

ALBERT-LUDWIGS-UNIVERSITÄT FREIBURG

MASTER'S THESIS

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# Sequential Cognition Processes: A Framework For Reasoning with Non-Monotonic Logics

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*A thesis submitted in fulfillment of the requirements  
for the degree of Master of Computer Science  
in the*

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Department of Computer Science

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## Declaration of Authorship

I, Axel IND, declare that this thesis titled, “Sequential Cognition Processes: A Framework For Reasoning with Non-Monotonic Logics” and the work presented in it are my own. I confirm that:

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- Where I have consulted the published work of others, this is always clearly attributed.
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- I have acknowledged all main sources of help.
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*“Everything should be made as simple as possible, but no simpler.”*

Albert Einstein



ALBERT-LUDWIGS-UNIVERSITÄT FREIBURG

## *Abstract*

Faculty of Engineering  
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Master of Computer Science

### **Sequential Cognition Processes: A Framework For Reasoning with Non-Monotonic Logics**

by Axel IND

Approaches to cognitive modelling with non-monotonic logics have thus far been largely *ad hoc* and poorly standardised, making inter-model comparisons difficult. As an attempt to systematically represent non-monotonic logics in a framework that standardises cognitive modelling under these logics without sacrificing their expressiveness, we introduce the Sequential Cognition Process (SCP). Under the assumption that human reasoning can be represented as a sequence of distinct cognitive operations on an initial knowledge base SCPs provide a consistent framework for generating and evaluating models of human cognition. Using an adapted interpretation of the Weak Completion Semantics (WCS), SCPs are able to accurately model several classical experiments in cognitive modelling. We use the SCP framework to model both general case reasoners – which arrive at the most frequently observed conclusions – and poorly-studied individual case reasoners – which do not. We illustrate the use of SCPs using the Suppression Task.





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# List of Abbreviations

<b>SCP</b>	<b>S</b> equential <b>C</b> ognitive <b>P</b> rocesses
<b>WCS</b>	<b>W</b> eak <b>C</b> ompletion <b>S</b> emantics
<b>WST</b>	<b>W</b> ason <b>S</b> election <b>T</b> ask



@TODO include chapters as shown below



## Chapter 1

# Introduction

### 1.1 Overview

The human mind is complex. So complex that thousands of approaches from dozens of fields have failed to capture its complexity. The sheer size of the brain – containing over 5000 times as many neurons as the largest practical neural networks (Mocanu et al., 2018) – and our limited understanding of the fundamental learning processes it employs mean that researchers can neither completely describe nor predict human actions nor model human thought processes. Instead, much of the current state of the art in cognitive modelling relies on one of two general approaches: creating systems that structurally approximate the human brain, and creating systems which approximate a more abstract intuition of cognition. The first type of system encompasses many algorithms related to machine learning and deep learning; the second type, with which this paper is concerned, has existed in some form for far longer than humans have been thinking creatures (Smirnova et al., 2015). Every shark stalking its prey, every tiny proto-mammal hiding from a hungry dinosaur, and every man driving to work in the morning, has applied this type of reasoning when trying to make predictions about the actions of other agents in their world. By applying case-specific reasoning to a known world state we are able to make imperfect, but quick, predictions about the mental state of other agents in our world.

Due in part to the difficulty of cloning dinosaurs to hunt participants in cognitive research experiments, and partly due to concerns about the ease with which they could answer questionnaires on the experience later, most cognitive tasks used by researchers tend to be more dull than the examples above.

Non-monotonic logics have proven able to adequately model a large number of standard cognitive reasoning tasks such as The Wason Selection Task (Wason, 1968) and Suppression Task (Byrne, 1989). These approaches, though effective and well-founded in isolation, are often unable to integrate or be compared to data models of other tasks, even when they rely on the same underlying logic. Although the non-monotonic logics themselves are generally carefully described, procedures ranging from best practise in deciding appropriate knowledge bases to the mechanisms by which abnormalities should be created tend to be re-imagined on a case-by-case basis.

Further, cognitive frameworks using non-monotonic logics are almost always designed to describe the most common (general) conclusion drawn by participants in the experiment. Modelling other individual reasoners or classes of reasoners who differ from the norm is often a non-trivial process. It has been shown that the Weak Completion Semantics (Hölldobler, 2015) is able model the four most general cases of the Wason Selection Task under the assumption that reasoners who differ from the general case (*deviant reasoners*) follow a sequence of mental processes that is still highly similar to that of the general reasoner (Breu et al., 2019).

This paper introduces the Sequential Cognition Process (SCP) which generalises the assumption of sequential cognitive operations, each of which uses a collection of epistemic states as input and produces a collection of epistemic states as output. Using SCPs and a set of well-founded cognitive operations it is possible to apply traditional search techniques to problems in cognitive modelling with non-monotonic logics that have previously required expert-made models. The SCPs introduce a number of desirable properties: they introduce a partially standardised (though extensible) set of allowable cognitive operations, they standardise the structure of what constitutes an epistemic state, they are easily modified to accommodate deviant reasoners when a well-founded general model already exists, and their sequential structure makes them well-suited to scoring algorithms that allow intra- and inter-experimental modelling and comparisons.

## **1.2 A Review of Terminology**

## **1.3 Current State of the Art**

## **1.4 Contributions of the SCP Framework**

## **1.5 Thesis Layout**



## Chapter 2

# Mathematical Preliminaries

### 2.0.1 Propositional Logic

Propositional (or classical) logic is the form of logic most traditionally associated with problem solving in mathematics and computer science. In propositional logic features of a hypothetical world are encoded by a set of *variables*. every variable must be mapped to either  $\top$  (usually denoting truth, in some subject-specific sense) or  $\perp$  (denoting falseness). A *clause*  $\phi$  consists of either a single atomic variable or an operator and some set of sub-clauses. Every clause has a truth value determined by the values of the sub-clauses it contains and the relevant operator which handles their interaction. Table 2.1 illustrates the classical interpretations of the allowable operators. A knowledge base  $KB$  consists of a set of clauses. In this paper we adopt the assumption all clauses (also called rules)  $\in KB$  are of the form  $head \leftarrow body$  and all heads are atomic variables.

## 2.1 Logic Programming

## 2.2 Non-Monotonic Logics

In the field of non-monotonic logics, reasoning is represented as a collection of de-feasible inferences. Unlike in classical logic, conclusions need not hold in perpetuity, or even in the same model and revision is always possible. Monotonic logics are not capable of describing human reasoning in experiments like the Suppression Task (Dietz, Holldobler, and Ragni, 2012) because they lack this revisionist characteristic.

A large number of non-monotonic frameworks exist in the literature (McDermott and Doyle, 1980), each applicable to a different subset of cognitive problem space, and each modelling their problem space with various degrees of success. In the simplest formulation, a non-monotonic logic is simply an extension to a classical logic which introduces a preference relation  $\rightarrow_p$ . This preference relation states that, given some number of derivable facts in a knowledge base, the fact derived using

$\wedge$	$\top$	$\perp$	$\vee$	$\top$	$\perp$	$\neg$	
$\top$	$\top$	$\perp$	$\top$	$\top$	$\top$	$\top$	$\perp$
$\perp$	$\perp$	$\perp$	$\perp$	$\top$	$\perp$	$\perp$	$\top$
	$\rightarrow$	$\top$	$\rightarrow$	$\top$	$\perp$		
	$\top$	$\top$	$\top$	$\top$	$\perp$		
	$\perp$	$\top$	$\perp$	$\perp$	$\perp$		$\top$

TABLE 2.1: Truth tables for standard operators in propositional logic.

$\rightarrow$	$\top$	$u$	$\perp$
$\top$	$\top$	$u$	$\perp$
$u$	$\top$	$\top$	$u$
$\perp$	$\top$	$\top$	$\top$

TABLE 2.2: A table showing the implication operator in 3-valued Łukasiewicz logic.

the most preferential rule is to be derived first and cannot be overwritten by a less preferable assignment.

### 2.3 The Weak Completion Semantics

The Weak Completion Semantics is a non-monotonic logic which procedurally encodes several well-known cognitive phenomena. The WCS makes use of 3-valued Łukasiewicz logic (Table 2.2). It adds abnormalities to non-ground inferences, and replaces the classical inference ( $\leftarrow$ ), with a bijective ( $\leftrightarrow$ ).

The Weak Completion of a program  $P$  is defined as follows:

1. Replace all clauses of the form  $A \leftarrow body_1, \dots, A \leftarrow body_n$  with  $A \leftarrow body_1 \vee \dots \vee body_n$ .
2. Replace all occurrences of  $\leftarrow$  with  $\leftrightarrow$ .

Applying this procedure to  $P$  results in  $wcP$  which is the weak completion of  $P$ .

The next requirement to apply the WCS framework is the introduction of a semantic operator  $\phi_{SvL}$  (Stenning, Van Lambalgen, et al., 2008). Let  $J$  be the result of applying the semantics operator to an interpretation  $I$  and logic program  $P$ . Then  $J$  is defined as follows:

$$J^\top = \{A \mid \text{there exists a clause } A \leftarrow Body \in P \\ \text{with } I(Body) = \top\}$$

$$J^\perp = \{A \mid \text{there exists a clause } A \leftarrow Body \in P \\ \text{and for all clauses } A \leftarrow Body \in P \\ \text{we find } I(Body) = \perp\}$$

Using  $I = \langle \emptyset, \emptyset \rangle$ , the least model of  $P$  ( $\text{lm}_L wcP$ ) can be calculated by iterating  $\phi_{SvL, P}$ .

### 2.4 Reiter's Default Logic

## Chapter 3

# Experiments

### 3.1 Suppression Task

#### 3.1.1 Intuitive Overview

The Suppression Task refers to an experiment conducted by Byrne, 1989 and is a classical example of the inadequacy of monotonic logics for modelling human reasoning. In classical logic, if our knowledge base  $kb$  is such that  $kb \models \phi$ , then it must be the case that  $kb \cup \psi \models \phi$ . However, in the suppression task participants no longer draw classically valid inferences when new information is added. The task is often formulated as follows:

- $e \rightarrow l$ : If she has an essay to write ( $e$ ), she will study late in the library ( $l$ ).
- $\top \rightarrow e$ : She has an essay to write ( $e$ ).
- $o \rightarrow l$ : If the library is open ( $o$ ), she will study late in the library ( $l$ ).

Given only the rules ( $e \rightarrow l$ ) and ( $\top \rightarrow e$ ), the participants consistently concluded that she would study late in the library, seemingly drawing the classical logic inference  $\frac{e \rightarrow l, e}{l}$  with *modus ponens*. But when given the additional rule  $o \rightarrow l$ , participants no longer believe that they have enough information to judge whether she will study late in the library, and a significant portion of them no longer draw the classical conclusion. This effect, called Suppression, demonstrates the need for something more than classical logic for modelling human reasoning.

#### 3.1.2 Mathematical Modelling the Suppression Task

Work by Dietz, Hölldobler, and Wernhard, 2014 has shown that the WCS is an adequate non-monotonic logic for modelling the Suppression Task. Under the WCS and Łukasiewicz 3-valued logic the task is usually modelled as follows for the case without suppression:

1. Initial logic program:  $P = \{e \rightarrow l, \top \rightarrow e\}$ . This program represents the task without information about what happens if the library is open.
2. Addition of Abnormality:  $P = \{e \wedge \neg ab_1 \rightarrow l, \top \rightarrow e, \perp \rightarrow ab_1\}$ . The program now reflects the possibility that some abnormal event may prevent her from going to the library, but because we have no information about the nature of this event, it is set to false by default.
3. Weak Completion:  $wc(P) = \{e \wedge \neg ab_1 \leftrightarrow l, \top \leftrightarrow e, \perp \leftrightarrow ab_1\}$ . Weak completion is applied to the logic program and, in this case, only implications need to be changed to bijections.

#### 4. Semantic Operator:

- Execution 1:  $\top = \{e\}, \perp = \{ab_1\}$
- Execution 2:  $\top = \{e, l\}, \perp = \{ab_1\}$

After application of the semantic operator  $l$  is true in the least model, and so participants conclude that she will study late in the library (as when  $P$  is evaluated classically). However, in the case where Suppression is observed, the same process yields a different result because of the presence of the extra conditional ( $o \rightarrow l$ ).

1. Initial logic program:  $P = \{e \rightarrow l, \top \rightarrow e, o \rightarrow l\}$ . The initial program now includes information about the extra (suppressing) conditional.
2. Addition of Abnormality:  $P = \{e \wedge \neg ab_1 \rightarrow l, o \wedge \neg ab_2 \rightarrow l, \top \rightarrow e, \neg o \rightarrow ab_1, \neg e \rightarrow ab_2\}$ . An abnormality  $ab_i$  is an addition to an inference which captures the idea that external factors may invalidate the conclusion of the original inference. Adding abnormalities is a poorly described process and often relies on intuitionist views of what actually constitutes an abnormal situation. In this case we adopt the practise of identifying abnormalities as described in Appendix ??.
3. Weak Completion:  $wc(P) = \{((e \wedge \neg ab_1) \vee (o \wedge \neg ab_2)) \leftrightarrow l, \top \leftrightarrow e, \neg o \leftrightarrow ab_1, \neg e \leftrightarrow ab_2\}$ . Weak completion is applied to the logic program, combining rules with shared heads and replacing implications with bijections.
4. Semantic Operator:
  - Execution 1:  $\top = \{e\}, \perp = \{ab_2\}$

Now suppression has been displayed in the logic program and the variable  $l$  remains unknown in the least model.

## 3.2 Wason Selection Task

## 3.3 More

## Chapter 4

# Sequential Cognition Processes

### 4.1 SCPs: An Intuitionist Description

Although there is evidence that the brain can perform several simultaneous operations when considering a task (such as when considering an image (Sigman and Dehaene, 2008)), the SCP framework assumes that at some points in reasoning about a given task, the mental processes of the agent converge to a set of epistemic states, called a *state point*. Whatever happens between these points of convergence can contain any number of parallel processes. The collection of processes that occur between any two state points in a reasoning task is called a *cognitive operation*. It follows that any cognitive operation is valid as long it takes a set of epistemic states as input and produces a set of epistemic states as output. Figure 4.1a describes an SCP that is powerful enough to model any cognitive task that involves an epistemic state transition. However, it does not provide any useful information; the nature of the processes followed is completely undescribed. Suppose, instead, that some cognitive task is being modelled, and that researchers have reason to believe that The Weak Completion Semantics should play a part in their model. Under this new restriction, and assuming a sufficiently expressive epistemic state, Figure 4.1a is still an accurate model of the process, but now so is Figure 4.1. By sacrificing some of the ambiguity – and, thus, expressiveness – of the model, the information content of the model description has increased. This trade-off is a feature of the SCP framework and finding the right depth of complexity to model the task accurately and still provide meaningful information is more art than science at present.

### 4.2 SCPs: Mathematical Formulation

An SCP Task  $\Pi = (s_i, \gamma, M)$  consists of an initial epistemic state  $s_i$ , known goal state  $\gamma$ , and a known set of cognitive operations  $M$ . An epistemic state  $s_k$  describes all the information available to the agent at state point  $k$ . The precise contents of an epistemic state should be chosen so that at least some  $m \in M$  are able to accept that state as an input. In the case of a system containing only the one complex operation which

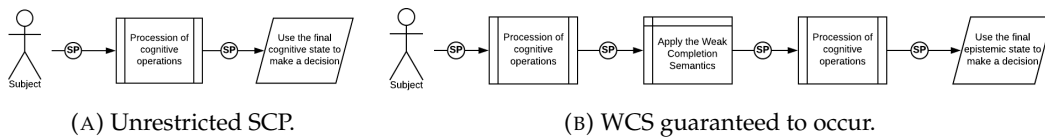


FIGURE 4.1: The most general description on an SCP with and without guaranteeing the WCS is applied at least once. An agent transitions from one epistemic state to another and then uses it to make a decision. *SP* nodes indicate state points.

applies the WCS, one possible epistemic state is  $s = (KB, V)$ , where  $KB$  describes a knowledge base consisting only of rules of the  $(head \leftarrow body)$  and  $V$  is a mapping of atom names appearing in  $KB$  to a truth value in Łukasiewicz logic  $(\top, u, \perp)$ . In principle this definition will serve for the rest of the paper, but it is extended slightly so that  $s_k = (KB, V, R)$  where  $R$  is a set of labelled categorization criteria sets (LCS). An LCS  $c \in R$  consists of a category name and list of rules and atoms which fit into that category.

A base point is a single epistemic state. A state point  $p$  is defined recursively by  $p = \{\bar{p} \oplus Q\}$  where  $\bar{p}$  is a base point, and  $Q$  is a set of state points, and  $\oplus$  represents the exclusive-or operation<sup>1</sup>. State point containment  $\in_s$  for state points  $p$  and  $q$  is defined recursively as follows:

$$p \in_s q = \begin{pmatrix} p \in q & \text{True} \\ \exists_{r \in p} p \in_s r = \text{True} & \text{True} \\ \text{Otherwise} & \text{False} \end{pmatrix}$$

It is never the case that  $p \in_s p$ .

A cognitive operation  $m = (\chi, e)$ ,  $m \in M$  consists of a precondition  $\chi$  and a process  $e$ , such that for an input state point  $p$ , every base point  $\bar{p} \in_s p$  is either accepted as input ( $\bar{p} \models m[\chi]$  under whatever definition of  $\models$  is used for the complex operation  $m$ ), or else rejected. Every base point is evaluated by the complex operation in isolation (no other base point  $\bar{q}$  can affect the output of  $m$  on base point  $\bar{p}$ ). To capture the fact that cognitive operation may utilize non-monotonic logic, applying  $m$  to an input base point  $\bar{p} \in p$  always yields a state point  $p'$  (written  $J[\bar{p}, m] = p'$ ) which describes all possible resulting epistemic states that can be generated.

Applying  $m$  to an input state point  $p$  is done by replacing every base point  $\bar{p} \in p$  with  $J[\bar{p}, m]$ . A cognitive operation is called monotonic if it always yields a base point as an output given a base point input ( $J[\bar{p}, m] = \bar{p}'$ ). It follows that the depth of a state point is directly related to the number of complex operations which have been performed in the SCP prior to its occurrence. If a base point does not meet the precondition, it is either ignored completely and not processed (*cruel application*), or passed exactly as is to the next complex operation (*lenient application*). It is worth noting that the type of cognitive states produced as output by  $m$  may not be the same as those of the input.

This paper will focus on cases where the type of cognitive state remains constant, but there is no reason in principle that a base point input  $s_k = (KB, V, R)$  for the WCS could not be returned as a base point  $s_k = (KB, V, R, D)$  where  $D$  is a set of default rules usable by cognitive operations that implement Reiter's Default Logic (Reiter, 1980). Future models of human cognition may well rely on background knowledge which draws inferences from multiple types of non-monotonic logics.

An SCP  $\pi = (s_i, m_1, \dots, m_n)$  describes an initial epistemic state (or state point if the input is uncertain) and state point  $p_k$  is defined recursively by  $p_k = J[p_{k-1}, m_k]$ . An SCP is called *credulously valid* if  $p_n \models \gamma$  for at least one epistemic state in the final state  $p_n$ . An SCP is called *sceptically valid* if  $p_n \models \gamma$  for every epistemic state in the final state. In cases where all operations are monotonic, sceptical validity is the same as credulous validity.

<sup>1</sup> $(X \oplus Y) = ((X \cup Y) - (X \cap Y))$  for sets  $X$  and  $Y$ .

### 4.3 SCPs vs SCP Tasks

#### 4.4 Epistemic States

The choice of epistemic state is dependent on the properties that are known or suspected to be true for the cognitive task as a whole. For example, a researcher working on drawing inferences using Propositional Logic can be certain that any SCP they create should be expressive enough to pass a knowledge base to a cognitive operation. Thus, it might suffice to simply define  $s_i = (KB)$  where  $KB$  is a set of propositional rules. By contrast, a researcher using the WCS requires a system capable of both communicating a set of rules to the next complex operation, and of describing the results of repeated applications of the semantic operator. It might seem intuitive to simply keep the epistemic state used for the propositional case and to use a non-complex operation function on the weakly completed program to find the least model. And, though this approach works for many examples, it makes it impossible to perform further processing in the SCP *after* applying the semantic operator. What if the conclusion drawn was meant to form part of the background knowledge of another process? In practice using  $s_k = (KB, V, R)$ , where  $V$  is a set of (variable name, value pairs) and  $R$  is a categorization variable, is able to model all aspects of the WCS, including information related to the least model.

The final property of a cognitive operation that needs to be discussed is how it is able to interact with the categorization variable  $R$ . Imagine a case drawn from Saldanha, Hölldobler, and Rocha, 2017 where the difference between creating abnormalities for obligate and factual conditionals is discussed. The intuition behind the authors' work can be summarised by saying that there are two different types of conditional statement, those that *have to be* true, and those that are *usually* true. If it rains  $r$ , I will normally take my umbrella  $u$  (in the absence of something abnormal happening, like a plague of umbrella-stealing gnomes). This is a statement that is usually true, but some things are definitely true. For example, when it rains, water has to fall out of the sky  $s$ . This is an essential property of rain, not subject to abnormalities. Thus one useful set of categorizations for researchers seeking cosmic knowledge of weather patterns may be:  $R = \{obligate : \{u \leftarrow r\}, factual : \{s \leftarrow r\}\}$ . With these labels we might then expect that the process followed by the operation  $m \in M$  which creates abnormalities would treat the two conditionals in  $KB$  differently, because of their assignments in  $R$ .  $R$  then is a way of expressing meta information to the cognitive operations, and it is completely possible that some operation  $m_k$  might change  $R$  in such a way that future operation  $m_{k+1}$  produces different output. This is a technique we will exploit in several examples in this paper.

As yet, there are no definite rules for creating an epistemic state, but Albert Einstein's famous advice from 1950 still rings true: "Everything should be made as simple as possible, but no simpler." The ideal epistemic state is one that enables every reasonable cognitive operation in  $M$  that might help model the problem, without adding superfluous functionality that might render searching the SCP space infeasible.

## 4.5 State Points

## 4.6 Cognitive Operations

The set of possible complex operations  $M$  determines many attributes of the achievable final state point  $p_n$ . If every  $m \in M$  is monotonic, then  $p_n$  will be monotonic. If some cognitive operation is computationally complex or produces a very large number of output state points, then search using that base point becomes less efficient. If some complex operation  $m'$  (such as weakly completing) is known or believed to occur in the SCP, then a restriction on the cognitive states exists such that either the initial state is of a format suitable as input for  $m'$ , or there exists another cognitive operation which is able to output a state point which contains base points of a suitable format.

More abstractly, the set of cognitive operations should be well-founded in the literature. The set of possible complex operations is infinite and an SCP only meaningfully describes human cognition when it contains cognitive operations that have been justified empirically (*modus ponens-modus tolens* asymmetry, suppression, denial of the antecedent, etc.).

## 4.7 Pre-conditions and Effects

## 4.8 The Categorization Variable

## 4.9 Search in SCP-Space

### 4.9.1 De-Novo SCP Searching

### 4.9.2 Insertion Search



## Chapter 5

# Cognitive Operations: The Cognitive Toolbox

### 5.1 Overview

As discussed in Section 4.1, and illustrated in Figure @TODOref the simplest imaginable description of sequential human cognition consists of a single step transforming the initial task information (along with background information) into an epistemic state that can be externally interpreted to choose a response. Assuming that this single operation  $A$  transforms the initial knowledge base  $x$  into final state  $x'$  which is interpreted by external function  $f()$ , we can use the shorthand  $f(x \mapsto A)$ , where  $\mapsto$  is a function that takes state point  $x$  as input and produces a new state point  $y$  as output, to summarise this process.

To understand why this is poor choice of SCP, consider a general limitation of the SCP framework: we can only change the output of an SCP by changing the initial epistemic state, or by inserting/deleting a cognitive operation at an existing state point. Consider a case where the initial epistemic state is immutable. Thus, for  $f(x \mapsto A)$ , the only possible changes are:  $f(x \mapsto \bar{B} \mapsto A \mapsto \bar{B})$ ,  $f(x \mapsto A \mapsto \bar{B})$ ,  $f(x \mapsto \bar{B} \mapsto A)$ ,  $f(x \mapsto \bar{B})$ , and  $f(x)$ . Where  $\bar{B}$  denotes the action of drawing some sequence of random, but valid cognitive state transitions. There is no way to change the operations that occur in  $A$ . Thus, even though Figure @TODOref may be an appropriate model for the general reasoner of a given task, it imposes the explicit assumption that all other test subjects who achieved differing results, achieved only by completely ignoring the black-box process the general reasoners used, or else by inserting new operations only at the very start or end of the processing task. We will see an example in Section @TODOref of cases where assumptions like that are simply not reflected by empirical data.

Instead of using a single black-box operation to describe all cognition, it follows logically to use multiple smaller black box operations, each connected by a state point. Using this interpretation, cognitive operations for the general reasoner should be as extensive as possible, provided that they do not force implausible changes to describe deviant users or when used in other cognitive tasks. Figure @TODOref captures this intuition as it applies to the Weak Completion Semantics. Indeed, as a non-monotonic logic that is already explicitly divided into discrete steps in the literature @TODOref, obvious candidate cognitive operations present themselves.

It is worth noting that this increased ability to model deviant reasoners comes at the cost of forcing more state points into the SCP being used.

The remainder of this section is devoted to expressing the exact properties, limitations, and concrete descriptions of cognitive operations.

@TODOlayout

## 5.2 Cognitive Operation Space

In principle, the number of cognitive operations available for use in an SCP is unlimited. We denote the space of all cognitive operations  $\Omega$ , and the space of all sequences  $(A_0 \mapsto \dots \mapsto A_n)$  with  $\Omega^*$ . There cannot and never will be an exhaustive search procedure to evaluate every possible way of manipulating an epistemic state (Proofs 1 and 2 illustrate the existence of valid SCPs for infinitely many different cognitive operations and valid SCPs of any length, respectively). However, there are ways of limiting the number of allowable cognitive operations. Lemma 1 defines those cases in which there is redundancy in the set of all possible cognitive operations, Lemma 2 focuses this concept on specific cognitive tasks which are to be modelled using SCPs.

**Proof 1** *There are an infinite number of possible, valid SCPs, that can meet any goal condition  $\gamma$  from input  $x$  provided that at least one SCP exists that can reach goal condition  $\gamma$  from input  $x$ .*

1. *There exist infinitely many cognitive operations  $T \in \Omega$  which add a new variable from the set of all possible variable names  $p \in P$ .*
2. *There exist infinitely many cognitive operations  $V \in \Omega$  which remove a variable from the set of all possible variable names  $p \in P$ .*
3. *It follows that there exist infinitely many pairs  $(T_i \in T, P_i \in P) \in W$  where  $T_i$  adds a variable  $p \in P$  to the resulting state point, and  $V_i$  removes that variable from the resulting statepoint.*
4. *Then  $f(x \mapsto A) = f(x \mapsto T_i \mapsto V_i)$*
5. *Thus it follows that if  $f(x \mapsto A) \models \gamma$  then  $f(x \mapsto T_i \mapsto V_i) \models \gamma$  for all  $(T_i \in T, P_i \in P) \in W$ .*

**Proof 2** *If there exists an SCP which meets goal condition  $\gamma$  of length  $n$ , then there exists an SCP that meets goal condition  $\gamma$  of length  $n + k$  where  $k$  is any natural number.*

- *Proof 1 states that if  $f(x \mapsto A) \models \gamma$  then  $f(x \mapsto T_i \mapsto V_i) \models \gamma$  for all  $(T_i \in T, P_i \in P) \in W$ .*
- *Let  $X$  be a partial SCP of the form  $X = T_0 \mapsto V_0 \mapsto \dots \mapsto T_N \mapsto V_N$  where  $(V_i, T_i) \in W$*
- *For odd lengths:*
  - *It follows that if  $f(x \mapsto A) \models \gamma$  then  $f(x \mapsto A \mapsto \text{exp}(X)) \models \gamma$ , where  $\text{exp}$  denotes the substitution of  $X$  for its constituent parts.*
  - *Because  $X$  always contains an even number of cognitive operations, then the length of the resulting SCP must be  $n' = 1 + v$  where  $v$  is any even number.*
- *For even lengths:*
  - *Notice that  $f(x \mapsto A \mapsto \text{exp}(X) \mapsto X) \models \gamma$ .*
  - *A appending  $\mapsto X$  to adds one to the length of any SCP.*
  - *Because we have shown that a suitable SCP of any odd length exists  $n' \geq n$ , it follows that a suitable SCP of any even length  $n' > n$  exists.*

**Lemma 1** Given a cognitive operation sequence  $A \in \Omega^*$ , and external function  $f()$ ,  $A$  is redundant iff one of the following holds:

- $(x \mapsto B \mapsto C) \models x'$  and  $(x \mapsto A) \models x'$  for every viable epistemic state  $x$ ,  $B \in \Omega^*$ ,  $C \in \Omega^*$ .
- $x \mapsto A \models x$  for every viable epistemic state  $x$ .
- $f(x \mapsto B) = c$  for all  $B \in \Omega^*$ , where  $c$  is a constant external decision.

**Lemma 2** Given a limited set of cognitive operations  $M = \{A_0, \dots, A_n\}$ ,  $A_x \in \Omega^*$ , and external function  $f()$ ,  $A \in M^*$  is task redundant iff one of the following holds:

- $(x \mapsto B \mapsto C) \models x'$  and  $(x \mapsto A) \models x'$  for every viable epistemic state  $x$ ,  $B \in M^*$ ,  $C \in M^*$ .
- $x \mapsto A \models x$  for every viable epistemic state  $x$ .
- $f(x \mapsto B) = c$  for all  $B \in M^*$ , where  $c$  is a constant external decision.
- There exists no epistemic state  $x$  and sequences  $B, C \in M^*$  such that  $f(x \mapsto B \mapsto A \mapsto C)$  is a valid SCP.

The total number of possible non-trivial SCPs of length  $n$  for an SCP Task is given by  $(|M|^L - R)$  where  $|M|$  is the number of elements in the set of cognitive operations  $M$ ,  $L$  is the length of the SCP, and  $R$  is the number of possible non-overlapping task redundant SCPs for the task. @TODOdefineOverlappingRedundantSCPs

## 5.3 A Limited Set of Allowable Operations

## 5.4 Purpose-Selected Cognitive Operations

### 5.4.1 Overview

### 5.4.2 Initialisation

The initialisation cognitive operation (`init`) is always the first operation in an SCP. Thus, unlike every other cognitive operation, `init` has no prior cognitive operation from which to draw an epistemic state. Instead, it creates an epistemic state that reflects the initial inferential rules and variable assignments known by the agent. These rules and assignments are drawn from two distinct sources:

- **The initial interpretation of the task given:** in the case of the Suppression Task, for example, this rule corresponds to the given rules about Alice and the Library.
- **Background information:** though this knowledge base is as large as every fact and inferential rule by known the participant, no computational is lost by only showing those facts and inferential rules that directly relate to the task in question<sup>1</sup>.

<sup>1</sup>The question of precisely *how* to sift through that enormous amount of information and select appropriate knowledge for the task at hand is an open problem.

In many ways it could be argued that the `init` operation is simply an aggregate cognitive operation that each encodes either a variable or rule insertion into the epistemic state (@TODOfig). The one caveat to this interpretation is that there must still be some first cognitive operation which takes no input and produces an epistemic state of suitable structure as output.

Because it is actually used as a shorthand for whatever sequence of sub-operations is needed to represent the initial epistemic state `init` is the only cognitive operation which differs on a task-by-task and a reasoner-by-reasoner basis. Every other cognitive operation is a uniquely-named pipeline whose internal structure is fixed for any task in which it appears.

#### **5.4.3 Variable Insertion**

#### **5.4.4 Variable Deletion**

#### **5.4.5 Variable Silencing**

#### **5.4.6 Variable Fixation**

#### **5.4.7 Adding Abnormalities**

#### **5.4.8 Weakly Completing**

#### **5.4.9 Semantic Operator**

#### **5.4.10 Default Inferencing**

### **5.5 Cognitive Operations as Aggregates**

### **5.6 Epistemic State Structure Changes with Cognitive Operations**

### **5.7 Purpose-Built Cognitive Operations**

#### **5.7.1 Propositional Logic**

#### **5.7.2 Weak Completion Semantics**

#### **5.7.3 Default Logic**

### **5.8 Two Approaches to Creating Cognitive Operations**

### **5.9 Theoretical Approximation**

### **5.10 Empirical Appoximation**

## Chapter 6

# Mimicking Non-Monotonic Logics With SCPs

### 6.1 The Suppression Task

### 6.2 The Weak Completion Semantics



## Chapter 7

# Modelling Experiments with SCPs

## 7.1 Overview

## 7.2 Suppression Task

Figure 7.1 illustrates a generalised SCP to describe the Suppression Task as a series of sequential steps directly mirroring the discrete steps outlined in Section 3.1, each cognitive operation passing information to the next process<sup>1</sup>. To model the SCP the implicit sequence of operations in the Suppression Task is systematized and refined into a set of complex operations. Further we introduce an initial epistemic state  $s_i = (KB, V, R)$ . One interpretation of the requirements of the suppression task  $\pi = (s_i, \gamma, M)$  using SCPs and the WCS is as follows:

$$\begin{aligned}
 s_i &= \{KB_i, V_i, R_i\} \\
 KB_i &= \{e \rightarrow l, \top \rightarrow e, o \rightarrow l\} \\
 V_i &= \{e : u, l : u, o : u\} \\
 R_i &= \{\} \\
 M &= \{\text{init}, \text{addAB}, \text{WeaklyComplete}, \text{semanticOperator}\} \\
 \gamma &= (l \models \top) \text{ or } (l \models \perp)
 \end{aligned}$$

where all cognitive operations require as input and produce as output a state point  $p$  where every ground point  $\bar{p} \in_s p$  is of format  $\bar{p} = (KB, V, R)$ ; `init` is always the first cognitive operation and adds the initial variables and rules to epistemic state; `addAB` adds abnormalities to the current epistemic state using the procedure described in Algorithm ?? (but now also adds those abnormalities to the variable list of the epistemic state); `WeaklyComplete` weakly completes the knowledge base of the

<sup>1</sup>It is important to note that a diagram like this is valid for *any* cognitive modelling task because any process may be arbitrarily complex and non-sequential. and so the overall linear process of (actor, complex decision, observed results) is always valid for retroactive modelling, and at least as powerful as the non-monotonic logic framework it uses for modelling.

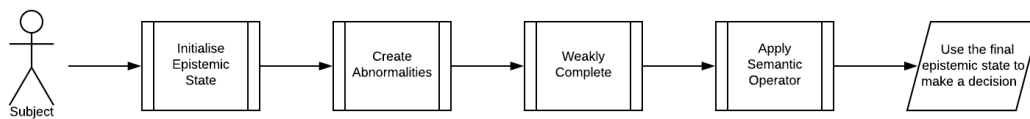


FIGURE 7.1: A generalised illustration of the WCS in an SCP.

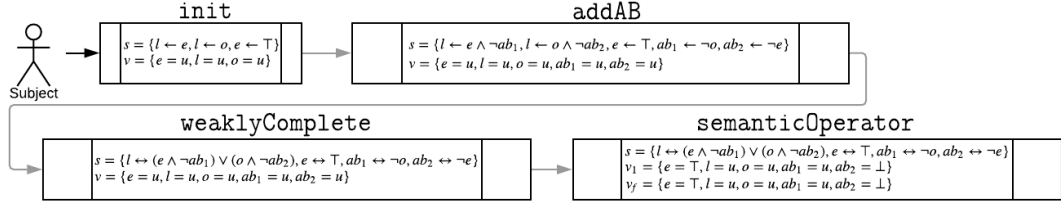


FIGURE 7.2: The standard case of the Suppression Task, demonstrating the suppression effect. Where the epistemic state in the boxes represents the output of that cognitive operation.  $V_f$  represents the assignment of  $V$  in the epistemic state in the resulting least model.  $V_{i \in \mathbb{N}}$  represents the assignments in  $V$  after  $i$  iterations of the semantic operator.

current epistemic state; and `semanticOperator` returns an epistemic state that leaves the knowledge base unchanged but updates the variables of that state to return the least model of the epistemic state. `semanticOperator` follows the same logic seen in Section ??, but directly updating  $V$  after each iteration of the semantic operator, instead of the externalised variable set  $J$ . Thus, we ensure that the output state point is able to communicate the result of applying the semantic operator without any structural changes to the epistemic states it contains. As an additional feature of the `semanticOperator` cognitive operation, if there exists a labelled set in  $R$  called *fixed*, then the semantic operator will not set the value of any  $v \in \text{fixed}$  in the variables list  $V$ . The goal  $\gamma$  states that  $l$  should no longer be mapped to unknown in the final epistemic state.

Treating Figure 7.1 as an SCP, we observe the sequence of output states seen in Figure 7.2. Note that in the final state  $l$  remains mapped to  $u$ , meaning that the suppression effect is demonstrated.

### 7.2.1 Extending the Suppression Task with SCPs

The previous example merely showed that SCPs are suitable for modelling the suppression task. In this example we consider one of the most powerful characteristics of SCPs, the ability to model unusual results as deviations from general reasoning. In the original Suppression Task Experiment examined in Section 3.1, a significant portion of people still believed that she would study late in the library, even though the majority suppressed the inference. Several possible explanations are intuitive, the first and simplest, is the assumption that the reasoner is using classical logic and drawing the classical conclusion. However, what if that is not the case? What if they do reason in exactly the same way as the other reasoners, except for one or two small deviations?

In order to model these non-general reasoners, we consider two possible deviations that could explain the classical result of the Suppression Task: *variable deletion*, and *variable fixation*. Both of these operations will be discussed in a way that may seem overly prosaic, but it is done to reinforce that we might, reasonably, expect these cognitive operations to occur in day-to-day human cognition.

#### Variable Deletion

Consider the sequence of numbers: 1, 44, 27, 8, 0, -4, 6, 7, 346, 7, 74, 7, 234, -55, 2.4, 18. Now without looking back at the numbers, ask yourself some questions: how many



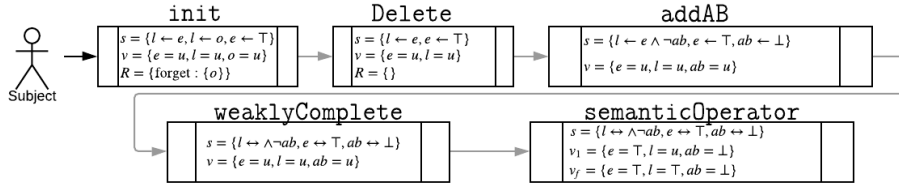


FIGURE 7.3: The Suppression Task in which the additional operation of deleting the variable  $o$  occurs.

numbers were there? Were any of them prime? How many numbers were repeated? In all probability you are not entirely sure. This simple thought experiment provides support for our first extension, the idea that variables can be “forgotten”, that is, that information that existed in the knowledge base at one point in time might no longer exist at a later timepoint.

This is not the only imaginable case where a variable might be removed from the knowledge base of the person being modelled. The size of the knowledge base used for cognitive modelling is always implicitly restricted to relevant variables. Only those variables whose values might reasonably be expected to affect the final conclusions drawn with regard to the research question should be considered. Finding which variables and rules are relevant is, however, non-trivial. For another real-life example, imagine a mystery novel: Three hundred pages of plot descriptions, character actions, and dialogues. In a good murder mystery novel every piece of information that reveals the killer’s identity is hidden in the story itself, yet we do not hold every fact and interaction in the book in our epistemic model of the book, so discerning the identity of the killer remains a mystery until the last page. But when the mystery is solved, many details that we internalised while reading (and recall in retrospect) suddenly make the conclusion seem obvious. We have not forgotten this information, we had merely incorrectly deemed it irrelevant at the time and ignored it in our cognitive processing.

The exact details of how to delete a variable from a knowledge base are non-trivial, and there is no best practice for doing so. But in simple cases the process can be intuitive. Let `delete` be a complex operation. `delete` takes as input any state point and is applicable for any ground point  $\bar{p}$  with variable list  $V \in \bar{p}$  and categorization variable  $R$  with  $(\text{delete} : V_{del}) \in R$ , where  $V_{del}$  is the set of variable names to delete. For every  $v \in V_{del}$  remove all rules from  $KB$  that have  $v$  as body or head of the clause, and remove  $v$  from  $V$ . Then remove `delete` from  $R$ .

In the case of the Suppression Task we argue that one cognitively valid reason for drawing the classical conclusion to the task may be forgetting (or disregarding) the variable  $o$ . Figure 7.3 illustrates this case, and shows how the insertion of a complex operation can completely change the final epistemic state.

## Variable Fixing

The second case of a potential complex operation to add to the search space of our SCPs is the idea of Variable Fixing. The idea that some conclusions can be fixed *a priori*. Consider a person who strongly doubts the effectiveness of vaccines, we will call her Karen. Karen started her day convinced that giving her child the MMR vaccine is more dangerous than the disease itself. Later that day Karen spoke to her doctor who strongly advised that she vaccinate her child. He offered her a variety of peer-reviewed papers and studies that showed the relative safety of the vaccination.

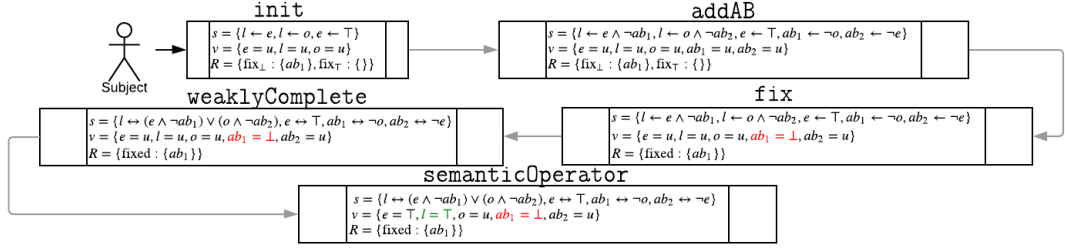


FIGURE 7.4: The Suppression Task in which the additional operation of fixing the variable  $ab_1$  to false occurs.

Karen listened carefully to the trained medical professional, and then went home. After some thought Karen decided that he was wrong, and her opinion on vaccines didn't change.

In this example Karen shows a very powerful type of cognitive bias, the unwillingness to change her opinions, despite powerful evidence to the contrary. This phenomenon has been observed across a great many fields of study, from medical psychology (Brown et al., 2010) (Wroe et al., 2005) to political sciences (Tappin, Leer, and McKay, 2017). In the context of cognitive modelling with logics, it indicates that some mental rules or variables are immutable, regardless of new evidence or valid beliefs that would logically contradict them. Non-monotonic logics, as a class, are already capable of dealing with bias effects, as non-monotonic logics are built on the basis of a preference operation.

As one possible implementation of this idea, let us introduce a cognitive operation called *fix*. *Fix* takes as input any state point and is applicable for any ground point  $\bar{p}$  with variable list  $V \in \bar{p}$  and categorization variable  $R$  with  $(fix_{\top} \vee fix_{\perp}) \in R$ . For all variables  $v$  such that  $(v \in V) \cap (v \in fix_{\top})$  set the value of  $v$  to  $\top$ , For all variables  $v$   $(v \in V) \cap (v \in fix_{\perp})$  set the value of  $v$  to  $\perp$ . Then remove  $fix_{\top}$  and  $fix_{\perp}$  from  $R$ . Append  $fixed : V_{fix}$  to  $R$ , where  $V_{fix}$  is the set of all variables fixed in this way.

Now, because *semanticOperator* does not change the values of variables mentioned in  $V_{fix} \in fixed : V_{fix}$ ,  $fixed \in R$ , Figure 7.4 shows the effect of adding a complex operation which fixes the value of the abnormality to false in  $v$  so that, no matter what rules in  $KB$  when the semantic operator is applied,  $ab_1$  will remain false.

### 7.3 Wason Selection Task

## Chapter 8

# Comparing SCPs

### 8.1 Why do we need to compare SCPs?

The ability to compare the feasibility of different solutions is an essential step in any computational process in which multiple solutions produce the desired output. Consider a toy example of an SCP task which describes the mental process needed to bake a cake:

$$\begin{aligned}\Pi &= (s_0, M, \gamma, f()) \\ s_0 &= (V = (\text{cakeBaked} : \perp)) \\ M &= \{\text{mixIngredients}, \text{bakeIngredients}, \text{doTheLaundry}\} \\ f(x) &= \begin{cases} \text{cakeBaked} \models \top & \text{True} \\ \text{cakeBaked} \models \perp & \text{False} \end{cases} \\ \gamma &= (f(\pi) = \text{True})\end{aligned}$$

Without specifying the precise details of the complex operations in  $M$ , and simply using our intuition of the effects of these actions, we can draw some candidate SCPs. Candidate SCPs such as  $f(s_0 \mapsto \text{mixIngredients})$  do not result in the cake being baked and so are discounted immediately. However, consider a case where the modelling algorithm being used has come up with two possible SCPs to explain the processes chosen by the participant:

$$SCP_1 = f(s_0 \mapsto \text{mixIngredients} \mapsto \text{bakeIngredients}) \quad (8.1)$$

$$SCP_2 = f(s_0 \mapsto \text{mixIngredients} \mapsto \text{doTheLaundry} \mapsto \text{bakeIngredients}) \quad (8.2)$$

Intuitively, both of these operational sequences would result in a cake being baked and  $f(X)$  returning *true*, and thus, both are candidate solutions to the SCP task given. However, both of these solutions may not be equally *plausible*. Why would someone need to do their laundry to make a cake? We can concoct wild scenarios in which the participant's house is so full of dirty laundry that access to the oven is restricted, but this seems implausible. Most readers would agree that Equation 8.1 is more plausible than Equation 8.2.

This toy example is evidence that, in at least some cases, one can confidently prefer one SCP to another. The question now arises: how do we precisely, and consistently prefer one SCP over another? This question is not easy to answer, and this

chapter is devoted to proposing candidate solutions which may be able to quantitatively score and select preferable SCPs.

Section 8.2 discusses the question of how to compare different SCPs found using search for a single task. Section 8.3 discusses the more general problem of comparing SCPs even when the underlying SCPs tasks differ. Section 8.3.1 introduces the Needleman-Wunsch Algorithm for string matching whose underlying principles have allowed us to quantify questions of homology and evolutionary relationships in biology, and Section 8.3.2 discusses and justifies an extension to this algorithm for use in SCP comparisons.

## 8.2 Comparing Generated SCPs

### 8.2.1 Scoring

Cognitive modelling as a science that exists, in part, to replicate the empirical results of human reasoning suffers from a painful truth: just because a solution is simple, elegant and seemingly well-justified, it does not follow that that solution is correct. Indeed, that solution might completely fail to explain experimental data from a cognitive task, and must then be discounted. However in the fields of string-matching, etymology, and homological evolution @TODOref3times, mathematically consistent approaches to scoring are still generally a good starting point. And so we carry that assumption into the field of cognitive modellings and assume that, in the absence of directly contradictory empirical data, certain properties related to finding the optimal sequence of cognitive operations are desirable, whilst others are not.

In general the easiest way to compare two distinct objects is to quantify some subset of their properties and use these properties to rank the objects. Continuing with the toy example in Section 8.1 we will attempt to create a commonsense scoring mechanism to determine whether  $SCP_1$  or  $SCP_2$  is a more cognitively plausible solution to baking a cake. A great many possible criteria exist for scoring these two SCPs, but we will focus on just two of them: the length of the SCP, and the plausibility of each cognitive operation that occurs in either SCP.

#### SCP Length

Perhaps the simplest and most intuitive way to decide which of two SCPs is best suited to solving a specific problem is to prefer the shortest one. In the field of Bioinformatics, one of the earliest approaches to determine which two organisms from a set were more closely related was to directly estimate how many genetic mutations (insertions, deletions) would be necessary to turn each of these sequences into each other sequence @TODOref. The same logic can be applied to SCPs for those SCPs generated using either *De Novo* or *Insertion Search* (Section @TODOref).

In the case of *De Novo* search, we assume that the optimal length of a solution to  $\Pi$  is an SCP length  $l = |X| = 0$ . This optimal solution obviously does not exist in this case  $f(s_0) \not\models (cakeBaked = \top)$ , but it serves as a way of implicitly preferring shorter SCPs, as those will require fewer insertion operations to satisfy  $f(x)$ .

Using this simple test criteria:  $|SCP_1| < |SCP_2|$ , therefore  $SCP_1$  is preferred because it requires only 2 operations to transform the ideal SCP  $f(s_0)$  into  $f(s_0 \mapsto mixIngredients \mapsto bakeIngredients)$ , rather than the 3 required for  $SCP_2$ .

The case for *Insertion search* follows identical logic in order to model deviations from the general reasoner, but uses an ideal SCP which is the known SCP for the general case.

Though simple, this scoring procedure provides the foundations upon which more complex scoring algorithms will be built for the remainder of this section.

## 8.3 Comparing External SCPs

### 8.3.1 The Needleman-Wunsch Algorithm

In the field of Bioinformatics, one of the earliest approaches to determine which two organisms from a set were more closely related was to directly estimate how many genetic mutations (insertions, deletions) would be necessary to turn each of these sequences into each other sequence.

### 8.3.2 A Modified Scoring Algorithm



## **Chapter 9**

# **Program Design**

### **9.1 Implementing the SCP Framework**

### **9.2 A Modular Programming Approach**





## Chapter 10

# Non-Sequential Cognitive Processes



## Chapter 11

# Conclusions and Future Work

### 11.1 Conclusion

SCPs represent a novel and powerful framework for modelling non-monotonic logics. They have been shown capable of modelling the Suppression Task under the WCS for both general and individual cases. SCPs provide a dynamic framework incorporating cognitive operations which are applicable across different logics, provided that those logics share structural features in the initial epistemic state that are compatible with some subset of the known set of cognitive operations. Because the input and output epistemic states need not be structurally similar, SCPs may represent the first approach to modelling human cognition that is able to integrate multiple non-monotonic logic frameworks at run-time and at search-time.

SCPs represent a new frontier for Cognitive Modelling in non-monotonic logics and research into their capabilities and limitations may help create more robust and mathematically consistent explanations and predictions for human behaviour across an extensive array of cognitive tasks.

### 11.2 Future Work

The addition of two distinct search paradigms to SCPs may one day enable researchers to generate or test hundreds of thousands of models where only the initial epistemic state is known. The first search type, *De Novo Search*, involves finding an SCP that satisfies a goal condition from scratch, iterating over the set of cognitive operations in  $M$ . This search is important for producing a computationally valid explanation for the general (most common) results of a cognitive task. *Insertion Search*, by contrast, will require finding which additional complex operations can be added to an existing SCP to produce results consistent with the deviant reasoner. This search is important for describing unusual results as deviations from the general reasoner. It is also important for scoring the likelihood of these with respect to the original SCP. Finally, some form of scoring criteria is needed to compare SCPs to one another and evaluate their relative plausibility with respect to the known complexity of the cognitive operations involved. A modified version of Needleman-Wunsch algorithm for string matching has already been theoretically described and must now be implemented in order to determine how similar different models are to each other.



# Appendix

@TODO talk about adding abnormalities



## Appendix A

# Frequently Asked Questions

### A.1 How do I change the colors of links?

The color of links can be changed to your liking using:

```
\hypersetup{urlcolor=red}, or  
\hypersetup{citecolor=green}, or  
\hypersetup{allcolor=blue}.
```

If you want to completely hide the links, you can use:

```
\hypersetup{allcolors=.}, or even better:  
\hypersetup{hidelinks}.
```

If you want to have obvious links in the PDF but not the printed text, use:

```
\hypersetup{colorlinks=false}.
```





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