# AuW Recap

# Axel Montini amontini@student.ethz.ch

July 28, 2021

Cannot be used during the exam, but it's a nice short recap of everything done in the second semester.

### 1 Last semester

Go read again about MST algorithms and so on.

# 2 Graphentheorie

# 2.1 Zusammenhang

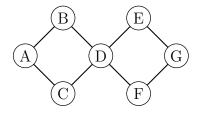
**Definition 1.** A graph G = (V, E) is k-zusammenhängend if  $|V| \ge k + 1$  and for all  $X \subseteq V$ , |X| < k the following is true:

The graph  $G[V \setminus X]$  is zusammengehängend

**Definition 2.** A graph G = (V, E) is k-kanten-zusammenhängend if for all  $X \subseteq E$ , |X| < k the following is true:

The graph  $G(V, E \setminus X)$  is zusammengehängend

Note 1. A graph can be both 2-kanten-zusammengehängend and be only 1-zusammengehängend at the same time. Example:



**Definition 3.** In a zusammengehängend Graph, *Artikulationsknoten* disconnect the graph when removed. Only 1-zusammengehängend graphs can have Artikulationsknoten

**Theorem 1.** In zusammenhängende Graphs it's possible to find Artikulationsknoten in  $\mathcal{O}(|E|)$  if an adjacency list is used.

**Definition 4.** A zusammenhängend Graph may contain *Brücke*. In this case, it's **not 2-kanten-zusammenhängend**.

An edge is a bridge if it disconnects the graph when removed.

**Theorem 2.** Brücke can also be computed in  $\mathcal{O}(|E|)$  using an adjacency list.

**Definition 5.** G = (V, E) is zusammenhängend. For  $e, f \in E$  we define the relation

 $e \sim f \Leftrightarrow e = f$  or there is a Kreis containing both edges

This is an equivalence relation. Each equivalence class is called a Block (plural Blöcke).

#### 2.2 Kreise

**Definition 6.** An Eulertour in a graph G is a closed path (Zyklus) that contains every edge  $\in E$  exactly once.

A graph containing an Eulertour is called *eulersch*.

#### Definition 7.

#### Theorem 3.

A graph is eulersch  $\Leftrightarrow$  deg(v) is even for all vertices

**Theorem 4.** In a connected and eulersch Graph it's possible to find an Eulertour in  $\mathcal{O}(|E|)$ .

**Definition 8.** A Hamintonkreis in G is a cycle that goes through every vertex exactly once. A graph containing an Hamintonkreis is called hamintonsch.

**Theorem 5.** The algorithm seen in class can find an Hamintonkreis in time  $\mathcal{O}(n^2 \cdot 2^n)$  and memory  $\mathcal{O}(n \cdot 2^n)$ , where n = |V|.

**Theorem 6.** A bipartite graph  $G = (A \uplus B, E)$  cannot contain an Hamintonkreis.

**Theorem 7** (Dirac). A graph G with  $V \geq 3$  in which every vertex has at least |V|/2 neighbors is hamintonsch.

**Definition 9.** In a complete graph  $K_n$  (all vertices are connected together), the metric Traveling Salesman Problem consists in finding an Hamintonkreis C with minimal cost (distance).

**Definition 10.** An  $\alpha$ -Approximationsalgorithmus of this problem finds an H.kreis C so that

$$\sum_{e \in C} l(e) \le \alpha \cdot opt(K_n, l)$$

Meaning that it finds a solution worse by the optimal solution by a factor  $\alpha$ .

**Theorem 8.** If there's an  $\alpha$ -Approximationsalgorithmus with  $\alpha > 1$  for the TSP with running time  $\mathcal{O}(f(n))$  then there's also an algorithm that decides whether a graph with n vertices is hamintonsch in  $\mathcal{O}(f(n))$ .

**Theorem 9.** For the metric TSP there's a 2-Approximationsalgorithmus with running time  $\mathcal{O}(n^2)$ . It find the MST in  $\mathcal{O}(n^2)$  and then uses it to find the H.k.

# 2.3 Matching

**Definition 11.** A set of edges  $M \subseteq E$  is called *Matching* in a graph G if

$$\forall e, f \in M \ (e \cap f = \emptyset)$$

- A vertex v is said to be  $\ddot{u}berdeckt$  by a matching M if the matching contains an edge containing v.
- A matching M is called *perfektes Matching* if every vertex is überdeckt (equivalent: |M| = |V|/2).

**Definition 12.** A matching M is said to be:

- inklusions maximal if  $M \cup \{e\}$  is not a matching for all  $e \in E \setminus M$ .
- kardinalit "atsmaximal" if  $|M| \ge |M'|$  for all matchings M' in G.

Note that  $kardinalit \ddot{a}ts maximal \Rightarrow inklusions maximal$  (the opposite might not be true).

#### **Algorithm 1:** Greedy-Matching

**Result:** The inklusions maximales matching M

while  $E \neq \emptyset$  do

choose an edge  $e \in E$ ;

 $M \leftarrow M \cup \{e\};$ 

remove e and all incident edges from G;

**Theorem 10.** The greedy-matching algorithm finds an inklusions maximal Matching in time  $\mathcal{O}(|E|)$  for which the following holds:  $|M_{Greedy}| \geq \frac{1}{2} |M_{max}|$ , where  $M_{max}$  is a kardinalitäts maximales Matching.

**Theorem 11** (Berge). Let M be a matching in G that is not k-maximal, then there is an augmenting path to M.

**Theorem 12.** Is n even  $K_n$  a complete graph, then it's possible to find a minimal perkeftes Matching in time  $\mathcal{O}(n^3)$ 

**Theorem 13.** There's a 3/2-Approximationsalgorithmus for the TSP that runs in  $\mathcal{O}(n^3)$ .

**Definition 13.** Nachbarnschaft einer Knotenmenge  $X \subseteq V$ :

$$N(X) := \bigcup_{v \in X} N(v)$$

**Theorem 14** (Hall, Heiratssatz). For a bipartite graph  $G = (A \uplus B, E)$  theres a matching M with |M| = |A| if and only if  $|N(X)| \ge |X|$  for all  $X \subseteq A$ .

**Definition 14.** A bipartite graph is called k-regulär if every vertex has degree k.

**Theorem 15.** Let G be a k-regular bipartite graph. Then there's  $M_1, ..., M_k$  so that  $E = M_1 \uplus M_2 \uplus ... \uplus M_k$  and all  $M_i, 1 \le i \le k$  are perfect matchings.

**Theorem 16.** Is G = (V, E) a  $2^k$ -regular bipartite Graph, then it's possible to find a perfect matching in  $\mathcal{O}(|E|)$ .

Algos at page 65 and 67

### 2.4 Färbungen

**Definition 15.** A (Knoten)-Färbung (vertex coloring) of a graph G with k colors is  $c: V \to [k]$ , so that

$$c(u) \neq c(v) \ \forall \{u, v\} \in E$$

The *chromatische Zahl* (chromatic number) X(G) of a graph is the minimal amount of colors that can be used to color G.

**Theorem 17.** A graph is bipartite if and only if doesn't contain any Kreis of odd length.

**Theorem 18** (Vierfarbensatz). Every map can be colored with 4 colors.

#### **Algorithm 2:** Greedy-Färbung

Data: G

**Result:** array c mapping each vertex to a color

 $c(v_1) \leftarrow 1$ ;

for  $i = 2, \ldots, n$  do

**Theorem 19.** Let G be a connected graph and C(G) the amount of colors used by the Greedy-Färbung algorithm. Then

$$\mathcal{X}(G) \le C(G) \le \Delta(G) + 1$$

Where  $\Delta(G) := \max_{v \in V} deg(v)$  is the max degree in the graph. The running time is  $\mathcal{O}(|E|)$  if an adjacency list is used.

**Theorem 20** (Brooks). Let G be a connected graph that is neither complete nor an odd Kreis  $(G \neq K_n \text{ and } G \neq C_{2n+1})$ . Then

$$\mathcal{X}(G) \le \Delta(G)$$

And there's an algorithm that can color the vertices of G in time  $\mathcal{O}(|E|)$  and with  $\Delta(G)$  colors.

**Theorem 21** (Mycielski-Konstruktion). For all  $k \geq 2$  there's a triangle-free graph  $G_k$  with  $\mathcal{X}(G) \geq k$ .

**Theorem 22.** Every 3-färbbaren graph can be colored in time  $\mathcal{O}(|E|)$  with  $\mathcal{O}(\sqrt{|V|})$  colors.

# 3 Randomized algorithms

## 3.1 Grundbegriffe und Notationen

**Definition 16.** A diskreter Wahrscheinlichkeitsraum is defined through a Ergebnismenge  $\Omega = \{\omega_1, \omega_2, ...\}$  of Elementarereignissen.

A probability  $Pr[\omega_i]$  corresponds to each  $\omega_i$ .

$$0 \le \Pr[w_i] \le 1, \quad \sum_{\omega \in \Omega} \Pr[\omega] = 1$$

A set  $E \subseteq \Omega$  is called *Ereignis*. The probability is defined as

$$\Pr[E] := \sum_{\omega \in E} \Pr[\omega]$$

The Komplementärereignis zu E is defined as  $\overline{E} := \Omega \setminus E$ 

**Lemma 1.** For Ereignisse A, B:

- 1.  $\Pr[\emptyset] = 0, \Pr[\Omega] = 1$
- 2.  $0 \le \Pr[A] \le 1$
- 3.  $\Pr[\overline{A}] = 1 \Pr[A]$
- 4. If  $A \subseteq B$  then  $Pr[A] \le Pr[B]$

**Theorem 23** (Additionssatz). When the Ereignisse are pairwise disjoint then

$$\Pr\left[\bigcup_{i=1}^{n} A_i\right] = \sum_{i=1}^{n} \Pr[A_i]$$

For infinite sets of disjoint Ereignissen  $A_1, A_2, ...$  then

$$\Pr\left[\bigcup_{i=1}^{\infty} A_i\right] = \sum_{i=1}^{\infty} \Pr[A_i]$$

**Theorem 24** (Siebformel, Prinzip der Inklusion/Exklusion). For Ereignisse  $A_1, ..., A_n \ (n \ge 2)$ :

$$\Pr\left[\bigcup_{i=1}^{n} A_{i}\right] = \sum_{l=1}^{n} (-1)^{l+1} \sum_{1 \leq i_{1} < \dots < i_{l} \leq n} \Pr[A_{i_{1}} \cap \dots \cap A_{i_{l}}]$$

**Lemma 2** (A special case of the Siebformel). Let  $\Omega = A_1 \cup ... \cup A_n$  with  $\Pr[\omega] = 1/|\Omega|$ , where  $A_i$  are finite sets. Then

$$\left| \bigcup_{i=1}^{n} A_i \right| = \sum_{l=1}^{n} (-1)^{l+1} \sum_{1 \le i_1 < \dots < i_l \le n} |A_{i_1} \cap \dots \cap A_{i_l}|$$

Corollary 1 (Boolsche Ungleichung, Union Bound). For some Ereignisse  $A_1, ..., A_n$ :

$$\Pr\left[\bigcup_{i=1}^{n} A_i\right] \le \sum_{i=1}^{n} \Pr[A_i]$$

For an infinite set of Ereignisse, replace n with  $\infty$ .

### 3.2 Bedingte Wahrscheinlichkeiten

**Definition 17.** Let A and B be Ereignisse with Pr[B] > 0. The bedingte Wahrscheinlichkeit Pr[A|B] of A given B is defined through

$$\Pr[A|B] := \frac{\Pr[A \cap B]}{\Pr[B]}$$

**Theorem 25** (Multiplikationssatz). The Ereignisse  $A_1, ..., A_n$  are given. If  $Pr[A_1 \cap ... \cap A_n] > 0$ , then:

$$\Pr[A_1 \cap \dots \cap A_n] = \Pr[A_1] \cdot \Pr[A_2 | A_1] \cdot \Pr[A_3 | A_1 \cap A_2] \cdot \dots \cdot \Pr[A_n | A_1 \cap \dots \cap A_{n-1}]$$

**Theorem 26** (Satz von der totalen Wahrscheinlichkeit).  $A_1, ..., A_n$  are pairwise disjoint and  $B \subseteq A_1 \cup ... \cup A_n$ . Then

$$\Pr[B] = \sum_{i=1}^{n} \Pr[B|A_i] \cdot \Pr[A_i]$$

Analogous for an infinite set of  $A_is$ .

**Theorem 27** (Satz von Bayes).  $A_1, ..., A_n$  pairwise disjoint. Let  $B \subseteq A_1 \cup ... \cup A_n$  with  $\Pr[B] > 0$ . Then for any i = 1, ..., n:

$$\Pr[A_i|B] = \frac{\Pr[A_i \cap B]}{\Pr[B]} = \frac{\Pr[B|A_i] \cdot \Pr[A_i]}{\sum_{j=1}^n \Pr[B|A_j] \cdot \Pr[A_j]}$$

Analogous for  $\infty$  instead of n.

### 3.3 Unabhängigkeit

**Definition 18.** Die Ereignisse A, B are unabhängig when

$$\Pr[A \cap B] = \Pr[A] \cdot \Pr[B]$$

**Definition 19.** Die Ereignisse  $A_1, ..., A_n$  are unabhängig when for all  $I \subseteq \{1, ..., n\}$  with  $I = i_1, ..., i_k$ :

$$\Pr\left[A_{i_1} \cap \ldots \cap A_{i_k}\right] = \Pr\left[A_{i_1}\right] \cdot \ldots \cdot \Pr\left[A_{i_k}\right]$$

Lemma 3. Die Ereignisse  $A_1, ..., A_n$  are unabängig if and only if

$$\forall (s_1, ..., s_n) \in \{0, 1\}^n \ (\Pr[A_1^{s_1} \cap ... \cap A_n^{s_n}] = \Pr[A_1^{s_1}] \cdot \Pr[A_n^{s_n}])$$

where  $A_i^0 = \overline{A_i}$  and  $A_i^1 = A_i$ 

**Lemma 4.** A, B, C unabängige Ereignisse. Then  $A \cap B$  and C and  $A \cup B$  and C are also independent.

### 3.4 Zufallsvariablen

**Definition 20.** A *Zufallsvariable* is  $X : \Omega \to \mathbb{R}$ , where  $\Omega$  is the Ergebnismenge of a Wahrscheinlichkeitsraum.

The Wertebereich of a Zufallsvariable is

$$W_X := X(\Omega) = \{x \in \mathbb{R} \mid \exists \omega \in \Omega \text{ with } X(\omega) = x\}$$

**Definition 21.** The *Erwartungswert* of X is  $\mathbb{E}[X]$ , defined as

$$\mathbb{E}[X] := \sum_{x \in W_x} x \cdot \Pr[X = x]$$

when the sum absolut konvergiert. Otherwise the Erwartungswert is undefiniert / undefined.

**Lemma 5.** Is X a Zufallsvariable, then:

$$\mathbb{E}[X] = \sum_{\omega \in \Omega} X(\omega) \cdot \Pr[\omega]$$

**Theorem 28.** X Zufallsvariable wit  $W_X \subseteq \mathbb{N}_0$ . Then

$$\mathbb{E}[X] = \sum_{i=1}^{\infty} \operatorname{Pr}[X \ge i]$$

**Theorem 29.** X a Zufallsvariable. For pairwise disjoint Ereignisse  $A_1, ..., A_n$  with  $A_1 \cup ... \cup A_n = \Omega$  and  $\Pr[A_1], ..., \Pr[A_n] > 0$  then

$$\mathbb{E}[X] = \sum_{i=1}^{n} \mathbb{E}[X|A_i] \cdot \Pr[A_i]$$

Analogous for  $\infty$  instead of n.

**Theorem 30** (Linearität des Erwartungswert). For  $X_1, ..., X_n$  and  $X := a_1X_1 + ... + a_nX_n + b$  with  $a_1, ..., a_n, b \in \mathbb{R}$ :

$$\mathbb{E}[X] = a_1 \mathbb{E}[X_1] + \dots + a_n \mathbb{E}[X_n] + b$$

Note 2. For an Ereignis  $A \subseteq \Omega$  the Indikatorvariable  $X_A$  is defined as

$$X_A(\omega) := \begin{cases} 1, & \text{if } \omega \in A \\ 0, & \text{otherwise} \end{cases}$$

Also 
$$\mathbb{E}[X_A] = \Pr[A]$$

**Definition 22.** For a Zufallsvariable X mit  $\mu = \mathbb{E}[X]$  the  $Varianz\ Var[X]$  is defined through

$$Var[X] := \mathbb{E}[(X - \mu)^2] = \sum_{x \in W_X} (x - \mu)^2 \Pr[X = x]$$

The Standardabweichung of X is  $\sigma := \sqrt{Var[X]}$ .

**Theorem 31.** For any Zufallsvariable X and  $a, b \in \mathbb{R}$ :

$$Var[X] = \mathbb{E}[X^2] - \mathbb{E}[X]^2$$

$$Var[a \cdot X + b] = a^2 \cdot Var[X]$$

**Definition 23.** For a Zufallsvariable X,  $\mathbb{E}[X^k]$  is called k-te Moment and  $\mathbb{E}[(X - \mathbb{E}[X])^k]$  is called k-te zentrale Moment.

## 3.5 Wichtige diskrete Verteilungen

Bernoulli, Binomial, Geometrische, Poisson

#### 3.6 Mehrere Zufallsvariablen

**Definition 24.** Zufallsvariablen  $X_1, ..., X_n$  are *unabhängig* if and only if for all  $(x_1, ..., x_n) \in W_{X_1} \times ... \times W_{X_n}$  the following holds:

$$\Pr[X_1 = x_1, ..., X_n = x_n] = \Pr[X_1 = x_1] \cdot ... \cdot \Pr[X_n = x_n]$$

**Theorem 32** (Linearität des Erwartungswerts). For  $X_1, ..., X_n$  and  $X := a_1X_1 + ... + a_nX_n$  with  $a_i \in \mathbb{R}$ :

$$\mathbb{E}[X] = a_1 \mathbb{E}[X_1] + \dots + a_n \mathbb{E}[X_n]$$

**Theorem 33** (Multiplikativität des Erwartungswerts). For  $X_1, ..., X_n$ :

$$\mathbb{E}[X_1 \cdot \ldots \cdot X_n] = \mathbb{E}[X_1] \cdot \ldots \cdot \mathbb{E}[X_n]$$

**Theorem 34.** For independent  $X_1,...,X_n$  and  $X:=X_1+...+X_n$  then

$$Var[X] = Var[X_1] + \dots + Var[X_n]$$

#### 3.7 Abschätzen von Wahrscheinlichkeiten

**Theorem 35** (Ungleichung von Markov).  $X \ge 0$  Zufallsvariable. Then, for all  $t > 0 \in \mathbb{R}$ :

$$\Pr[X \ge t] \le \frac{\mathbb{E}[X]}{t} \ und \ \Pr[X \ge t \cdot \mathbb{E}[X]] \le \frac{1}{t}$$

**Theorem 36** (Ungleichung von Chebyshev). X Zufallsvariable and  $t > 0 \in \mathbb{R}$ . Then

$$\Pr[|X - \mathbb{E}[X]| \ge t] \le \frac{Var[X]}{t^2}$$

**Theorem 37** (Ungleichung von Chernoff).  $X_1, ..., X_n$  independent Beroulliverteilte Zufallsvariablen with  $\Pr[X_i = 1] = p_i$  and  $\Pr[X_i = 0] = 1 - p_i$ .

Then for  $X := \sum_{i=1}^{n} X_i$ :

$$\Pr[X \ge (1+\delta)\mathbb{E}[X]] \le e^{-\frac{1}{3}\delta^2\mathbb{E}[X]} \text{for all } 0 < \delta \le 1$$
 (1)

$$\Pr[X \ge (1 - \delta)\mathbb{E}[X]] \le e^{-\frac{1}{2}\delta^2 \mathbb{E}[X]} \text{for all } 0 < \delta \le 1$$
 (2)

$$\Pr[X \ge t] \le 2^{-t} \qquad \qquad for \ t \ge 2e\mathbb{E}[X] \tag{3}$$

Maybe

write, or use

### 3.8 Randomized algorithms

**Theorem 38.** Let A be a randomized algorithm that always never gives a wrong answer, but outputs "???" when

$$\Pr[\mathcal{A}(I) \ correct] \ge \epsilon$$

Then for all  $\delta > 0$ : let  $\mathcal{A}_{\delta}$  be the algorithm that calls  $\mathcal{A}$  until either an answer different from "???" is returned ( $\mathcal{A}_{\delta}$  gives this answer) or until "???" is returned  $N = \epsilon^{-1} \ln \delta^{-1}$  times ( $\mathcal{A}_{\delta}$  returns "???").

Then the following is true:

$$\Pr[\mathcal{A}_{\delta} \ correct] \geq 1 - \delta$$

**Theorem 39.** Let A be a randomized algorithm that always gives "Yes" or "No" as answers, where

$$\Pr[\mathcal{A}(I) = Yes] = 1$$
 if the correct answer is Yes (4)

$$\Pr[\mathcal{A}(I) = No] \ge \epsilon$$
 if the correct answer is No (5)

Then for all  $\delta > 0$ : let  $\mathcal{A}_{\delta}$  be the algorithm that calls  $\mathcal{A}$  either until "No" is returned (then it returns "No") or until "Yes" is returned  $N = \epsilon^{-1} \ln \delta^{-1}$  times (then it returns "Yes").

Then for all instances I:

$$\Pr[\mathcal{A}_{\delta}(I) \ correct] \ge 1 - \delta$$

**Theorem 40.** Let  $\epsilon > 0$  and  $\mathcal{A}$  be a randomized algorithm that always returns either "Yes" or "No", Where

$$\Pr[\mathcal{A}_{\delta}(I) \ correct] \ge \frac{1}{2} + \epsilon$$

Then for all  $\delta > 0$ : let  $\mathcal{A}_{\delta}$  be the algorithm that calls  $\mathcal{A}$  a total of  $N = 4\epsilon^{-2} \ln \delta^{-1}$  times and returns the answer that was encountered the most. Then

$$\Pr[\mathcal{A}_{\delta}(I) \ correct] \ge 1 - \delta$$

**Theorem 41.** Let  $\epsilon > 0$  and A be a randomized algorithm for a Maximierungsproblem, where

$$\Pr[\mathcal{A}(I) \geq f(I)] \geq \epsilon$$

Then for all  $\delta > 0$ : let  $\mathcal{A}_{\delta}$  be the algo that calls  $\mathcal{A}$  a total of  $N = \epsilon^{-1} \ln \delta^{-1}$  times and returns the best answer. Then

$$\Pr[\mathcal{A}_{\delta}(I) \ge f(I)] \ge 1 - \delta$$

Analogous for Minimierungsprobleme, replace  $\geq f(I)$  with  $\leq f(I)$ .

Note 3. Stuff about random pivot selection for QuickSort and so on

**Theorem 42** (Kleiner fermatscher satz). If  $n \in \mathbb{N}$  is prime, then for all 0 < a < n

$$a^{n-1} \equiv 1 \mod n$$

Target shooting:

**Theorem 43.** Let  $\delta, \epsilon > 0$ . If  $N \geq 3\frac{|U|}{|S|} \cdot \epsilon^{-2} \cdot \ln(2/\delta)$ , then the output of the algorithm Target-Shooting is in the interval  $\left[(1-\epsilon)\frac{|S|}{|U|}, (1+\epsilon)\frac{|S|}{|U|}\right]$  with probability  $1-\delta$ .

# 4 Algorithmen

# 4.1 Graphenalgorithmen