COMP353 Databases

Relational Algebra (RA) for Relational Data Model

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Relational Algebra (RA)

- Database Query languages are specialized languages to ask for information (queries) in DB.
- Relational Algebra (RA) is a query language associated with the relational data model.
- Queries in RA are expressions using a collection of operators on relations in the DB.
- The input(s) and output of a RA query are relations
- A query is evaluated using the current instance of the input relations to produce the output

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Operations in "standard" RA

- The well-known set operations
 - √Union (U)
 - √ Intersection (∩)
 - √ Difference (−)
- Special DB operations that select "parts" of a relation instance
 - Selection (σ) selects some rows (tuples) & discards the rest
 Projection (π) selects some columns (attributes) & discards the rest
 - Operations that "combine" the tuples from the argument relations
- Operations that "combine" the tuples from the argument relations
 \(\sqrt{Cartesian product} (\times \) \(\times \) pairs the tuples in all possible ways
- Join (▷◄) pairs particular tuples from the two input relations
- A unary operation to *rename* relations, called Rename (ρ)

Note: The output of a RA expression is an "unnamed" relation/set, i.e., RA expressions return sets, whereas SQL returns multisets (bags)

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Compatibility Requirement

- We can apply the set operators of union, intersection, and difference to instances of relations R and S if R and S are compatible, that is they have "the same" schemas.
- Definition: Relations S(A₁,...,A_n) and R(B₁,...,B_m) are compatible if:
 - (1) n=m and
 - (2) type(A_i) = type(B_i) (or compatible types), for all $1 \le i \le n$.

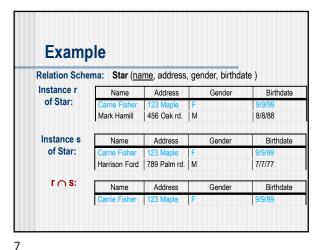
Set Operations on Relations

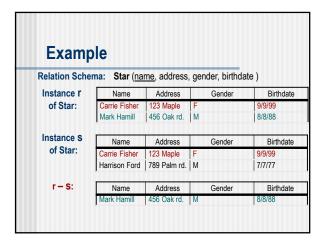
Let ${\bf R}$ and ${\bf S}$ be relation schemas, and ${\bf r}$ and ${\bf s}$ be any instances of them.

- The union of r and s is the set of all tuples that appear in either one or both. Each tuple t appears only once in the union, even if it appears in both; r ∪ s = {t | t∈ r ∨ t ∈ s}
- The intersection of r and s, is the set of all tuples that appear in both; $r \cap s = \{t \mid t \in r \land t \in s\}$
- The **difference** of **r** and **s**, is the set of all tuples that appear in **r** but not in **s**; $\mathbf{r} \mathbf{s} = \{t \mid t \in \mathbf{r} \land t \notin \mathbf{s}\}$
 - Commutative operations; r Op s = s Op r Note: Set difference (-) is not commutative, i.e., (r-s ≠ s - r)

Example Relation Schema: Star (name, address, gender, birthdate) Name Address Birthdate Instance r of Star: Mark Hamill 456 Oak rd. M 8/8/88 Instance s Address Gender Birthdate of Star: Harrison Ford | 789 Palm rd. | M 7/7/77 r∪s: Address Gender Birthdate Name 8/8/88 Mark Hamill 456 Oak rd. | M Harrison Ford | 789 Palm rd. | M 7/7/77

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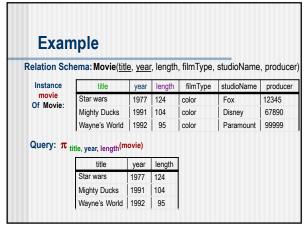
Exam	ole				
Relation Sche	ema: Star (na	me, address	, gend	er, birthda	ate)
Instance r	Name	Address		Gender	Birthdate
of Star:	Carrie Fisher	123 Maple	F		9/9/99
	Mark Hamill	456 Oak rd.	М		8/8/88
Instance S	Name	Address		Gender	Birthdate
of Star:	Carrie Fisher	123 Maple	F		9/9/99
	Harrison Ford	789 Palm rd.	М		7/7/77
s – r:	Name	Address		Gender	Birthdate
	Harrison Ford	780 Palm rd	М	-	7/7/77

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Projection (π)

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- Let R be a relation schema.
- The projection operation (π) is used to produce, from any instance r of R, a new relation that includes listed "columns" of R
- The output of $\pi_{A1, A2,...,Aj}(r)$ is a relation with columns $A_1, A_2,...,A_j$, in this order.
- Note: The subscript of π is a list, which defines the structure of the output as the ordered tuple (A₁, A₂,..., A_i).



Example Relation Schema: Movie(title, year, length, filmType, studioName, producer year length filmType studioName Instance Fox Of Movie: Mighty Ducks 1991 104 color Disney 67890 Wayne's World | 1992 | 95 color Paramount 99999 Query: $\pi_{filmType}$ (movie) Result:

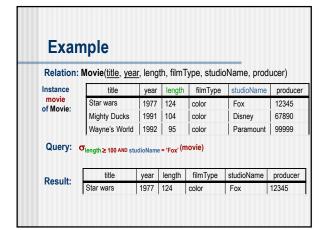
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Selection (σ)

- The selection operator (σ), applied to an instance r of relation R, returns a subset of r
- We denote this operation/query by $\sigma_c(r)$
- The output includes tuples satisfying condition C
- The schema of the output is the same as R

Example Relation Schema: Movie(title, year, length, filmType, studioName, producer) filmType studioName title year length of Movie: color Mighty Ducks 1991 104 color Disney 67890 Wayne's World | 1992 | 95 color Paramount 99999 Query: $\sigma_{length ≥ 100}$ (movie) year length filmType studioName producer Result: color Fox 1991 104 Mighty Ducks color Disney 67890

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Cartesian Product (x)

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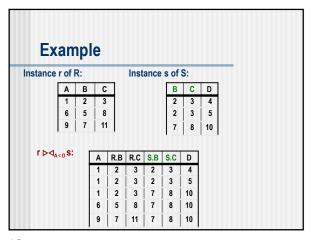
- Let R and S be relation schemas, and r and s be any instances of R and S, respectively.
- The Cartesian Product of r and s is the set of all tuples obtained by "concatenating" the tuples in r and s. Formally, r × s = {t₁.t₂ | t₁∈r ∧ t₂∈s }
- The schema of result is the "union" of R and S
 - If R and S have some attributes in common, we need to invent new names for identical names, e.g., use R.B and S.B, if B appears in both R and S

Example Instance r of R: Instance s of S: A B ВС D 10 r×s: R.B C D 2 4 7 2 10 11 1 9 3 4 2 5 6 4 7 3 4 8 3 4 9 10 11

Theta-join (θ)

- Suppose **R** and **S** are relation schemas, **r** is an instance of **R**, and **s** is an instance of **S**. The **theta-join** of **r** and **s** is the set of all tuples obtained from concatenating all $t_1 \in \mathbf{r}$ and $t_2 \in \mathbf{s}$, such that t_1 and t_2 satisfy some condition **C**
- We denote θ -join by $r \triangleright \triangleleft_c s$
- The schema of the result is the same as the schema of R x S (i.e., the union of R and S)
- lacktriangleright c is a Boolean expression, simple or complex, as in operation lacktriangleright

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Equi-join

- The **equi-join** operator, is a special case of θ -join, in which we may only use the equality relation (=) in condition C
- It is denoted as $r \triangleright \triangleleft_c s$ (i.e., the same as θ -join)
- The schema of the output is the same as that of $\boldsymbol{\theta}$ -join

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Example Instance s of S: Instance r of R: 4 $r \triangleright \triangleleft_{R.C=S.C} s:$ A R.B R.C S.B S.C D 2 2 7 2 3 5 8

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Natural Join (⊳⊲)

- Natural join, is a special case of equi-join, where the equalities are not explicitly specified, rather they are assumed implicitly on the common attributes of R and S
- We denote this natural join operation by $\mathbf{r} \triangleright \triangleleft \mathbf{s}$
- The schema of the output is similar to that of equi-join, except that each common attribute appears only once.

Note: If R and S do not have any common attribute, then the join operation becomes Cartesian product.

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Example Instance r of R: Instance s of S: A B C r⊳⊲s:

Expressing Queries in RA

Every standard RA operation has relation(s) as argument(s) and produces a relation (set) as the output

(Exception is the sort operator τ)

- This property of RA operations (that inputs and outputs are relations) makes it possible to formulate/express any query by composing/nesting/grouping subqueries.
- We can use parentheses for grouping, in order to improve clarity and readability

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Example: RA Query

Relation schema:

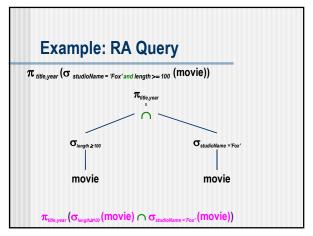
Movie (title, year, length, filmType, studioName)

- Query: List the title and year of every movie made by Fox studio whose length is at least 100 minutes?
- One way to express this query in RA is:

 $\pi_{title,year}(\sigma_{studioName = 'Fox' and length>= 100} \text{ (movie)})$

- Another way:
 - Select those **movie** tuples that have *length* ≥100
 - Select those **movie** tuples that have *studioName* = 'Fox'
 - Find the intersection of the above two results
 - Then project on the attributes title and year

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Example: RA Query

Relation schema:

Movie (<u>title</u>, <u>year</u>, <u>length</u>, filmType, studioName)
StarsIn (<u>title</u>, <u>year</u>, <u>starName</u>)

- Query: List the names of the stars of movies of length ≥ 100 minutes long.
- One expression in RA for this query:
 - Select movie tuples of *length* ≥ 100
 - Join the result with relation StarsIn
 - Project on the attribute *starName*
- Exp1: π starName (σ_{length ≥100} (movie) ▷⊲ starsIn)
- Another solution: π starName (σ_{kength ≥ 100} (movie ⊳⊲ starsIn))

Renaming Operator (ρ)

- To control manipulating the names of the attributes in formulating queries in relational algebra, we may need renaming of relations. May do this for convenience too
- The Renaming Operator is denoted by ρ_{s(A1,A2,...,An)}(r)
- The result is a copy of the input relation instance r, but renamed to s and its attributes to A1,..., An, in that order.
- Use ρ_s(r) to give relation r a new name s (with the same attributes in r)

That is, in this case, schema of $\bf s$ is the same as that of $\bf r$.

Example

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- Query: π starName (σ_{length ≥ 100} (movie) ⊳⊲ starsIn)
- This query can be rewritten in 2 steps as follows:
 - PM(title, year, length, filmType, studioName) (σ_{length≥100} (movie))

 or even simpler as: ρ_M (σ_{length≥100} (movie)) if used in the same formula
 - 2. Or use M := $\sigma_{length \ge 100}$ (movie) as a separate formula and then formulate the query as: $\pi_{starName}$ (M ▷⊲ starsIn)
- Consider takes(sid, cid, grade)
- Query: Find ID of every student who has taken at least 2 courses
- \blacksquare π takes.sid (σ _{(takes.sid} = T.sid) and (takes.cid \neq T.cid) (takes ρ _T (takes)))

Dependent and Independent Operations

- Some RA operations can be expressed based on other operations. Examples include:
 - $r \cap s = r (r s)$
 - $r \triangleright \triangleleft_{C} s = \sigma_{C} (r \times s)$
 - r ⊳⊲ s = π_L(σ_{r.A1 = s.A1 AND... AND r.An = s.An} (r × s)), where L is the list of attributes in R followed by those attributes in S that are not in R, and A1,..., An are the common attributes of R and S

Relational Algebra with Bag Semantics

- Relations stored in DB are called base relations/tables.
- Base relations are normally sets; no duplicates.
- In some situations, e.g., during query processing, it is allowed for relations to have duplicate tuples.
- If duplicates are allowed in a collection, it is called *bag/multiset*.

r of R:

Α	В	С
1	2	3
6	5	8
6	5	8
1	2	3
9	7	11

Here, r is a bag

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Why Bags?

- 1. Faster projection operations
 - Bag projection is faster, since otherwise returning distinct values is expensive (as we need sorting for duplicate elimination.
 Another example: Computing the bag union (r U^Bs) is much cheaper than computing the standard set union r Us.
 Formally, if r and s have n and m tuples, then the bag and set union operations will cost O(n+m) and O(n*m), respectively.
- 2. Correct computation with some aggregation
 - For example, to compute the average of values for attribute A in the previous relation, we must consider the bag of those values

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Set Operations on Bags

r ∪^B s, the bag union of r and s, is the bag of tuples that are in r, in s, or in both. If a tuple t appears n times in r, and m times in s, then t appears n+m times in bag r ∪^B s

 $\mathbf{r} \cup^{\mathsf{B}} \mathbf{s} = \{ t: k \mid t: n \in \mathbf{r} \wedge t: m \in \mathbf{s} \wedge k = n+m \}$

• r ∩⁸ s, the bag intersection of r and s, is the bag of tuples that appear in both r and s. If a tuple t appears n times in r, and m times in s, then the number of occurrences of t in bag r ∩⁸ s is min(n,m)

 $r \cap^B s = \{ t:k \mid t:n \in r \land t:m \in s \land k = min(n,m) \}$

■ r — s, the bag difference of r and s is defined as follows:

 $\mathbf{r} - \mathbf{B} \mathbf{s} = \{ t: k \mid t: n \in \mathbf{r} \wedge t: m \in \mathbf{s} \wedge k = max(0, n-m) \}$

 $s - r = \{ t:k \mid t:n \in r \land t:m \in s \land k = max(0, m-n) \}$

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Example

_		
Bag r:	Α	В
	1	2

Bag s: A B
1 2
3 4
3 4

5 6

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Example

Bag r: A B
1 2
3 4
1 2

Bag s: A B 1 2 3 4 3 4 5 6

S: A B
1 2
3 4

Example

Bag r: A B 1 2 3 4 1 2

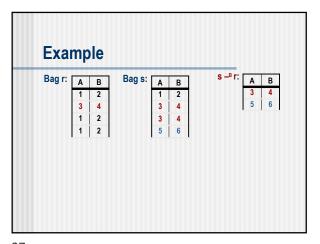
Bag s: A B 1 2 3 4



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Bag Projection π^{B}

 Let R be a relation scheme, and r be a collection of tuples over R, which could have duplicates.
 The bag projection operator is used to produce, from r, a bag of tuples over some of R.

Even when r does not have duplicates, we may get duplicates when projecting on some attributes of R.
 That is, π^a does not eliminate the duplicates and hence corresponds exactly to the SELECT clause in SQL.

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Example Relation Schema: movie(title, year, length, filmType, studioName, producer) title year length studioName producer Star wars 1977 124 Fox 12345 color Mighty Ducks 1991 | 104 67890 color Disney Wayne's World | 1992 | 95 Paramount 99999 color $\pi^{\text{B}}_{\text{filmType}}$ (movie): color color

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Selection on Bags

- The selection operator σ_c applied to an instance r of relation R, will return a subset of r
 - The tuples in the output relation are those that satisfy condition **C**, which involves attributes of **R**
 - Duplicates are **not** eliminated from the result of a bag-selection

Note: The selection operation σ in RA is different from the <code>SELECT</code> clause in SQL

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Cartesian Product of Bags

- The Cartesian Product of bags r and s is the bag of tuples that can be formed by concatenating pairs of tuples, the first of which comes from r and the second from s. In symbols, r × s = {t₁.t₂ | t₁∈r Λ t₂∈s }
 - Each tuple of one relation is paired with each tuple of the other, regardless of whether it is a duplicate or not
 - If a tuple t₁ appears m times in a relation r, and a tuple t₂ appears n times in relation s, then tuple t₁.t₂ appears m*n times in their bag-product, r x s

Example

Bag r:

A B
1 2
1 2
1 2

R R B S B C
2 3
4 5
4 5

1 2 2 3
1 2 2 3
1 2 4 5
1 2 4 5
1 2 4 5
1 2 4 5
1 2 4 5
1 2 4 5
1 2 4 5
1 2 4 5

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Join of Bags

- The bag join is computed in the same way as the standard join operation
- Duplicates are not eliminated in a bag join operation

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Constraints on Relations

- RA offers a convenient way to express a wide variety of constraints, e.g., referential integrity and FD's.
- There are two ways to express constraints in RA
 - **1.** If **r** is an expression in RA, then the constraint $\mathbf{r} = \emptyset$ says: "**r** has no tuples, i.e., or **r** is emoty"
 - If r and s are RA expressions, then the constraint r ⊆ s says: "every tuple in (the result of) r is in (the result of) s"

These constraints hold also when **r** and **s** are bags.

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Constraints on Relations

- Note that these two types of constraints are not independent. Why?
 - The constraint $\mathbf{r} \subseteq \mathbf{s}$ could also be written as $\mathbf{r} \mathbf{s} = \emptyset$

This follows from the definition of "–", because $r \subseteq s$ iff $r-s=\varnothing$, meaning that there is no tuple in r which is not in s

Referential Integrity Constraints

- Referential integrity in relational data model means:
 - if there is a value v in a tuple t in a relation r, then it is expected that v appears in a particular component (attribute) of some tuple s in relation s
 - E.g., if tuple (s,c,g) is in table **takes**(sid,cid,grade), then there must be a **student** with sid = s and a **course** with cid = c such that s has taken c

IOW, the mentions of values S and C in takes "refers" to some values outside this relation, and these values must exist

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Example

- Relation schemas: Movie (title, year, length, filmType) StarsIn (title, year, starName)
- Constraint:

the title and year of every movie that appears in relation starsIn must appear also in movie; otherwise there is a violation in referencing in starsIn

- Query in RA:
 - $\pi_{\text{title, year}}$ (starsIn) $\subseteq \pi_{\text{title, year}}$ (movie) or equivalently
 - $\pi_{\text{title, year}}$ (starsIn) $\pi_{\text{title, year}}$ (movie) = \emptyset

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Functional Dependencies

- **Any** functional dependency $X \rightarrow Y$ can be expressed as an expression in RA
- Example: Consider the relation schema: Star (name, address, gender, birthdate)

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How to express the FD: $name \rightarrow address$ in RA?

Functional Dependencies

- Relation schema: Star (name, address, birthdate)
- With the FD: name → address
- The idea is that if we construct all pairs of star tuples, we must not find a pair that agree on name but disagree on address
- To "construct" the pairs in RA, we use Cartesian product, and to find pairs that violate this FD, we use selection
- We are then ready to express this FD by equating the result to ϕ , as

Ex	ample						
Star:		Name Address		Birtho	date		
		arrie Fisher	123 Maple 456 Oak rd.		9/9/99		
		ark Hamill			8/8/88		
		arrison Ford	789 Palm rd.		7/7/77		
₽S1(nan	ne, address, birth	_{date)} (star)			$ ho_{ extsf{S2(name)}}$, address,birthdat	
Name	Address	Birthdat	te N		lame	Address	Birthdate
Carrie Fisher	123 Maple	9/9/99	Carrie		Fisher	123 Maple	9/9/99
Mark Hamill	456 Oak rd.	8/8/88	Mark		Hamill	456 Oak rd.	8/8/88
Harrison Ford	789 Palm rd.	7/7/77 Harri		on Ford	789 Palm rd.	7/7/77	

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Example							
s1 ×	s2:						
S1.Name	S1.Address	S1.Birthdate	S2.Name	S2.Address	S2.Birthda		
Carrie Fisher	123 Maple	9/9/99	Carrie Fisher	123 Maple	9/9/99		
Carrie Fisher	123 Maple	9/9/99	Mark Hamill	456 Oak rd.	8/8/88		
Carrie Fisher	123 Maple	9/9/99	Harrison Ford	789 Palm rd.	7/7/77		
Mark Hamill	456 Oak rd.	8/8/88	Carrie Fisher	123 Maple	9/9/99		
Mark Hamill	456 Oak rd.	8/8/88	Mark Hamill	456 Oak rd.	8/8/88		
Mark Hamill	456 Oak rd.	8/8/88	Harrison Ford	789 Palm rd.	7/7/77		
Harrison Ford	789 Palm rd.	7/7/77	Carrie Fisher	123 Maple	9/9/99		
Harrison Ford	789 Palm rd.	7/7/77	Mark Hamill	456 Oak rd.	8/8/88		
Harrison Ford	789 Palm rd.	7/7/77	Harrison Ford	789 Palm rd.	7/7/77		

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Functional Dependencies

- Relation schema:
 - Star (name, address, birthdate)
- With the FD: name → address
- In RA:

 $\sigma_{\text{S1.name=S2.name AND S1.address} \neq \text{S2.address}}(\rho_{\text{S1}}(\text{star}) \times \rho_{\text{S2}}(\text{star})) = \varnothing$

Domain Constraints

- Relation schema:
 - Star (name, address, gender, birthdate)
- How to express the following constraint? Valid values for gender are 'F' and 'M'
- In RA:
 - σ_{gender ≠ 'F' AND gender ≠ 'M'} (star) = Ø
 - This is an example of domain constraints

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"For All" Queries (1)

- Given the database schema:
 - Student(Sid, Sname, Addr) Course(Cid, Cname, Credits) Enrolled (Sid, Cid)
- Consider the query:

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- "Find students enrolled in all the courses."
- A first attempt (below) fails!
 - π_{sid} (Enrolled)
- This RA query returns students enrolled in some courses.
- So, how to correctly express "For All" types of queries?

"For All" Queries (3)

- Set of all students that we should consider:
 - $\begin{array}{l} \text{All Courses} \leftarrow \pi_{\textit{Cid}}\left(\text{Course}\right) \\ \text{All Students} \leftarrow \pi_{\textit{Sid}}\left(\text{Student}\right) \end{array}$
- Steps to find students not enrolled in all courses
 - Create all possible "student-course" pairs:
 All: SC-Pairs ← π_{Sid} (Student) × π_{Cid} (Course)
 - 2. Consider all "actual" student-course pairs (take from Enrolled)
- Answer: All Bad

Domain Constraints

- Relation schema:
- Employee (eid, name, address, salary)
- How to express the constraint:
 - Maximum employee salaries is \$150,000
- In RA:

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■ $\sigma_{\text{salary} > 150000}$ (employee) = \varnothing

"For All" Queries (2)

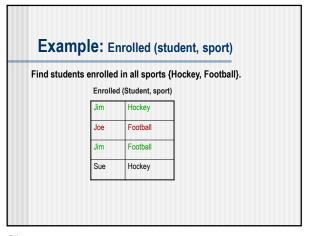
- A solution strategy would be to:
 - First find the list of "all" students (all guys), from which we then subtract those who have not taken at least a course (bad guys)
- Then "good guys", would be "all guys" from which we remove the "bad guys", i.e.,

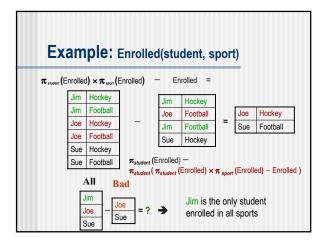
Answer (Good guys) = All guys - Bad guys

The Division Operation (÷)

- The previous query can be conveniently and expressed in RA using the division operator ÷
 - Divide Enrolled by $\pi_{\textit{Cid}}$ (Course)
 - that is, Enrolled $\div \pi_{Cid}$ (Course)
- Schema of the result is {Sid, Cid} {Cid}
- R ÷ S requires that the attributes of S to be a subset of attributes of R.
 - The schema of the output would be R S

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Another Example

- $r \div s = \pi_{R-s}(r) \pi_{R-s}(\pi_{R-s}(r) \times s r)$
- Given the DB schema:
 - Customer(cid, name)
 - Branch(bid, district)Account(cid, bid)
- Query: "Find the names of all customers who have an account in every branch located in the Westmount area"
- Solution?
 - π_{name} (Customer ▷< Account ▷< (σ_{district = "Westmount"} (Branch)))?
- No, this returns the names of all customers who have an account at some branch in Westmount, but not necessarily at every such branch.

Database:

Customer(cid, name), Branch(bid, district), Account(cid, bid)

- We can apply the division operator ÷
 - Find all customer-branch pairs (cid, bid) for which customer (cid) has an account at branch (bid):

 **\tau_{cid.bid}(customer \bullet \rightarrow \account)
 - Divide the above by all bid's of branches in Westmount $\pi_{bid}(\sigma_{\textit{district}} = westmount^*(branch))$
- π_{name} ((customer $\triangleright \triangleleft$ account)÷ π_{bid} ($\sigma_{district = "Westmount"}$ (branch)))
- Thus, the division operation r ÷ s is defined as:

$$r \div s = \pi_{R-s}(r) - \pi_{R-s}(\pi_{R-s}(r) \times s - r)$$

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