# **General Relativity**

By precise approach

## 1 Preliminaries

## **Manifolds**

## 1. Topological Manifolds

## **Def 1.1 Topological Manifolds**

A manifold M of dimension n is a topological space with the following properties.

- 1. M is Hausdorff
- 2. M is locally Euclidean of dimension n
- 3. M has a countable basis of open sets

## Why?

- **Hausdorff**: In Hausdorff space, convergent sequences converge to only one point. If you want to do calculus, you should need Hausdorff space.
- Locally Euclidean: This is the main reason that why we require manifolds.
- **Countable Basis**: We need *partition of unity* to bring many properties of Euclidean space. For Hausdorff space, existence of partition of unity require *paracompactness*. And paracompactness follows from *second countability*. It is same as have countable basis.

## 1.1 Supplement

## Thm 1.1.1 Paracompact $\simeq$ Partition of unity

Let  $(X, \tau)$  be a topological space that is  $T_1$  (all points are closed). Then the following are equivalent:

- $(X, \tau)$  is paracompact and Hausdorff
- Every open cover of  $(X, \tau)$  admits a subordinate partition of unity

To prove this, we need a wide background knowledge.

## Def 1.1.2 Hausdorff

Given points x and y of S, if  $x \neq y$ , then there exist open neighborhoods U of x and Y of y in S that are disjoint: such that  $U \cap V = \emptyset$ .

## Def 1.1.3 Locally finite cover

Let  $(X, \tau)$  be a topological space.

An open cover  $\{U_i \subset X\}_{i \in I}$  of X is called *locally finite* if  $\forall x \in X$ , there exists a neighbourhood  $U_x \supset \{x\}$  such that it intersects only finitely many elements of the cover, hence such that  $U_x \cap U_i \neq \emptyset$  for only a finite number of  $i \in I$ .

## Def 1.1.4 Refinement of open covers

Let  $(X, \tau)$  be a topological space, and let  $\{U_i \subset X\}_{i \in I}$  be a open cover. Then a *refinement* of this open cover is a set of open subsets  $\{V_j \subset X\}_{j \in J}$  which is still an open cover in itself and such that for each  $j \in J$  there exists an  $i \in I$  with  $V_j \subset U_i$ .

## Def 1.1.5 Paracompact topological space

A topological space  $(X, \tau)$  is called *paracompact* if every open cover of X has a refinement by a locally finite open cover.

## Def 1.1.6 Partition of unity

Let  $(X, \tau)$  be a topological space, and let  $\{U_i \subset X\}_{i \in I}$  be an open cover. Then a partition of unity subordinate to the cover is

• a set  $\{f_i\}_{i\in I}$  of continuous functions

$$f_i: X \to [0,1]$$

(where  $[0,1] \subset \mathbb{R}$  is equipped with the subspace topology of the real numbers  $\mathbb{R}$  regarded as the 1D Euclidean space equipped with its metric topology)

such that with

$$Supp(f_i) := Cl\left(f_i^{-1}((0,1])\right)$$

denoting the support of  $f_i$  (the topological closure of the subset of points on which it does not vanish) then

- 1)  $\bigvee_{i \in I} (Supp(f_i) \subset U_i)$
- 2)  $\widehat{\{Supp(f_i)\subset X\}}_{i\in I}$  is a locally finite cover
- 3)  $\forall_{x \in X} \left( \sum_{i \in I} f_i(x) = 1 \right)$

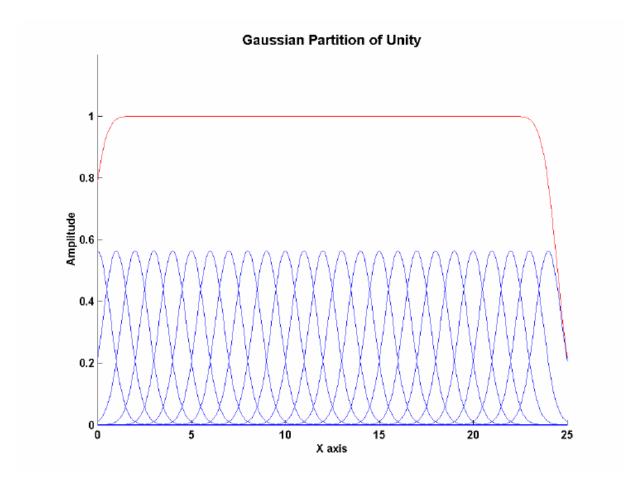


Figure 1.1: Gaussian Partition of Unity

## **Prop 1.1.7 Paracompact - Partition of unity**

If  $(X, \tau)$  is a paracompact topological space, then for every open cover  $\{U_i \subset X\}_{i \in I}$  there is a subordinate partition of unity.

Proof will be given later.

## Lem 1.1.8 Natural Refinement

Let  $(X,\tau)$  be a topological space,  $\{U_i\subset X\}_{i\in I}$  be an open cover and  $\left(\phi:J\to I,\ \{V_j\subset X\}_{j\in J}\right)$  be a refinement to a locally finite cover. Then, for  $\{W_i\subset X\}_{i\in I}$  with

$$W_i \equiv \left\{ \bigcup_{j \in \phi^{-1}(\{i\})} V_j \right\}$$

is still a refinement of  $\{U_i \in X\}_{i \in I}$  to a locally finite cover.

#### Proof for 1.1.8

First we know, for  $V, V_j \subset U_{\phi(j)=i}$ . Conversely,  $\forall j \in \phi^{-1}(\{i\}), V_j \subset U_i$ . Thus,  $W_i \in \bigcup_{j \in \phi^{-1}(\{i\})} V_j \subset U_i$ .

Second, since  $\{V_j \subset X\}_{j \in J}$  are locally finite,  $\exists \mathcal{U}_x \supset \{x\}$  and a finite subset  $K \subset J$  such that

$$\bigvee_{j\in J\setminus K} (\mathcal{U}_x\cap V_j=\emptyset)$$

(locally finite:  $\mathcal{U}_x \cap V_j \neq \emptyset$  for just finite number of  $j \in J$ ) Then we can get by construction,

$$\bigvee_{i \in I \setminus \phi(K)} (\mathcal{U}_x \cap W_i = \emptyset)$$

Since  $\phi(K)$  is still finite, we can find the number of i such that  $\mathcal{U}_x \cap W_i \neq \emptyset$  is also finite. (If for  $i \in K', \ \mathcal{U}_x \cap W_i \neq \emptyset$  then K' should be subset of  $\phi(K)$ .)

Therefore  $\{W_i \subset X\}_{i \in I}$  is locally finite.

## Lem 1.1.9 Shrinking Lemma

Let X be a topological space which is normal and let  $\{U_i \subset X\}_{i \in I}$  be a locally finite open cover. Assuming the axiom of choice then:

There exists another open cover  $\{V_i \subset X\}_{i \in I}$  such that the topological closure  $Cl(V_i)$  of its elements is contained in the original patches:

$$\underset{i \in I}{\forall} (V_i \subset Cl(V_i) \subset U_i)$$

Now, suggest some fundamental topological concepts to prove prop 1.1.7.

## Def 1.1.10 Normal Spaces $(T_4)$

A topological space X is *normal* if for every two closed disjoint subsets  $A, B \subset X$ , there are neighborhoods  $U \supset A, \ V \supset B$  such that  $U \cap V = \emptyset$ .

## Prop 1.1.11 $T_4$ in terms of topological closure

X is normal iff for all closed subsets  $C \subset X$  with open neighborhood  $U \supset C$  there exists a smaller open neighborhood  $V \supset C$  whose topological closure Cl(V) is still contained in U:

$$C \subset V \subset Cl(V) \subset U$$

## Proof for Prop 1.1.11

Suppose that  $(X, \tau)$  is  $T_4$ . Consider closed subset  $C \subset U$  where U is open neighborhood of C. It implies

$$C\cap X\backslash U=\emptyset$$

Since U is open,  $X \setminus U$  is closed. Because of normal space, there are open neighborhoods V, W such that  $C \subset V$ ,  $X \setminus U \subset W$  and  $V \cap W = \emptyset$ . Because of last term, we can find  $V \subset X \setminus W \subset U$ . Since  $X \setminus W$  is closed, we can find next relation :

$$C \subset V \subset Cl(V) \subset X \backslash W \subset U$$

In the other direction, suppose that  $\forall$  open neighborhood U of closed subset C, there are smaller open neighborhood with  $C \subset V \subset Cl(V) \subset U$ . Now, consider disjoint closed subset  $C_1, C_2 \subset X$ .  $C_1 \cap C_2 = \emptyset$  implies  $C_1 \subset X \setminus C_2$ . Since  $X \setminus C_2$  is open neighborhood of  $C_1$ , there exists smaller open neighborhood V such that

$$C_1 \subset V \subset Cl(V) \subset X \backslash C_2$$

And it also implies  $X \setminus Cl(V)$  is open neighborhood of  $C_2$  where  $V \cap X \setminus Cl(V) = \emptyset$ . Therefore X is  $T_4$ .

#### **Def 1.1.12 Urysohn function**

Let X be a topological space, and let  $A, B \subset X$  be disjoint closed subsets. Then an *Urysohn function* for this situation is a continuous function

$$f: X \to [0, 1]$$

to the closed interval equipped with its Euclidean metric topology, such that

$$f(A) = \{0\}$$
 and  $f(B) = \{1\}$ 

## Prop 1.1.13 Urysohn's Lemma

Let X be a normal topological space, and let  $A, B \subset X$  be two disjoint closed subsets of X. Then there exists an Urysohn function.

This lemma has several **big** applications:

- Urysohn Metrization Thm: If X is a normal space with a countable basis, then we can use the abundance of continuous functions from X to [0,1] to assign numerical coordinates to the points of X and obtain an embedding of X into  $\mathbb{R}^{\omega}$ . From this we see that every second countable normal space is a metric space.
- Tietze Extension Thm: Suppose A is a subset of a space X and  $f: A \to [0,1]$  is a continuous function. If X is normal and A is closed in X, then we can find a continuous function from X to [0,1] that is an extension of f.
- Embedding manifolds in  $\mathbb{R}^n$ : Using Urysohn's lemma to develop the tool called *partitions of unity*, we can obtain the following theorem: *Each compact n-manifold is homeomorphic to a subspace of some*  $\mathbb{R}^n$ .

Then let's start to prove *Urysohn's lemma*.

## Proof for Urysohn's lemma

( $\Leftarrow$ ) Suppose  $f(A) = \{0\}$ ,  $f(B) = \{1\}$  for all closed subset  $A, B \subset X$ . Then  $A \subset f^{-1}\left([0, \frac{1}{2})\right)$  and  $D \subset f^{-1}\left((\frac{1}{2}, 1]\right)$ . We can find these two sets are open and disjoint.<sup>a</sup> Thus, X is  $T_4$ .

 $(\Rightarrow)$  Suppose that X is  $T_4$  and consider two disjoint closed sets  $A, B \subset X$ . Claim there is Urysohn function. To prove this, we should construct continuous function such that  $f(A) = \{0\}$ ,  $f(B) = \{1\}$ . (Maybe it's a little bit tricky.)

Since X is  $T_4$ , we can find open neighborhood for any closed subsets of X such that satisfies prop 1.1.11. Then we can think next idea :

Let  $\{U_p\}_{p\in[0,1]\cap\mathbb{Q}}$  be a collection of open sets such that

$$U_1 = X \backslash B, \ A \subset U_0 \subset Cl(U_0) \subset U_1$$

For convenience, denote  $Q = [0,1] \cap \mathbb{Q}$ . Since Q is countable, we can enumerate it as

$$Q = \{p_n | n \in \mathbb{N}\}$$

To be more clear, we are going to define by induction a collection  $\{U_p|p\in Q\}$  of open subsets with the property:

$$p < q \implies Cl(U_p) \subset U_q$$

By definition of  $U_p$ , we know above property is satisfied when  $p=0,\ q=1.$  Since  $Cl(U_0)$  is also subset of X, by  $prop\ 1.1.11$ , we can construct  $\{U_p\}_{p\in Q}$  completely. Also add some conditions (  $p\in (-\infty,0)\cap \mathbb{Q} \Rightarrow U_p=\emptyset,\ p\in (1,\infty)\cap \mathbb{Q} \Rightarrow U_p=X$  ), then we can extend our collection to whole  $\mathbb{Q}$ . Now, we can define new set:

$$\mathbb{Q}(x) \equiv \{ p \in \mathbb{Q} | x \in U_p \}$$

Then we can find  $\mathbb{Q}(x)$  has lower bound  $0.^b$  Since  $\mathbb{Q}(x)$  has a greatest lower bound, we can define  $f:X\to [0,1]$  by

$$f(x) = \inf \mathbb{Q}(x) = \inf \{ p \in \mathbb{Q} | x \in U_p \}$$

If we show f satisfies ( ①  $0 \le f(x) \le 1$ , ② f is Urysohn function for A, B, ③  $x \in Cl(U_p) \Rightarrow f(x) \le p$ , ④  $x \notin U_p \Rightarrow f(x) \ge p$ , ⑤ f is continuous ) then proof is complete.

<sup>&</sup>lt;sup>a</sup>this will be exercise.

<sup>&</sup>lt;sup>b</sup>this will be exercise

## Proof for Urysohn's lemma (Continued)

①  $0 \le f(x) \le 1$ 

: It's trivial because of next property :

$$f(x) = \begin{cases} 1 & \forall p > 1\\ \text{can't define} & \forall p < 0 \end{cases}$$

② f is Urysohn function for A, B.

: Since  $A \subset U_0$ ,  $\forall x \in A$ , f(x) = 0 and  $B = X \setminus U_1$ ,  $\forall x \in B$ ,  $f(x) = \inf \{(1, \infty) \cap \mathbb{Q}\} = 1$ .

(3) If  $x \in Cl(U_p)$ , then  $f(x) \leq p$ 

: Suppose  $x \in Cl(U_p)$ , then  $x \in Cl(U_p) \subset U_q, \ \forall q \in \mathbb{Q}, \ q > p$ . Thus,

$$(p,\infty) \cap \mathbb{Q} \subset \mathbb{Q}(x) \Rightarrow \inf \mathbb{Q}(x) < p$$

(4) If  $x \notin U_p$ , then  $f(x) \geq p$ 

: Suppose  $x \notin U_p$ , then  $x \notin U_q$ ,  $\forall q \leq p$ . Thus,

$$(-\infty, p] \cap \mathbb{Q}(x) = \emptyset \implies p \le \inf \mathbb{Q}(x)$$

(5) f is continuous.

: Suppose  $U=(a,b)\in\mathbb{R}$  such that  $(a,b)\cap[0,1]\neq\emptyset$ . Claim  $f^{-1}(U)$  is open. Suppose  $x\in f^{-1}(U)$ . It means  $f(x)\in U=(a,b)$ . Since U is open, there are  $p,q\in\mathbb{Q}$  such that a< p< f(x)< q< b. By ③, ④, we know  $x\in U_q\backslash Cl(U_p)$  and  $f(U_q\backslash Cl(U_p))\subset (a,b)$ . Thus, we can find  $\forall x\in f^{-1}(U)$ , there are  $p,q\in\mathbb{Q}$  such that  $x\in U_q\backslash Cl(U_p)\subset f^{-1}(U)$ . Since  $U_q\backslash Cl(U_p)$  is open,  $f^{-1}(U)$  is open. Therefore, f is continuous.

Proof is complete.

To prove prop 1.1.7, we should know relation between Hausdorff and Normal.

<sup>&</sup>lt;sup>c</sup>this will be exercise.

## Prop 1.1.14 Dieudonné's theorem

Every paracompact Hausdorff space is normal.

## 2 Appendix

## A. Topology

## **Def A.1 Topological Space**

A *topology* on a set X is a subset  $\mathcal{T}$  of the power set  $\mathcal{P}(X)$  with the following properties:

- 1.  $\emptyset \in \mathcal{T}$  and  $X \in \mathcal{T}$
- 2. Unions of elements of  ${\mathcal T}$  belong to  ${\mathcal T}$

$$U_i \in \mathcal{T} \text{ for all } i \in I \implies \bigcup_{i \in I} U_i \in \mathcal{T}$$

3. Intersections of finitely many elements of  $\mathcal{T}$  belong to  $\mathcal{T}$ . For finite set I,

$$U_i \in \mathcal{T} \text{ for all } i \in I \implies \bigcap_{i \in I} U_i \in \mathcal{T}$$

A topological space is a set X together with a topology  $\mathcal{T}$  on X. For a topological space  $(X, \mathcal{T})$  open subsets and their complements closed subsets of X.

## **Example A.2**

- 1) Let X be a set. Then  $\mathcal{T} = \{\emptyset, X\}$ , is a topology on X, called the *trivial topology*. This is a smallest topology.
- 2) The power set  $\mathcal{P}(X)$  of a set X, is a topology on X, called the *discrete topology*. This is a largest topology.

## Def A.3 Basis

Let  $\mathcal{T}$  be a topology on a set X. A subset  $\mathcal{B} \subseteq \mathcal{T}$  is called a *basis* for  $\mathcal{T}$  if every element of  $\mathcal{T}$  is a union of elements of  $\mathcal{B}$ .

## Prop A.4 Basis (Comfortable Definition)

A subset  $\mathcal B$  of a topology  $\mathcal T$  on a set X is a basis of  $\mathcal T$  iff, for every  $U\in\mathcal T$  and  $x\in U$ , there is a  $V\in\mathcal B$  with  $x\in V\subseteq U$ .

Proof is trivial.

## Def A.5 Neighborhood

Let X be a topological space,  $x \in X$ . Then  $U \subseteq X$  is called a *neighborhood* of x when there is an open set  $x \in V \subseteq U$ . We denote by  $\mathcal{U}(x)$  the set of all neighborhoods of x.

## Def A.6 Neighborhood Basis

Let X be a topological space and  $x \in X$ . Then we call a subset  $\mathcal{B}(x) \subseteq \mathcal{U}(x)$  a neighborhood basis or local basis of x if for every neighborhood U of x, there is a  $V \in \mathcal{B}(x)$  with  $V \subseteq U$ .

## Def A.7 Countability

Let X be a topological space.

- *X* satisfies the *first countability axiom* and is called *countable* if every point in *X* admits a countable neighborhood basis.
- X satisfies the second countability axiom and is called second countable if the topology of X admits a countable basis.

## 3 Reference

- Ballmann, Werner, and Walker Stern. Introduction to Geometry and Topology. Birkhäuser, 2018.