

# An Empirical Study of Invasion and Resistance for Iterated Prisoner's Dilemma Strategies

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## Abstract

The Iterated Prisoner's Dilemma is a well established framework for the study of emergent behaviour. In this paper an extensive numerical study of the evolutionary dynamics of this framework are presented. Fixation probabilities for Moran processes are obtained for 164 different strategies. It is found that players with long memories and sophisticated behaviours outperform many strategies that perform well in a two player setting.

## 1 Introduction

The Prisoner's Dilemma (PD) [8] is a fundamental two player game used to model a large variety of strategic interactions. Each player can choose between cooperation (C) or defection (D). The decisions are made simultaneously and independently. The payoffs of the game are defined by the matrix  $\begin{pmatrix} R & S \\ T & P \end{pmatrix}$ , where  $T > R > P > S$  and  $2R > T + S$ . The PD is a one round game, but is commonly studied in a manner where the prior outcomes matter. This extended form is called the Iterated Prisoner's Dilemma (IPD). As described in [5, 12, 20] a number of strategies have been developed to take advantage of the history of play. Recently, some strategies referred to as Zero Determinant strategies [20] even manipulate players through extortion.

The Moran Process [18] is a model of evolutionary population dynamics that has been used to gain insights about the evolutionary stability in a number of settings (more details given in Section 1.1). Several earlier works have studied iterated games in the context of the prisoner's dilemma [19, 24], however these often make simplifying assumptions and/or do not consider sophisticated behaviour: only considering strategies that either cooperate or defect.

This manuscript provides a detailed numerical analysis of **164** complex and adaptive strategies for the IPD. This is made possible by the Axelrod library [25], an effort to provide software for reproducible research for the IPD. The library now contains over 186 parameterized strategies including classics like TitForTat and WinStayLoseShift, as well as recent variants such as OmegaTFT, Zero determinant and other memory one strategies, strategies based on finite state machines, lookup tables, neural networks, and other machine learning based strategies, and a collection of novel strategies. Not all strategies have been considered for this study: some use knowledge of the game such as the number of turns and others have a high computational run time. The large number of strategies are available thanks to the open source nature of the project with over 40 contributions made by different programmers and researchers [12]. Three of the considered strategies are finite state machines trained specifically for Moran processes (described further in Section 1.2).

The library can conduct matches, tournaments and population dynamics with variations including noise and spatial structure. The strategies and simulation frameworks are automatically tested to an extraordinarily high degree of coverage in accordance with best research software practices.

Fixation probabilities for all pairs of strategies are presented, identifying those that are effective invaders and those resistant to invasion, for population sizes  $N = 2$  to  $N = 14$ .

In particular the following questions are addressed:

1. What strategies are good invaders?
2. What strategies are good at resisting invasion?
3. How does the population size affect these findings?

While the results agree with some of the published literature, it is found that:

1. Zero determinant strategies are not particularly effective for  $N > 2$
2. Complex strategies can be effective, and in fact can naturally evolve through evolutionary processes to outperform intelligently designed strategies.

3. Strong resistors specifically evolve or have a handshake mechanism. This has the potential to offer insight in to organisms such as antibacterial resistant bacteria [7].

## 1.1 The Moran Process

Figure 1 shows a diagrammatic representation of the Moran process, a stochastic birth death process on a finite population in which the population size stays constant over time. Individuals are **selected** according to a given fitness landscape. Once selected, the individual is reproduced and similarly another individual is chosen to be removed from the population. In some settings mutation is also considered but without mutation (the case considered in this work) this process will arrive at an absorbing state where the population is entirely made up of players of one strategy. The probability with which a given strategy is the survivor is called the *fixation probability*. A more detailed analytic description of this is given in Section 2.

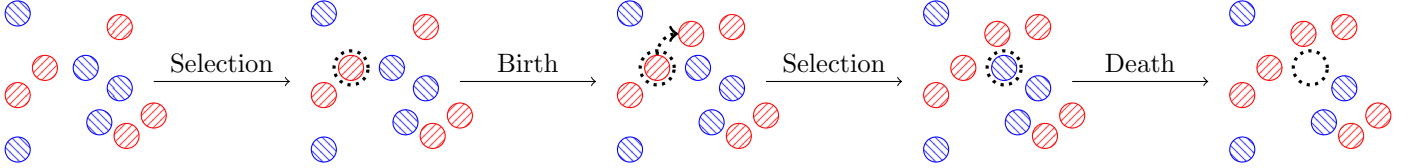


Figure 1: A diagrammatic representation of a Moran process

The Moran process was initially introduced in [18]. It has since been used in a variety of settings including the understanding of the spread of cooperative and non-cooperative behaviour such as cancer [27] and the emergence of cooperative behaviour in spatial topologies [3]. However these works mainly consider non-sophisticated strategies. Some work has looked at evolutionary stability of strategies within the Prisoner’s Dilemma [15] but this is not done in the more widely used setting of the Moran process, rather in terms of infinite population stability. In [6] Moran processes are studied in a theoretical framework for a small subset of strategies. The subset included memory one strategies, strategies that recall the events of the previous round only.

Of particular interest are the Zero determinant strategies introduced in [20] and praised in [24] it was argued that generous ZD strategies are robust against invading strategies. However, in [13] a strategy using machine learning techniques was capable of resisting invasion and also able to invade any memory one strategy. Recent work [9] has investigated the effect of memory length on strategy performance and the emergence of cooperation but this is not done in Moran process context and only considers specific cases of memory 2 strategies. In [1] it was recognised that many Zero determinant strategies do not fare well against themselves. This is a disadvantage for the Moran process where the best strategies cooperate well with other players using the same strategy.

## 1.2 Strategies considered

To carry out this large numerical experiment, 164 strategies, listed in Appendix A are used library. There are 43 stochastic and 121 deterministic strategies. Their memory depth, defined by the number of rounds of history used by the strategy each round, is shown in Table 1. The memory depth is infinite if the strategy uses the entire history of play (whatever its length). For example, a strategy that utilizes a handshaking mechanism where the opponents actions on the first few rounds of play determines the strategies subsequent behavior would have infinite memory depth.

A number of these strategies have been trained with reinforcement learning algorithms.

- Evolved ANN: a neural network based strategy;
- Evolved LookerUp: a lookup table based strategy;
- PSO Gambler: a stochastic version of the lookup table based strategy;
- Evolved HMM: a hidden Markov model based strategy.

A part from the PSO Gambler strategy, which was trained using a particle swarm optimisation algorithm, all these strategies are trained with an evolutionary algorithm that perturbs strategy parameters and optimizes the mean total score against all other opponents [2]. Variation is introduced via mutation and crossover of parameters, and the best performing strategies are carried to the next generation along with new variants. Similar methods appear in the literature [4].

More information about each player can be obtained in the documentation for [25] and a detailed description of the performance of these strategies in IPD tournaments will be described in upcoming manuscript.

All of the training code is available in the Axelrod repository with documentation to train new strategies easily. Training typically takes less than 200 iterations and can be completed within several hours on commodity hardware.

There are three further strategies trained specifically for this study; Trained FSM 1, 2, and 3 (TF1 - TF3). These are based on finite state machines of 8, 16, and 16 states respectively (see Figures ??). As opposed to the previously described strategies, these strategies were trained with the objective function of **mean fixation probabilities for Moran processes** starting at initial population states consisting of  $N/2$  individuals of the training candidates and  $N/2$  individuals of an opponent strategy, taken from a selection of 150 opponents from the axelrod library:

- TF1  $N = 8$ , 1% noise, 100 repetitions per match
- TF2  $N = 10$ , 0% noise, 10000 repetitions per match
- TF3  $N = 12$ , 0% noise, 10000 repetitions per match

Each matchup of players was run to fixation for the specified number of repetitions to estimate the absorption probabilities. The trained algorithms were run for less than 50 generations. Training data for this is available at [11].

TF1 cooperates and defects with various cycles depending on the opponent's actions. TF1 will mutually cooperate with any strategy and only tolerates a few defections before defecting for the rest of match. It is similar to but not exactly the same as Fool Me Once, a strategy that cooperates until the opponent has defected twice (not necessarily consecutively), and defects indefinitely thereafter. Though a product of training with a Moran objective. Interestingly it has no handshake mechanism, this could be due to the fact that it is trained in a noisy environment.

TF2 always starts with CD and will defect against opponents that start with DD. It plays CDD against itself and then cooperates thereafter. There is a longer complex handshake which eventually results in mutual cooperation with Firm but Fair, Fortress3, Fortress4, and Grofman (always) and Evolved HMM 5 and GTFT (depending on the random seed).

TF3 has an initial handshake of CCD and cooperates if the opponent matches. However if the opponent later defects, TF3 will respond in kind, so the handshake is not permanent. Only one player (Prober 4 [16]) manages to achieve cooperation with TF3 after about 20 rounds of play.

For both TF2 and TF3 a handshake mechanism naturally emerges from the structure of the underlying finite state machine. This behavior is an outcome of the evolutionary process and is in no way hard-coded or included via an additional mechanism.

Memory Depth	0	1	2	3	4	5	6	9	10	11	12	16	20	40	200	$\infty$
Count	3	28	12	8	2	6	1	1	5	1	1	3	2	2	1	88

Table 1: Memory depth

### 1.3 Data collection

Each strategy pair is run for 1000 repetitions of the Moran process to fixation with starting population distributions of  $(1, N - 1)$ ,  $(N/2, N/2)$  and  $(N - 1, 1)$ , for  $N$  from 2 through 14. The fixation probability is then empirically computed for each combination of starting distribution and value of  $N$ . The axelrod library can carry out exact simulations of the Moran process. Since some of the strategies have a high computational cost or are stochastic, samples are taken from a large number of match outcomes for the pairs of players for use in computing fitnesses in the Moran process. This approach was verified to agree with unsampled calculations to a high degree of accuracy in specific cases. This is described in Figure ??.

Section 2 will further validate the methodology by comparing simulated results to analytical results in some cases. The main results of this manuscript are presented in Section 3 which will present a detailed analysis of all the data generated. Finally, Section 4 will conclude and offer future avenues for the work presented here.

## 2 Validation

As described in [19] consider the payoff matrix:

$$M = \begin{pmatrix} a, b \\ c, d \end{pmatrix} \quad (1)$$

The expected payoffs of  $i$  players of the first type in a population with  $N - i$  players of the second type are given by:

$$F_i = \frac{a(i-1) + b(N-i)}{N-1} \quad (2)$$

$$G_i = \frac{ci + d(N-i-1)}{N-1} \quad (3)$$

With an intensity of selection  $\omega$  the fitness of both strategies is given by:

$$f_i = 1 - \omega + \omega F_i \quad (4)$$

$$g_i = 1 - \omega + \omega G_i \quad (5)$$

The transitions within the birth death process that underpins the Moran process are then given by:

$$p_{i,i+1} = \frac{if_i}{if_i + (N-i)g_i} \frac{N-i}{N} \quad (6)$$

$$p_{i,i-1} = \frac{(N-i)g_i}{if_i + (N-i)g_i} \frac{i}{N} \quad (7)$$

$$p_{ii} = 1 - p_{i,i+1} - p_{i,i-1} \quad (8)$$

Using this it is a known result [3] that the fixation probability of the first strategy in a population of  $i$  individuals of the first type (and  $N - i$  individuals of the second):

$$x_i = \frac{1 + \sum_{j=1}^{i-1} \prod_{k=1}^j \gamma_k}{1 + \sum_{j=1}^{N-1} \prod_{k=1}^j \gamma_k} \quad (9)$$

where:

$$\gamma_j = \frac{p_{j,j-1}}{p_{j,j+1}}$$

A neutral strategy will have fixation probability  $x_i = i/N$ .

Comparisons of  $x_1, x_{N/2}, x_{N-1}$  are shown in Figure 2. The points represent the simulated values and the line shows the theoretical value. Note that these are all deterministic strategies and show a perfect match up between the expected value of (9) and the actual Moran process for all strategies pairs.

Figure 3 shows the fixation probabilities for stochastic strategies. These are no longer a good match which highlights the weakness of the analytical formulae that relies on the average payoffs (1).

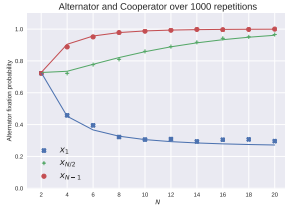
All data generated for this validation exercise can be found at [11].

A detailed analysis of the 164 strategies considered, using direct Moran processes will be shown in the next Section.

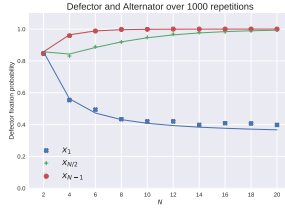
### 3 Empirical results

This section outlines the data analysis carried out:

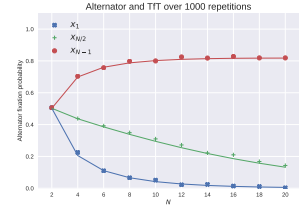
- Section 3.1 considers the specific case of  $N = 2$ .
- Section 3.2 investigates the effect of population size on the ability of a strategy to invade another population. This will highlight how complex strategies with long memories outperform simpler strategies.
- Section 3.3 similarly investigates the ability to defend against an invasion.
- Section 3.4 investigates the relationship between performance for differing population sizes as well as taking a close look at Zero determinant strategies [20].



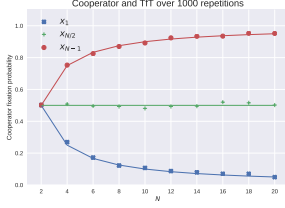
(a) Alternator and Cooperator



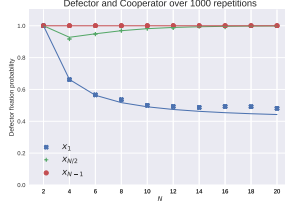
(b) Defector and Alternator



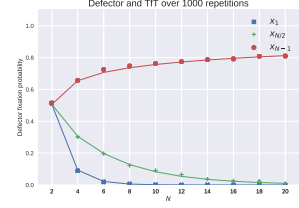
(c) Alternator and Tit For Tat



(d) Cooperator and Tit For Tat



(e) Defector and Cooperator



(f) Defector and Tit For Tat



(g) Win Stay Lose Shift and Tit For Tat



(h) Alternator and Win Stay Lose Shift



(i) Defector and Win Stay Lose Shift

Figure 2: Comparison of theoretic and actual Moran Process fixation probabilities for **deterministic** strategies

### 3.1 The special case of $N = 2$

When  $N = 2$  the Moran process is effectively a measure of the relative mean payoffs over all possible matches between two players. The strategy that scores higher than the other more often will fixate more often.

For  $N = 2$  the two cases of  $x_1$  and  $x_{N-1}$  coincide, but will be considered separately for larger  $N$  in sections 3.2 and 3.3. Figure 4 shows all fixation probabilities for the strategies considered. This is summarised in Table 2.

1. The top strategy is the Collective Strategy (CS) which has a simple handshake mechanism (a cooperation followed by a defection on the first move). As long as the opponent plays the same handshake and does not defect in the future it cooperates. Otherwise it defects for all rounds. This strategy was specifically designed for evolutionary processes [14].
2. The Defector: it always defects. As it has little potential interaction with itself (recall that  $N = 2$ ), its aggressiveness is rewarded.
3. The Aggravater strategy which plays like Grudger (responding to any defections with unconditional defections throughout) however starts by playing 3 defections.
4. Predator, a finite state machine described in [4].
5. Handshake: a slightly less aggressive version of the Collective strategy [22]. As long as the initial sequence is played then it cooperates. Thus it will do well in a population consisting of many members of itself: just as the Collective strategy does. However it is not aggressive enough to invade other populations (as can be seen in Section 3.2).

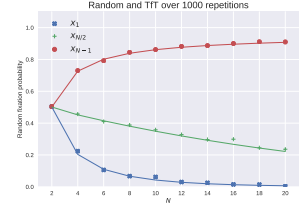
As will be demonstrated in Section 3.4 the results for  $N = 2$  differ from those of larger  $N$ . Hence these results do not concur with the literature which suggests that Zero Determinant strategies should be effective for larger population sizes, but these analysis consider stationary behaviour, while this work runs for a fixed number of rounds. Note that the stationarity assumptions allows for the analysis to take place leading to the conclusions about zero determinant strategies however it is dependent on a specific structure of strategies. The analysis carried out here makes no assumptions about the structure of the strategies by using actual simulation interactions.



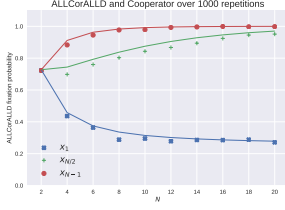
(a) Random and Cooperator



(b) Random and Defector



(c) Random and Tit For Tat



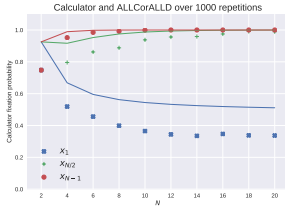
(d) All C or all D and Cooperator



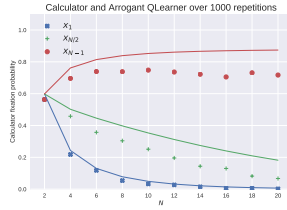
(e) All C or all D and Defector



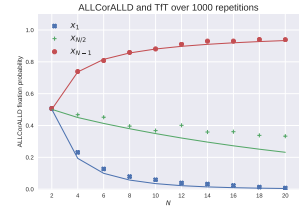
(f) Calculator and Random



(g) Calculator and All C or all D



(h) Calculator and Arrogant Q learner



(i) All C or all D and Tit For Tat

Figure 3: Comparison of theoretic and actual Moran Process fixation probabilities for **stochastic** strategies

Player	Mean $p_1$	Memory Depth	Stochastic
CS	0.665141	$\infty$	False
Defector	0.649638	0	False
Aggravater	0.632773	$\infty$	False
Predator	0.630129	9	False
Handshake	0.623982	$\infty$	False

Table 2: Summary of top five strategies for  $N = 2$

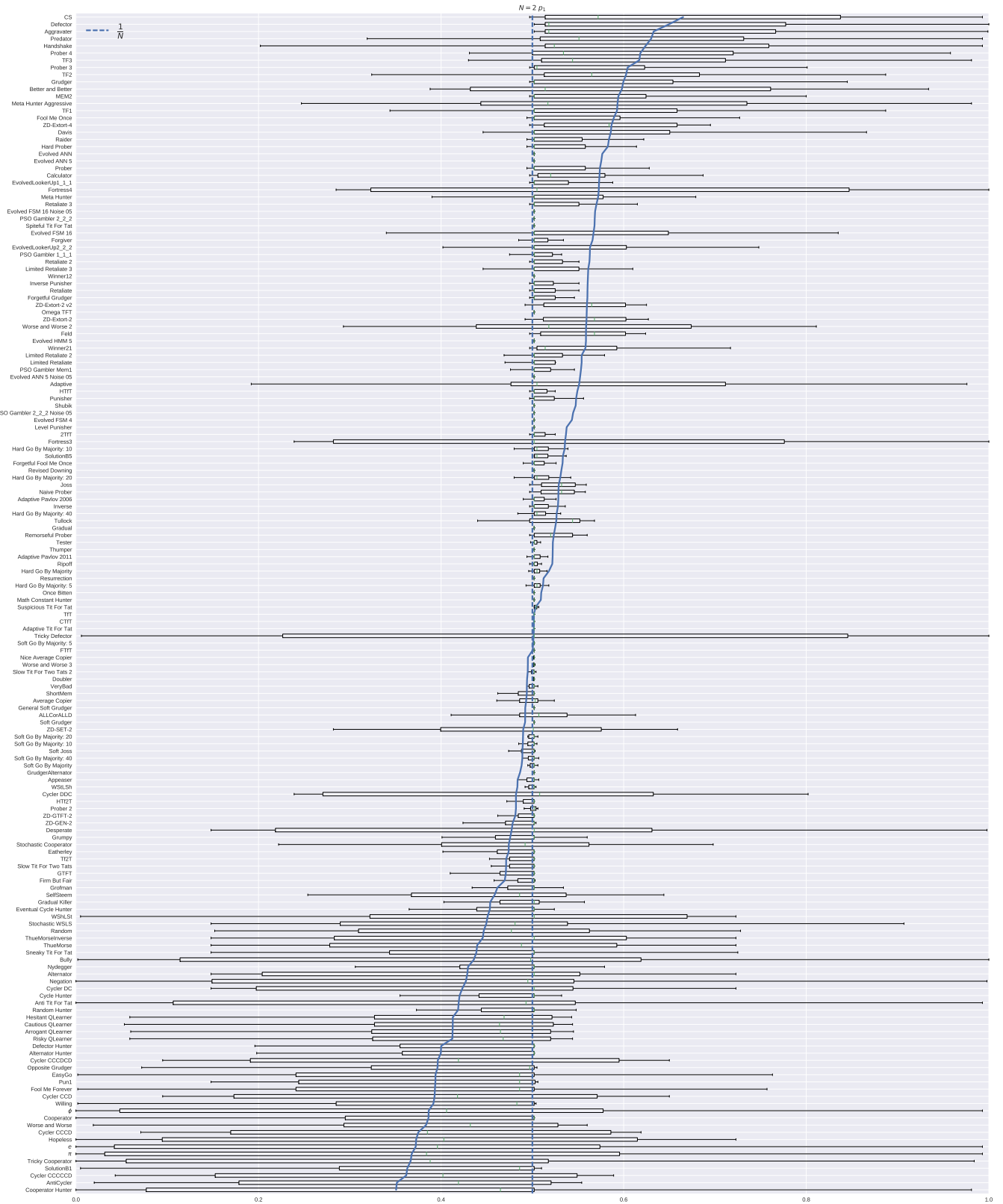


Figure 4: The fixation probabilities for  $N = 2$

In the next sections close attention to strategies who are strong invaders/resistors is given.

### 3.2 Strong invaders

In this section the focus is on the ability of a mutant strategy to invade: the probability of 1 individual of a given type successfully becoming fixated in a population of  $N - 1$  other individuals, denoted by  $x_1$ . The fixation probabilities are shown in Figures 5, 6 and 7 for  $N \in \{3, 7, 14\}$  showing the mean fixation as well as the neutral fixation for each given scenario.

The top five strategies are given in Tables 3.

Player	Mean $p_1$	Memory Depth	Stochastic
CS	0.447761	$\infty$	False
Grudger	0.431264	$\infty$	False
MEM2	0.427804	$\infty$	False
TF1	0.426736	16	False
Prober 4	0.424215	$\infty$	False

(a)  $N = 3$

Player	Mean $p_1$	Memory Depth	Stochastic
Evolved FSM 16	0.252282	16	False
PSO Gambler 2.2.2	0.246742	$\infty$	True
Fool Me Once	0.245871	$\infty$	False
Evolved ANN 5	0.244982	$\infty$	False
Evolved ANN	0.244933	$\infty$	False

(b)  $N = 7$

Player	Mean $p_1$	Memory Depth	Stochastic
Evolved FSM 16	0.209564	16	False
PSO Gambler 2.2.2	0.204215	$\infty$	True
EvolvedLookerUp2.2.2	0.201411	$\infty$	False
Evolved ANN	0.201387	$\infty$	False
Evolved ANN 5	0.200387	$\infty$	False

(c)  $N = 14$

Table 3: Properties of top five invaders

It can be seen that apart from CS, none of the strategies of Table 2 perform well for  $N \in \{3, 7, 14\}$ . The new high performing strategies are:

- Grudger (which only performs well for  $N = 3$ ), starts by cooperating but will defect if at any point the opponent has defected.
- MEM2, an infinite memory strategy that switches between TfT, Tf2T, and Defector [15].
- TF1, the finite state machine trained specifically for Moran processes described in Section 1.
- Prober 4, complex strategy with an initial 20 move sequence of cooperations and defections [16]. This initial sequence serves as approximate handshake.
- PSO Gambler and Evolved Lookerup 2 2 2: are strategies that make use of a lookup table mapping the first 2 moves of the opponent as well as the last 2 moves of both players to an action. The PSO gambler is a stochastic version which maps those states to probabilities of cooperating. The lookerup was described in [12].
- The evolved ANN strategies are neural networks that map a number of attributes (first move, number of cooperations, last move etc...) to an action. Both of these have been trained using an evolutionary algorithm and the ANN 5 was trained to perform well in a noisy tournament.



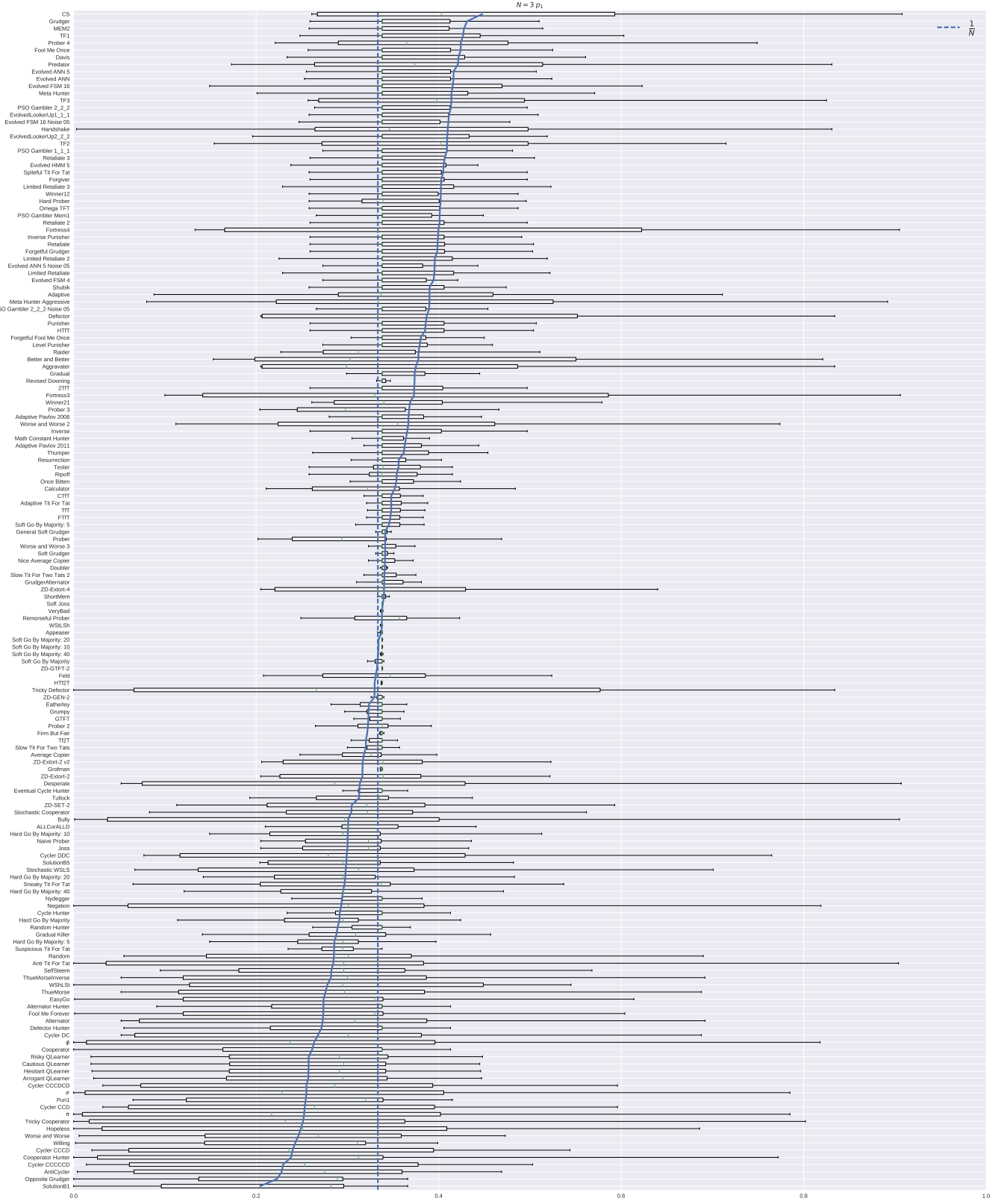


Figure 5: The fixation probabilities  $x_1$  for  $N = 3$

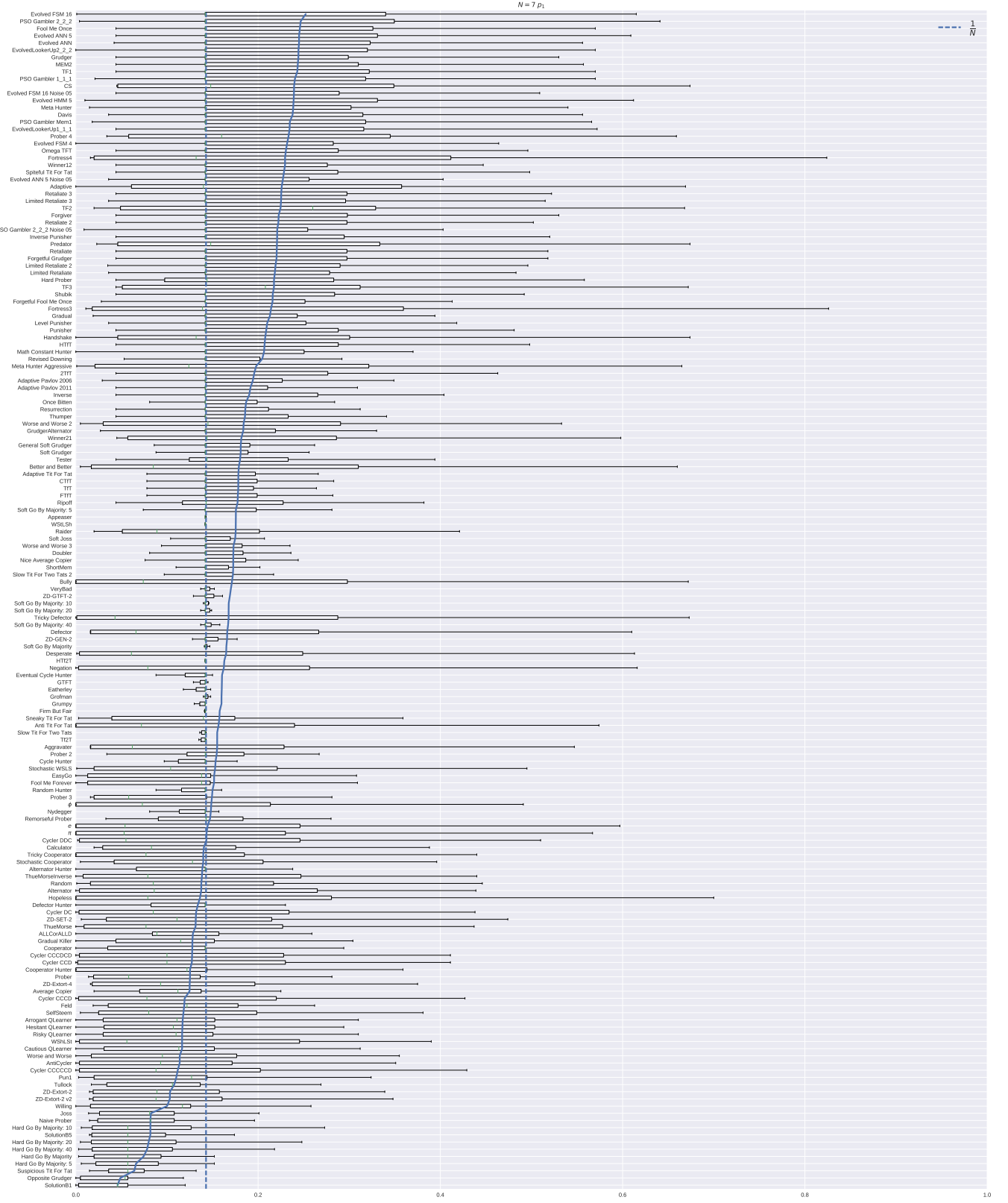


Figure 6: The fixation probabilities  $x_1$  for  $N = 7$

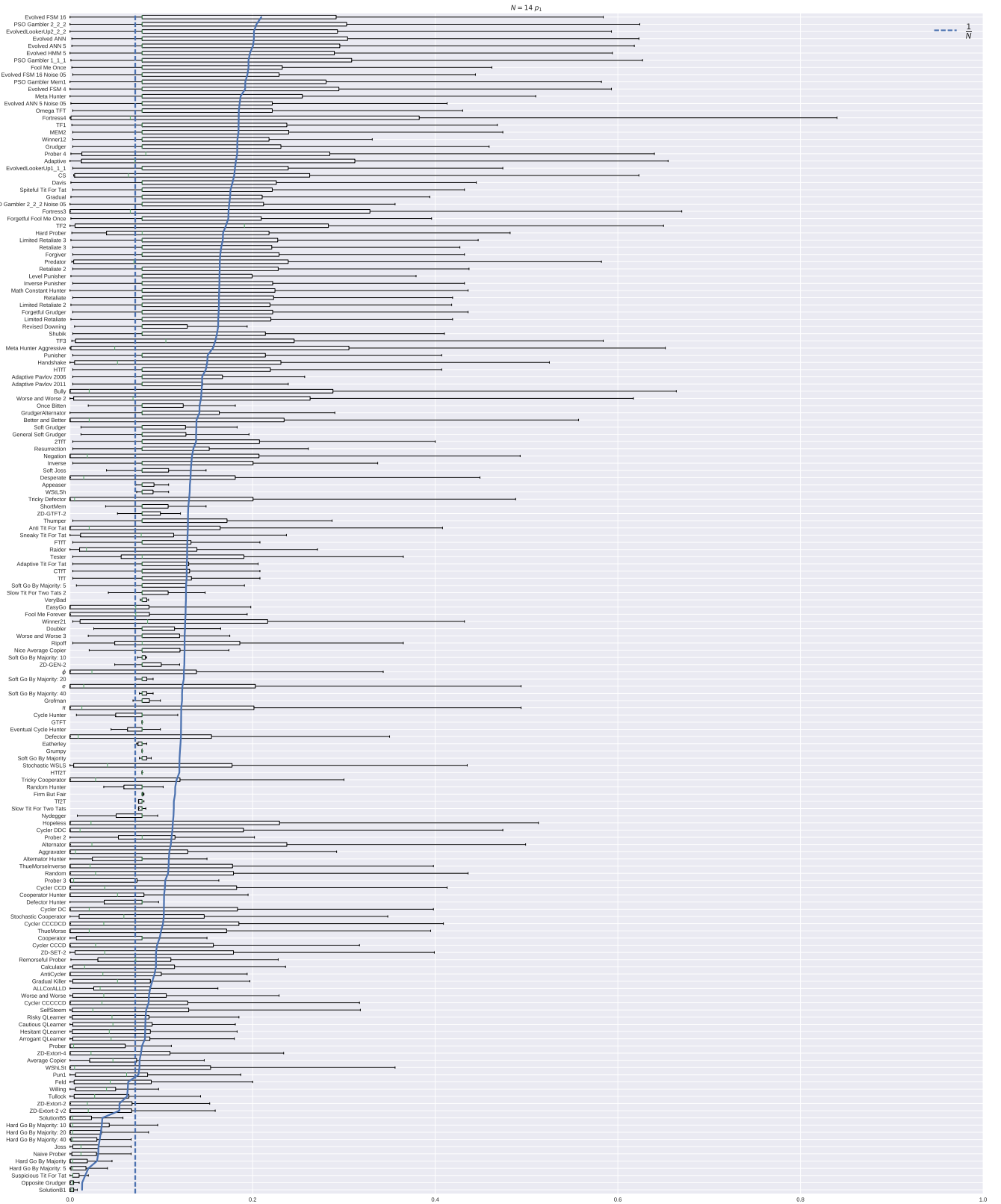


Figure 7: The fixation probabilities  $x_1$  for  $N = 14$

- The Evolved FSM 16 is a 16 state finite state machine trained to perform well in tournaments.

As well as noting that the memory length and complexity of these strategies are much greater than one, it is interesting to note that none of them are akin to memory one strategies. Only one is stochastic although close inspection of the source code of PSO Gambler shows that it makes stochastic decisions with extreme probabilities: almost always acting as expected. Apart from TF1 in  $N = 3$ , the finite state machines trained specifically for Moran processes do not appear in the top 5: whereas strategies trained for tournament do. This is due to the nature of invasion: most of the opponents will initially be different strategies. The next section will consider the converse situation.

### 3.3 Strong resistors

In addition to identifying good invaders, strategies resistant to invasion by other strategies are identified by examining the distribution of  $x_{N-1}$  for each strategy. Note that this is equivalent to looking at  $x_1$  for all opponents.

The fixation probabilities are shown in Figures 8, 6 and 10 for  $N \in \{3, 7, 14\}$  showing the mean fixation as well as the neutral fixation for each given scenario.

Table 4 shows the top five strategies when ranked according to  $x_{N-1}$  for  $N \in \{3, 7, 14\}$ . Once again none of the short memory strategies from Section 3.1 perform well for high  $N$ .

Player	Mean $p_{N-1}$	Memory Depth	Stochastic
CS	0.835859	$\infty$	False
Predator	0.812129	9	False
TF3	0.808736	$\infty$	False
Handshake	0.801356	$\infty$	False
TF2	0.795736	$\infty$	False
(a) $N = 3$			
Player	Mean $p_{N-1}$	Memory Depth	Stochastic
CS	0.976491	$\infty$	False
TF3	0.971405	$\infty$	False
TF2	0.967712	$\infty$	False
Predator	0.967687	9	False
Handshake	0.954650	$\infty$	False
(b) $N = 7$			
Player	Mean $p_{N-1}$	Memory Depth	Stochastic
CS	0.998442	$\infty$	False
TF3	0.997319	$\infty$	False
TF2	0.994865	$\infty$	False
Predator	0.994074	9	False
Prober 4	0.986301	$\infty$	False
(c) $N = 14$			

Table 4: Properties of top five resistors

Interestingly none of these strategies are stochastic: this is explained by the need of strategies to have a steady hand when interacting with their own kind. In essence: acting stochastically increase the chance of friendly fire. However it is possible to design a strategy with a “stochastic handshake” [13].

There are only two new strategies that appear in the top ranks for  $x_{N-1}$ : TF2 and TF3. These two strategies are with CS the strongest resistors. They all have handshakes, and whilst the handshake that CS and Handshake (which ranks highly for the smaller values of  $N$ ) have was programmed, the handshakes of TF2 and TF3 evolved through an evolutionary process.

As described in Section 3.2 the strategies trained with the payoff maximizing objective are among the best invaders in the library however they are not as resistant to invasion as the strategies trained using a Moran objective function. These strategies include trained finite state machine strategies, but they do not appear to have handshaking mechanisms. Therefore it is reasonable to conclude that the objective function is the cause of the emergence of handshaking mechanisms.

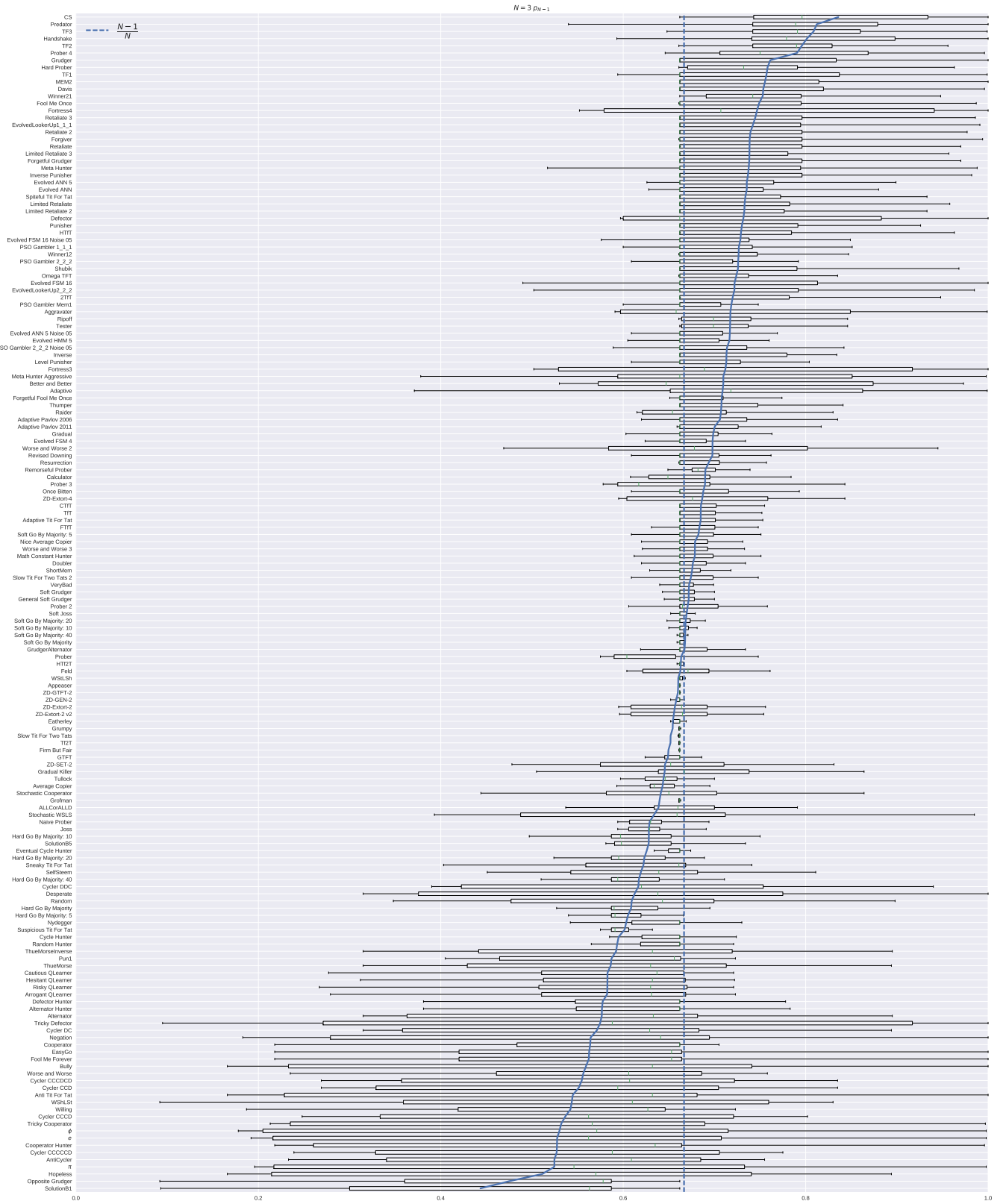


Figure 8: The fixation probability  $x_{N-1}$  for  $N=3$

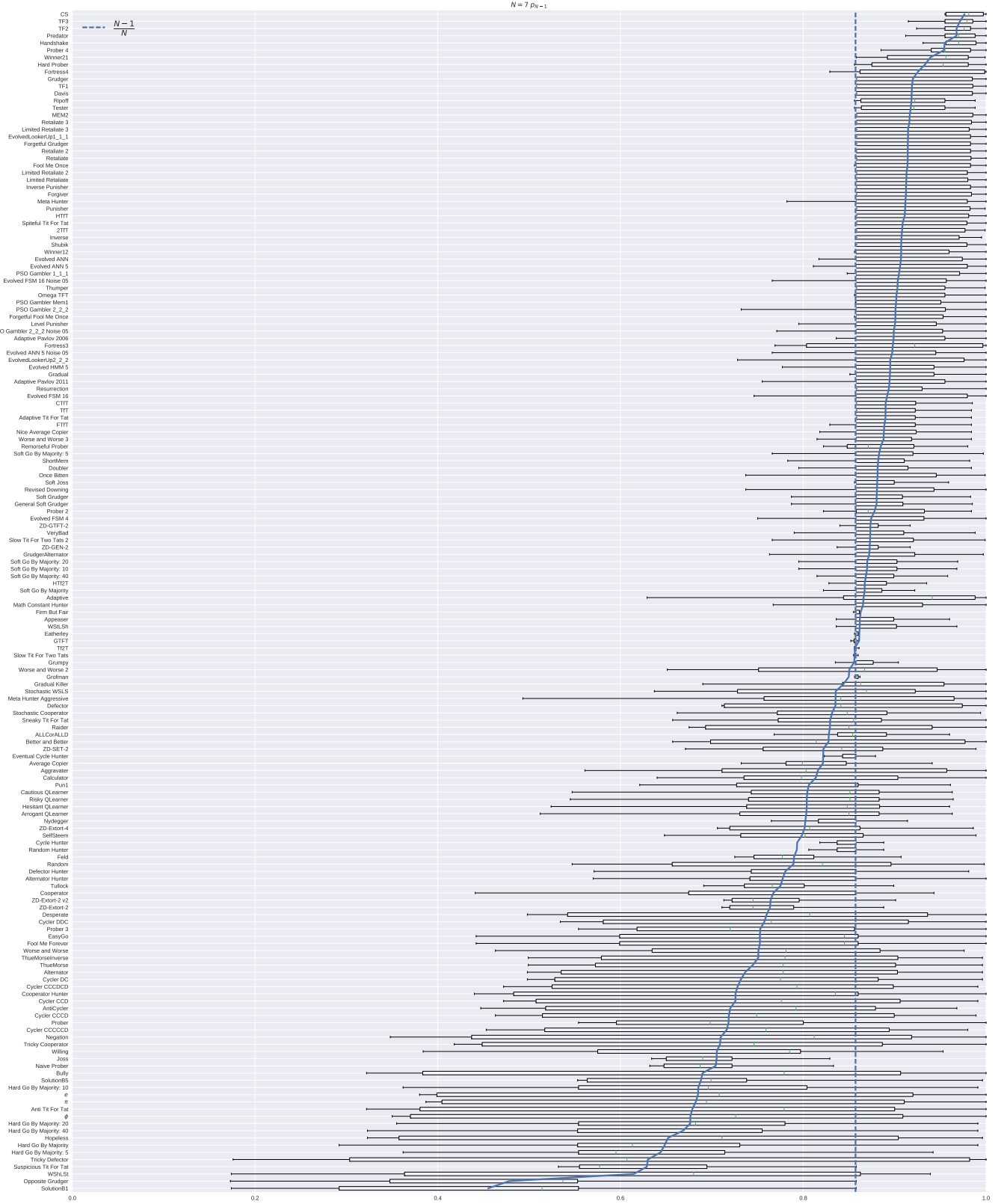


Figure 9: The fixation probability  $x_{N-1}$  for  $N = 7$

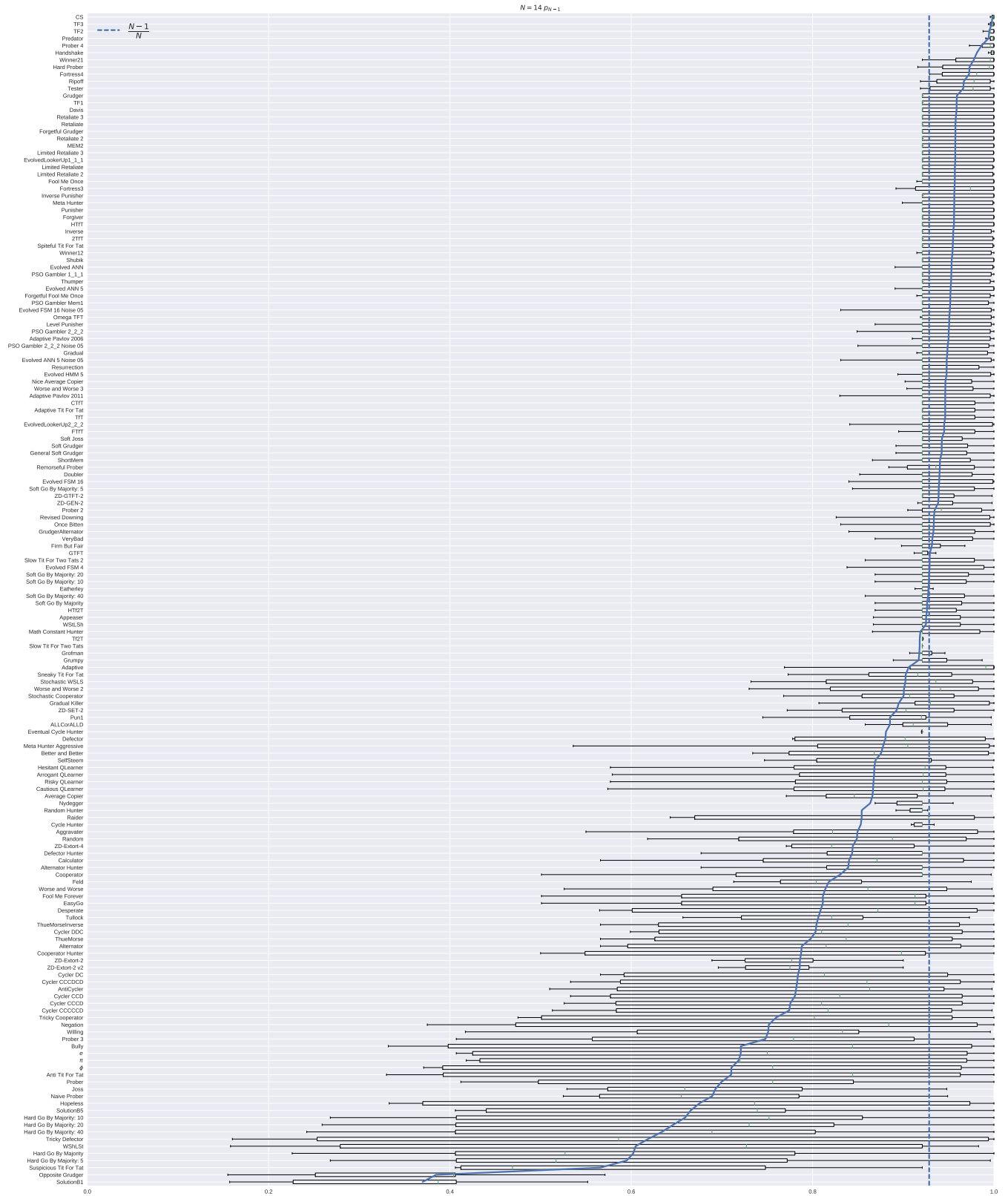


Figure 10: The fixation probability  $x_{N-1}$  for  $N = 14$

The payoff maximizing strategies typically will not defect before the opponent’s first defection, possibly because the training strategy collection contains a significant portion of strategies such as Grudger and Fool Me Once that retaliate harshly by defecting for the remainder of the match if the opponent has more than a small number of cumulative defections. Paradoxically it is necessary to defect (as a signal) in order to achieve mutual cooperation with opponents using the same strategy but not with other opponents.

A handshake requires at least one defection and there is selective pressure to defect as few times as possible to achieve the self-recognition mechanism. It is also unwise to defect on the first move as some strategies additionally retaliate first round defections. So the handshakes used by TF2 and TF3, and CS, are in some sense optimal. These discoveries may have significant ramifications regarding the evolution of cooperation and forgiveness in biological organisms such as antibacterial resistant bacteria and social interactions between humans.

It is evident through Sections 3.1, 3.2 and 3.3 that performance of strategies not only depends on the initial population distribution but also that there seems to be a difference depending on whether or not  $N > 2$ . This will be explored further in the next section, looking not only at  $x_1$  and  $x_{N-1}$  but also consider  $x_{N/2}$ .

### 3.4 The effect of population size

Figures 11, 12 and 13 show the rank of each strategy based on mean fixation probabilities against population size. Tables 5, 6 and 7 show the same information for a selection of strategies:

- The strategies that ranked highly for  $N = 2$ ;
- The strategies that ranked highly for  $N = 14$ ;
- The Zero determinant strategies.

For all starting populations  $i \in \{1, N/2, N - 1\}$  the ranks of strategies are relatively stable across the different values of  $N > 2$  however for  $N = 2$  there is a distinct difference. This highlights that there is little that can be inferred about the evolutionary performance of a strategy in a large population from its performance in a small population.

This is confirmed by the performance of the Zero determinant strategies, whilst some do rank relatively highly for  $N = 2$  (ZD-extort-4 has rank 16) this rank does not translate to larger populations.

Player	2	3	4	5	6	7	8	9	10	11	12	13	14
CS	1.0	1.0	2.0	11.0	9.0	11.0	13.0	21.0	16.0	22.0	17.0	25.0	23.0
Defector	2.0	43.0	80.0	91.0	89.0	87.0	87.0	103.0	97.0	105.0	94.0	103.0	101.0
Aggravater	3.0	50.0	89.0	99.0	102.0	103.0	108.0	113.0	114.0	115.0	115.0	116.0	117.0
Predator	4.0	8.0	24.0	35.0	28.0	33.0	31.0	43.0	36.0	43.0	34.0	45.0	35.0
Handshake	5.0	17.0	40.0	46.0	43.0	46.0	46.0	49.0	48.0	49.0	47.0	50.0	49.0
Evolved FSM 16	31.0	11.0	6.0	2.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
PSO Gambler 2.2.2	29.0	14.0	10.0	6.0	4.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0
EvolvedLookerUp2.2.2	33.0	18.0	11.0	9.0	10.0	6.0	6.0	5.0	3.0	5.0	3.0	3.0	3.0
Evolved ANN	20.0	10.0	8.0	7.0	8.0	5.0	3.0	3.0	4.0	3.0	4.0	4.0	4.0
Evolved ANN 5	21.0	9.0	7.0	8.0	7.0	4.0	5.0	4.0	5.0	4.0	5.0	5.0	5.0
ZD-Extort-4	16.0	81.0	107.0	120.0	135.0	136.0	142.0	140.0	142.0	142.0	144.0	144.0	145.0
ZD-Extort-2 v2	41.0	105.0	126.0	140.0	152.0	152.0	153.0	152.0	153.0	153.0	153.0	152.0	153.0
ZD-Extort-2	43.0	107.0	125.0	139.0	151.0	151.0	152.0	153.0	152.0	152.0	152.0	153.0	152.0
ZD-SET-2	100.0	111.0	117.0	117.0	122.0	127.0	131.0	128.0	131.0	131.0	130.0	132.0	131.0
ZD-GTFT-2	112.0	92.0	82.0	80.0	81.0	82.0	84.0	72.0	81.0	71.0	78.0	72.0	70.0
ZD-GEN-2	113.0	96.0	87.0	83.0	85.0	88.0	90.0	82.0	87.0	82.0	86.0	83.0	91.0

Table 5: Ranks of some strategies according to  $x_1$  for different population sizes

Figure ?? show the correlation coefficients of the ranks of strategies in differing population size. It is immediate to note that how well a strategy performs in any Moran process for  $N > 2$  has little to do with the performance for  $N = 2$ . This illustrates why the strong performance of Zero determinant strategies predicted in [20] does not extend to larger populations. This was discussed theoretically in [1] however not observed empirically at the scale presented here.

## 4 Conclusion

A detailed empirical analysis of 164 strategies of the IPD within a pairwise Moran process has been carried out. All  $\binom{164}{2} = 13,366$  possible ordered pairs of strategies have been placed in a Moran process with different starting values allowing the each strategy to attempt to invade the other. This is the largest such experiment carried out and has lead to many insights.



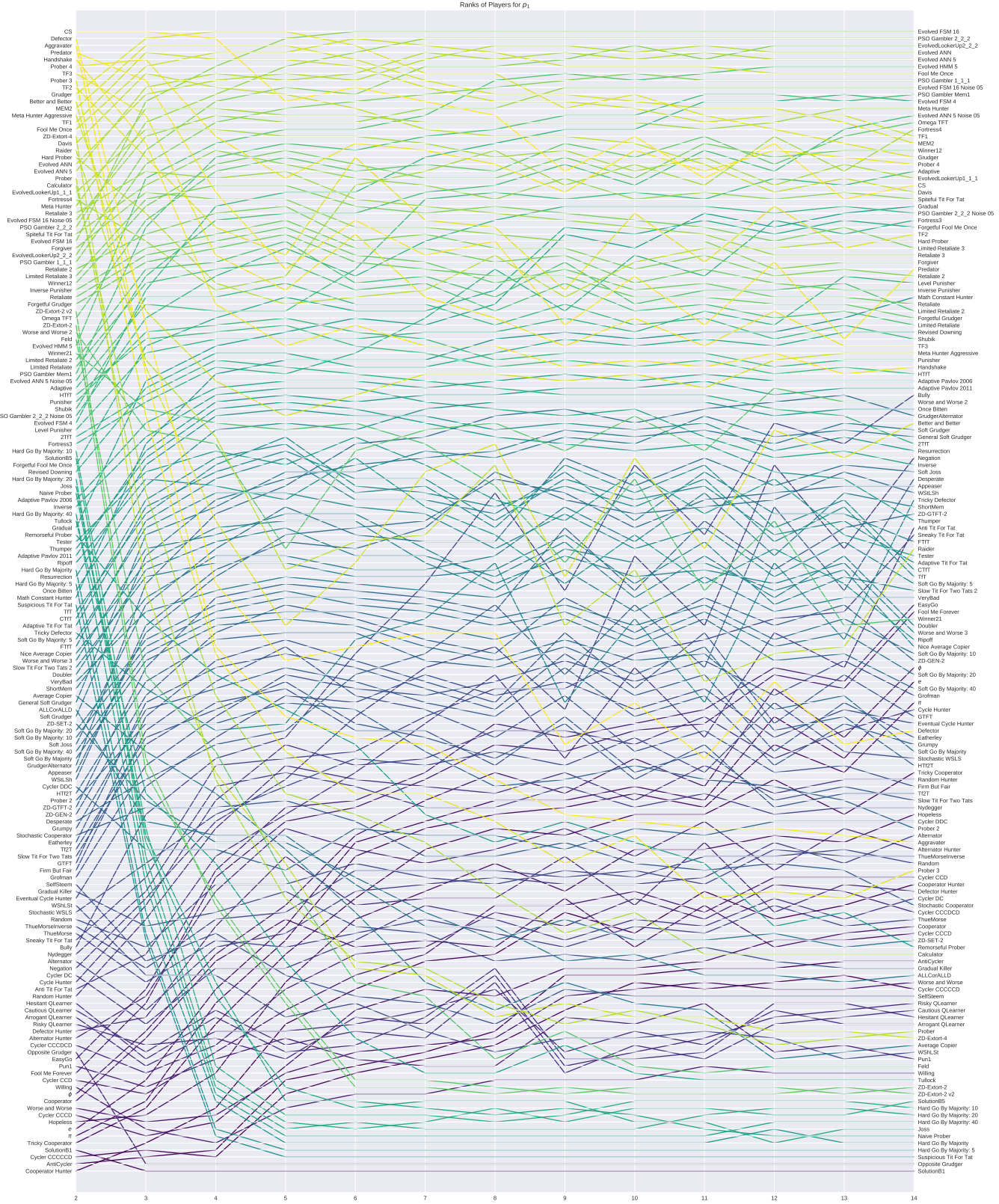


Figure 11: Ranks of all strategies according to  $x_1$  for different population sizes

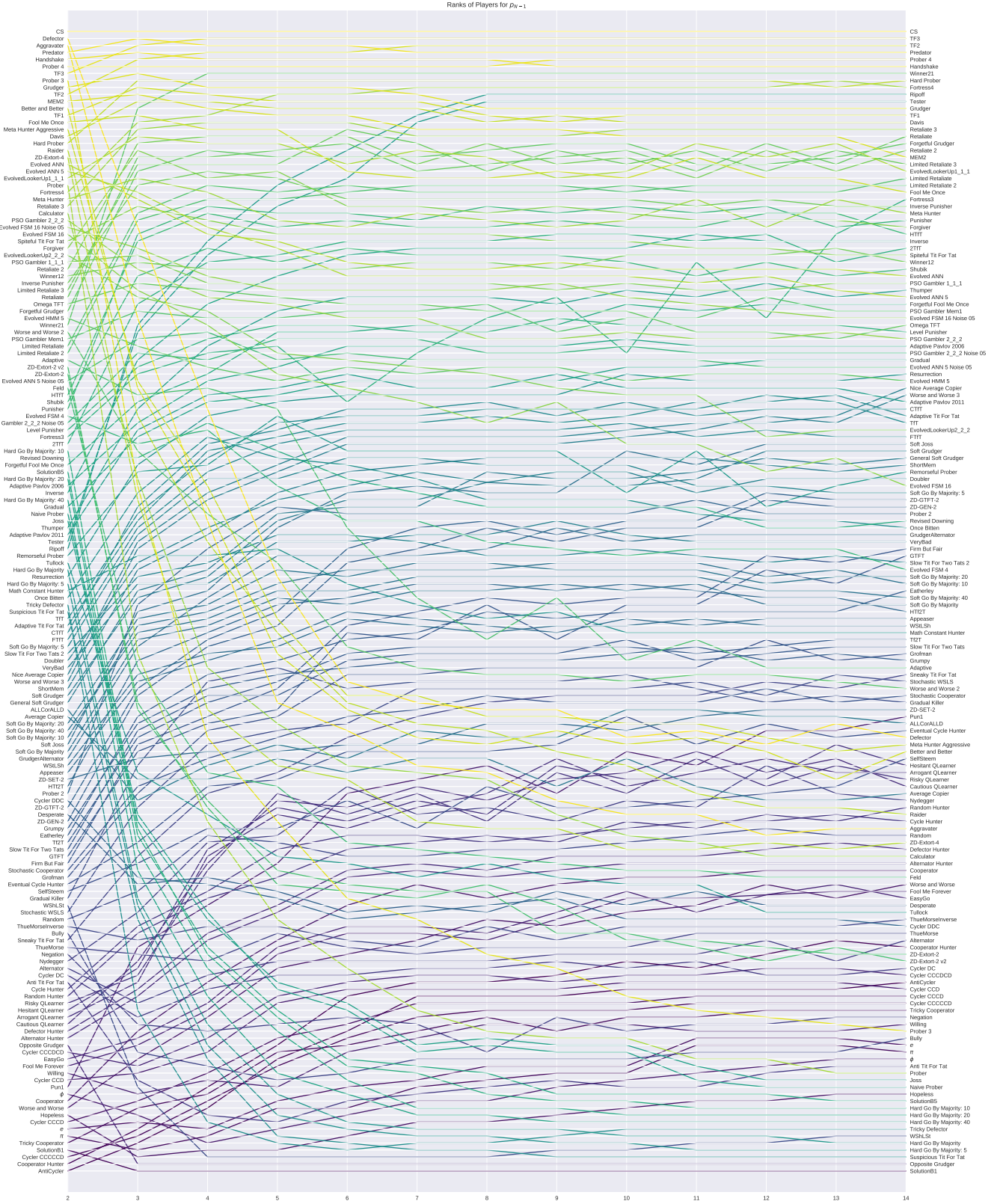


Figure 12: Ranks of all strategies according to  $x_{N-1}$  for different population sizes

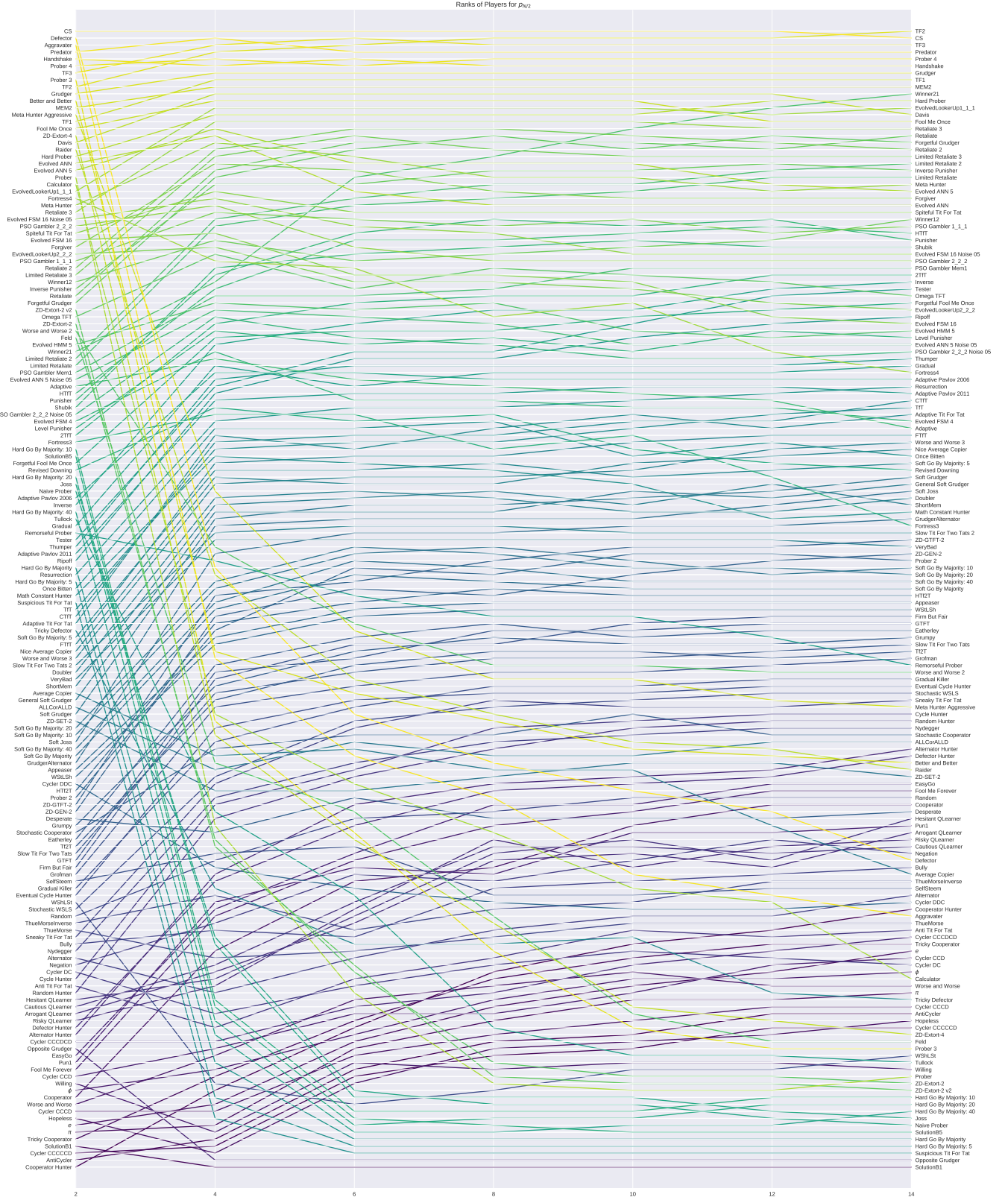


Figure 13: Ranks of all strategies according to  $x_{N/2}$  for different population sizes

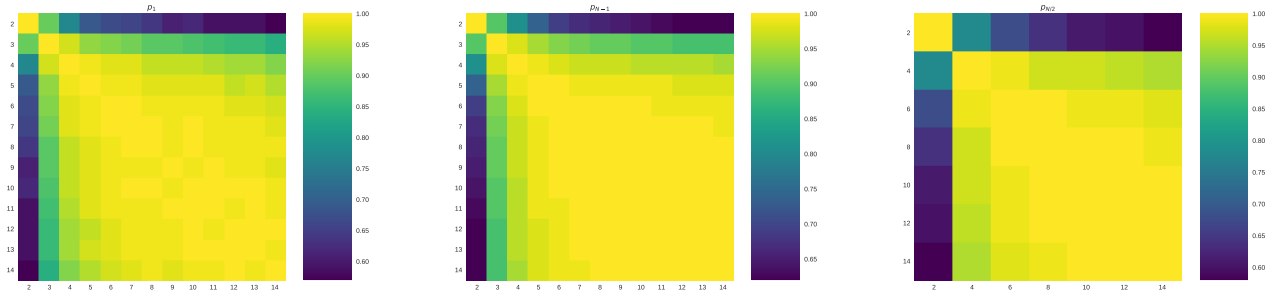


Player	2	3	4	5	6	7	8	9	10	11	12	13	14
CS	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
Defector	2.0	29.0	55.0	79.0	94.0	97.0	98.0	98.0	102.0	101.0	103.0	100.0	102.0
Aggravater	3.0	42.0	71.0	97.0	101.0	106.0	107.0	111.0	113.0	113.0	116.0	115.0	115.0
Predator	4.0	2.0	3.0	3.0	3.0	4.0	4.0	4.0	4.0	4.0	4.0	4.0	4.0
Handshake	5.0	4.0	5.0	5.0	5.0	5.0	5.0	6.0	6.0	6.0	6.0	6.0	6.0
TF3	7.0	3.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0
TF2	10.0	5.0	4.0	4.0	4.0	3.0	3.0	3.0	3.0	3.0	3.0	3.0	3.0
Prober 4	6.0	6.0	6.0	6.0	6.0	6.0	6.0	5.0	5.0	5.0	5.0	5.0	5.0
ZD-Extort-4	19.0	68.0	98.0	106.0	108.0	114.0	115.0	115.0	118.0	118.0	117.0	118.0	117.0
ZD-Extort-2 v2	49.0	98.0	111.0	121.0	123.0	124.0	124.0	130.0	130.0	132.0	134.0	132.0	134.0
ZD-Extort-2	50.0	97.0	112.0	123.0	124.0	125.0	123.0	126.0	131.0	131.0	132.0	133.0	133.0
ZD-SET-2	108.0	105.0	104.0	104.0	103.0	103.0	100.0	100.0	101.0	99.0	98.0	98.0	98.0
ZD-GTFT-2	112.0	95.0	88.0	84.0	75.0	72.0	71.0	73.0	71.0	71.0	67.0	68.0	68.0
ZD-GEN-2	114.0	96.0	89.0	86.0	77.0	75.0	72.0	74.0	72.0	72.0	68.0	69.0	69.0

Table 6: Ranks of some strategies according to  $x_{N-1}$  for different population sizes

Player	2	4	6	8	10	12	14
CS	1.0	1.0	1.0	1.0	1.0	1.0	2.0
Defector	2.0	78.0	99.0	106.0	110.0	113.0	120.0
Aggravater	3.0	91.0	105.0	111.0	122.0	125.0	128.0
Predator	4.0	2.0	4.0	4.0	4.0	4.0	4.0
Handshake	5.0	6.0	5.0	6.0	6.0	6.0	6.0
TF2	9.0	4.0	3.0	2.0	2.0	2.0	1.0
TF3	7.0	3.0	2.0	3.0	3.0	3.0	3.0
Prober 4	6.0	5.0	6.0	5.0	5.0	5.0	5.0
ZD-Extort-4	16.0	102.0	117.0	129.0	141.0	143.0	145.0
ZD-Extort-2 v2	41.0	118.0	135.0	151.0	152.0	152.0	153.0
ZD-Extort-2	43.0	117.0	136.0	149.0	151.0	151.0	152.0
ZD-SET-2	100.0	110.0	110.0	108.0	106.0	106.0	108.0
ZD-GTFT-2	112.0	82.0	80.0	77.0	75.0	75.0	74.0
ZD-GEN-2	113.0	85.0	81.0	82.0	79.0	77.0	76.0

Table 7: Ranks of some strategies according to  $x_{N/2}$  for different population sizes



(a) Rank based on  $x_1$

(b) Rank based on  $x_{N-1}$

(c) Rank based on  $x_{N/2}$

Figure 14: Heatmap of correlation coefficients of rankings by population size

When studying evolutionary processes it is vital to consider  $N > 2$  as the special case for  $N = 2$  cannot be used to extrapolate performance in bigger populations. This was shown both observationally in Sections 3.2 and 3.3 but also by considering the correlation of the ranks in different population sizes in Section 3.4.

Memory one strategies do not perform well, as predicted by [20]. There are no memory one strategies in the top 5 performing strategies for  $N > 3$ . This is due to their lack of sophistication which allows them to recognise and adjust to their opponent. Some very sophisticated strategies proves to be high performers for invasion: these are infinite memory strategies which have been trained using a number of reinforcement learning algorithms. Interestingly they have been trained to perform well in tournaments and not Moran processes which highlights the potential for improvement.

One of the major findings discussed in Section 3.3, is the ability of strategies with a handshake mechanism to resist invasion. This was not only identify for CS (an intelligently designed strategy) but also for two FSM strategies (TF2 and TF3) specifically trained through an evolutionary process. In these two cases, the handshake mechanism was a product of the evolutionary process. This has the potential to help with the understanding of organisms with a strong resistance to invasion such as anti antibacterial resistant bacteria [7]. With the knowledge that a handshake being likely to exist, perhaps it can be mimicked.

It is felt that these findings are important for the ongoing understanding of population dynamics and offer evidence for some of the shortcomings of short memory which has started to be recognised by the community [9].

All source code for this work has been written in a sustainable manner: it is open source, under version control and tested which ensures that all results can be reproduced [21, 23, 28]. The raw data as well as the processed data has also been properly archived and can be found at [11].

There are various areas for further work to build on this. Firstly, an analysis of the effect of noise would offer insights about the stability of the findings. It would also be possible to consider three or more types of strategy in the population and finally mutation would also offer an interesting dimension to explore.

## Acknowledgements

This work was performed using the computational facilities of the Advanced Research Computing @ Cardiff (ARCCA) Division, Cardiff University.

A variety of software libraries have been used in this work:

- The axelrod library (IPD strategies and Moran processes) [25].
- The matplotlib library (visualisation) [10].
- The pandas and numpy libraries (data manipulation) [17, 26].

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## A List of players

1.  $\phi$
2.  $\pi$
3.  $e$
4. ALLCorALLD
5. Adaptive
6. Adaptive Pavlov 2006
7. Adaptive Pavlov 2011
8. Adaptive Tit For Tat: 0.5
9. Aggravater
10. Alternator
11. Alternator Hunter
12. Anti Tit For Tat
13. AntiCycler
14. Appeaser
15. Arrogant QLearner
16. Average Copier
17. Better and Better
18. Bully
19. Calculator
20. Cautious QLearner
21. CollectiveStrategy (**CS**)
22. Contrite Tit For Tat (**CTfT**)
23. Cooperator
24. Cooperator Hunter
25. Cycle Hunter
26. Cycler CCCCCD
27. Cycler CCCD
28. Cycler CCCDCD
29. Cycler CCD
30. Cycler DC
31. Cycler DDC
32. Davis: 10
33. Defector
34. Defector Hunter
35. Desperate
36. Doubler
37. EasyGo
38. Eatherley
39. Eventual Cycle Hunter
40. Evolved ANN
41. Evolved ANN 5
42. Evolved ANN 5 Noise 05
43. Evolved FSM 16
44. Evolved FSM 16 Noise 05
45. Evolved FSM 4
46. Evolved HMM 5
47. EvolvedLookerUp1.1\_1
48. EvolvedLookerUp2.2\_2
49. FSM Player: [(0, 'C', 0, 'C'), (0, 'D', 3, 'C'), (1, 'C', 5, 'D'), (1, 'D', 0, 'C'), (2, 'C', 3, 'C'), (2, 'D', 2, 'D'), (3, 'C', 4, 'D'), (3, 'D', 6, 'D'), (4, 'C', 3, 'C'), (4, 'D', 1, 'D'), (5, 'C', 6, 'C'), (5, 'D', 3, 'D'), (6, 'C', 6, 'D'), (6, 'D', 6, 'D'), (7, 'C', 7, 'D'), (7, 'D', 5, 'C')], 0, C (**TF1**)
50. FSM Player: [(0, 'C', 13, 'D'), (0, 'D', 12, 'D'), (1, 'C', 3, 'D'), (1, 'D', 4, 'D'), (2, 'C', 14, 'D'), (2, 'D', 9, 'D'), (3, 'C', 0, 'C'), (3, 'D', 1, 'D'), (4, 'C', 1, 'D'), (4, 'D', 2, 'D'), (5, 'C', 12, 'C'), (5, 'D', 6, 'C'), (6, 'C', 1, 'C'), (6, 'D', 14, 'D'), (7, 'C', 12, 'D'), (7, 'D', 2, 'D'), (8, 'C', 7, 'D'), (8, 'D', 9, 'D'), (9, 'C', 8, 'D'), (9, 'D', 0, 'D'), (10, 'C', 2, 'C'), (10, 'D', 15, 'C'), (11, 'C', 7, 'D'), (11, 'D', 13, 'D'), (12, 'C', 3, 'C'), (12, 'D', 8, 'D'), (13, 'C', 7, 'C'), (13, 'D', 10, 'D'), (14, 'C', 10, 'D'), (14, 'D', 7, 'D'), (15, 'C', 15, 'C'), (15, 'D', 11, 'D')], 0, C (**TF2**)
51. FSM Player: [(0, 'C', 7, 'C'), (0, 'D', 1, 'C'), (1, 'C', 11, 'D'), (1, 'D', 11, 'D'), (2, 'C', 8, 'D'), (2, 'D', 8, 'C'), (3, 'C', 3, 'C'), (3, 'D', 12, 'D'), (4, 'C', 6, 'C'), (4, 'D', 3, 'C'), (5, 'C', 11, 'C'), (5, 'D', 8, 'D'), (6, 'C', 13, 'D'), (6, 'D', 14, 'C'), (7, 'C', 4, 'D'), (7, 'D', 2, 'D'), (8, 'C', 14, 'D'), (8, 'D', 8, 'D'), (9, 'C', 0, 'C'), (9, 'D', 10, 'D'), (10, 'C', 8, 'C'), (10, 'D', 15, 'C'), (11, 'C', 6, 'D'), (11, 'D', 5, 'D'), (12, 'C', 6, 'D'), (12, 'D', 9, 'D'), (13, 'C', 9, 'D'), (13, 'D', 8, 'D'), (14, 'C', 8, 'D'), (14, 'D', 13, 'D'), (15, 'C', 4, 'C'), (15, 'D', 5, 'C')], 0, C (**TF3**)
52. Feld: 1.0, 0.5, 200
53. Firm But Fair
54. Fool Me Forever
55. Fool Me Once
56. Forgetful Fool Me Once: 0.05
57. Forgetful Grudger
58. Forgiver
59. Forgiving Tit For Tat (**FTfT**)
60. Fortress3
61. Fortress4
62. GTFT: 0.33
63. General Soft Grudger:  
n=1,d=4,c=2
64. Gradual
65. Gradual Killer: ('D', 'D', 'D', 'D', 'D', 'C', 'C')
66. Grofman
67. Grudger
68. GrudgerAlternator
69. Grumpy: Nice, 10, -10
70. Handshake
71. Hard Go By Majority
72. Hard Go By Majority: 10
73. Hard Go By Majority: 20
74. Hard Go By Majority: 40
75. Hard Go By Majority: 5
76. Hard Prober
77. Hard Tit For 2 Tats (**HTf2T**)
78. Hard Tit For Tat (**HTfT**)
79. Hesitant QLearner
80. Hopeless

81. Inverse	110. Raider	139. Suspicious Tit For Tat
82. Inverse Punisher	111. Random Hunter	140. Tester
83. Joss: 0.9	112. Random: 0.5	141. ThueMorse
84. Level Punisher	113. Remorseful Prober: 0.1	142. ThueMorseInverse
85. Limited Retaliate 2: 0.08, 15	114. Resurrection	143. Thumper
86. Limited Retaliate 3: 0.05, 20	115. Retaliate 2: 0.08	144. Tit For 2 Tats ( <b>Tf2T</b> )
87. Limited Retaliate: 0.1, 20	116. Retaliate 3: 0.05	145. Tit For Tat ( <b>TfT</b> )
88. MEM2	117. Retaliate: 0.1	146. Tricky Cooperator
89. Math Constant Hunter	118. Revised Downing: True	147. Tricky Defector
90. Meta Hunter Aggressive: 7 players	119. Ripoff	148. Tullock: 11
91. Meta Hunter: 6 players	120. Risky QLearner	149. Two Tits For Tat ( <b>2TfT</b> )
92. Naive Prober: 0.1	121. SelfSteem	150. VeryBad
93. Negation	122. ShortMem	151. Willing
94. Nice Average Copier	123. Shubik	152. Win-Shift Lose-Stay: D ( <b>WShLSt</b> )
95. Nydegger	124. Slow Tit For Two Tats	153. Win-Stay Lose-Shift: C ( <b>WStLSh</b> )
96. Omega TFT: 3, 8	125. Slow Tit For Two Tats 2	154. Winner12
97. Once Bitten	126. Sneaky Tit For Tat	155. Winner21
98. Opposite Grudger	127. Soft Go By Majority	156. Worse and Worse
99. PSO Gambler 1.1_1	128. Soft Go By Majority: 10	157. Worse and Worse 2
100. PSO Gambler 2.2_2	129. Soft Go By Majority: 20	158. Worse and Worse 3
101. PSO Gambler 2.2_2 Noise 05	130. Soft Go By Majority: 40	159. ZD-Extort-2 v2: 0.125, 0.5, 1
102. PSO Gambler Mem1	131. Soft Go By Majority: 5	160. ZD-Extort-2: 0.1111111111111111, 0.5
103. Predator	132. Soft Grudger	161. ZD-Extort-4: 0.23529411764705882, 0.25, 1
104. Prober	133. Soft Joss: 0.9	162. ZD-GEN-2: 0.125, 0.5, 3
105. Prober 2	134. SolutionB1	163. ZD-GTFT-2: 0.25, 0.5
106. Prober 3	135. SolutionB5	164. ZD-SET-2: 0.25, 0.0, 2
107. Prober 4	136. Spiteful Tit For Tat	
108. Pun1	137. Stochastic Cooperator	
109. Punisher	138. Stochastic WSLs: 0.05	