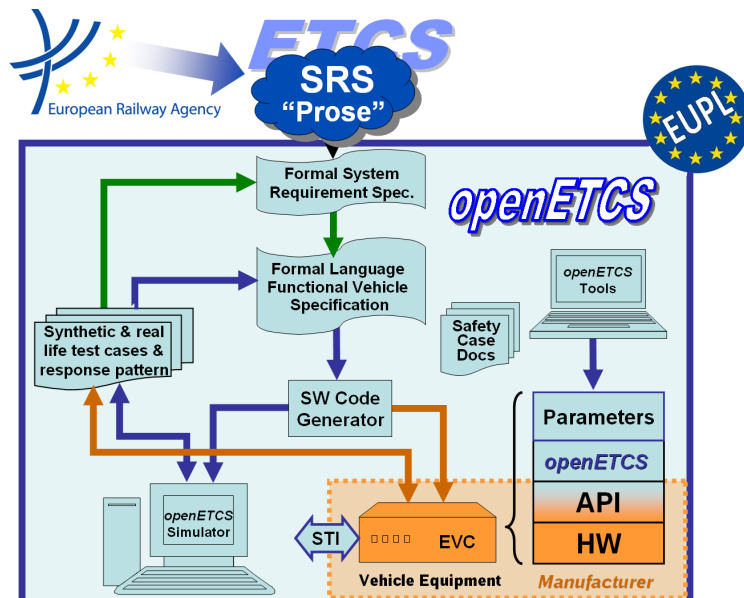


Work-Package 7: “Toolchain”

Toolchain Qualification Process Description

Cecile Braunstein, Jan Peleska, Stefan Rieger and Izaskun De La Torre

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Work-Package 7: “Toolchain”**OETCS/WP7/D7.3
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Toolchain Qualification Process Description

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Qualification process description

Prepared for openETCS@ITEA2 Project

Abstract: This document presents different ideas of a toolchain qualification. It describes a process for the openETCS toolchain qualification.

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1 Introduction to Toolchain Qualification

1.1 Tool Qualification

The CENELEC EN 50128 standard [12] defines the tool qualification as follows:

“The objective is to provide evidence that potential failures of tools do not adversely affect the integrated tool-set output in a safety related manner that is undetected by technical and/or organizational measures outside the tool. To this end, software tools are categorized into three classes namely, T1, T2 & T3 respectively.”

We recall here the different class definitions:

- Tool class T1: No generated output can be used directly or indirectly to the safety critical executable code;
- Tool class T2: Verification tools, e.g. that can not introduce errors to the safety critical executable code but those tools may fail to detect errors or defects;
- Tool class T3: Generated output directly or indirectly as part of the safety critical executable code.

The deliverable D2.2 [9] summarizes the requirements for the tool needed by the different tool classes. The report highlights that the effort differs depending on the tool class. Furthermore, for the most critical class T3, the evidence should be provided that the output is conform to the specification or that *any failure in the output are detected*.

The standard defined how to classify each tool individually (see [7, 8] as an example). But dealing with a tool chain, integrated within a tool platform, implies extra effort to ensure that the tool integration does not introduce new errors. For example mechanism such as artifacts versioning, time-stamping operations, etc ... should also be considered when qualifying the tool chain. This increased the number of tools to consider during the qualification process.

The effort of qualification depends on the number of tools under consideration, the tool classes and the tool error detection capabilities. To reduce the cost of the toolchain qualification and regarding the fact that our development imply regular releases, a systematic toolchain analysis approach has to be defined.

Table 1 exemplary lists the tool classes for some of the tools employed in the openETCS project. Automatic code generator for SCADE model such as KCG or the Bitwalker tool have a direct effect on the generated code whereas ProR and Git do not. The classification for ProR would be different if it would be used for formal requirements that then could be automatically translated to a model (this would yield a T3-classification). The verification tools RT-Tester and CPN Tools are not in the “direct” chain from requirements to code but they may fail to detect errors in the software or model.

| Tool | Purpose | Tool Class |
|-----------------------|--|------------|
| Papyrus Editor | Definition of the model architecture | T1 |
| Papyrus SysML checker | Check SysML conformity of the model | T2 |
| SCADE Editor | Low-level modeling and code generation | T1 |
| SCADE Code Generator | Code generation | T3 |
| ProR | Requirements management | T1 |
| Bitwalker | Generation of data structures for model-ling | T3 |
| Git | Versioning & Traceability | T1 |
| RT Tester | Model-based testing | T2 |
| CPN Tools | Model checking and test case generation | T2 |

Table 1. Classification of some tools in the openETCS tool chain

1.2 Toolchain Qualification State of the Art

Some recent works have been done in the field of toolchain qualification from a variety of projects. The next section summarizes the most significant ones.

1.2.1 Slotosh and al. (project RECOMP)

[10] describes a model-based approach to tool qualification to comply with DO-330 and integration into the Eclipse development environment. The authors claim that the benefits of their method are the following:

Clarity: remove ambiguities;

Re-usability and Transparency: check for reuse in different toolchain;

Completeness: the model covers all parts of the development process and is traceable;

Automation: Some part of the process may be automated.

Their method is explained in detail in [11]: the toolchain analysis is based on a domain specific toolchain model they have defined. This model is used to represent the toolchain structure as well as the tool confidence. Their goal is to deduce the tool confidence level and to expose specific qualification requirements. Furthermore, their idea is not only to check tool by tool but they follow a more holistic approach that makes use of rearrangement and/or the extension of the toolchain to avoid the certification of all tools. This allows them to reduce the qualification effort by focusing only on the critical tools and making use of already available information. Moreover, checks with respect to inconsistencies, such as missing descriptions, unused artifacts, etc., may be automatically executed on the toolchain model. Finally, automated document generation is addressed.

In [13] the application of tools and methods to an industrial use case to determine the potential errors in the tool-chain is described.

1.2.2 Asplund and al. (projects iFEST, MBAT)

The authors investigate the question if there exists part of the environment related to tool integration that may fall outside the tool qualification defined by the a norm (ISO 26262 here [2]). And if so, how tool integration is affected by ensuring functional safety. One conclusion is that the tool integration may lead to an increase of the qualification effort.

They also state that the standards (EN 50128, DO-178C and ISO26262) are not sufficient to check safety of a toolchain, but some part of a toolchain may be taken into account to mitigate the qualification effort. They highlight 9 safety issues caused by tool integration that also allow to be more exact when identifying software that have to be qualified for certification purpose.

They advocate to use take a “system approach” to deal with the qualification of tool integration within a toolchain. We should not think about individual tools anymore. Their system approach follows these steps:

1. Pre-qualification of development tools (requirements tools, design tools ...): provided by the vendors.
2. Pre-qualification at the tool-chain level: based on step 1 and reference work-flows; decomposition of higher level (project-wide) safety goals on tool level
3. Qualification at the toolchain level: check whether assumptions in step are fulfilled (use cases, environment, process) by the actual toolchain to be deployed.
4. Qualification at the tool level: based on the actual environment when deploying the toolchain.

This approach leads them to separate the parts required for software tool qualification and to identify safety issues related to tool integration.

In [1], they explore the step 2): identifying the required safety goals due to tool integration and obtaining a description of a reference work-flow and tool-chain with annotations regarding the mitigating effort. They propose to use the TIL language, a domain specific language for toolchain models. The model of the tool chain is used to perform a risk analysis and to annotate parts that need mitigating effort for the safety issues due to tool integration.

1.2.3 Biehl and al. (projects CESAR, iFEST, MBAT)

Biehl proposed a Domain Specific Language named TIL for Generating Tool Integration Solutions [6]. A toolchain is described in terms of a number of “Tool Adapters” and the relation between them.

- Tool Adapters: expose data and functionality of a tool
- Channels
 - ControlChannel describes service calls
 - DataChannel describes data exchanges
 - TraceChannel describes creation of a trace links
- Sequencer: describes sequential control flow (sequence of services)

- User: describes and limit the possible interaction
- Repository: provides storage and version management of tool data

This DSL allows early analysis of the toolchain. It may generate part of tool adapter code based on the source and target meta-model.

More recently, Biehl and al. define a standard language for modeling development processes as defined by OMG 2008. The language has been used in [5, 4] together with the TIL language to tailor a toolchain following a process model. The goal is to be able to model both the development process and the set of tools used. A process is defined as follows:

- Process: several Activities
- Activity: set of linked Tasks, WorkProducts, Roles
- A Role can perform a Task
- A WorkProduct can be managed by a Tool
- A Task can use a Tool

Using together the process development language and the toolchain language, in [4], the authors measure the alignment of a toolchain with a product development process. The method proceeds as follows:

1. Inputs:
 - formalized description of the toolchain design
 - description of the process including the set of tools and their capabilities
2. Initial verification graph
3. Automatic mapping links to the verification graph (acc. to mapping rules)
4. Apply alignment rule on the verification graph
5. Apply metrics to determine the degree of alignment between the tool-chain and the process

The metrics and the misalignment list provide feedback to refine the tool-chain design.

2 OpenETCS Toolchain Qualification Process

2.1 OpenETCS Tool Chain Characteristics

All the methods mentioned above start with a complete definition of the toolchain. In OpenETCS, the development of the toolchain follows an *agile* approach. Hence, for each (major) release we have to deal with an incomplete tool chain. In addition to the methods of the previous section, we need a qualification process that can adapt to the development speed, deal with an incomplete toolchain and can re-use qualification information.

Moreover, as stated by Asplund et al., the toolchain itself may provide some mechanisms that may reduce the tool qualification effort [1, 2]. They are described as a set of safety goals that the tool chain should ensure. In our context, most of the tool integration effort is made by integrating tools into a tool platform. According to Asplund et al., the tool platform should ensure the following safety-goals that will avoid some extra tool qualification:

- Coherent time stamp information: common time stamps on development artifacts.
- Notification: the user should be notified when artifacts changed.
- Data integrity: avoid use of obsolete artifacts, the data used reflects the current state.
- Data mining: all data used by safety analysis should be available and be verifiable.

These goals will be included in our tool integration qualification, they will be extra requirements for each tool qualification. Moreover, a set of specific requirements has been described in the deliverable D2.6 [3]. These should also been included in the tool's requirements.

In the following sections we define the tool chain qualification process by first giving an overview of the process (section 2.2), then getting into details for individual tool qualification (section 2.3), we then focus on the incremental development of the tool chain (section 2.4) and finally provide some example on how this process can be applied to our tool chain implementation (section 2.5). Section 2.6 defines the roles and responsibilities involve in the tool chain.

2.2 Qualification Process Overview

Figure 1 defines the qualification process.

The steps of the process are defined as follows:

Feature Analysis

The tool chain is defined as a set of features or activities (e.g. "Requirements Engineering", "Documentation", "Modeling") . Each tool of the tool chain is then categorized according to its activities. Inside a feature a work-flow is defined. This phase also defines the interfaces of each tool within a feature and the artifacts manipulated. An artifact is defined by a name and a format.

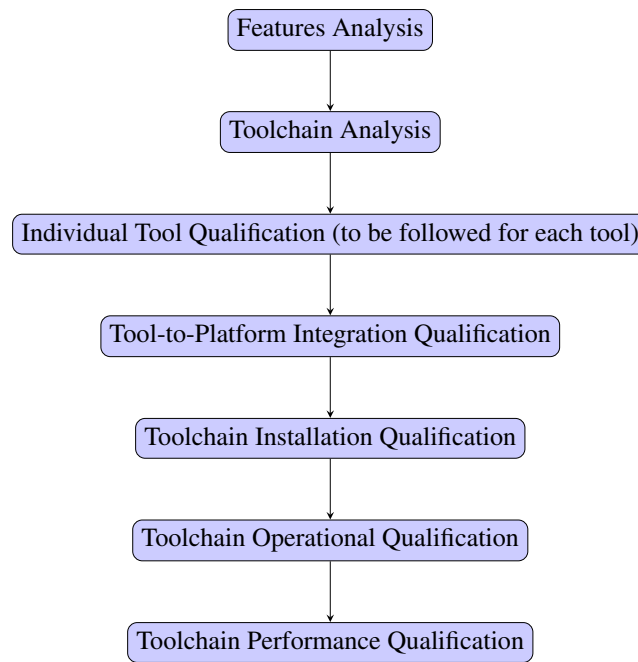


Figure 1. Proposal for the openETCS qualification process

This high-level definition of the tool chain is made by the *qualification leader* with the help of the *qualification manager* that decompose the qualification work.

Tool chain Analysis

The work-flow between the tool chain features is defined by the *qualification leader* by exchanging with the tool/toolchain programmers. The interfaces between the features are defined at this phase as well as the artifacts exchange between the different features.

Individual Tool Qualification (to be followed for each tool)

The *qualification leader* and the *qualification tester* defines for each tool the qualification process detailed section 2.3.

Tool-to-Platform Integration Qualification

This step ensures that the tool is correctly integrated within the tool chain. After all individual tools are qualified, their integration into the tool chain is planned. As part of the integration planning, the team will analyze the dependencies of the different tools to minimize the effects of unavoidable dependencies.

The *qualification test designer* will define the integration tests with the help of the *tester* that will also performs the integration tests.

Moreover, for each artifact used or produced by the tool, evidence should be provided that the safety-goals of section 2.1 are satisfied. Whenever an artifact is modified, a new time stamp has to be added and notification should be triggered. Whenever an artifact is used a check of its obsolescence should be performed.

The *Automation Developer* will implement the mechanism to ensure the artifacts consistency.

Tool Chain Installation Qualification

Installation should verify that the toolchain is properly installed. It does not verify that the tool chain conforms to the functional and performance specification. This is done later in the operational qualification phase. The goal of this step is to verify correct software installation and to document all computer hardware, software and configuration settings as the initial baseline configuration. This task should be performed by the *Tester*.

Operational qualification

Operational qualification should demonstrate that the tool chain will function according to its operational specification in the selected environment. The tests should be planned by the *qualification test designer*. The tests should be performed (by the *tester*) to verify that the toolchain meets the specifications, requirements in the specific environment.

Performance qualification

Performance qualification should demonstrate that the toolchain consistently performs according to the specifications defined by the openETCS project, and is appropriate for the intended use. Important for consistent openETCS toolchain performance is regular preventive maintenance, making changes to the toolchain in a controlled manner and regular testing. These tests should be model with the *qualification test designer* and test performed by the *tester*.

The toolchain should be well maintained to ensure proper ongoing performance. Procedures should be in place for regular preventive maintenance to detect and fix problems before they can have a negative impact. The *Automation developer* should provide some automation for this task.

The last five steps of the qualification process are then validated by the *qualification validator*.

2.3 Individual Tool Qualification

The qualification of a tool chain implies that each tool should be qualified. Figure 2 proposes a tool qualification process that should be performed for each tool. This section explains the steps for the tool qualification whenever a new tool is added. Next section will deal with the special cases where tools are updated and when a first qualification has already been performed.

2.3.1 Define Process & Usage Context

The process starts by defining the tool purposes and the usage context of tool. More precisely, the format restrictions of the input and output artifacts of the tool should be identified, the dependency with our tools should be explicitly defined and the feature analysis should be consolidated. This can be best achieved in a model-based manner. For details on that topic please refer to Section 2.3.4.

2.3.2 Tool Classification

The class of the tool should be set according to the EN50128 definition (see section 1.1). An evidence can be expressed using the feature and the tool chain analysis.

These two first steps may be seen as pre-qualification steps that guide the qualification process.

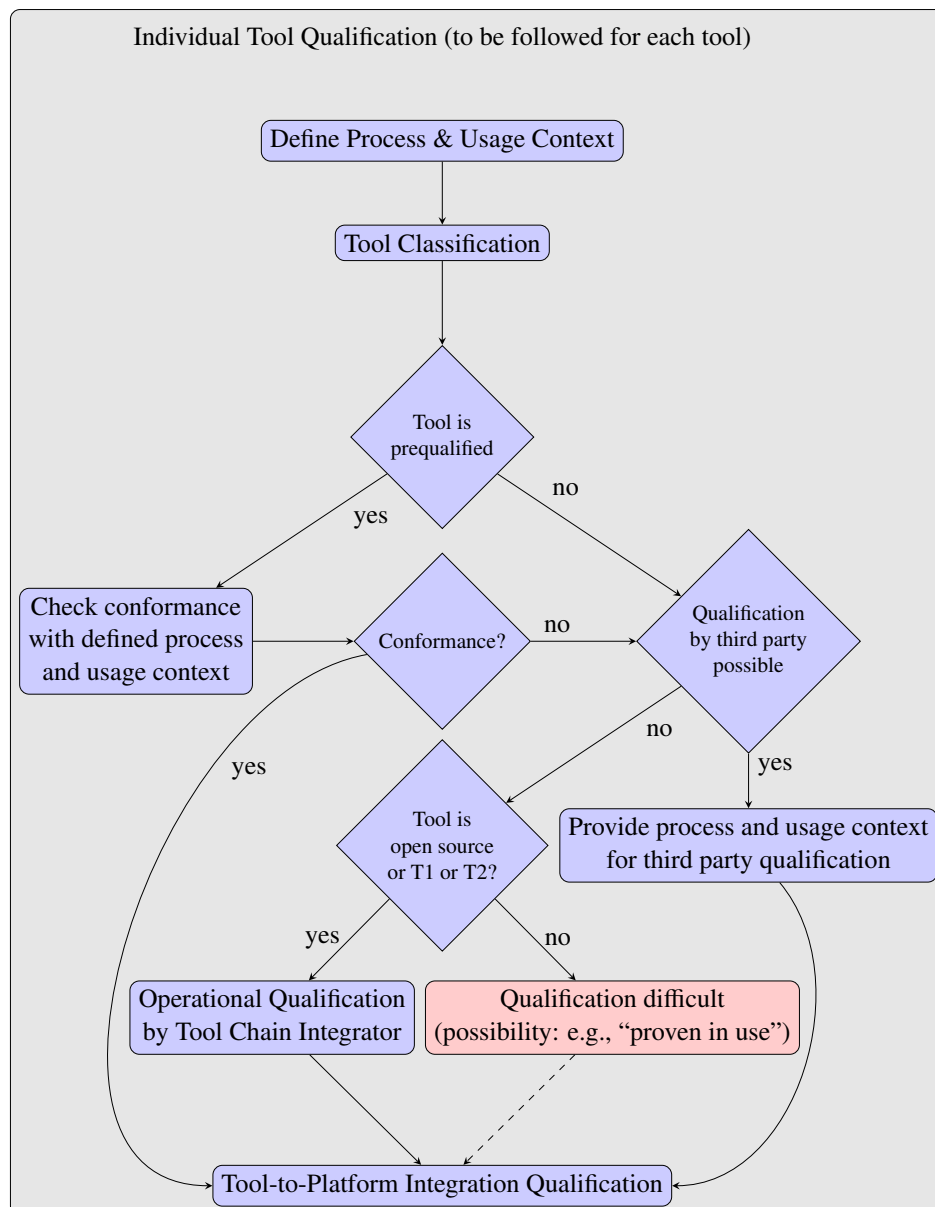


Figure 2. Proposal for the openETCS Tools qualification process

2.3.3 Scenario-based Qualification of Individual Tools

Qualifying a toolchain always requires the qualification of the individual tools it is comprised of. The effort required depends on the type and license of the tool to be qualified. To this end in the following we have identified a number of different scenarios that are of relevance in the context of the openETCS project as depicted in Figure 3.

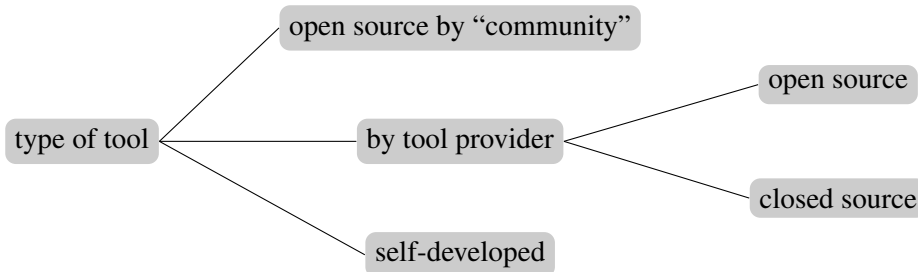


Figure 3. Scenarios to consider when qualifying individual tools

In the following considerations for the individual scenarios are described.

2.3.3.1 Self-developed Tool

For a self-developed tool the project needs to provide the means for qualification and quality assurance. As the tool has not been employed in productive use by others the “proven in use” argument (CENELEC EN50128 §6.7.4.4 a)) does not apply.

2.3.3.2 Tool Provided by a Tool Provider

For tools provided by a third party we must distinguish again two cases:

The tool is provided as closed source tool

If the tool is not pre-qualified by the tool provider the options are limited for T3 tools. If the tool provider is not willing or unable to provide the means for qualification, the qualification of a T3 tool will be difficult. One possibility is the “proven in use” argument: if the tool is already in use on a broad scale in industry and is generally regarded as reliable for the intended purpose this can be sufficient. This situation is represented as the red node in the process graph in Figure 2.

The tool is provided as open source

In this case it would be possible to adapt the tool and analyze it to enable qualification. However, this involves a huge amount of effort which is best done by the tool provider who as a better insight into the tool’s internal workings. Also a third party who has already experience with the tool or is a specialist with regards to qualifying safety-critical tools could be entrusted with the qualification task.

2.3.3.3 Open Source Tool Provided by the “Community”

If no tool provider can be identified but the tool is developed by the community and distributed under an open source license, the tool qualification has to be conducted by the project. However, many open source tools have a large development and expert community which can certainly be

of help. In addition, the “proven in use” argument could possibly be applied (e.g., for tools like GCC).

2.3.4 Model-based Tool Qualification

This section describes a proposal on how to setup the operational qualification of individual tools. This step of the qualification process (cf. Figure 2) is required for any tool that is not pre-qualified. With respect to the scenarios described in Section 2.3.3 it applies to self-developed and third party tools classified T1 and T2 and open source T3 tools. In the case of closed source T3 tools it is not possible to follow this process as the internal workings of the tools are hidden.

We propose setting up the operational tool qualification based on the approach by Slotosch et al. which has been briefly sketched in Section 1.2.1. It has been simplified and adapted to our purposes. In particular, we will focus on the artifacts and documentation necessary to conduct the qualification steps. Figure 4 shows the different parts of the qualification model according to [10]. We propose to tailor this approach as follows:

- The different levels of requirements have been simplified: We propose to keep a single level of requirements. In addition we distinguish two special types of requirements: the *use cases* and *safety requirements* which are derived from a safety standard such as CENELEC EN 50128. Safety requirements often enforce constraints to the development process and the employed methods and do not necessarily specify desirable properties of the end result.
- In the context of openETCS the tool design model is built using SysML with the Papyrus tool.
- The “Quality Assurance” part, containing existing problem reports, their severity and relations to tests and potential errors, can be covered by using the GitHub issue tracker and is therefore not depicted in Figure 4.

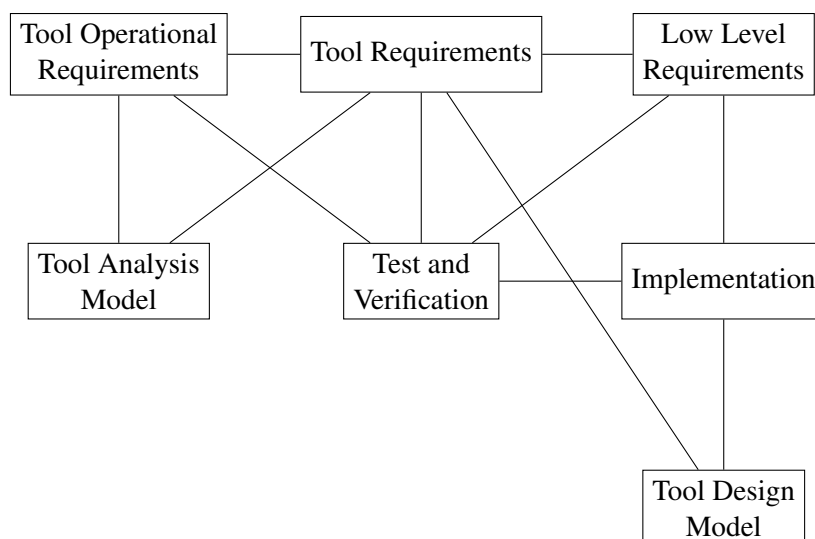


Figure 4. Parts of the qualification model and their linkage according to [10]

The SysML block diagrams depicted in Figure 5 depicts the proposed qualification meta-model for openETCS. It needs to be instantiated for each individual tool. The tool analysis model is used to analyze the functions of the tool and potential errors and mitigation which are the result

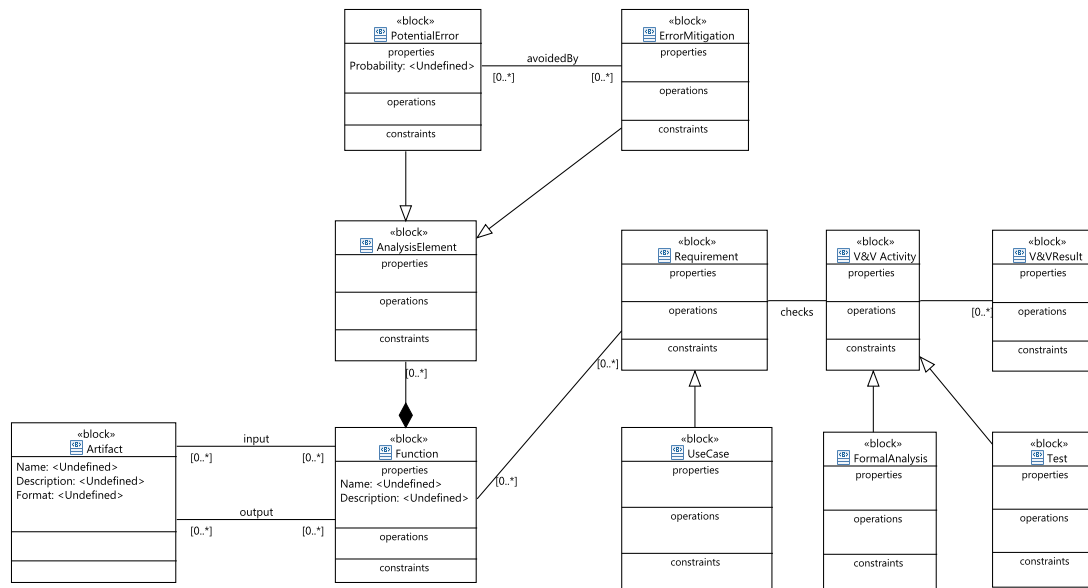


Figure 5. Tool analysis meta model for qualification

of a thorough risk analysis. Ideally, the qualification meta-model shall establish the traceability between requirements, use cases, implementations and test cases.

Each tool will then be defined by all information represented in our meta-model. This can be seen as our template for collecting all information needed for tool qualification.

For T3 tools it needs to be ensured that the set of potential errors that may lead to a safety problem is exhaustive. To this end, evidence must be provided. For T2 and T3 tools it is also necessary to provide evidence that the user manual is sufficiently detailed and free of errors that may lead to maloperation.

2.4 Incremental Tasks for toolchain qualification

The qualification of the toolchain shall follow an incremental process adding the next tool to the previously qualified tool chain. The process will continue until the last qualified tool is integrated and the complete toolchain is qualified. A bottom-up approach will be applied. As a result, the errors related to tool interface should be easier to pinpoint.

A new OpenETCS tool chain release deal with different type of changes:

1. Add a new tool: the tool chain is extended
2. Replace a tool: a tool function is replaced by another one
3. Update a tool: a tool is updated (bug fixes, performance improvements ...)

In the first case, the complete qualification process should be performed and the qualification of the new tool should be added.

In the two other cases, the feature model should also be updated, as well as the manual, the use cases and the potential errors. Moreover, evidence that all tools that depend on this tool are still compatible with the new one.

In all cases of a new qualification, the three last phases should also be performed again.

During the toolchain qualification there will be iterative tasks where users repeat a set of actions over and over again. Thus, it would be necessary to evaluate these type of tasks and try to automate a subset of them to minimize the execution time. The *automation developer* should also implements mechanism that detects changes impacts.

2.5 OpenETCS Toolchain Qualification Process

This section will apply the set of concepts described in the previous section to the openETCS tool chain. Figure 6 implements the qualification process described in the previous section.

The first step is the **feature Analysis**. The OpenETCS tool chain will be defined by the set of its features and a guideline describing how to correctly use it. A SysML block diagram describes the tool chain architecture at a certain point in time as shown in Figure 7.

This block diagram is intended to grow according to new feature requests and the needs of openETCS participants. This diagram will be kept updated as a reference of our tool chain. In any case, the complete information regarding the feature availabilities may be found in the Eclipse product definition.

Each feature of the toolchain is a block with the profile “openETCSFeature” and each artifact is block with the profile “openETCSArtifact”. Each feature realizes at least one use case and may be implemented by one or more tools. Note that in the tool platform features may also be implemented as plug-ins. The diagram also imposes a (partial) order on the tasks. While some may be done in parallel, many tasks are dependent on others. Currently, the diagram neither highlights the use of the tools, nor the order of actions to be performed. This diagram should be completed by guidelines on how to use the tool chain and/or an activity diagram.

The second step, the **tool chain analysis** consists of Bundle Analysis and the work-flow Analysis. The openETCS tool chain is integrated into the Eclipse platform. One can analyses the sources of the tool chain and automatically derived the list of tools present in the tool chain as well as some information about them such as : the name, the version, a description and a list of dependency. These information should be included in the release documentation of the indicts tool chain.

The list of tool should be refined by the development team. The missing information should be added directly in the sources to make them available for the next tool releases.

From the work-flow of the tool chain it is possible to automatically derive some basic use cases such as parsing or producing artifacts. These basic use cases may be coupled with basic potential errors such as “no file found”, “too many files produced”...

After the tool chain analysis phase, the **individual tool qualification** starts. With the help of the tool list, the previous information given (from former releases) and the basic use cases, the tool information should be completed. Table 2 3 and 4 summaries the set of information needed for the qualification of a tool.

When all tool are completely defined the **tool-to-platform integration** starts. From the previous phases, we should be able to generate the dependency list of the tools and the artifact matrix. The dependency list is obtained by following the work-flow and the eclipse dependency list definition that includes the version of the tools. The dependency list is used to check if all tool can be

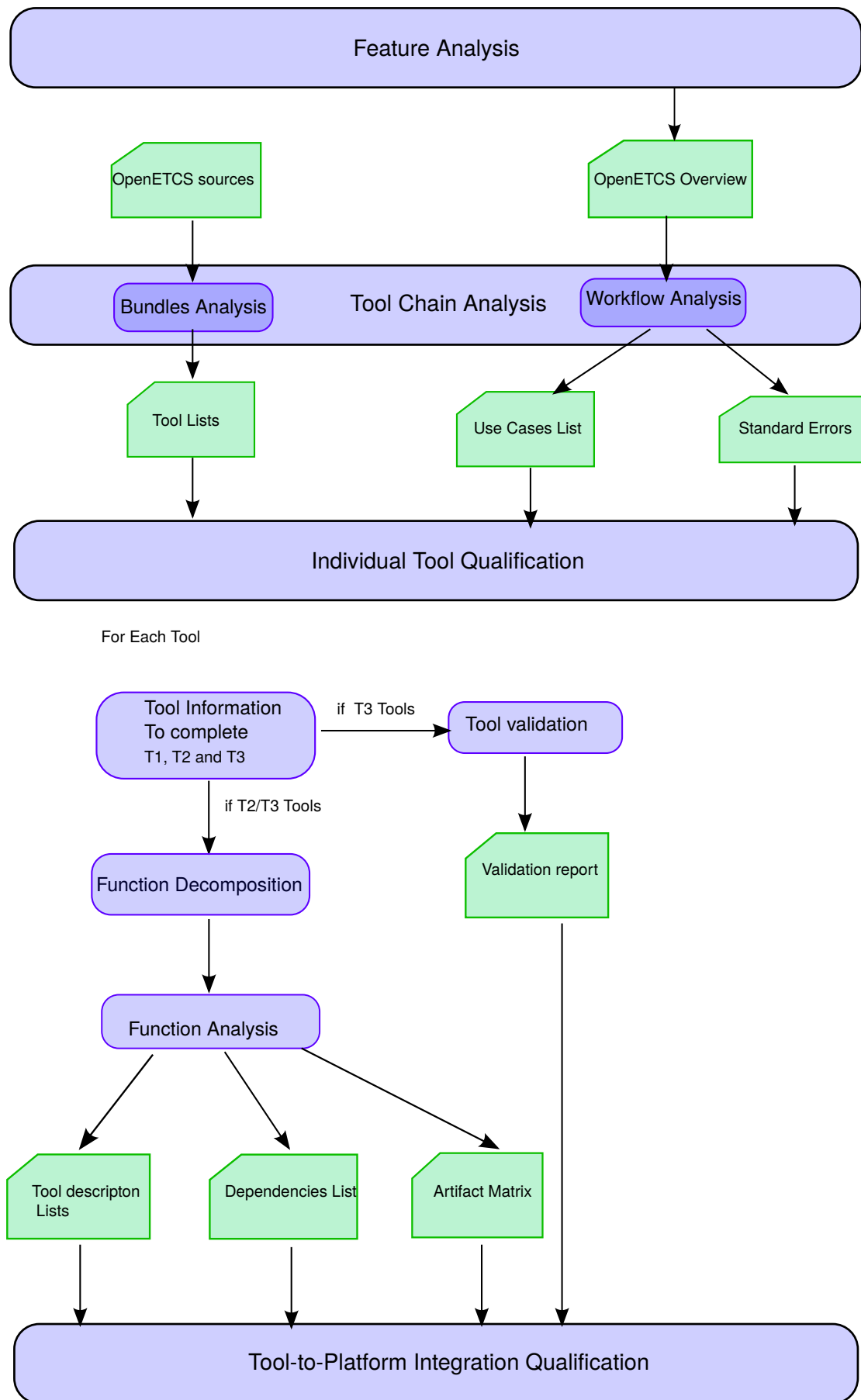


Figure 6. The OpenETCS Qualification process

Table 2. Tool Information to be completed

| | |
|--|--------|
| Tool Name: | |
| Version: | |
| Tool License: | |
| Tool Origin: | |
| Tool Dependencies (with version) | - - |
| Description: | |
| Tool Class: | |
| If T2/T3 Tools | |
| Tool Justification | |
| Manual or Specification Link and version | |
| Artifact List link | |
| Function List link | |
| If T3 Tools | |
| Evidence of correctness or failure detection ¹ | |
| VnV activities report link | |

¹ See EN50128 6.7.4.4 for the list of alternatives

Table 3. List of Artifacts Description

| Name | Format | Is read ? By who ? | Restrictions | Time stamp check? | Is written ? By Who ? | Restrictions | Time Stamp produced ? |
|-----------|--------|-----------------------|--------------|----------------------|--------------------------|--------------|--------------------------|
| Artifact1 | tex | Tool1 | none | yes | no | | |
| | | Tool2 | none | no | no | | |

Table 4. Function Definition

| | |
|------------------|------------------|
| Name | |
| Description | |
| Inputs | |
| Outputs | |
| Use Case | |
| Potential Errors | Error Mitigation |
| | |
| | |

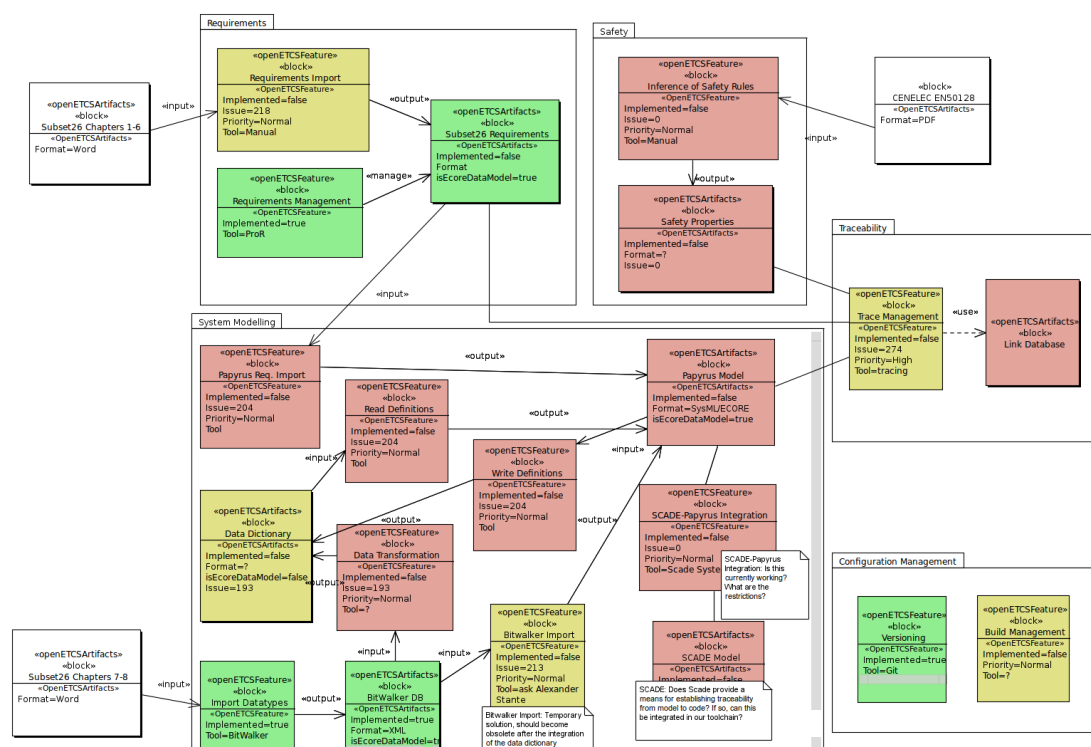


Figure 7. Tool Chain overview (20.02.14) –
Green Block: Implemented ; Yellow Block: Work in Progress
Red Block: Not started ; White Block: External Artifacts

correctly integrated into the tool platform by the qualification team and report to the development team. Part of this task may be automatically done by the Eclipse tool platform.

The artifacts matrix is the list of all artifacts produce within the tool chain with the link of each tool that uses, writes or reads it. As required by R-WP2/D2.6-02-076 the input/output formats has to be documented. The artifacts matrix allows the qualification team to check if all artifacts are correctly read and write and/or all possible errors are mitigated. In case of re-qualification of the tool chain, impact analysis of the change shall be perform form the artifacts matrix. Hence, the work may be alleviate by focusing on the tools that are impacted by the changes.

2.6 OpenETCS Toolchain Qualification Roles

This section describes the different roles with their associated responsibilities and skills involve int the openETCS qualification process.

Table 5. OpenETCS Toolchain Qualification Roles

| Role | Responsibilities | Skills |
|------------------------|--|---|
| Qualification Manager | <ul style="list-style-type: none"> • Managing the qualification team and managing qualification throughout the project • Organizing and providing the necessary resources for the qualification process • Performing reviews and assessments after and during qualification • Assurance and audits of qualification quality • Select the most appropriate approach for qualifying the tools | <ul style="list-style-type: none"> • Experience in managing software development and qualification projects • Experience in resources managing • Experience in analyzing results of the qualification process and evaluating the state of the process during the execution |
| Qualification Lead | <ul style="list-style-type: none"> • Planning, monitoring and control of the qualification activities and tasks • In collaboration with qualification manager, has to organize the qualification strategies and the qualification plan • Be in contact with tools programmers to have knowledge of the performance of the tools • Make sure that the qualification process is correctly followed • Write reports during and at the end of qualification process | <ul style="list-style-type: none"> • Experience in managing software development and qualification projects • Experience in qualification plans design for the qualification of incomplete tools and variable development speeds • Experience in the work with qualification process that tests the performance and the safety-goals of the tools • Experience for analyzing the results and quality of qualification process • Experience in analyzing dependencies between different tools |
| Continued on next page | | |

Table 5. OpenETCS Toolchain Qualification Roles

| Role | Responsibilities | Skills |
|-----------------------------|---|---|
| Qualification Test Designer | <ul style="list-style-type: none"> • Identifying and describing appropriate qualification test techniques • Identifying the appropriate testing tools • Specifying and verifying the required qualification test environment configurations • Verify and assess the qualification approach • Identifying and defining the required tests • Design needed test cases | <ul style="list-style-type: none"> • Experience in the qualification of tools at variable development speeds and dealing with incomplete tools • Experience designing test cases to qualify the behavior and the safety goals of the tools • Experience qualifying the activities of the platforms, and the used tools (tools of different scenarios) and artifacts |
| Tester | <ul style="list-style-type: none"> • Contribute to qualification plans during the planning and preparation phases • Execute and log the tests, evaluate the results and document problems • Monitor the testing and the test environment • Review other testers work | <ul style="list-style-type: none"> • Experience in the qualification of incomplete platforms • Experience testing and analyzing the behavior and safety-goals of tools • Experience working with data integrity and data mining • Experience testing tools of different classification and scenario • Experience in model based(SysML, papyrus) tool qualification |
| Automation Developer | <ul style="list-style-type: none"> • Responsible of qualification process automation • Select the most appropriate automation technique • Design, build, test, and deploy effective test automation solutions | <ul style="list-style-type: none"> • Experience building up automated platforms for incomplete tool chains • Experience working with different testing automation techniques |
| Continued on next page | | |

Table 5. OpenETCS Toolchain Qualification Roles

| Role | Responsibilities | Skills |
|-------------------------|--|---|
| Qualification Validator | <ul style="list-style-type: none"> • Responsible of validating the qualification process and generating the reports • Check whether the executed qualification testing process fits the goals of designed testing process • Evaluate the correctness of qualification testing process results and generated reviews • Measure the quality level of tools testing process | <ul style="list-style-type: none"> • Experience evaluating the correctness of results and quality of incremental qualification processes |

3 Tool chain Qualification Example

3.1 Example - Consideration for two openETCS Tool Chain

In this section we will illustrate the qualification process for the openETCS tool chain. As an example we will focus on the sample tool chain depicted in Figure 8.

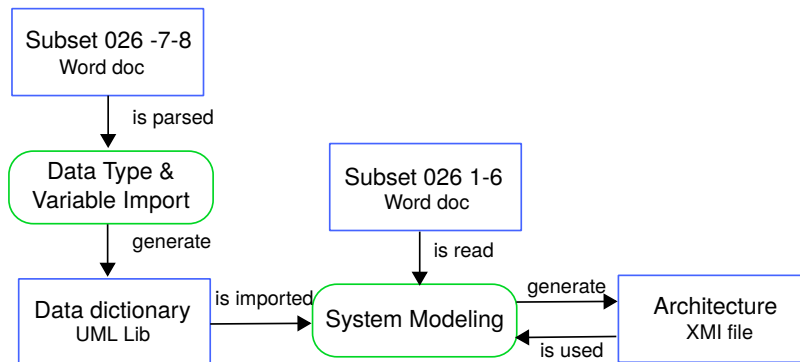


Figure 8. Tool chain sample

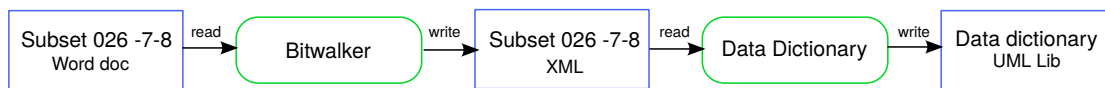


Figure 9. Feature: Data type and Variable Import

The first tool chain : Create High-level Architecture I proposes to create a high level view of the on board unit from the Subset 026 specification. It contains two features : Data type and Variable Import and the sytem modeling. Figure 9 describes the feature “Data type and Variable Import” in more details. The workflow is depicted figure 8.

The tool chain analysis give us the following list of tool :

1. Feature 1: Data type and Variable Import

- Tool 1: Bitwalker
- Tool 2: Data Dictionary import

2. Feature 2: System Modeling

- Tool 1: Papyrus Editor
- Tool 2: Papyrus SysML Checker

The following tables give the elements we could directly obtain from the eclipse analysis that have to be completed.

The artifact matrix for the tool chain matrix should look like table 10. This table has been pre-filled with the information available now, this should also be completed during the qualification process.

Table 6. Data dictionary Information to be completed

| | |
|----------------------------------|---|
| Tool Name: | Data Dictionary |
| Version: | 0.1.0 |
| Tool License: | EUPL V1.1 |
| Tool Origin Tool Dependencies | Fraunhofer ESK, LAAS-CNRS - org.eclipse.ui - org.eclipse.core.runtime - org.eclipse.emf.ecore - org.eclipse.papyrus.uml.extensionpoints |
| Description: | This feature contains the DataDictionary. The DataDictionary registers a UML model which contains data structure, variables and messages based on the ETCS System Requirements Specification (SRS). |
| Tool Class: | T3 |
| If T2/T3 Tools | |
| Tool Justification | |
| Manual Link and version | |
| Artifact List link | |
| Function List link | |
| If T3 Tools | |
| Validation method | |
| VnV activities report link | |

Table 7. Bitwalker Information to be completed

| | |
|----------------------------|--|
| Tool Name: | Bitwalker |
| Version: | v1.0 |
| Tool License: | EUPL v1.1 |
| Tool Origin: | Siemens for openETCS |
| Tool Dependencies | None |
| Description: | Transform the word document chapter 7 and 8 to a XML file. |
| Tool Class: | T3 |
| If T2/T3 Tools | |
| Tool Justification | |
| Manual Link and version | |
| Artifact List link | |
| Function List link | see 3.2 |
| If T3 Tools | |
| Validation method | |
| VnV activities report link | |

Table 8. Papyrus Editor Information to be completed

| | |
|----------------------------|--|
| Tool Name: | Papyrus Editor |
| Version: | 0.10.1.v20130918 |
| Tool License: | Eclipse |
| Tool Origin: | Eclipse Incubation (CEA) |
| Tool Dependencies | - - |
| Description: | Papyrus is a modeling tool that allows us to model the high-level architecture of the OBU with SysML |
| Tool Class: | T1 |
| If T2/T3 Tools | |
| Tool Justification | |
| Manual Link and version | |
| Artifact List link | |
| Function List link | |
| If T3 Tools | |
| Validation method | |
| VnV activities report link | |

Table 9. Papyrus Checker Information to be completed

| | |
|----------------------------|---|
| Tool Name: | Papyrus Checker |
| Version: | 0.10.1.v20130918 |
| Tool License: | Eclipse |
| Tool Origin: | Eclipse Incubation (CEA) |
| Tool Dependencies | - - |
| Description: | Papyrus checker verifies the basics rules of SysML modeling. |
| Tool Class: | T2 |
| If T2/T3 Tools | |
| Tool Justification | To make import and export of the model the sysML model should be consistent with SysML rules. |
| Manual Link and version | |
| Artifact List link | |
| Function List link | |
| If T3 Tools | |
| Validation method | |
| VnV activities report link | |

Table 10. List of Artifacts Description
The cells with ??? indicates unknown information

| Name | Format | Is read ? By who ? | Restrictions | Time stamp check? | Is written ? By Who ? | Restrictions | Time Stamp produced ? |
|----------------------------|-----------|-----------------------|--------------|----------------------|--------------------------|--------------|--------------------------|
| Subset 026 chapt.7-8 | Word-docx | Bitwalker | none | no | no | | |
| Subset 026 chapt.7-8 | XML | Data dictionary | ??? | ??? | Bitwalker | ??? | ??? |
| UML Li- brary | XMI | Papyrus | Papyrus XMI | ??? | Data dictio- nary | ??? | ??? |
| Papyrus Model | XMI | Papyrus | Papyrus XMI | ??? | Papyrus | Papyrus XMI | ??? |

3.2 Bitwalker Model-Based Qualification Example

This section gives an example of a tool analysis model as described in Section 2.3.4 based on the specification import function of the Bitwalker tool. The example is by no means complete; its goal is to illustrate the concepts described in this document.

Functions

| FunctionBitwalkerSpecImport | |
|------------------------------------|---|
| Name | Bitwalker Specification Import |
| Description | Parses the Subset 026 Word document and generates a the “Data Dictionary” representing data types, variables and messages |
| Inputs | ArtifactSubset026-7, ArtifactSubset026-8 |
| Use Cases | UC1 |
| Outputs | ArtifactDataDictionary |
| AnalysisElements | PotentialError1, PotentialError2, PotentialError3, PotentialError4, ErrorMitigation1, ErrorMitigation2, ErrorMitigation3 |

Artifacts

| ArtifactSubset026-7 | |
|----------------------------|---|
| Name | Subset 026 Word Document |
| Description | Subset 026-7 document containing the variable and data type definitions of the ETCS specification |
| Format | MS Word |

| ArtifactSubset026-8 | |
|----------------------------|---|
| Name | Subset 026 Word Document |
| Description | Subset 026-8 document containing the message type definitions of the ETCS specification |
| Format | MS Word |

| ArtifactDataDictionary | |
|-------------------------------|---|
| Name | Data Dictionary |
| Description | Data Dictionary representing data types, variables and messages |
| Format | Papyrus SysML |

Use Cases

| UC1 | |
|--------------------------|--|
| Name | Subset 026 Transformation |
| Description | Generation of a Papyrus data dictionary from the Subset 026-7 and 026-8 documents |
| Actors | Papyrus Modeller |
| Steps | <ol style="list-style-type: none"> 1. Selection of ArtifactSubset026-8 input file 2. Selection of ArtifactSubset026-9 input file 3. Indicate target Data Dictionary 4. Initiate transformation |
| Success Condition | The Data Dictionary contains exactly all definitions from the input documents; there are no deviations |

AnalysisElements

| PotentialError1 | |
|--------------------|---|
| Probability | High |
| Description | Variable / message / type not detected or missing in output |
| Mitigation | ErrorMitigation1 |

| ErrorMitigation1 | |
|--------------------|---|
| Description | This error can possibly be detected and avoided as the modelling process is manual. Required in- or output described in the SRS can be added manually if missing. |
| Error | PotentialError1 |

| PotentialError2 | |
|--------------------|---|
| Probability | Medium |
| Description | Variable or message have wrong type |
| Comment | This is a very dangerous error, especially in the case of different precision integers or floats. The error may remain unnoticed and be propagated to the code leading to potentially fatal malfunctions. |
| Mitigation | ErrorMitigation2 |

| ErrorMitigation2 | |
|--------------------|--|
| Description | A mitigation is only possible by manual recheck (which would make an automatic conversion obsolete) of extensive/exhaustive testing or verification of the tool implementing the feature. However the inconsistent nature of the input document (Word) could prevent this. |
| Error | PotentialError2 |

PotentialError3

| | |
|--------------------|------------------------------------|
| Probability | Medium |
| Description | Missing fields in record / message |
| Mitigation | ErrorMitigation3 |

ErrorMitigation3

| | |
|--------------------|---|
| Description | Can be detected if the functionality of the field is described in the functional description (similar to ErrorMitigation1). |
| Error | PotentialError3 |

PotentialError4

| | |
|--------------------|---|
| Probability | Medium |
| Description | Wrong naming of variable / message / type |
| Mitigation | ErrorMitigation3 |

V&V Activities**VnVActivity1**

| | |
|--------------------|---|
| Type | Correctnes Inspection |
| Requirement | UC1/Success Condition |
| Description | Manually inspect whether all definitions of ArtifactSubset026-7 and ArtifactSubset026-8 are actually represented correctly in the Data Dictionary |

VnVResult1

| | |
|-------------------------|---|
| V&V Activity | VnVActivity1 |
| Result | Passed/Failed |
| Findings | Descriptions of the findings of the inspection. |

Remark: It will be necessary to provide evidence that critical errors such as PotentialError2 or PotentialError4 can be detected or are not present in the tool. Exhaustive verification will be difficult due to the unreliable structure of the input document. The result must be correct also for ill-formed documents.

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