

ALBERT-LUDWIGS-UNIVERSITÄT FREIBURG

MASTER'S THESIS

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# Sequential Cognition Processes: A Framework For Reasoning with Non-Monotonic Logics

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*A thesis submitted in fulfillment of the requirements  
for the degree of Master of Computer Science*

*in the*

Cognitive Computation  
Department of Computer Science

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## Declaration of Authorship

I, Axel IND, declare that this thesis titled, “Sequential Cognition Processes: A Framework For Reasoning with Non-Monotonic Logics” and the work presented in it are my own. I confirm that:

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*“Everything should be made as simple as possible, but no simpler.”*

Albert Einstein



ALBERT-LUDWIGS-UNIVERSITÄT FREIBURG

## *Abstract*

Faculty of Engineering  
Department of Computer Science

Master of Computer Science

### **Sequential Cognition Processes: A Framework For Reasoning with Non-Monotonic Logics**

by Axel IND

Approaches to cognitive modelling with non-monotonic logics have thus far been largely *ad hoc* and poorly standardised, making inter-model comparisons difficult. As an attempt to systematically represent non-monotonic logics in a framework that standardises cognitive modelling under these logics without sacrificing their expressiveness, we introduce the Sequential Cognition Process (SCP). Under the assumption that human reasoning can be represented as a sequence of distinct cognitive operations on an initial knowledge base SCPs provide a consistent framework for generating and evaluating models of human cognition. Using an adapted interpretation of the Weak Completion Semantics (WCS), SCPs are able to accurately model several classical experiments in cognitive modelling. We use the SCP framework to model both general case reasoners – which arrive at the most frequently observed conclusions – and poorly-studied individual case reasoners – which do not. We illustrate the use of the SCP framework through examination of the Wason Selection Task, Suppression Task, @TODOexperiments.





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# List of Abbreviations

<b>SCP</b>	<b>Sequential Cognitive Processes</b>
<b>WCS</b>	<b>Weak Completion Semantics</b>
<b>WST</b>	<b>Wason Selection Task</b>



## Chapter 1

# Introduction

## 1.1 Overview

The human mind is complex. So complex that thousands of approaches from dozens of fields have failed to capture its complexity. The sheer size of the brain – containing over 5000 times as many neurons as the largest practical neural networks (Mocanu et al., 2018) – and our limited understanding of the fundamental learning processes it employs mean that researchers can neither completely describe nor predict human actions nor model human thought processes. Instead, much of the current state of the art in cognitive modelling relies on one of two general approaches: creating systems that structurally approximate the human brain, and creating systems which approximate a more abstract intuition of cognition. The first type of system encompasses many algorithms related to machine learning and deep learning; the second type, with which this paper is concerned, has existed in some form for far longer than humans have been thinking creatures (Smirnova et al., 2015). Every shark stalking its prey, every tiny proto-mammal hiding from a hungry dinosaur, and every man driving to work in the morning, has applied this type of reasoning when trying to make predictions about the actions of other agents in their world. By applying case-specific reasoning to a known world state we are able to make imperfect, but quick, predictions about the mental state of other agents in our world.

Due in part to the difficulty of cloning dinosaurs to hunt participants in cognitive research experiments, and partly due to concerns about the ease with which they could answer questionnaires on the experience later, most cognitive tasks used by researchers tend to be more dull than the examples above.

Non-monotonic logics have proven able to adequately model a large number of standard cognitive reasoning tasks such as The Wason Selection Task (Wason, 1968) and Suppression Task (Byrne, 1989). These approaches, though effective and well-founded in isolation, are often unable to integrate or be compared to data models of other tasks, even when they rely on the same underlying logic. Although the non-monotonic logics themselves are generally carefully described, procedures ranging from best practise in deciding appropriate knowledge bases to the mechanisms by which inferences should be interpreted tend to be re-imagined on a case-by-case basis.

Further, cognitive frameworks using non-monotonic logics are almost always designed to describe the most common (general) conclusion drawn by participants in the experiment. Modelling other individual reasoners or classes of reasoners who differ from the norm is often a non-trivial process. It has been shown that the Weak Completion Semantics (Hölldobler, 2015) is able model the four most general cases of the Wason Selection Task under the assumption that reasoners who differ from the general case (*deviant reasoners*) follow a sequence of mental processes that is still highly similar to that of the general reasoner (Breu et al., 2019).

This thesis introduces the Sequential Cognition Process (SCP) which generalises the assumption of sequential cognitive operations, each of which uses a collection of epistemic states as input and produces a collection of epistemic states as output.

## 1.2 A Review of Terminology

### 1.3 Current State of the Art

The current state of the art in cognitive modelling using non-monotonic logics has began to show a shift towards a computational approach to problem solving ((Dietz, Holldobler, and Ragni, 2012), @TODO). **stening2012human** argued, in a general sense, that modelling human reasoning should be done towards an appropriate representation of the underlying cognitive processes, and secondarily, it should be evaluated empirically with respect to this representation. This philosophy is in stark contrast to that of many other areas of artificial intelligence research, in which the underlying structure of the agent does not need to mimic existing cognitive processes, and instead the primary evaluation criteria is generally the effectiveness of the agent at making predictions on unseen data sets.

This thesis makes extensive reference to the work of Dietz, Holldobler, and Ragni, 2012, Dietz, Hölldobler, and Wernhard, 2014, **ragni2017wason** and their contributions to the emerging field of cognitive modelling.

The author of this thesis has previously shown the suitability of the Weak Completion Semantics for modelling individual reasoners in the Wason Selection Task (Breu et al., 2019) and the SCP framework that this thesis introduces is an extension of the intuition of discrete, sequential processes underlying cognition. The question of how to model individual reasoners is a pernicious problem in cognitive modelling and work by @TODOauthor, as well modelling tools like CCOBRA @TODOref have been able to use traditional modelling techniques, with minor variations, to replicate more than simply the most common reasoner responses.

### 1.4 Contributions of the SCP Framework

Using SCPs and a set of well-founded cognitive operations it is possible to apply traditional search techniques to problems in cognitive modelling with non-monotonic logics that have previously required expert-made models. The SCPs introduce a number of desirable properties: they introduce a partially standardised (though extensible) set of allowable cognitive operations, they standardise the structure of what constitutes an epistemic state, they are easily modified to accommodate deviant reasoners when a well-founded general model already exists, and their sequential structure makes them well-suited to scoring algorithms that allow intra- and inter-experimental modelling and comparisons.

Logics which are able to interpret conditionals of the form "If  $\phi$ , then  $\psi$ " are varied in both their implementation and underlying principles. Work by @TODOrefslides has shown evidence that not all information is derived, stored, or retrieved symmetrically and thus, it is unlikely that a single logical system will be able fully model tasks which utilise information whose interpretation is dependant on multiple structurally and interpretationally diverse sources of information. The SCP framework enables researchers to use robust search techniques which make no assumptions about conditional interpretation at formulation time, and rather, branches to incorporate multiple possible epistemic knowledge structures at run-time. This technique, enables multiple non-monotonic logics to be used across a single cognition task.

## 1.5 Thesis Layout

This thesis begins with a discussion of the mathematical preliminaries related to logic programming and non-monotonic logics - particularly the WCS and Reiter's default logic (Chapter 2). Chapter 3 introduces several classical experiments in the field of cognitive modelling and discusses an existing non-monotonic interpretation of each. Chapter 4 introduces the SCP framework and the concept of the SCP Tasks, SCPs, and Realised SCPs. Chapter 5 explored the concept of the cognitive toolbox which contains well-founded tools to allow the SCP framework to model a wide variety of cognitive tasks. Chapter 6 discussing the implementation of specific non-monotonic logics in the SCP framework. Chapter 7 shows how SCPs can be used to model the classical experiments introduced in the Chapter 3 in terms of both individual and generalised reasoners. Chapter 8 discusses how SCPs might be compared to one another to determine which is most plausible or to identify common motifs that are present across experiments. Chapter 9 briefly discusses the implementation of the SCP framework in Python 3 and the core philosophies of its design. Chapter 10 introduces the concept of the non-Sequential Cognitive Process and its usefulness as an extension to the standard SCP framework. Finally, Chapter 11 summarises the contributions of this thesis and discusses potential extensions to the SCP framework.





## Chapter 2

# Mathematical Preliminaries

## 2.1 Propositional Logic

Propositional logic is one of the simplest classical logics and concerns itself with binary truth values.  $\top$  denotes a tautology, and  $\perp$  denotes a contradiction. An alphabet  $\Sigma = \{v_1, \dots, v_n\}$  defines the set of atoms available to the language. Every atom  $v_i \in \Sigma$  has the domain  $\{v_i, \bar{v}_i\}$ . An (atom, domain) pair which associates an atom with a value in its domain is called a literal.

A formula  $\theta \in \zeta$  from set of all formulae  $\zeta$  is defined recursively over all conjunction  $\wedge$ , disjunction  $\vee$ , and negation  $\neg$  operations as follows:

$$\theta = \{v_i \in \Sigma | \neg\theta | \theta \vee \theta | \theta \wedge \theta\}$$

The material implication ( $\psi \leftarrow \phi$ ) precisely substitutes the formula for the formula ( $\neg\psi \vee \phi$ ) and is often used, classically to denote an "if  $\phi$  then  $\psi$ " relationship between variables. However, in Section @TODOref other interpretations of the "if  $\phi$  then  $\psi$ " relationship are examined in a non-monotonic setting.

An interpretation of  $\Sigma$ ,  $I_w(\Sigma)$  assigns each  $v_i \in \Sigma$  to one value in its domain as defined by possible world  $w$ . The interpretation of a formula  $\theta$ ,  $I_w(\theta)$  is recursively calculated using the associated truth tables of the operators involved, as defined in Table 2.1.

## 2.2 Possible Worlds

A possible world  $w \in W_\sigma$  from the set of all possible worlds  $W$  over a language  $\Sigma$  is  $(v_0, w_0) \wedge \dots \wedge I(v_n, w_n)$  and is the conjunction of each atom and an associated value from its domain. Possible worlds represent one setting of the variables in the alphabet that could hypothetically hold. A model of a propositional formula  $\theta \in \zeta$  is a possible world  $w$  in which  $\theta$  is evaluated to *top* (also called satisfying) and is written  $w \models \theta$ .

$Mod(\theta)$  denotes the set of possible worlds in which  $\theta$  holds – that is,  $Mod(\theta) = \{w | w \models \theta\}$ . The operator  $\models$  is overloaded so that  $\phi \models \psi$  if and only if  $Mod(\phi) \subseteq Mod(\psi)$  for  $\phi, \psi \in \zeta$ .

$\wedge$	$\top$	$\perp$	$\vee$	$\top$	$\perp$	$\neg$	
$\top$	$\top$	$\perp$	$\top$	$\top$	$\top$	$\top$	$\perp$
$\perp$	$\perp$	$\perp$	$\perp$	$\top$	$\perp$	$\perp$	$\top$
	$\rightarrow$	$\top$	$\rightarrow$	$\top$	$\perp$		
	$\top$	$\top$	$\top$	$\top$	$\perp$		
	$\perp$	$\top$	$\perp$	$\perp$	$\perp$		

TABLE 2.1: Truth tables for standard operators in propositional logic.

$(\psi \phi)$	$\phi \models \top$	$\phi \models \perp$
$\psi \models \top$	verification	non-applicability
$\psi \models \perp$	falsification	non-applicability

TABLE 2.2: Evaluation of conditional rules.

## 2.3 Conditionals

Defeasible knowledge is information which is assumed, but not guaranteed, to hold. Many non-monotonic logics treat this notion as a form of typicality. A condition  $(\psi|\phi)$  is used to represent the defeasible rule “if  $\phi$  then  $\psi$ ”. Following @TODOrefwason1968 we do not use the implication  $\rightarrow$  to represent a conditional, and instead opt for what Baratgin (@TODOref-baratgin2014) called a *deFinetti truth table* (Table 2.2). This truth table for conditionals differs from the standard implication in that it treats rules of the form  $(\psi|\phi)$  as inapplicable when  $\phi \models \perp$  and more closely follows human intuition about how rules are evidenced in the absence of their antecedent.

## 2.4 Logic Programming

### 2.4.1 Knowledge Base

In propositional logic, a knowledge base  $S = \{\phi_1, \dots, \phi_n\}$  for the language  $\Sigma$  is a set of propositional rules from the set of formula  $\zeta_\Sigma$ . A propositional knowledge base is used to encoded certain knowledge and relationships about the world.  $S$  is called consistent only if there exists some possible world  $w \in W$  such that every rule in  $I_w(KB_{prop})$  evaluates to  $\top$ .

More generally, a non-monotonic knowledge base  $KB = (S, \Delta)$  contains both a set of propositional rules ( $S$ ) and a set of defeasible/conditional rules ( $\Delta = \{\Delta_1, \dots, \Delta_m\}$ ). A non-monotonic knowledge base tolerates a conditional  $(\psi|\phi) \in \Delta$  if and only if there exists some possible world  $w \in W$  that verifies  $(\psi|\phi)$  and does not falsify any conditional in  $\Delta$ .  $KB$  is said to be consistent if and only if there exists of partition of  $\Delta$ ,  $(\Delta_0, \dots, \Delta_n)$  such that  $\Delta_i$  is tolerated by  $\Delta_{i+k}$ , where  $k$  is any natural number not greater than  $m$ .

When  $KB = (S = \{\text{rule}_1, \dots, \text{rule}_n\}, \Delta = \{\})$ , we will sometimes ignore  $\Delta$  and refer only to the value of  $S$  whilst still using the term ‘ $KB$ ’.

### 2.4.2 Interpretation of conditionals

@TODO

## 2.5 Non-Monotonic Logics

In the field of non-monotonic logics, reasoning is represented as a collection of defeasible inferences. Unlike in classical logic, conclusions need not hold in perpetuity, or even in the same model and revision is always possible. Monotonic logics are not capable of describing human reasoning in experiments like the Suppression Task (Dietz, Holldobler, and Ragni, 2012) because they lack this revisionist characteristic.

A large number of non-monotonic frameworks exist in the literature (McDermott and Doyle, 1980), each applicable to a different subset of cognitive problem space, and each modelling their problem space with various degrees of success. In the simplest formulation, a non-monotonic logic is simply an extension to a classical logic which introduces a preference relation  $\rightarrow_p$ . This preference relation states that, given some number of derivable facts

$\rightarrow$	$\top$	$u$	$\perp$
$\top$	$\top$	$u$	$\perp$
$u$	$\top$	$\top$	$u$
$\perp$	$\top$	$\top$	$\top$

TABLE 2.3: A table showing the implication operator in 3-valued Łukasiewicz logic.

in a knowledge base, the fact derived using the most preferential rule is to be derived first and cannot be overwritten by a less preferable assignment.

Although the non-monotonic logics discussed in this section have simple extensions to first-order logic (@TODOref), we instead restrict ourselves to a propositional format throughout this thesis.

## 2.6 The Weak Completion Semantics

The Weak Completion Semantics is a non-monotonic logic which procedurally encodes several well-known cognitive phenomena (@OTDOextend). The WCS makes use of 3-valued Łukasiewicz logic (Table 2.3). It adds abnormalities to non-ground inferences, and replaces the classical inference ( $\leftarrow$ ), with a bijective ( $\leftrightarrow$ ).

The Weak Completion of a program  $P$  is defined as follows:

1. Replace all clauses of the form  $A \leftarrow body_1, \dots, A \leftarrow body_n$  with  $A \leftarrow body_1 \vee \dots \vee body_n$ .
2. Replace all occurrences of  $\leftarrow$  with  $\leftrightarrow$ .

Applying this procedure to  $P$  results in  $wcP$  which is the weak completion of  $P$ .

The next requirement to apply the WCS framework is the introduction of a semantic operator  $\phi_{SvL}$  (Stenning, Van Lambalgen, et al., 2008). Let  $J$  be the result of applying the semantics operator to an interpretation  $I$  and logic program  $P$ . Then  $J$  is defined as follows:

$$J^\top = \{A \mid \text{there exists a clause } A \leftarrow Body \in P \\ \text{with } I(Body) = \top\}$$

$$J^\perp = \{A \mid \text{there exists a clause } A \leftarrow Body \in P \\ \text{and for all clauses } A \leftarrow Body \in P \\ \text{we find } I(Body) = \perp\}$$

Using  $I = \langle \emptyset, \emptyset \rangle$ , the least model of  $P$  ( $\text{lm}_L wcP$ ) can be calculated by iterating  $\phi_{SvL, P}$ .

## 2.7 Reiter's Default Logic

Reiter's Default Logic (Reiter, 1980) is a non-monotonic framework which allows us to divide the inferential capabilities of a system into those facts and inference rules which are always true (as in classical logic) and those which are usually true. The second type of inference is the eponymous default rule.

The set of immutable facts is called  $kb$  and inferences in  $kb$  are made as with propositional logic, with the addition of the conclusion of any previously evaluated default rules. The set of default rules  $d$  consists rules of the form:

$$\frac{\text{prerequisite} : \text{justification}_1, \dots, \text{justification}_n}{\text{conclusion}}$$

@TODOextend.

The background theory  $W$  is a set of propositional formula which are evaluated as with the propositional knowledge base  $S$  (Section 2.4.1).

Where *prerequisite*, *justification<sub>i</sub>*, and *conclusion* are propositional logic clauses. A *conclusion* is valid and added to the set of known variables in  $kb$  iff *prerequisite* holds and no clause *justification<sub>i</sub>* holds.

A default rule may be applied to a theory if it is entailed by the theory and all of its justifications and conclusions are consistent with the theory. When a default rule is applied, its consequence is added to the background theory.

Formally, inferences in default logic are calculated as follows:

---

**Algorithm 1:** Algorithmic addition of extensions to a Reiter's Knowledge Base.

---

```

Function  $e(\bar{p})$  is
  /* Current theory.                                     */
   $T := W;$ 
  /* Set of defaults already applied.                     */
   $A := \{\};$ 
  while There exists a default rule  $d$  that is not in  $A$  and is applicable to  $T$  do
    Add the conclusion of  $d$  to  $T$ ;
    Add  $d$  to  $A$ ;
  end
  if  $T$  is consistent with all justifications of every default  $d \in A$  then
     $T$  is a valid extension;
  end
end

```

---

It should be immediately apparent from this formulation that, for many possible sets of rules in  $kb$ , the output is non-monotonic as is dependent on the order in which default rules are evaluated.

A very significant restriction on reiter's default logic is the complexity of computing all inferences. Even in the simple case of  $kb = rule_1, \dots, rule_n$  and  $d =$ , the set of possible inferences is potential infinitely large, and even the set of non-trivial inferences is computationally infeasible @TODOref. When default rules are included in this computation the complexity of calculating all possible inferences for all possible default rule application sequences quickly becomes intractable for all but the most basic logic programs. With this limitation in mind, we restrict propositional rules to those in the form  $a \leftarrow \phi$  where  $a$  is an atomic head. Further, we restrict the set of default rules to those of the form  $\frac{B:C}{a}$  where  $a$  is an atom.

The closed world assumption  $\vdash_{\perp}$  states that all information which is not known to be true is assumed to be false. The closed world assumption can be used to ensure that every variable  $v_i \in I_w(\Sigma) \models \top \vee bot$ .

## Chapter 3

# Experiments

### 3.1 Suppression Task

#### 3.1.1 Intuitive Overview

The Suppression Task refers to an experiment conducted by Byrne, 1989 and is a classical example of the inadequacy of monotonic logics for modelling human reasoning. In classical logic, if our knowledge base  $kb$  is such that  $kb \models \phi$ , then it must be the case that  $kb \cup \psi \models \phi$ . However, in the suppression task participants no longer draw classically valid inferences when new information is added. The task is often formulated as follows:

- $e \rightarrow l$ : If she has an essay to write ( $e$ ), she will study late in the library ( $l$ ).
- $\top \rightarrow e$ : She has an essay to write ( $e$ ).
- $o \rightarrow l$ : If the library is open ( $o$ ), she will study late in the library ( $l$ ).

Given only the rules ( $e \rightarrow l$ ) and ( $\top \rightarrow e$ ), the participants consistently concluded that she would study late in the library, seemingly drawing the classical logic inference  $\frac{e \rightarrow l, e}{l}$  with *modus ponens*. But when given the additional rule  $o \rightarrow l$ , participants no longer believe that they have enough information to judge whether she will study late in the library, and a significant portion of them no longer draw the classical conclusion. This effect, called Suppression, demonstrates the need for something more than classical logic for modelling human reasoning.

#### 3.1.2 Modelling the Suppression Task

Work by Dietz, Hölldobler, and Wernhard, 2014 has shown that the WCS is an adequate non-monotonic logic for modelling the Suppression Task. Under the WCS and Łukasiewicz 3-valued logic the task is usually modelled as follows for the case without suppression:

1.  $KB = (\{e \leftarrow \top\}, \Delta = \{(l|e)\})$
2. Initial logic program:  $P = \{e \rightarrow l, \top \rightarrow e\}$ . This program represents the task without information about what happens if the library is open.
3. Interpretation of conditionals:  $P = \{e \wedge \neg ab_1 \rightarrow l, \top \rightarrow e, \perp \rightarrow ab_1\}$ . Treating the conditional as a license for implication (Section 2.4.2). The program now reflects the possibility that some abnormal event may prevent her from going to the library, but because we have no information about the nature of this event, it is set to  $\perp$  by default.
4. Weak Completion:  $wc(P) = \{e \wedge \neg ab_1 \leftrightarrow l, \top \leftrightarrow e, \perp \leftrightarrow ab_1\}$ .
5. Semantic Operator:

	$p$	$pq$	$pq\bar{q}$	$p\bar{q}$
Abstract	36	39	5	19
Everyday	23	37	11	29
Deontic	13	19	4	64

TABLE 3.1: The canonical results of the Wason Selection Task

- Execution 1:  $\top = \{e\}, \perp = \{ab_1\}$
- Execution 2:  $\top = \{e, l\}, \perp = \{ab_1\}$

After application of the semantic operator  $l$  is true in the least model, and so participants conclude that she will study late in the library (as when  $P$  is evaluated classically). However, in the case where Suppression is observed, the same process yields a different result because of the presence of the extra conditional ( $o \rightarrow l$ ).

1. Initial logic program:  $P = \{e \rightarrow l, \top \rightarrow e, o \rightarrow l\}$ . The initial program now includes information about the extra (suppressing) conditional.
2. Addition of Abnormality:  $P = \{e \wedge \neg ab_1 \rightarrow l, o \wedge \neg ab_2 \rightarrow l, \top \rightarrow e, \neg o \rightarrow ab_1, \neg e \rightarrow ab_2\}$ . An abnormality  $ab_i$  is an addition to an inference which captures the idea that external factors may invalidate the conclusion of the original inference. Adding abnormalities is a poorly described process and often relies on intuitionist views of what actually constitutes an abnormal situation.
3. Weak Completion:  $wc(P) = \{((e \wedge \neg ab_1) \vee (o \wedge \neg ab_2)) \leftrightarrow l, \top \leftrightarrow e, \neg o \leftrightarrow ab_1, \neg e \leftrightarrow ab_2\}$ . Weak completion is applied to the logic program, combining rules with shared heads and replacing implications with bijections.
4. Semantic Operator:
  - Execution 1:  $\top = \{e\}, \perp = \{ab_2\}$

Now suppression has been displayed in the logic program and the variable  $l$  remains unknown in the least model.

@TODORefragnipaper showed that suppression is not possible in Reiter's default logic.

## 3.2 Wason Selection Task

Another widely studied task in the psychological literature is the Wason Selection Task (WST), which asks participants to draw conclusions about which variables are able to falsify a given rule (*Modus Tolens*<sup>1</sup>). The task has many formulations @TODOref, but this thesis will exam two of the most mostly widely researched cases: the abstract case, and the deontic case.

Sections @TODORef and @TODOref examine participant response patterns for each case and discusses interpretations of each case in terms of the WCS, following the work of @TODOref.

@TODOextend. @TODOmention p=D etc for the chart.

### Abstract Case

The Abstract case of the WST presents the subject with four cards on a table as shown in Figure ?? . Their face-up sides read  $D, K, 3$ , and  $7$ . Participants are told that each card has

<sup>1</sup>If  $a \rightarrow b$ , then  $\neg b \rightarrow \neg a$

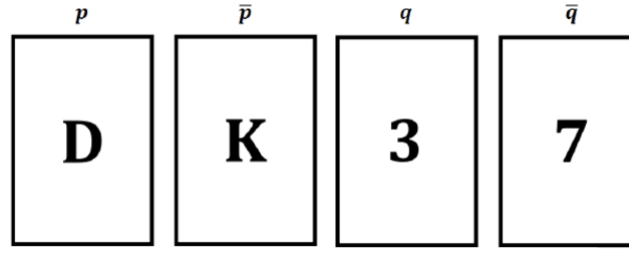


FIGURE 3.1: The Abstract case of the Wason Selection Task

$D$	$3$	$3 \leftarrow D$
$\top$	$\top$	$\top$
$\top$	$\perp$	$\perp$
$\perp$	$\top$	$\top$
$\perp$	$\perp$	$\top$

TABLE 3.2: Propositional evaluation of the conditional  $3 \leftarrow D$  for each possible truth value of 3 and  $D$ .

a number on one side and a letter on the other. They are then asked which cards must be turned over to test the rule:

“If there is a  $D$  on one side of the card, then the other side shows 3.”

This task requires the participants to find possible defeaters for the conditional rule. Assuming “if  $\phi$  then  $\psi$ ”  $\leftrightarrow (\psi \leftarrow \phi)$ , Table 3.2 shows that the cards  $D$  and 7 (i.e.  $\neg 3$ ) are both possible defeaters to the rule  $3 \leftarrow D$  because the rule  $3 \leftarrow D$  is only falsified when the interpretation maps  $(D, \top)$  and  $(3, \perp)$  and so any observed card which allows a possible world with both of those assignments is a possible defeater. If a participant were to turn the  $D$  card and find a number that was not 3, or were to turn the card 7 and find the letter  $D$  on the other side, the conditional would not hold. Thus these two cards must be turned over to check the rule. However, human subjects very seldom choose this classical answer set and instead overwhelmingly prefer to turn the cards  $D$  and 3. Table 3.1 shows the most common card selection sets for participants.

A great many cognitive theories have been applied to these results, with varying degrees of success **ragni2017formal**. This paper will focus on the WCS interpretation of the task provided by (**ragni2017wason**). This approach assumed an initial knowledge base  $KB$  containing only the conditional  $(3|D)$  and an interpretation of the conditional as follows:

- $I_L(\psi|\phi) \leftrightarrow I_L(\psi \leftarrow \phi \wedge \neg ab)$ , where  $I_L$  denotes the interpretation of the conditional under 3-valued @TODOLukaname logic, and  $ab$  represents a license for implication. @TODOmometoprelimsection

@TODOfdietsholldobler showed that the abstract case of the WST could be modelled using the WCS and 3-valued @lucasciewics logic. Using the conditional as the initial logic program, we write  $KB = (S = \{\}, \Delta = (3|D))$ . Treating conditionals as licenses for implication  $KB$  can be rewritten as  $KB = (3 \leftarrow D \wedge \neg ab)$  where  $ab$  is an abnormality predicate. Now  $\text{Im wc}(KB) = \{\}\{ab\}$ , and no information is obtained that could either verify or falsify the conditional. In order to adapt the WCS to the WST @TODOname introduced the concept of observation support.



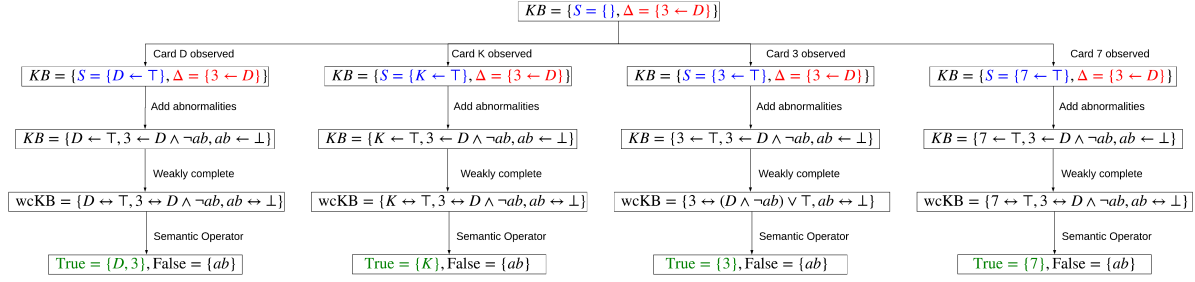


FIGURE 3.2: Application of the WCS to the abstract case of the WST for the basic weak completion approach.

The set of abducibles  $A$  corresponds to positive and negative facts which are not assigned in  $KB$ . For the WST as formulated here  $A = \{D \leftarrow \top, D \leftarrow \perp, 3 \leftarrow \top, 3 \leftarrow \perp, K \leftarrow \top, K \leftarrow \perp, 7 \leftarrow \top, 7 \leftarrow \perp\}$ . An observation  $O$  is explained by a minimal explanation  $\epsilon \in A$  if and only if  $O$  holds in  $\text{lm } wc(KB \cup \epsilon)$ . Table @TODOfig shows the least models that can be drawn for the observations  $D$ ,  $3$ ,  $K$ , and  $7$ . If a least model obtained in this way contains atoms and atomic assignments that could invalidate the conditional (i.e. make  $I_{\text{lm } wc(KB \cup \epsilon)}(3 \leftarrow D \wedge ab) \models \perp$ ), then the observed card should be turned. Figure @TODOref shows the derivation of  $\text{lm } wc(KB \cup \epsilon)$  for  $\epsilon = D, \epsilon = 3, \epsilon = K$ , and  $\epsilon = 7$  @TODOchange-textinfiguretosayetainsteadofobservation.

This simple interpretation of the WST which applies abduction to the initial knowledge base to derive observation is sufficient to model the general reasoner. It does not however explain any of the other deviant cases which are observed in Table 3.1. Why, for example, should it be considered accurate if it gives no information about the classically accurate choice of the  $D$  and  $7$  cards, chosen by 19 participants, a significant portion? Instead, consider how these aberrant reasoners could be modelled. Perhaps they use extra computational steps or omit steps? This author has previously shown that the WCS is able to model these individual reasoners (Breu et al., 2019)<sup>2</sup> by including three simple processes: basic weak completion, abduction as we have already discussed in this section, and contraposition. By including these three processes, and stochastically controlling when they are activated or silenced, it has been shown that not only is this extended framework able to model the major cases of the WCS, but very close approximations to empirical results can also be drawn.

### Basic Weak Completion

Basic weak completion ignores the existence of other least models which may validate the inference  $(3|D)$  and, instead handles only those cases where  $\epsilon \leftrightarrow (O \leftarrow \top)$ . That is, only information about the currently observed card is considered in the abductive framework. Figure 3.2 illustrates this process for each of the four observations:  $D$ ,  $3$ ,  $K$ , and  $7$ . Now only  $wc \text{ lm}(KB \cup (D \leftarrow \top))$  now provides assignments which could invalidate the inference if they were otherwise. Observing the card  $3$  no longer leads to the decision to turn the card because only the case  $\epsilon = (3 \leftarrow \top)$  is considered and the case  $\epsilon = (D \leftarrow \top)$  is not considered.



Iteration	$\top$	$\perp$
0		
1	7	$ab_1, ab_2$
2	7	$3, ab_1, ab_2$
3	$7, D'$	$3, ab_1, ab_2$
4	$7, D'$	$3, ab_1, ab_2, D$

TABLE 3.3: Applying the Weak Completion Semantics and Contraposition to the 7 card of the WST.

### Contraposition

Contraposition explicitly makes use of *modus tollens*, usually assumed to be silenced in human cognition to derive certain canonical cases in the WST. Using contraposition it becomes possible to model individual participants who choose to turn the cards  $D$  and 7 (the classical response).

@TODO change Contraposition is applied as follows for the initial knowledge base  $KB$ :

1. For each conditional  $(\psi|\phi) \in KB'$  :
  - Add the conditional  $(\phi'|X)$  to  $\Delta$ , where  $X$  is the other possible value for that card face<sup>3</sup>.
  - Add the fact  $\phi \leftarrow \neg\phi'$  to  $S$ .
2. Interpret conditionals as licenses for implication.
3. Apply the WCS as normal.

Using this technique, and applying basic weak completion (i.e. only considering the case  $\epsilon \leftrightarrow (O \leftarrow \top)$ ) it is possible to model the classical case of the WST. The resolution for the 7 card is as follows:

$$\begin{aligned}
 KB &= (S = \{\}, \Delta = \{(3|D)\}) \\
 KB' &= (S = \{D \leftarrow \neg D'\}, \Delta = \{(3|D), (D'|7)\}) \\
 KB' &= \{3 \leftarrow D \wedge \neg ab_1, D' \leftarrow 7 \wedge \neg ab_2, ab_1 \leftarrow \perp, ab_2 \leftarrow \perp\} \\
 KB' \cup (7 \leftarrow \top) &= \{3 \leftarrow D \wedge \neg ab_1, D' \leftarrow 7 \wedge \neg ab_2, ab_1 \leftarrow \perp, ab_2 \leftarrow \perp, 7 \leftarrow \top\} \\
 \\ 
 wcKB' \cup (7 \leftarrow \top) &= \{3 \leftrightarrow D \wedge \neg ab_1, D' \leftrightarrow 7 \wedge \neg ab_2, ab_1 \leftrightarrow \perp, ab_2 \leftrightarrow \perp, 7 \leftrightarrow \top\} \\
 wc(KB' \cup (7 \leftarrow \top)) &= \{3 \leftrightarrow D \wedge \neg ab_1, D' \leftrightarrow (\neg 3 \wedge ab_2) \vee (\neg D), ab_2 \leftrightarrow \perp, ab_1 \leftrightarrow \perp, 7 \leftrightarrow \top\} \\
 &\quad (3.1)
 \end{aligned}$$

Table 3.3 shows the computation of  $\text{Im } wc(KB' \cup (7 \leftarrow \top))$ . From this, it is possible to deduce that the card 7 must be turned. Figure 3.3 illustrates the use of these extensions to derive all four of the canonical cases of the WST.

<sup>2</sup>My contribution to this paper was the initial proposal of the extensions, their integration, the silencing mechanism used for modelling individual reasoners, and the broad idea of the stochastic evaluation technique itself.

<sup>3</sup> $(D, K), (3, 7)$

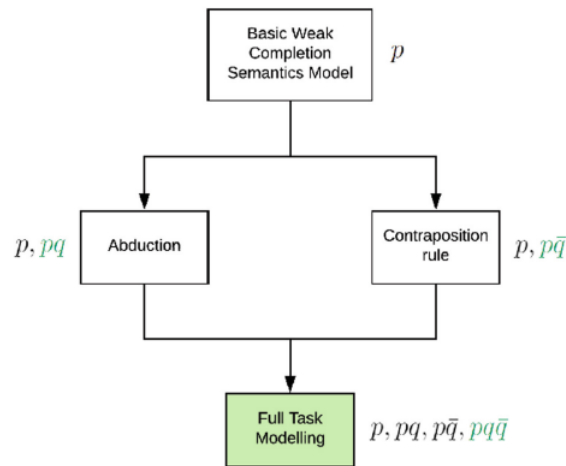


FIGURE 3.3: Using the abduction and contraposition extensions to derive all four canonical cases. @TODOredoWithCards

### Combined Model

By combining our contraposition and adductive rules for inferences which were discussed in (Dietz, Holldobler, and Ragni, 2012)'s paper, it is possible to model the case where the cards  $D$ ,  $K$ , and 7 are turned (Figure ?? @TODOfigures?).

This work showed that all canonical cases of the weak completion semantics could be modelled by activating or silencing these three processes on the initial knowledge base. The intuition that a discrete and systematic succession of well-founded processes could be used to model multiple different results for the same experiment was the inspiration for the SCP framework which this thesis introduces.

### 3.3 More

## Chapter 4

# Sequential Cognition Processes

### 4.1 SCPs: An Intuitionist Description

Although there is evidence that the brain can perform several simultaneous operations when considering a task (such as when considering an image (Sigman and Dehaene, 2008)), the SCP framework assumes that at some points in reasoning about a given task, the mental processes of the agent converge to a set of epistemic states, called a *state point*. Whatever happens between these points of convergence can contain any number of parallel processes. The collection of processes that occur between any two state points in a reasoning task is called a *cognitive operation*. It follows that any cognitive operation is valid as long it takes a set of epistemic states as input and produces a set of epistemic states as output. Figure 4.1a outlines an SCP-like structure that is powerful enough to model any cognitive task that involves an epistemic state transition. However, it does not provide any useful information; the nature of the processes followed is completely undescribed. Suppose, instead, that some cognitive task is being modelled, and that researchers have reason to believe that The Weak Completion Semantics should play a part in their model. Under this new restriction, and assuming a sufficiently expressive epistemic state, Figure 4.1a is still an accurate model of the process, but now so is Figure 4.1b. By sacrificing some of the ambiguity – and, thus, expressiveness – of the model, the information content of the model description has increased. This trade-off is a feature of the SCP framework and finding the right depth of complexity to model the task accurately and still provide meaningful information is more art than science at present.

### 4.2 SCPs: Mathematical Formulation

An SCP Task  $\Pi = (s_i, M, f(), \gamma)$  consists of an initial epistemic state  $s_i$ , a known set of cognitive operations  $M$ , a desired final output  $\gamma$ , and an external function  $f()$  which generates those final outputs by translating the final epistemic state into an empirically grounded set of possible responses. An epistemic state  $s_k$  describes all the information available to the agent at state point  $k$ . The precise contents of an epistemic state should be chosen so that at

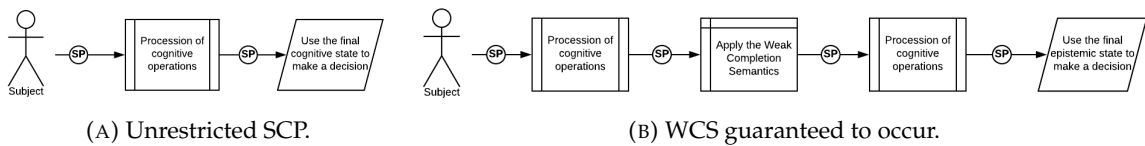


FIGURE 4.1: The most general description on an SCP with and without guaranteeing the WCS is applied at least once. An agent transitions from one epistemic state to another and then uses it to make a decision. *SP* nodes indicate state points.

least some  $m \in M$  are able to accept that state as an input. In the case of a system containing only the one complex operation which applies the WCS, one possible epistemic state is  $s = (P, \Delta, V)$ , where  $P$  is a set of inference rules of the form  $(head \leftarrow body)$ ,  $\Delta$  is a set of conditional rules of the form  $(\psi|\phi)$  and  $V$  is a mapping of atom names appearing in  $KB$  to a truth value in Łukasiewicz logic  $(\top, u, \perp)$ . In principle this definition will serve for the rest of the paper, but it is extended slightly so that  $s_k = (S, \Delta, V, R)$  where  $R$  is a set of labelled categorization criteria sets (LCS). An LCS  $c \in R$  consists of a category name and list of rules and atoms which fit into that category.

A base point is a single epistemic state. A state point  $p$  is defined recursively by  $p = \{\bar{p} \oplus Q\}$  where  $\bar{p}$  is a base point, and  $Q$  is a set of state points, and  $\oplus$  represents the exclusive-or operation<sup>1</sup>. State point containment  $\in_s$  for state points  $p$  and  $q$  is defined recursively as follows:

$$p \in_s q = \begin{pmatrix} p \in q & \text{True} \\ \exists_{r \in p} p \in_s r = \text{True} & \text{True} \\ \text{Otherwise} & \text{False} \end{pmatrix}$$

It is never the case that  $p \in_s p$ .

A cognitive operation  $m = (\chi, e), m \in M$  consists of a precondition  $\chi$  and a process  $e$ , such that for an input state point  $p$ , every base point  $\bar{p} \in_s p$  is either accepted as input ( $\bar{p} \models m[\chi]$  under whatever definition of  $\models$  is used for the complex operation  $m$ ), or else rejected. Every base point is evaluated by the complex operation in isolation (no other base point  $\bar{q}$  can affect the output of  $m$  on base point  $\bar{p}$ ).

$J[\bar{p}, m] = p'$  describes the *application* of a base point  $\bar{p}$  to a cognitive operation  $m \in M$  to produce an output base point  $p'$ , where  $p'$  is vector of base points.  $p'$  is *defined* if and only if  $\bar{p}$  is a valid input for  $m$  (Section @TODOsection). In this way, a single base point may produce multiple base points as output, reflecting potentially non-monotonic or non-deterministic properties of cognitive operations.

We overload the functionality of  $J$  so that  $J[p, m] = p'$ , where  $p$  is a state point (rather than just a base point), and define  $J[p, m]$  as follows:  $J[p, m] = [\text{replace}(\bar{p} \in p, J[\bar{p}, m])]$ .  $J[p, m] = p'$  is *defined* if and only if some  $J[\bar{p} \in p, m]$  is defined.

It follows that the depth of a state point is directly related to the number of complex operations which have been performed in the SCP prior to its occurrence. If a base point does not meet the precondition (see Section @TODOref), it is either ignored completely and not processed (*cruel application*), or passed exactly as is to the next complex operation (*lenient application*). It is worth noting that the type of cognitive states produced as output by  $m$  may not be the same as those of the input.

A cognitive operation is called *monotonic* if it always yields a base point as an output given a base point input ( $J[\bar{p}, m] = \bar{p}'$ ).

This paper will focus on cases where the type of cognitive state remains constant, but it is possible for  $J[\bar{p}, m] = p'$  where  $\bar{p}$  is of structure  $(\alpha, \beta)$  and  $\bar{p}' \in p'$  to be of structure  $(\alpha, \beta, \gamma)$  @TODOdefine structure in epis. Future models of human cognition may well rely on background knowledge which draws inferences from multiple types of non-monotonic logics.

A cognitive transition model (CTM) describes an ordered procession of cognitive operations following an initial epistemic state as follows: CTM  $\pi = (s_i \mapsto m_1 \mapsto \dots \mapsto m_n)$  where  $s_i$  is a state point, and  $m_k$  is a cognitive operation. A partial cognitive transition model (*pCTM*)  $\pi = (m_1 \mapsto \dots \mapsto m_n)$  describes a procession of cognitive operations without an initial state point.

<sup>1</sup> $(X \oplus Y) = ((X \cup Y) - (X \cap Y))$  for sets  $X$  and  $Y$ .

The CTM  $\pi = (s_i \mapsto m_1 \mapsto \dots \mapsto m_n)$ , for SCP task  $\Pi = (s_i, M, f(), \gamma)$  is *defined* if and only if  $J[p_k, m_k]$  is defined for  $p_k = J[p_{k-1}, m_k]$ ,  $k > 2$ , and  $J[s_i, m_1]$  is defined. The set of possible CTMs for SCP task  $\Pi$  is given by  $L[\Pi] = \{\pi\}$ , where  $\pi$  is a defined CTM for  $\Pi$ .

An SCP  $\mu = (\pi, f())$  pairs a CTM  $\pi$  with an external activation function  $f()$  (Section @TODOsection). An SCP  $\mu = (\pi, f())$  is defined for SCP task  $\Pi = (s_i, M, f(), \gamma)$  if and only if  $\pi \in L[\Pi]$ .

— @TODOmovetoValidity An SCP is called *credulously valid* if  $f(\pi) \models \gamma$  for at least one epistemic state in the final state  $p_n$ . An SCP is called *sceptically valid* if  $f(\pi) \models \gamma$  for every epistemic state in the final state. In cases where all operations are monotonic, sceptical validity is the same as credulous validity. —

Finally, we define a realised SCP  $r = (k, f())$  where  $k \in K[\pi]$ , and  $K[\pi] = \{s_i \mapsto (m_1, \bar{p}_1) \mapsto \dots \mapsto (m_n, \bar{p}_n)\}$  where, for every pair  $(m_i, \bar{p}_i)$ ,  $\bar{p}_i \in J[\bar{p}_{i-1}, m_i]$ . Realised SCPs describe a single agent's interpretation of an SCP and associate only a single epistemic state, rather than a state point to the output each cognitive operation in an SCP.

$r = (k, f())$  is a realised SCP for SCP  $\mu = (\pi, f())$ , with  $\pi = (s_i \mapsto m_1 \mapsto \dots \mapsto m_n)$  is given by  $k = (s_i \mapsto (m_i, \bar{p}_1 \in J[\bar{p}_0, m]) \mapsto \dots \mapsto (m_n, \bar{p}_n \in J[\bar{p}_{n-1}, m]))$ .

When every cognitive operation in  $M$  is monotonic, it is trivially easy to transform an SCP into a realised SCP and vice versa @TODOproof. In cases where SCPs are monotonic, we will use the SCP and its realised SCP interchangeably. The number of realised SCPs for an SCP is the same as the number of base points in the final state point of that SCP.

### 4.3 SCP Tasks vs. SCPs vs. Realised SCPs

SCP tasks describe a problem that needs to be solved and the limitations which the solution must to adhere to; SCPs describe a single 'recipe' that can be used to describe a sequence of well-founded, non-monotonic operations which an agent might use to model a problem; and realised SCPs describe how adherence to specific SCP can result in some agent coming to a conclusion that matches empirical data.

For a given SCP task there can be many possible SCPs (the question of how to choose the most probable of these candidate SCPs is discussed in Section @TODOref), or no possible SCPs at all.

Figure 4.2 explores a situation in which students are asked if they will pass their next exam. Though all subsequent examples in this paper will consider SCPs in a more formal context, this captures the intuition of the different levels of granularity which SCP Tasks, SCPs, and realised SCPs capture.

### 4.4 Epistemic States

The choice of epistemic state is dependent on the properties that are known or suspected to be true for the cognitive task as a whole. For example, a researcher working on drawing inferences using boolean Propositional Logic can be certain that any SCP they create should be expressive enough to pass a boolean knowledge base and possible world to a cognitive operation. Thus, it might suffice to simply define  $s_i = (KB)$  where  $KB$  is a set of propositional rules. By contrast, a researcher using the WCS requires a system capable of both communicating a set of rules to the next complex operation, and of describing the results of repeated applications of the semantic operator. It might seem intuitive to simply append a set of conditional rules  $\Delta$  to the state used for the propositional case and to use the semantic operator as an external function to evaluate the SCP. And, though this approach works for many examples, it makes it impossible to perform further processing in the SCP *after* applying the semantic operator. What if the conclusion drawn was meant to form part of

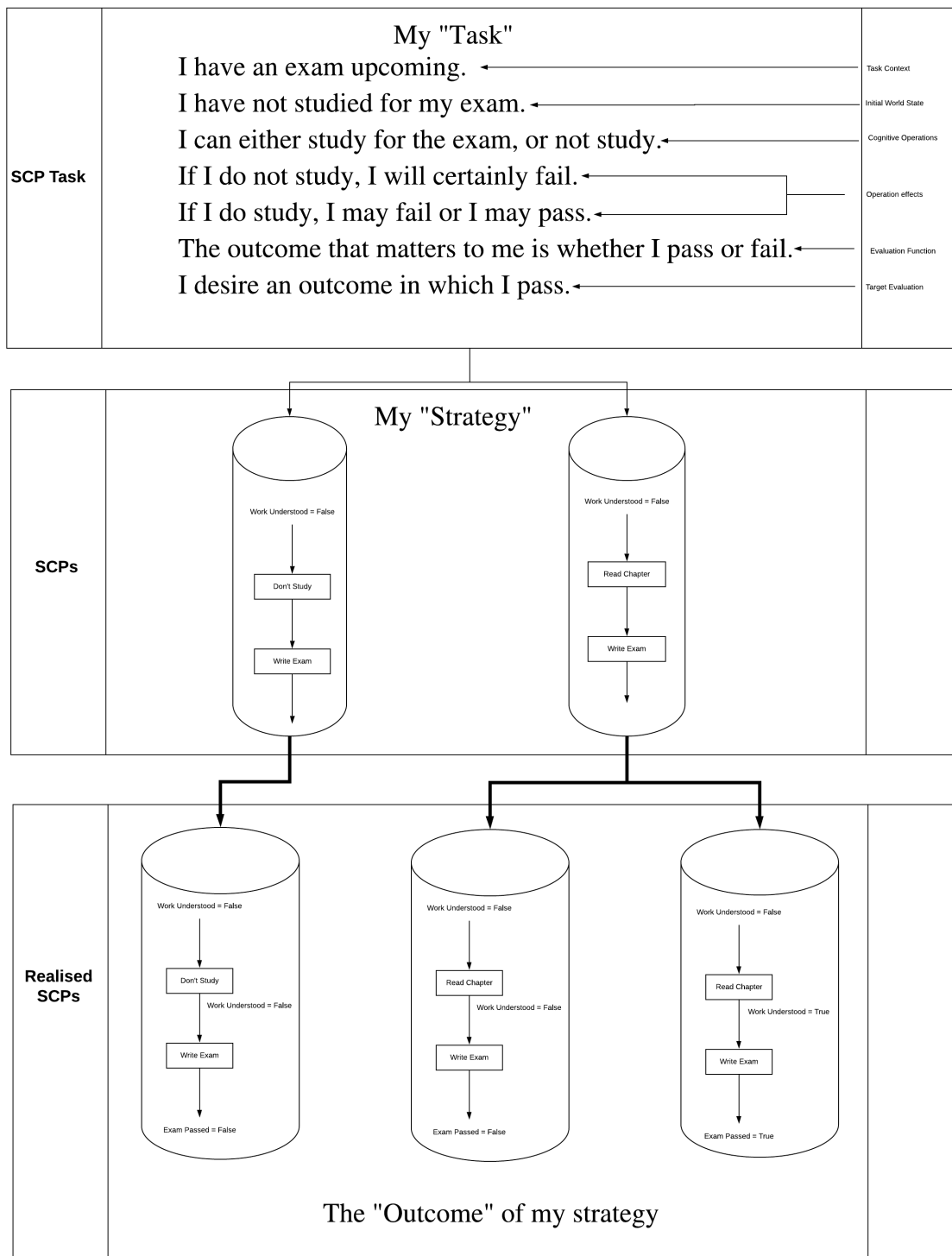


FIGURE 4.2: An SCP-like examination of a mental task, showing the relative levels of granularity of SCP Tasks, SCPs, and Realised SCPs.

the background knowledge of another process? In practice using  $s_k = (S, \Delta, V, R)$ , where  $V$  is a set of (variable name, value pairs) and  $R$  is a categorization variable, seems able to model all aspects of the WCS, including information related to the least model.

As yet, there are no definite rules for creating an epistemic state, but Albert Einstein's famous advice from 1950 still rings true: "Everything should be made as simple as possible, but no simpler." The ideal epistemic state is one that enables every reasonable cognitive operation in  $M$  that might help model the problem, without adding superfluous functionality that might render searching the SCP space infeasible.

#### 4.4.1 Common State Point Properties

Though state points are largely unbounded in the information that they can contain and communicate, this thesis will restrict itself to epistemic state structures which carry intuition both from the underlying logics which they utilise, and from properties of search in the field of AI Planning (@TODOref).

##### The possible world: $V$

The possible world variable  $V$  encodes information about the current world state the agent believes itself to be in. As in Section 2, the possible world variable consists of a conjunction of variable pairs of the form  $(\text{atom}, \text{valuendomain}_{\text{atom}})$ , where  $\text{atom}$  occurs only once. Unlike in a true possible world, not every there exist  $\text{atom} \in \text{Sigma}$  which do not occur in  $V$ .

$V[\phi]$  returns the pair  $(\phi, \text{value}) \in V$ . An interpretation of formula  $\phi$  using  $V$ ,  $I_v[\phi]$  occurs as with any possible world except that, when an atomic name  $a$  which occurs in  $\phi$  when  $V[a]$  is undefined is given the default value of that language during computation ( $u$  in  $\text{L@TODOname}$  logic, and  $\perp$  in propositional logic.). This is a necessity born of the fact that infinite space is required to explicitly store every possible variable name. The reason that  $V$  is used throughout this thesis is threefold: it ensures that the possible world of the agent at any state of its cognition is always known; it allows inferences drawn using one logic to be utilised as background knowledge in another logic; and in practical implementation it can prevent recalculation of complex rules that have been preciously interpreted.

##### Propositional Rule Set: $S$

The propositional rule set  $S$  is defined precisely as the knowledge base was defined in Section @TODOsection.

##### The set of conditionals: $\Delta$

The set of conditionals  $\Delta$  is defined precisely as in the conditional knowledge base in Section @TODOsection.

#### 4.4.2 The Categorization Variable

The final property of a cognitive operation that needs to be discussed is how it is able to interact with the categorization variable  $R$ . Imagine a case drawn from Saldanha, Hölldobler, and Rocha, 2017 where the difference between creating abnormalities for obligate and factual conditionals is discussed. The intuition behind the authors' work can be summarised by saying that there are two different types of conditional statement, those that *have to be* true, and those that are *usually* true. If it rains  $r$ , I will normally take my umbrella  $u$  (in the absence of something abnormal happening, like a plague of umbrella-stealing gnomes). This a statement that is usually true, but some things are definitely true. For example, when

it rains, water has to fall out of the sky  $s$ . This is an essential property of rain, not subject to abnormalities. Thus one useful set of categorizations for researchers seeking cosmic knowledge of weather patterns may be:  $R = \{obligate : \{u \leftarrow r\}, factual : \{s \leftarrow r\}\}$ . With these labels we might then expect that the process followed by the operation  $m \in M$  which creates abnormalities would treat the two conditionals in  $KB$  differently, because of their assignments in  $R$ .  $R$  then is a way of expressing meta information to the cognitive operations, and it is completely possible that some operation  $m_k$  might change  $R$  in such a way that future operation  $m_{k+1}$  produces different output. This is a technique we will exploit in several examples in this paper.

@TODOdefinereachability

## 4.5 Cognitive Operations

The set of possible complex operations  $M$  determines many attributes of the achievable final state point  $p_n$ . If every  $m \in M$  is monotonic, then  $p_n$  will be a base point. If some cognitive operation is computationally complex or produces a very large number of output state points, then search using that base point becomes less efficient. If some complex operation  $m'$  (such as weakly completing) is known or believed to occur in the SCP, then a restriction on the cognitive states exists such that either the initial state is of a format suitable as input for  $m'$ , or there exists another cognitive operation which is able to output a state point which contains base points of a suitable format.

More abstractly, the set of cognitive operations should be well-founded in the literature. The set of possible complex operations is infinite and an SCP only meaningfully describes human cognition when it contains cognitive operations that have been justified empirically (*modus ponens-modus tolens* asymmetry, suppression, denial of the antecedent, etc.).

### 4.5.1 Pre-conditions and Effects

The precondition  $\chi$  of a cognitive operation  $m$  refer to those conditions the input state point must satisfy in order for that operation to be considered valid. An SCP is valid if and only if every cognitive operation it contains is valid. For example, one might have a cognitive process describing Julie's plans for a night out on the town. Let us imagine that the SCP task describing her night out includes the operation `goHomeByCar`. Semantically, this operation should take her *isHome* variable and set it to True.

The situations in which `goHomeByCar` can reasonably occur in an SCP are at the researchers' discretion. Researcher 1 might feel that it can be allowed at any point in the SCP and will simply have no effect for those input epistemic states in which she is already at home. Researcher 2 might argue that `goHomeByCar` is applicable only when *isHome*=False. Researcher 3 knows that only the cognitive operation `goToClub` changes the *isHome* variable, and so argues that *isHome* is only applicable after `goToClub` occurs. There are merits to the arguments of each researcher.

Researcher 1 argues for a property called *trivial validity*, that is that SCPs should always be considered valid, without any need for evaluation. This approach, however has one significant drawback: it cannot handle changes in the structure of epistemic state inputs. Imagine an epistemic operation called `dontDriveDrunk` which corresponds to our party animal realising that she shouldn't drive home if she's been drinking. Imagine further that this operation takes base points which are either of propositional or default structure (@TODOrefsection) and outputs an epistemic state of default structure which contains the new rule. If `goHomeByCar` took only a propositional state as input, then any sequence where `dontDriveDrunk` occurs as the previous operation will cause the SCP to fail because of the



input state is not of an allowed type. Trivial validity then, is not sufficient for modelling SCP which state structure changes.

Researcher 2 has opted for a *variable validity* approach. She has reasoned, correctly, that the `goHomeByCar` only results in an epistemic state change when when `isHome` is not True. She therefore, feels that it only makes sense to reduce redundancy and search complexity in creating the SCP by only allowing the action to occur when it can be said to have an effect. This argument has some merit from an intuitive perspective, but presents an unpleasant question: what if there are state points in which only some ground points actually meet the precondition? To compound the troubles with this variable state approach to preconditions is the fact that it is not possible to determine SCP validity without evaluating the SCP at runtime, which could be slow for large SCPs.

Researcher 3 has taken an *operator validity* approach, instead has focused on the structure of the SCP. This approach allows SCP validity to be determined without explicitly evaluating an SCP, one need simply search through the cognitive operations in the SCP to make sure that no operation has a precondition operation which has not yet occurred. This approach also presents drawbacks, it requires explicit knowledge of operation interactions, and adding a new cognitive operation to  $M$  in the SCP Task might force several other operations to update or change their interactions. Further, there might be other cognitive operations which mean that the precondition operation is no longer in effect. Imagine a third operation `goHomeByTrain` which also sets `isHome` to True. Now the sequence of operations  $x \mapsto \text{goToClub} \mapsto \text{goHomeByTrain} \mapsto \text{goHomeByCar}$  would still be valid with Researcher 2's original requirement that `goToClub` occurred previously in SCP. However, it is obvious that `goHomeByTrain` has negated the effect of `goToClub`. Evidently this approach is not appropriate in any case in which other operations can silence the effect of those operations mentioned in preconditions.

Every approach has shows strengths and weaknesses, a final approach to consider is the *hybrid validity* approach. With this approach, all cognitive operations are assumed to be valid, provided that the output base points of the previous operation are of a suitable input type for the current operation. Hybrid validity is an appropriate approach for all SCPs in which every cognitive operation has a known output structure. Though hybrid validity does not have the best-case search properties of the other approached, its universal validity means that it should generally be the starting point for generating SCPs. The hybrid approach is followed implicitly throughout the rest of this thesis and preconditions are omitted.

## 4.5.2 Optimality, Satisfaction, Validity

### Validity

As discussed in Section 4.5.1 validity can be defined for a cognitive operation and its input. Realised SCPs describe both the operation and the input for an SCP and allow us to define SCP validity as follows:

A realised SCP  $r = (k \in K[\pi], f())$ ,  $k = (s_i \mapsto (m_1, s_1) \mapsto \dots \mapsto (m_n, s_n))$  with evaluation function  $f()$  is valid iff  $s_i$  is an epistemic state, every  $m \in \pi$  is valid (according the validity requirements defined by the researcher), and  $f(k)$  is defined.

@TODOredefineKinFirstappearance

An SCP  $(\pi, f())$  is valid if and only if there exists some realised SCP  $r = (k \in K[\pi], f())$  which is valid.

An SCP Task  $\Pi = (s + i, M, \gamma, f())$  is valid if and only if there exists some SCP  $((\pi, f()),$  with  $(\pi = (s_i \mapsto m_1 \in M \mapsto \dots \mapsto m_n \in M))$  which is valid.

Validity	Full SCP evaluation	Uniform Epistemic Structure	Operator Silencing Knowledge
Trivial	X	✓	X
Variable	✓	X	X
Operator	X	X	✓
Hybrid	X	X	X

TABLE 4.1: SCP property requirements for precondition types in cognitive operations.

Validity does not require that  $f(\pi) = \gamma$ , only that external function  $f()$  is able to make some prediction or set of predictions based  $\pi$ .

### Satisfaction

A realised SCP  $r = (k \in K[\pi], f())$ ,  $k = (s_i \mapsto (m_1, s_1) \mapsto \dots \mapsto (m_n, s_n))$  with evaluation function  $f()$  satisfies goal condition  $\gamma$ , written  $r \models \gamma$  if and only if  $r$  is valid, and  $f(k) \models \gamma$ .

An SCP  $(\pi, f())$  is satisfies  $\gamma$ , written  $(\pi, f()) \models \gamma$ , if and only if there exists some realised SCP  $r = (k \in K[\pi], f())$  for which  $f(k) \models \gamma$ .

An SCP Task  $\Pi = (s + i, M, \gamma, f())$  is satisfies  $\gamma$ , written  $\Pi \models \gamma$ , if and only if there exists some SCP  $((\pi, f()),$  with  $(\pi = (s_i \mapsto m_1 \in M \mapsto \dots \mapsto m_n \in M))$  which satisfies  $\gamma$ .

Heuristic Searches and machine learning techniques are generally used to find satisfying solutions for situations in which it is possible for an answer to be good enough for practical purposes.

### Optimality

Optimality refers to finding the best possible SCP to describe a problem according to whatever criteria are used to evaluate the SCP (Section 5.3.1). Loop-free SCPs are candidates for exhaustive search techniques to find optimality, but in practice, loop free SCPs can seldom be guaranteed when there are many cognitive operations in  $M$  @TODOproof?. As with all exhaustive search techniques, optimality can be guaranteed for searches of restricted depth even when SCP space contains infinite loops.

A realised SCP  $r = (k \in K[\pi], f())$ ,  $k = (s_i \mapsto (m_1, s_1) \mapsto \dots \mapsto (m_n, s_n))$  generated from SCP  $(\pi = (s_i \mapsto m_1 \mapsto \dots \mapsto m_n))$  with evaluation function  $f()$  is optimal for goal condition  $\gamma$  and heuristic function  $g()$ , written @TODO, if and only if  $r \models \gamma$ , and  $\forall r' = (k' \in [K[\pi]], f()), g(r) \geq g(r')$ .

An SCP  $(\pi, f())$  generated from  $\Pi = (s + i, M, \gamma, f())$  is is optimal for goal condition  $\gamma$  and heuristic function  $g()$ , written @TODO, if and only if  $(\pi, f()) \models \gamma$  and there exists no other  $\gamma$  - satisfying SCP  $(\pi, f())$  which can be generated from  $\Pi$  for which  $g(\pi') > g(\pi)$ .

Optimality is not defined for SCP Tasks.

Formally, an SCP  $\pi = (\pi_0 \mapsto \dots \mapsto \pi_n)$  generated from SCP Task  $\Pi = (s_i, M, \gamma, f())$  with evaluation function  $h()$  is optimality iff  $f(\pi)$  is satisfying and there exists no SCP  $\pi'$  such that  $g(\pi) < g(\pi')$ .

In an SCP context a solution may be optimal for a given task or set of empirical data, but only globally valid or satisfying. A significant part of the appeal of the SCP framework is the potential to use high-scoring local solutions to several tasks or from several reasoners and to predict which are most likely by searching for evidence of repeated structures in the disparate solutions.

## 4.6 External Evaluation Functions

The external evaluation function  $f()$  is responsible for evaluating the final state of the generated SCP to map it to the observed or predicted empirical data observed in respondents. The  $f()$  function in general is an unbounded tool for making a decision with an epistemic state.

As a function, defining  $f()$  forces researchers to specify what information in the epistemic state corresponds to empirical data. The exact procedure by which  $f()$  makes this decision should correspond to researcher's chosen criteria for decision making.

For example, in the Suppression task, as formulated in Section @TODOref, one could define an external activation function  $f_{sup}()$  with an output domain of {She will study late in the library, She will not study late in the library, Uncertain}. The function must output one of these two responses, regardless of the epistemic state it is given to evaluate. And, when using the WCS formulation of the task,  $f_{sup}()$  might be defined as follows:

$$f_{sup}(s_n) = \begin{pmatrix} s_n \models l \in kb \wedge l = \top & \text{She will study late in the library} \\ s_n \models l \in kb \wedge l = \perp & \text{She will not study late in the library} \\ \text{Else} & \text{Uncertain} \end{pmatrix}$$

The question of how to select the right external evaluation function is not a focus of this thesis, but, where necessary, all used evaluation functions will be justified with respect to either common-sense reasoning, or evidence that such a function is already used in a more informal way in the existing literature for that experiment.

In general an external activation function is applied to an SCP or realised SCP, but there is no reason one could not be applied to an SCP task as a whole.

The desired final output  $\gamma$  simply specifies which output of  $f()$  corresponds with observed empirical data of the task under examination. If an SCP is being generated for a task without a known output,  $\gamma$  is set to the entire domain of  $f()$  so that every response is accepted.

## 4.7 Search in SCP-Space

As with any data structure in which one input can produce one or multiple outputs, it is possible to search through SCP space in order to find those SCPs which meet certain criteria. Those criteria might be *validity* (Section 4.5.1), *satisfaction* (conditions in  $\gamma$  are satisfied), or *optimality* (there exists no better solution to this problem).

SCPs lend themselves particularly well to forward search techniques (@TODOref) but also have some potential using backwards or bidirectional search (@TODOref, @TODOref).

Searching through solutions to an SCP task takes one of two forms *De Novo search*, and *Insertion search*. De Novo search generates an SCP that meets the optimality, satisfaction, or validity requirements of the researcher from scratch, using only the information contained in the planning task. Insertion Search changes an existing SCP which models a particular response in order to model a reasoner with differing responses. Section 4.7.1 and Section 4.7.2 discuss the philosophy, applications and mechanical considerations of these two search approaches.

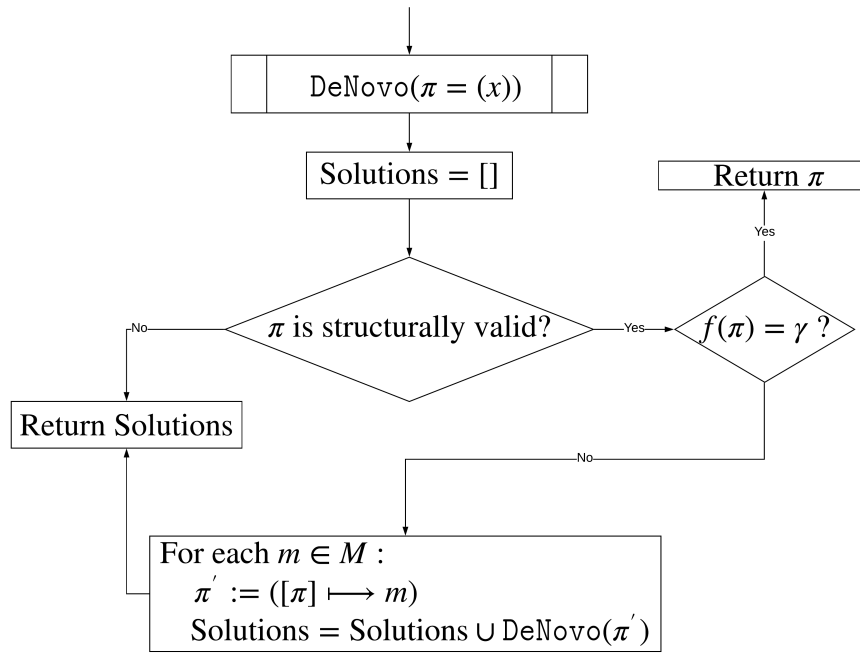


FIGURE 4.3: A breadth-first search algorithm for De Novo SCP search with SCP Task  $\Pi = (x, M, \gamma, f())$ .

### 4.7.1 De-Novo SCP Searching

De Novo (from new) search is a search technique in which a final desirable world state is achieved by generating a sequence of actions from a known initial state. An SCP Task contains all the information required to conduct a de Novo search through the space of allowable SCPs for a given cognitive task. The exact search techniques used can be easily varied, but we will consider de Novo search in terms of a breadth-first traversal (@TODORef).

Figure 4.3 illustrates the process by which breadth first search over an SCP task  $\Pi = (x, M, \gamma, f())$  can be conducted. Search terminates if a structural inconsistency between two states in  $\pi = (x \mapsto A_0 \mapsto \dots \mapsto A_n)$  occurs (e.g. output state point structure of  $A_k$  does not match expected input structure of  $A_{k+1}$ ). Operator sequences are added to the list of solutions if and only if they meet the validity requirements of the search being used, as discussed in Section 4.5.2.

In practice it becomes necessary to limit the search depth of the algorithm to search tractable in most cases, and in some cases, search space and solution space may both be infinitely large, as suggested by Proof 2.

### 4.7.2 Insertion Search

Changing the structure of an existing SCP allows researchers to model deviations from standard reasoning for a task. For example, in Section @TODOref we show that slight modifications to an SCP that models the standard case of the suppression task can lead to an SCP which is able to model reasoners who achieve that classical valid conclusion that she will study late in the library.

The insertion search modifies an existing SCP:

$$\pi = (s_i \mapsto A_0 \mapsto \dots \mapsto A_n)$$

to produce a new SCP:

$$\pi' = \{(s_i \mapsto B_0^* \mapsto A_0 \mapsto B_1^* \dots \mapsto B_n^* \mapsto A_n \mapsto B_{n+1}^*)\}$$

Where  $B_i^* = (B_0 \mapsto \dots \mapsto B_n)$ ,  $B \in M$  or is the trivial operation  $T$  which returns input state point as output. When describing the SCP,  $T$  operations are generally omitted.

In general, Insertion Search is a more difficult search type than De-Novo Search (which is equivalent to an Insertion Search in which the initial SCP is empty). The reason for this is that it is possible for a single insertion to result in an invalid SCP, but adding additional cognitive states may revalidate the SCP (Proof 1).

This consideration means that it is potentially necessary to insert an unbounded number of new cognitive operations at each point in  $\pi$  to find those insertions which are valid. When the total number of new cognitive operations to insert is bounded by  $N$ , however, it becomes possible to generate every possible subsequence of operation insertions of length  $\geq N - k$ , where  $k$  is the number of insertions already used in the search, for each position  $B_i^*$  and determine for which of the resulting SCP cognitive operations sequences  $f(\pi')$  is valid.

Figure @TODOfigure illustrates the process of bounded breadth-first search for Insertion Search.



## Chapter 5

# Cognitive Operations: The Cognitive Toolbox

### 5.1 Overview

As discussed in Section 4.1, and illustrated in Figure @TODOref the simplest imaginable description of sequential human cognition consists of a single step transforming the initial task information (along with background information) into an epistemic state that can be externally interpreted to choose a response. Assuming that this single operation  $A$  transforms the initial knowledge base  $x$  into final state  $x'$  which is interpreted by external function  $f()$ , we can use the shorthand  $f(x \mapsto A)$ , where  $\mapsto$  is a function that takes state point  $x$  as input and produces a new state point  $y$  as output, to summarise this process.

To understand why this is poor choice of SCP, consider a general limitation of the SCP framework: we can only change the output of an SCP by changing the initial epistemic state, or by inserting/deleting a cognitive operation at an existing state point. Consider a case where the initial epistemic state is immutable. Thus, for  $f(x \mapsto A)$ , the only possible changes are:  $f(x \mapsto \bar{B} \mapsto A \mapsto \bar{B})$ ,  $f(x \mapsto A \mapsto \bar{B})$ ,  $f(x \mapsto \bar{B} \mapsto A)$ ,  $f(x \mapsto \bar{B})$ , and  $f(x)$ . Where  $\bar{B}$  denotes the action of drawing some sequence of random, but valid cognitive state transitions. There is no way to change the operations that occur in  $A$ . Thus, even though Figure @TODOref may be an appropriate model for the general reasoner of a given task, it imposes the explicit assumption that all other test subjects who achieved differing results, achieved only by completely ignoring the black-box process the general reasoners used, or else by inserting new operations only at the very start or end of the processing task. We will see an example in Section @TODOref of cases where assumptions like that are simply not reflected by empirical data.

Instead of using a single black-box operation to describe all cognition, it follows logically to use multiple smaller black box operations, each connected by a state point. Using this interpretation, cognitive operations for the general reasoner should be as extensive as possible, provided that they do not force implausible changes to describe deviant users or when used in other cognitive tasks. Figure @TODOref captures this intuition as it applies to the Weak Completion Semantics. Indeed, as a non-monotonic logic that is already explicitly divided into discrete steps in the literature @TODOref, obvious candidate cognitive operations present themselves.

It is worth noting that this increased ability to model deviant reasoners comes at the cost of forcing more state points into the SCP being used.

The remainder of this section is devoted to expressing the exact properties, limitations, and concrete descriptions of cognitive operations, as well as defining several well-founded cognitive operations algorithmically with respect to their preconditions, effects, and output properties in Section @TODOref.

$M$	Finite Length Constraint	Finite Solution Space	Guaranteed Solution
$\Omega^*$	None	X	✓
$\Omega^*$	Yes	X	✓
$\Omega$	None	X	✓
$\Omega$	Yes	X	✓
finite	None	not guaranteed	X
finite	Yes	✓	X

TABLE 5.1: Solution space considerations of SCPs tasks as determined by length constraints and choice of cognitive operations.

## 5.2 Cognitive Operation Space

In principle, the number of cognitive operations available for use in an SCP is unlimited. We denote the space of all cognitive operations  $\Omega$ , and the space of all sequences  $(A_0 \mapsto \dots \mapsto A_n)$  with  $\Omega^*$ . There cannot and never will be an exhaustive search procedure to evaluate every possible way of manipulating an epistemic state (Proofs 5 and 2 illustrate the existence of valid SCPs for infinitely many different cognitive operations and valid SCPs of any length, respectively). However, there are ways of limiting the number of allowable cognitive operations. Lemma 1 defines those cases in which there is redundancy in the set of all possible cognitive operations, Lemma 2 focuses this concept on specific cognitive tasks which are to be modelled using SCPs.

The total number of possible non-trivial SCPs of length  $n$  for an SCP Task is given by  $(|M|^L - R)$  where  $|M|$  is the number of elements in the set of cognitive operations  $M$ ,  $L$  is the length of the SCP, and  $R$  is the number of possible non-overlapping task redundant SCPs for the task. @TODOdefineOverlappingRedundantSCPs

## 5.3 A Limited Set of Allowable Operations

In practice it is impossible compute the set of all candidate SCPs when the set of allowable cognitive operations  $M$  in a planning task is  $\Omega^*$  or even  $\Omega$ . Without a length constraint Proof 2 shows that it is not even possible to compute all possible SCPs for many SCP tasks with a finite set of allowable operations. Table 5.1 summarises the properties of solution space under different SCP task conditions.

### 5.3.1 A Limited Set of Cognitive Operations

Readers should recognize that using the space of all possible cognitive operations for  $M$  results in a computationally impossible task. No matter the other conditions imposed there will be infinitely many solutions to the given SCP task which satisfy  $f()$ .

But, beyond being just computationally infeasible, there are more empirical and philosophical objections to this approach. The first is that the human brain itself does not have infinite processing or space capabilities and so any model which allows for unrestricted data sizes in the set of allowable cognitive operations  $M$  or in the number of resultant state points  $M$  is not biologically well-founded<sup>1</sup>.

<sup>1</sup>Because  $\Omega$  is the set of all possible cognitive operations there must be at least one  $m \in M$  such that, for any given input  $x$ ,  $x \mapsto m$  results in a state point of size greater than the capacity of any storage system to hold.



The second consideration is that there is considerable evidence in the literature that many problems can be modelled using logical frameworks which describe cognitive behaviour across a variety of tasks @TODOref. These frameworks reflect a more generally philosophy in cognitive psychology which is the idea of consistent cognitive motifs in reasoning. Using an infinite  $M$  shows no discrimination at the time of task-formulation between those operations for which there is significant evidence and those which are fundamentally improbable.

### 5.3.2 A Limited SCP Length

As discussed in Section 5.3.1, the human brain is constrained by a set of physical computational and storage limitation. In all case except those which are trivial or repetitive it would be computationally infeasible for the human mind to mimic an infinitely long SCPs. For this reason, SCPs of infinite length, though possible in a mathematical formulation, tend violate the spirit of the SCP Framework which is to *accurately* model human cognition processes, not simply their conclusions.

It is worth noting that SCPs containing infinite loops can exist and can be evaluated at any time point  $n$ , they simply cannot be evaluated in their entirety.

## 5.4 Purpose-Selected Cognitive Operations

### 5.4.1 Overview

This section discusses the intuition and precise definition of several cognitive operations which will be used throughout the remainder of this paper. These operations allow the SCP Framework to mimic non-monotonic logics for the experiments discussed in Chapter TODOchapter.

A cognitive operation  $m$  is always evaluated in terms of each base point of input state point, and each base point can be considered independent from the others. For these definitions, a base point  $\bar{p}$  is assumed as input and a state point is returned as output. Function  $\chi(\bar{p})$  determines the validity validity of the input base points.  $\Sigma_\chi$  is called the input alphabet and defines the minimal set of an variables names which must be present in  $\bar{p}$  for the operation to be allowable.

Accessing a specific element  $\alpha_k$  in the structural variables of base point  $\bar{p} = (\alpha_1, \dots, \alpha_n)$  is short-handed to  $\bar{p}[\alpha_k]$ .  $\bar{p}[\alpha_k]$  returns  $\alpha_k$  if and only if  $\alpha_k \in \bar{p}$  and returns the empty set otherwise. This indexing notation is also used to append a structural variable to  $\bar{p}$  and  $\bar{p}[\alpha_j] := t$  removes  $\bar{p}[\alpha_j]$  from  $\bar{p}$  if it already exists and creates a new structural variable  $\alpha_j = t$  in the resulting state point  $p'$ .

### 5.4.2 Initialisation

@TODOremove entirely?

The initialisation cognitive operation (`init`) is always the first operation in an SCP. Thus, unlike every other cognitive operation, `init` has no prior cognitive operation from which to draw an epistemic state. Instead, it creates an epistemic state that reflects the initial inferential rules and variable assignments known by the agent. These rules and assignments are drawn from two distinct sources:

- **The initial interpretation of the task given:** in the case of the Suppression Task, for example, this rule corresponds to the given rules about Alice and the Library.

- **Background information:** though this knowledge base is as large as every fact and inferential rule by known the participant, no computational is lost by only showing those facts and inferential rules that directly relate to the task in question<sup>2</sup>.

In many ways it could be argued that the `init` operation is simply an aggregate cognitive operation that each encodes either a variable or rule insertion into the epistemic state (@TODOfig). The one caveat to this interpretation is that there must still be some first cognitive operation which takes no input and produces an epistemic state of suitable structure as output.

Because it is actually used as a shorthand for whatever sequence of sub-operations is needed to represent the initial epistemic state `init` is the only cognitive operation which differs on a task-by-task and a reasoner-by-reasoner basis. Every other cognitive operation is a uniquely-named pipeline whose internal structure is fixed for any task in which it appears.

### 5.4.3 Propositional Logic

An SCP for propositional logic must be able to take a set of rules and facts and draw the set of all valid inferences from that set using the standard propositional rules discussed in Section @TODOref. To that purpose, we introduce a cognitive operation called `th` which draws all classically valid inferences from a set of logical rules<sup>3</sup>.

`th` is by its nature a contrived cognitive operation and there is no expectation that it truly reflects human cognition. Instead, it serves as a benchmark operation with which to compare the results derived from knowledge base-driven logical approaches. Even a simpler cognitive operation called SAT which determines if the given knowledge base is satisfiable still represents an *NP-complete* (@TODOref) problem and is infeasible for any large knowledge base.

However, variations on the intuition of these two problems have real applications in cognitive modelling with SCPs and will be discussed throughout this section.

---

**Algorithm 2:** `th( $\bar{p}$ )`: generates the potentially infinite set of possible classical inferences from  $\bar{p}[S]$ .

---

**Function**  $\chi(\bar{p})$  **is**

```

 $\Sigma_\chi = \{\};$ 
if  $\forall v \in \Sigma_\chi, v \in p$  then
  | Accept  $p$  as input;
else
  | Reject  $p$ ;
end

```

**end**

**Function**  $e(\bar{p})$  **is**

```

 $\bar{p}[S] := \bar{p}[S] \cup [(\text{atom name} \leftarrow \text{value}) \mid (\text{atom name}, \text{value}) \in \bar{p}[V] \text{ and } \text{value} \neq u];$ 
 $\bar{p}[S] := \bar{p}[S] \cup [A \mid \text{clause } A \text{ would not make } \bar{p}[S] \text{ inconsistent}];$ 
 $\bar{p}[V] := [B \mid B \leftarrow \text{body} \in \bar{p}[S] \text{ and } I(\text{body}) = \top \text{ or } I(\text{body}) = \perp];$ 
return  $\bar{p}$ 

```

**end**

---

<sup>2</sup>The question of precisely *how* to sift through that enormous amount of information and select appropriate knowledge for the task at hand is an open problem.

<sup>3</sup>The reason that we do not introduce the functionality of the `th` cognition operation in the external evaluation function  $f()$  is that doing so would make it impossible to directly use the derived theorems as part of another SCP.

A realised SCP  $r = (k = K[\pi], f())$  is said to model the classical inference, if  $f(k) = f(s_i \in \pi \mapsto \text{th})$ . An SCP  $\pi$  is said to model the classical inference if some realised SCP generated from  $\pi$  models the classical inference.

#### 5.4.4 Variable Insertion

Variable insertion is a single cognitive operation with a highly intuitive interpretation and justification. By its nature, the set of variables  $V \in \bar{p}$  in an epistemic state  $\bar{p}$  is not a true possible world (as discussed in Section @TODOref), and may omit variables present in the real possible world. But one these variables may become apparent later. For example, when interpreting a conditional as a license for implication, or when storing the result of a calculated mathematical problem.

It seems reasonable then that a cognitive operation  $\text{addV}$  which appends a variable to base point  $V$  would be required to expand to the knowledge base to incorporate new facts.

---

**Algorithm 3:**  $\text{addV}(\bar{p})$ : adds a variable  $\alpha$ , defined *a priori*

---

```

/* The variable to be added, defined before SCP execution.          */
v :=  $\alpha$ ;
Function  $\chi(\bar{p})$  is
|  $\Sigma_\chi = \{\}$ ;
| if  $\forall v \in \Sigma_\chi, v \in p$  then
| | Accept  $p$  as input;
| else
| | Reject  $p$ ;
| end
end
Function  $e(\bar{p})$  is
|  $\bar{p}[V] := \bar{p}[V] \cup \alpha$ ;
| return  $\bar{p}$ 
end

```

---

In order to ensure that the SCP still acts as a pipeline of information, it is necessary that  $\text{Add}\alpha$  for variable  $\alpha = (\text{atom name, value})$  is defined as part of the allowable operations of the SCP Task II which generates the SCPs and realised SCPs that will make use of it. The core principle of this pipeline framework is that each usable component (cognitive operation) of that framework which is not the input value should not be modified.

#### 5.4.5 Variable Deletion

Following on from the  $\text{addV}$  operation, the  $\text{removeV}$  operations captures human intuition of forgetting. Consider the sequence of numbers: 1, 44, 27, 8, 0, -4, 6, 7, 346, 7, 74, 7, 234, -55, 2.4, 18. Now without looking back at the numbers, ask yourself some questions: how many numbers were there? Were any of them prime? How many numbers were repeated? In all probability you are not entirely sure. This simple thought experiment provides support for our first extension, the idea that variables can be “forgotten”, that is, that information that existed in the knowledge base at one point in time might no longer exist at a later timepoint.

This is not the only imaginable case where a variable might be removed from the knowledge base of the person being modelled. The size of the knowledge base used for cognitive modelling is always implicitly restricted to relevant variables. Only those variables whose values might reasonably be expected to affect the final conclusions drawn with regard to the research question should be considered. Finding which variables and rules are relevant is,

however, non-trivial. For another real-life example, imagine a mystery novel: Three hundred pages of plot descriptions, character actions, and dialogues. In a good murder mystery novel every piece of information that reveals the killer's identity is hidden in the story itself, yet we do not hold every fact and interaction in the book in our epistemic model of the book, so discerning the identity of the killer remains a mystery until the last page. But when the mystery is solved, many details that we internalised while reading (and recall in retrospect) suddenly make the conclusion seem obvious. We have not forgotten this information, we had merely incorrectly deemed it irrelevant at the time and ignored it in our cognitive processing.

Forgetting a variable in an SCP is not-trivial task, just as removing a variable in an AI planning task @TODOref is non-trivial. Multiple intuitions for how it may best be done occur, but we will keep this definition as simple as possible for the examples that will be discussed in Chapter @TODOref. We introduce three cognitive operations  $\text{remove}_{\top} V$ ,  $\text{remove}_{\perp} V$ , and  $\text{remove}_{\text{u}} V$ .  $\text{remove}_{\top}$  assumes that conditionals and rules which depend on the removed variable are validated by its removal.  $\text{remove}_{\perp} V$  assumes that rules and conditionals are invalidated by the removal.  $\text{remove}_{\text{u}} V$  assumes that no conclusion can be drawn without this information. These three approaches can be differentiated by these examples:

- "If aliens invade, then I will hide in my bunker."
- "If I do not fail my exam, then I will graduate."
- "Unless I am a boy, I am a girl."

In the first example, the presumed default position is that I will not hide in my bunker. In the second, the default position is that I will graduate. And in the third example there is no apparent default position. In the absence of the conditions in each each of these conditionals we would probably conclude that, I am probably not hiding in my bunker, I probably graduated, and that my gender is uncertain. This observation implies that a mechanism by which to determine the plausibility of default positions is needed to translate such default theories into a non-monotonic framework. In the absence of such a mechanism we will generally

assume  $\text{remove}_\perp V$ , as with the closed-world assumption.

---

**Algorithm 4:**  $\text{remove}_\perp V(\bar{p})$ : removes a variable name  $v$ , defined *a priori*

---

```

/* The variable to be deleted, defined before SCP execution.          */
v := atom name;
Function  $\chi(\bar{p})$  is
|  $\Sigma_\chi = \{\}$ ;
| if  $\forall v \in \Sigma_\chi, v \in p$  then
| | Accept  $p$  as input;
| else
| | Reject  $p$ ;
| end
end
Function  $e(\bar{p})$  is
| /* Remove  $\alpha$  from the possible world variables.                  */
|  $\forall_{\alpha=(v, \text{value} \in \text{domain}(v))} \bar{p}[V] := \bar{p}[V] - \alpha$ ;
| for  $\text{rule} = (\text{head} \leftarrow \text{body}) \in \bar{p}[S]$  do
| | if  $\text{head} = v$  then
| | |  $\bar{p}[S] := \bar{p}[S] - \text{rule}$ ;
| | end
| | if  $v \in \text{body}$  then
| | | replace all subclauses  $(v \vee \phi), (v \wedge \phi) \in \bar{p}[S]$  with  $(\phi)$ ;
| | end
| end
| for  $\text{rule} = (\text{head}|\text{body}) \in \bar{p}[\Delta]$  do
| | if  $\text{head} = v$  then
| | |  $\bar{p}[\Delta] := \bar{p}[\Delta] - \text{rule}$ ;
| | end
| | if  $v \in \text{body}$  then
| | | replace all subclauses  $(v \vee \phi), (v \wedge \phi) \in \bar{p}[\Delta]$  with  $(\phi)$ ;
| | end
| end
| /* This is where  $\text{remove}_\perp V$  differs from  $\text{remove}_\top V$ , and  $\text{remove}_u V$  */
| replace all rules  $\text{head} \leftarrow v \in \bar{p}[S]$  with  $\perp$ ;
| replace all rules  $\text{head} \leftarrow \neg v \in \bar{p}[S]$  with  $\neg \perp$ ;
| replace all rules  $(\text{head}|v)$  with  $\perp$ ;
| replace all rules  $(\text{head}|\neg v)$  with  $\neg \perp$ ;
| return  $\bar{p}$ 
end

```

---

#### 5.4.6 Variable Silencing

#### 5.4.7 Variable Fixation

The next case of a potential complex operation to add to the search space of our SCPs is the idea of Variable Fixing. The idea that some conclusions can be fixed *a priori*. This operation may prove contentious to those who are experienced with mathematical logic, but holds some merit when justified from a psychological perspective.

Consider a person who strongly doubts the effectiveness of vaccines, we will call her Karen. Karen started her day convinced that giving her child the MMR vaccine is more dangerous than the disease itself. Later that day Karen spoke to her doctor who strongly

advised that she vaccinate her child. He offered her a variety of peer-reviewed papers and studies that showed the relative safety of the vaccination. Karen listened carefully to the trained medical professional, and then went home. After some thought Karen decided that he was wrong, and her opinion on vaccines didn't change.

In this example Karen shows a very powerful type of cognitive bias, the unwillingness to change her opinions, despite powerful evidence to the contrary. This phenomenon has been observed across a great many fields of study, from medical psychology (Brown et al., 2010) (Wroe et al., 2005) to political sciences (Tappin, Leer, and McKay, 2017). In the context of cognitive modelling with logics, it indicates that some mental rules or variables are immutable, regardless of new evidence or valid beliefs that would logically contradict them. Non-monotonic logics, as a class, are already capable of dealing with bias effects, as non-monotonic logics are built on the basis of a preference operation.

In order to implement this operation, we will make use of the categorization variable  $R$  first discussed in Section @TODOref.

---

**Algorithm 5:**  $\text{FixV}(\bar{p})$ : fixes a variable name  $v$ , defined *a priori*

---

```

/* The variable to be fixed, defined before SCP execution.                */
 $v := (\alpha = (\text{atom name}, \text{value}))$ ;
Function  $\chi(\bar{p})$  is
|    $\Sigma_\chi = \{\}$ ;
|   if  $\forall v \in \Sigma_\chi, v \in p$  then
|   |   Accept  $p$  as input;
|   else
|   |   Reject  $p$ ;
|   end
end
Function  $e(\bar{p})$  is
|    $\bar{p}[V] := \bar{p}[V] - (\alpha, v \in \text{domain}) \in \bar{p}[V] \cup \alpha$ ;
|    $\bar{p}[R][\text{fixed}] := \bar{p}[R][\text{fixed}] \cup \alpha$ ;
|   return  $\bar{p}$ 
end

```

---

This algorithm removes 'atom name' from the possible world  $V$  and readds it with the value to which it is to be fixed. The atom is then added to the list of fixed variables kept by  $\bar{p}[R]$ . This list of fixed variables is used by other operations which evaluate state points later in the SCP or realised SCP, and, as per their encoding, they will not modify the assignment of this variable.

#### 5.4.8 Adding Abnormalities

As we have discussed (Section ??, one way to interpret conditionals in reasoning tasks is as licenses for implication. One possible definition for a cognitive operation that performs this same task by transforming defeasible conditional facts to propositional logic rules is as given by Algorithm 6.

---

@TODOdefinesigmachiasalphabetofvariablesincogop

---

**Algorithm 6:** addAB( $\bar{p}$ )

---

```

Function  $\chi(\bar{p})$  is
   $\Sigma_\chi = \{\}$ ;
  if  $\forall v \in \Sigma_\chi, v \in p$  then
    | Accept  $p$  as input;
  else
    | Reject  $p$ ;
  end
end

Function  $e(\bar{p})$  is
  /* Variables for which an abnormality has already been created */
  for  $(\psi|\phi) \in \bar{p}[\Delta]$  do
     $k :=$  the lowest natural number for which  $ab_k \notin \bar{p}[S]$ ;
    all dependencies  $:= [A | (\psi|A) \in \bar{p}[\Delta]]$ ;
    for  $A \in$  all dependencies do
      head  $:= \psi$ ;
      /* All other variables which can make  $\psi$  true are treated as
         though they could also falsify  $\psi$ . */
      current dependencies  $:=$  all dependencies  $- A$ ;
      if current dependencies  $= \{\}$  then
        | current dependencies  $:= \perp$ ;
      end
      body  $:=$  (current dependencies1  $\vee \dots \vee$  current dependencies $n$ );
      /* Add the conditional as a license for implication to the set
         of rules. */
       $\bar{p}[S] := \bar{p}[S] \cup (\text{head} \leftarrow A \wedge \neg ab_k)$ ;
       $\bar{p}[S] := ab_k \leftarrow \neg \text{body}$ ;
    end
    /* Add the new abnormality to the possible world  $V$ . */
     $\bar{p}[V] := \bar{p}[V] \cup (ab_k, u)$ ;
  end
  /* Remove all conditionals now that they have been interpreted as
     licences for implication. */
   $\Delta := \{\}$ ;
  return  $\bar{p}$ 
end

```

---

### 5.4.9 Weakly Completing

Weak completion is an essential part of the WCS. Under the assumption that the WCS is a valid representation of human cognition in at least one scenario, any comprehensive set of cognitive operations  $M$  must be able to mimic the Weak Completion of a knowledge base.

Algorithm 9 shows one way in which this can be achieved.

---

**Algorithm 7:**  $wc(\bar{p})$

---

**Function**  $\chi(\bar{p})$  **is**

```

 $\Sigma_\chi = \{\};$ 
if  $\forall v \in \Sigma_\chi, v \in p$  then
  | Accept  $p$  as input;
else
  | Reject  $p$ ;
end

```

**end**

**Function**  $e(\bar{p})$  **is**

```

Replace all rules  $\in \bar{p}[S]$  of the form  $A \leftarrow \text{body}_1, \dots, A \leftarrow \text{body}_n$  with
 $A \leftarrow \text{body}_1 \vee \dots \vee \text{body}_n$ ;
Replace all occurrences of  $\leftarrow \in \bar{p}[S]$  with  $\leftrightarrow$ ;
return  $\bar{p}$ 

```

**end**

---

#### 5.4.10 Semantic Operator

Another essential step in the WCS, the semantic operator is used to assign all variables to either True or False (explicitly), or to Unknown (implicitly). Algorithm 8 illustrates one way in which this cognitive operation can be implemented for any epistemic state of the form



$s = (KB, V, \dots)$ .

---

**Algorithm 8:**  $\text{semantic}(\bar{p})$ 


---

```

Function  $\chi(\bar{p})$  is
   $\Sigma_\chi = \{\}$ ;
  if  $\forall v \in \Sigma_\chi, v \in p$  then
    | Accept  $p$  as input;
  else
    | Reject  $p$ ;
  end
end

Function  $e(\bar{p})$  is
  /* All least models that can achieved by applying the semantic
    operator to the current state point. */
   $p' = \{\text{phi}_{SvL}(\bar{p})\}$ ;
  return  $p'$ 
end

Function  $\phi_{SvL}(\bar{p})$  is
  if there exists a clause  $A \leftarrow \text{body} \in \bar{p}[S]$  with  $I_V(\text{body}) = \top$  then
    | if  $A \notin \bar{p}[\text{fixed}]$  then
      | |  $\bar{p}[V][A] := \top$ 
    | end
  end
  if there exists a clause  $A \leftarrow \text{body} \in \bar{p}[S]$  and for all clauses  $A \leftarrow \text{body} \in \bar{p}[S]$  we find
     $I_V(\text{body}) = \perp$  then
    | if  $A \notin \bar{p}[\text{fixed}]$  then
      | |  $\bar{p}[V][A] := \perp$ 
    | end
  end
  return  $\bar{p}$ 
end

```

---

#### 5.4.11 Default Inferencing

If we assume that Reiter's default logic is a valid model of cognition for at least one task, it follows that an comprehensive formulation of  $M$  must encode a cognitive operation for drawing inferences from a set of default rules. Figure @TODOref illustrates the procedure for drawing such inferences from an epistemic state state of the form  $s = (KB, V, D)$  where  $KB$  is a set of inference rules,  $V$  is mapping of variable names onto variable values, and  $D$  is a set of default rules of the form  $\frac{\text{condition:exception}}{\text{conclusion}}$  @TODOrewriteEquationWithStandardSymbols.

## 5.5 Cognitive Operations as Aggregates

An obvious and interesting idea follows from Proof 4 which is the idea of finding well-founded, uninterrupted epistemic operation sequences which are effective in modelling a variety of tasks and representing them as a single epistemic operation. We call this approach *aggregating*, and it draws inspiration from recent advances in the field of reinforcement learning @TODORef in which simple tasks previously achieved are used as allowable actions when attempting to solve complex tasks.

This approach introduces the desirable property of shortening the total length of the SCP for a given set of cognitive tasks. However, it is evident from Proof 3 that any SCP task in which an aggregated subsequence of operations replaces those individual operations in  $M$  may be less expressive than the same formulation in which the aggregated operations are still present.

For example, the cognitive processes  $wc$  and  $semantic$  could be combined together to form a single  $wcs$  operator as follows:

---

**Algorithm 9:**  $wc(\bar{p})$

---

```

Function  $\chi(\bar{p})$  is
   $\Sigma_\chi = \{\}$ ;
  if  $\forall v \in \Sigma_\chi, v \in p$  then
    | Accept  $p$  as input;
  else
    | Reject  $p$ ;
  end
end

Function  $e(\bar{p})$  is
   $\bar{p}' := wc(\bar{p})$ ;
   $p' := semantic(\bar{p}')$ ;
  return  $p'$ 
end

```

---

The use of aggregates then, is a trade-off between sacrificing cross-reasoner accuracy and optimising a set of desirable heuristic properties such as length minimisation.

In many ways this compromise follows the philosophy of cognitive modelling in general. A neuron-by-neuron approach to predicting human behaviour (if one were ever possible) would give us extreme accuracy in modelling any human reasoner, but is too complex to be practical, and even if it were, would provide no abstracted information with which to find common motifs and inferences among reasoners. On the other extreme, modelling reasoners as *(input, output)* pairs perfectly captures the average predictions of a population, but proves very inaccurate for unseen individuals. State-of-the-art approaches to cognitive modelling like neural networks and non-monotonic logical frameworks seek generalizations which accurately capture the responses of most reasoners across as many tasks as possible by approximating human motifs in human reasoning and applying across multiple tasks and inputs.

The question of how to generate appropriate complex operation aggregates is a complex problem and is not discussed further in this thesis. It is, however a topic in which the author has significant interest.

## 5.6 Epistemic State Structure Changes with Cognitive Operations

The conception and mathematics of cognitive operations which produce output epistemic states points which differ in structure from the epistemic input structure are fairly straightforward.

Some cognitive operation  $A$  with input base point structure  $struct(s_k)$  and output base point structure  $struct(s_{k+1})$  is called a *structural transformation operation* iff  $struct(s_k) \neq struct(s_{k+1})$ .

The intuition behind structural state changes goes back to Albert Einstein's quote that opened this thesis "Everything should be made as simple as possible, but no simpler.". In theory there is no restriction on SCP structure that would prevent every epistemic state

passing an arbitrarily large number of variables and arguments. An SCP meant to represent a cognitive task where participant responses are consistent with propositional logic, for example, could be accurately represented with an initial state  $s_x = (KB)$  where  $KB$  is simply the knowledge base of facts and rules given; but a researcher might equally use an epistemic state  $s_y = (KB, V)$  where a variable  $V$  is intended to store the values of each variable in  $KB$ ; or even  $s_z = (KB, V, D)$  where  $D$  is a set of default rules. All three of these approaches would give an SCP the information required to evaluate the propositional task, but, if we know the task structure does not deal with uncertainty or show variation in reasoner responses, it becomes apparent that the set of default rules  $D$  is unnecessary.

All of the possibilities given hold for the propositional task because  $s_y$  and  $s_z$  are both supersets of  $s_x$  and  $s_x$  is sufficient to model the task. Thus, provided the researchers do not believe that the task will make use of cognitive operations which require more complex state structures,  $s_x$  is the simplest solution which meets the requirements of the researchers.

An obvious extension to the discussion above is the fact that a transformation from one state point structure to another when the input structure is a subset of the output structure is as easy as appending more structural variables to the input state. This transition holds in the example above in which a propositional logic compliant state point  $s_x$  could be transformed into a WCS compliant state point  $s_y$  or a default rule compliant state point  $s_z$ .

Unfortunately, structural change by appending variables are not universal obvious cases of structural transformation where they do not apply spring to mind. Such as the case where a more expressive state point must be changed to a less expressive state point structure. Imagine a case where a cognitive operation  $B$  transforms a case point of  $\text{struct}(s_z)$  to one of  $\text{struct}(s_y)$ . An intuitive example of this might be a hypothetical mental operation which handles biases in thinking. A student might begin at an epistemic state which believes "usually, if I study for tests, I fail" and, through bias confirmation, come to believe "If I study for tests, I fail", transitioning from a default rule, to immutable rule.

In cases like this, it is up to the researcher to make several decisions: do I believe that the now empty set of variables  $D$  no longer provides meaningful information? And how do I represent the change in the variables still present in the output state? These questions are very often task or function specific and cannot be answered in a general sense.

@TODOcompletetatestransformation

## 5.7 Two Approaches to Creating Cognitive Operations

### 5.8 Theoretical Approximation

It seems reasonable that, given our desire to accurately model reasoning in participants, researchers creating cognitive functions for SCPs would choose to limit the set of allowable cognitive operations to those for which there is a sound theoretical basis. The reasons for this choice were discussed in Section @TODOref.

The first approach to creating cognitive operations we will consider is the use of cognitive operations for which there is already evidence in our existing models of human cognition. This evidence can take a wide variety of forms: it may follow from our knowledge of physics (for example, the impossibility of storing a set of unrelated variables above a certain physical threshold); evolutionary biology (there cannot exist a class of organisms without some kind of reproductive drive); anatomy (there must exist cognitive processes that facilitate voluntary muscle activation); sociology (some neuronal connections seem to restrict the number of close friends a human can maintain @TODOref); or any other field of science. Using this information can allow researchers to predict what cognitive functions may play a role in observed empirical data.

For the most part, theoretical approximation is a very powerful and well-justified mechanism for determining which cognitive operation could plausibly be considered in the cognitive toolbox for a given task. Restricting the space of other cognitive operations which may play a part is also enabled by all the scientific fields mentioned above, complexity theory tells us that humans are unlikely to apply exhaustive classical logic reasoning to a task when the set of variables and rules to be considered is large because of physical limitations related to solving *NP-complete* or harder tasks efficiently.

At present, the foundation of non-monotonic reasoning in cognitive modelling is this theoretical mindset, a well-founded explanation is found by borrowing from a relevant field (often psychology) and then tested against empirical evidence and across multiple cognitive tasks to show evidence that is a reasonable approximation of a mechanism in human cognition.

## 5.9 Empirical Approximation

Contrasting theoretical approximation is the field of constrained empirical approximation. Techniques ranging from neural networks and Markov models to genetically inspired techniques consistently outperform humans in a huge variety of fields. Drawing on enormous databases of empirical data, modern artificial intelligence researchers have come to view machine learning as the most plausible way to create the first real thinking machine @TODOref.

@TODOfinish

## Chapter 6

# Modelling Experiments with SCPs

### 6.1 Overview

As with any cognitive framework, the most important metric for judging the success of SCPs comes from testing how well SCPs can approximate empirical data across tasks. This chapter will show the suitability of SCPs with a common set of allowable cognitive operations for modelling several well-studied experiments in cognitive modelling.

In particular, we will show that the Wason Selection Task, Suppression Task and @TODO can be modelled at both the general and individual reasoner level with SCPs in a formulation that intuitive and consistent with the WCS approach already described in Section @TODOref.

### 6.2 Suppression Task

To model this experiment in the SCP framework, we must first define the SCP Task  $\Pi_{sup} = (s_i, M, f(), \gamma)$  which outlines the restrictions and goals of the model. The structure of  $s_i$  must be robust enough to capture information regarding a set of rules, variable assignments in the possible world, and conditionals. To this end we define:

$$s_i^{\text{noSup}} = \{S, \Delta_{\text{noSup}}, V\}$$

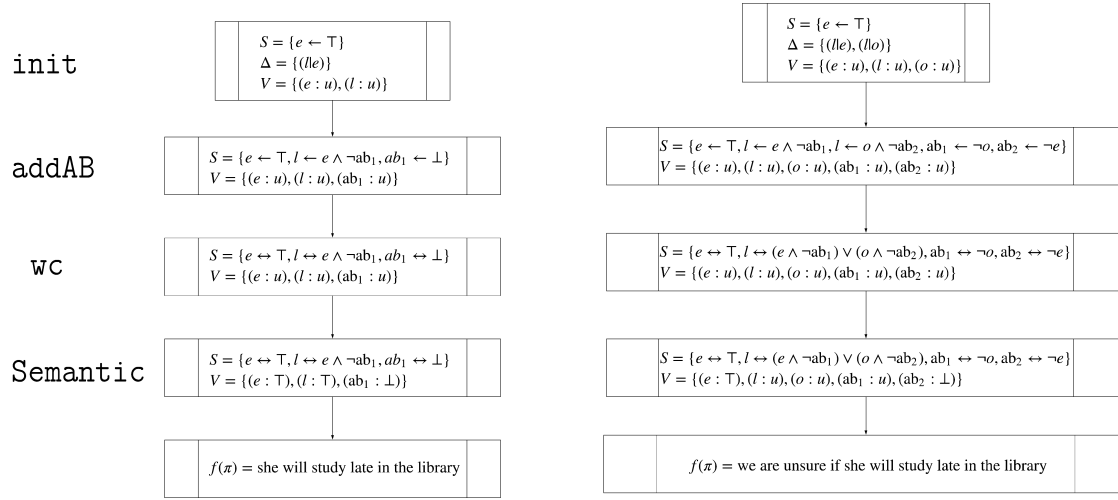
$$s_i^{\text{sup}} = \{S, \Delta_{\text{sup}}, V\}$$

For the the only unconditional rule, that she has an essay to write, we define  $S = \{(e \leftarrow \top)\}$ . The set of conditional rules  $\Delta_{\text{noSup}} = \{l|e\}$  captures the probable assumption that she will study late in the library if she has an essay to write,  $\Delta_{\text{sup}} = \{(l|e), (l|o)\}$  captures the addition of the conditional that if the library is open she will study late in the library. And the possible world  $V = \{(e, u), (l, u)\}$  sets all variable names occurring in  $S$  and  $\delta$  to unknown.

The second consideration  $M$ , denotes the set of possible cognitive operations which may occur in the resulting SCPs and realised SCPs of  $\Pi_{sup}$ . Following the intuition we used in Section @TODOref we choose cognitive operations that enable application of the WCS and interpretation of conditionals. To that end we define:

$$M = \{\text{addAB}, \text{semantic}, \text{wc}\}$$

The external evaluation function should translate the SCP into a decision that can be compared with empirical data. In the Suppression Task we will treat  $f()$  as the question: 'Will she study late in the library?'. Under the assumption only the final state point  $p_n$  determines whether she will study late, we define:

FIGURE 6.1: Suppression for the two SCPs  $\mu_{\text{noSup}}$  and  $\mu_{\text{sup}}$ 

$$f(\pi) = \begin{pmatrix} \text{she will study late in the library} & (l, \top) \in p_n[V] \\ \text{she will not study late in the library} & (l, \perp) \in p_n[V] \\ \text{we are unsure if she will study late in the library} & \text{otherwise} \end{pmatrix}$$

Next, we define the empirical result we wish to emulate  $\gamma$ . In this case we will define  $\gamma_{\text{textnoSup}}$  to model cases where no suppression is observed, and  $\gamma_{\text{sup}}$  to model cases where suppression is observed.

$$\gamma_{\text{textnoSup}} = \text{she will study late in the library}$$

$$\gamma_{\text{textsup}} = \text{she will not study late in the library}$$

Armed with these definitions, it is possible to model the case where she will study late in the library  $\Pi$  as follows:

$$\Pi_{\text{noSup}} = (s_i^{\text{noSup}}, M, f(), \gamma_{\text{noSup}})$$

De Novo search on  $\Pi_{\text{noSup}}$  returns the satisfying SCP  $\mu_{\text{noSup}}$ :

$$\mu_{\text{noSup}} = (\pi = (s_i^{\text{noSup}} \mapsto \text{addAB} \mapsto \text{wc} \mapsto \text{semantic}), f())$$

Figure @TODOref illustrates the realised SCP for  $\mu_{\text{noSup}}$  and illustrates that  $\mu_{\text{noSup}} \models \gamma$ .

The next case to examine is the one in which we show evidence of suppression in the SCP framework  $\Pi_{\text{sup}}$ . To that end we find satisfying SCPs for the task:

$$\Pi_{\text{sup}} = (s_i^{\text{sup}}, M, f(), \gamma_{\text{sup}})$$

De Novo search on  $\Pi_{\text{sup}}$  returns the satisfying SCP  $\mu_{\text{sup}}$ :

$$\mu_{\text{sup}} = (\pi = (s_i^{\text{sup}} \mapsto \text{addAB} \mapsto \text{wc} \mapsto \text{semantic}), f())$$

Figure ?? illustrates the realised SCP for  $\mu_{\text{noSup}}$  and  $\mu_{\text{sup}}$  and illustrates a suppression effect between the two.

These two examples have served to show that suppression can be modelled in the SCP framework. The reader might be tempted to argue that the SCP  $\mu_{ce1} = (\pi_{ce1} = (s_i^{\text{sup}}, f()), f())$  also demonstrates Suppression because  $f(\pi_{ce1}) \models \gamma_{\text{sup}}$ . However, this is not the case because Suppression occurs only when inferences drawn from a knowledge base *no longer* occur. Using the same SCP without the additional conditional in the epistemic state results in  $\mu_{ce2} = (\pi_{ce2} = (s_i^{\text{noSup}}, f()), f())$  and  $f(\pi_{ce2}) = f(\pi_{ce1})$ . Suppression is only demonstrated when the inference *changes* when the initial state is given additional information.

To illustrate the ability of the framework to model individual reasoners, we examine those reasoners who despite knowing both that if she has an essay to write she will study late in the library and that if the library is open she will study late in the library still do not demonstrate suppression, and instead draw the classical conclusion that she will study late in the library. To this end we define a third SCP Task  $\Pi_{\text{deviant}}$ .

$$\Pi_{\text{deviant}} = (s_i^{\text{sup}}, M, f(), \gamma_{\text{noSup}})$$

De Novo search on  $\Pi_{\text{sup}}$  returns no satisfying SCP for this task and it is clear that some change must be made, either to the knowledge contained in the initial state, or to the set of allowable operations  $M$ . The initial state could be supplemented by additional background knowledge, but we cannot be certain of this background knowledge and instead will focus on finding appropriate cognitive operations to add to our model. We create  $M' = M \cup \text{delete}_o \cup \text{fix}_{(ab_1 \leftarrow \perp)}$ .  $M'$  is now able to delete the variable  $o$  and to force the  $V[ab_1]$  to always return  $(ab_1, \perp)$ . To see the explanations and justifications for these two cognitive operations, refer to Section @TODOref, and Section @TODOref.

Equipped with these two cognitive operations, there are now two SCPs of length 4 which can model the SCP Task  $\Pi'_{\text{deviant}}$ .

$$\Pi'_{\text{deviant}} = (s_i^{\text{sup}}, M', f(), \gamma_{\text{noSup}})$$

$$\mu_{\text{deviant}}^1 = (\pi_{\text{deviant}}^1 = (s_i^{\text{sup}} \mapsto \text{delete}_o \mapsto \text{addAB} \mapsto \text{wc} \mapsto \text{semantic}), f())$$

$$\mu_{\text{standard}}^1 = (\pi_{\text{standard}}^1 = (s_i^{\text{noSup}} \mapsto \text{delete}_o \mapsto \text{addAB} \mapsto \text{wc} \mapsto \text{semantic}), f())$$

$$\mu_{\text{deviant}}^2 = (\pi_{\text{deviant}}^1 = (s_i^{\text{sup}} \mapsto \text{addAB} \mapsto \text{delete}_o \mapsto \text{wc} \mapsto \text{semantic}), f())$$

$$\mu_{\text{deviant}}^3 = (\pi_{\text{deviant}}^1 = (s_i^{\text{sup}} \mapsto \text{addAB} \mapsto \text{wc} \mapsto \text{delete}_o \mapsto \text{semantic}), f())$$

$$\mu_{\text{deviant}}^4 = (\pi_{\text{deviant}}^1 = (s_i^{\text{sup}} \mapsto \text{fix}_{(ab_1 \leftarrow \perp)} \mapsto \text{addAB} \mapsto \text{wc} \mapsto \text{semantic}), f())$$

$$\mu_{\text{deviant}}^5 = (\pi_{\text{deviant}}^1 = (s_i^{\text{sup}} \mapsto \text{addAB} \mapsto \text{fix}_{(ab_1 \leftarrow \perp)} \mapsto \text{wc} \mapsto \text{semantic}), f())$$

$$\mu_{\text{deviant}}^6 = (\pi_{\text{deviant}}^1 = (s_i^{\text{sup}} \mapsto \text{addAB} \mapsto \text{wc} \mapsto \text{fix}_{(ab_1 \leftarrow \perp)} \mapsto \text{semantic}), f())$$

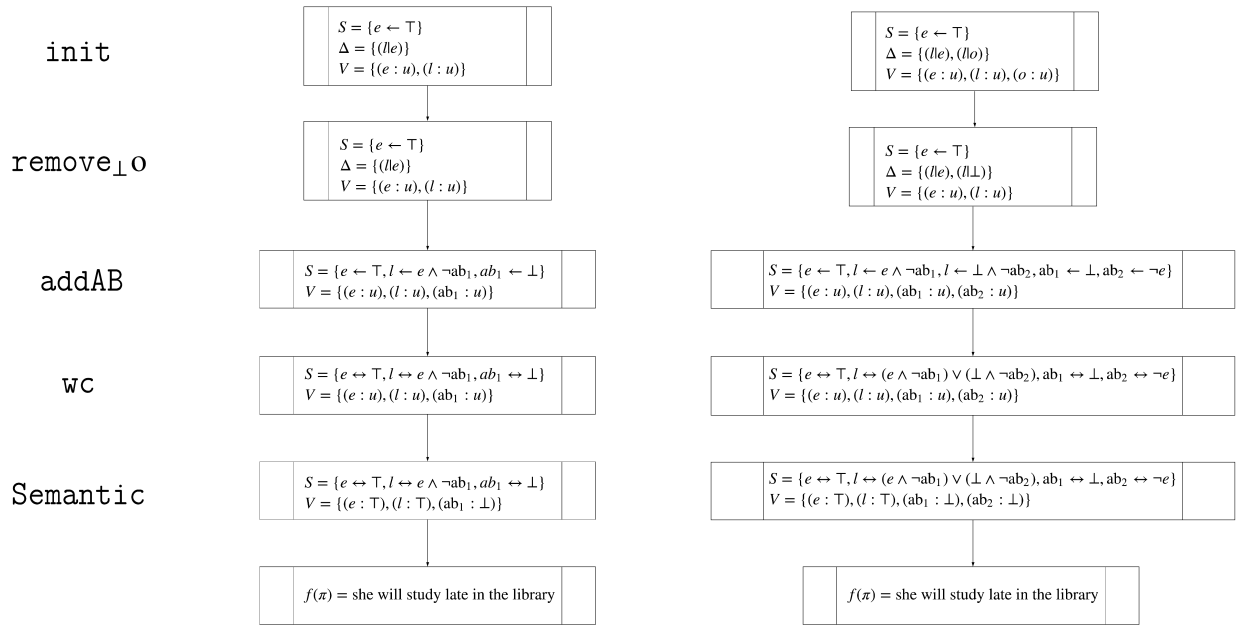
FIGURE 6.2: Inhibition of Suppression for the two SCPs  $\mu^1_{\text{deviant}}$  and  $\mu^1_{\text{standard}}$ 

Figure 7.2 illustrates derivation of the classical logical response to the suppression task as a modification of an SCP which did illustrate suppression for the two SCPs  $\mu^1_{\text{deviant}}$  and  $\mu^1_{\text{standard}}$ . This evidences one of the core claims of the SCP Framework which is that it is a reasonable tool for modelling individual reasoners in a cognitive task.

Figure @TODOref illustrates the same phenomenon for the SCPs @TODO and @TODO using the  $\text{fix}_{(ab_1 \leftarrow \perp)}$  cognitive function.

The value of these examples lies in that they show that classical logic response to a cognitive task is not necessarily derived through the use of classical logic.

### 6.2.1 Extending the Suppression Task with SCPs

The previous example merely showed that SCPs are suitable for modelling the suppression task. In this example we consider one of the most powerful characteristics of SCPs, the ability to model unusual results as deviations from general reasoning. In the original Suppression Task Experiment examined in Section 3.1, a significant portion of people still believed that she would study late in the library, even though the majority suppressed the inference. Several possible explanations are intuitive, the first and simplest, is the assumption that the reasoner is using classical logic and drawing the classical conclusion. However, what if that is not the case? What if they do reason in exactly the same way as the other reasoners, except for one or two small deviations?

In order to model these non-general reasoners, we consider two possible deviations that could explain the classical result of the Suppression Task: *variable deletion*, and *variable fixation*. Both of these operations will be discussed in a way that may seem overly prosaic, but it is done to reinforce that we might, reasonably, expect these cognitive operations to occur in day-to-day human cognition.



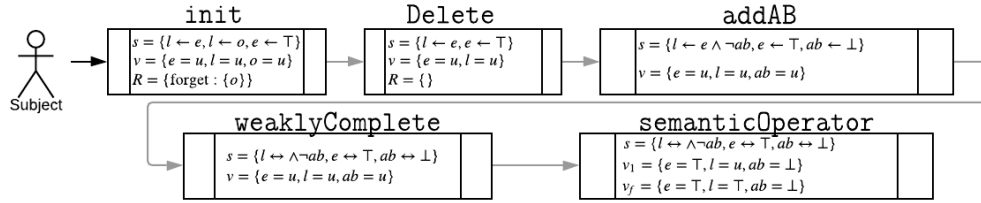


FIGURE 6.3: The Suppression Task in which the additional operation of deleting the variable  $o$  occurs.

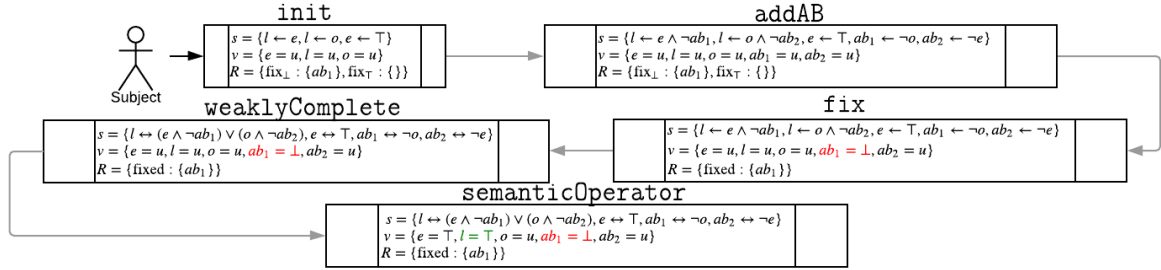


FIGURE 6.4: The Suppression Task in which the additional operation of fixing the variable  $ab_1$  to false occurs.

### 6.3 Wason Selection Task

$$\Pi = (s_i, M, f(), \gamma)$$

$$\mu = (\pi, f())$$

The choice of external evaluation function for this task (the turn function), if we are to follow the intuition from @TODO, has two requirements: it must be able to capture whether an observation  $O$  can be explained by the least model of the weakly completed program, and it must ensure that variable assignments which, if incorrect could falsify the rule  $2 \leftarrow D$ , are present in the least model. To this end, we add an observation variable  $O$  to the definition of the initial epistemic state, and combine this with the variables for the set of propositional rules  $S$ , conditional rules  $\Delta$ , and possible world  $V$ .

$$f(\pi, O) == I_V(O) \models \top \text{ and } I_V(D) \models \top \vee \perp \text{ and } I_V(3) \models \top \vee \perp$$

Next, we require an intuition for the generation of the initial epistemic state point  $s_i$ . In this case, abduction can be simulated by the SCP in one of three ways. The first is to create a unique SCP for each possible explanation for each observation as we did for the classical WCS interpretation of the task in Section @TODOref. But the fact that SCPs may contain an initial state point, rather than just a single epistemic state, enables a more elegant solution that captures the full scope of possible observation, explanation pairings. The other two options are to start with a state point containing each abductive case, or to create cognitive operations which create these abductive cases at computation time.

Because SCPs aim to capture as much cognitive information as possible within the CTM as possible, we will prefer this third case and make use of a categorization variable  $R$  to specify both the set of observations  $O$ , and the possible explanations  $\eta$ . The cognitive operation AddExp is created.

AddExp adds possible explanations  $\eta$  to the set of rules  $S$  in the epistemic state and is defined as follows:

$$\begin{aligned}
 \text{AddExp}(\text{input}) &= (\chi, e) \\
 \chi &= (\text{input} \models (S, R)) \\
 e &= \\
 R' &\subseteq R[\text{'explanations'}] \\
 d &:= (R'[1] \cup \dots \cup R'[n]) \\
 S &:= S \cup d \\
 R[\epsilon] &:= R[\epsilon] \cup e
 \end{aligned}$$

@TODOdefine  $\cup$  for  $R$

Figure @TODOref shows the state point that results from applying this cognitive operation to  $s_i = (S = \{\}, \Delta = \{(3|D)\}, V = \{D, K, 3, 7\}, O = \{\}, R = \{\text{'explanations'} : \{D, K, 3, 7\}\})$  for cases where  $R'$  is a single abducible.

Because the intention of this task is model a known response set for the WST, we must consider some way of generating an SCP in which  $f(\pi) = \gamma$ . As before this necessitates an appropriate selection of cognitive functions for  $M$ . We will make use of the set:

$$M = \{\text{addAB}, \text{wc}, \text{semantic}, \text{addExp}\}$$

Conducting de Novo search on  $\Pi$  for each of the observations at this point now returns a state point containing the following CTMs:

$$\pi_1 = (s_i \mapsto \text{addABaddExp} \mapsto \text{wc} \mapsto \text{semantic})$$

$$\pi_2 = (s_i \mapsto \text{addExp} \mapsto \text{addAB} \mapsto \text{wc} \mapsto \text{semantic})$$

$$\mu_D^1 = (\pi_1, f(\pi, O = \{D\}))$$

$$\mu_D^2 = (\pi_2, f(\pi, O = \{D\}))$$

$$\mu_K^1 = (\pi_1, f(\pi, O = \{K\}))$$

$$\mu_K^2 = (\pi_2, f(\pi, O = \{K\}))$$

$$\mu_3^1 = (\pi_1, f(\pi, O = \{3\}))$$

$$\mu_3^2 = (\pi_2, f(\pi, O = \{3\}))$$

$$\mu_7^1 = (\pi_1, f(\pi, O = \{7\}))$$

$$\mu_7^2 = (\pi_2, f(\pi, O = \{7\}))$$

These results are not surprisingly and illustrate two important points. The first is that, for external evaluation functions that only evaluate the final epistemic state  $p_n$ ,  $f(\pi_1, O =$

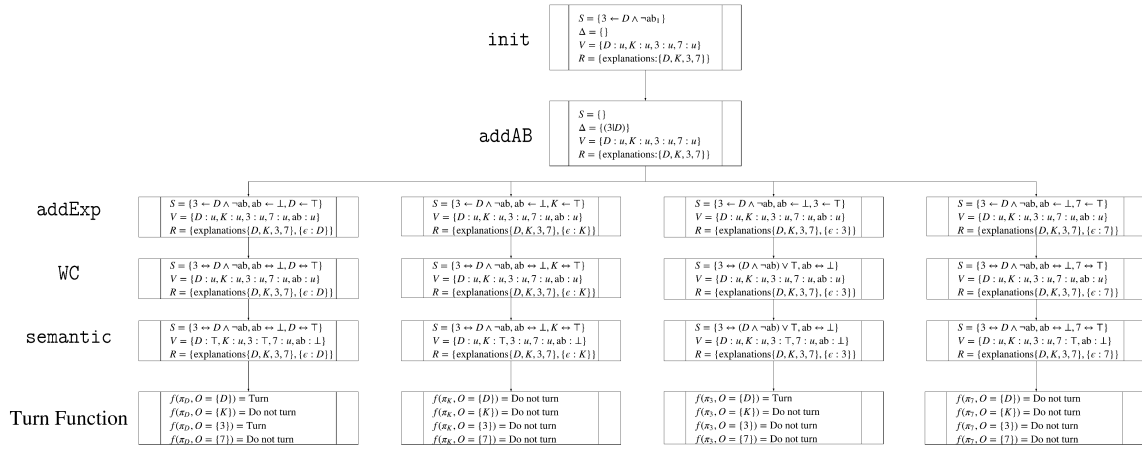


FIGURE 6.5: Realised SCPs for the SCP interpretation of the WST  $\pi_1 = (s_i \mapsto \text{addAB} \mapsto \text{addExp} \mapsto \text{wc} \mapsto \text{semantic})$ . Results mimic those observed in @TODOref's WST interpretation.

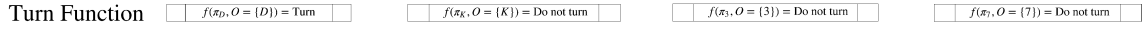


FIGURE 6.6: Realised SCPs for the simplified SCP interpretation of the WST  $\pi_1 = (s_i \mapsto \text{addABaddExp} \mapsto \text{wc} \mapsto \text{semantic})$ .

$\{o\}) = f(\pi_2, O = \{o\})$  for any observation  $o$ . Secondly, the non-monotonic cognitive operation `addExp` means that these SCPs do not necessarily produce a single base point for  $p_n$  and it will be necessary to examine the realised SCPs to determine which cards can actually be turned. Figure ?? shows the realised SCP that can be produced for explanations of length 1 (as with @TODOcite's original WCS interpretation minimal explanations are preferred) using CTM  $\pi_1$ . @TODOdefineindependenceofcognitiveoperations.

In order to model the three deviant cases of WST, we follow the same reasoning discussed in Section @TODOsec and introduce two extensions to our original model: Basic Weak Completion, and Contraposition.

Basic Weak Completion is achieved by formulating a new external evaluation function  $f'(\pi) = f(\pi, O = \epsilon)$ . Because  $I_V(\top) \models \top$ , the observation is trivially satisfied and the evaluation function simply depends on whether the possible world of realised SCP assigns values which, if they were otherwise, could violate the conditional (3|D). Figure ?? illustrates  $f'(r)$  for each of the realised SCPs generated in the abductive interpretation of SCPs, where the explanation  $\epsilon$  is minimal.

Contraposition can be achieved by creating a new cognitive operation `contra` which applies `add` the classical modus tollens implications to all conditionals contained in  $\Delta$  of the input state.

$$\text{contra}(\text{input}) = (\chi, e)$$

$$\chi = (\text{input} \models (\Delta))$$

$$\Delta' := \Delta$$

$$\forall_{(\psi|\phi) \in \Delta'}$$

:

- $S := S \cup (\phi' \leftarrow \neg\phi)$
- $\Delta := \Delta \cup (\phi' | \neg\psi)$
- $V := V \cup (\phi' : u)$

## Chapter 7

# Comparing SCPs

### 7.1 Why do we need to compare SCPs?

The ability to compare the feasibility of different solutions is an essential step in any computational process in which multiple solutions produce the desired output. Consider a toy example of an SCP task which describes the mental process needed to bake a cake:

$$\Pi = (s_0, M, \gamma, f())$$

$$s_0 = (V = (\text{cakeBaked} : \perp))$$

$$M = \{\text{mixIngredients}, \text{bakeIngredients}, \text{doTheLaundry}\}$$

$$f(x) = \begin{cases} \text{cakeBaked} \models \top & \text{True} \\ \text{cakeBaked} \models \perp & \text{False} \end{cases}$$

$$\gamma = (f(\pi) = \text{True})$$

Without specifying the precise details of the complex operations in  $M$ , and simply using our intuition of the effects of these actions, we can draw some candidate SCPs. Candidate SCPs such as  $f(s_0 \mapsto \text{mixIngredients})$  do not result in the cake being baked and so are discounted immediately. However, consider a case where the modelling algorithm being used has come up with two possible SCPs to explain the processes chosen by the participant:

$$SCP_1 = f(s_0 \mapsto \text{mixIngredients} \mapsto \text{bakeIngredients}) \quad (7.1)$$

$$SCP_2 = f(s_0 \mapsto \text{mixIngredients} \mapsto \text{doTheLaundry} \mapsto \text{bakeIngredients}) \quad (7.2)$$

Intuitively, both of these operational sequences would result in a cake being baked and  $f(X)$  returning *true*, and thus, both are candidate solutions to the SCP task given. However, both of these solutions may not be equally *plausible*. Why would someone need to do their laundry to make a cake? We can concoct wild scenarios in which the participant's house is so full of dirty laundry that access to the oven is restricted, but this seems implausible. Most readers would agree that Equation 8.1 is more plausible than Equation 8.2.

This toy example is evidence that, in at least some cases, one can confidently prefer one SCP to another. The question now arises: how do we precisely, and consistently prefer one SCP over another? This question is not easy to answer, and this chapter is devoted to proposing candidate solutions which may be able to quantitatively score and select preferable SCPs.

Section 8.2 discusses the question of how to compare different SCPs found using search for a single task. Section 8.3 discusses the more general problem of comparing SCPs even when the underlying SCPs tasks differ. Section 8.3.1 introduces the Needleman-Wunsch Algorithm for string matching whose underlying principles have allowed us to quantify questions of homology and evolutionary relationships in biology, and Section 8.3.2 discusses and justifies an extension to this algorithm for use in SCP comparisons.

## 7.2 Comparing Generated SCPs

### 7.2.1 Scoring

Cognitive modelling as a science that exists, in part, to replicate the empirical results of human reasoning suffers from a painful truth: just because a solution is simple, elegant and seemingly well-justified, it does not follow that that solution is correct. Indeed, that solution might completely fail to explain experimental data from a cognitive task, and must then be discounted. However in the fields of string-matching, etymology, and homological evolution @TODOref3times, mathematically consistent approaches to scoring are still generally a good starting point. And so we carry that assumption into the field of cognitive modellings and assume that, in the absence of directly contradictory empirical data, certain properties related to finding the optimal sequence of cognitive operations are desirable, whilst others are not.

In general the easiest way to compare two distinct objects is to quantify some subset of their properties and use these properties to rank the objects. Continuing with the toy example in Section 8.1 we will attempt to create a commonsense scoring mechanism to determine whether  $SCP_1$  or  $SCP_2$  is a more cognitively plausible solution to baking a cake. A great many possible criteria exist for scoring these two SCPs, but we will focus on just two of them: the length of the SCP, and the plausibility of each cognitive operation that occurs in either SCP.

#### SCP Length

Perhaps the simplest and most intuitive way to decide which of two SCPs is best suited to solving a specific problem is to prefer the shortest one. In the field of Bioinformatics, one of the earliest approaches to determine which two organisms from a set were more closely related was to directly estimate how many genetic mutations (insertions, deletions, value changes) would be necessary to turn each of these genetic sequences into each other sequence @TODOref. The same logic can be applied to SCPs for those SCPs generated using either *De Novo* or *Insertion Search* (Section @TODOref).

In the case of *De Novo* search, we assume that the optimal length of a solution to  $\Pi$  is an SCP length  $l = |X| = 0$ . This optimal solution obviously does not exist in this case  $f(s_0) \not\models (cakeBaked = \top)$ , but it serves as a way of implicitly preferring shorter SCPs, as those will require fewer insertion operations to satisfy  $f(x)$ .

Using this simple test criteria:  $|SCP_1| < |SCP_2|$ , therefore  $SCP_1$  is preferred because it requires only 2 operations to transform the ideal SCP  $f(s_0)$  into  $f(s_0 \mapsto mixIngredients \mapsto bakeIngredients)$ , rather than the 3 required for  $SCP_2$ .

The case for *Insertion search* follows identical logic in order to model deviations from the general reasoner, but uses an ideal SCP which is the known SCP for the general case.

Though simple, this scoring procedure provides the foundations upon which more complex scoring algorithms will be built for the remainder of this section.

## **7.3 Comparing External SCPs**

### **7.3.1 The Needleman-Wunsch Algorithm**

In the field of Bioinformatics, one of the earliest approaches to determine which two organisms from a set were more closely related was to directly estimate how many genetic mutations (insertions, deletions) would be necessary to turn each of these sequences into each other sequence.

### **7.3.2 A Modified Scoring Algorithm**





## Chapter 8

# Program Design

### 8.1 Implementing the SCP Framework

The SCP framework has been implemented in Python 3 as series of modules which follow strong object-oriented programming principles which support simple extensions and revisions. This implementation is used to demonstrate the practical approaches to generating and evaluating SCPs; and to model a series of cognitive tasks for which empirical results already exist. The library containing this implementation and its associated documentation is available at @TODOref.

At present, concrete implementations for epistemic state structures and cognitive operation formulations related to propositional logic, the WCS, and Reiter's default logic are provided.

### 8.2 A Modular Programming Approach

The principle of modular programming is followed in this implementation to the greatest extent possible, thus maximising the ease of modification and upkeep for the program. Figure @TODOref provides a simplified class diagram to explain how the major components of the system relate to one another.

#### 8.2.1 Most Important Modules

#### 8.2.2 Program Flow

Diagram @TODOref demonstrates the basic programatic flow used to model the Suppression Task using a WCS SCP. Diagram @TODOref demonstrates the programmatic flow used by the De Novo search in order to generate an SCP to map to known data. Finally, Diagram @TODOref shows the process by which information stored in a .csv file can be read into CCOBRA before being interpreted by the SCP framework and used for modelling.

### 8.3 External Framework Integration



## Chapter 9

# Non-Sequential Cognitive Processes

### 9.1 Overview

The SCP framework has already been shown to be a powerful tool for modelling human cognition across a number of tasks, but as a framework it still carries several limitations. The first and most significant of which is the requirement that only one state point is passed as input and one state point is produced as output. This requirement ensures that a linear formulation of the SCP is possible and an intuitive procession of cognitive operations is apparent. SCPs are also limited to representing or describing only a single cognitive task's interpretation by only a single or generalised reasoner. These properties are desirable when attempting to explicitly model a specific response to with relation to empirical data, but to model a group of reasoners with disparate responses, a group of SCPs is required.

By relaxing the requirement that every SCP outputs into one other cognitive operation we arrive at new type of cognition process, a *non-Sequential Cognitive Process (nSCP)*. nSCPs are more powerful than traditional SCPs and can produce process models for different reasoners over a task using a single SCP. They also provide a mechanism for illustrating motif reuse across multiple cognitive tasks which can all be modelled using the same nSCP. Finally, nSCPs lend themselves well to machine learning techniques, and graph compression approaches, allowing researchers to derive minimal inter and cross-task models.

### 9.2 Applications

### 9.3 nSCPs: a Formal Framework

### 9.4 The Suppression Task as an nSCP

Figure [TODOref](#) showed one possible SCP interpretation of the Suppression Task that satisfied the empirically observed general case of the task. Figures [@TODOref](#) and [@TODOref](#) showed two possible SCPs that described the deviant 'classical' response to the task using non-monotonic logics. These three disparate representation can be compared to one another using the techniques described in Section [@TODOsec](#) using the proposed modified Needleman-Wunsch algorithm, but these quantitative comparisons do not intuitively illustrate the structural similarity between these three SCPs.

Figure [@TODOref](#) shows an nSCP constructed so that any of the possible individual SCP paths can be followed. This, more compact approach carries the desirable property of collapsing the motifs [@TODOlistmotifs](#) into shared structures thus reducing the total number of distinct cognitive operations needed to represent the task by [@TODOxPresent](#).

## 9.5 The Wason Selection Task as an nSCP

## 9.6 A cross-task nSCP

## 9.7 Multiple Inputs with an nSCP

Sometimes the information we use in reasoning comes from various distinct cognitive data structures @TODOref and, therefore are plausibly produced by differing prior cognitive processes.

Consider the following two facts a person may have been afflicted with due to an unfortunate surplus of education:

- "Those who speak Greek come from Greece."
- "Aristotle speaks Greek."

A person might have this information in their background knowledge about the world. This person might then decide to take part in a cognitive experiment and be presented with a new incomplete syllogism:

- "All men are mortal."
- "Aristotle is a man."

And be asked what conclusions they could draw from the following statement:  
"Mortals who are Greek believe in Zeus."

By *combining* their background knowledge about syllogism @TODOref with the presented knowledge about the second syllogism the reasoner might derive two, chronologically distinct facts:

- From the new information: "Aristotle is mortal"
- From relevant background knowledge: "Aristotle Speaks Greek".

These two derived facts are both relevant to the question being asked, but are drawn from distinct information sources. In a standard SCP for propositional logic we would be forced to combine the two facts into a single knowledge base (removing contextual information about information that might be relevant in human reasoning), create a new epistemic state structure that explicitly captures marks the background and task-specific knowledge as distinct rule sets, or to pre-process background knowledge before adding it to the given knowledge.

An nSCP framework offers a fourth, more elegant, solution that captures the spirit of the pre-processing approach. Because an nSCP can take multiple cognitive operations as inputs, it is possible to attach two separate cognition trees - one of background knowledge and one for given knowledge - to one another and use an *integrating operation* to combine their outputs into a single epistemic state.

Figure TODOref illustrates one possible formulation of our example as an nSCP where @TODO is an integrating operation which uses the following rule: @TODO.

## 9.8 Machine Learning with nSCPs

nSCPs have a structure which many people experienced with machine learning will recognise.

## Chapter 10

# Conclusions and Future Work

### 10.1 Conclusion

SCPs represent a novel and powerful framework for modelling non-monotonic logics. They have been shown capable of modelling the Suppression Task under the WCS for both general and individual cases. SCPs provide a dynamic framework incorporating cognitive operations which are applicable across different logics, provided that those logics share structural features in the initial epistemic state that are compatible with some subset of the known set of cognitive operations. Because the input and output epistemic states need not be structurally similar, SCPs may represent the first approach to modelling human cognition that is able to integrate multiple non-monotonic logic frameworks at run-time and at search-time.

SCPs represent a new frontier for Cognitive Modelling in non-monotonic logics and research into their capabilities and limitations may help create more robust and mathematically consistent explanations and predictions for human behaviour across an extensive array of cognitive tasks.

### 10.2 Future Work

The addition of two distinct search paradigms to SCPs may one day enable researchers to generate or test hundreds of thousands of models where only the initial epistemic state is known. The first search type, *De Novo Search*, involves finding an SCP that satisfies a goal condition from scratch, iterating over the set of cognitive operations in  $M$ . This search is important for producing a computationally valid explanation for the general (most common) results of a cognitive task. *Insertion Search*, by contrast, will require finding which additional complex operations can be added to an existing SCP to produce results consistent with the deviant reasoner. This search is important for describing unusual results as deviations from the general reasoner. It is also important for scoring the likelihood of these with respect to the original SCP. Finally, some form of scoring criteria is needed to compare SCPs to one another and evaluate their relative plausibility with respect to the known complexity of the cognitive operations involved. A modified version of Needleman-Wunsch algorithm for string matching has already been theoretically described and must now be implemented in order to determine how similar different models are to each other.



# Appendix

@TODO talk about adding abnormalities

**Proof 1** Given a set of cognitive operations  $M$ , an external activation function  $f()$ , and an initially valid SCP  $f(\pi)$ ,  $\pi = \{s_i \mapsto A_0 \mapsto \dots \mapsto A_n\}$ ,  $A_i \in M$ , inserting multiple operations into  $\pi$  to create cognitive sequence  $\pi'$  may result in a valid SCP  $f(\pi')$ , when inserting only one operation would result in an invalid SCP.

- Assume hybrid validity (Section @TODOsection).
- Let  $f(\pi) = \{\text{True if Visdefined}\}$ ,  $\gamma = \text{True}$ .
- Let  $A_x$  be a cognitive operation which transforms an input state point of structure  $\{KB, V\}$ , where  $KB$  contains a set of logical formula of the form  $\text{head} \leftarrow \text{body}$  and every rule in  $V$  is of the form  $\text{variable} \leftarrow \text{value}$ , where  $\text{variable}$  is an atom and  $\text{value} = \top$  into an output state point of structure  $\{KB'\}$ ,  $KB' = (KB \cup V)$ .
- Let  $A_y$  be cognitive operation which transforms an input state point of structure  $KB'$  into an output state point of structure  $\{KB, V\}$  where  $V$  contains every rule of the form  $\text{head} \leftarrow \text{body}$ , where  $\text{head}$  is an atom and  $\text{body} = \top$  and  $KB = KB' \setminus V$ .

if  $f(\pi)$ ,  $\pi = (s_i)$  is a valid SCP, then  $f(\pi')$ ,  $\pi' = (s_i \mapsto A_x)$  is an invalid SCP and  $f(\pi'')$ ,  $\pi'' = (\pi' \mapsto A_y)$  is valid.

**Proof 2** If there exists an SCP which meets goal condition  $\gamma$  of length  $n$ , then there exists an SCP that meets goal condition  $\gamma$  of length  $n + k$  where  $k$  is any natural number.

- Proof 5 states that if  $f(x \mapsto A) \models \gamma$  then  $f(x \mapsto T_i \mapsto V_i) \models \gamma$  for all  $(T_i \in T, P_i \in P) \in W$ .
- Let  $X$  be a partial SCP of the form  $X = T_0 \mapsto V_0 \mapsto \dots \mapsto T_N \mapsto V_N$  where  $(V_i, T_i) \in W$
- For odd lengths:
  - It follows that if  $f(x \mapsto A) \models \gamma$  then  $f(x \mapsto A \mapsto \text{exp}(X)) \models \gamma$ , where  $\text{exp}$  denotes the substitution of  $X$  for its constituent parts.
  - Because  $X$  always contains an even number of cognitive operations, then the length of the resulting SCP must be  $n' = 1 + v$  where  $v$  is any even number.
- For even lengths:
  - Notice that  $f(x \mapsto A \mapsto \text{exp}(X) \mapsto X) \models \gamma$ .
  - A appending  $\mapsto X$  to adds one to the length of any SCP.
  - Because we have shown that a suitable SCP of any odd length exists  $n' \geq n$ , it follows that a suitable SCP of any even length  $n' > n$  exists.

**Lemma 1** Given a cognitive operation sequence  $A \in \Omega^*$ , and external function  $f()$ ,  $A$  is redundant iff one of the following holds:

- $(x \mapsto B \mapsto C) \models x'$  and  $(x \mapsto A) \models x'$  for every viable epistemic state  $x$ ,  $B \in \Omega^*$ ,  $C \in \Omega^*$ .
- $x \mapsto A \models x$  for every viable epistemic state  $x$ .
- $f(x \mapsto B) = c$  for all  $B \in \Omega^*$ , where  $c$  is a constant external decision.

**Lemma 2** Given a limited set of cognitive operations  $M = \{A_0, \dots, A_n\}$ ,  $A_x \in \Omega^*$ , and external function  $f()$ ,  $A \in M^*$  is task redundant iff one of the following holds:

- $(x \mapsto B \mapsto C) \models x'$  and  $(x \mapsto A) \models x'$  for every viable epistemic state  $x$ ,  $B \in M^*$ ,  $C \in M^*$ .
- $x \mapsto A \models x$  for every viable epistemic state  $x$ .
- $f(x \mapsto B) = c$  for all  $B \in M^*$ , where  $c$  is a constant external decision.
- There exists no epistemic state  $x$  and sequences  $B, C \in M^*$  such that  $f(x \mapsto B \mapsto A \mapsto C)$  is a valid SCP.

**Proof 3** Given a cognitive task  $\Pi = (x, f(), M, \gamma)$  in which  $M = \{A_0, \dots, A_n\}$  and a second cognitive planing task  $\Pi' = (x, f(), M')$  in which  $M' = M \setminus \{A_k, \dots, A_m\} \cup A'$ , where  $A' = (A_k \mapsto \dots \mapsto A_m)$  is a cognitive sequence containing some ordering of the other operations in  $(\Pi \setminus \Pi')$ ,  $\Pi'$  is as expressive or less expressive than  $\Pi$ .

No more expressive:

- If  $\pi' = (x \mapsto A_p \mapsto \dots \mapsto A' \mapsto \dots \mapsto A_q)$  and  $f(\pi)$  is a solution to  $\Pi'$ .
- Let  $\pi'' = (x \mapsto A_p \mapsto \dots \mapsto [A'] \mapsto \dots \mapsto A_q)$ .
- Then  $f(\pi'')$  is a solution to  $\Pi'$  (Lemma 3).
- $f(\pi'')$  is a valid solution to  $\Pi$  because  $\pi''$  uses only cognitive operations which occur in  $\Pi$ ,  $x$  is the initial epistemic state, and  $f(\pi'') \models \gamma$ .

Less Expressive: Proof by counterexample

- If we assume that  $\Pi'$  is strictly as expressive or more expressive than  $\Pi$ , then there exist no cases in which  $\Pi'$  is less expressive.
- Let  $\gamma = \emptyset$ , and  $f(x) = \text{True}$  for all inputs (i.e. is trivially satisfied).
- Let  $x = \{\}$ ,  $M = \{A_0, A_1\}$ ,  $M' = \{A'\}$ ,  $A' = \{A_0 \mapsto A_1\}$ .
- Then solutions of  $\Pi$  are  $f(x)$ ,  $f(x \mapsto A_0)$ ,  $f(x \mapsto A_1)$ ,  $f(x \mapsto A_0 \mapsto A_1)$  and  $f(x \mapsto A_1 \mapsto A_0)$ .
- Solutions of  $\Pi'$  are  $f(x)$ ,  $f(x \mapsto A')$ .
- Thus,  $\Pi'$  has fewer solutions than  $\Pi$ . A contradiction.

**Proof 4** Given an SCP  $\pi = f(x \mapsto A_0 \mapsto \dots \mapsto A_n)$ , where  $f(x)$  is an external evaluation function,  $x$  is a state point, and  $A_i \in \Omega$ , any subsequence  $A' = (A_k \mapsto \dots \mapsto A_{k+l})$ ,  $(k+l) < n$  of the cognitive operations in  $\pi$  is a valid cognitive operation.



- Given that  $\pi$  is a valid SCP,  $x \mapsto A_0$  must, by the definitions discussed in Section @TODOref result in a valid state point.
- It follows that  $A'$  takes a valid state point as input, because  $A_k$  took a valid state point as input.
- It follows that  $A'$  produces a valid state point as output, because  $A_{k+1}$  produced a valid state point as output.
- Therefore,  $A'$  is a valid cognitive operation, by the definition in Section @TODOref.

**Lemma 3** Let  $\pi = (x \mapsto A_0, \dots, A', \dots, \text{longmapsto} A_n)$  be a sequence of cognitive operations drawn from some cognitive task  $\Pi = (x, \gamma, f(x), M)$ . And let  $\pi' = (x \mapsto [A_0], \dots, A', \dots, \text{longmapsto} A_n)$  where  $[A]$  is the substitution of the aggregate operation  $A'$  for  $(A'[0] \mapsto \dots \mapsto A'[t])$ . Then  $f(\pi) = f(\pi')$ .

**Proof 5** There are an infinite number of possible, valid SCPs, that can meet any goal condition  $\gamma$  from input  $x$  provided that at least one SCP exists that can reach goal condition  $\gamma$  from input  $x$ .

1. There exist infinitely many cognitive operations  $T \in \Omega$  which add a new variable from the set of all possible variable names  $p \in P$ .
2. There exist infinitely many cognitive operations  $V \in \Omega$  which remove a variable from the set of all possible variable names  $p \in P$ .
3. It follows that there exist infinitely many pairs  $(T_i \in T, P_i \in P) \in W$  where  $T_i$  adds a variable  $p \in P$  to the resulting state point, and  $V_i$  removes that variable from the resulting statepoint.
4. Then  $f(x \mapsto A) = f(x \mapsto T_i \mapsto V_i)$
5. Thus it follows that if  $f(x \mapsto A) \models \gamma$  then  $f(x \mapsto T_i \mapsto V_i) \models \gamma$  for all  $(T_i \in T, P_i \in P) \in W$ .



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