

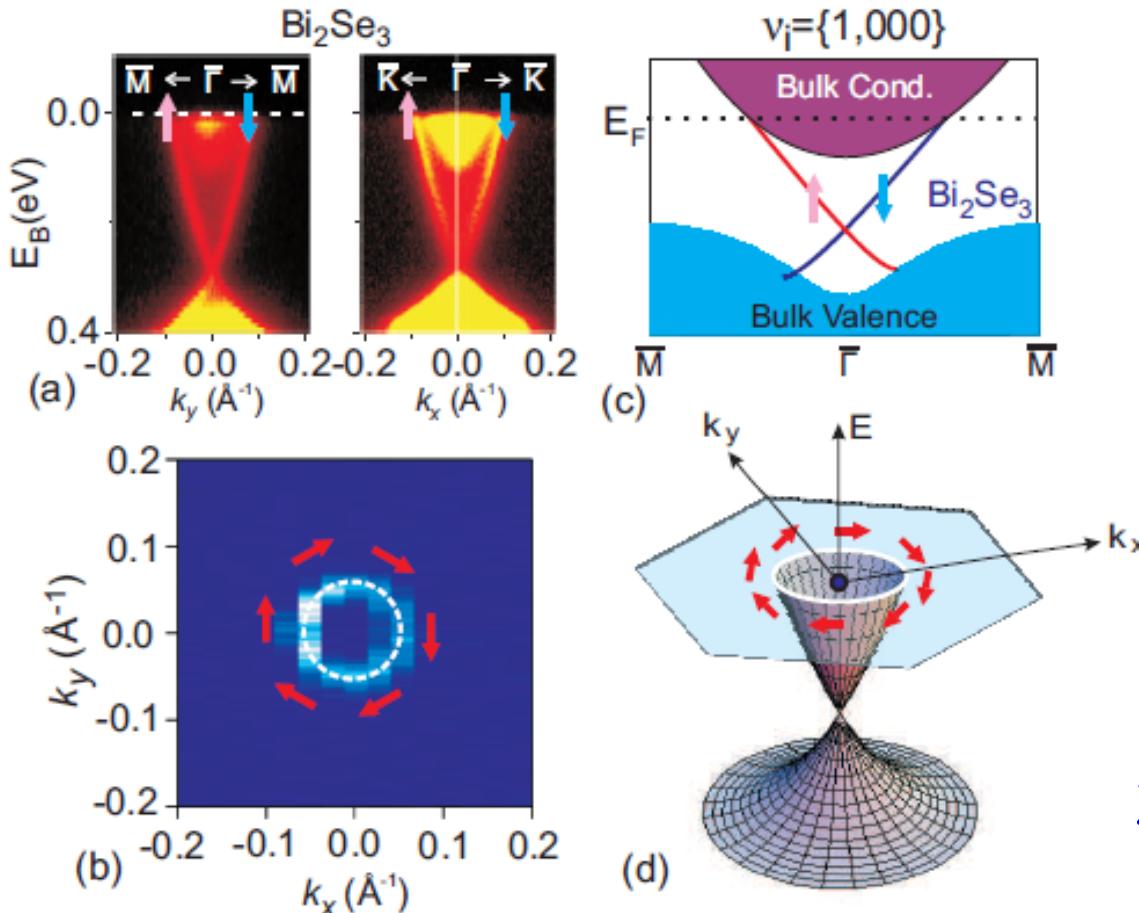
$B=10\text{T}$ $E=10^3 \text{ V/cm}$ $d=1\text{mm}$

$$B_{\text{eff}} \approx 10^3 T$$

acting on surface magnetization



Spintronics on Topological insulator



Hsieh et al.
Xia et al.

2D Dirac Hamiltonian

$$H = \psi^+ \vec{\sigma} \cdot (\vec{e}_z \times \vec{p}) \psi$$

Spin textures are charged on topological insulator

K. Nomura and N.N. PRB Rapid 2010

Assume that the Fermi energy is within the gap → QHS

$$n_x \leftrightarrow A_y$$

$$n_y \leftrightarrow -A_x$$

$$\nabla \cdot \vec{n} \leftrightarrow (\nabla \times \vec{A})_z = B_z$$

$$\rho_e \propto \sigma_H B_z$$

$$\rho_e^{\text{ind}} = -\left(\frac{\sigma_H \Delta}{ev_F}\right) \nabla \cdot n \quad \Delta = M$$

$$j_x = \sigma_H E_y = -\sigma_H \dot{A}_y$$

$$j_e^{\text{ind}} = \left(\frac{\sigma_H \Delta}{ev_F}\right) \frac{\partial n}{\partial t} \quad \text{exchange coupling}$$

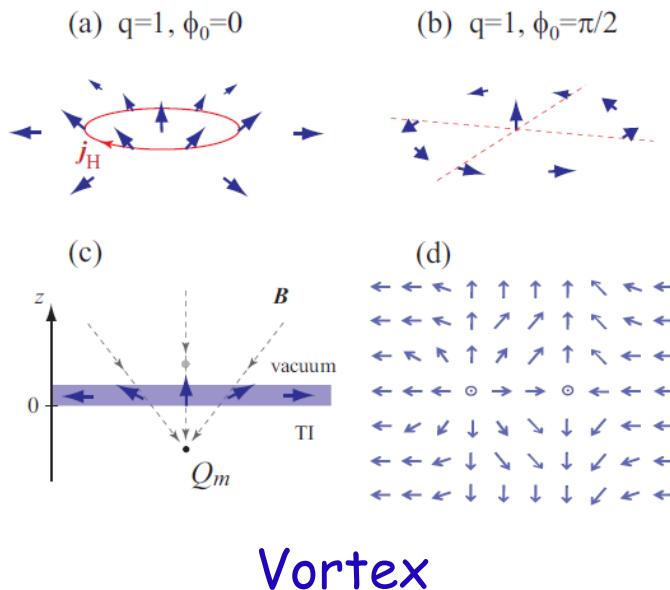
$$P_{\perp} = \frac{\sigma_H \Delta}{ev_F} n_{\perp}$$

in-plane magnetization is equivalent to
in-plane polarization

Spin textures are charged on topological insulator

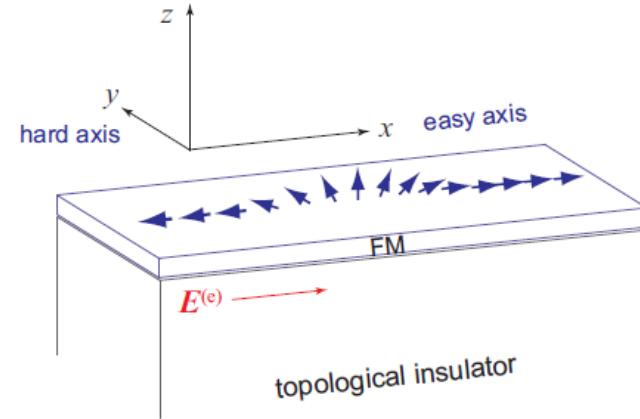
K. Nomura and N.N.

$$\rho_e^{\text{ind}} = -\left(\frac{\sigma_H \Delta}{ev_F}\right) \nabla \cdot \mathbf{n}, \quad j_e^{\text{ind}} = \left(\frac{\sigma_H \Delta}{ev_F}\right) \frac{\partial \mathbf{n}}{\partial t} \quad \rho_e^{\text{ind}} = -\left(\frac{\gamma_e}{\gamma_m}\right) \rho_m^{\text{ind}}$$



Vortex creation/manipulation
by gating

c.f. Haldane, Qi et al.



Domain wall

Charge density along the DW $\approx e/\xi$
 $\xi \approx a(E_{\text{gap}}/\Delta)$ Δ exchange coupling
 $\alpha \approx 0.01$ Gilbert damping

$$\left| \frac{dX}{dt} \right| \simeq \tilde{E}^{(e)} \times 10^{-2} [\text{m/s}]$$

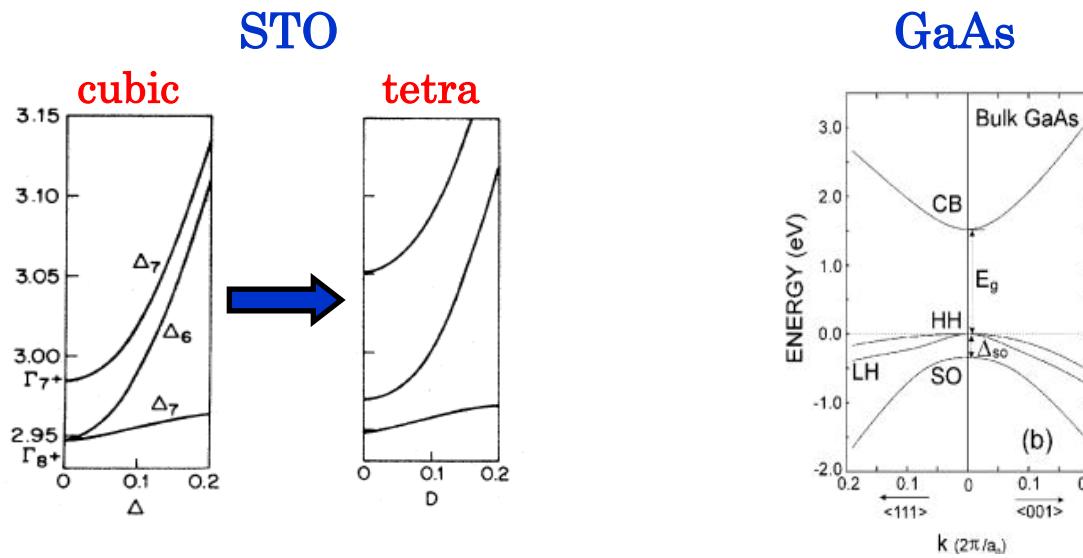
Similarity between d- and p-orbitals

$$d_{xy}, d_{yz}, d_{zx} \leftrightarrow p_z, p_x, p_y$$

$$-\vec{L} \leftrightarrow \vec{L}$$

$$(\vec{J}')^2 = (\vec{L} - \vec{S})^2 \leftrightarrow (\vec{J})^2 = (\vec{L} + \vec{S})^2$$

$$= const. - 2\vec{L} \cdot \vec{S} \quad = const. + 2\vec{L} \cdot \vec{S}$$



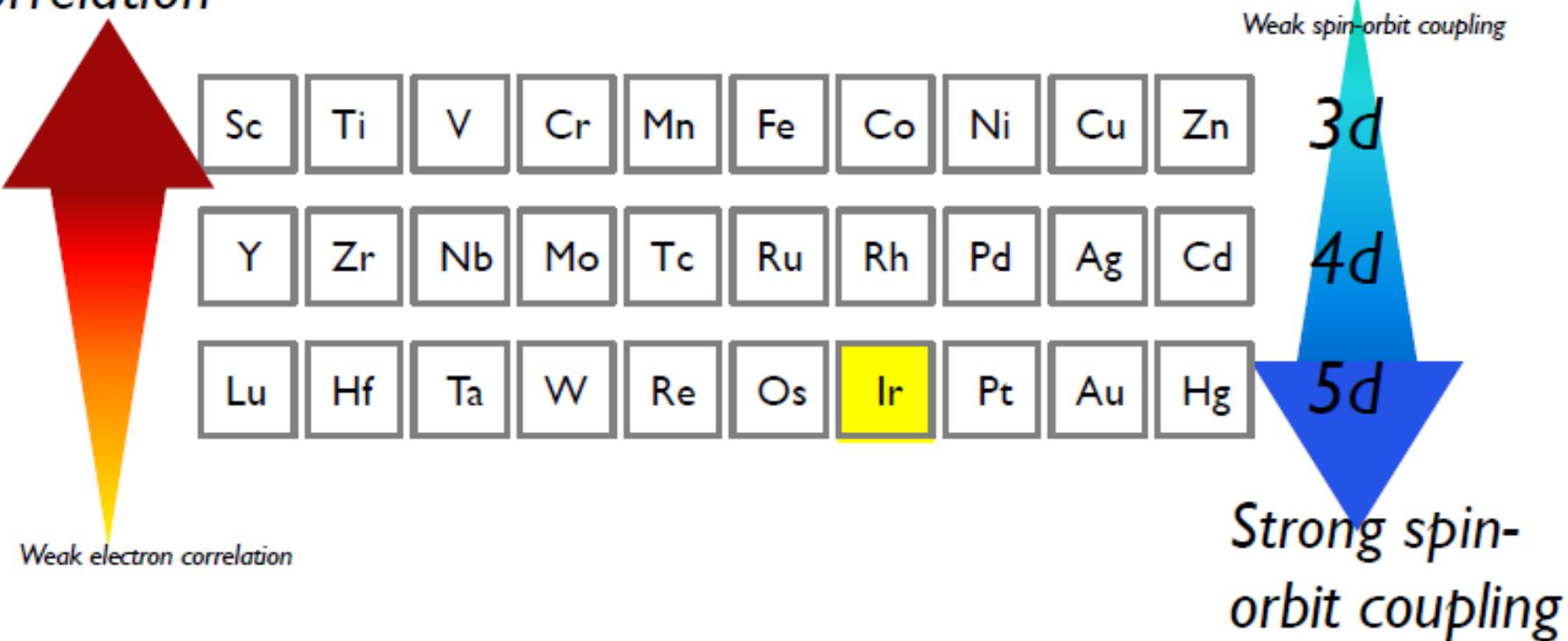
➡ $J_{eff} = 1/2$ and $3/2$

Transition-metal oxide

c.f. Topological Mott insulator

S. Raghu, X-L. Qi, C. Honerkamp, and S.C. Zhang

Strong electron correlation

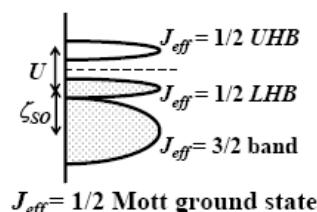
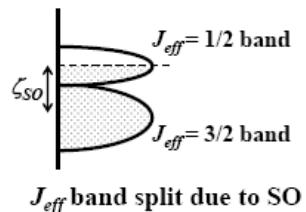
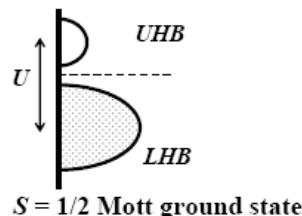
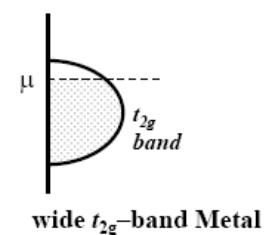


For Sr_2IrO_4 : $U \sim 0.5\text{eV}$
 $\zeta_{SO} \sim 0.45\text{eV}$

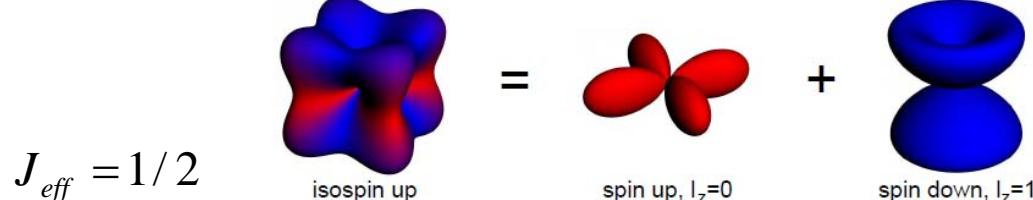
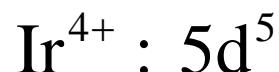
B.J. Kim

SOC induced Mott state – schematic picture

B.J. Kim T.W. Noh



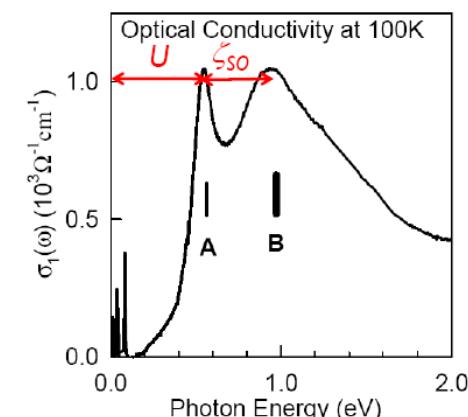
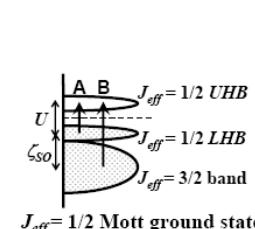
$$U \approx \zeta_{SO} \approx 0.5 \text{ eV} \quad \text{for} \quad \text{Sr}_2\text{IrO}_4$$



$$|1/2\rangle = (|xy \uparrow\rangle + |yz \downarrow\rangle + i|zx \downarrow\rangle)/\sqrt{3}$$

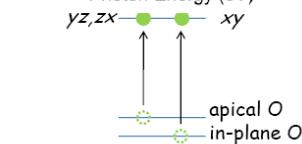
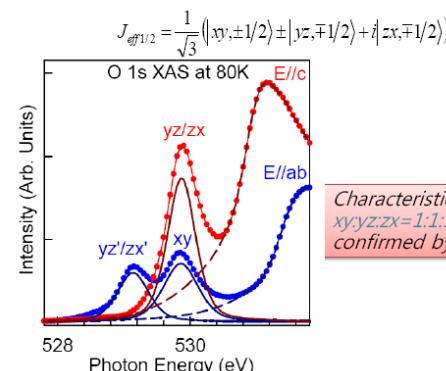
$$|-1/2\rangle = (-|xy \downarrow\rangle + |yz \uparrow\rangle - i|zx \uparrow\rangle)/\sqrt{3}$$

Optical spectroscopy



Double peak feature in optical conductivity
 A: $J_{1/2}(\text{lower}) \rightarrow J_{1/2}(\text{upper})$
 B: $J_{3/2} \rightarrow J_{1/2}$

X-ray Absorption Spectroscopy

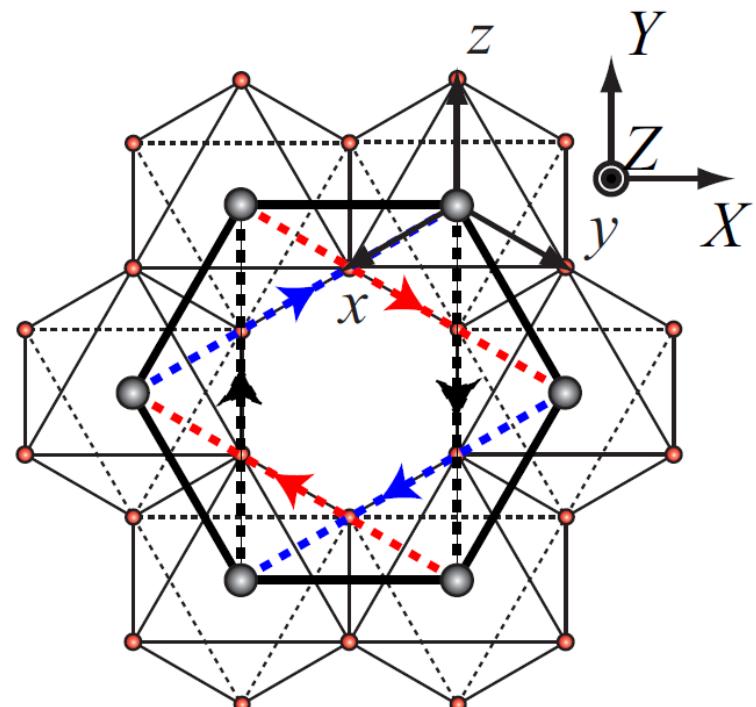
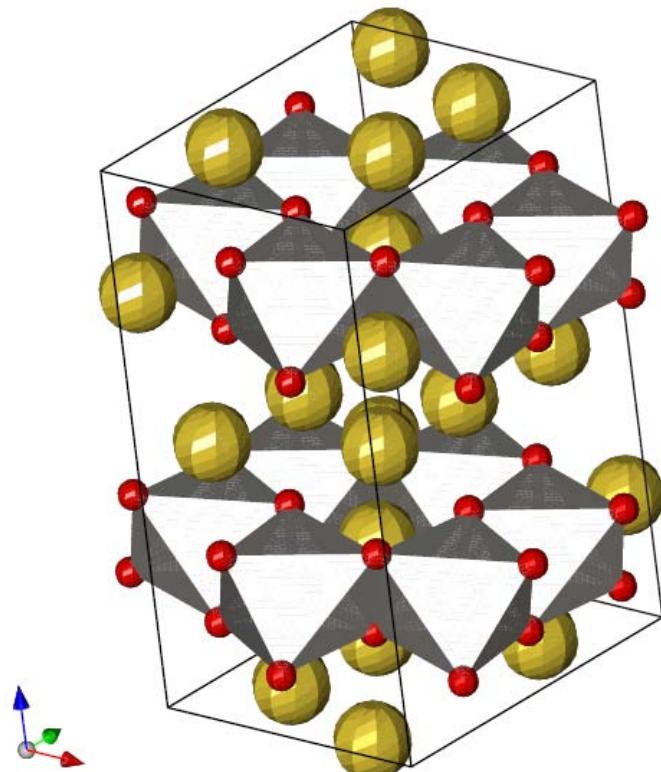


Characteristic orbital state with $xyz:yzx:1:1:1$ ratio of $J_{eff}=1/2$ is confirmed by O K-edge XAS

Crystal Structure of Na_2IrO_3

Ir^{4+} ($5d^5$)

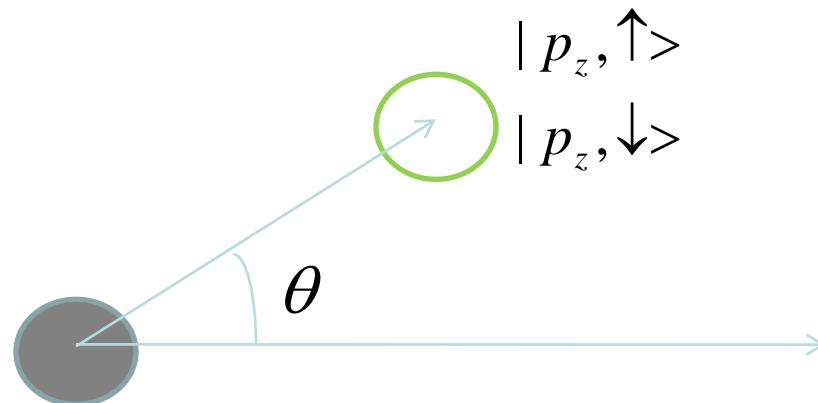
H. Takagi



Complex orbitals produce complex transfer integrals

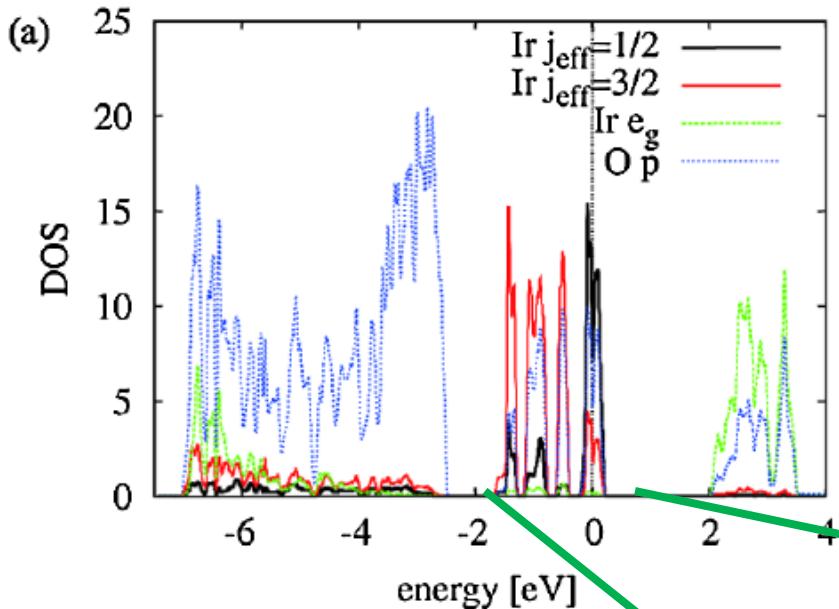
$$H = \sum_{ij\sigma} t_{ij} e^{i\sigma a_{ij}} c_{i\sigma}^+ c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$$\langle p_z, \uparrow | H | 1/2 \rangle = t e^{i\theta} \quad \langle p_z, \downarrow | H | -1/2 \rangle = t e^{-i\theta}$$

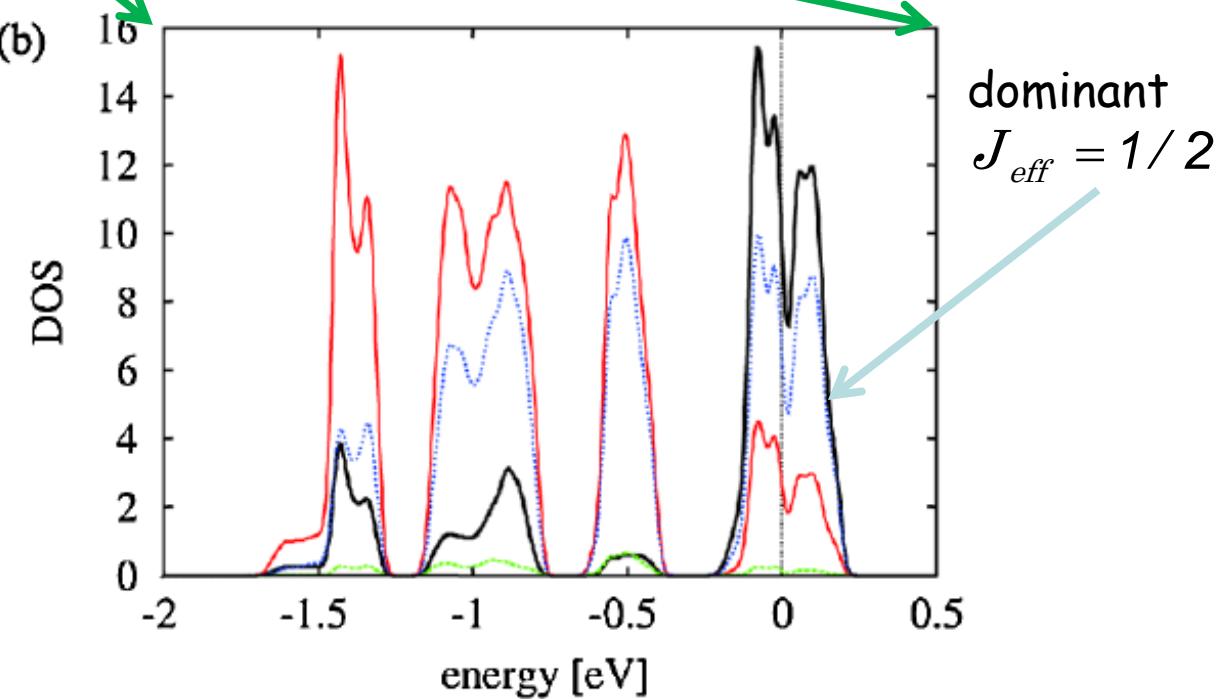


$$J_{eff} = 1/2, \quad |\pm 1/2\rangle$$

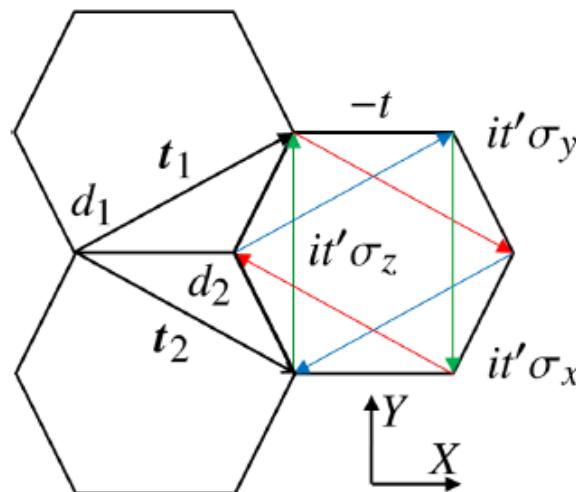
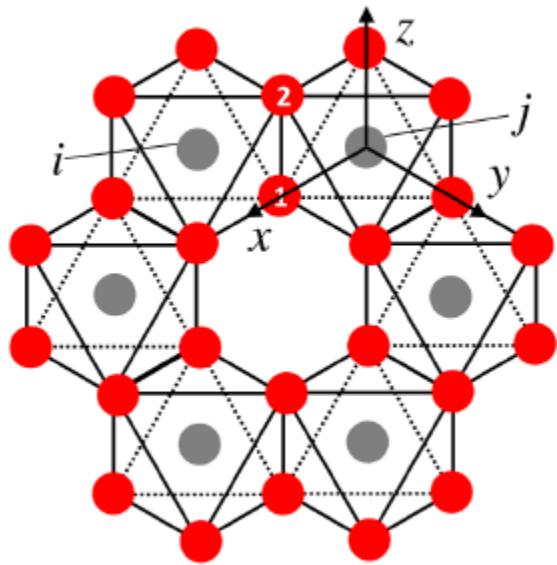
$$\begin{aligned} |1/2\rangle &= (|xy\uparrow\rangle + |yz\downarrow\rangle + i|zx\downarrow\rangle)/\sqrt{3} \\ |-1/2\rangle &= (-|xy\downarrow\rangle + |yz\uparrow\rangle - i|zx\uparrow\rangle)/\sqrt{3} \end{aligned}$$



c.f. Jaejun Yu
trigonal X-tal field
splitting 0.6eV
→Trivial insulator



Correlated Kane-Mele model



$(pd)^2 / (\epsilon_d - \epsilon_p)$ -order processes cancel for 90-degree bonds

$$t = -\frac{1}{3} \frac{|(pd\pi)|^2}{\epsilon_d - \epsilon_p} \frac{(pp\sigma) + 3(pp\pi)}{\epsilon_d - \epsilon_p}, \quad t' \equiv \frac{1}{3} \frac{|(pd\pi)|^2}{\epsilon_d - \epsilon_p} \frac{(pp\sigma) - (pp\pi)}{\epsilon_d - \epsilon_p}$$

are of the order of room temperature

σ : spin
 τ : sublattice
 η : K or K'

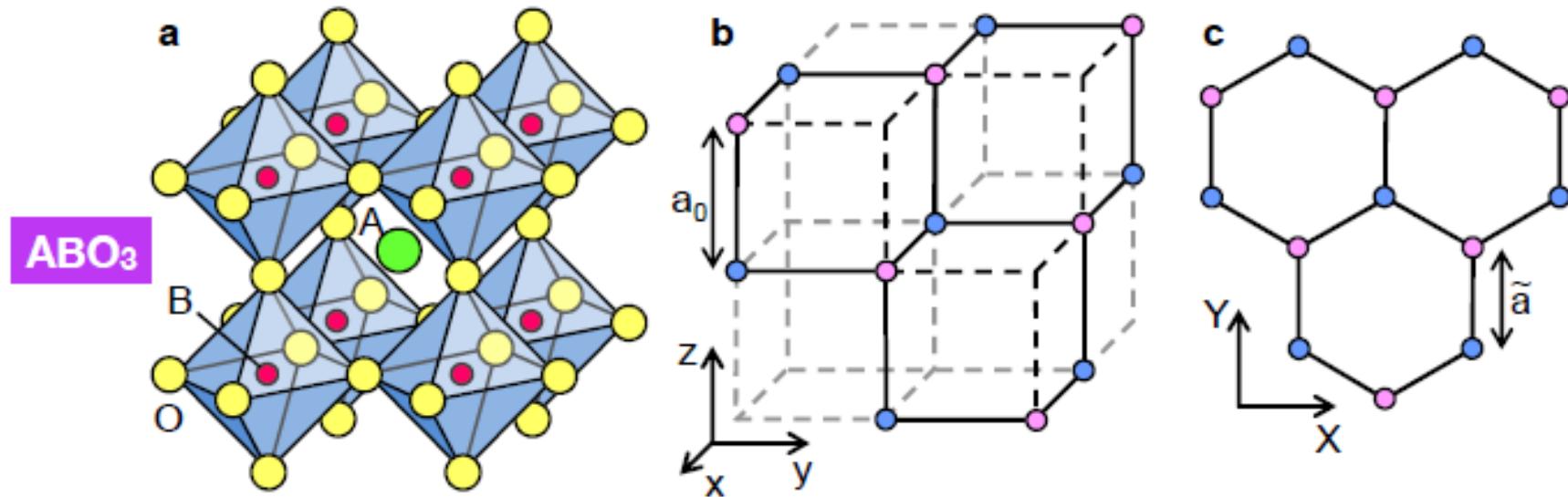
→ $H_0 = \int d^2r \psi^\dagger(r) \left[3t'\eta_z\tau_z\sigma_Z - \frac{3}{2}t\eta_z[-i\partial_Y\tau_x + i\partial_X\tau_y] \right] \psi(r)$

Quantum Hall Effects in Heterostructures of Transition-Metal Oxides

[arXiv:1106.4296](https://arxiv.org/abs/1106.4296)

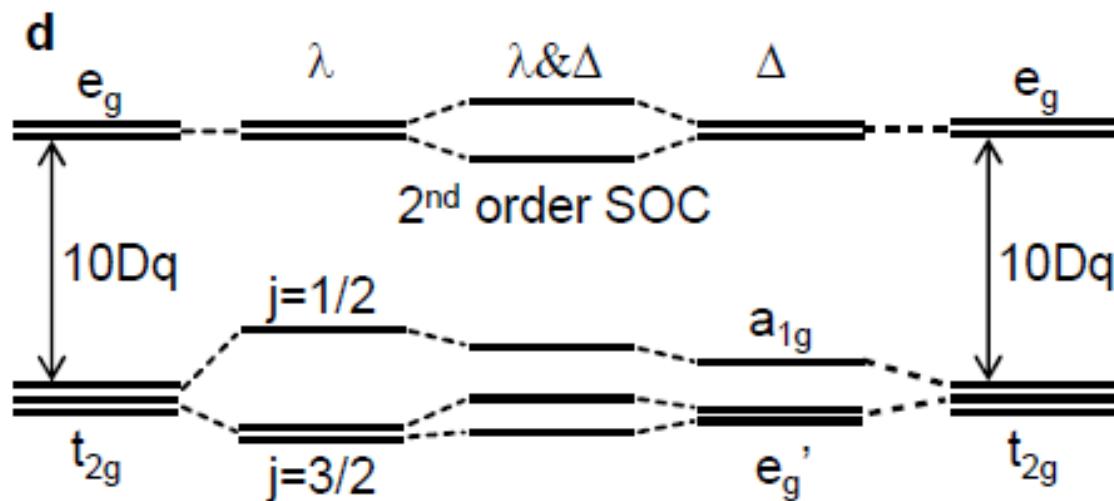
Di Xiao (Oak Ridge)
Wenguang Zhu (Knoxville)
Ying Ran (Boston)
Naoto Nagaosa (Tokyo)
Satoshi Okamoto (Oak Ridge)

Perovskite (111)-bilayer



- ▶ Honeycomb lattice: Similar physics to graphene is expected
- ▶ Sublattices on different layer: Inversion symmetry breaking can be externally controlled (i.e., gating or asymmetric substrates)
- ▶ Reduced crystal field symmetry: Octahedral to **trigonal**

Atomic Orbitals in Crystal Field + SO

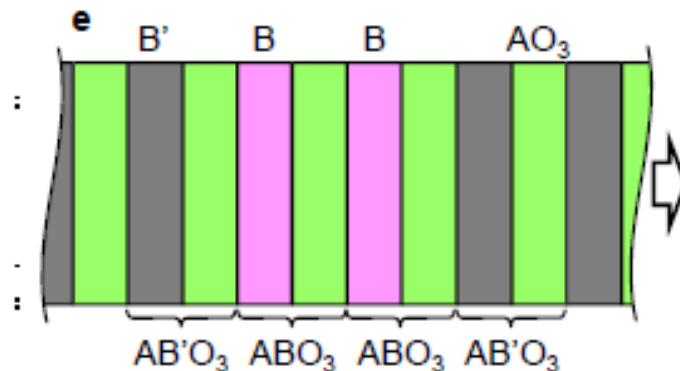


Spin-orbit interaction + Trigonal symmetry



Materials Consideration

A=La³⁺, Sr²⁺
LaBO₃ → B³⁺
SrBO₃ → B⁴⁺



AB'O₃: LaAlO₃ and SrTiO₃

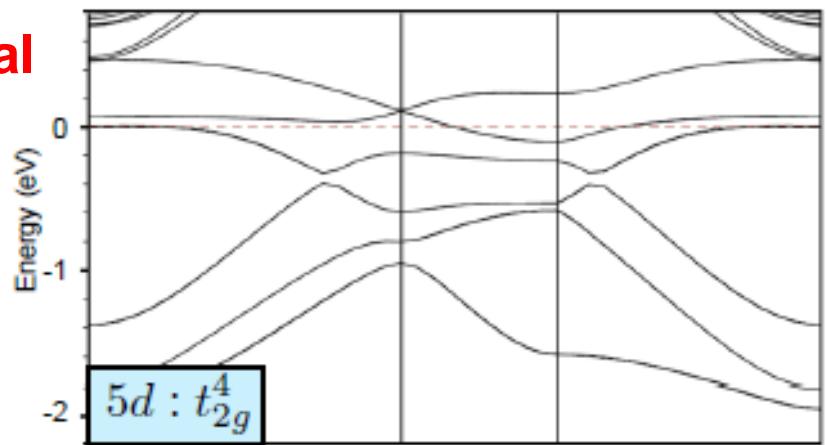
TABLE SI: List of candidate materials

	Configuration	Bulk	Superlattice
LaReO ₃	t_{2g}^4	—	—
LaRuO ₃	t_{2g}^5	metallic Ref. [2]	—
SrRhO ₃	t_{2g}^5	metallic Ref. [3]	Ref. [4]
SrIrO ₃	t_{2g}^5	metallic Refs. [5, 6]	metallic Ref. [7]
LaOsO ₃	t_{2g}^5	—	—
LaAgO ₃	e_g^2	metallic (band calc.) Ref. [8]	—
LaAuO ₃	e_g^2	Refs. [9, 10]	—

t_{2g} Systems

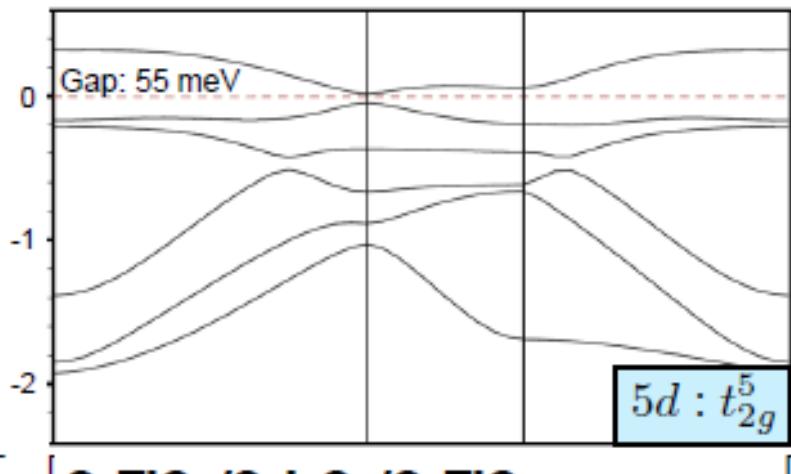
$\text{LaAlO}_3/\text{LaReO}_3/\text{LaAlO}_3$

metal



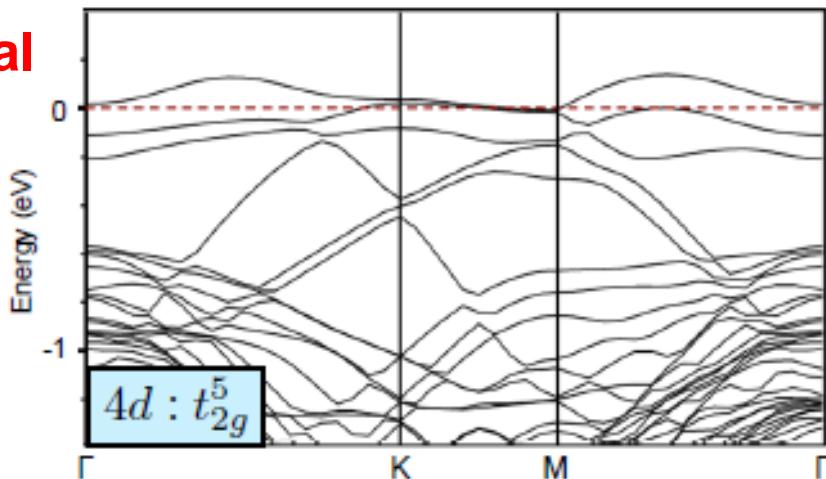
$\text{LaAlO}_3/\text{LaOsO}_3/\text{LaAlO}_3$

TI



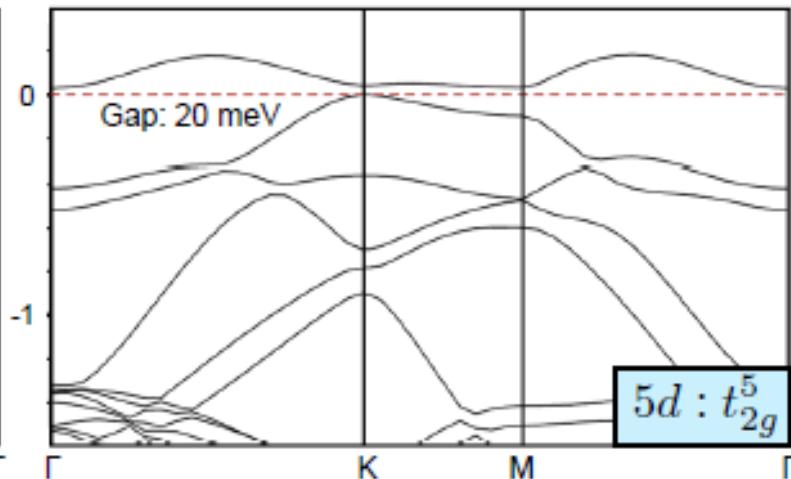
$\text{SrTiO}_3/\text{SrRhO}_3/\text{SrTiO}_3$

metal



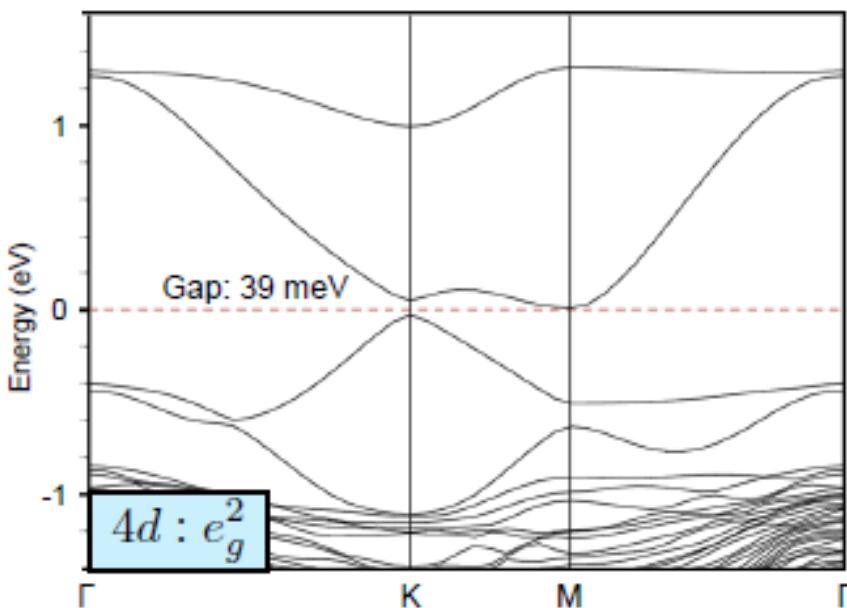
$\text{SrTiO}_3/\text{SrIrO}_3/\text{SrTiO}_3$

TI

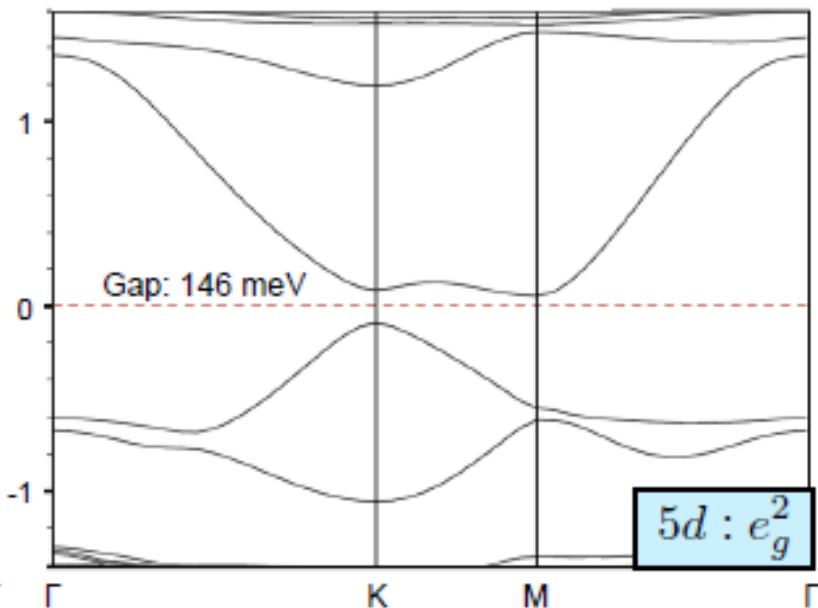


e_g Systems

$\text{LaAlO}_3/\text{LaAgO}_3/\text{LaAlO}_3$



$\text{LaAlO}_3/\text{LaAuO}_3/\text{LaAlO}_3$



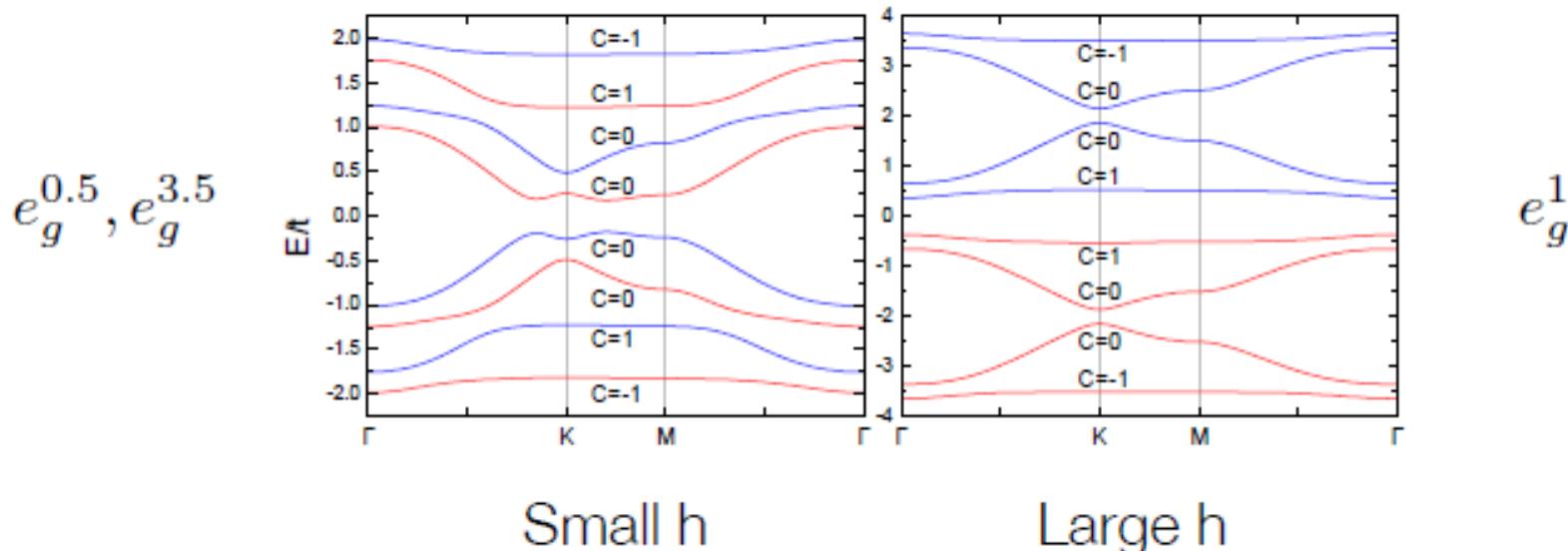
LaAuO₃ bilayer has an energy gap ~ 2000 K

Integer Quantum Hall Effect

How to break time-reversal symmetry?

- External: Ferromagnetic or G-type antiferromagnetic substrate
- Internal: Stoner instability ($U/\text{Bandwidth} \gg 1$)

Mean field Hamiltonian $H = H_{eg} + \vec{h} \cdot \vec{\sigma}$



Fractional Quantum Hall Effect

$$H = H_{eg} + h\sigma_z + H_I$$

$$H_I = U \sum_{i,\alpha} n_{i\alpha\uparrow} n_{i\alpha\downarrow} + U' \sum_{i,\alpha>\beta} n_{i\alpha} n_{i\beta} + V_{\langle ij \rangle} n_i n_j$$

U: Onsite intra-orbital repulsion

U': On-site inter-orbital repulsion

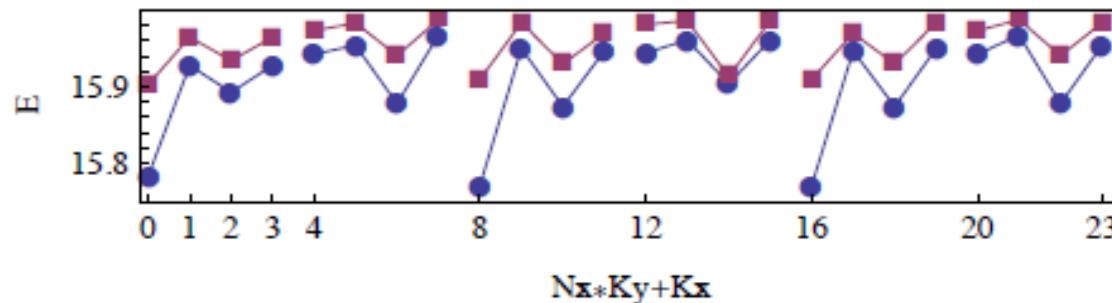
V: Nearest-neighbor repulsion

$$U = U' = t, V = 0.5t$$

What is the Hall conductance for a 1/3 filled nearly flat band

Fractional Quantum Hall Effect

- 3-fold degenerate GS



- Chern number

$$\sigma_{xy} = \frac{e^2}{hg} \sum_{K=1}^g \int_0^{2\pi} \int_0^{2\pi} d\phi_1 d\phi_2 \\ \left(\left\langle \frac{\partial \Phi_0}{\partial \phi_1} \middle| \frac{\partial \Phi_0}{\partial \phi_2} \right\rangle - \left\langle \frac{\partial \Phi_0}{\partial \phi_2} \middle| \frac{\partial \Phi_0}{\partial \phi_1} \right\rangle \right)$$

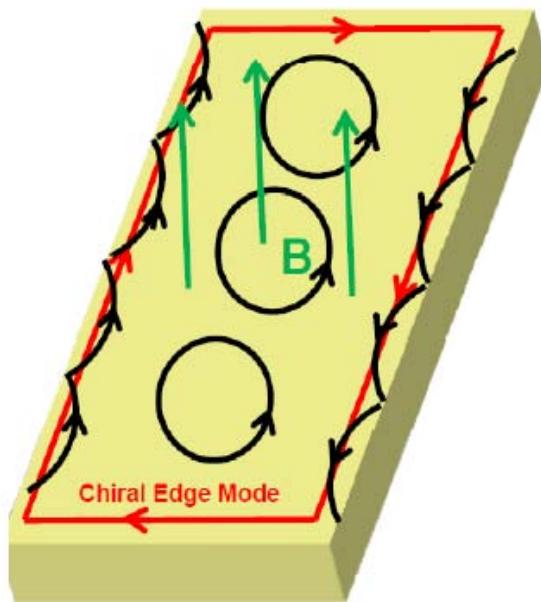
$$g=3, C_1=0.3344, C_2=0.3311, C_3=0.3344$$

Other proposals, see Tang et al PRL; Neupert et al PRL; Sun et al PRL, 2011
Neupert et al., cond-mat 2011, X.L.Qi, cond-mat 2011

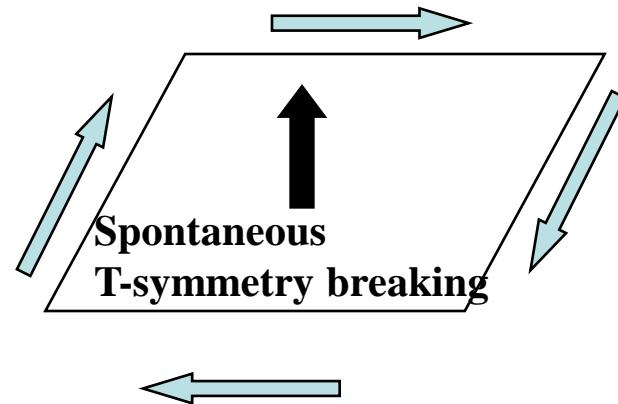
Topological Superconductors

Analogy between chiral superconductor and QHS

Quantum Hall system



Chiral superconductor

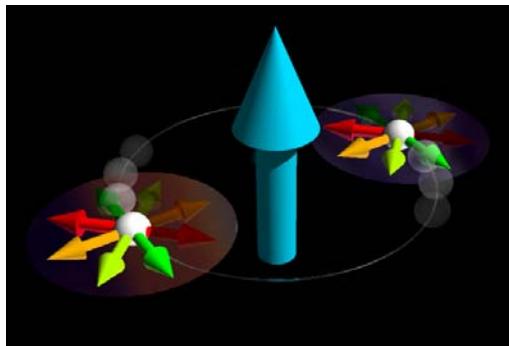


$$\sigma_H = \frac{e^2}{h} n \quad n: \text{Topological integer}$$

Chiral edge channels

??

Chiral p-wave superconductors Sr_2RuO_4



Maeno (1994), Sigrist-Rice

Spin-triplet p-wave
Time-reversal
symmetry broken

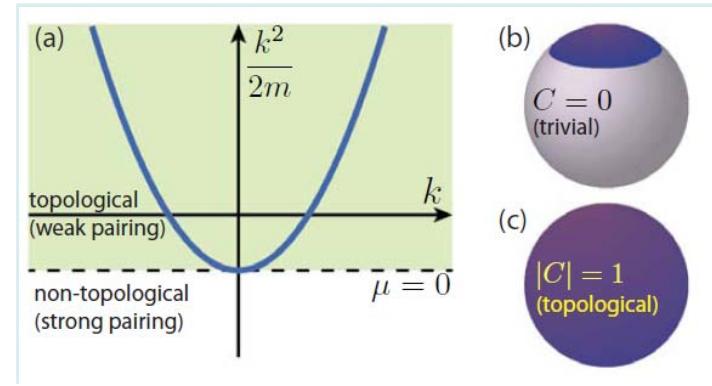
Topological index for chirality

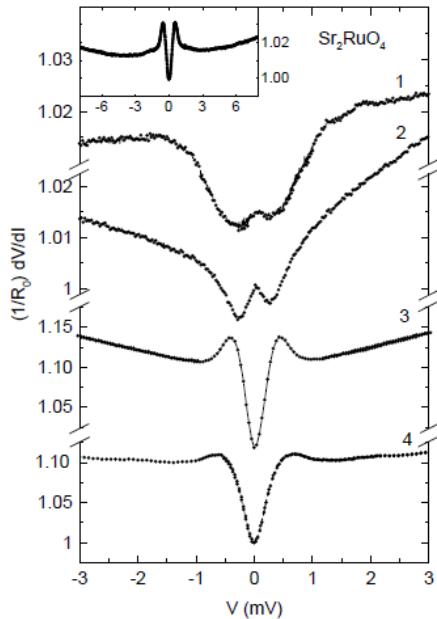
$$N = \frac{1}{4\pi} \int_{-\infty}^{\infty} dk_x \int_{-\infty}^{\infty} dk_y \hat{\mathbf{m}} \cdot \left(\frac{\partial \hat{\mathbf{m}}}{\partial k_x} \times \frac{\partial \hat{\mathbf{m}}}{\partial k_y} \right)$$

$$\hat{\mathbf{m}} = \frac{\mathbf{m}}{|\mathbf{m}|}, \quad \mathbf{m} = (\text{Re } d_z, \text{Im } d_z, \epsilon_k)$$

Volovik

related to the # of edge channels but not to σ_H

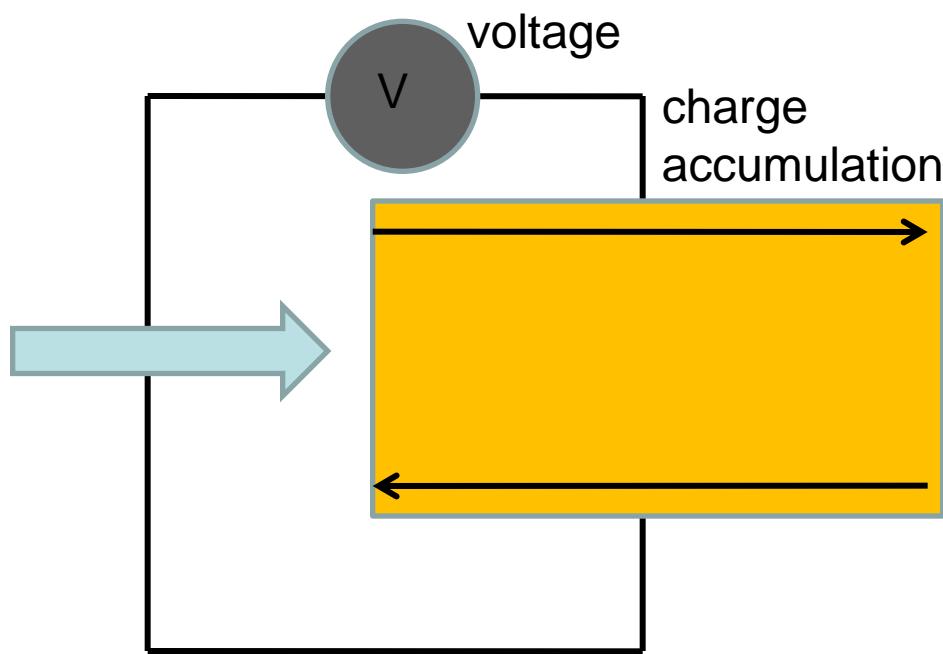




SRO-Pt point contact
Andreev bound state

F. Laube et al. (00)

Kashiwaya et el.



$$\sigma_H^s \approx \frac{e^2}{h} \cdot \frac{1}{(k_F \lambda)^2}$$

compressible ground state

Furusaki-Matsumoto-Sigrist (2000)

Current I

Majorana (real) Fermions

f^+, f Usual (complex) fermions

$$\psi = (f^+ + f)/\sqrt{2} \quad \Rightarrow \quad \psi = \psi^+ \quad \psi^2 = 1$$

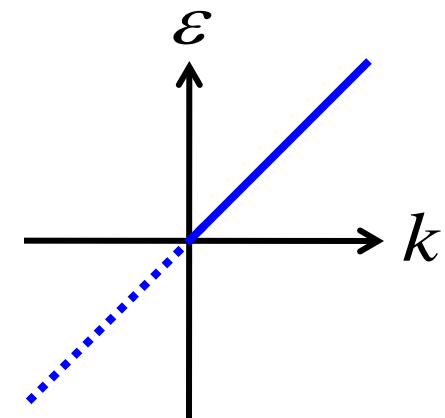
"half" of the usual (complex) fermion
"real" fermion

Chiral Majorana mode at the edge of spinless p+ip SC (A.Furusaki et al.)

$$\begin{aligned} \mathcal{H}_p = & \psi^\dagger(r) \left(-\frac{\hbar^2}{2m} \nabla^2 - \mu \right) \psi(r) + \frac{1}{\lambda} |\eta(r)|^2 \\ & - \frac{i}{2k_F} \eta(r) \cdot \psi^\dagger(r) \nabla \psi^\dagger(r) - \frac{i}{2k_F} \eta^*(r) \cdot \psi(r) \nabla \psi(r) \end{aligned}$$

$$\psi(y, t) = e^{i\pi/4 + i\phi/2} \int_0^{k_F} \frac{dk}{\sqrt{4\pi}} \left(e^{ik(\epsilon y - vt)} \gamma_k + e^{-ik(\epsilon y - vt)} \gamma_k^\dagger \right)$$

$$H_p = \int_0^{k_F} dk v k \gamma_k^\dagger \gamma_k$$



c.f. Majorana zero energy bound state at vortex
(Read-Green, Kitaev, Ivanov, D.H.Lee etc.)

Majorana (real) Fermions



f^+, f Usual (complex) fermions

$$\psi = (f^+ + f)/\sqrt{2} \quad \rightarrow \quad \psi = \psi^+ \quad \psi^2 = 1$$

$$f = (\psi_1 + i\psi_2)/\sqrt{2}$$

"half" of the usual (complex) fermion
"real" fermion

ψ_1, ψ_2

ψ_3, ψ_4

ψ_5, ψ_6

...

ψ_{2N-1}, ψ_{2N}

ψ_1

Cooper pairing

ψ_3

ψ_5

ψ_{2N-1}

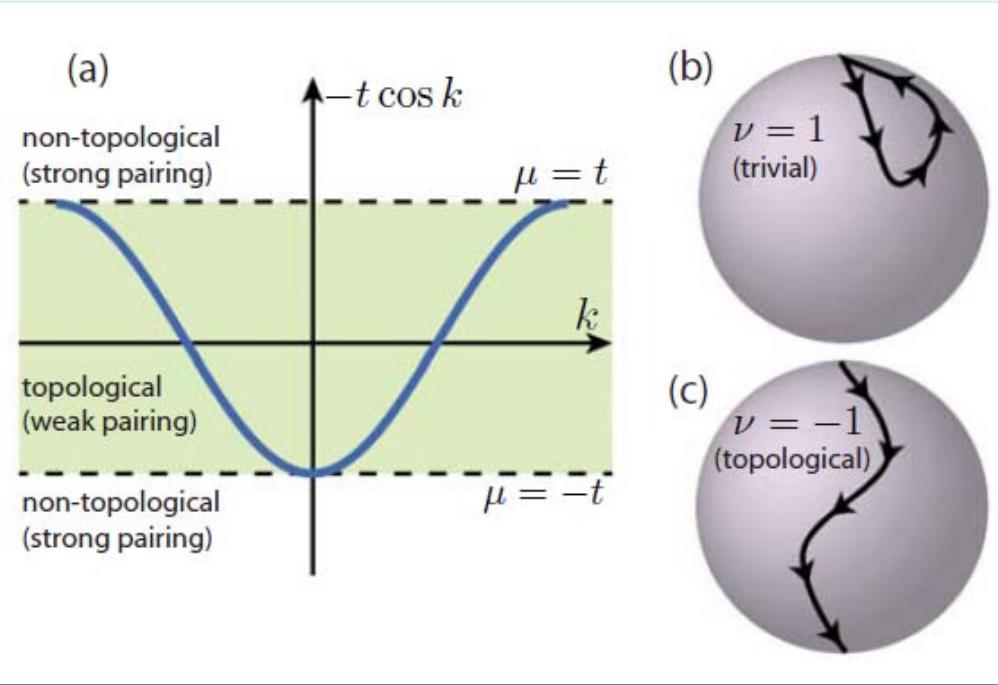
ψ_{2N}

ψ_1, ψ_{2N}

Single fermion $\rightarrow 1$ q-bit

Kitaev model

Alicea 2012



$$H = \frac{1}{2} \sum_{k \in BZ} C_k^\dagger \mathcal{H}_k C_k, \quad \mathcal{H}_k = \begin{pmatrix} \epsilon_k & \tilde{\Delta}_k^* \\ \tilde{\Delta}_k & -\epsilon_k \end{pmatrix}.$$

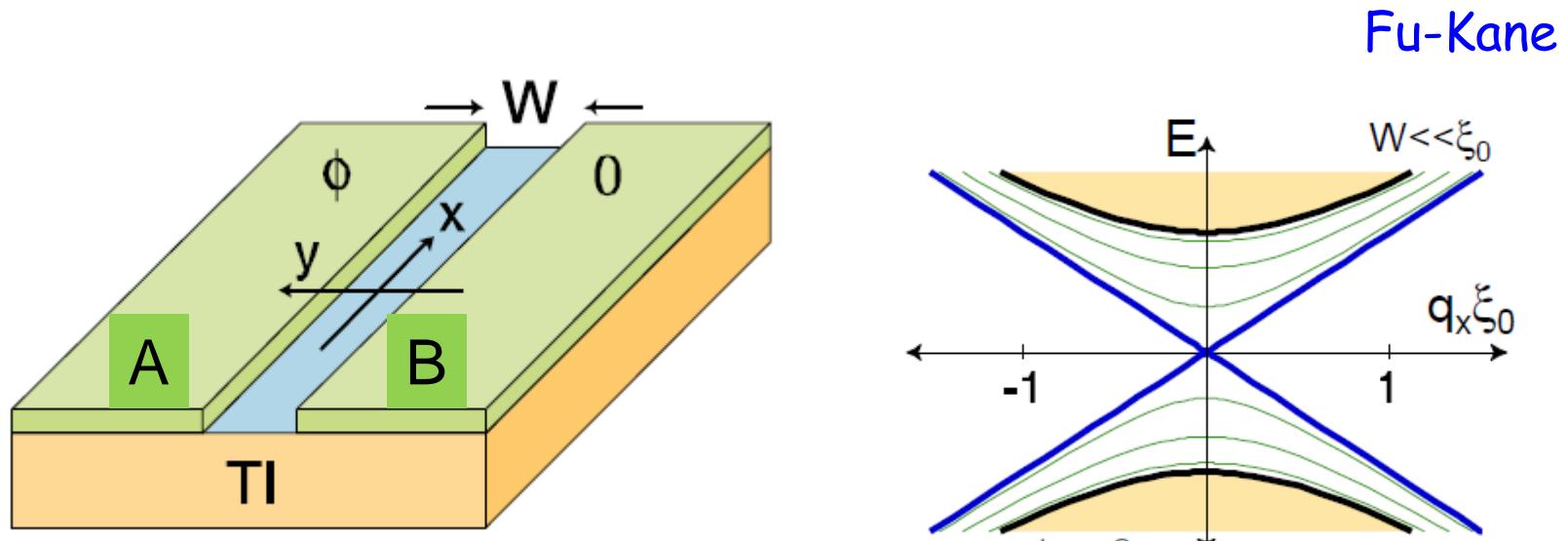
$$\mathcal{H}_k = \mathbf{h}(k) \cdot \boldsymbol{\sigma} \quad (C_{-k}^\dagger)^T = \sigma^x C_k$$

$$h_{x,y}(k) = -h_{x,y}(-k), \quad h_z(k) = h_z(-k)$$



$$\hat{\mathbf{h}}(0) = s_0 \hat{\mathbf{z}}, \quad \hat{\mathbf{h}}(\pi) = s_\pi \hat{\mathbf{z}}$$

Proximity effect of SC and topological insulator

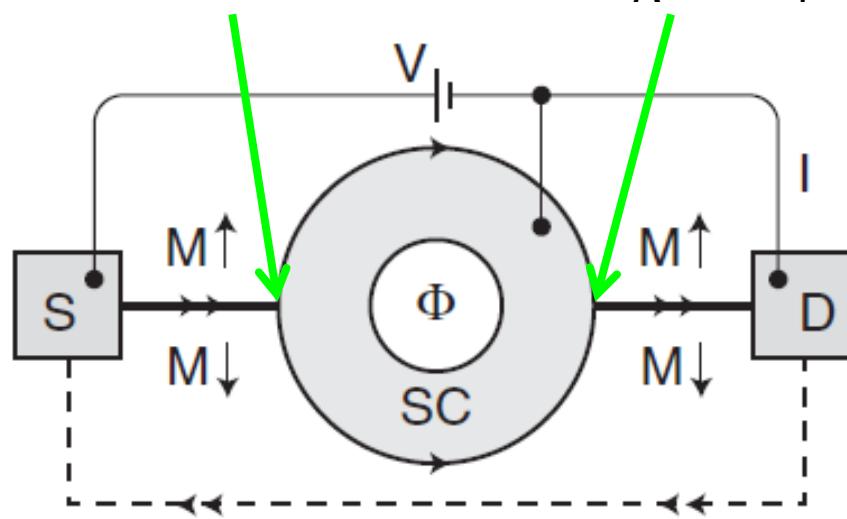


A	B	channels
SC	Ferro	Chiral Majorana
Ferro up	Ferro down	Chiral Fermion
SC $\phi = 0$	SC $\phi = \pi$	Helical Majorana
Ferro	Metal	No channel

Majorana interferometer on topological insulator

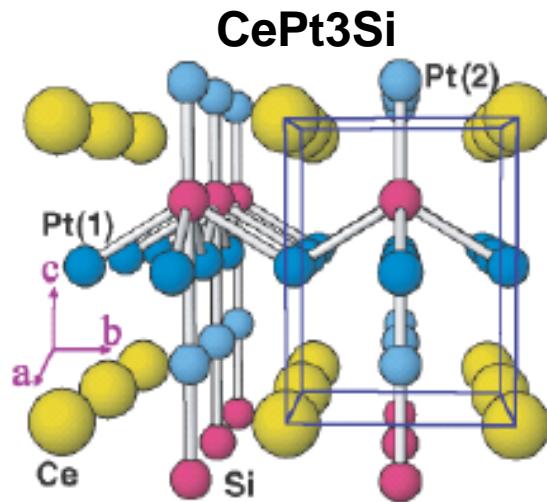
Fu-Kane, Beenacker et al., Ng-Lee et al.

$$C_L^+ = \gamma_1 + i\gamma_2 \quad C_R^+ = \gamma_1 \pm i\gamma_2$$



$$I = (-1)^n \frac{e}{h} \frac{\pi k_B T \sin(eV\delta L/v_M)}{\sinh(\pi k_B T \delta L/v_M)}, \quad k_B T, eV \ll \Delta_0.$$

Non-centrosymmetric Superconductors



Bauer-Sigrist et al.

$$H_0 = \sum_k c_k^+ (\varepsilon_k + \vec{\lambda}(k) \cdot \vec{\sigma}) c_k$$

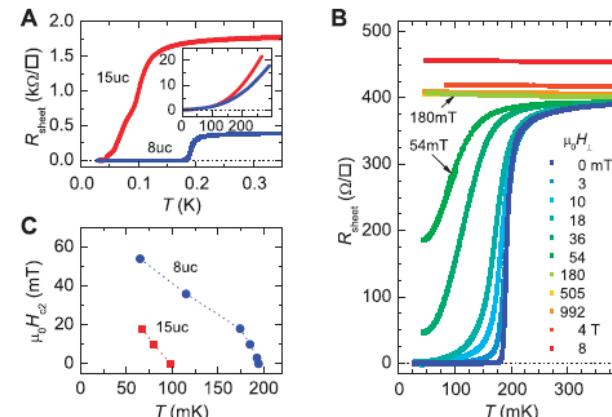
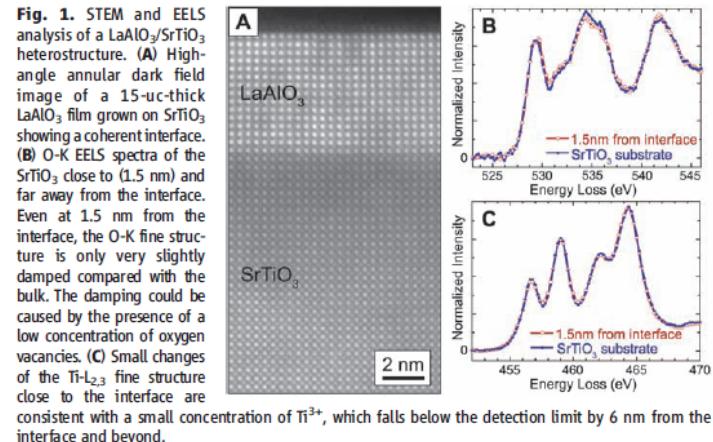
$\vec{\lambda}(k) = -\vec{\lambda}(-k)$ **Time-reversal**

$\vec{\lambda}(k) = \vec{\lambda}(-k)$ **Space-inversion**

Mixture of spin singlet
and triplet pairings

Possible helical
superconductivity

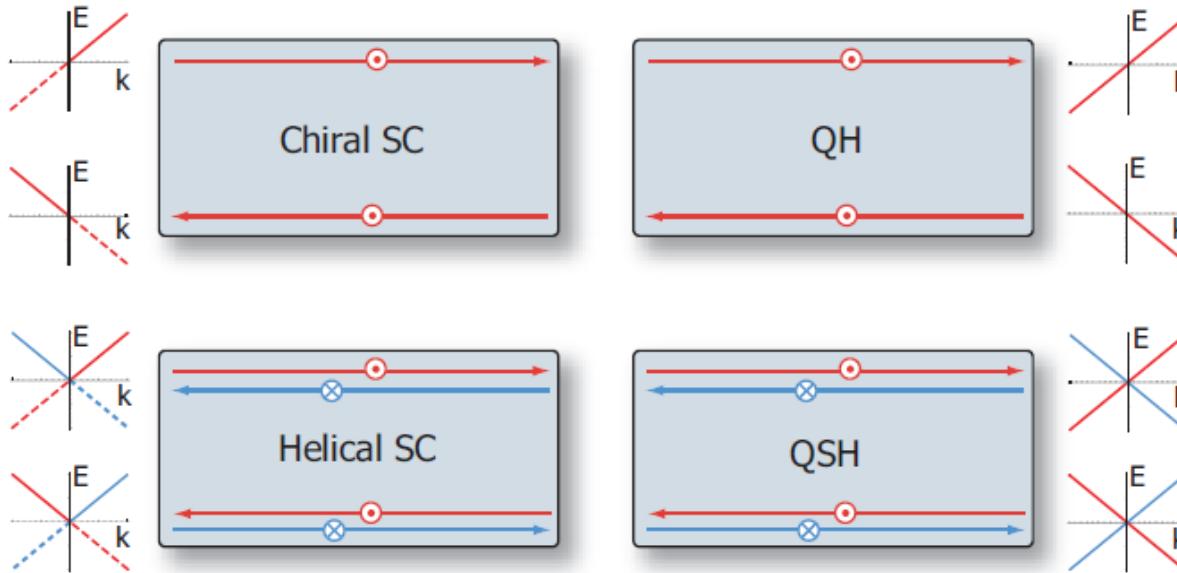
LaAlO₃/SrTiO₃ interface



Edge modes of various systems

Majorana
fermion

$$\psi_k^+ = \psi_{-k}$$



Topological Superconductivity and Superfluidity

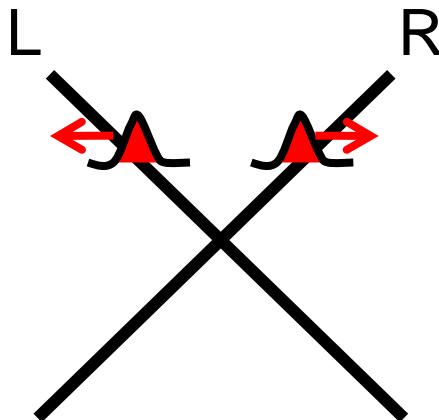
Xiao-Liang Qi, Taylor L. Hughes, Srinivas Raghu and Shou-Cheng Zhang

robust

susceptible

Chiral Majorana	Chiral Fermion	Helical Majorana	Spinless Fermion	Helical Fermion	Spinful Fermion	2-Spinful Fermion
b+ip SC 5/2 FQH STI+SC	1/3 FQH	Helical SC	Ferro wire	QSHS	Q-wire	Ladder

Split electrons into fractions

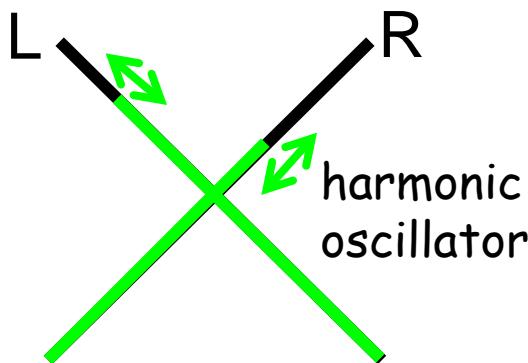


R or L

\uparrow or \downarrow

positive or negative energy

→ 8 pieces of fractions !!



$$\rho_{R\uparrow} = \partial_x \phi_{R\uparrow} \text{ etc.}$$

Various combination of ϕ 's

can be fixed by el - el interaction

→ Recombination of pieces

robust

susceptible

Chiral Majorana	Chiral Fermion	Helical Majorana	Spinless Fermion	Helical Fermion	Spinful Fermion	2-Spinful Fermion
p+ip SC 5/2 FQH STI+SC	1/3 FQH	Helical SC	Ferro wire	QSHS	Q-wire	Ladder

Topological periodic table

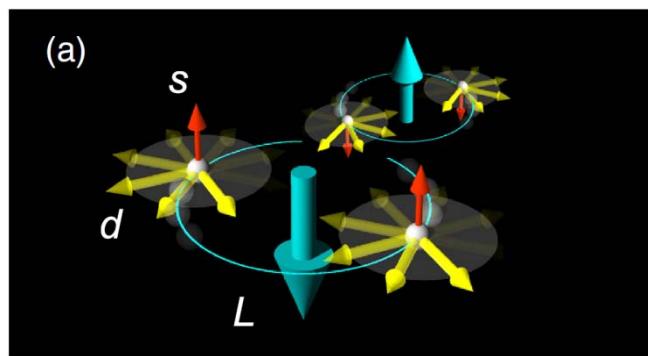
Kitaev, Schnyder *et al.* PRB 2008

	symmetry			d							
	\mathcal{T}^2	\mathcal{C}^2	\mathcal{S}^2	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	1	0	0	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0
DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$
AI	-1	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-1	-1	1	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-1	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	1	-1	1	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

\mathcal{T} : time-reversal

\mathcal{C} : particle-hole

\mathcal{S} : chiral



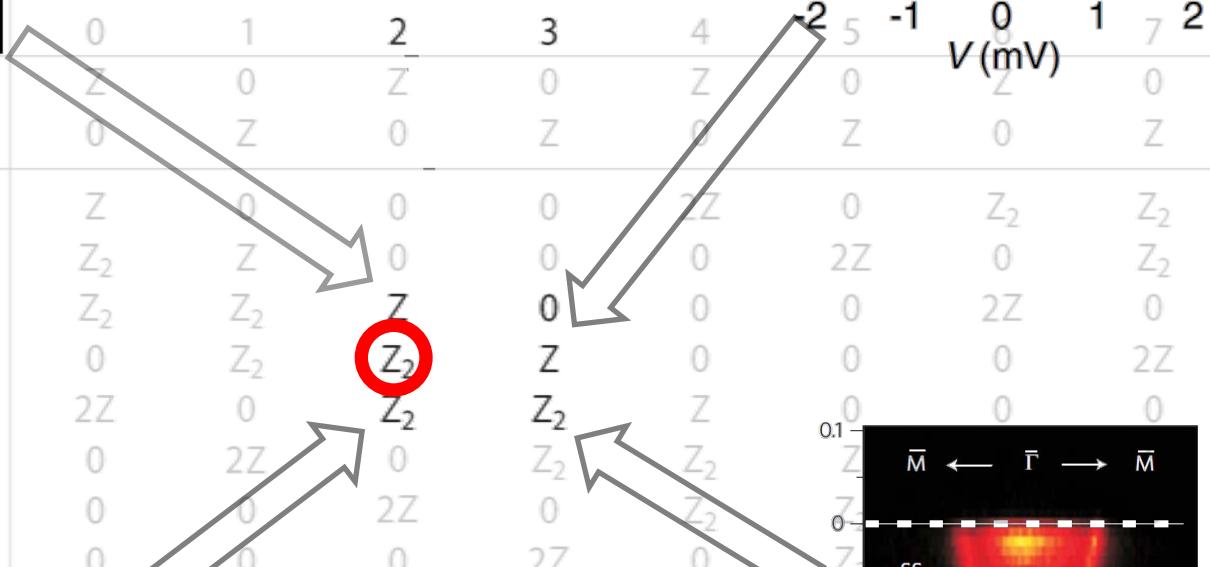
Sr_2RuO_4

Maeno *et al.* JPSJ 2012

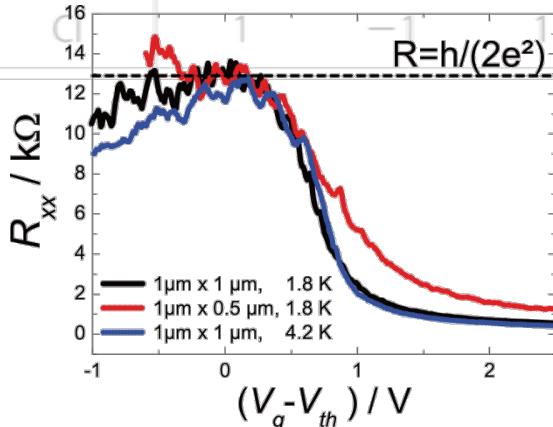
$\text{Cu}_x\text{Bi}_2\text{Se}_3(?)$

Sasaki *et al.* PRL 2011

d

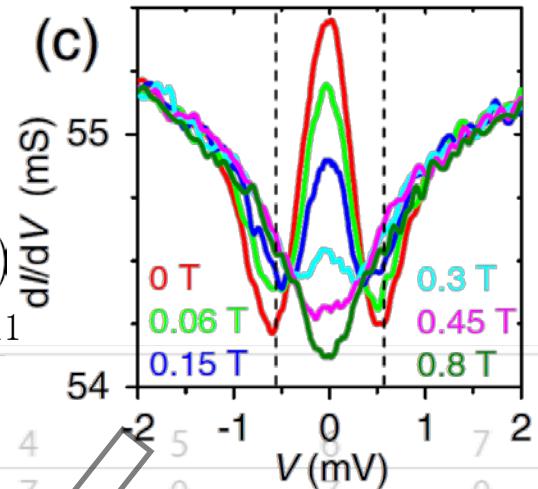


Chiral superconductor
Helical superconductor
Topological Insulator



HgTe/CdTe

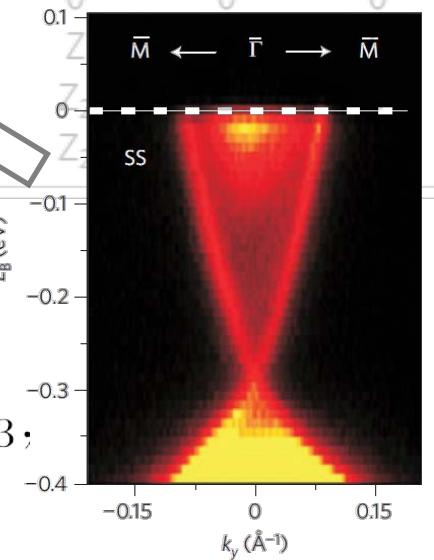
Koenig *et al.* JPSJ 2008



Bi_2Se_3
 E_B (eV)

Xia *et al.* NatPhys 2009

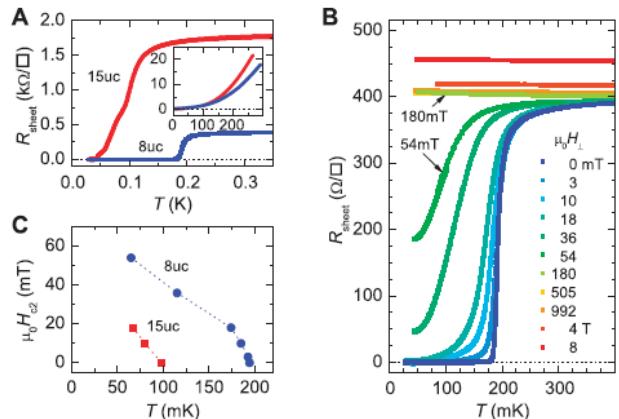
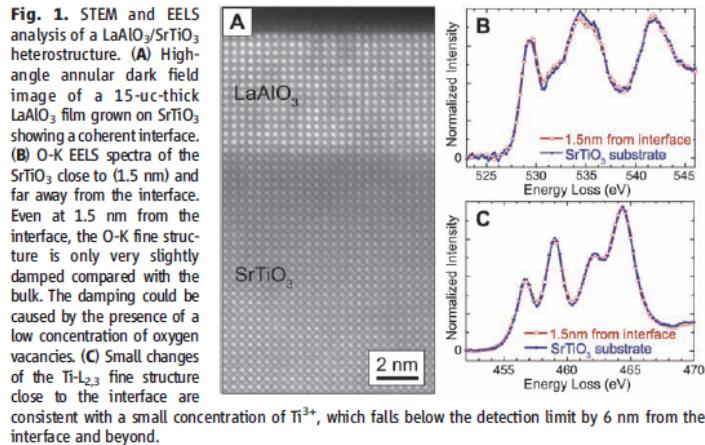
$\text{Bi}_{1-x}\text{Sb}_x$, Bi_2Te_3 ,
 BiTlSe_2 etc.



Theoretically design of
topological superconductors

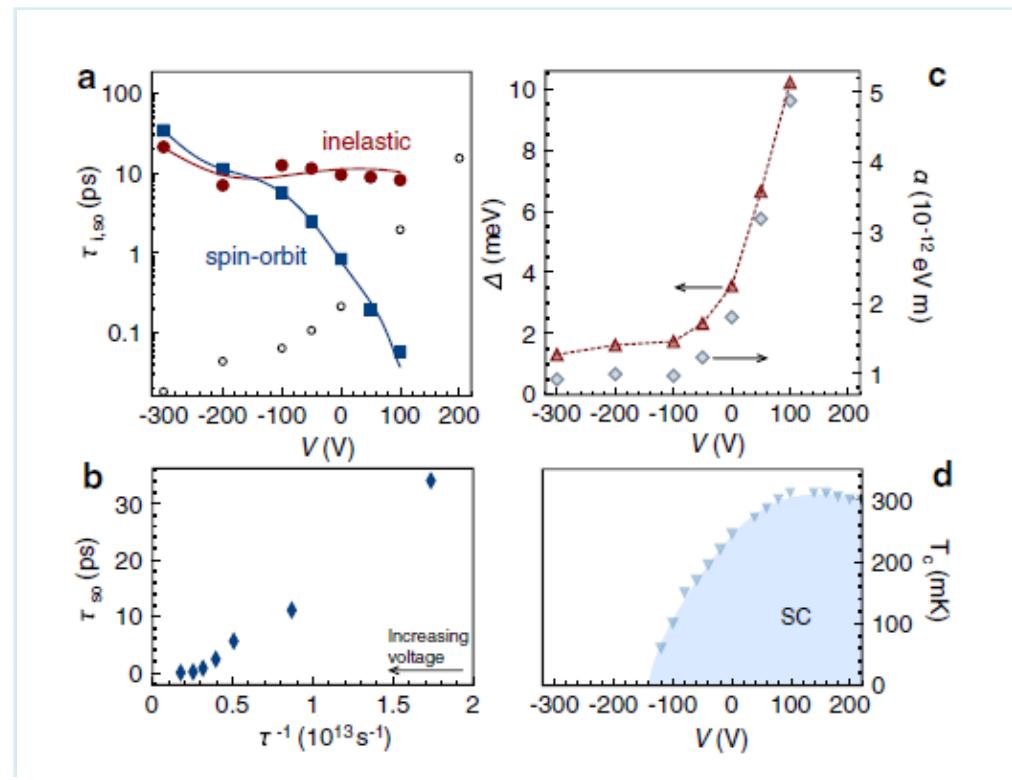
Interface of oxides as 2D Rashba system

LaAlO₃/SrTiO₃ interface



M. Reyren et al 2007

Rashba control of LaAlO₃/SrTiO₃ interface



A.D.Caviglia et al. 2007

Novel FFLO state in Rashba interface

K. Michaeli, A.C. Potter, and P.A. Lee,
arXiv:1107.4352v2

Rashba spin-splitting

T - symmetry: $k \uparrow \Leftrightarrow -k \downarrow$

I - symmetry: $k\sigma \Leftrightarrow -k\sigma$

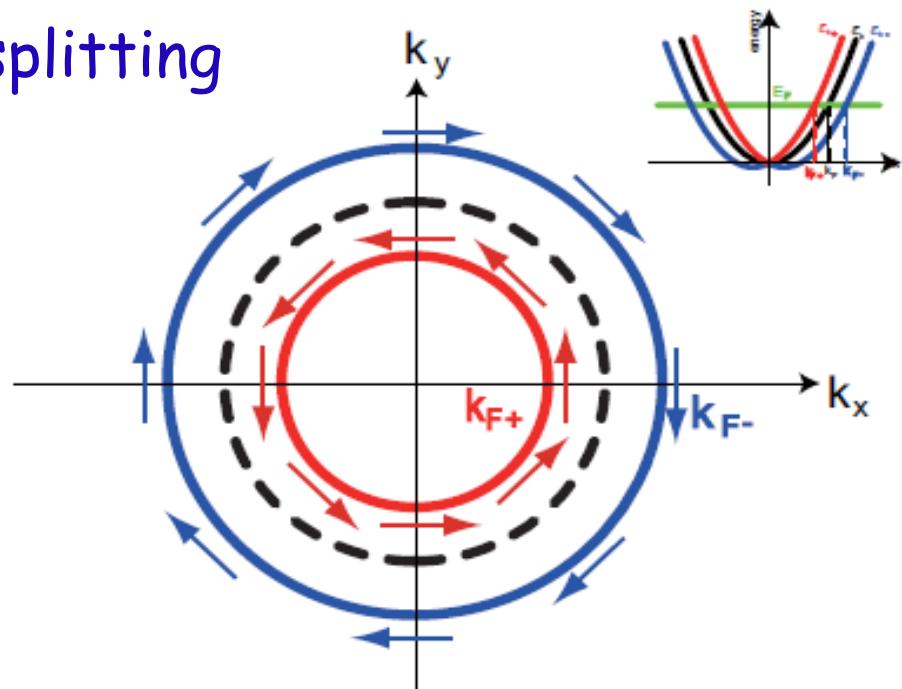
→ $k \uparrow$ and $k \downarrow$ are degenerate

I -symmetry breaking → spin splitting

$$H_{spin-orbit} = \frac{e\hbar}{2m^2c^2} (E \times p) \cdot s$$

$$H_{Rashba} = \frac{\hbar^2 k^2}{2m} + \alpha \hbar (k_y \sigma_x - k_x \sigma_y)$$

spin-momentum locking



Rashba superconductor

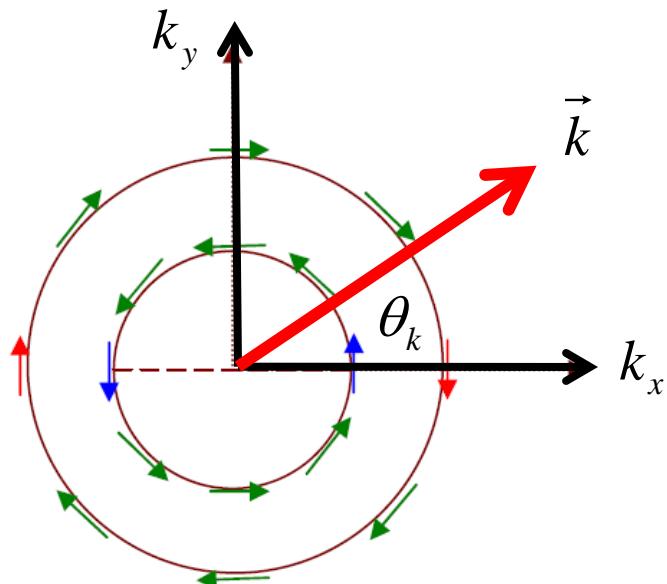
$$H = \sum_k \psi_k^+ (\xi_k + \lambda \vec{k}_{2D} \cdot \vec{\sigma}) \psi_k + \Delta_s \psi_k^+ i \sigma_y \psi_{-k}^+ + \Delta_p \psi_k^+ i (\vec{d}(\vec{k}) \cdot \vec{\sigma}) \sigma_y \psi_{-k}^+ + h.c.$$

Chiral base

$$\psi_{k\uparrow} = \frac{1}{\sqrt{2}} (c_{k+} + e^{-i\theta_k} c_{k-}), \quad \psi_{k\downarrow} = \frac{1}{\sqrt{2}} (e^{i\theta_k} c_{k+} - c_{k-})$$

$$H = \sum_k (\xi_k \pm \lambda |\vec{k}|) c_{k\pm}^+ c_{k\pm}^- + (-\Delta_s + \Delta_p) e^{-i\theta_k} c_{k+}^+ c_{-k+}^+ + (\Delta_s + \Delta_p) e^{i\theta_k} c_{k-}^+ c_{-k-}^+ + h.c.$$

+ and - bands are p+ip superconductor



Frigeri et al. 2004

Fu-Kane, 2008

Proximity effect of 3D topological insulator and s-wave SC

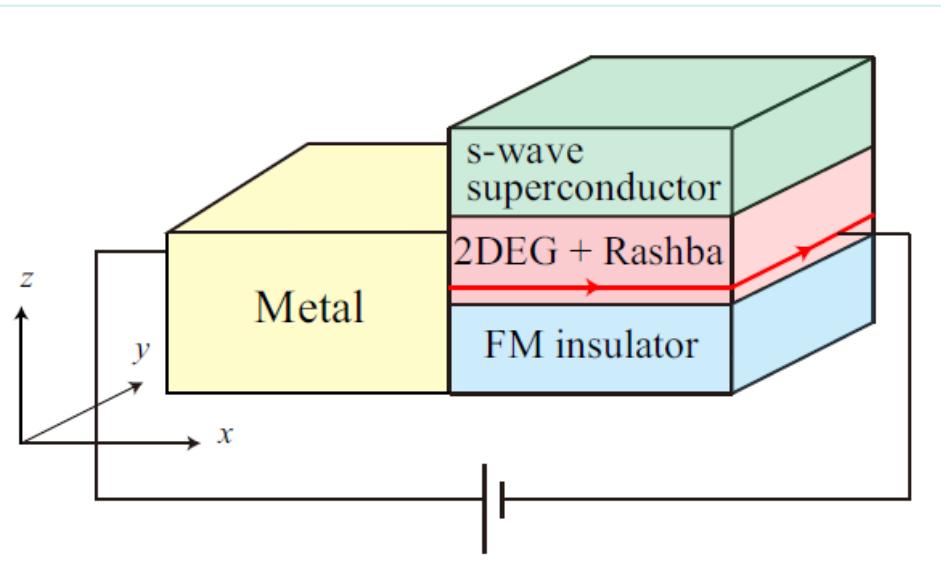
Z2 classification of DIII in 2D

$|\Delta_s| > |\Delta_p|$ Non-topological

$|\Delta_s| < |\Delta_p|$ Topological \rightarrow helical Majorana

Y.Yanaka-Yokoyama-Balatsky-N.N.
Fujimoto-Sato

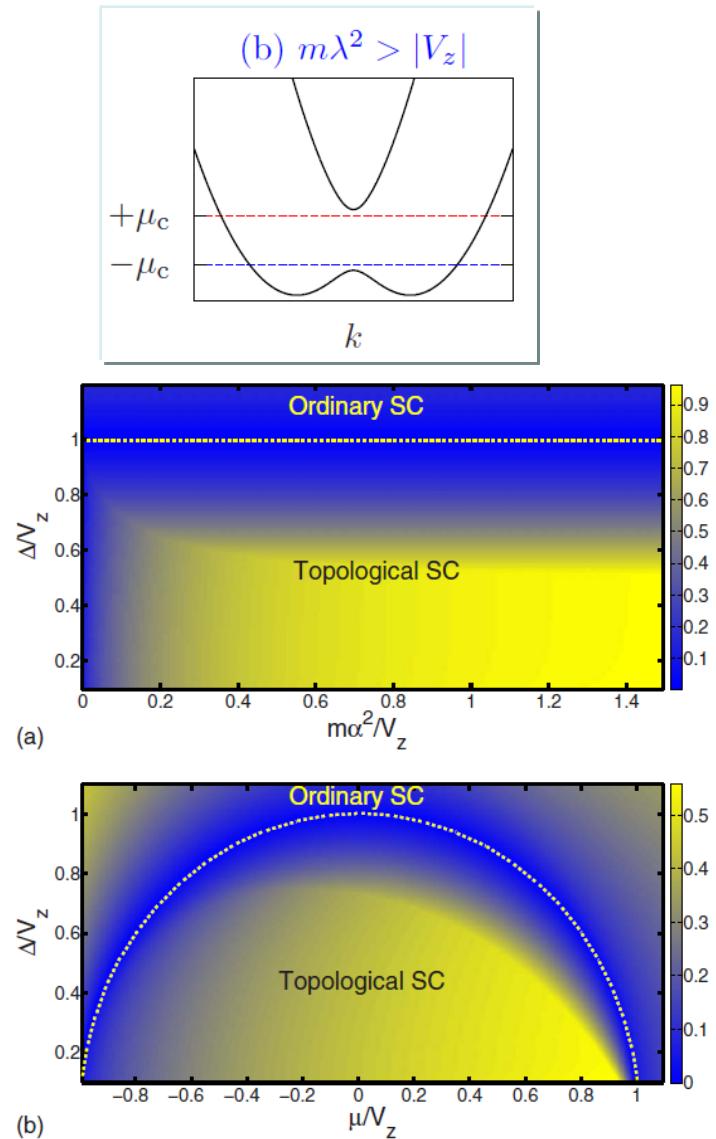
Rashba superconductor with Zeeman field



$$H_{SC} = \int d^2\mathbf{r} [\Delta \psi_\uparrow^\dagger \psi_\downarrow^\dagger + H.c.]$$

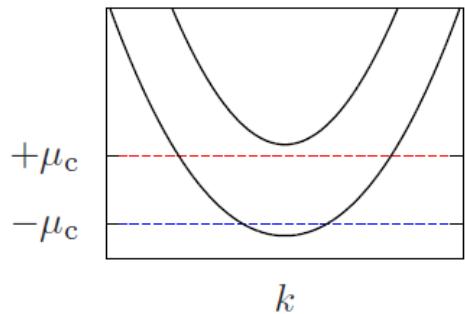
$$H_0 = \int d^2\mathbf{r} \psi^\dagger \left[-\frac{\nabla^2}{2m} - \mu - i\alpha(\sigma^x \partial_y - \sigma^y \partial_x) \right] \psi,$$

$$H_Z = \int d^2\mathbf{r} \psi^\dagger [V_z \sigma^z] \psi \quad \text{Zeeman field}$$



Fujimoto, S.D.Sarma et al., J. Alicea

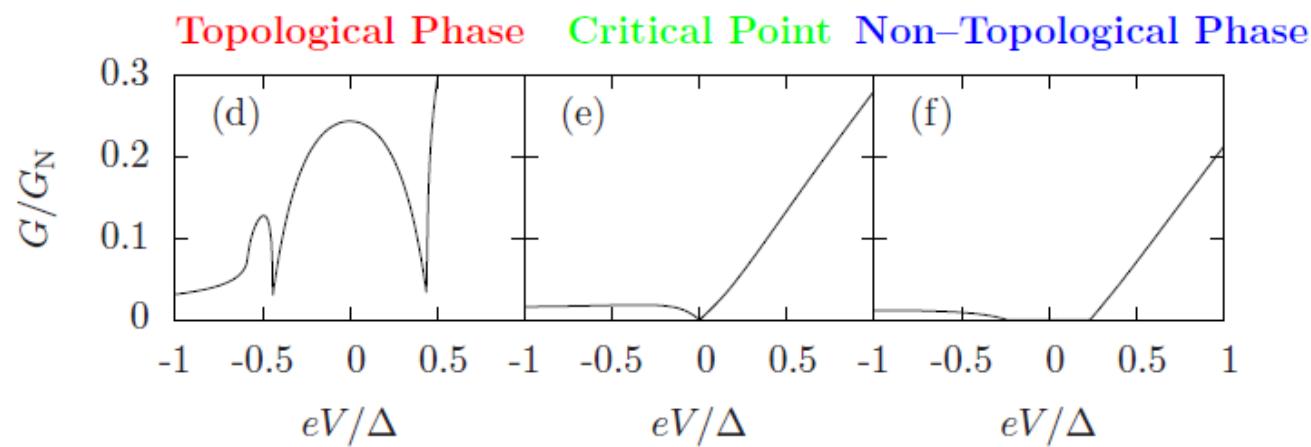
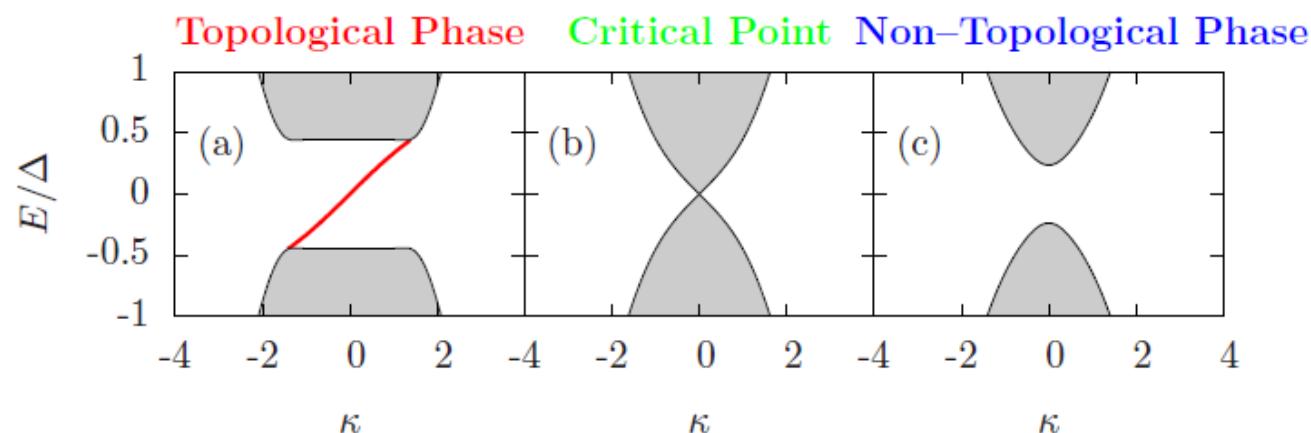
(a) $m\lambda^2 < |V_z|$



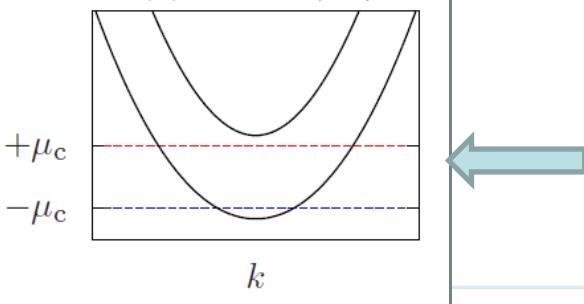
Non-topological

Topological

Non-topological



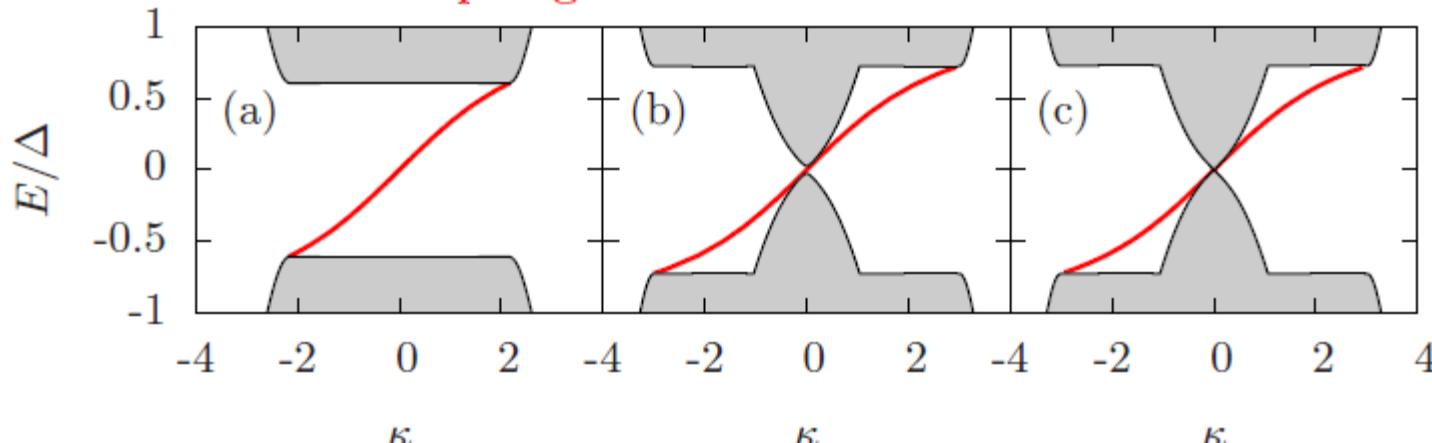
(a) $m\lambda^2 < |V_z|$



Majorana edge channel survives at QCP
Large G/G_N compared

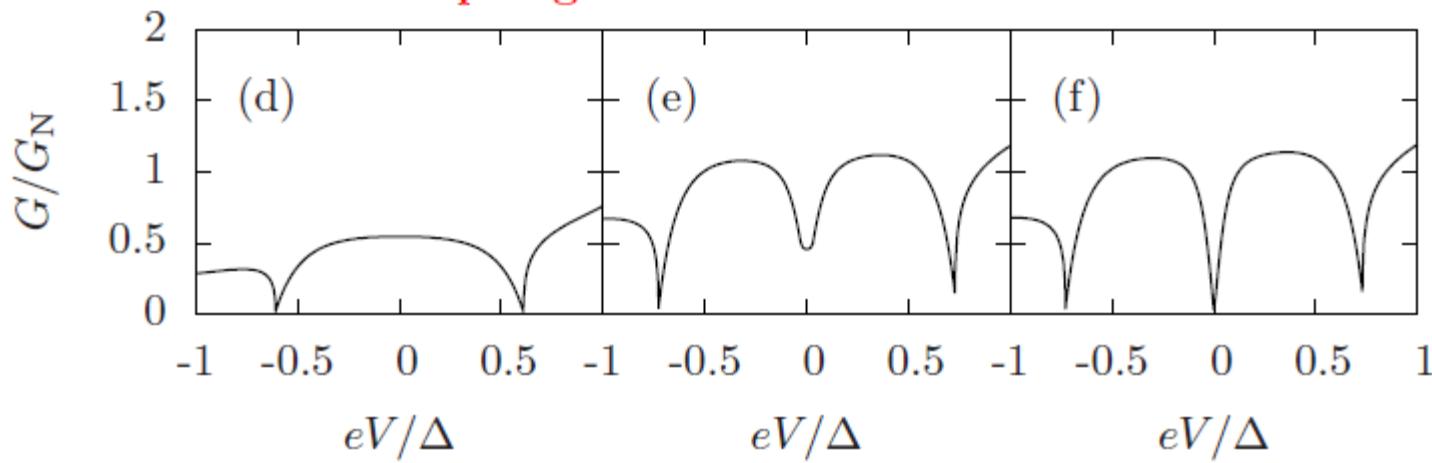
Topological Phase

Critical Point

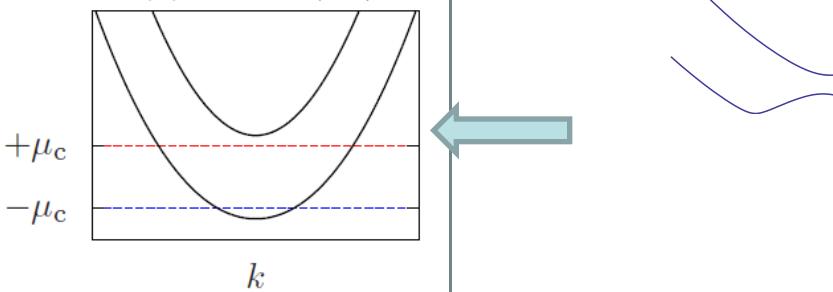


Topological Phase

Critical Point

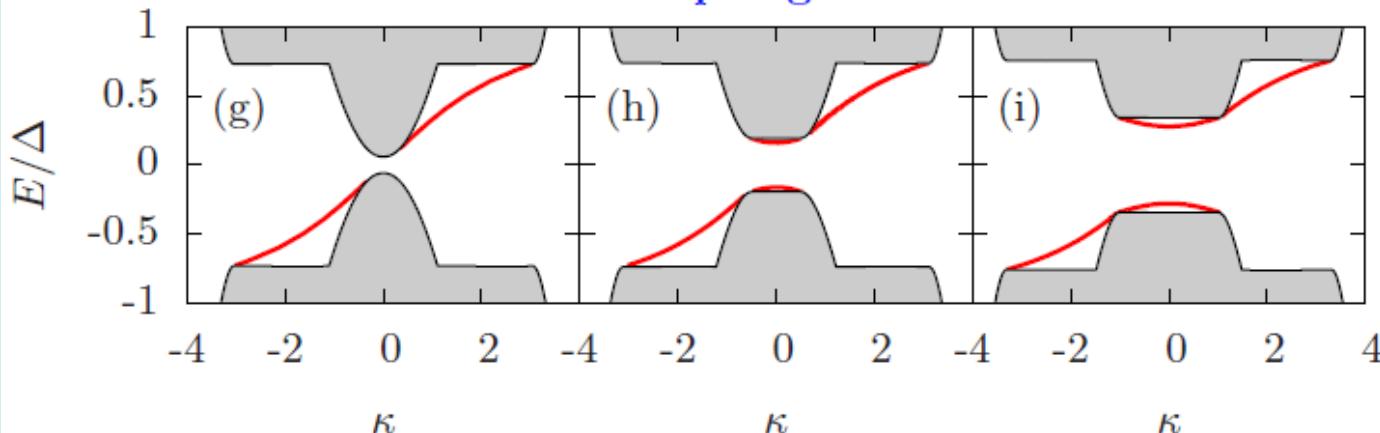


(a) $m\lambda^2 < |V_z|$

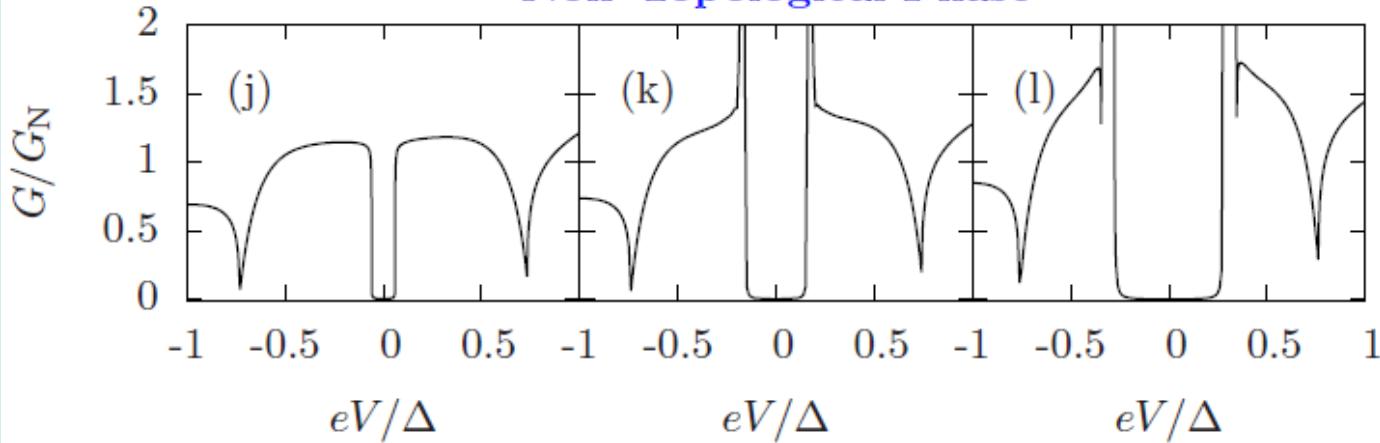


Gapped Majorana
edge channel
Peaks in G/G_N

Non–Topological Phase

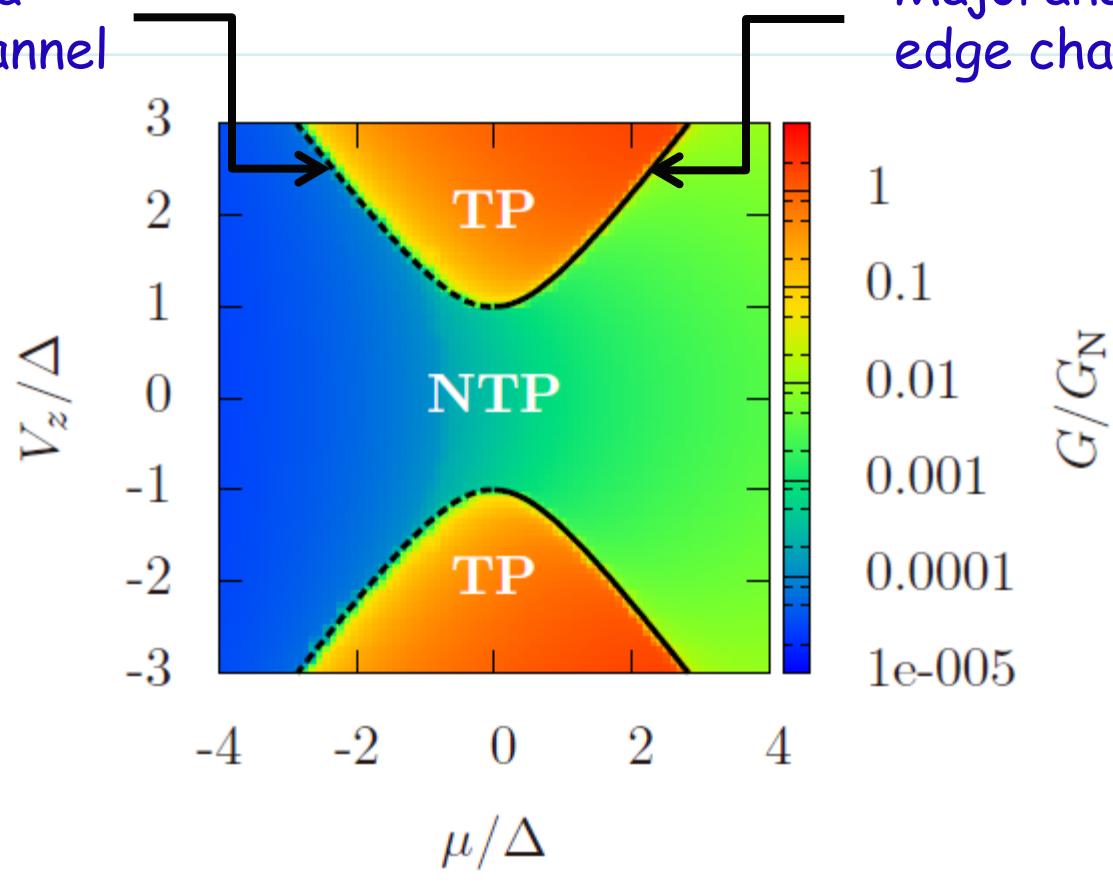


Non–Topological Phase

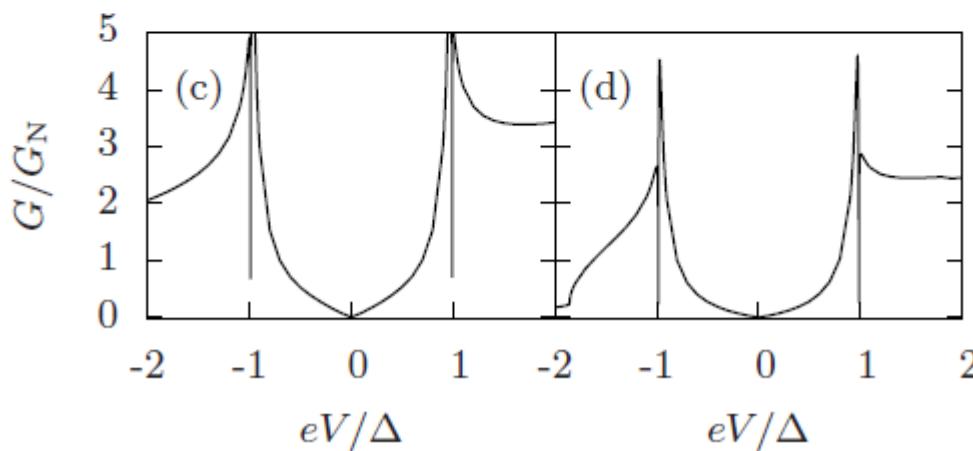
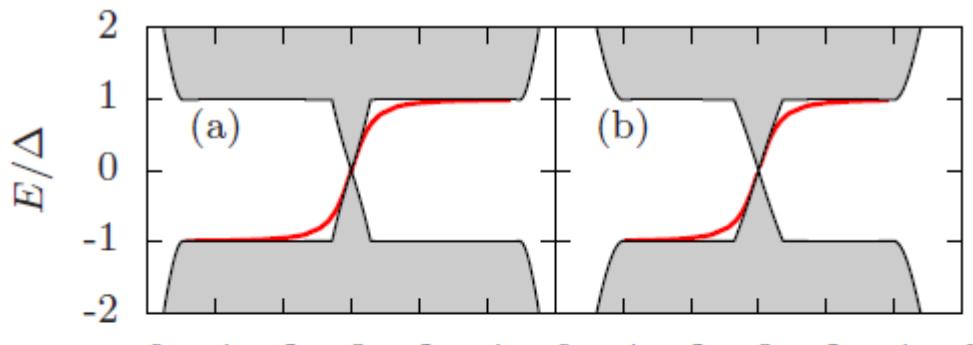
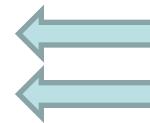
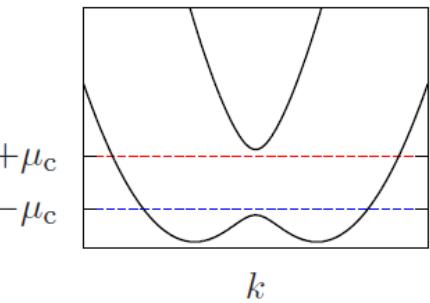


*QCP without
Majorana
edge channel*

*QCP with
Majorana
edge channel*



(b) $m\lambda^2 > |V_z|$



Bilayer Rashba superconductor

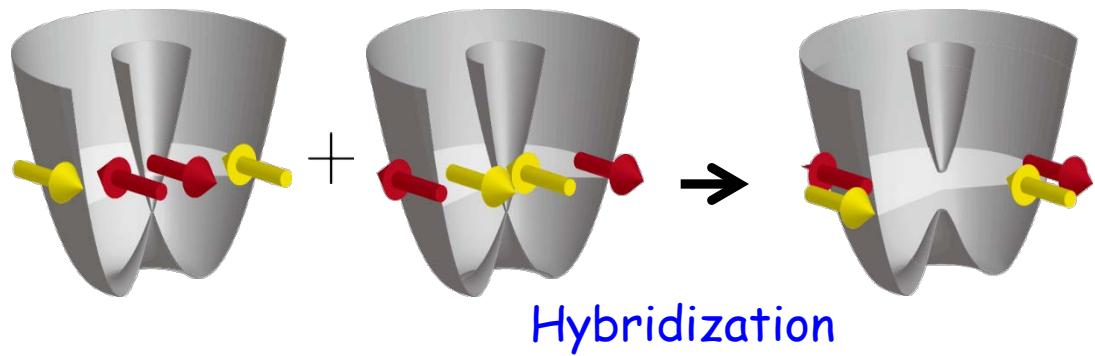
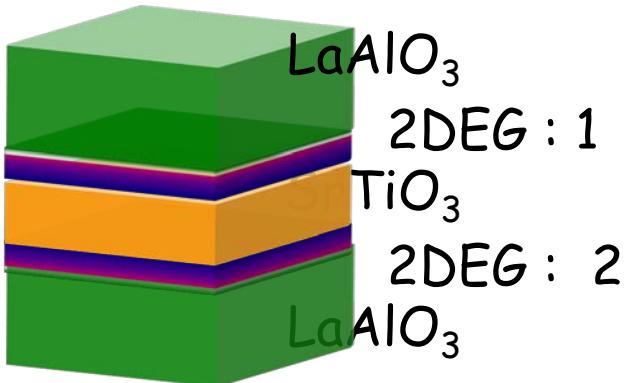
Zeeman splitting is necessary for topological superconductors in Rashba system.

Rashba system + Zeeman splitting



Rashba system + another Rashba system

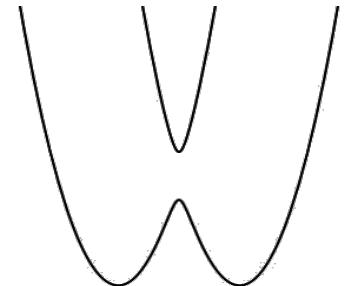
$p + ip$
↓ ?
 $p \pm ip$



Hamiltonian

$$\mathcal{H}_0(\mathbf{p}) = \frac{\mathbf{p}^2}{2m} - \varepsilon_- \sigma_x + (\eta p_x s_y \sigma_z - \eta p_y s_x \sigma_z)$$

Pauli matrices in spins s and orbitals σ



- ✓ inversion symmetric
- ✓ time reversal symmetric

$$\mathcal{H}_{\text{int}}(\mathbf{x}) = -U(n_1^2(\mathbf{x}) + n_2^2(\mathbf{x})) - 2Vn_1(\mathbf{x})n_2(\mathbf{x})$$

U : intra-orbital interaction

V : inter-orbital interaction

$\left[\begin{array}{l} \text{positive} = \text{attractive} \\ \text{negative} = \text{repulsive} \end{array} \right]$

Bogoliubov - de Gennes -- mean field approx.

$$\int d\mathbf{p} \Psi_{\mathbf{p}}^\dagger [(\mathcal{H}_0 - \mu) \tau_z + \Delta(\mathbf{p}) \tau_x] \Psi_{\mathbf{p}}$$

τ : Pauli matrices in Nambu space

Symmetry classification

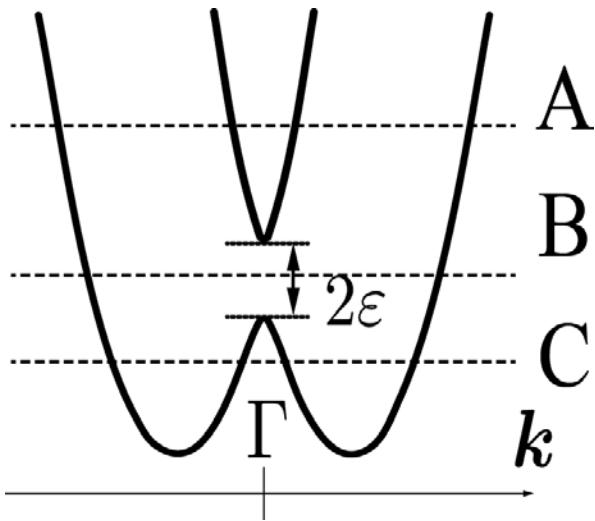
	irreps	matrix	symmetry	I	node
$\hat{\Delta}_1$	A_{1g}	I σ_x	$\langle c_{1\uparrow}c_{1\downarrow} \rangle = \langle c_{2\uparrow}c_{2\downarrow} \rangle = \Delta_1/2$ $\langle c_{1\uparrow}c_{2\downarrow} \rangle = -\langle c_{1\downarrow}c_{2\uparrow} \rangle = \Delta'_1/2$	+	full
$\hat{\Delta}_2$	A_{1u}	$s_z\sigma_y$	$\langle c_{1\uparrow}c_{2\downarrow} \rangle = \langle c_{1\downarrow}c_{2\uparrow} \rangle = \Delta_2/2$	—	full
$\hat{\Delta}_3$	A_{2u}	σ_z	$\langle c_{1\uparrow}c_{1\downarrow} \rangle = -\langle c_{2\uparrow}c_{2\downarrow} \rangle = \Delta_3/2$	—	full
$\hat{\Delta}_4$	E_u	$\begin{pmatrix} s_x\sigma_y \\ s_y\sigma_y \end{pmatrix}$	$\langle c_{1\uparrow}c_{2\uparrow} \rangle = \langle c_{1\downarrow}c_{2\downarrow} \rangle = \Delta_4/2$ $\langle c_{1\uparrow}c_{2\uparrow} \rangle = -\langle c_{1\downarrow}c_{2\downarrow} \rangle = \Delta_4/2$	—	point node

\mathbf{I} : inversion operation
 D_{4h} C_4 : fourfold rotation
 M : mirror reflection

c.f. Fu-Berg 2010
Hsieh-Fu 2011

SOI: weak ~~strong~~ \rightarrow

Phase diagram

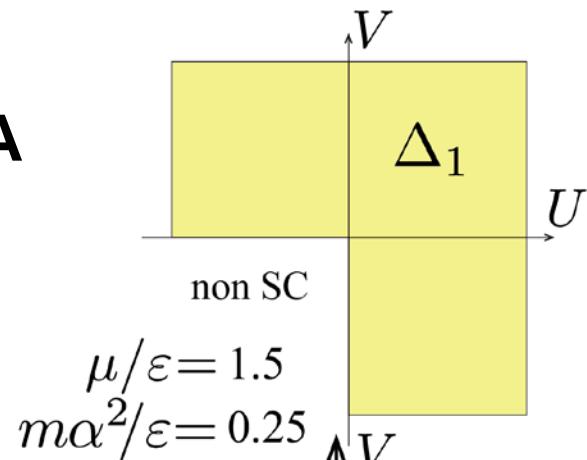


Unconventional pairing induced by SOI

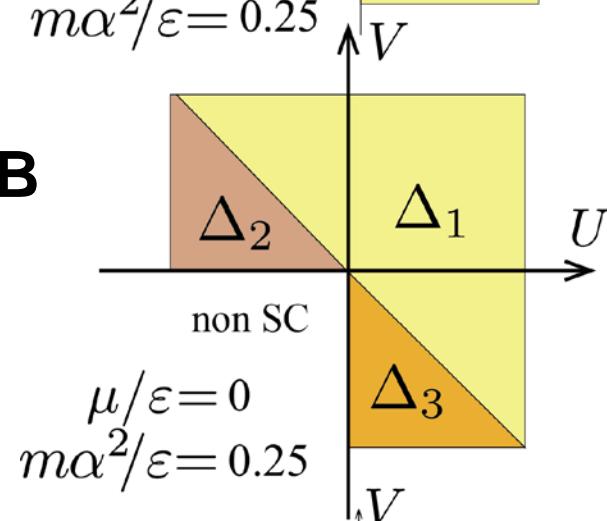
Fermi energy dependence

Unconventional pairing induced by SOI

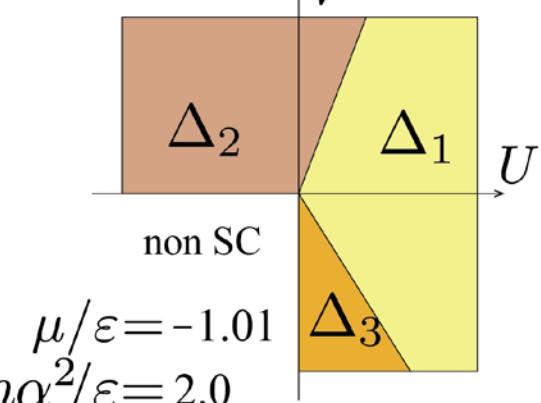
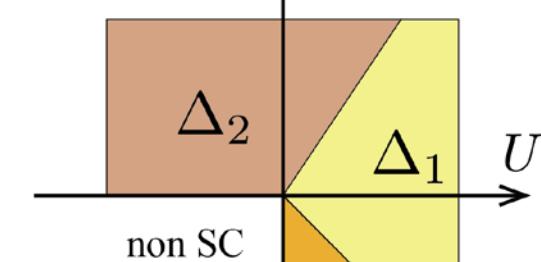
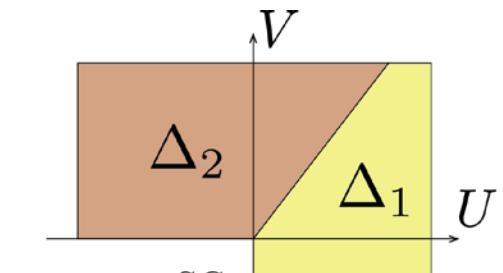
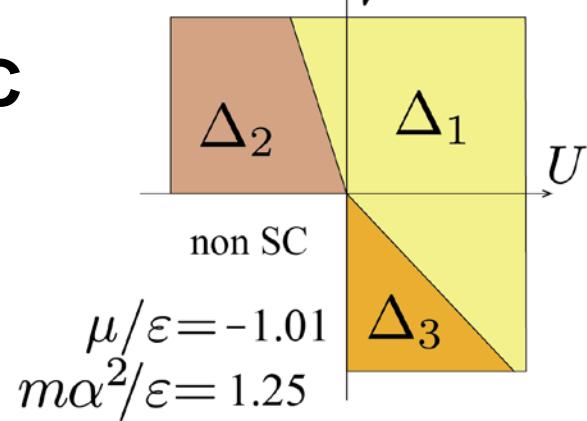
A



B

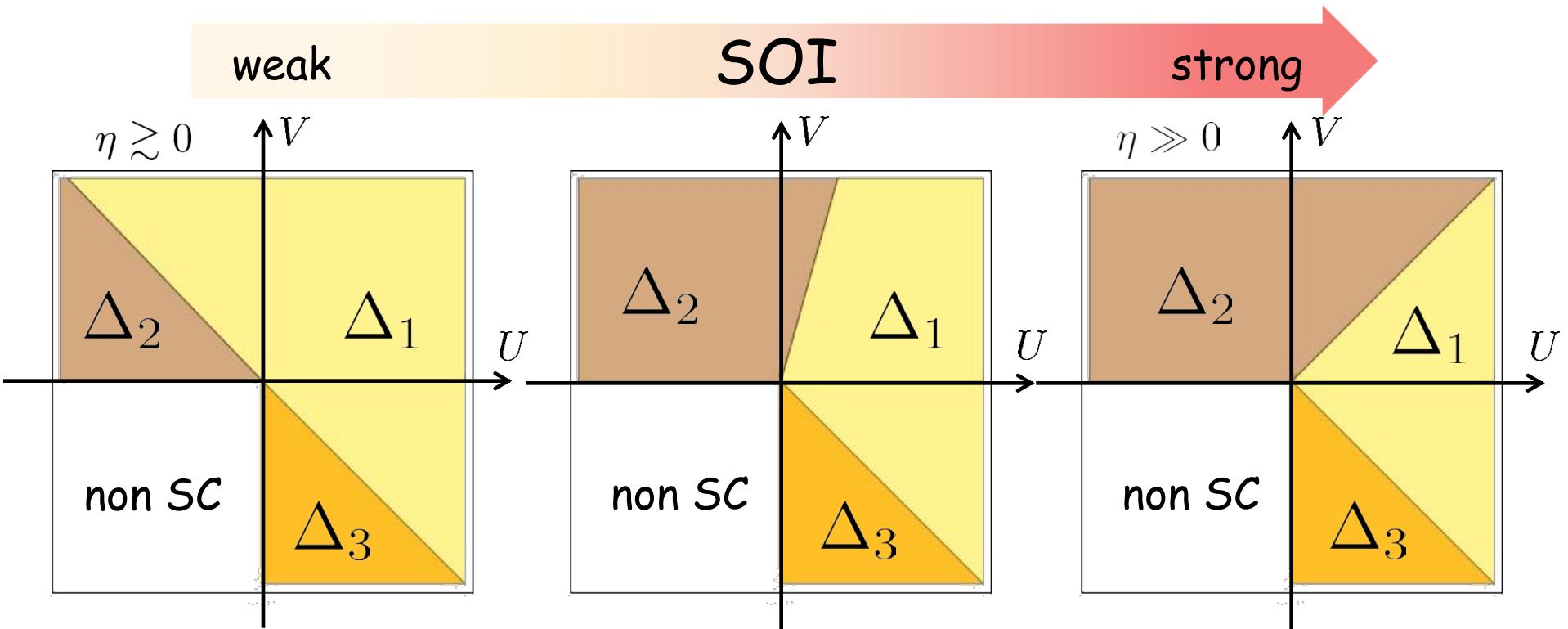


C



Phase diagram Case B

η : strength of SOI



conditions	intra-orbital	inter-orbital	pairing	SOI
$U > 0 \& V < 0$	phonon attractive	Coul. repulsive	Δ_3	indep.
$U < 0 \& V > 0$	Coul. repulsive	phonon attractive	Δ_2	favor

Topological classification

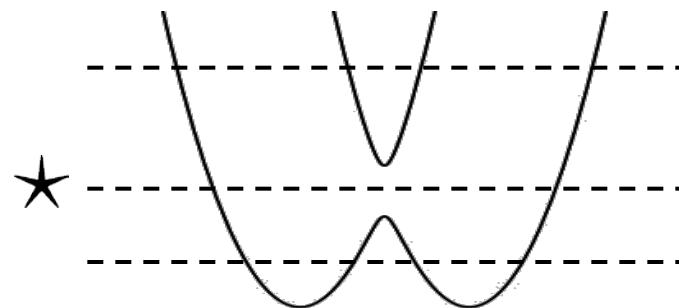
periodic table for the classification of topological insulators and superconductors

	symmetry			$d=0$	$d=1$	$d=2$	$d=3$
	Θ^2	Ξ^2	Π^2				
top. SC	DIII	-1	+1	+1	0	\mathbb{Z}_2	\mathbb{Z}_2
top. ins.	AII	-1	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2

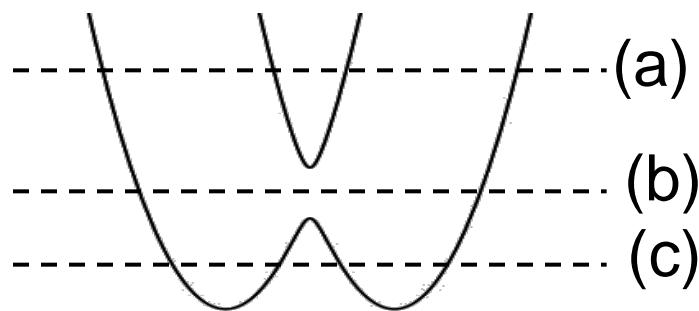
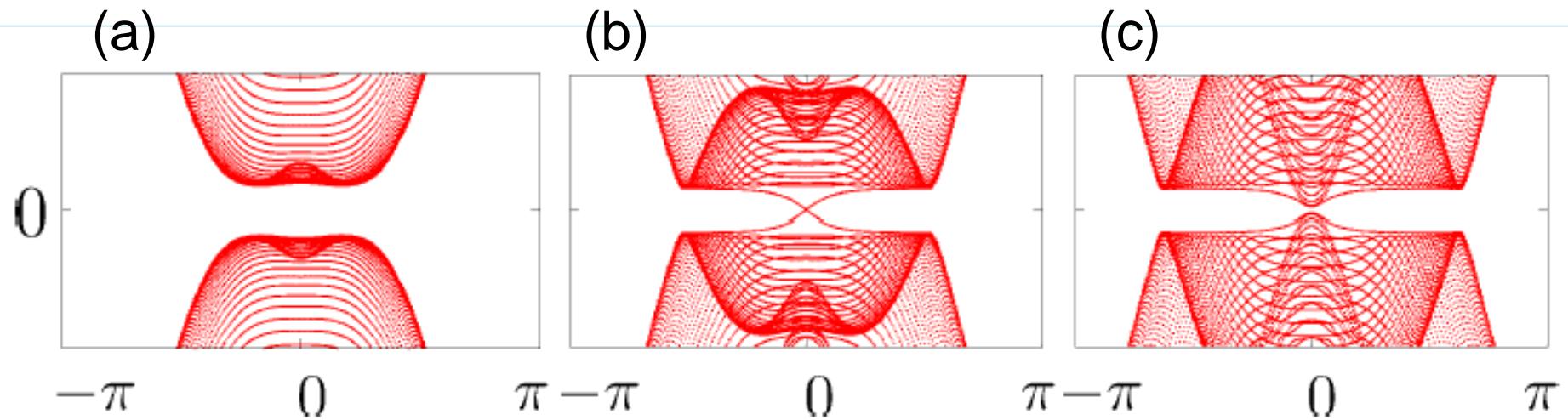
Schnyder, Kitaev, Teo -Kane

\mathbb{Z}_2 topological number ν L. Fu and E. Berg PRL 105, 097001

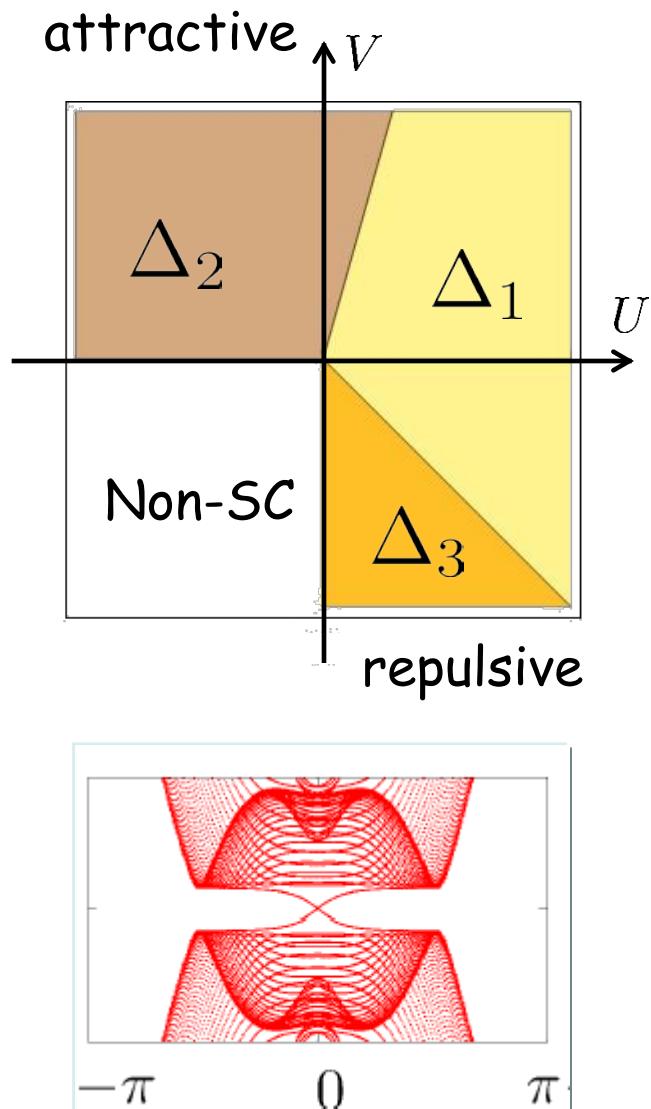
- 1. Δ inversion odd
(and full gap)
i.e. Δ_2 or Δ_3
 - 2. Fermi surface @ \star
- $\nu = 1$



Helical Majorana edge channels



Phase diagram



helical edge channels

- Δ_1 Intra-layer singlet parity even
- Δ_2 Inter-layer triplet parity odd
- Δ_3 Intra-layer singlet parity odd

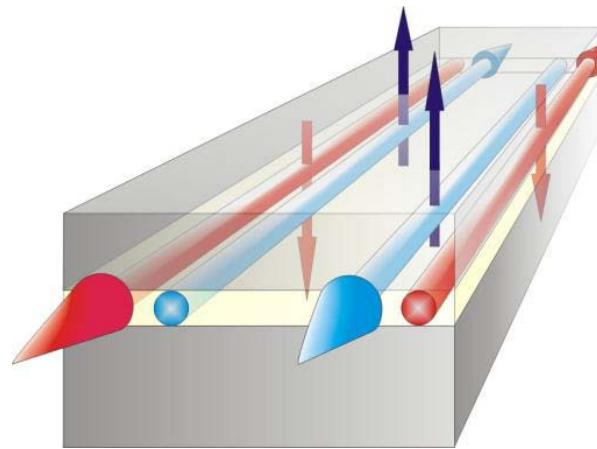
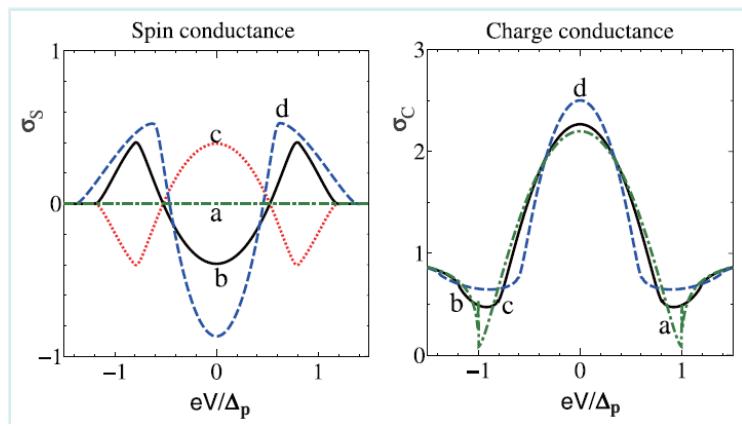
DIII class \rightarrow Z2 classification
Schnyder et al., Kitaev

All pairing states are full-gap

Δ_2 Δ_3 are topological when
the Fermi energy is within the
hybridization gap

Physical properties of topological superconductors

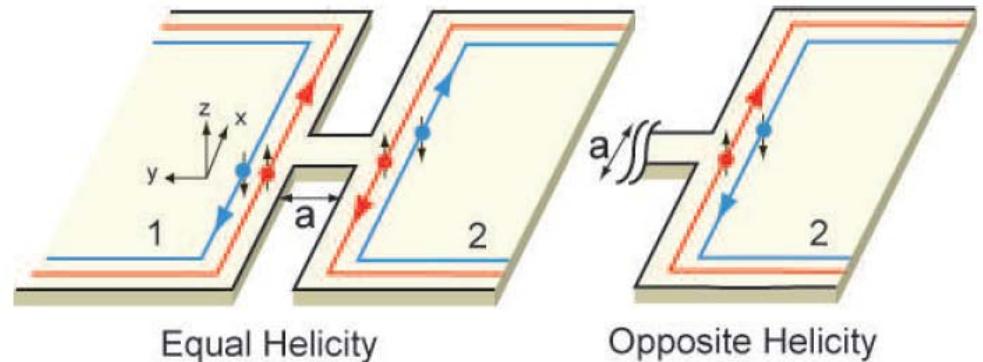
Physical effects by helical Majorana edge channels



Andreev reflection
Y. Tanaka et al. PRB2009

Ising Kondo effect
R. Shindou et al. PRB

TL effect in
Josephson junction
Y. Asano et al. PRL2010



Interference with Majorana fermions in quasi-particle tunneling

$$\Psi_i = e^{i\pi/4 + i\varphi_i/2} \gamma_i \quad \gamma_i^+ = \gamma_i \quad \varphi = \varphi_2 - \varphi_1$$

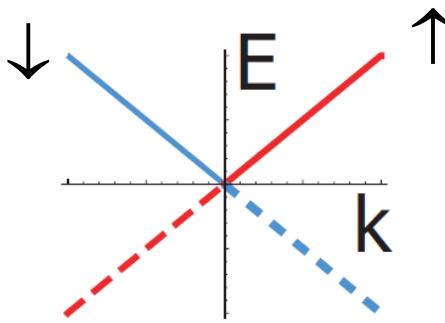
$$t\Psi_1^+\Psi_2 + t^*\Psi_2^+\Psi_1 \quad (t=|t|e^{i\alpha})$$

$$\Rightarrow |t| [ie^{i\varphi/2+i\alpha} \gamma_1 \gamma_2 - ie^{-i\varphi/2-i\alpha} \gamma_2 \gamma_1] \propto i \cos(\varphi/2 - \alpha) \gamma_1 \gamma_2]$$

$$J = \frac{C}{2e} \frac{d^2\varphi}{dt^2} + \frac{1}{2eR(\varphi)} \frac{d\varphi}{dt} + J_0 \sin(\varphi)$$

$$R^{-1}(\varphi) \propto \sin^2(\varphi/2 - \alpha)$$

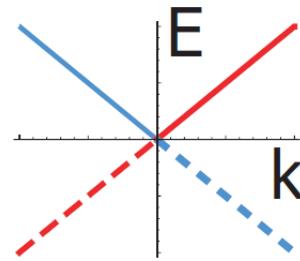
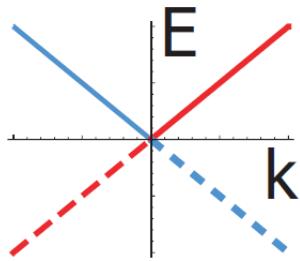
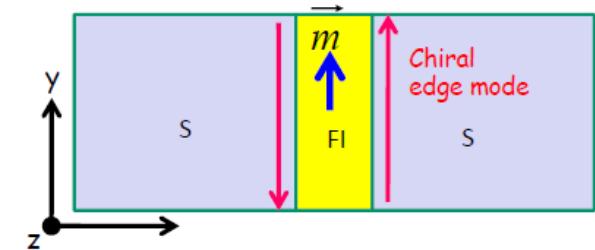
Interactions are restricted when el. are fractionalized



Two chiral Majoranas or
one helical Majorana

$$g \psi_{\uparrow} \psi_{\uparrow} \psi_{\downarrow} \psi_{\downarrow} = g$$

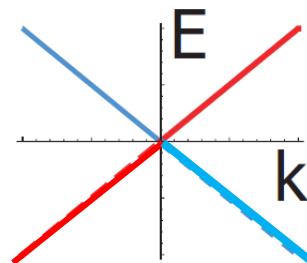
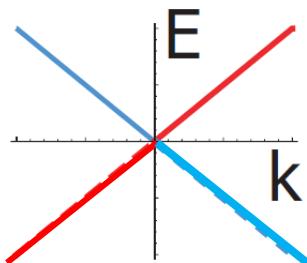
No relevant interaction



Two helical Majoranas

$$g \psi_{R\uparrow} \psi_{L\uparrow} \psi_{R\downarrow} \psi_{L\downarrow} \approx g \rho_R \rho_L$$

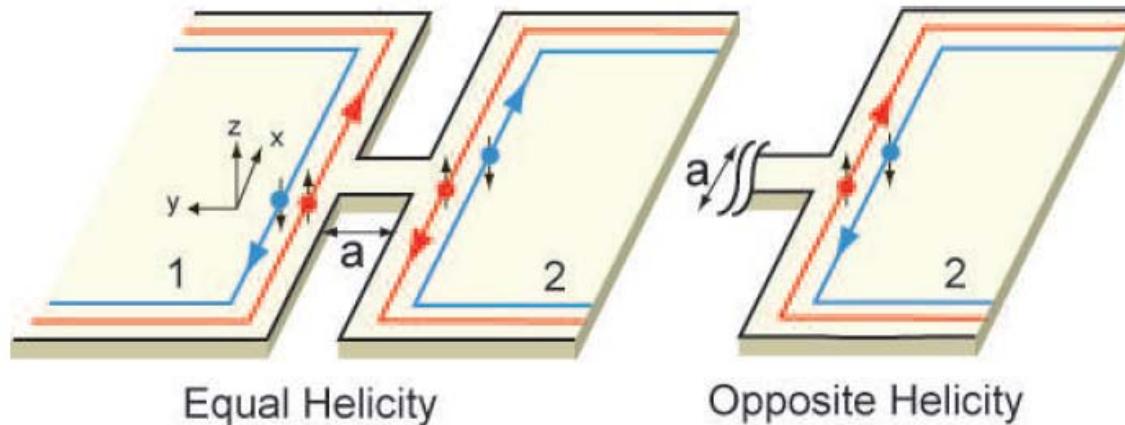
Forward scattering
Massless Thirring model



Two helical Fermions

Forward + backward scattering
→ Opening of the gap

Interacting two helical superconductors



Asano
- Tanaka-NN
PRL 2010

$$H_0 = -iv \sum_{j=1,2} \int dx [\gamma_{Rj}(x) \partial_x \gamma_{Rj}(x) - \gamma_{Lj}(x) \partial_x \gamma_{Lj}(x)]$$

Helical Majorana
Edge channels

$$H_{\text{int.}} = g \int dx \gamma_{R1}(x) \gamma_{R2}(x) \gamma_{L2}(x) \gamma_{L1}(x)$$

Interaction

$$H_T = -ta \sum_{\sigma, \sigma'} \left[\Psi_{1,\sigma}^\dagger(0) \{\sigma_0 + i\lambda \cdot \sigma\}_{\sigma, \sigma'} \Psi_{2,\sigma'}(0) + \Psi_{2,\sigma}^\dagger(0) \{\sigma_0 - i\lambda \cdot \sigma\}_{\sigma, \sigma'} \Psi_{1,\sigma'}(0) \right],$$

Tunneling

Conductance due to quasi-particle tunneling

$$\frac{\sigma}{G_0} = \pi \frac{\lambda_+^2}{K} \cos^2\left(\frac{\varphi}{2}\right) + \sin^2\left(\frac{\varphi}{2}\right) D_\theta \left(\frac{T}{T_0}\right)^{2/K-2}$$

$$+ \pi \lambda_-^2 K \sin^2\left(\frac{\varphi}{2}\right) + \lambda_3^2 \cos^2\left(\frac{\varphi}{2}\right) D_\phi \left(\frac{T}{T_0}\right)^{2K-2}$$

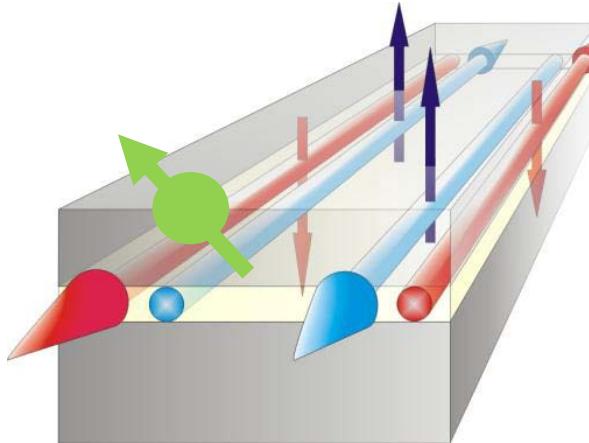
		$\lambda = 0$	$\lambda \neq 0$
Equal helicity			
$\varphi = 0$	$K = 1$	0	const.
	$K < 1$	0	T^{2K-2}
	$K > 1$	0	const.
$\varphi \neq 0$	$K = 1$	const.	const.
	$K < 1$	$T^{2/K-2} \rightarrow 0$	T^{2K-2}
	$K > 1$	$T^{2/K-2}$	$T^{2/K-2}$
Opposite helicity			
$\varphi = 0$	$K = 1$	0	const.
	$K < 1$	0	const.
	$K > 1$	0	$T^{2/K-2}$
$\varphi \neq 0$	$K = 1$	const.	const.
	$K < 1$	const.	T^{2K-2}
	$K > 1$	const.	$T^{2/K-2}$

Each term is sensitive to
The phase difference
between the 2 SC's
→ Interference

With SOI, the q.p. tunneling
is always relevant as the
temperature is lowered
independent of the sign of
the interaction

Quite different behavior
between equal and opposite
helicities

Kondo impurity at helical Majorana edge channels



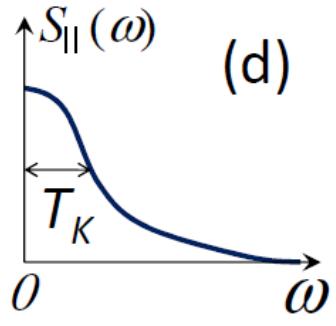
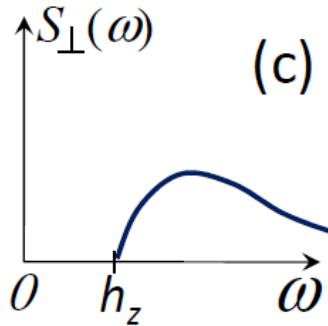
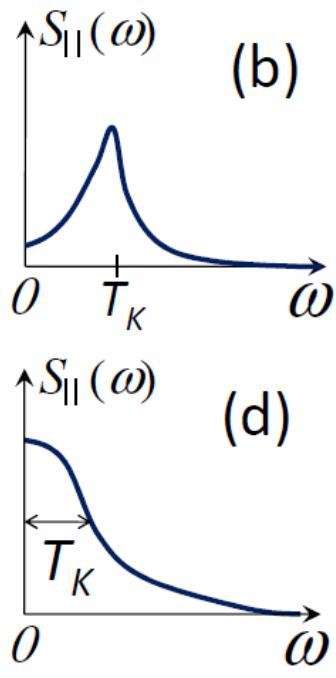
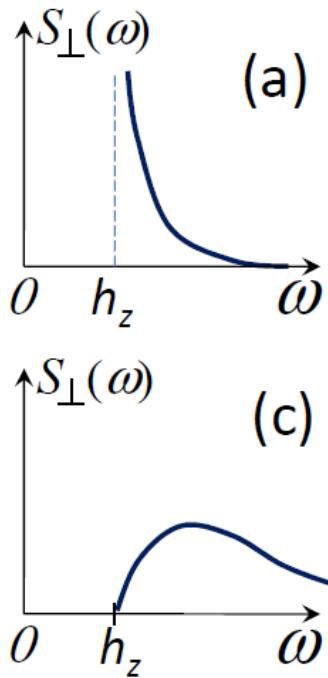
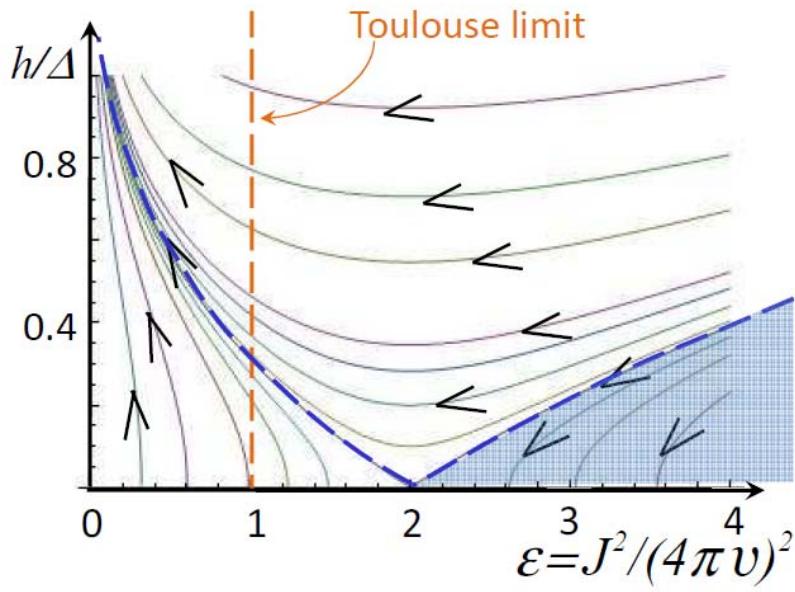
Shindou-Furusaki-NN
PRB Rapid Comm. 2010

$$2\hat{s}_z(\mathbf{r}) = \psi_{\uparrow}^{\dagger}\psi_{\uparrow} - \psi_{\downarrow}^{\dagger}\psi_{\downarrow} = 0,$$

$$\hat{s}_{+}(\mathbf{r}) = \begin{cases} -e^{2i\theta}\hat{s}_{-}(\mathbf{r}) & (\text{chiral}), \\ -e^{2i(\theta \pm \phi)}\hat{s}_{-}(\mathbf{r}) & (\text{helical}). \end{cases}$$

→ $\hat{s}(\mathbf{r}) \propto \begin{cases} d_{\mathbf{k}} & (\text{chiral}), \\ d_{\mathbf{k}}|_{\mathbf{k} \cdot \mathbf{n}_{\parallel}=0} & (\text{helical}), \end{cases}$

We call it z-axis or ||-direction
Ising-like coupling !



Strongly anisotropic magnetic properties

Transverse magnetic field induces the tunneling and the system becomes equivalent to anisotropic Kondo model

dissipation	$0 < \epsilon < 1$	$1 < \epsilon < 2$	$2 < \epsilon$
$\chi_{xx} _{h=h'=0}(T)$	$T^{-(1-\epsilon)}$	const.	const.
$\chi_{zz} _{h=h'=0}(T)$	T^{-1}	T^{-1}	T^{-1}
$\chi_{xx} _{T=h=0}(h')$	$h'^{-(1-\epsilon)}$	const.	const.
$\chi_{zz} _{h'=T=0}(h)$	T_K^{-1}	T_K^{-1}	T_K^{-1} ^a
$\chi_{xx} _{h'=T=0}(h)$	$h^{\frac{2(\epsilon-1)}{2-\epsilon}}$	const.	const.
$\chi_{zz} _{h=0}(h', T)$	T^{-1}	T^{-1}	T^{-1}
$\omega_0(T)$	$T^{\epsilon-1}$	$T^{\epsilon-1}$	$T^{\epsilon-1}$
QPT under h	N/A	N/A	✓

^aonly at $h > h_c$

Thermal transport properties of topological superconductors

Streda formula for Hall conductivity

$$\sigma_H = ec \frac{\partial M^z}{\partial \mu}$$

$$\mathbf{j} = \sigma_H \mathbf{E} \times \hat{\mathbf{z}}$$

$$\mathbf{j} = c \nabla \times \mathbf{M} = -c \frac{\partial \mathbf{M}}{\partial \mu} \times \nabla \mu$$

How about the thermal response ?

Gravitational response
J.M. Luttinger

$$E_g = -T^{-1} \nabla T \quad B_g = (2/v) \Omega$$

$$dF = -SdT - \mathbf{M}_E \cdot d\mathbf{B}_g$$

$$\kappa_H = \frac{v^2}{2} \left(\frac{\partial L^z}{\partial T} \right)_{\Omega^z} = \frac{v^2}{2} \left(\frac{\partial S}{\partial \Omega^z} \right)_T$$

	TI	TSC
2d	$\sigma_H = ec \frac{\partial M^z}{\partial \mu} = ec \frac{\partial N}{\partial B^z}$	$\kappa_H = \frac{v^2}{2} \frac{\partial L^z}{\partial T} = \frac{v^2}{2} \frac{\partial S}{\partial \Omega^z}$
3d	$\chi_{\theta}^{ab} = \frac{\partial M^a}{\partial E^b} = \frac{\partial P^a}{\partial B^b}$	$\chi_{\theta,g}^{ab} = \frac{\partial L^a}{\partial E_g^b} = \frac{\partial P_E^a}{\partial \Omega^b}$

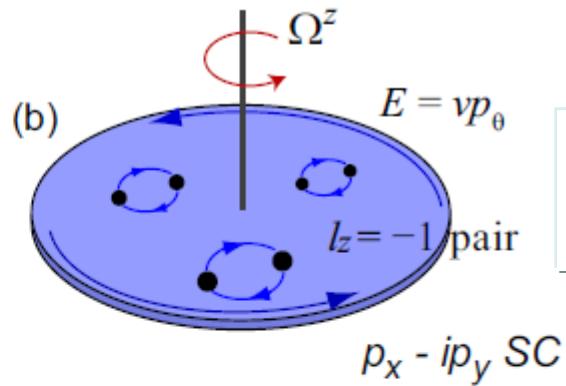
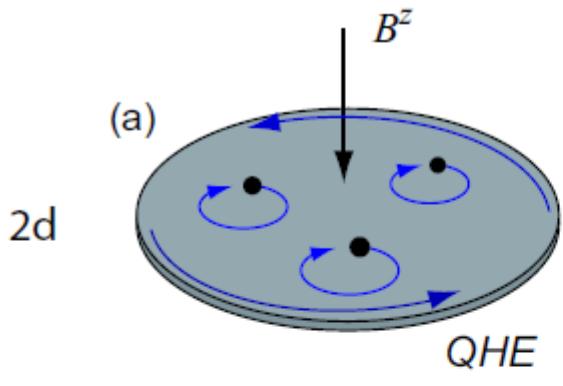
$$\boxed{B_g = (2/v)\boldsymbol{\Omega}}$$

$$\boxed{E_g = -T^{-1}\nabla T}$$

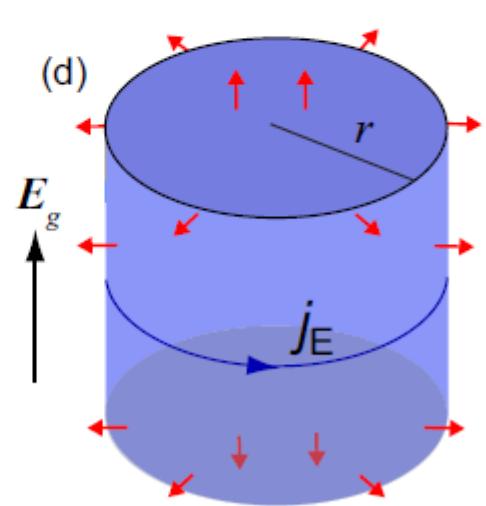
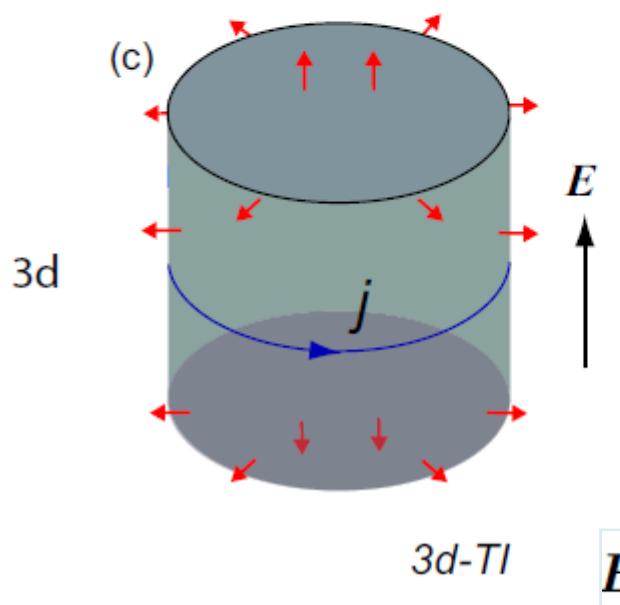
$$S_{\theta}^{\text{EM}} = \int dt d^3x \frac{e^2}{4\pi^2\hbar c} \theta \boldsymbol{E} \cdot \boldsymbol{B}$$

$$U_{\theta} = - \int d^3x \frac{2}{v^2} \kappa_H \nabla T \cdot \boldsymbol{\Omega} = \int d^3x \frac{k_B^2 T^2}{24\hbar v} \theta \boldsymbol{E}_g \cdot \boldsymbol{B}_g.$$

$$\mathbf{B}_g = (2/v)\boldsymbol{\Omega}$$



$$\kappa_H = \frac{\partial \langle j_E \rangle}{\partial T} = \frac{\pi^2 k_B^2 T}{6h}$$



$$\kappa_H = \text{sgn}(m) \frac{\pi^2}{6} \frac{k_B^2}{2h} T$$

$$L^z|_{\Omega^z} = \frac{r P_\varphi}{\pi r^2 \ell} = \frac{2}{v^2} \kappa_H \partial_z T$$

$$\mathbf{E}_g = -T^{-1} \nabla T$$

Summary

Theoretical design of topological superconductors
chiral and helical SC with Rashba SOI

Unique properties of Majorana fermions
TL effect, Kondo effect

Thermal response of topological supercondncutors
gravitational analogue of Streda formula

Topological Periodic Table

Ten-fold way general classification of gapped topological states

Schnyder et al. 2008

		TRS	PHS	SLS	$d=1$	$d=2$	$d=3$
Standard (Wigner-Dyson)	A (unitary)	0	0	0	-	\mathbb{Z}	-
	AI (orthogonal)	+1	0	0	-	-	-
	AII (symplectic)	-1	0	0	-	\mathbb{Z}_2	\mathbb{Z}_2
Chiral (sublattice)	AIII (chiral unitary)	0	0	1	\mathbb{Z}	-	\mathbb{Z}
	BDI (chiral orthogonal)	+1	+1	1	\mathbb{Z}	-	-
	CII (chiral symplectic)	-1	-1	1	\mathbb{Z}	-	\mathbb{Z}_2
BdG	D	0	+1	0	\mathbb{Z}_2	\mathbb{Z}	-
	C	0	-1	0	-	\mathbb{Z}	-
	DIII	-1	+1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
	CI	+1	-1	1	-	-	\mathbb{Z}

Discrete symmetries of the Hamiltonian

3 symmetries which are robust against the disorder

Anti-unitary symmetry

Time-reversal symmetry Θ $\mathcal{H}(\mathbf{k}, \mathbf{r}) = \Theta \mathcal{H}(-\mathbf{k}, \mathbf{r}) \Theta^{-1}$

Particle-hole symmetry Ξ $\mathcal{H}(\mathbf{k}, \mathbf{r}) = -\Xi \mathcal{H}(-\mathbf{k}, \mathbf{r}) \Xi^{-1}$

Unitary symmetry

Chiral symmetry Π $\mathcal{H}(\mathbf{k}, \mathbf{r}) = -\Pi \mathcal{H}(\mathbf{k}, \mathbf{r}) \Pi^{-1}$

$$\Theta^2 = \pm 1 \quad \Xi^2 = \pm 1$$

$$\Pi = e^{i\chi} \Theta \Xi \quad \Rightarrow \quad \Pi^2 = 1$$

Ten-fold way general classification of gapped topological states

Schnyder et al. 2008

		TRS	PHS	SLS	$d=1$	$d=2$	$d=3$
Standard (Wigner-Dyson)	A (unitary)	0	0	0	-	\mathbb{Z}	-
	AI (orthogonal)	+1	0	0	-	-	-
	AII (symplectic)	-1	0	0	-	\mathbb{Z}_2	\mathbb{Z}_2
Chiral (sublattice)	AIII (chiral unitary)	0	0	1	\mathbb{Z}	-	\mathbb{Z}
	BDI (chiral orthogonal)	+1	+1	1	\mathbb{Z}	-	-
	CII (chiral symplectic)	-1	-1	1	\mathbb{Z}	-	\mathbb{Z}_2
BdG	D	0	+1	0	\mathbb{Z}_2	\mathbb{Z}	-
	C	0	-1	0	-	\mathbb{Z}	-
	DIII	-1	+1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
	CI	+1	-1	1	-	-	\mathbb{Z}

Generalization to include spatially dependent cases

Teo-Kane 2010

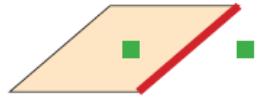
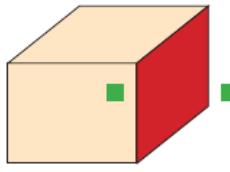
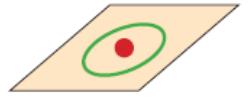
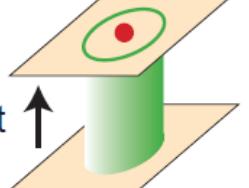
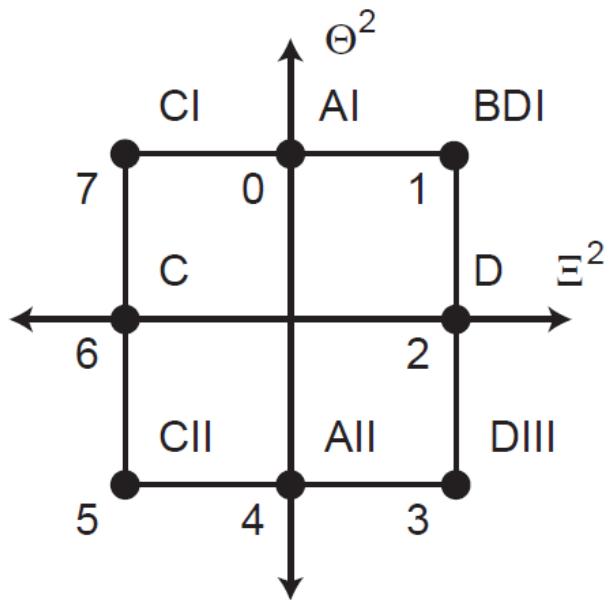
	$d=1$	$d=2$	$d=3$
$D=0$			
$D=1$			
$D=2$			

FIG. 1: Topological defects characterized by a D parameter family of d dimensional Bloch-BdG Hamiltonians. Line defects correspond to $d - D = 2$, while point defects correspond to $d - D = 1$. Temporal cycles for point defects correspond to $d - D = 0$.

s	AZ	Symmetry				$\delta = d - D$							
		Θ^2	Ξ^2	Π^2		0	1	2	3	4	5	6	7
0	A	0	0	0		\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
1	AIII	0	0	1		0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
0	AI	1	0	0		\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
1	BDI	1	1	1		\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2
2	D	0	1	0		\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0
3	DIII	-1	1	1		0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$
4	AII	-1	0	0		$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
5	CII	-1	-1	1		0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
6	C	0	-1	0		0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
7	CI	1	-1	1		0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

TABLE I: Periodic table for the classification of topological defects in insulators and superconductors. The rows correspond to the different Altland Zirnbauer (AZ) symmetry classes, while the columns distinguish different dimensionalities, which depend only on $\delta = d - D$.

K-Theory Bott periodicity



Symmetry clock

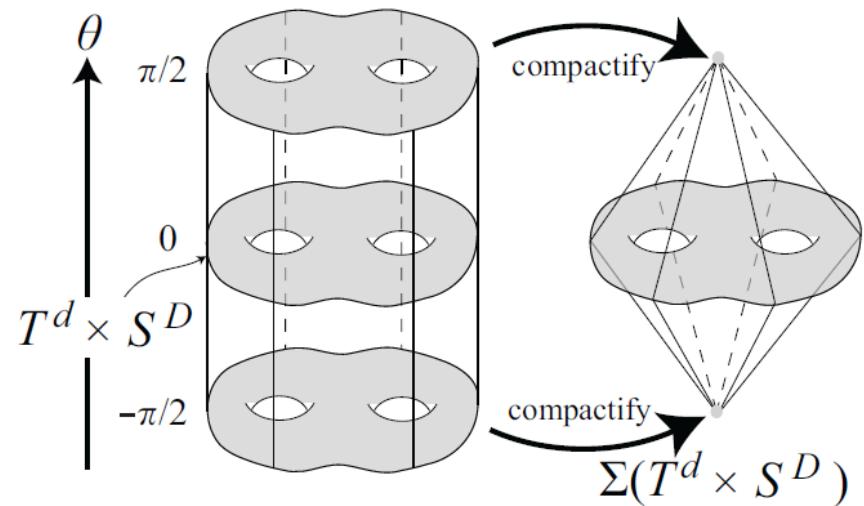


FIG. 11: Suspension $\Sigma(T^d \times S^D)$. The top and bottom of the cylinder $\Sigma(T^d \times S^D) \times [-\pi/2, \pi/2]$ are identified to two points.

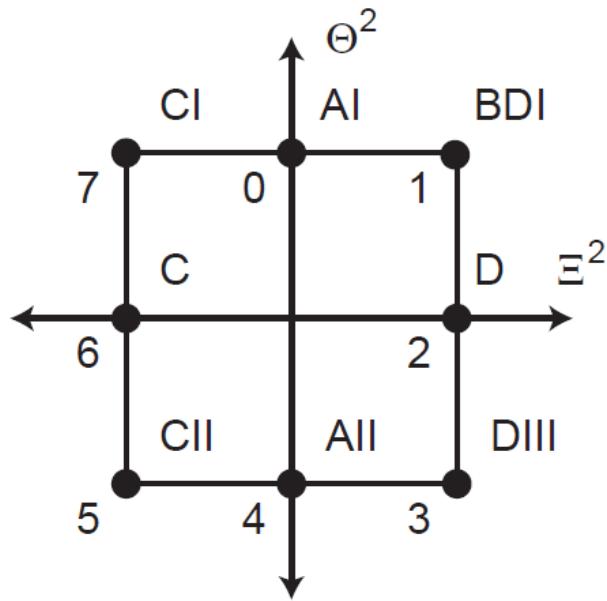
Suspension to deform H

$$\mathcal{H}_{nc}(\mathbf{k}, \mathbf{r}, \theta) = \cos \theta \mathcal{H}_c(\mathbf{k}, \mathbf{r}) + \sin \theta \Pi$$

$$\mathcal{H}_c(\mathbf{k}, \mathbf{r}, \theta) = \cos \theta \mathcal{H}_{nc}(\mathbf{k}, \mathbf{r}) \otimes \tau_z + \sin \theta \mathbb{1} \otimes \tau_a$$

$$\theta = r_{D+1} : D \rightarrow D+1$$

$$\text{or} \quad \theta = k_{d+1} : d \rightarrow d+1$$



$$[\Theta, \Xi] = 0$$

$$(\Theta\Xi)^2 = \Theta^2\Xi^2 = (-1)^{(s-1)/2}$$

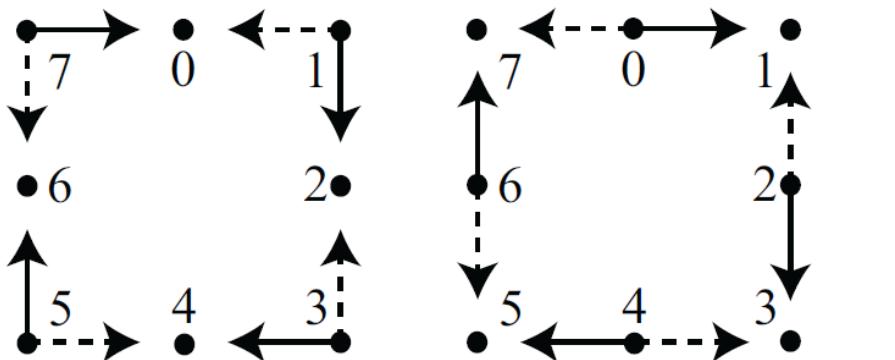
$$\Pi = i^{(s-1)/2} \Theta\Xi$$

$$\mathcal{H}_{nc}(\mathbf{k}, \mathbf{r}, \theta) = \cos \theta \mathcal{H}_c(\mathbf{k}, \mathbf{r}) + \sin \theta \Pi$$

$$s = 1 \bmod 4 \quad \quad \Pi = \pm \Theta\Xi$$

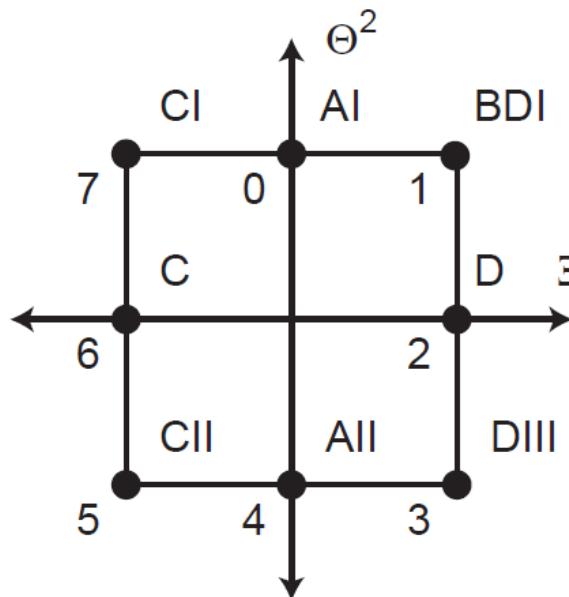
$$\theta = k_{d+1} \Rightarrow \Theta H_{nc} \Theta^{-1} = \cos k_{d+1} H_c + \sin(-k_{d+1}) \Theta (\pm \Theta\Xi) \Theta^{-1} \neq H_{nc}$$

$$\Xi H_{nc} \Xi^{-1} = -\cos k_{d+1} H_c + \sin(-k_{d+1}) \Xi (\pm \Theta\Xi) \Xi^{-1} = -H_{nc}$$



$$\theta = k_{d+1}$$

$$\theta = r_{D+1}$$

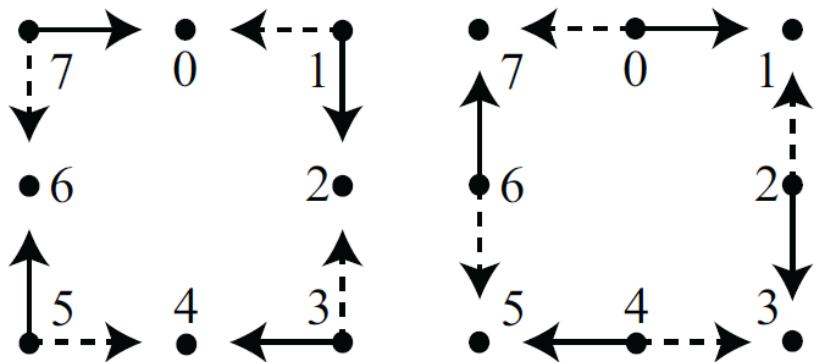


$$\mathcal{H}_{nc}(\mathbf{k}, \mathbf{r}, \theta) = \cos \theta \mathcal{H}_c(\mathbf{k}, \mathbf{r}) + \sin \theta \Pi$$

$$\mathcal{H}_c(\mathbf{k}, \mathbf{r}, \theta) = \cos \theta \mathcal{H}_{nc}(\mathbf{k}, \mathbf{r}) \otimes \tau_z + \sin \theta \mathbb{1} \otimes \tau_a$$

$$\theta = k_{d+1} \ K_{\mathbb{F}}(s; D, d) \longrightarrow K_{\mathbb{F}}(s+1; D, d+1)$$

$$\theta = r_{D+1} \ K_{\mathbb{F}}(s; D, d) \longrightarrow K_{\mathbb{F}}(s-1; D+1, d)$$



$$K_{\mathbb{F}}(s; D, d+1) = K_{\mathbb{F}}(s-1; D, d)$$

$$K_{\mathbb{F}}(s; D+1, d) = K_{\mathbb{F}}(s+1; D, d)$$

$$K_F(s+1; D-1, d) = K_F(s+1; D, d+1)$$

$$\delta = d - D \quad K_F(s; \delta) = K_F(s+1; \delta+1)$$

s	AZ	Symmetry				$\delta = d - D$							
		Θ^2	Ξ^2	Π^2		0	1	2	3	4	5	6	7
0	A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	0
1	AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	\mathbb{Z}
0	AI	1	0	0	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	
1	BDI	1	1	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	
2	D	0	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	
3	DIII	-1	1	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	0	$2\mathbb{Z}$
4	AII	-1	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	0
5	CII	-1	-1	1	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	
6	C	0	-1	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	
7	CI	1	-1	1	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	

TABLE I: Periodic table for the classification of topological defects in insulators and superconductors. The rows correspond to the different Altland Zirnbauer (AZ) symmetry classes, while the columns distinguish different dimensionalities, which depend only on $\delta = d - D$.

K-Theory Bott periodicity

Strong and Weak Z2 numbers in topological insulators

s	AZ	Symmetry				$\delta = d - D$							
		Θ^2	Ξ^2	Π^2		0	1	2	3	4	5	6	7
0	A	0	0	0		\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
1	AIII	0	0	1		0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
0	AI	1	0	0		\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
1	BDI	1	1	1		\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2
2	D	0	1	0		\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0
3	DIII	-1	1	1		0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$
4	AII	-1	0	0		$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
5	CHI	-1	-1	1		0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
6	C	0	-1	0		0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
7	CI	1	-1	1		0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

Fu-Kane

d=2 d=3

4	AII	-1	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
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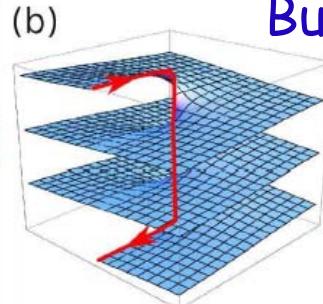
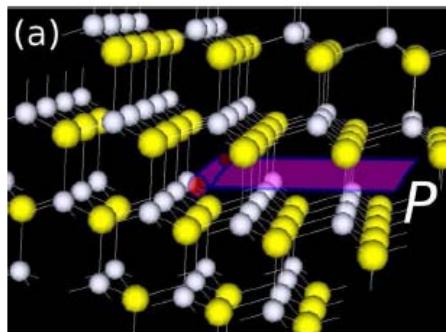
$$\nu_0 : \nu_1, \nu_2, \nu_3 \quad \vec{M}_\nu = \frac{1}{2}(\nu_1 \vec{G}_1 + \nu_2 \vec{G}_2 + \nu_3 \vec{G}_3)$$

$$\vec{B} \cdot \vec{M}_\nu = \pi \pmod{2\pi}$$

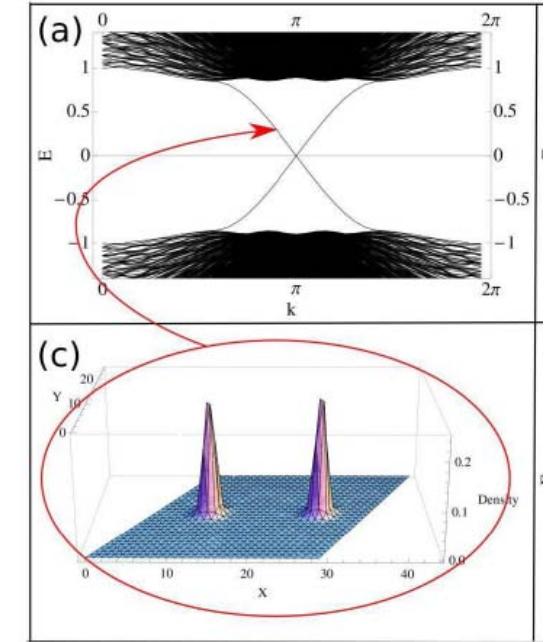
Burger's vector



Gapless 1d mode
along the
dislocation



Y.Ran et al. 2009



Physics of Noncollinear Magnetism

"Electromagnetism"

Berry phase

Spin-orbit
interaction

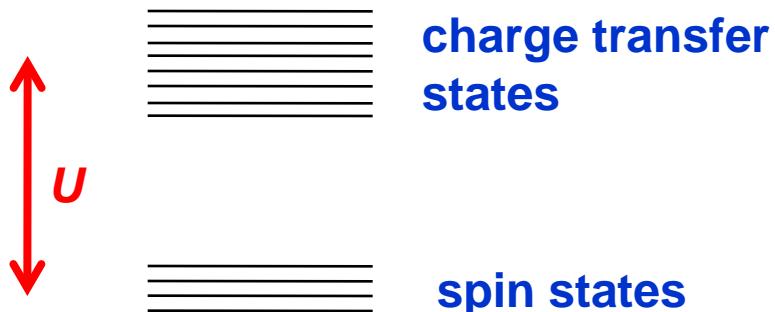
Non-collinear
spin texture



Multiferroics

Mott insulator

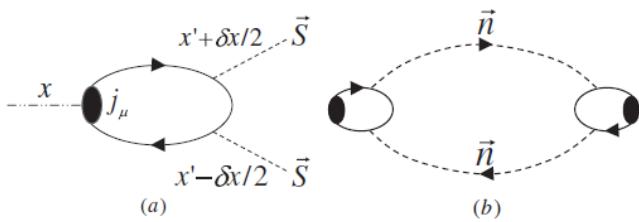
Low-energy charge dynamics is quenched ?



$\text{Im } \varepsilon(\omega)$

requires the real transitions
between the spin states

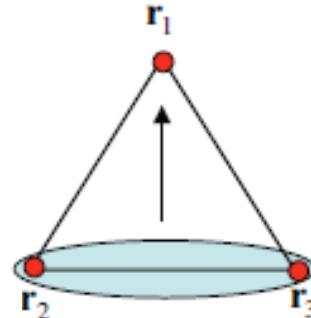
Spin Charge Separation



$$\varepsilon(q, \omega) = \varepsilon_c + \frac{(\varepsilon_c - 1)^2(Dq^2 + i\omega)}{4\pi\sigma_{s,\parallel}}$$

Ng, Lee

Triangular process



$$\delta\tilde{n}_1 = \frac{t_{12}t_{23}t_{31}}{U^3} [\mathbf{S}_1 \cdot (\mathbf{S}_2 + \mathbf{S}_3) - 2\mathbf{S}_2 \cdot \mathbf{S}_3]$$

Bulaevskii, Batista
Mostovoy, Khomiskii

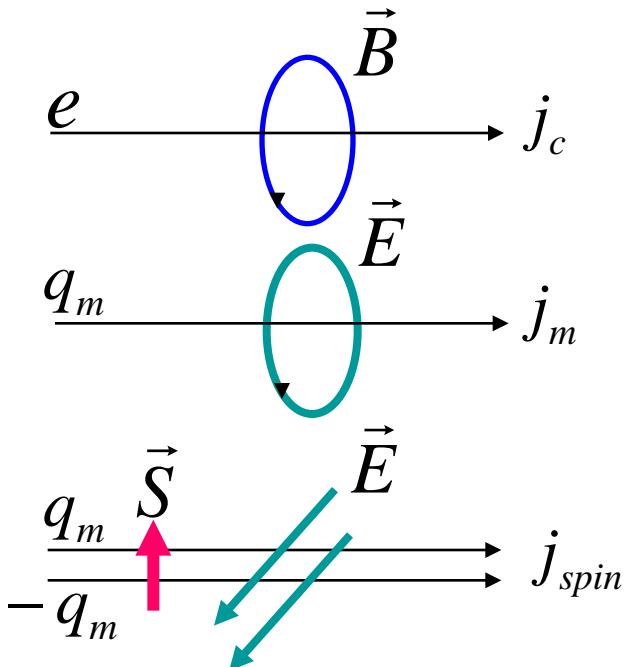
?

gauge field coupled to spin current

Aharanov-Casher effect

$$L_{\text{int}} = \vec{j}_{\text{spin}} \cdot \vec{A}_{\text{spin}}$$

$$\vec{A}_{\text{spin}} = \lambda(\vec{E} \times \vec{S})$$



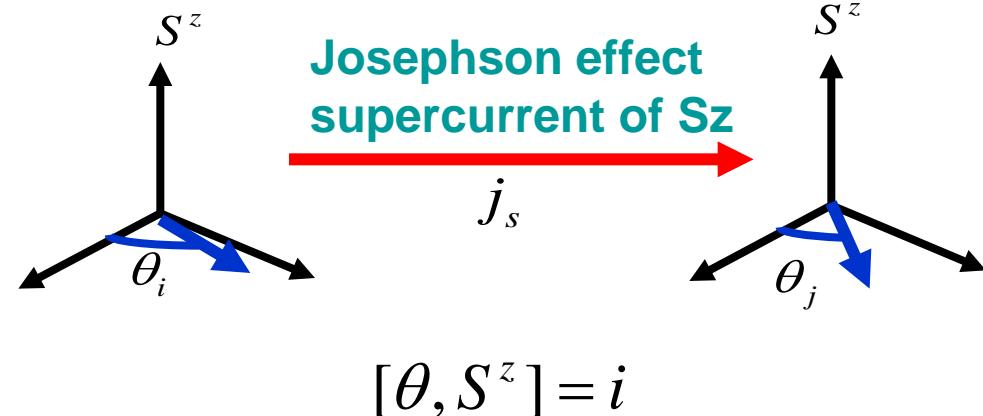
Spin-orbit interaction

$$H_{SO} = \lambda(\vec{S} \times \nabla V) \cdot \vec{p}$$

$$\approx \lambda'(\vec{S} \times \vec{r}) \cdot \vec{p} = \vec{A}_{SO} \cdot \vec{p}$$

toroidal moment

**Josephson effect
supercurrent of Sz**



**Orders of magnitudes enhancement in
condensed matter !! (~10^6)**

Helimagnets

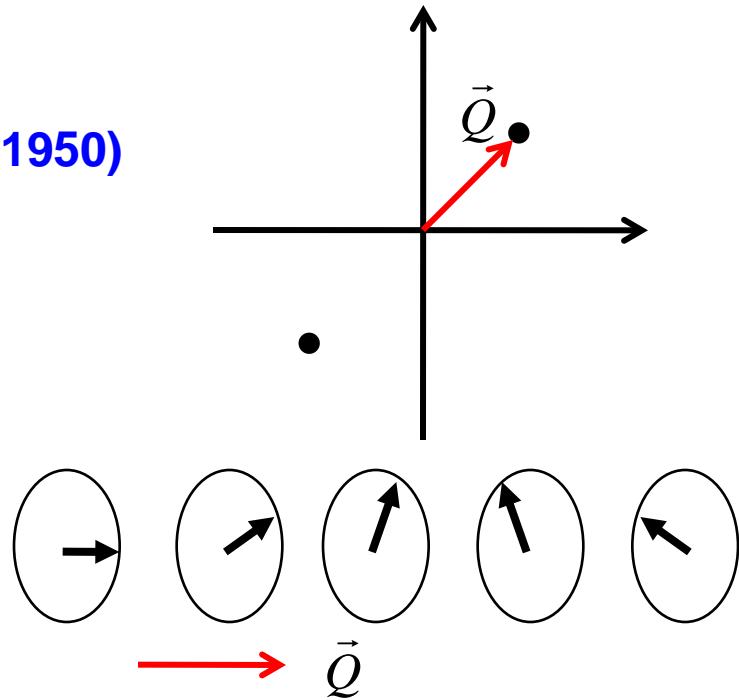
Frustrated Heisenberg model (Yoshimori 1950)

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$$\vec{S}_i = \vec{S}_Q e^{iQR_i} + \vec{S}_{-Q} e^{-iQR_i}$$

$$|\vec{S}_i| = \text{const} \rightarrow |\vec{S}_Q| = |\vec{S}_{-Q}| = \text{const}$$

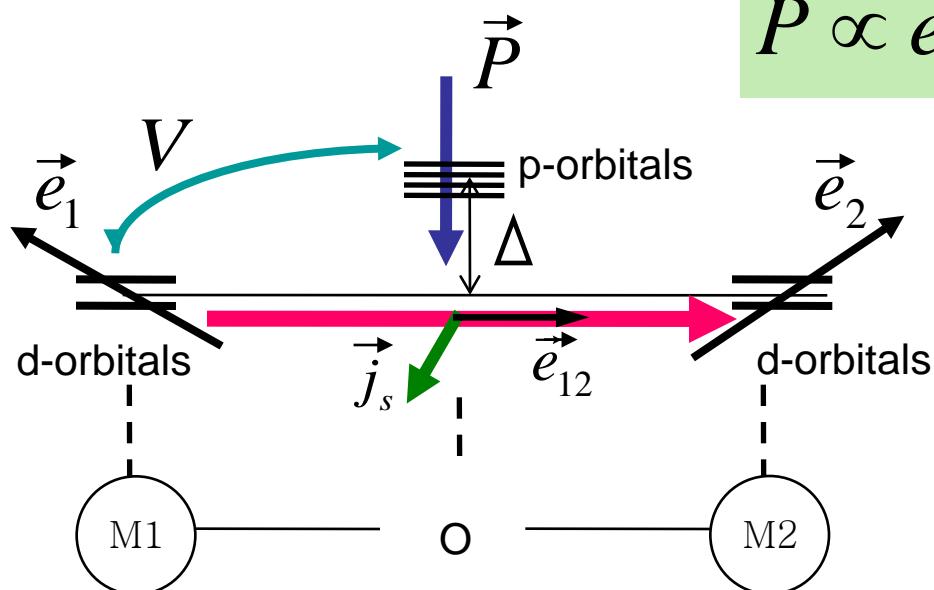
$$\vec{S}_Q \cdot \vec{S}_{-Q} = 0$$



Electric Polarization due to spin current

Double exchange interaction (1 hole)	Super exchange interaction (2 holes)
$\vec{P} \cong -\frac{eV}{3\Delta} I \frac{\vec{e}_{12} \times (\vec{e}_1 \times \vec{e}_2)}{ \cos \frac{\theta_{12}}{2} }$	$\vec{P} \cong -\frac{4e}{9} \left(\frac{V}{\Delta}\right)^3 I \vec{e}_{12} \times (\vec{e}_1 \times \vec{e}_2)$

$$(\cos \theta_{12} = \vec{e}_1 \bullet \vec{e}_2)$$

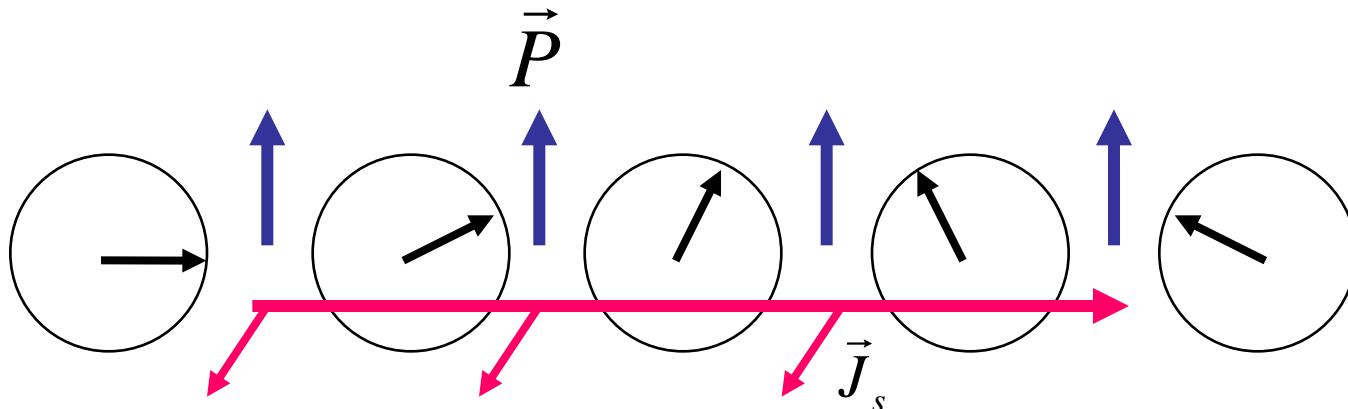


$$\vec{P} \propto \vec{e}_{12} \times (\vec{S}_1 \times \vec{S}_2) \propto \vec{e}_{12} \times \vec{j}_s$$

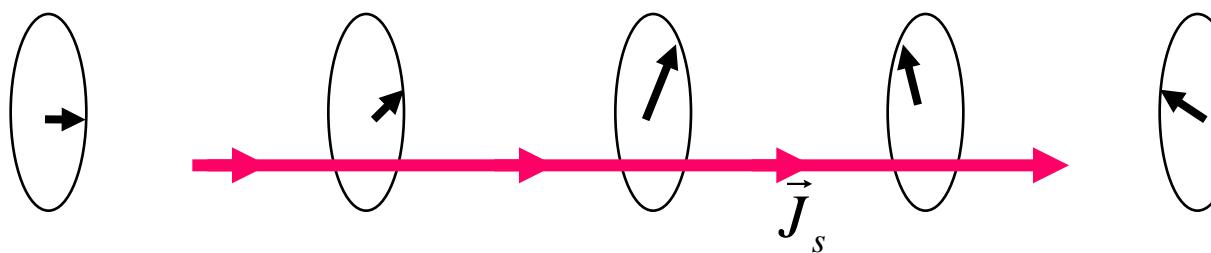
Js : spin current

Δ : d-p energy difference
 V : transfer integral
 I : constant $\propto a_0$ (Bohr radius)

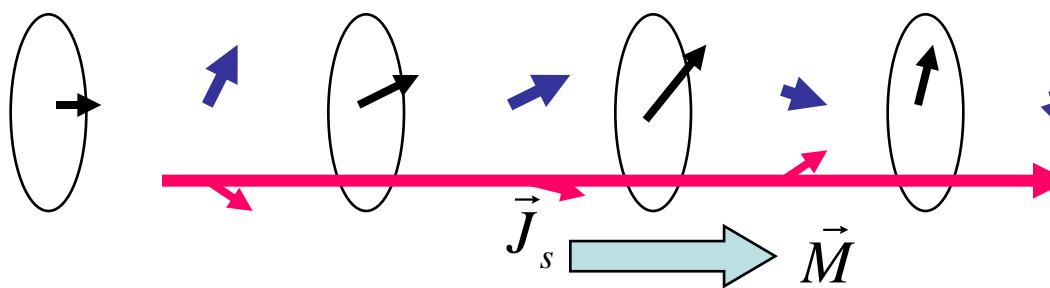
Katsura-Nagaosa-Balatsky PRL05
Mostovoy, Dagotto



Uniform P
even with
Incommensurate
spiral



No ME effect



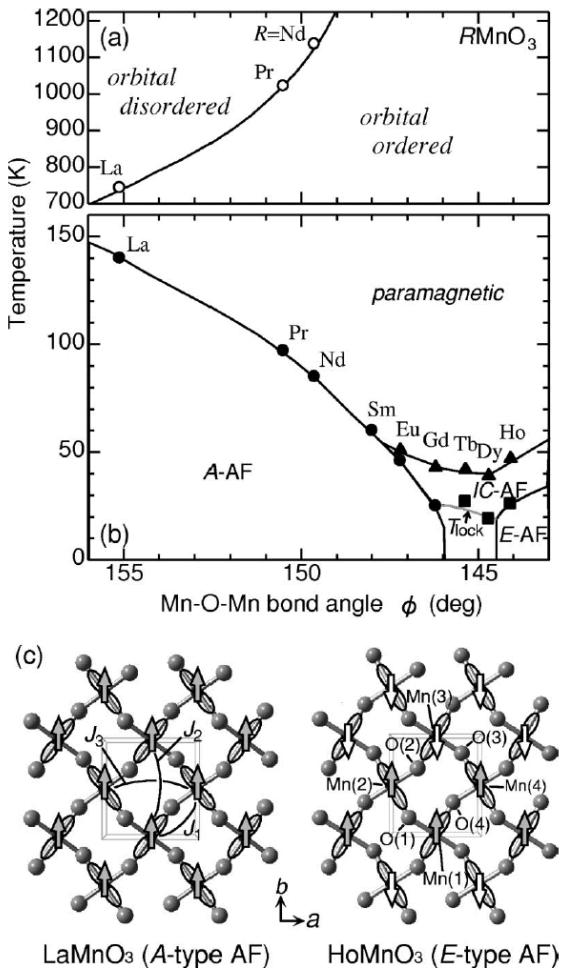
Transverse
ME effect

$$q_{pol} = q_{mag} \text{ component}$$

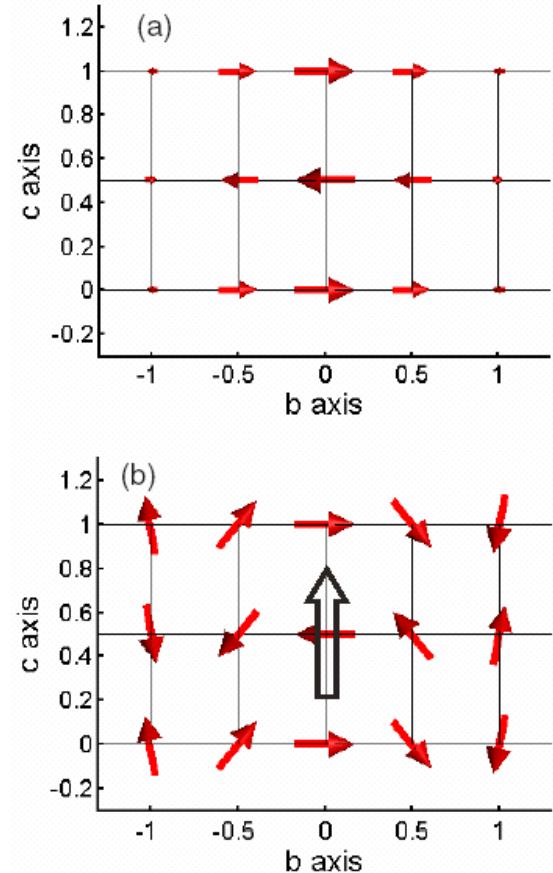
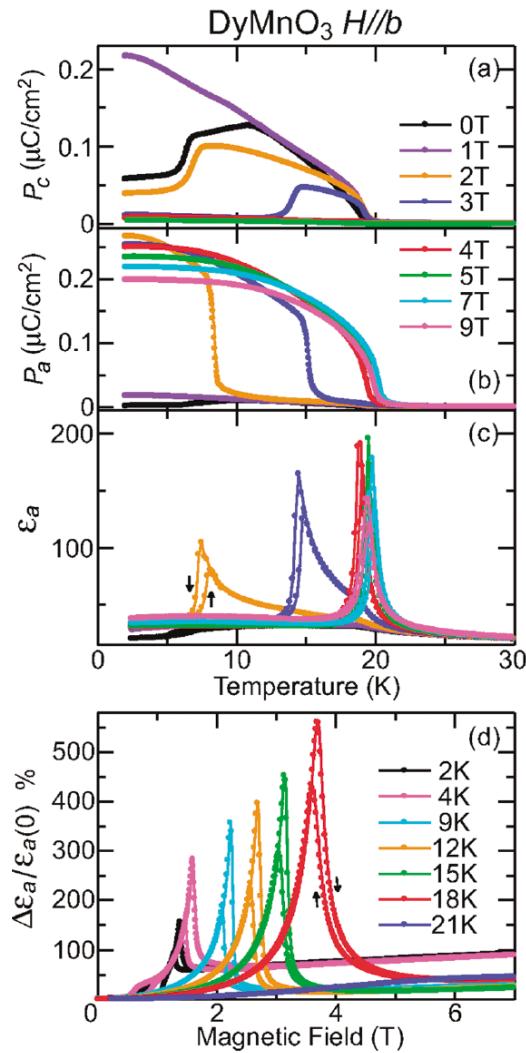
ZnCr₂Se₄(spinel): screw spin structure
Akimitsu et al.

GaFeO₃: only transverse due to
toroidal moment
Popov et al.

Multiferroic behavior in perovskite oxides



Tokura-Kimura group

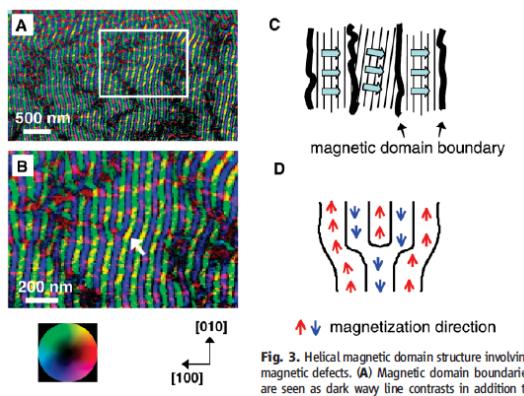
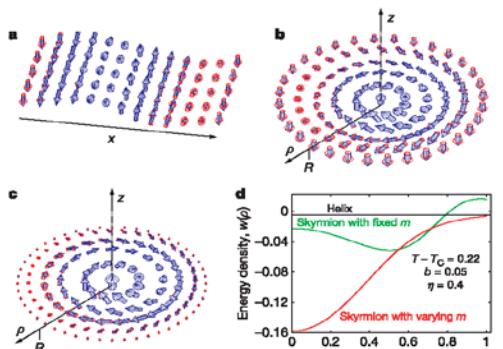


*Kenzelman et al.
Arima et al.*

Gauge theory of spin current in magnets

$$L = |(\partial_\mu - ia_\mu - i\vec{\sigma} \cdot \vec{A}_\mu)z_\alpha|^2 + \lambda |z_\sigma|^2$$

$$\vec{A}_\mu \propto \vec{e}_\mu \times \vec{E}$$



Rössler et al. Nature 2006

Uchida et al. Science 2006

$$\epsilon_{xx}(\omega) \propto < j_y^z j_y^z + j_z^y j_z^y - j_y^z j_z^y - j_z^y j_y^z >$$

$$\epsilon(\omega) \propto i\omega\sigma_{spin}(\omega) \propto \omega^2\epsilon_{spin}(\omega)$$

$$C_{m\alpha m\alpha}(\vec{k}, \omega) = \frac{2\chi k_B T [c^2 D k^4 + \chi^{-1} \kappa k^2 (\omega^2 - c^2 k^2)]}{[(\omega - ck)^2 + (\frac{1}{2} D k^2)^2][(\omega + ck)^2 + (\frac{1}{2} D k^2)^2]}$$

various spin textures in polar magnets analogous to vortex in superconductors

spin current dynamics can be studied by the dielectric properties of magnets.

Hydrodynamic theory of spin glass (Halperin-Saslow)

Helimagnets

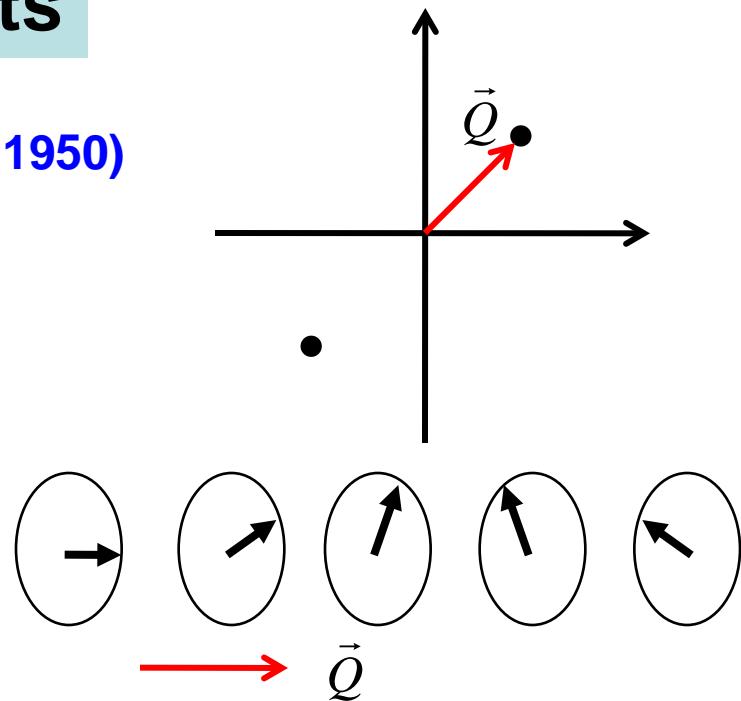
Frustrated Heisenberg model (Yoshimori 1950)

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$$\vec{S}_i = \vec{S}_Q e^{iQR_i} + \vec{S}_{-Q} e^{-iQR_i}$$

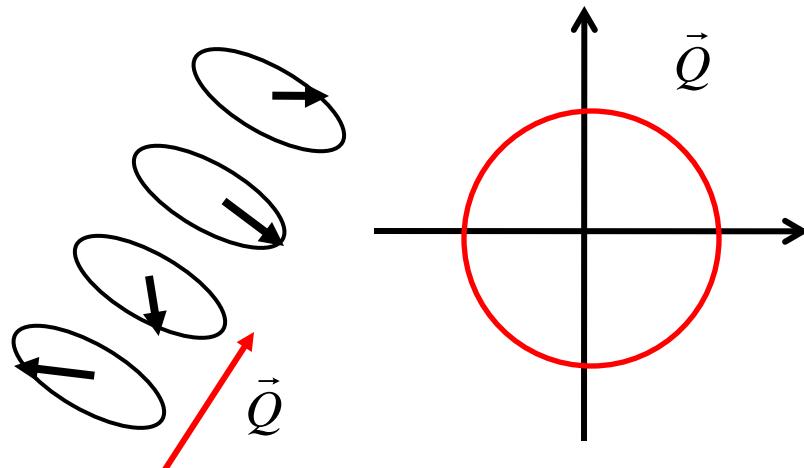
$$|\vec{S}_i| = \text{const} \rightarrow |\vec{S}_Q| = |\vec{S}_{-Q}| = \text{const}$$

$$\vec{S}_Q \cdot \vec{S}_{-Q} = 0$$



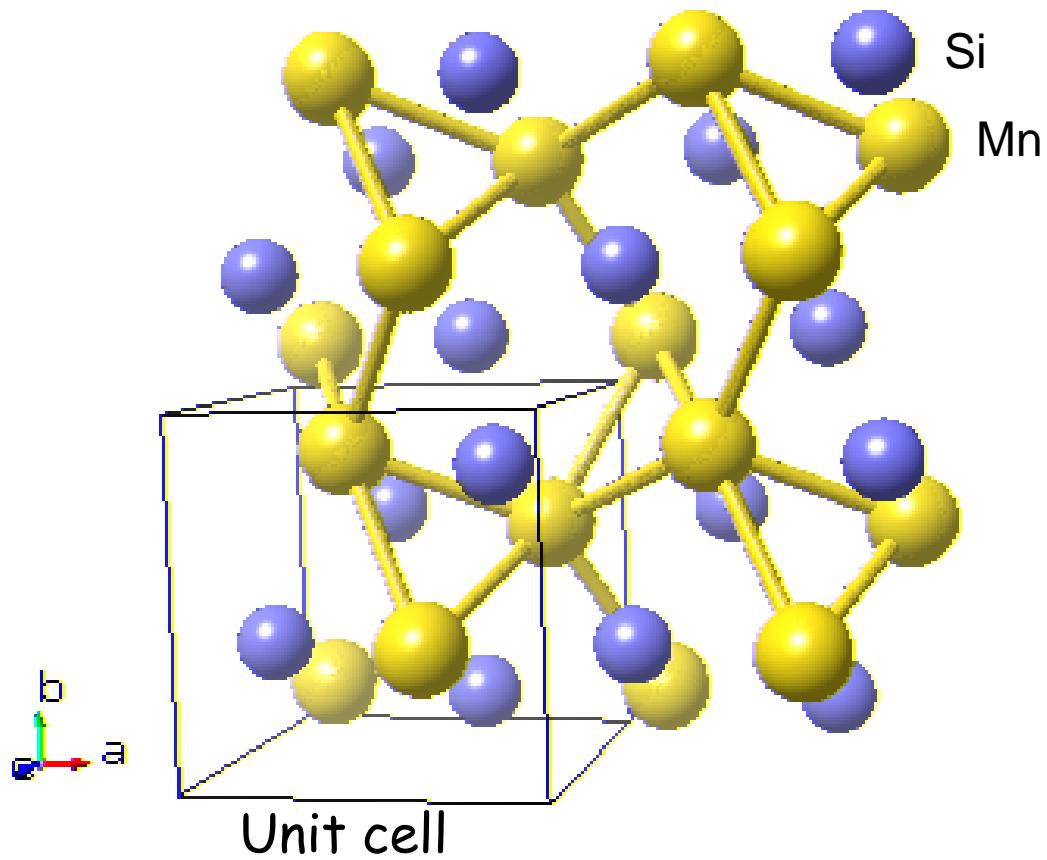
Dzyaloshinskii-Moriya interaction

$$H = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j + \sum_{ij} D_{ij} \cdot (\vec{S}_i \times \vec{S}_j)$$

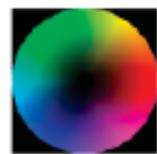
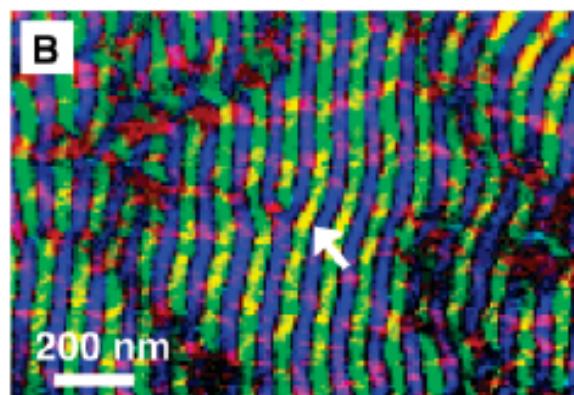
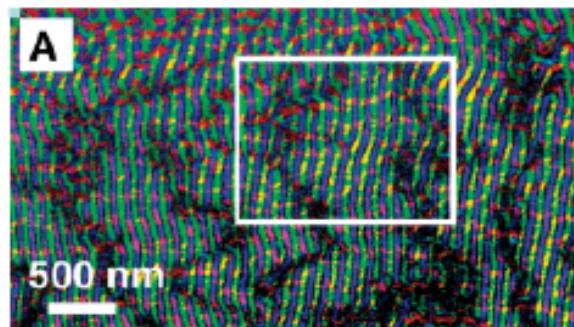


Structure

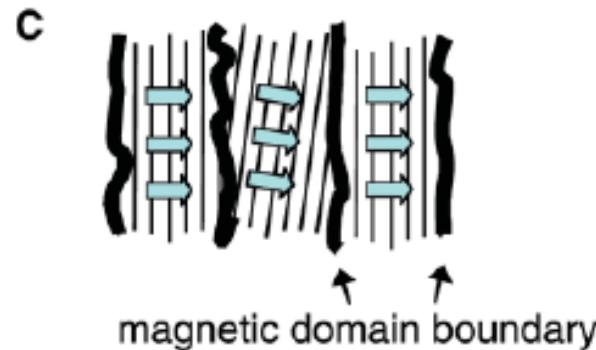
MnSi(B20 structure)



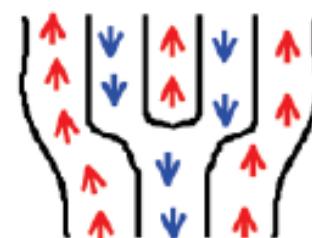
Real Space Observation of Helical Structure



[010]
[100]



magnetic domain boundary



(Fe,Co)Si

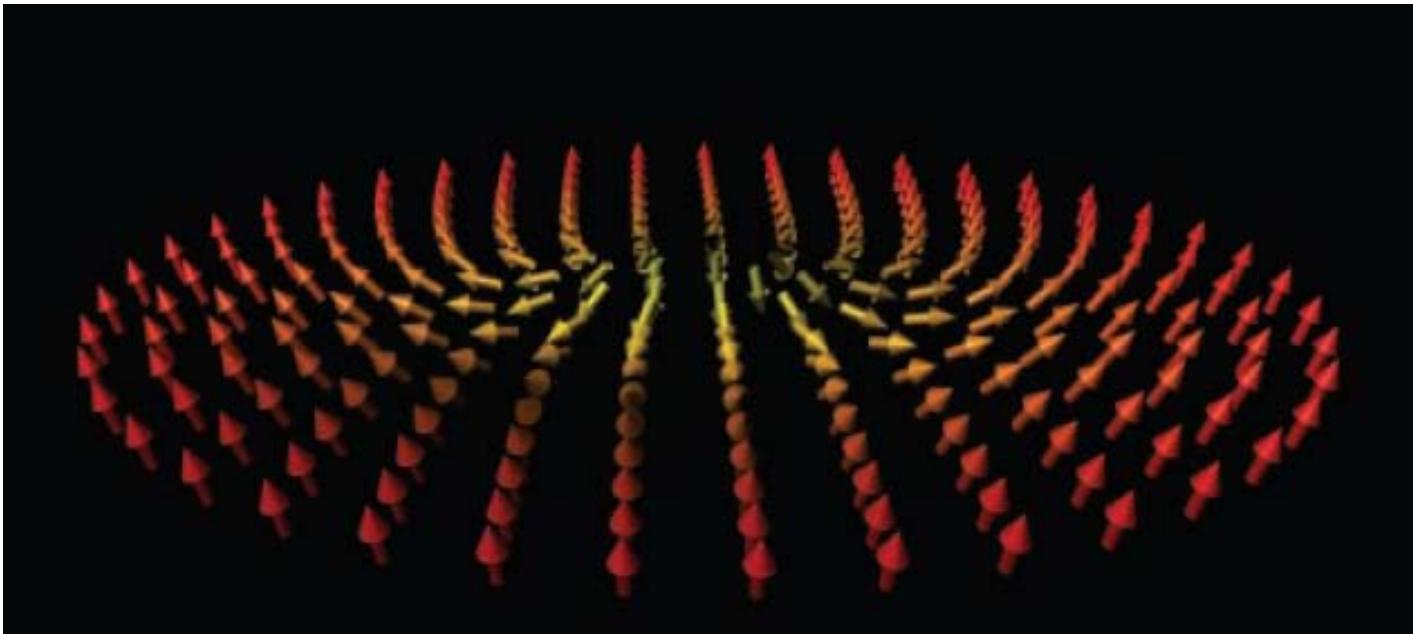
↑ ↓ magnetization direction

Fig. 3. Helical magnetic domain structure involving magnetic defects. **(A)** Magnetic domain boundaries are seen as dark wavy line contrasts in addition to

Skyrmions

Skyrmion and spin Berry phase in real space

Skyrmion configuration



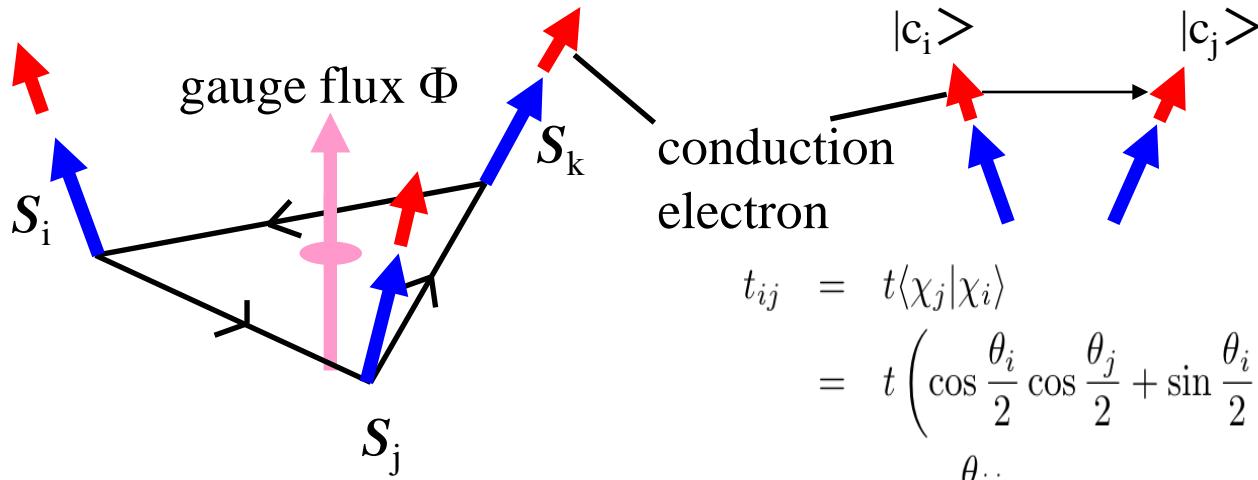
From Senthil et al.

$$L_z = \sum_{\alpha=1}^N |(\partial_\mu - ia_\mu) z_\alpha|^2 + s|z|^2 + u(|z|^2)^2 + \kappa(\epsilon_{\mu\nu\kappa} \partial_\nu a_\kappa)^2$$

Solid angle acts as a fictitious magnetic field for carriers

$$\vec{S}_i \cdot (\vec{S}_j \times \vec{S}_k) \approx \nabla \times \vec{a}$$

Solid angle by spins acting as a gauge field



$$t_{ij} = t \langle \chi_j | \chi_i \rangle$$

$$= t \left(\cos \frac{\theta_i}{2} \cos \frac{\theta_j}{2} + \sin \frac{\theta_i}{2} \sin \frac{\theta_j}{2} \exp(i(\phi_j - \phi_i)) \right)$$

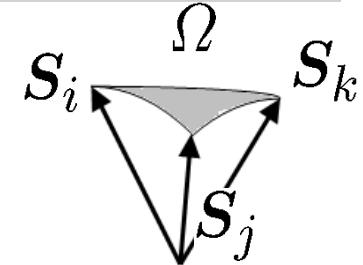
$$= t \cos \frac{\theta_{ij}}{2} \exp(ia_{ij})$$

acquire a phase factor

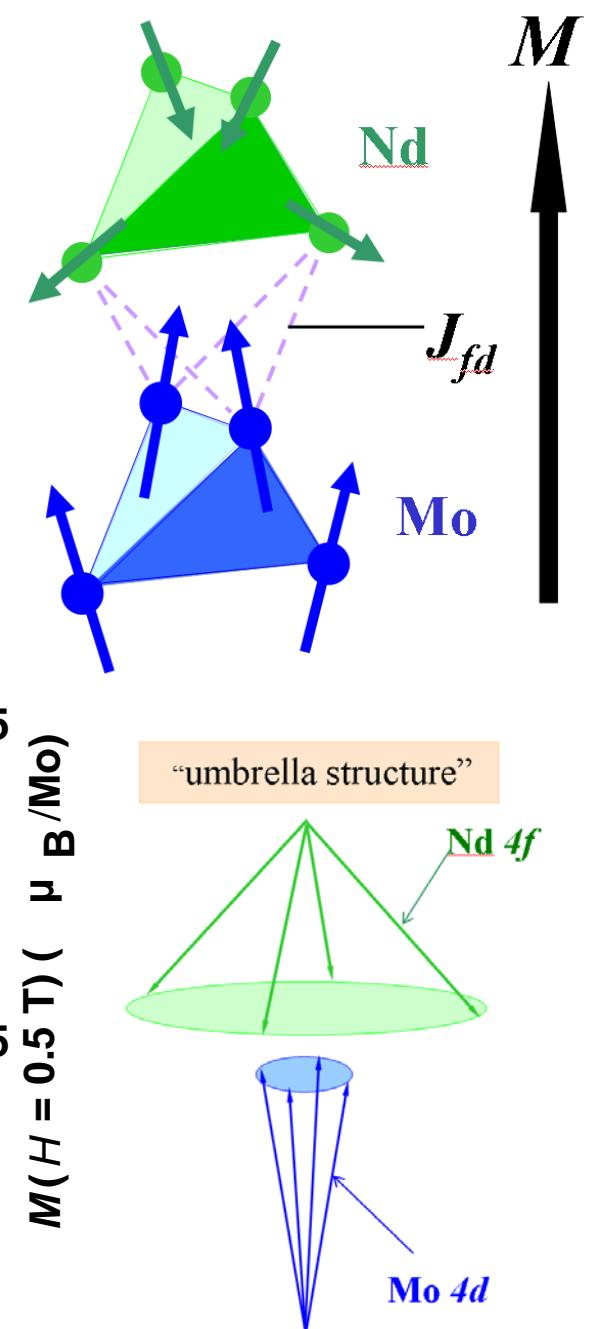
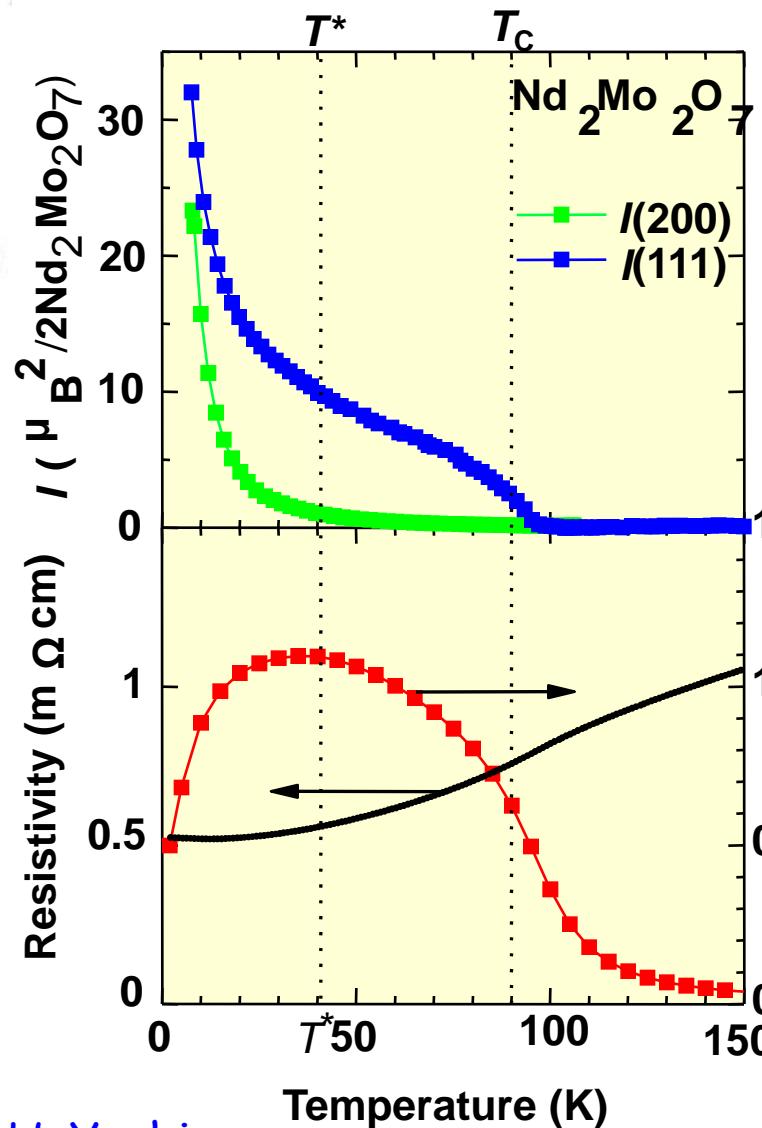
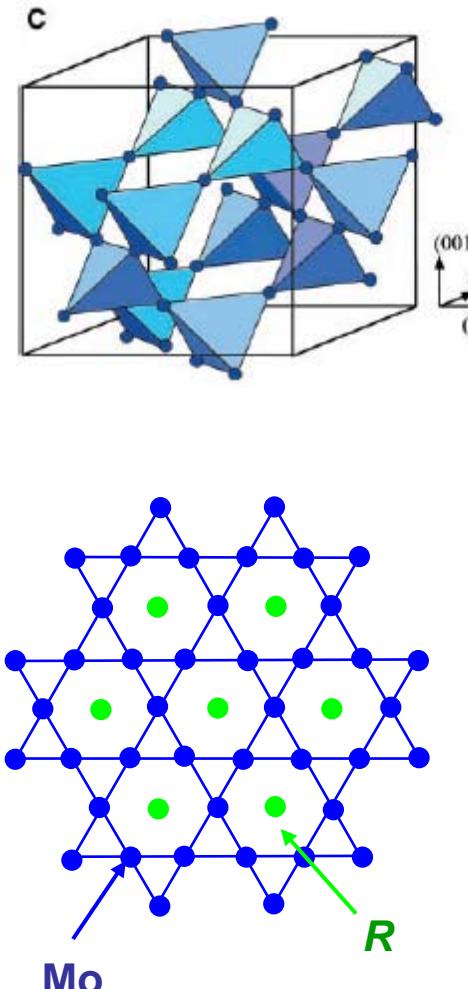
Fictitious flux (in a continuum limit)

$$\Phi \propto \frac{\mathbf{S}_i \cdot (\mathbf{S}_j \times \mathbf{S}_k)}{2} = \frac{\Omega}{2}$$

scalar spin chirality



Pyrochlore $\text{Nd}_2\text{Mo}_2\text{O}_7$



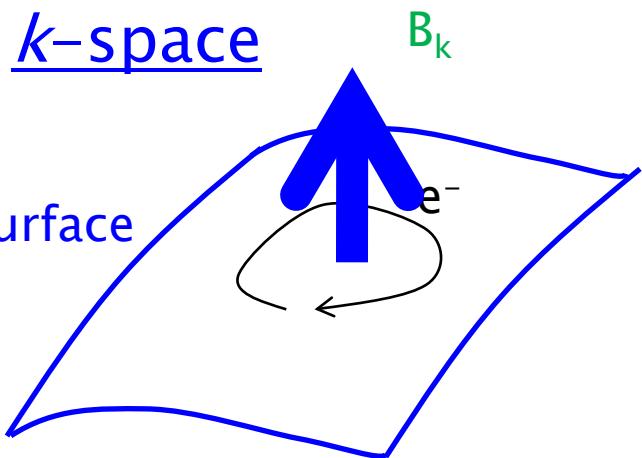
Equation of motion

$$\frac{d\mathbf{x}}{dt} = \frac{\partial \varepsilon}{\partial \mathbf{k}} + \frac{dk}{dt} \times \mathbf{B}_k$$

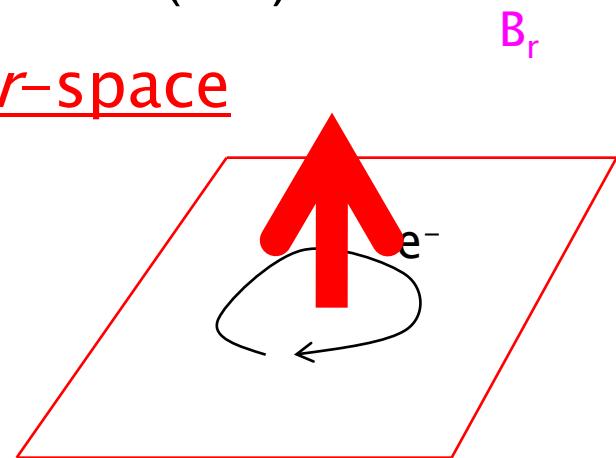
$$\frac{d\mathbf{k}}{dt} = -e \left(-\frac{\partial \varphi}{\partial \mathbf{r}} + \frac{dr}{dt} \times \mathbf{B}_r \right)$$

one flux quantum/(nm)²~4000T !

k-space



r-space



B_k induced AHE

$$\sigma_{xy} \propto \tau^0, \rho_{xy} \propto \tau^{-2}$$

“dissipationless” nature

B_r induced AHE

$$\sigma_{xy} \propto \tau^2, \rho_{xy} \propto \tau^0$$

Cf. normal HE

$$\rho_{xy} = B/ne, \sigma_{xy} \approx \sigma_{xx}^2 B/ne$$

Helimagnets

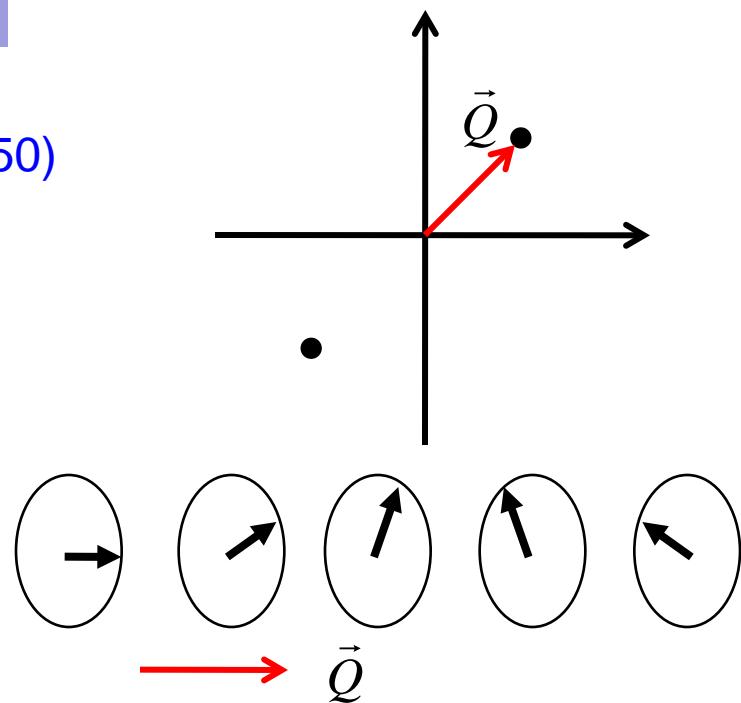
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$$\vec{S}_i = \vec{S}_Q e^{iQR_i} + \vec{S}_{-Q} e^{-iQR_i}$$

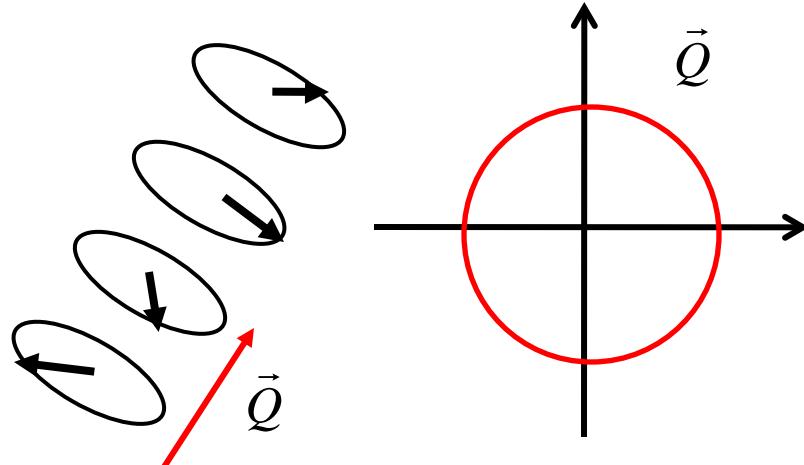
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$$\vec{S}_Q \cdot \vec{S}_{-Q} = 0$$



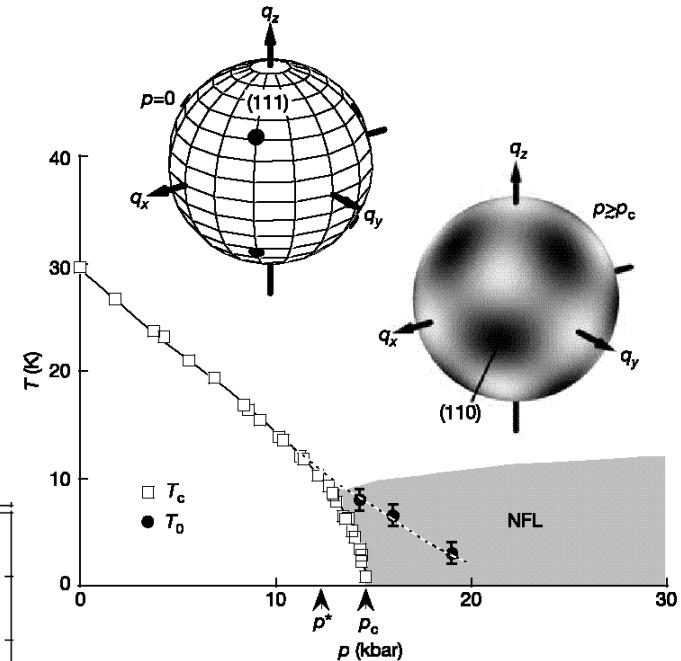
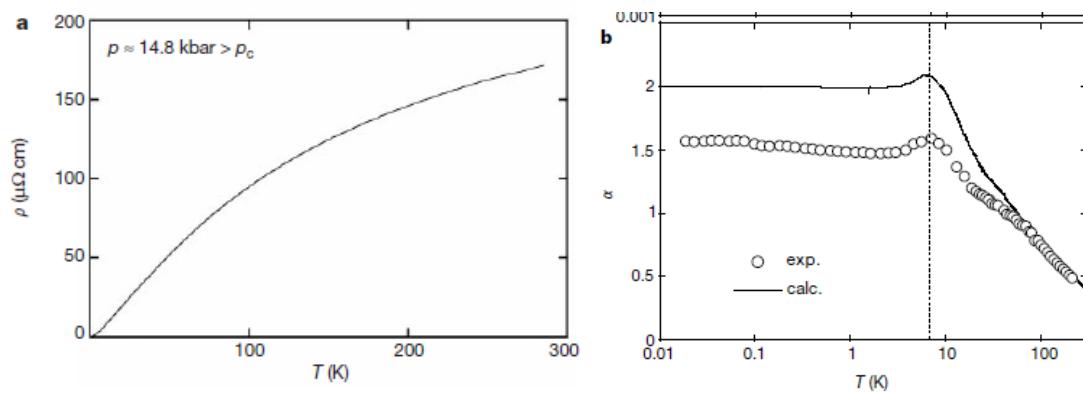
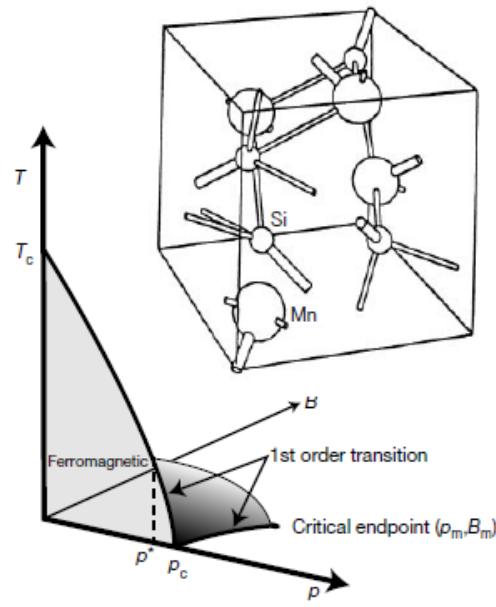
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Quantum Phase Transition in MnSi

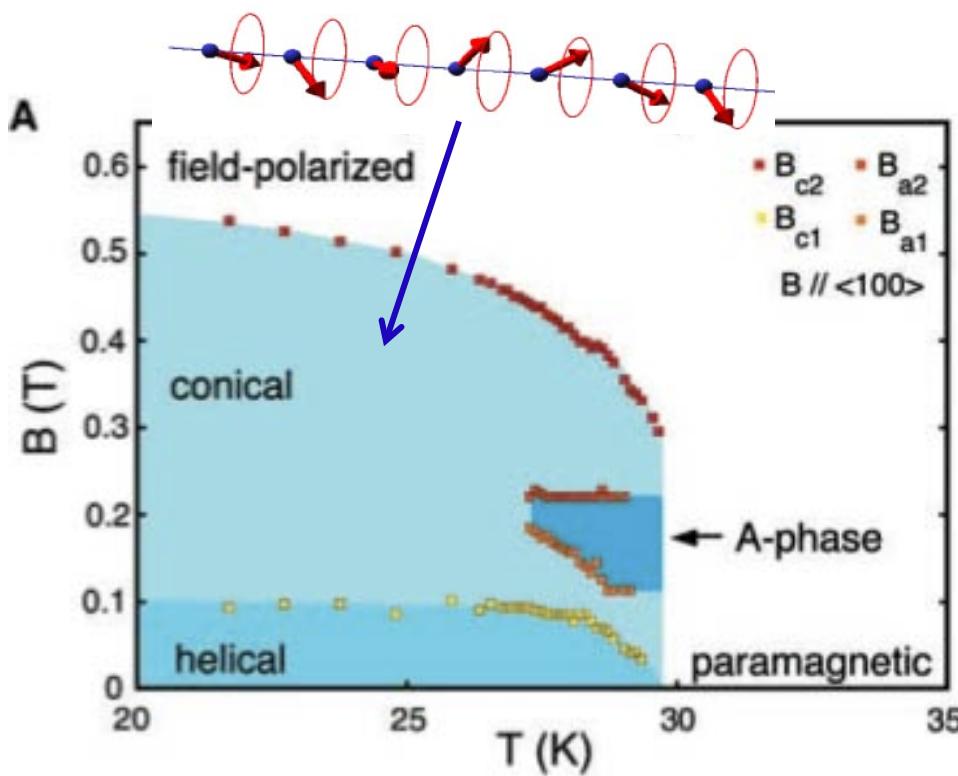
Pfleiderer, Rosch, Lonzarich et al



Spin fluctuation on a sphere in Momentum space

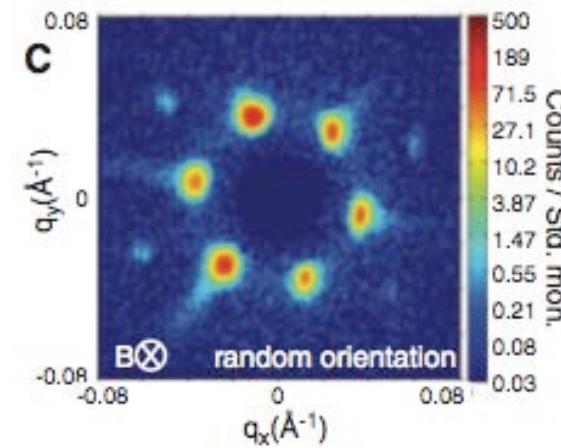
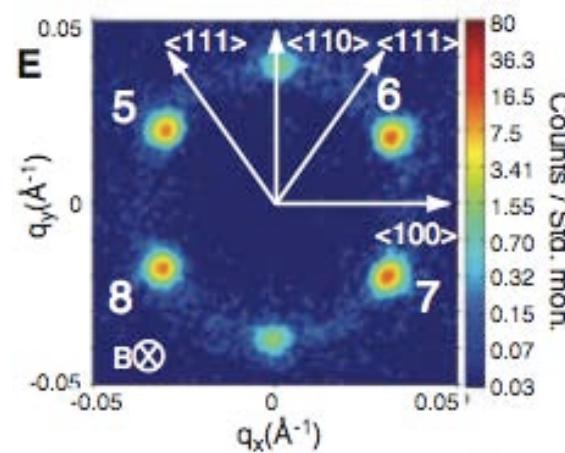
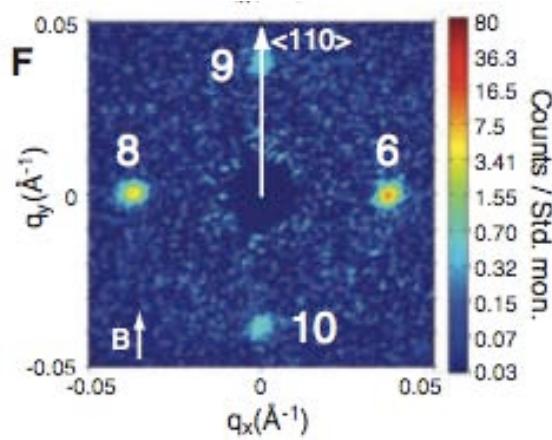
Non-Fermi liquid charge transport

Small angle neutron scattering for Skyrmion Xtal



MnSi

S. Mühlbauer *et. al.*,
Science 323 915
(2009)

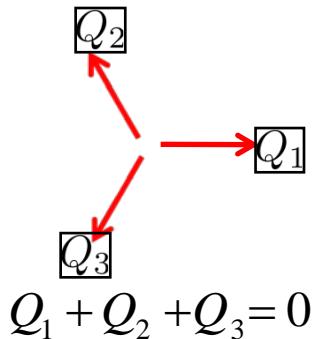


Skyrmion Crystal

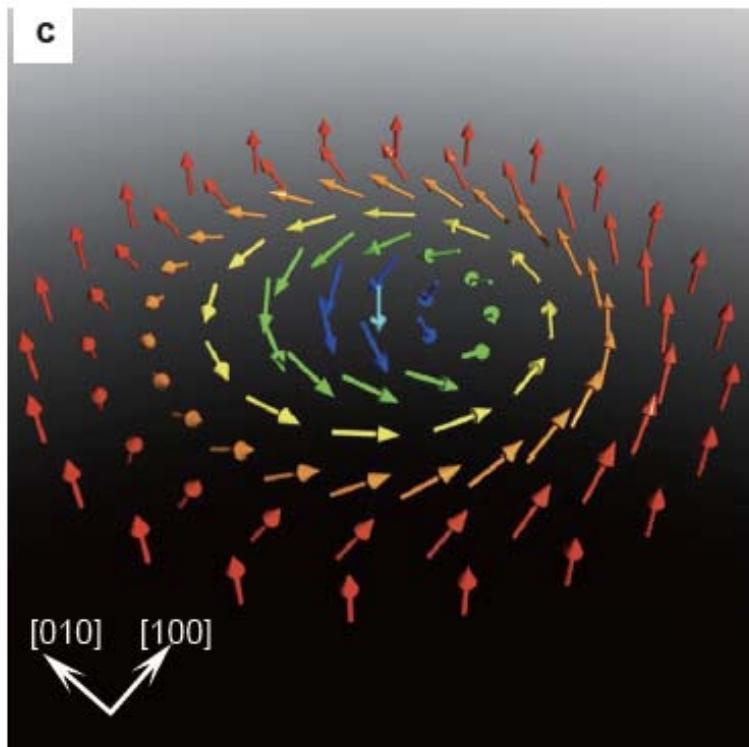
Superposition of three Helix without phase shift

$$M(r) \approx M_f + \sum_{i=1}^3 M_{Q_i}(r + \Delta r)$$

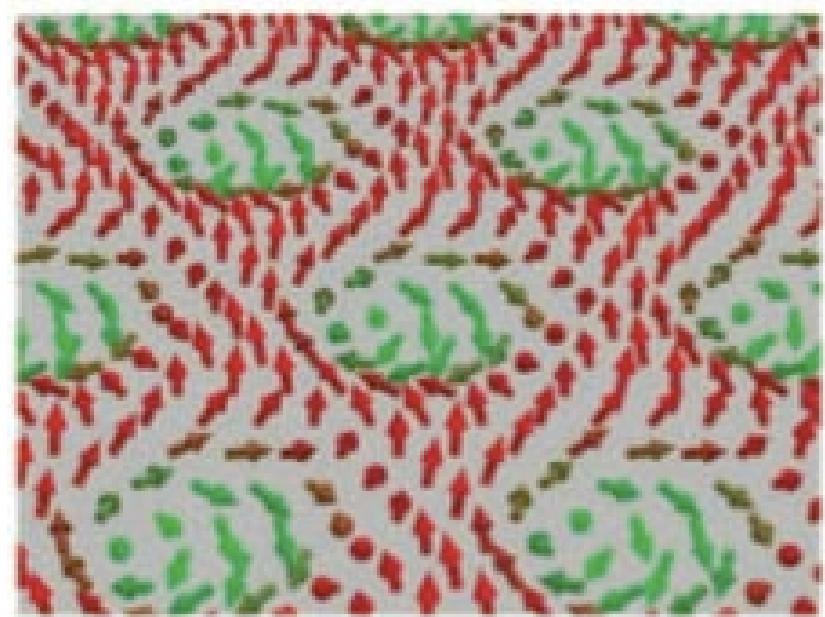
$$M_{Q_i}(r + \Delta r) = A[n_{i1}\cos(Q_i \cdot r) + n_{i2}\sin(Q_i \cdot r)]$$



Skyrmion



Skyrmion crystal 3-fold-Q



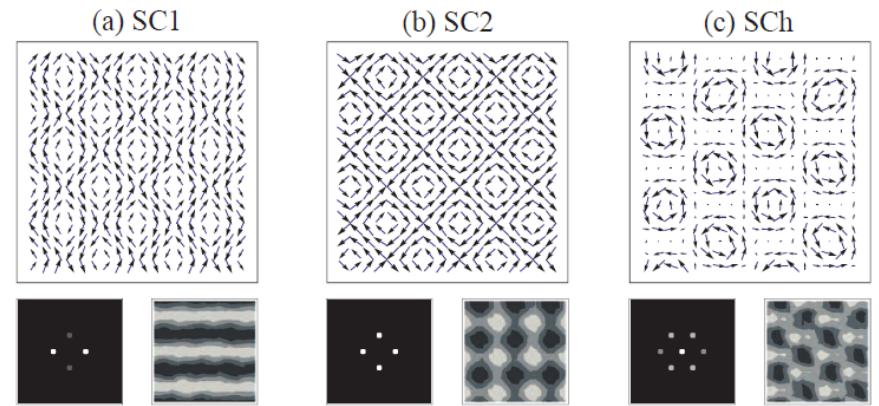
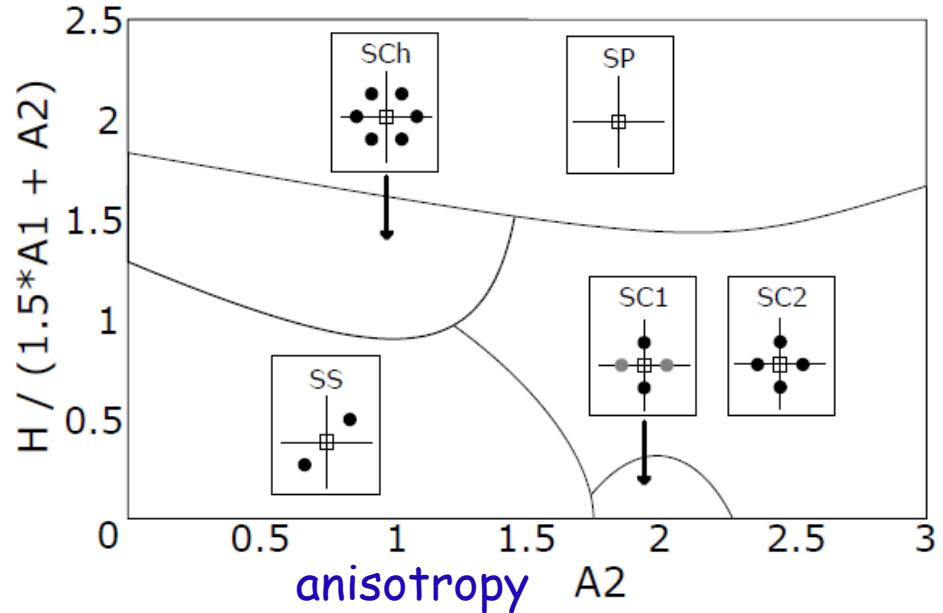
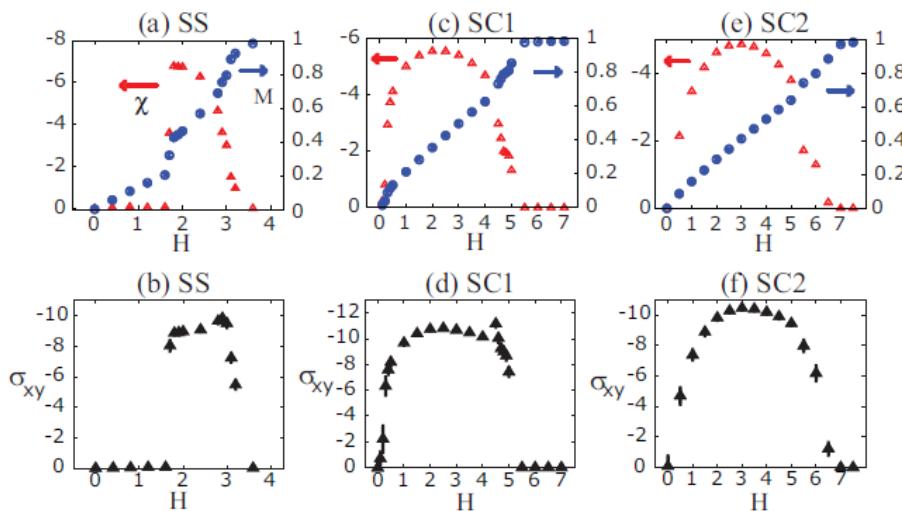
S. Muhlbauer et al. Science 323, 915 (2009).

Monte Carlo simulation for 2D helimagnet

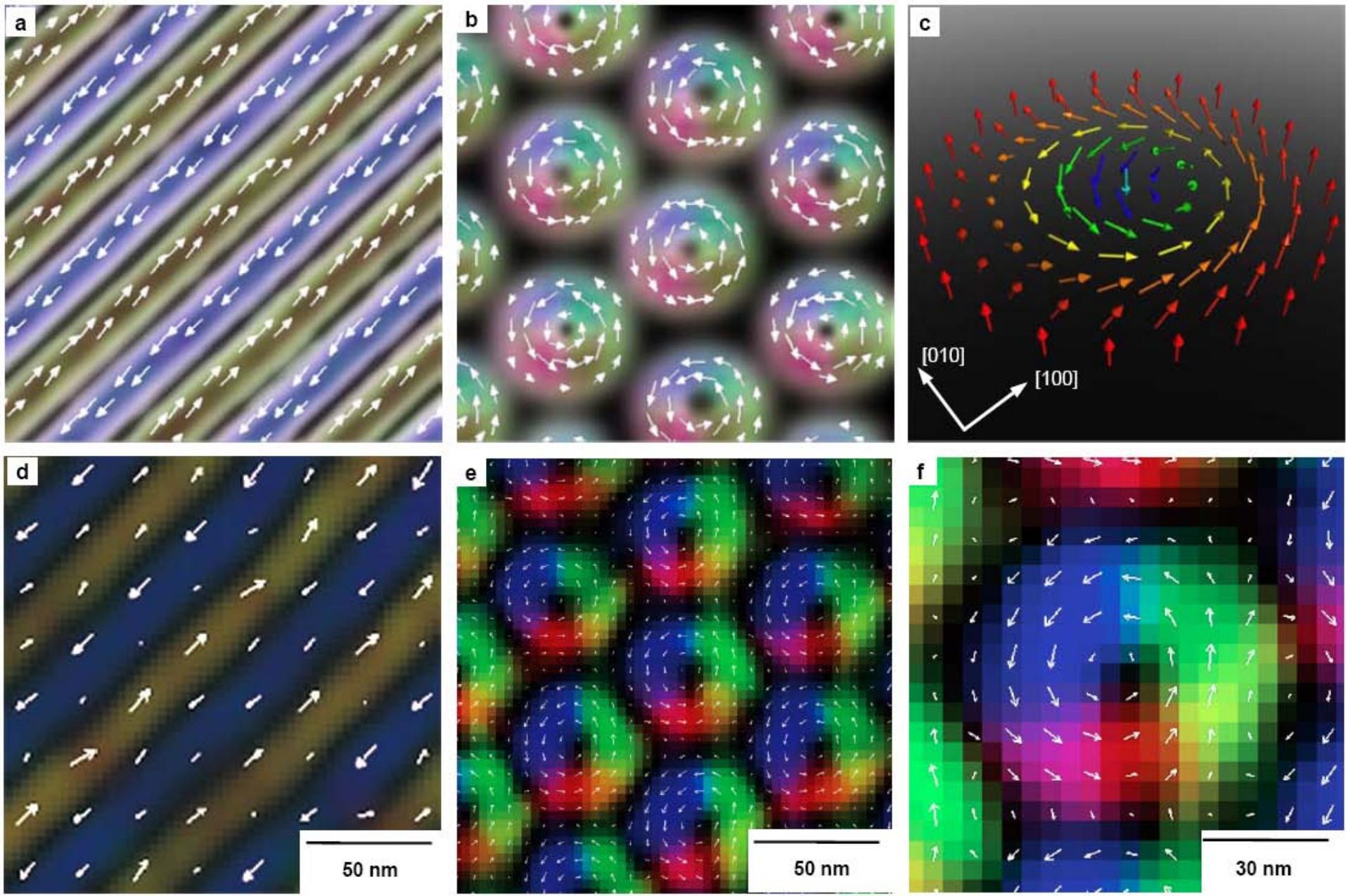
J. H. Park, J. H. Han, S. Onoda and N.N.

$$\begin{aligned}
 H_S = & -J \sum_{\mathbf{r}} \mathbf{S}_{\mathbf{r}} \cdot (\mathbf{S}_{\mathbf{r}+\hat{x}} + \mathbf{S}_{\mathbf{r}+\hat{y}} + \mathbf{S}_{\mathbf{r}+\hat{z}}) \\
 & -K \sum_{\mathbf{r}} (\mathbf{S}_{\mathbf{r}} \times \mathbf{S}_{\mathbf{r}+\hat{x}} \cdot \hat{x} + \mathbf{S}_{\mathbf{r}} \times \mathbf{S}_{\mathbf{r}+\hat{y}} \cdot \hat{y} + \mathbf{S}_{\mathbf{r}} \times \mathbf{S}_{\mathbf{r}+\hat{z}} \cdot \hat{z}) \\
 & + A_1 \sum_{\mathbf{r}} \left((S_{\mathbf{r}}^x)^4 + (S_{\mathbf{r}}^y)^4 + (S_{\mathbf{r}}^z)^4 \right) \\
 & - A_2 \sum_{\mathbf{r}} \left(S_{\mathbf{r}}^x S_{\mathbf{r}+\hat{x}}^x + S_{\mathbf{r}}^y S_{\mathbf{r}+\hat{y}}^y + S_{\mathbf{r}}^z S_{\mathbf{r}+\hat{z}}^z \right) - \mathbf{H} \cdot \sum_{\mathbf{r}} \mathbf{S}_{\mathbf{r}}
 \end{aligned}$$

$$\sigma_{xy} \approx \overrightarrow{\mathbf{S}}_i \cdot (\overrightarrow{\mathbf{S}}_j \times \overrightarrow{\mathbf{S}}_k)$$



Lorentz TEM observation of Skyrmion crystal in (Fe,Co)Si



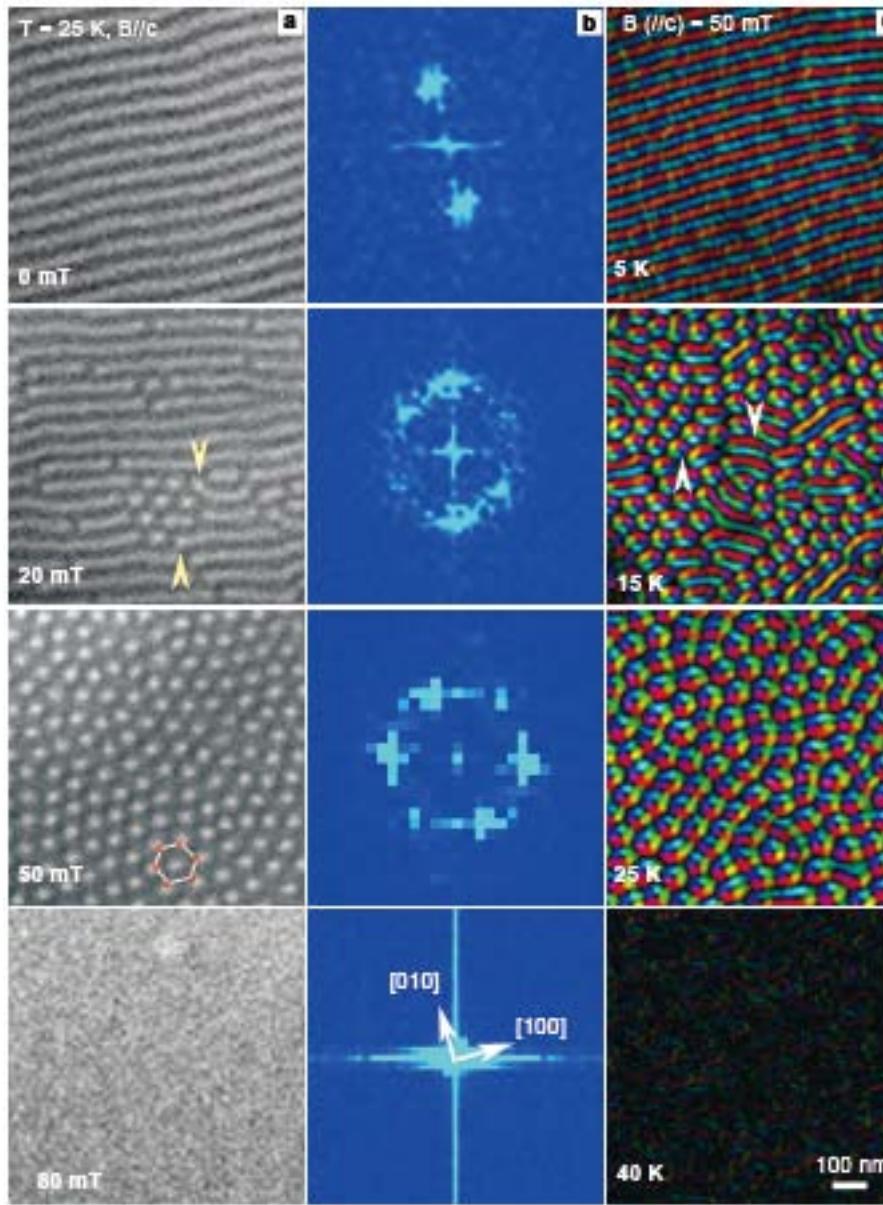
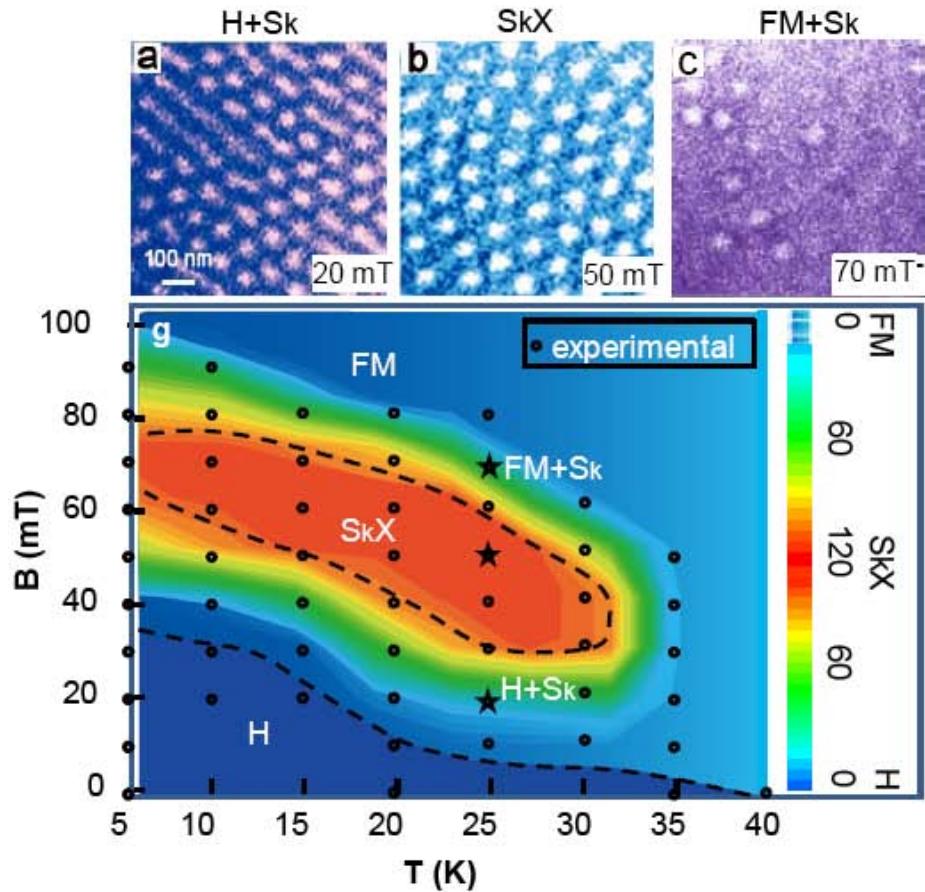
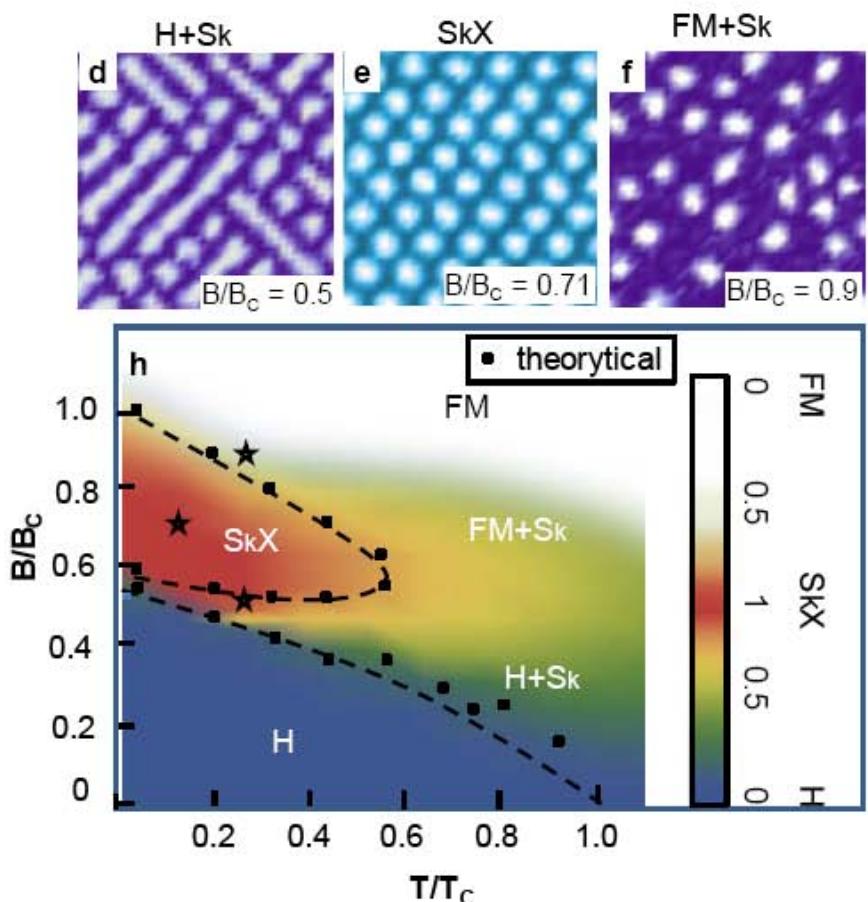


Figure 2 (a) Magnetic field dependence of real-space Lorentz TEM images of the magnetic structure in $\text{Fe}_{0.5}\text{Co}_{0.5}\text{Si}$. (b) The corresponding fast Fourier transform (FFT) patterns of (a). (c) Temperature profiles of the magnetization distribution map with a external magnetic field of 50 mT. The external magnetic field was applied along the c-axis. The color map represents the magnetization direction at every point.

Experiment



Theory



X. Z. Yu, Y. Onose, N. Kanazawa², J. H. Park, J. H. Han, Y. Matsui, N. N. Y. Tokura

Nature (2010)

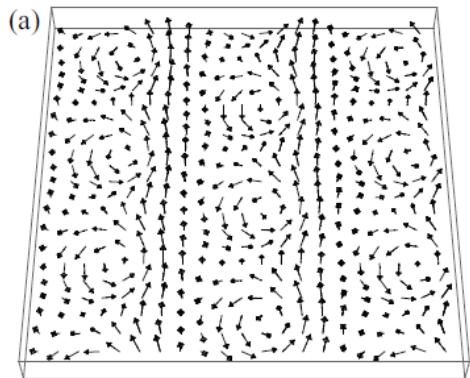
Analogy to Abrikosov vortex lattice in superconductor

J. Han, J.Zang et al. PRB2010

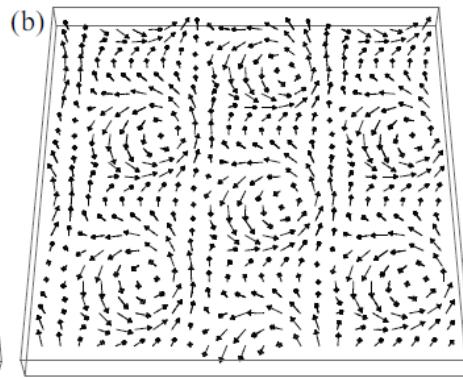
c.f. A.N. Bogdanov

$$\mathcal{F}[\mathbf{z}] = 2J \sum_{\mu} \left(D_{\mu} \mathbf{z} \right)^+ \left(D_{\mu} \mathbf{z} \right) - \mathbf{B} \cdot \mathbf{z}^+ \boldsymbol{\sigma} \mathbf{z}.$$

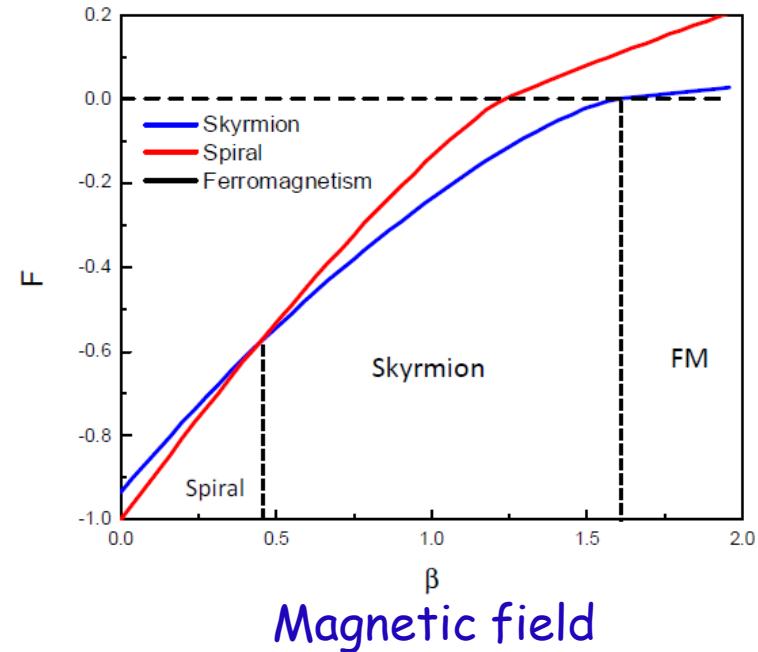
$$D_{\mu} = \partial_{\mu} - iA_{\mu} - i\kappa\sigma_{\mu}$$



Vortex Lattice



MC



$$\begin{array}{ll} \text{Energy} & \approx \kappa^2 / J \\ \text{Size} & \approx J / \kappa \end{array}$$

Some considerations

Order estimation

$$a \sim 4.5\text{\AA} \quad D/J = 2\pi(a/\lambda) \approx 1/30.$$

$$J \approx T_c \sim 30\text{K}$$

$$\begin{aligned} D^2/J &= J(D/J)^2 \sim J/900 \sim 30\text{K}/900 \sim (1/30)\text{K} \\ &\sim B_c \sim 40\text{-}80 \text{ mT} \end{aligned}$$

Thermal fluctuation and Lindeman criterion

$$\sqrt{<(\text{displacement})^2>} \approx (J/D)a \implies T_{\text{melting}} \approx J$$

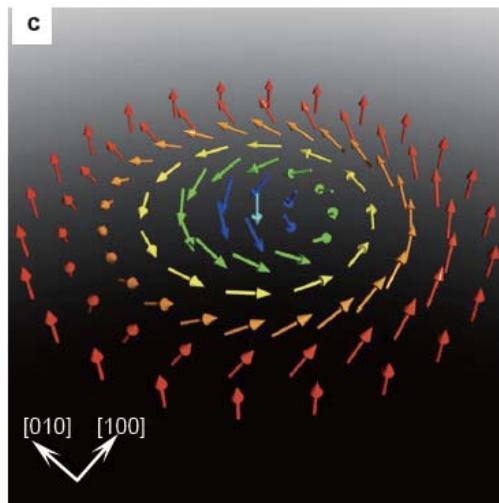
Dynamics of SkX crystal

$$\text{Acoustic mode of crystal} \quad [X, Y] = i \implies \omega = ck^2$$

$$\text{Coupling to the current of conduction electrons} \quad \vec{j} \cdot \vec{a}$$

Coupled dynamics of conduction electrons and SkX

J.D.Zang, J.H. Han, M.Mostovoy, and N.N.



Effective EMF due to spin texture
acting on conduction electrons

$$\begin{cases} e_i &= -\partial_i a_0 - \frac{1}{c} \dot{a}_i = \frac{\hbar}{2e} (\mathbf{n} \cdot \partial_i \mathbf{n} \times \dot{\mathbf{n}}), \\ h_i &= [\nabla \times \mathbf{a}]_i = \frac{\hbar c}{2e} \delta_{iz} (\mathbf{n} \cdot \partial_x \mathbf{n} \times \partial_y \mathbf{n}), \end{cases}$$

$$\tilde{H}_{\text{int}} = -\frac{1}{c} \int d^3x \mathbf{j} \cdot \mathbf{a} \quad \text{Coupling term}$$

Lorentz force

$$\frac{\partial n}{\partial t} + \mathbf{v} \cdot \frac{\partial n}{\partial \mathbf{x}} - e \left(\mathbf{E} + \mathbf{e} + \frac{1}{c} [\mathbf{v} \times (\mathbf{H} + \mathbf{h})] \right) \cdot \frac{\partial \mathbf{n}}{\partial \mathbf{P}} = -\frac{\delta n}{\tau},$$

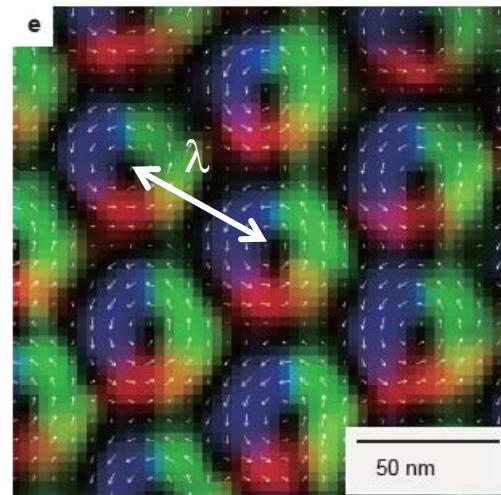
Boltzmann equation

$$\dot{\mathbf{n}} = \frac{\hbar \gamma}{2e} (\mathbf{j} \cdot \nabla) \mathbf{n} - \gamma \left[\mathbf{n} \times \frac{\delta H_S}{\delta \mathbf{n}} \right] + \alpha [\dot{\mathbf{n}} \times \mathbf{n}]$$

LLG equation

Fictitious magnetic flux

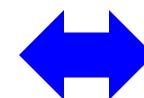
©Y. Tokura



one flux quantum/(nm)²~4000T !
(double-excahnge model)

$$\Delta\rho_{yx} \propto \Phi \text{ (Sk density)}$$

	λ (magnetic) [nm]	Φ (cal.) [T]	$\Delta\rho_{yx}$ (topological) [nΩcm]
FeGe	70	1	indiscernible
MnSi	18	28	5
MnGe	3.0	1100	200
$\text{Nd}_2\text{Mo}_2\text{O}_7$ (reference)	~0.5	~40000	6000



"Electromagnetic induction"

Moving magnetic flux produces the transverse electric field

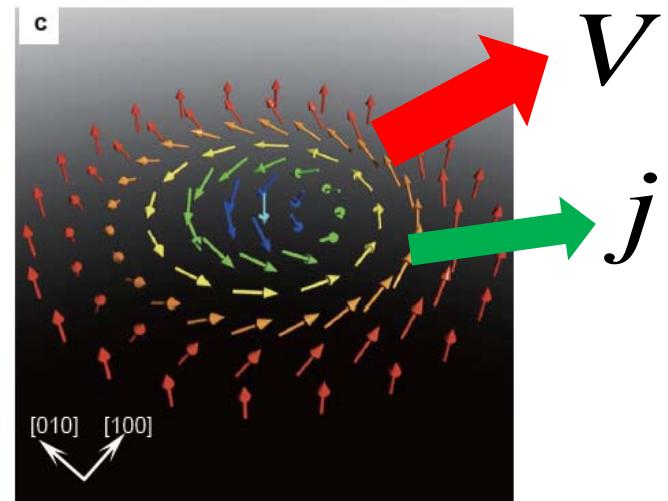
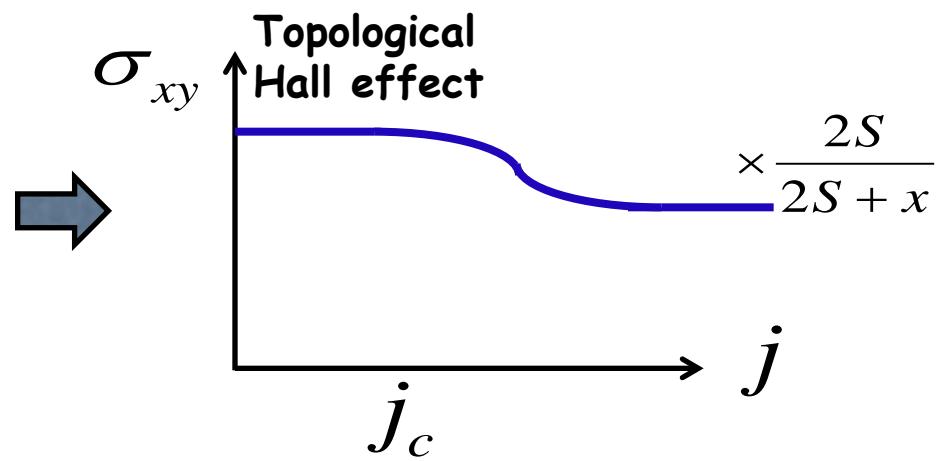
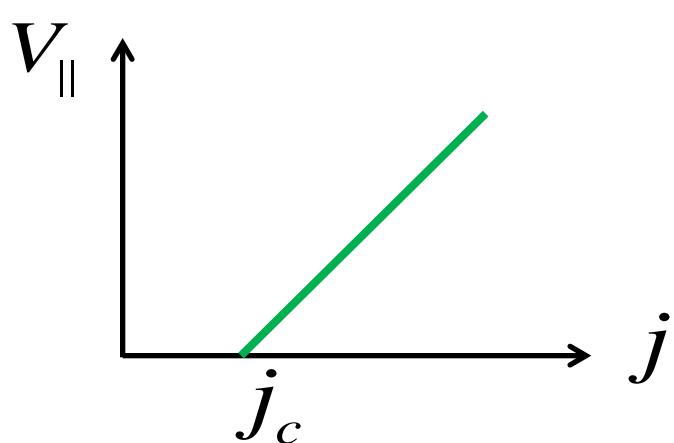
$$\mathbf{e} = -\frac{1}{c} [\mathbf{V}_{||} \times \mathbf{h}]$$

$$\rightarrow \frac{\Delta \sigma_{xy}}{\sigma} \approx -\frac{x}{2S+x} \frac{e \langle h_z \rangle \tau}{mc}$$

x Conduction electron number per site

S Spin quantum number

c.f. $\frac{\sigma_{xy}^{top}}{\sigma} = \frac{e \langle h_z \rangle \tau}{mc}$



New dissipative mechanism for spin texture

$$\delta \dot{\mathbf{n}} = \frac{\hbar\gamma\sigma}{2e} (\mathbf{e} \cdot \nabla) \mathbf{n} = \frac{\hbar^2\gamma\sigma}{4e^2} (\mathbf{n} \cdot \partial_i \mathbf{n} \times \dot{\mathbf{n}}) \partial_i \mathbf{n}.$$

moving flux \rightarrow electric field \rightarrow induced current \rightarrow dissipation

$$\Rightarrow \alpha' = \frac{1}{(2S + x)} \frac{a^3 \sigma}{\alpha_{fs} \xi^2 c} \approx (k_F l) (a / \xi)^2$$

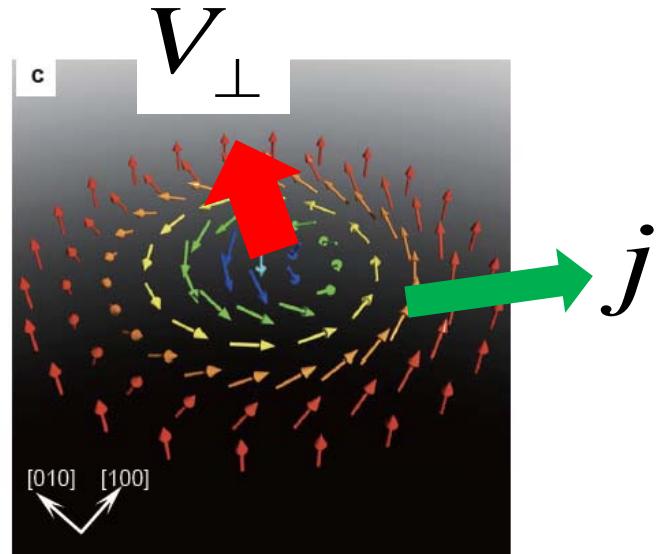
mean free path $l \ll \xi$ size of Skyrmion

α' does not require spin-orbit int. and can be as large as ~ 1
But ξ is determined by DM interaction.

Skyrmion Hall effect

Transverse motion of the Skyrmion as a back-action to the "electromagnetic induction"

$$V_{\perp} \approx Q(\alpha + \alpha')(V_{\parallel} \times e_z)$$



$Q = \pm 1$ Skyrmion charge determined
by the direction of the external magnetic field

"Hall angle" $\tan \theta_H \approx \alpha + \alpha'$

Collective dynamics of Skyrmion crystal

$$H_S = \int d^3x \left[\frac{J}{2a} (\nabla \mathbf{n})^2 + \frac{D}{a^2} \mathbf{n} \cdot [\nabla \times \mathbf{n}] - \frac{\mu}{a^3} \mathbf{H} \cdot \mathbf{n} \right]$$

$$\tilde{\mathbf{n}}(\mathbf{x}, t) = \mathbf{n}(\mathbf{x} - \mathbf{u}(\mathbf{x}, t)) \quad u \text{ displacement field}$$

$$H_{\text{lat}} = d\eta J \int \frac{d^2x}{\xi^2} [(\nabla u_x)^2 + (\nabla u_y)^2] \quad \text{elastic energy}$$

$$S_{\text{BP}} = \frac{dQ}{\gamma} \int dt \frac{d^2x}{\xi^2} (u_x \dot{u}_y - u_y \dot{u}_x) \quad \text{Berry phase term}$$

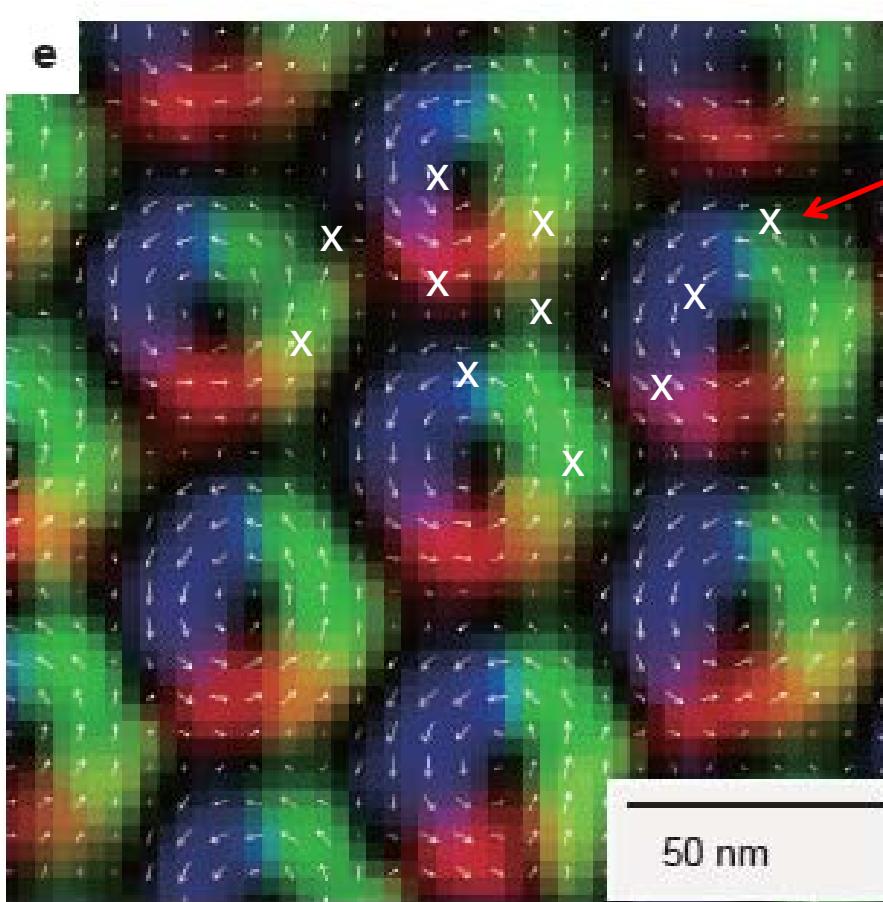
ux and uy are canonical conjugate

$$H_{\text{int}} = d \frac{\hbar Q}{e} \int \frac{d^2x}{\xi^2} (u_x j_y - u_y j_x) \quad \text{coupling to current}$$

$$\rightarrow \hbar\omega = \frac{\eta J}{(S + \frac{x}{2})} \frac{(ka)^2}{\left[1 + i \left(\frac{\alpha}{\eta} + \frac{\alpha'}{\eta'} \right) \right]}$$

"phonon" of SkX
only one branch
 k^2 dispersion
 k^2 damping

Collective pinning of Skyrmiон crystal



impurity

Inhomogeneity of
Impurity and skyrmion X-tal

→ Pinning and distortion

Theory of collective pinning

$$E_S \sim \langle J \rangle \frac{d}{a} \quad \text{ene. of one Skyrmion} \quad \delta J \sim J \frac{\delta n_i}{n_e} \quad \text{variation of kin. ene.}$$

N_1 : # of impurities in a Sk $\langle N_1 \rangle = n_i 2\pi \xi^2 d$ d : film thickness

$$\Rightarrow \delta N_1 = \sqrt{N_1} \quad \text{Variation of \#}$$

$$\rightarrow V_1 \sim \frac{J}{n_e 2\pi \xi^2 d} \sqrt{N_1} = \frac{J}{n_e a \xi} \sqrt{\frac{n_i d}{2\pi}}. \quad \text{Variation of one Skyrmion energy}$$

$\rightarrow L \sim \frac{Jd}{aV_1}$ Competition between pinning and elastic energy
determines the size L of domain for collective pinning

$$\hbar \omega_{\text{pin}} \sim \frac{\hbar \gamma \xi^2}{d} \left\langle \frac{\partial^2 V}{\partial \mathbf{u}^2} \right\rangle \sim \frac{\hbar \gamma \xi^2}{d} \frac{V_0 L}{L^2 \xi^2} = \frac{a^3}{dS} \frac{V_0}{L} \quad V_0 = V_1 / (2\pi \xi^2)$$

$$j_c \sim \frac{e \xi^2}{\hbar d} \left\langle \frac{\partial V}{\partial \mathbf{u}} \right\rangle_{\text{steady state}} \sim \frac{e \xi V_0}{\hbar d L} \quad \text{Critical current density for SkX motion}$$

Estimates (for MnSi)

$$n_e = 3.78 \cdot 10^{22} \quad x = n_e a^3 \sim 0.9 \quad 0.4 \mu_B \text{ per Mn ion} \quad S + \frac{x}{2} = 0.5$$

$$\frac{D}{J} = aQ \sim 0.1 \quad J \sim 3 \text{ meV} \quad \xi \sim 77 \text{ \AA}$$

$$\rho(0\text{K}) = 1.85 \mu\Omega \cdot \text{cm} \quad d = 10 \text{ nm} \quad \langle N_1 \rangle \sim 700$$

➡

$$V_1 = 2\pi\xi^2 V_0 = \frac{J}{x} \frac{a}{\xi} \sqrt{\frac{x_i d}{2\pi a}} \sim 2 \cdot 10^{-2} \text{ meV}$$

$$L \sim 5 \cdot 10^3$$

$$\alpha' \sim \frac{\hbar^2 \gamma \sigma}{4e^2} \frac{4\pi}{2\pi\xi^2} = \frac{1}{2\alpha_{\text{fs}}(S + x/2)} \frac{\sigma}{c} \frac{a^3}{\xi^2} \sim 0.1$$

$$\frac{eh_z\tau}{mc} = \frac{1}{\alpha_{\text{sf}} n_e \xi^2} \frac{\sigma}{c} = \frac{2(S + x/2)}{x} \alpha' \sim 0.09$$

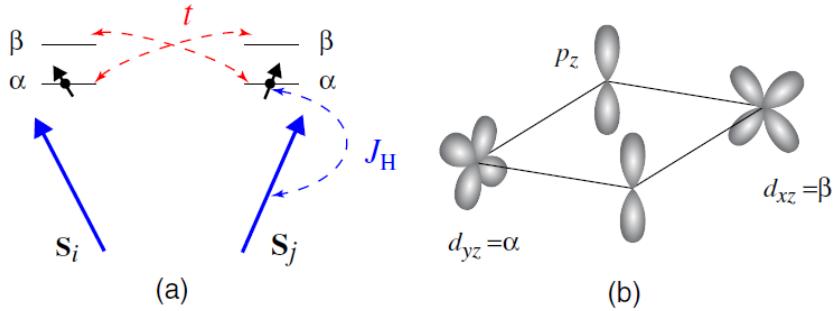
$$h_z \approx 6T$$

$$\hbar\omega_{\text{pin}} \sim 5 \cdot 10^{-11} \text{ meV} \quad j_c \sim 0.2 \text{ A} \cdot \text{cm}^{-2}$$

very small !!

Gauge field of spin textures in insulating magnets

M.Mostovoy, K.Nomura and N.N. PRL2011



Spin dynamics in the intermediate virtual states of the exchange int.
→ Coupling between gauge field e and E
→ Multi-orbital Mott insulator

$$L_E = - \int d^3x T^{ab} E_a e_b(\mathbf{x}, t),$$
$$T^{ab} = \frac{e}{(U')^3} \frac{1}{v} \sum_j |t_{j\beta, i\alpha}|^2 (x_j^a - x_i^a)(x_j^b - x_i^b)$$

Finite even without
inversion asymmetry or spin-orbit interaction

A physical consequence

Moving spin texture produces
the electric polarization

$$\mathbf{P} \propto gQ[\hat{\mathbf{z}} \times \dot{\mathbf{R}}]$$

Example: a Skyrmion in a confining potential $U = \frac{K}{2}(R_x^2 + R_y^2)$

$$G_{ij}\left(\dot{R}_j + \frac{g}{\tilde{S}}\dot{E}_j\right) + \alpha\Gamma_{ij}\dot{R}_j = -\frac{\partial U}{\partial R_i} \quad G_{xy} = -G_{yx} = 4\pi Q$$

Applying a rotating electric field $\mathbf{E}(t) = E_\omega(\cos\omega t, -\sigma \sin\omega t)$

→ Different resonant response at $\Omega = \frac{K}{4\pi|Q|}$

$$X_\Omega = \frac{gE_\Omega}{2\tilde{S}} \begin{cases} \frac{i}{\Omega\tau} & \text{for } \sigma = +q \\ -\frac{1}{2-i\Omega\tau} & \text{for } \sigma = -q, \end{cases}$$

Conclusions

- Emergent electromagnetism

1. Projection onto Hilbert sub-space
 - Berry phase and gauge field
 - spin-orbit, spin current physics,
3 sources of $U(1)$ e.m.f.
2. Global topological structures
edge/surface physics
3. Spin textures
An ideal laboratory for topological physics

