

Introduction to Natural Language Processing


Machine Translation

- Jurafsky, D. and Martin, J. H. (2021): Speech and Language Processing. An Introduction to Natural Language Processing, Computational Linguistics and Speech Recognition. Third Edition. Chapter 10:
- Manning, C. D. and Schütze, H. (1999): Foundations of Statistical Natural Language Processing. MIT Press: Cambridge, Massachusetts. Chapters 2.1, 2.2, 6.
- Koehn, P. (2009). Statistical machine translation. Cambridge University Press.

PLAN OF THE LECTURE

- Machine Translation (MT) Task
- Rule-based MT Models
- Statistical MT Models
- Neural MT Models

The task of machine translation

- Machine Translation (MT) is the task of translating a sentence x from one language (the source language) to a sentence y in another language (the target language).
 - x : L'homme est né libre, et partout il est dans les fers
- 
- y : Man is born free, but everywhere he is in chains

Early machine translation

- Machine Translation research began in the early 1950s.
- Russian → English (motivated by the Cold War!)
- Systems were mostly rule-based, using a bilingual dictionary to map Russian words to their English counterpart



<http://web.stanford.edu/class/cs224n/slides/cs224n-2020-lecture08-nmt.pdf>

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Early results from translating English into Russian and back to English:

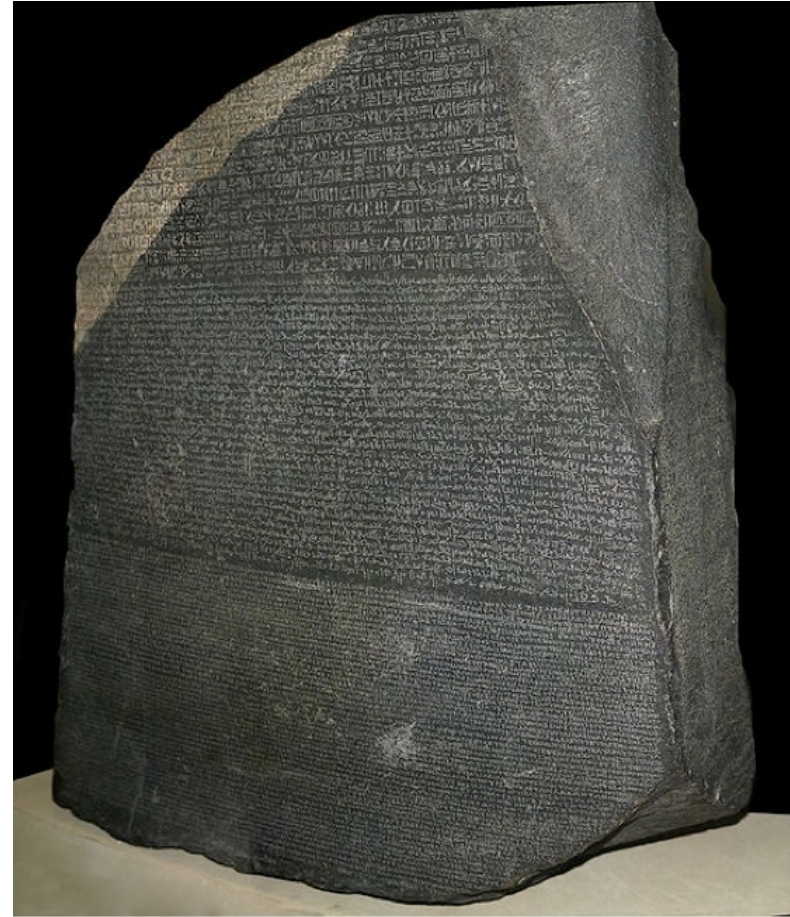
- The spirit is willing but the flesh is weak
- The vodka is good but the meat is rotten

<http://web.stanford.edu/class/cs224n/slides/cs224n-2020-lecture08-nmt.pdf>

Parallel Corpus: Training resource for MT

Most popular:

- EuroParl: European parliament protocols in 11 languages
- Hansards: Canadian Parliament protocols in French and English
- Software manuals (KDE, Open Office ...)
- Parallel webpages



Rosetta stone (196 BC): Greek-Egyptian-Demotic

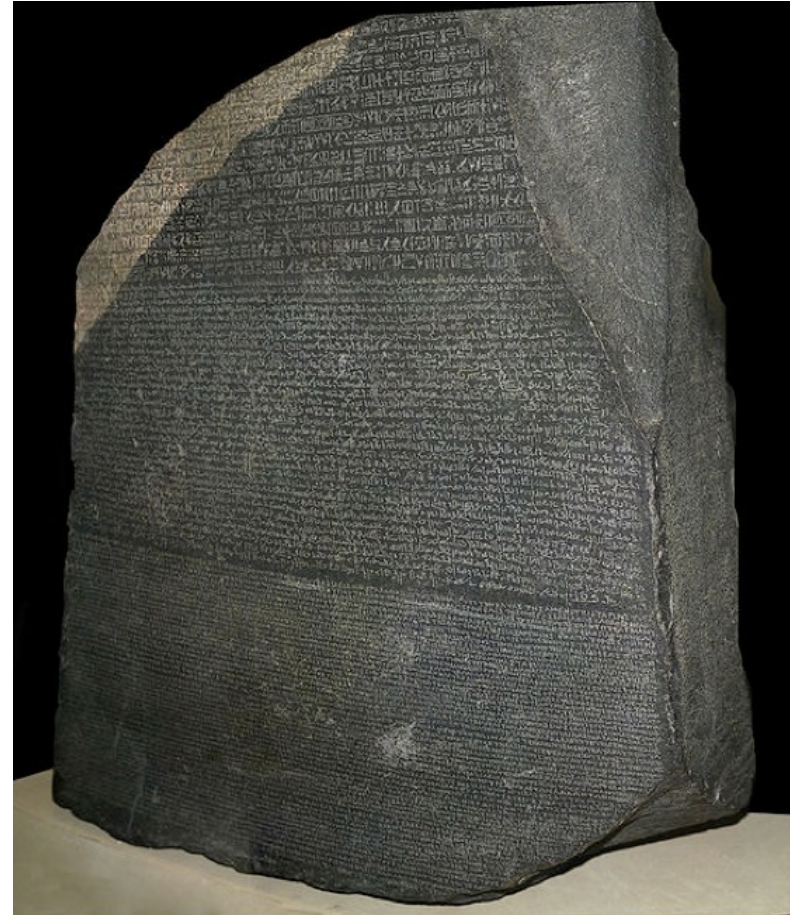
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Usually we assume that we have a **sentence-aligned** parallel corpus.

- there are methods to get to aligned sentences from aligned documents
- there are methods to extract parallel sentences from comparable corpora



Rosetta stone (196 BC): Greek-Egyptian-Demotic

Why machine translation is hard?

- Languages are structurally very different:

- Word order

Spanish *bruja verde*

witch green

English “green witch”

French *maison bleue*

house blue

“blue house”

- Syntax (e.g. SVO vs SOV vs VSO languages)

English: *He adores listening to music*

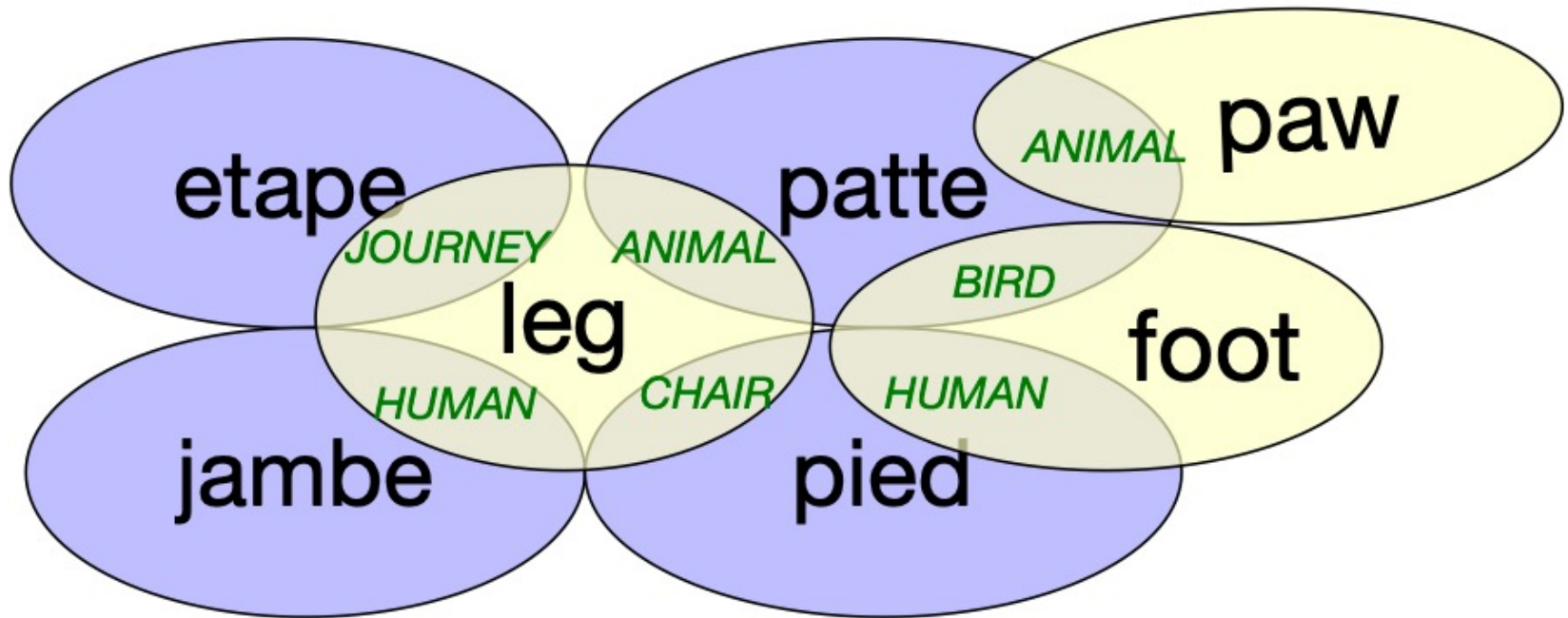
Japanese: *kare ha ongaku wo kiku no ga daisuki desu*

he music to listening adores

- Lexical level: words, alphabets are different.
- Agglutination,

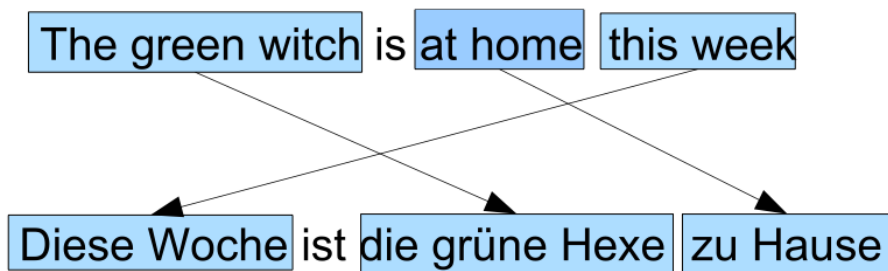
Why machine translation is hard?

The complex overlap between English leg, foot, etc. and various French translations like patte.

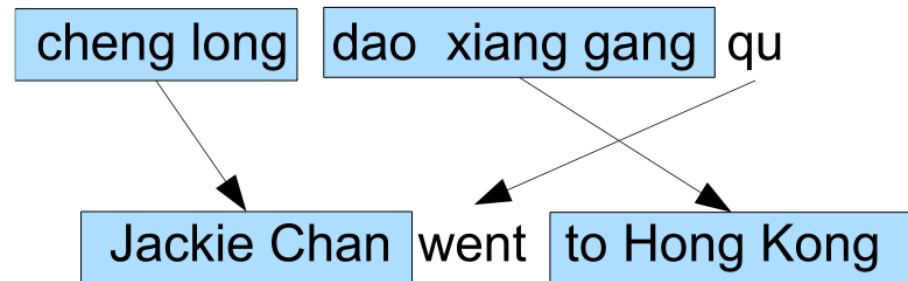


Why machine translation is hard?

- Complex reorderings may be needed.
- German often puts adverbs in initial position that English would put later.
- German tensed verbs often occur in second position causing the subject and verb to be inverted.



(a)



(b)

MT Evaluation

- Manual:
 - SSER (subjective sentence error rate)
 - Correct/Incorrect
 - Error categorization
- Testing in an application that uses MT as one sub-component
 - Question answering from foreign language documents
 - Cross Language Information Retrieval
- Automatic:
 - WER (word error rate)
 - **BLEU (Bilingual Evaluation Understudy)**
 - Proposed by IBM in 2001

Multiple reference translations

Reference translation 1:

The U.S. island of Guam is maintaining a high state of alert after the Guam airport and its offices both received an e-mail from someone calling himself the Saudi Arabian Osama bin Laden and threatening a biological/chemical attack against public places such as the airport.

Reference translation 2:

Guam International Airport and its offices are maintaining a high state of alert after receiving an e-mail that was from a person claiming to be the wealthy Saudi Arabian businessman Bin Laden and that threatened to launch a biological and chemical attack on the airport and other public places.

Machine translation:

The American [?] international airport and its the office all receives one calls self the sand Arab rich business [?] and so on electronic mail, which sends out ; The threat will be able after public place and so on the airport to start the biochemistry attack . [?] highly alerts after the maintenance.

Reference translation 3:

The US International Airport of Guam and its office has received an email from a self-claimed Arabian millionaire named Laden, which threatens to launch a biochemical attack on such public places as airport. Guam authority has been on alert.

Reference translation 4:

US Guam International Airport and its office received an email from Mr. Bin Laden and other rich businessman from Saudi Arabia. They said there would be biochemistry air raid to Guam Airport and other public places. Guam needs to be in high precaution about this matter.

BLEU Evaluation Metric

Intuition for BLEU: one of two candidate translations of a Chinese source sentence shares more words with the reference human translations.

Cand 1: It is a guide to action which ensures that the military always obeys the commands of the party

Cand 2: It is to insure the troops forever hearing the activity guidebook that party direct

Ref 1: It is a guide to action that ensures that the military will forever heed Party commands

Ref 2: It is the guiding principle which guarantees the military forces always being under the command of the Party

Ref 3: It is the practical guide for the army always to heed the directions of the party

A pathological example showing why BLEU uses a modified precision metric. Unigram precision would be unreasonably high (7/7). Modified unigram precision is appropriately low (2/7).

Candidate: the the the the the the the

Reference 1: the cat is on the mat

Reference 2: there is a cat on the mat

BLEU Evaluation Metric

- **Count** the maximum number of times a word is used in any single reference translation.
- The **Count** of each candidate word is then clipped by this maximum reference count.
- The modified precision score (for an entire test dataset):

$$p_n = \frac{\sum_{c \in \{Candidates\}} \sum_{n\text{-gram} \in C} \text{Count}_{\text{clip}}(n\text{-gram})}{\sum_{c' \in \{Candidates\}} \sum_{n\text{-gram}' \in C'} \text{Count}(n\text{-gram}')}$$

- Here precision of 'the' is 2/7 not 7/7!

Candidate: the the the the the the the

Reference 1: the cat is on the mat

Reference 2: there is a cat on the mat

BLEU Evaluation Metric

Evaluation score:

$$\text{Bleu} = \text{BP} \times \exp \left(\frac{1}{N} \sum_{n=1}^N \log p_n \right)$$

Where BP is brevity penalty:

$$\text{BP} = \begin{cases} 1 & \text{if } c > r \\ e^{(1-r/c)} & \text{if } c \leq r \end{cases}$$

And p is normalized precision:

$$p_n = \frac{\sum_{c \in \{\text{Candidates}\}} \sum_{n\text{-gram} \in C} \text{Count}_{\text{clip}}(n\text{-gram})}{\sum_{c' \in \{\text{Candidates}\}} \sum_{n\text{-gram}' \in C'} \text{Count}(n\text{-gram}')}$$

chrF: character F-score

- Precision and Recall definition

chrP percentage of character 1-grams, 2-grams, ..., k-grams in the hypothesis that occur in the reference, averaged.

chrR of character 1-grams, 2-grams,..., k-grams in the reference that occur in the hypothesis, averaged.

- Definition of the metric:

$$\text{chrF}\beta = (1 + \beta^2) \frac{\text{chrP} \cdot \text{chrR}}{\beta^2 \cdot \text{chrP} + \text{chrR}}$$

- Its common to use F2 (beta = 2):

$$\text{chrF2} = \frac{5 \cdot \text{chrP} \cdot \text{chrR}}{4 \cdot \text{chrP} + \text{chrR}}$$

chrF: character F-score

REF: witness for the past,	
HYP1: witness of the past,	chrF2,2 = .86
HYP2: past witness	chrF2,2 = .62

unigrams that match: w i t n e s s f o r t h e p a s t , (17 unigrams)

bigrams that match: wi it tn ne es ss th he ep pa as st t, (13 bigrams)

unigram P: $17/17 = 1$ unigram R: $17/18 = .944$

bigram P: $13/16 = .813$ bigram R: $13/17 = .765$

$$\text{chrP} = (17/17 + 13/16)/2 = .906$$

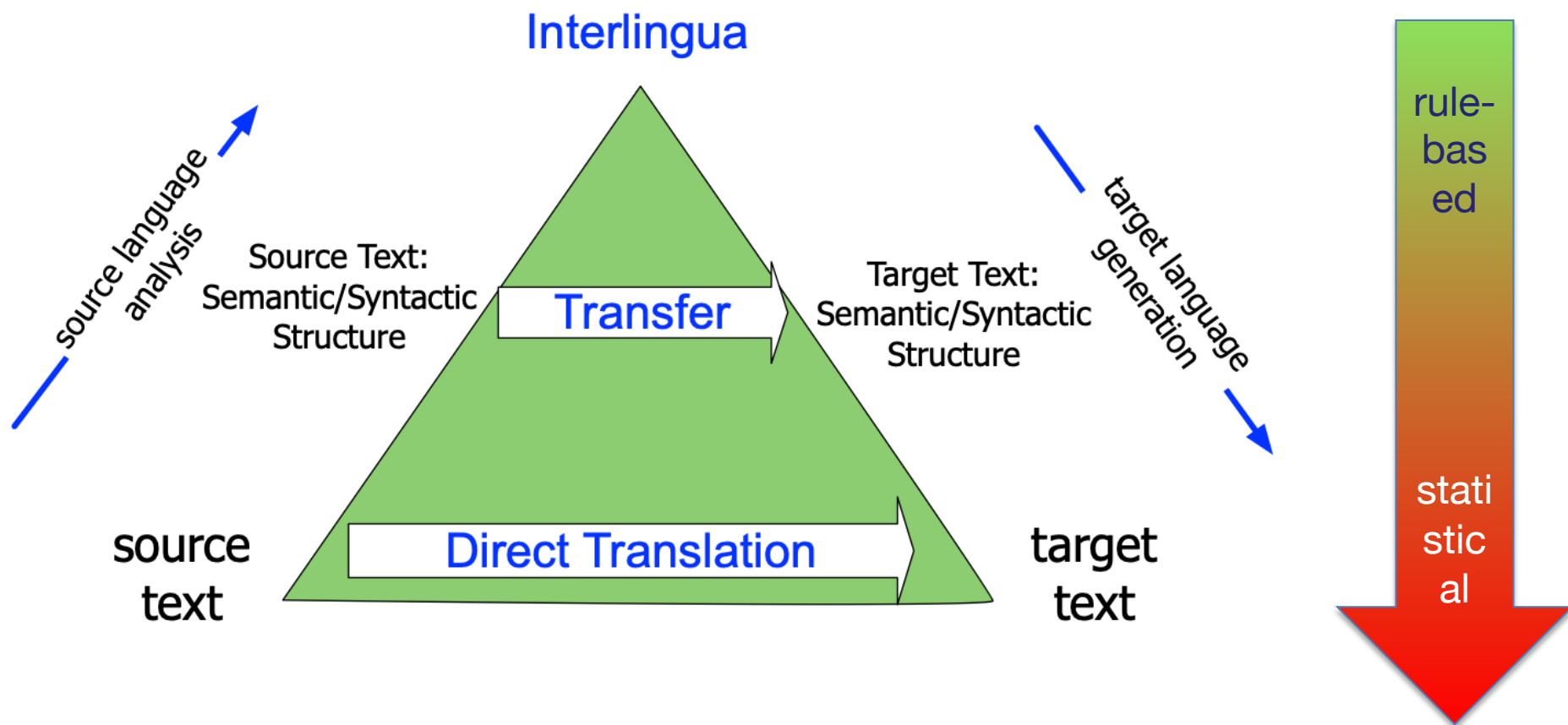
$$\text{chrR} = (17/18 + 13/17)/2 = .855$$

$$\text{chrF2,2} = 5 \frac{\text{chrP} * \text{chrR}}{4\text{chrP} + \text{chrR}} = .86$$

PLAN OF THE LECTURE

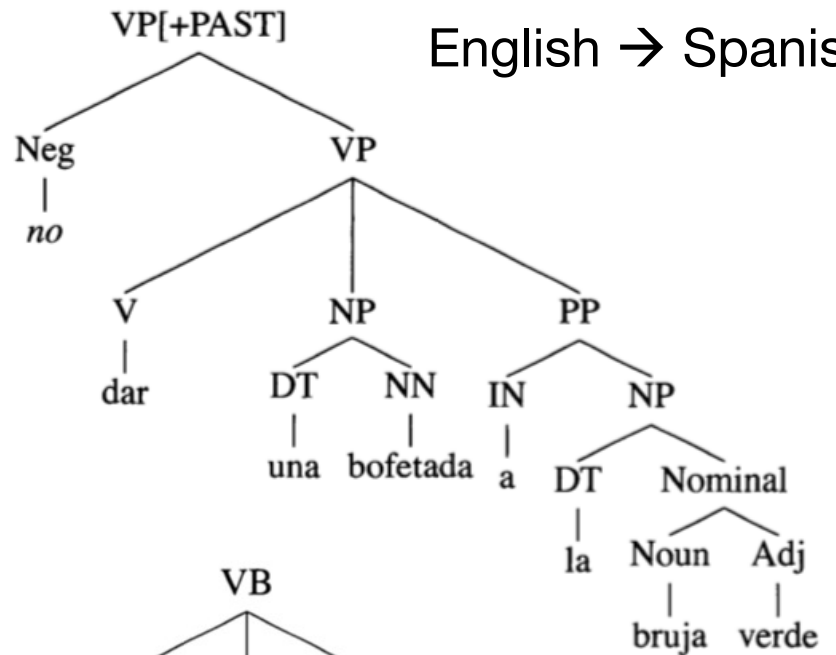
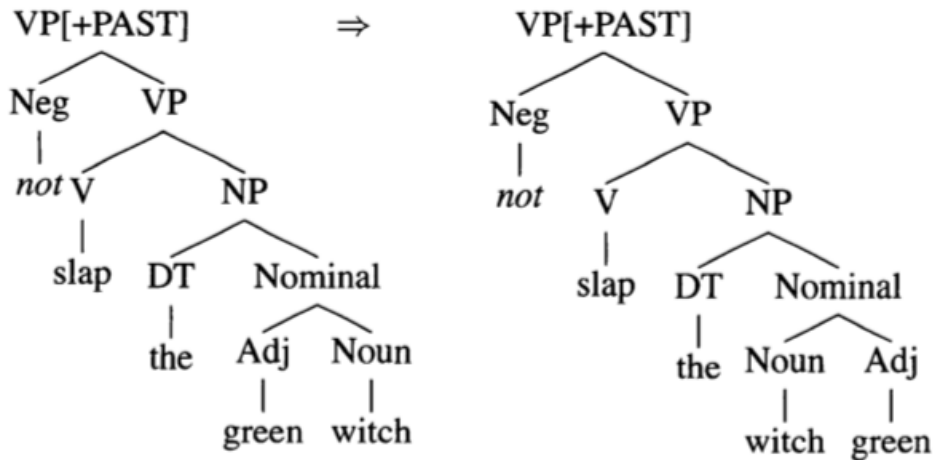
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The Machine Translation Pyramid



Rule-based statistical transfer

English → Spanish



Interlingua

Interlingual representation of “Mary did not slap the green witch”.

EVENT	SLAPPING													
AGENT	MARY													
TENSE	PAST													
POLARITY	NEGATIVE													
THEME	<table><tr><td>WITCH</td><td colspan="2"></td></tr><tr><td>DEFINITENESS</td><td>DEF</td><td></td></tr><tr><td>ATTRIBUTES</td><td colspan="2"><table><tr><td>HAS-COLOR</td><td>GREEN</td></tr></table></td></tr></table>			WITCH			DEFINITENESS	DEF		ATTRIBUTES	<table><tr><td>HAS-COLOR</td><td>GREEN</td></tr></table>		HAS-COLOR	GREEN
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A naïve approach: directly computing translation probabilities

Imagine that we want to translate from French (f) into English (e).

- Given a parallel corpus we can estimate $P(e|f)$. The maximum likelihood estimation of $P(e|f)$ is: $freq(e,f)/freq(f)$

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A naïve approach: directly computing translation probabilities

Imagine that we want to translate from French (f) into English (e).

- Given a parallel corpus we can estimate $P(e|f)$. The maximum likelihood estimation of $P(e|f)$ is: $freq(e,f)/freq(f)$
- Way too specific to get any reasonable frequencies when done on the basis of sentences, vast majority of unseen data will have zero counts
- $P(e|f)$ could be re-defined as:

$$P(e | f) = \prod_{f^j} \max_{e^i} P(e^i | f^j)$$

- **Problem:** The English words maximizing $P(e|f)$ might not result in a readable sentence

Core idea of the statistical machine translation (SMT)

- We can account for adequacy: each foreign word translates into its most likely English word
- We cannot guarantee that this will result in a fluent English sentence

Core idea of the statistical machine translation (SMT): $F \rightarrow E$

- We can account for adequacy: each foreign (F) word translates into its most likely English (E) word
- We cannot guarantee that this will result in a fluent English sentence
- **Solution:** transform $P(E|F)$ with Bayes' rule
- $P(F|E)$ accounts for adequacy
- $P(E)$ accounts for fluency

$$\hat{E} = \operatorname{argmax}_{E \in \text{English}} \overbrace{P(F|E)}^{\text{translation model}} \overbrace{P(E)}^{\text{language model}}$$

Best translation = faithfulness * fluency

$$\operatorname{argmax}_y P(y|x) = \operatorname{argmax}_y \underbrace{P(x|y)}_{\text{Translation Model}} \underbrace{P(y)}_{\text{Language Model}}$$

Translation Model

Models how words and phrases
should be translated (*fidelity*).
Learnt from parallel data.

Language Model

Models how to write
good English (*fluency*).
Learnt from monolingual
data.

Three Tasks of Statistical MT

- Language model
 - Given a target language string e , assigns $P(e)$
 - good target language string \rightarrow high $P(e)$
 - random word sequence \rightarrow low $P(e)$
- Translation model
 - Given a pair of strings $\langle f, e \rangle$, assigns $P(f|e)$ by formula
 - $\langle f, e \rangle$ look like translations \rightarrow high $P(f|e)$
 - $\langle f, e \rangle$ don't look like translations \rightarrow low $P(f|e)$
- Decoding algorithm
 - Given a language model, a translation model, and a new sentence f : find translation e maximizing $P(e) \cdot P(f|e)$

Language Modeling: $P(e)$

- Determine the probability of an English sequence $P(e)$
- Can use n-gram models, PCFG-based models etc.: anything that assigns a probability for a sequence
- Standard: n-gram model

$$P(e) = P(e^1)P(e^2 | e^1) \prod_{i=3}^l P(e^i | e^{i-1}..e^{i-n+1})$$

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- Language model picks the most fluent translation of many possible translations
- Language model can be estimated from a large monolingual corpus

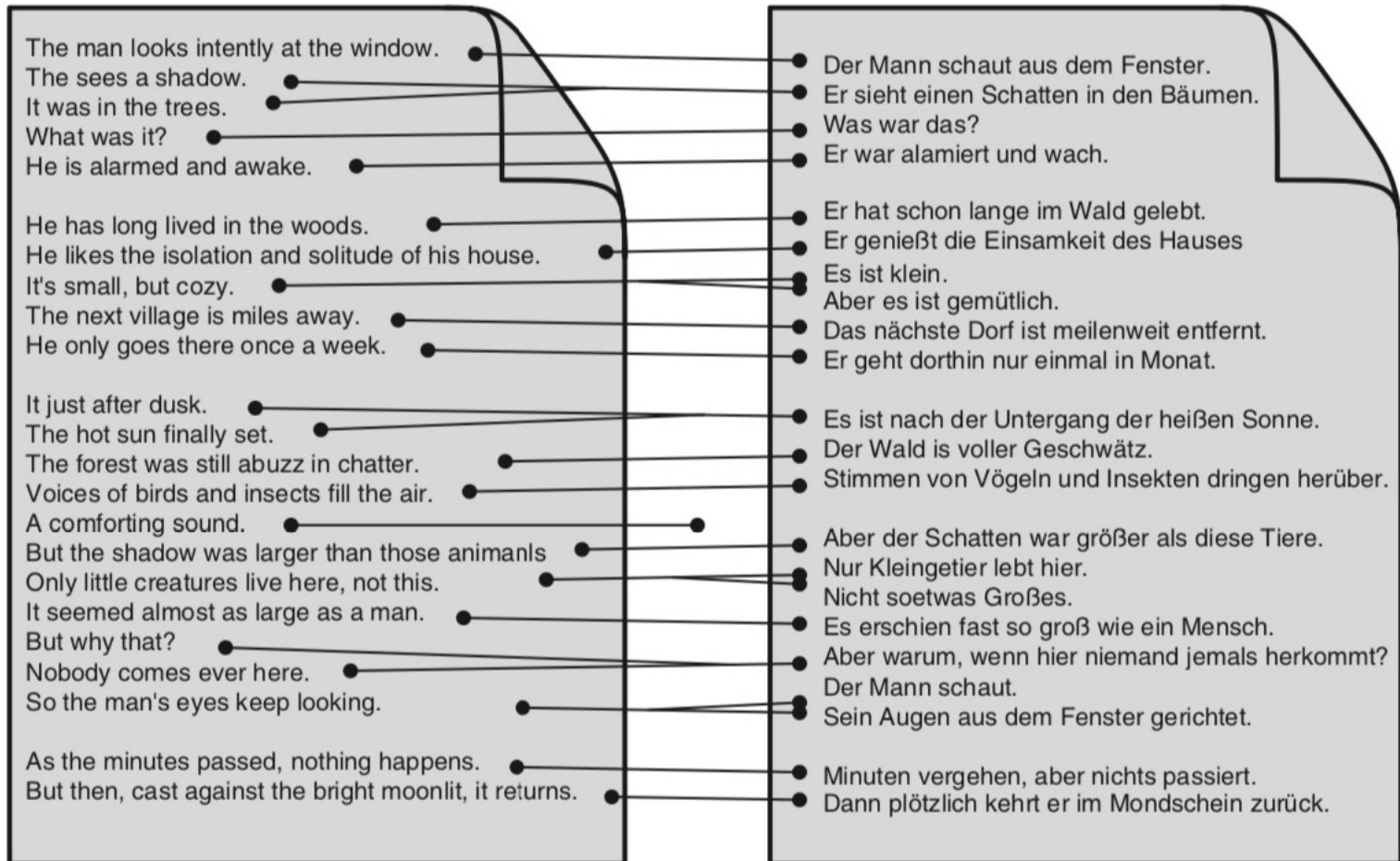
Translation Modeling: $P(f|e)$

- Determines the probability that the foreign word f_j is a translation of the English word e_i
- How to compute $P(f_j | e_i)$ from a parallel corpus? Need to **align** their translations
- Statistical approaches rely on the co-occurrence of e_i and f_j in the parallel data: If e_i and f_j tend to co-occur in parallel sentence pairs, they are likely to be translations of one another

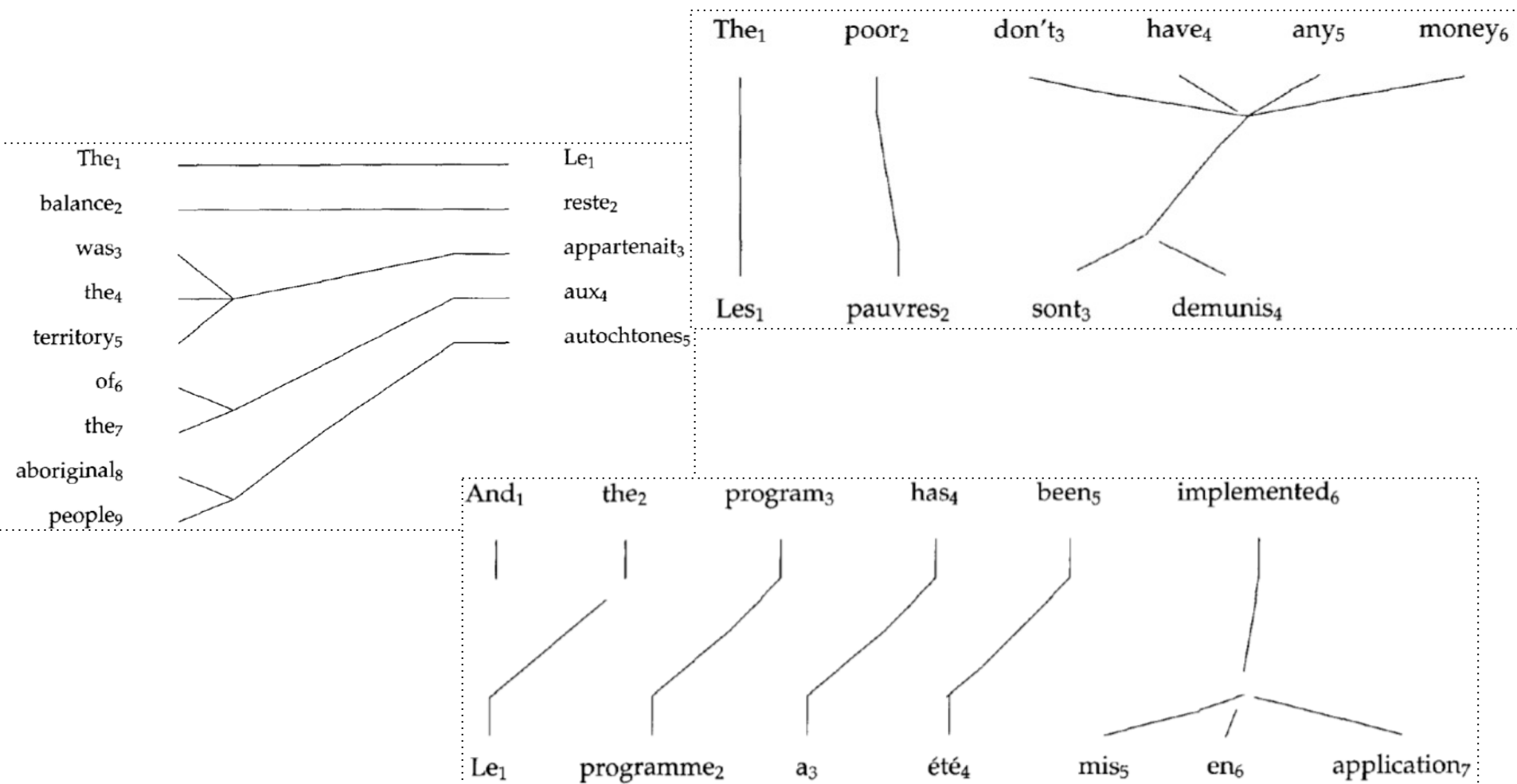
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- Commonly, four factors are used:
 - **translation**: How often do e_i and f_j co-occur?
 - **distortion**: How likely is a word occurring at position x to translate into a word occurring at position y ? For example: English is a verb-second language, whereas German is a verb-final language
 - **fertility**: How likely is e_i to translate into more than one word? For example: “defeated” can translate into “eine Niederlage erleiden”
 - **null translation**: How likely is a foreign word to be spuriously generated?

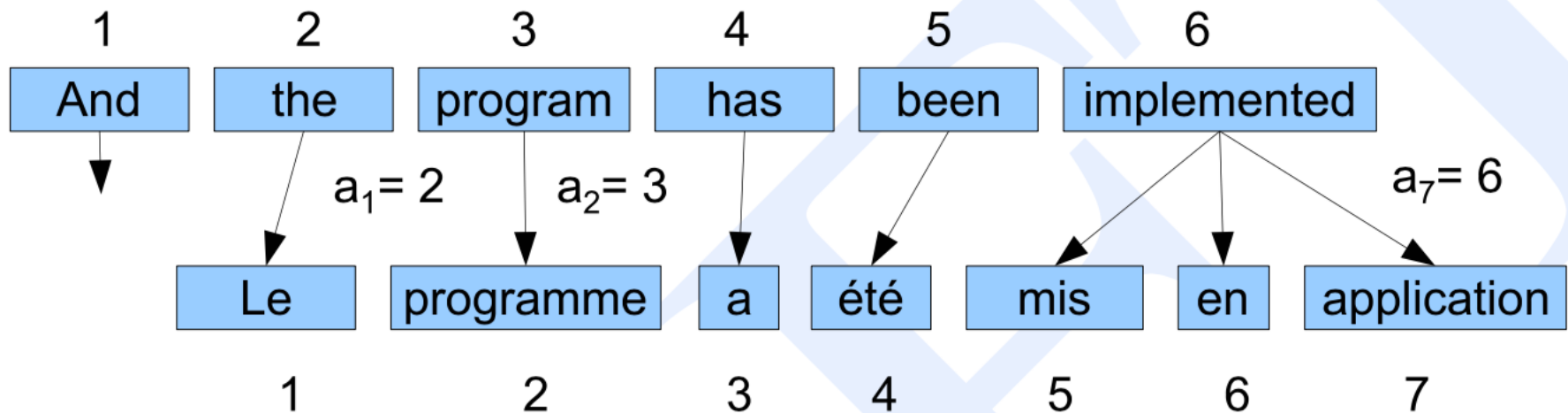
Sentence alignment



Word Alignment



Word Alignment



$A = 2, 3, 4, 5, 6, 6, 6$

IBM Model 1

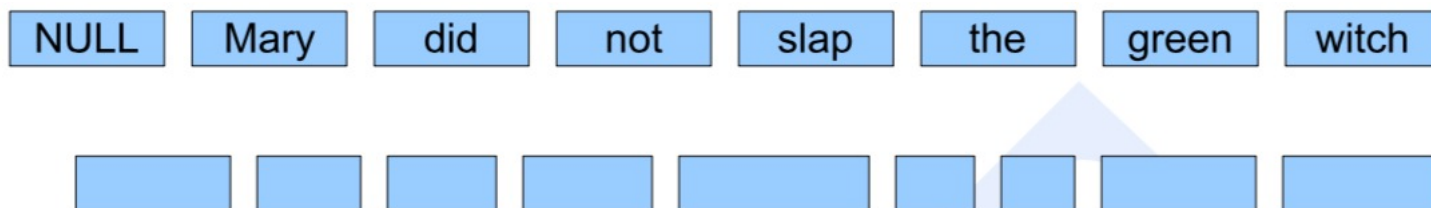
- Simplest of the IBM models
- Does not model one-to-many alignments
- Computationally inexpensive

$$P(F|E) = \sum_A P(F,A|E)$$

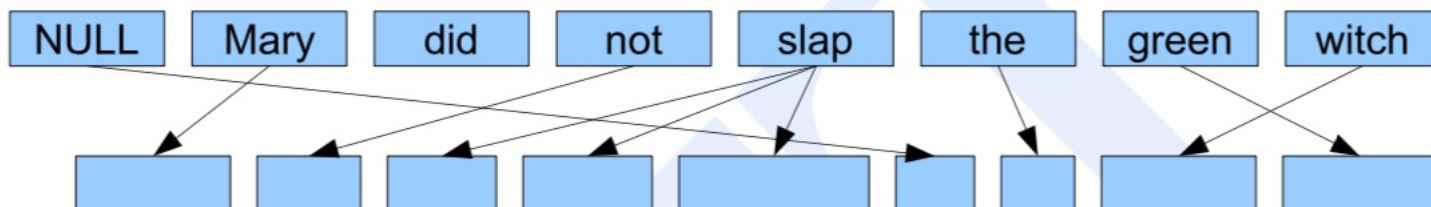
1. Choose a length J for the Spanish sentence, henceforth $F = f_1, f_2, \dots, f_J$.
2. Now choose an alignment $A = a_1, a_2, \dots, a_J$ between the English and Spanish sentences.
3. Now for each position j in the Spanish sentence, chose a Spanish word f_j by translating the English word that is aligned to it.

IBM Model 1: generative story

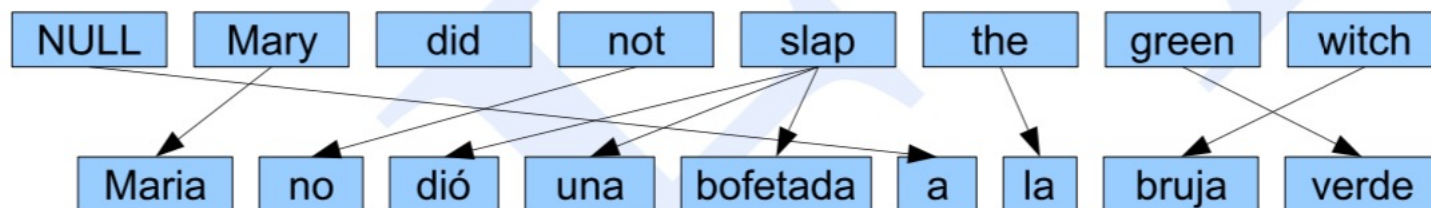
Step 1: Choose length of Spanish sentence



Step 2: Choose alignment



Step 3: Choose Spanish words from each aligned English word



IBM Models by Brown et al. (1993)

- Model 1: lexical translation

- Bag of words
- Unique local maxima
- Efficient EM algorithm

Brown, P. F., Pietra, V. J. D., Pietra, S. A. D., & Mercer, R. L. (1993). The mathematics of statistical machine translation: Parameter estimation. Computational linguistics, 19(2), 263-311.

- Model 2: adds absolute alignment model:

$$a(e^{pos} | f^{pos}, e_{length}, f_{length})$$

- Model 3: add fertility model: $n(k|e)$

- No full EM, count only neighbors (Model 3–5)
- Leaky (Model 3–4)

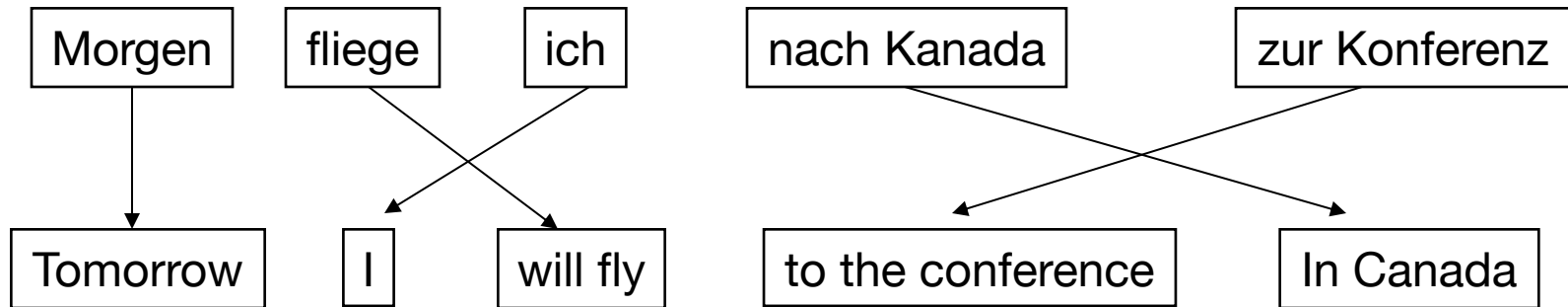
- Model 4: adds relative alignment model

- Relative distortion
- word classes

- Model 5: fixes deficiency

- Extra variables to avoid leakiness

Phrase-Based Statistical MT



- Foreign input segmented into phrases
- “phrase” is any sequence of words
- Each phrase is probabilistically translated into English
 - $P(\text{to the conference} \mid \text{zur Konferenz})$
 - $P(\text{into the meeting} \mid \text{zur Konferenz})$
- Phrases are probabilistically re-ordered

Word Alignment Induced Phrases

	Maria	no	dió	una	bofetada	a	la	bruja	verde
Mary									
did									
not									
slap									
the									
green									
witch									

(Maria, Mary) (no, did not) (slap, dió una bofetada) (la, the) (bruja, witch) (verde, green)

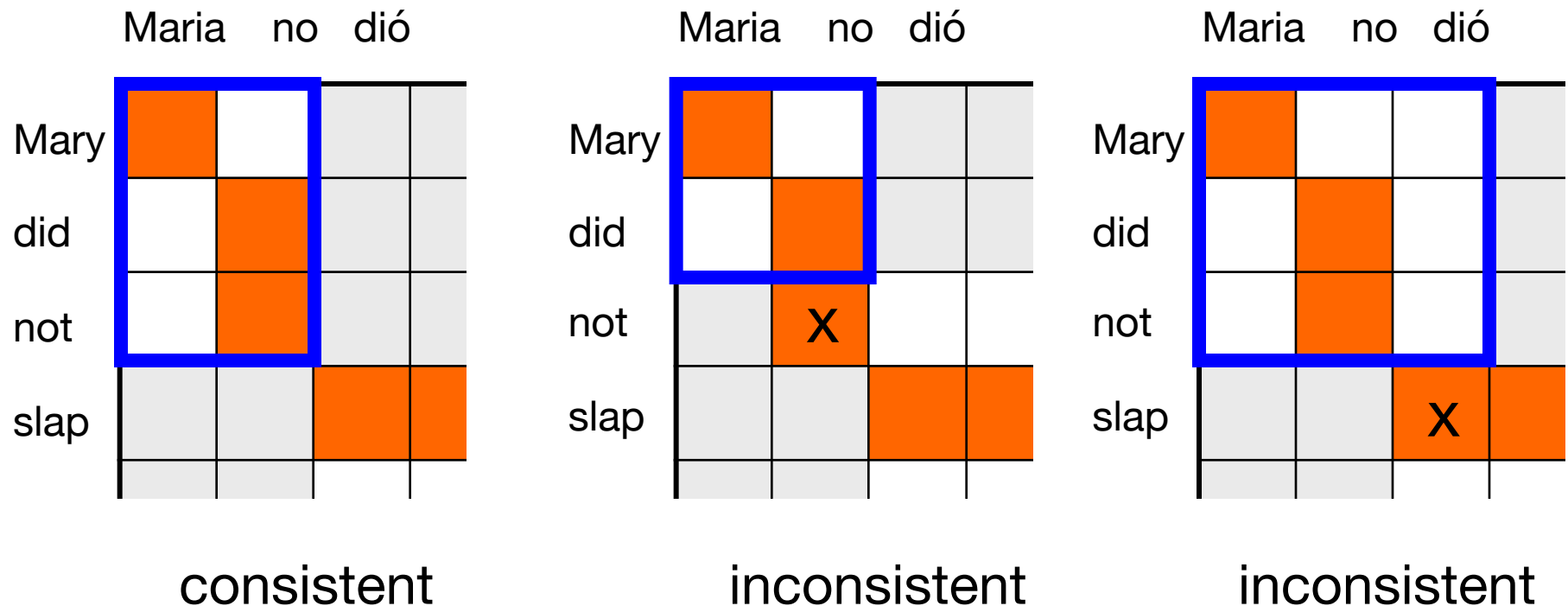
How to learn the phrase translation table?

	Maria	no	dió	una	bofetada	a	la	bruja	verde
Mary									
did									
not									
slap									
the									
green									
witch									

one example phrase pair

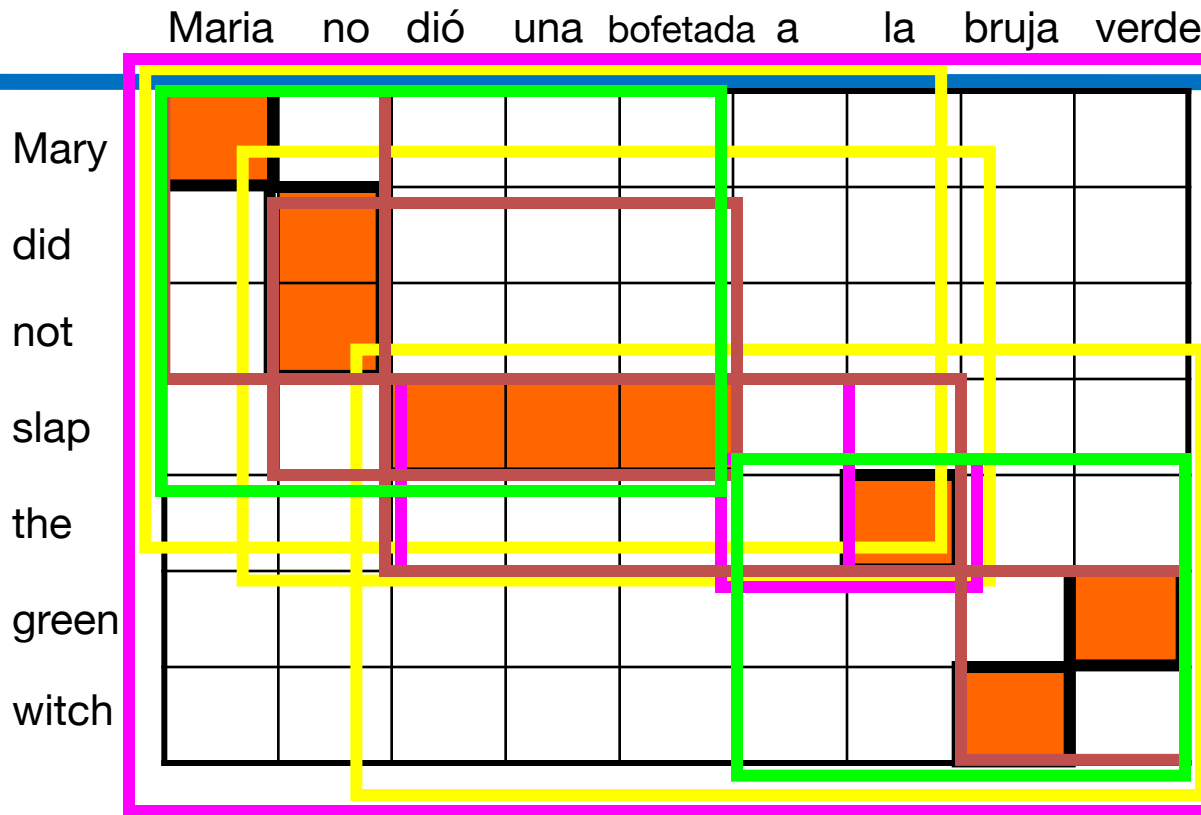
- Collect **all phrase pairs** that are **consistent** with the word alignment

Consistent with Word Alignment



- Phrase alignment must contain all alignment points for all the words in both phrases!

Word Alignment Induced Phrases



- (Maria, Mary) (no, did not) (slap, dió una bofetada) (la, the) (bruja, witch) (verde, green)
- (a la, the) (dió una bofetada a, slap the)
- (Maria no, Mary did not) (no dió una bofetada, did not slap), (dió una bofetada a la, slap the) (bruja verde, green witch)
- (Maria no dió una bofetada, Mary did not slap) (a la bruja verde, the green witch) ...
- (Maria no dió una bofetada a la bruja verde, Mary did not slap the green witch)

Decoding

- Goal is to find a translation that maximizes the product of the translation and language models.

$$\operatorname{argmax}_e P(f | e)P(e)$$

- Cannot explicitly enumerate and test the combinatorial space of all possible translations.
- Must efficiently (heuristically) search the space of translations that approximates the solution to this difficult optimization problem.

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- The optimal decoding problem for all reasonable models (e.g. IBM model 1) is NP-complete.

Here:

- phrase-based decoder based on that of Koehn's (2004) Pharaoh system.

Pharaoh: a Beam Search Decoder for Phrase-Based Statistical Machine Translation Models, Philipp Koehn, AMTA 2004

Space of Translations

Maria	no	dio	una	bofetada	a	la	bruja	verde
<u>Mary</u>	<u>not</u>	<u>give</u>	<u>a</u>	<u>slap</u>	<u>to</u>	<u>the</u>	<u>witch</u>	<u>green</u>
	<u>did not</u>		<u>a slap</u>		<u>to the</u>		<u>green witch</u>	
	<u>no</u>		<u>slap</u>		<u>to</u>			
	<u>did not give</u>				<u>the</u>			
			<u>slap</u>			<u>the witch</u>		

The phrase translation table from the alignment defines the space of possible translations

- every word can have multiple translations
- every word can participate in multiple phrases

Stack Decoding

- Use a version of heuristic A^* search to explore the space of phrase translations to find the best scoring subset that covers the source sentence.

function STACK DECODING(source sentence) **returns** target sentence

initialize stack with a null hypothesis

loop do

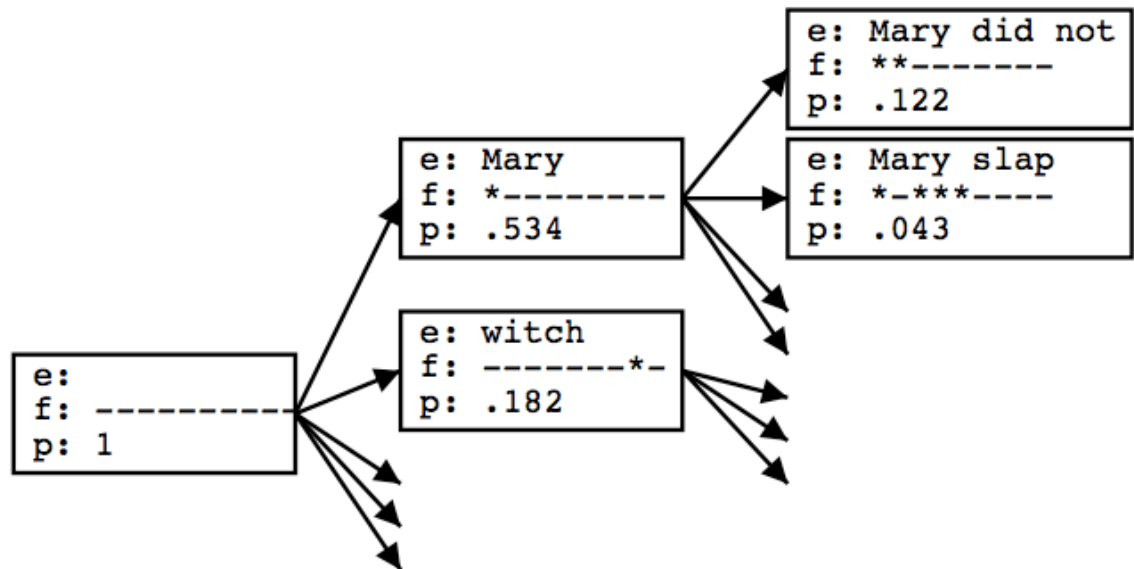
pop best hypothesis h off of stack

if h is a complete sentence, **return** h

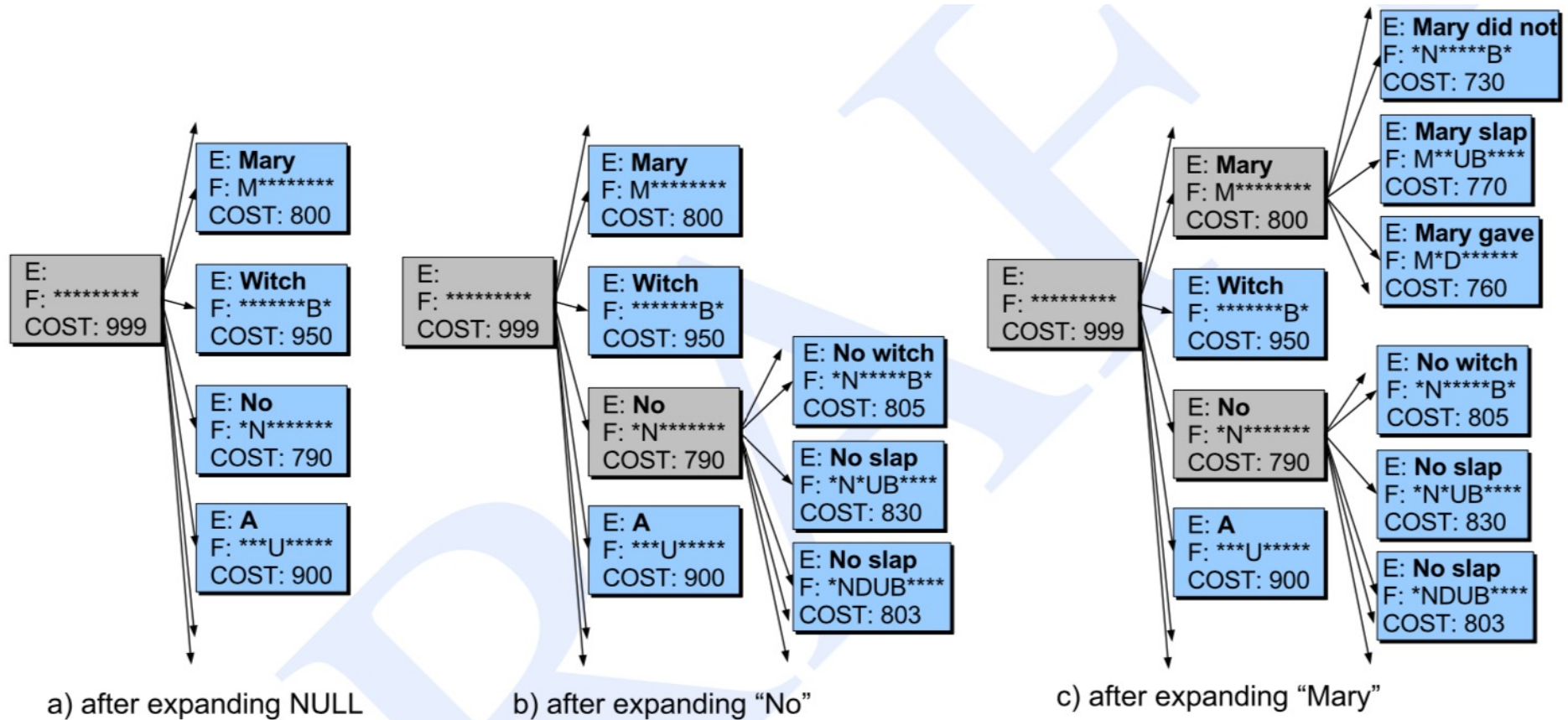
for each possible expansion h' of h

assign a score to h'

push h' onto stack



Stack Decoding

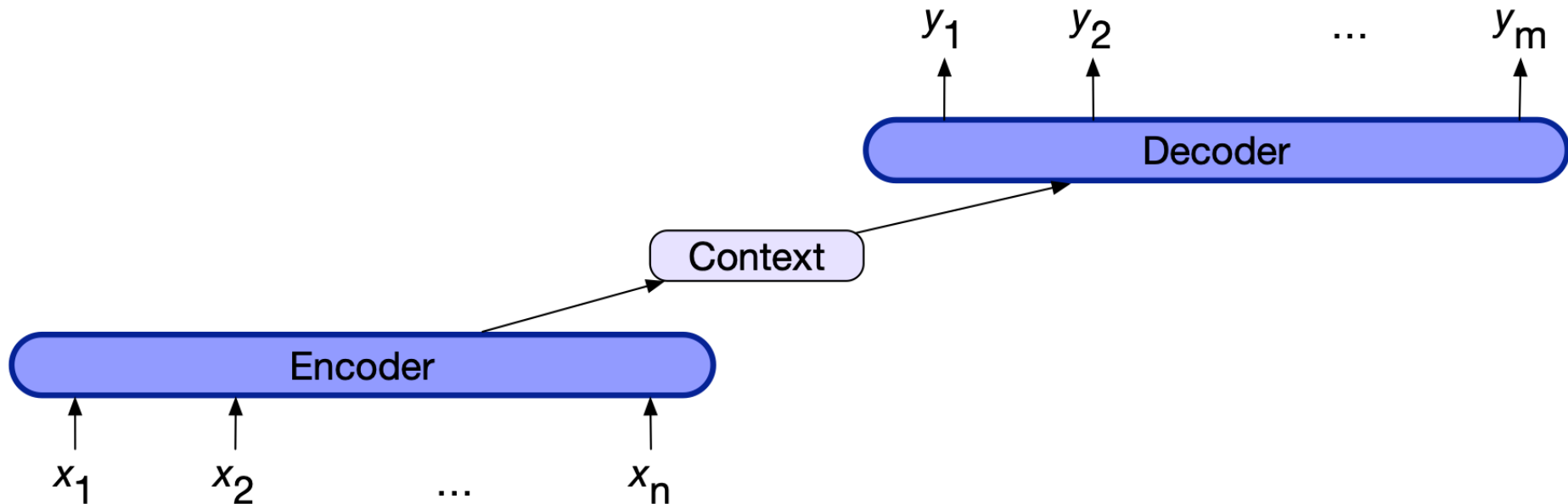


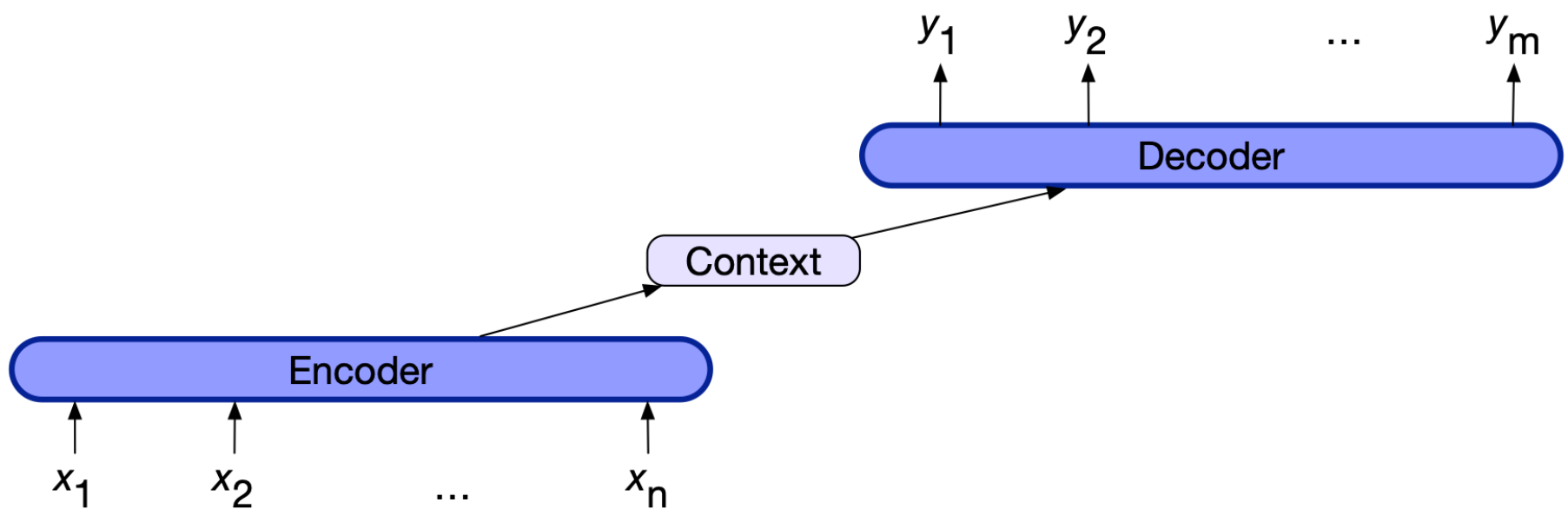
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Encoder-Decoder Model

- Use of an **encoder network** that takes an input sequence and creates a contextualized representation of it, often called the **context**.
- This representation is then passed to a **decoder** which generates a task-specific output sequence.



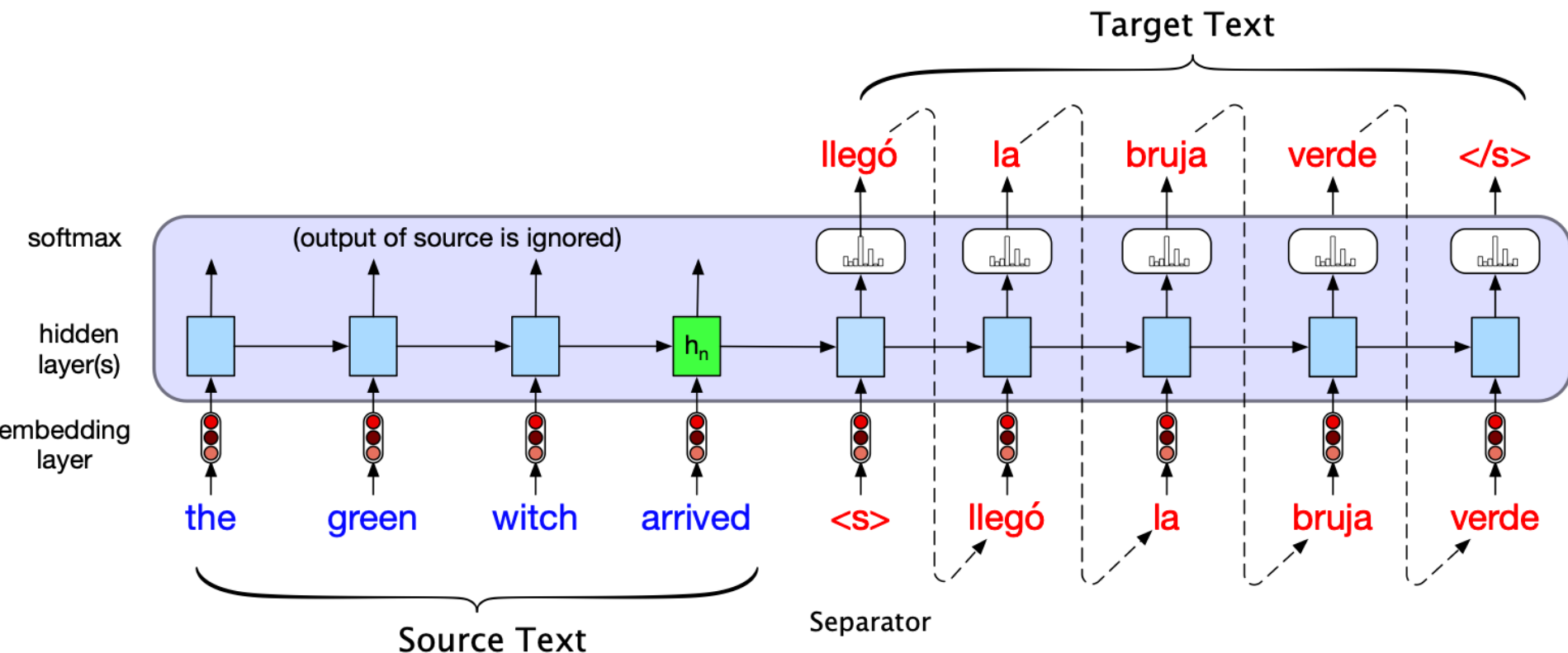


- An **encoder** that accepts an input sequence, and generates a corresponding sequence of contextualized representations.
 - LSTMs, GRUs, convolutional networks, and Transformers can all be employed as encoders
- A **context** vector is a function of hidden representation conveys the essence of the input to the decoder.
- A **decoder**, which accepts context vector as input and generates an arbitrary length sequence of hidden states, from which a corresponding sequence of output states, can be obtained.
 - Just as with encoders, decoders can be realized by any kind of sequence architecture.

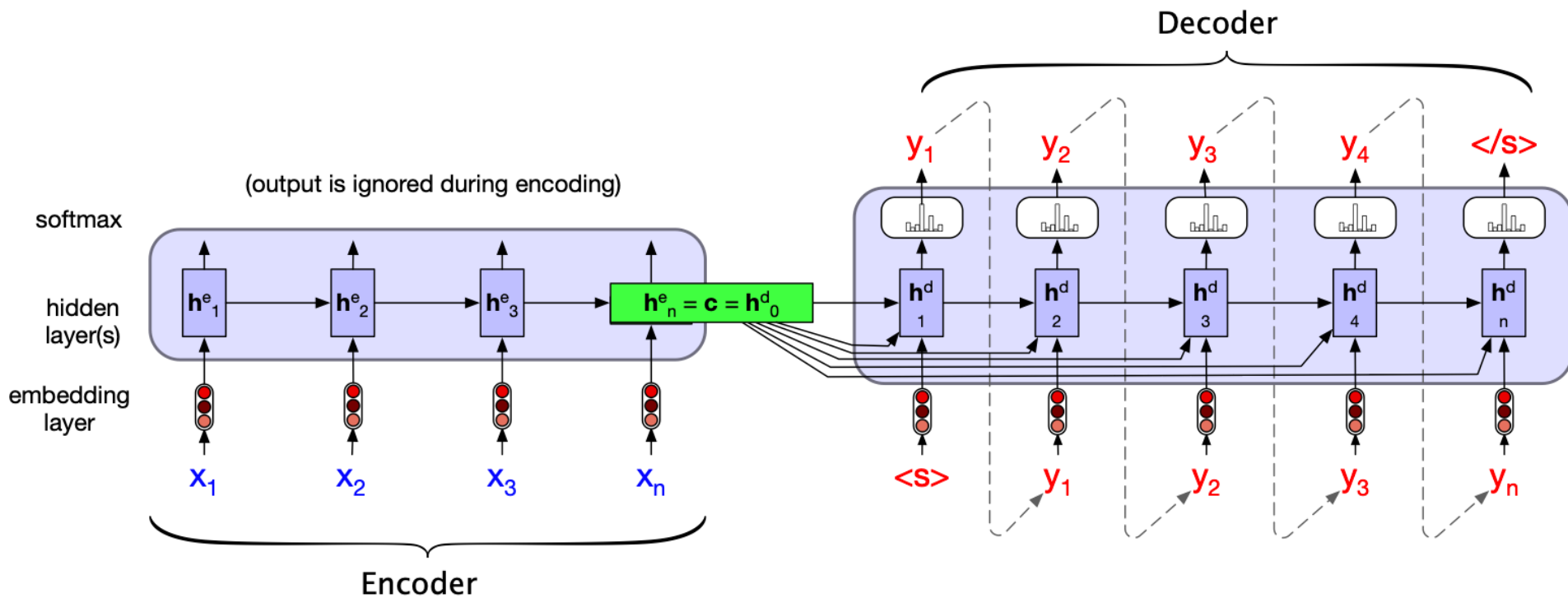
Encoder-Decoder with RNNs

- Given source text x and target text y :

$$p(y|x) = p(y_1|x)p(y_2|y_1,x)p(y_3|y_1,y_2,x)...P(y_m|y_1,...,y_{m-1},x)$$



Encoder-Decoder with RNNs



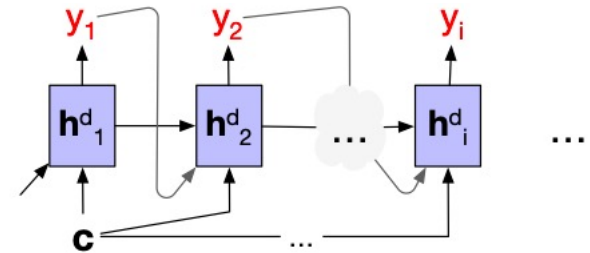
Encoder-Decoder with RNNs

- Allowing every hidden state of the decoder (not just the first decoder state) to be influenced by the context c produced by the encoder.
- Context vector c available at each step in the decoding process:

$$\mathbf{h}_t^d = g(\hat{y}_{t-1}, \mathbf{h}_{t-1}^d, \mathbf{c})$$

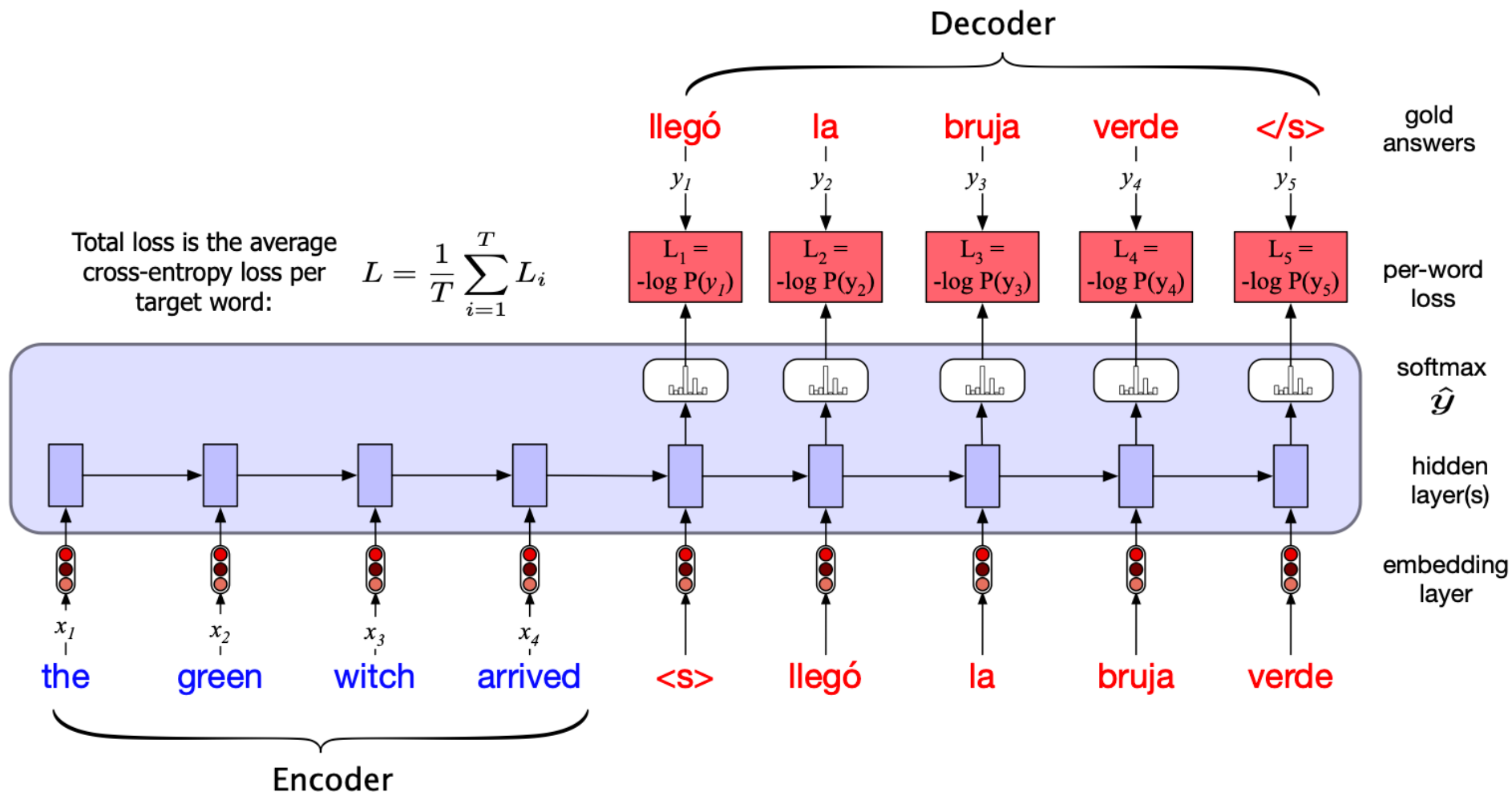
- Overall:

$$\begin{aligned}\mathbf{c} &= \mathbf{h}_n^e \\ \mathbf{h}_0^d &= \mathbf{c} \\ \mathbf{h}_t^d &= g(\hat{y}_{t-1}, \mathbf{h}_{t-1}^d, \mathbf{c}) \\ \mathbf{z}_t &= f(\mathbf{h}_t^d) \\ y_t &= \text{softmax}(\mathbf{z}_t)\end{aligned}$$



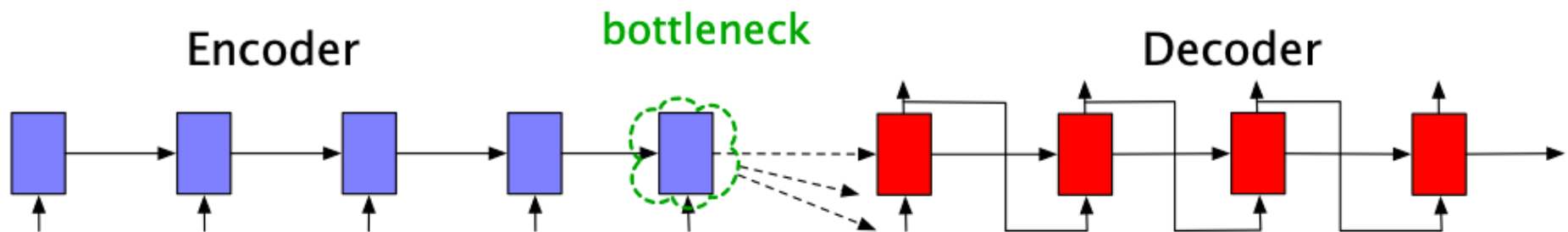
Training Encoder-Decoder with RNNs

- Objective: $\hat{y}_t = \operatorname{argmax}_{w \in V} P(w|x, y_1 \dots y_{t-1})$



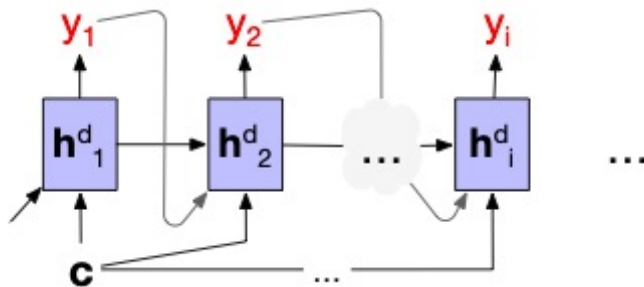
Attention

- Requiring the context c to be only the encoder's final hidden state forces all the information from the entire source sentence to pass through this **representational bottleneck**.

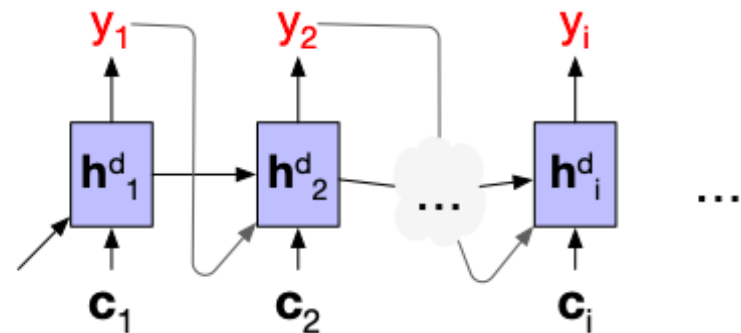


Attention

- The attention mechanism allows each hidden state of the decoder to see a different **dynamic context**, which is a **function of all the encoder hidden states**.



$$\mathbf{h}_t^d = g(\hat{y}_{t-1}, \mathbf{h}_{t-1}^d, \mathbf{c})$$



$$\mathbf{h}_i^d = g(\hat{y}_{i-1}, \mathbf{h}_{i-1}^d, \mathbf{c}_i)$$

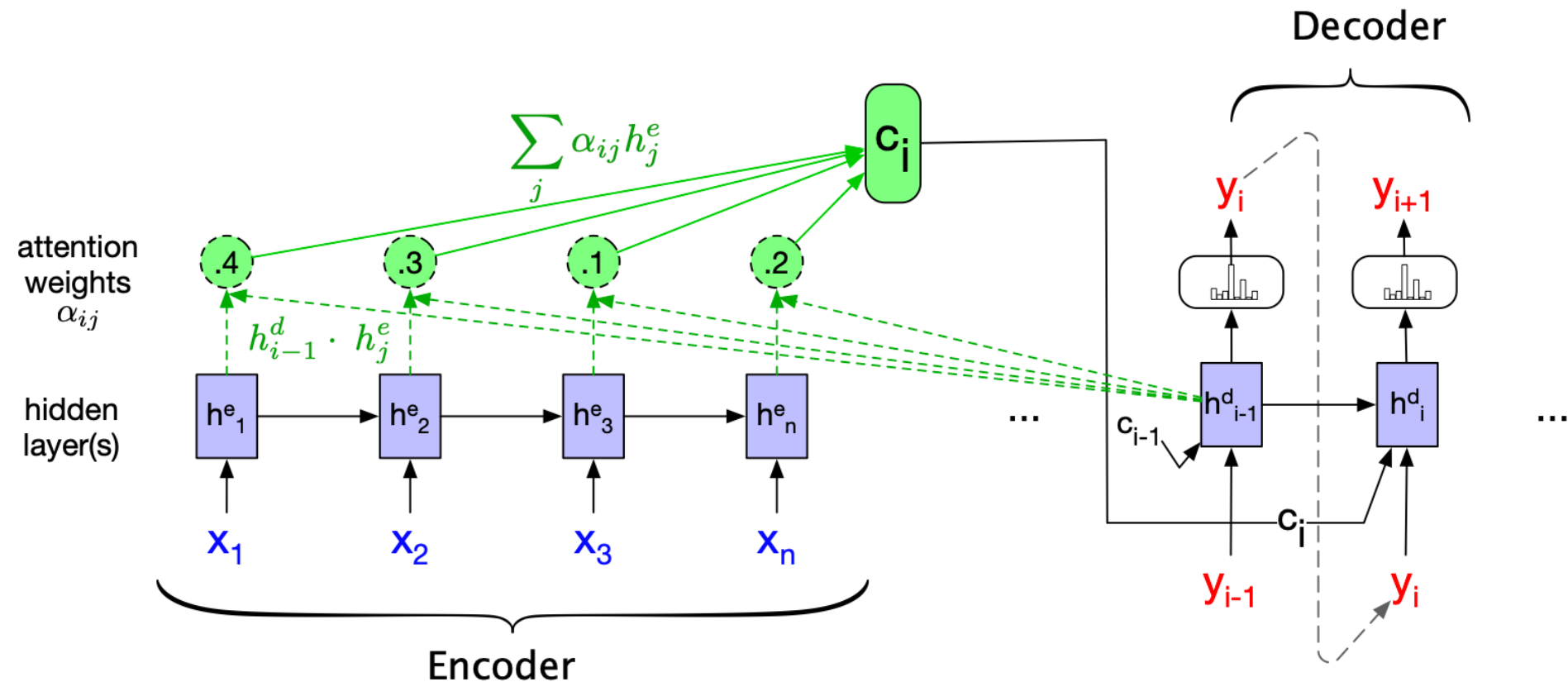
Attention

- Computation of the dynamic context vector:

$$\begin{aligned}\alpha_{ij} &= \text{softmax}(\text{score}(\mathbf{h}_{i-1}^d, \mathbf{h}_j^e) \quad \forall j \in e) \\ &= \frac{\exp(\text{score}(\mathbf{h}_{i-1}^d, \mathbf{h}_j^e))}{\sum_k \exp(\text{score}(\mathbf{h}_{i-1}^d, \mathbf{h}_k^e))}\end{aligned}$$

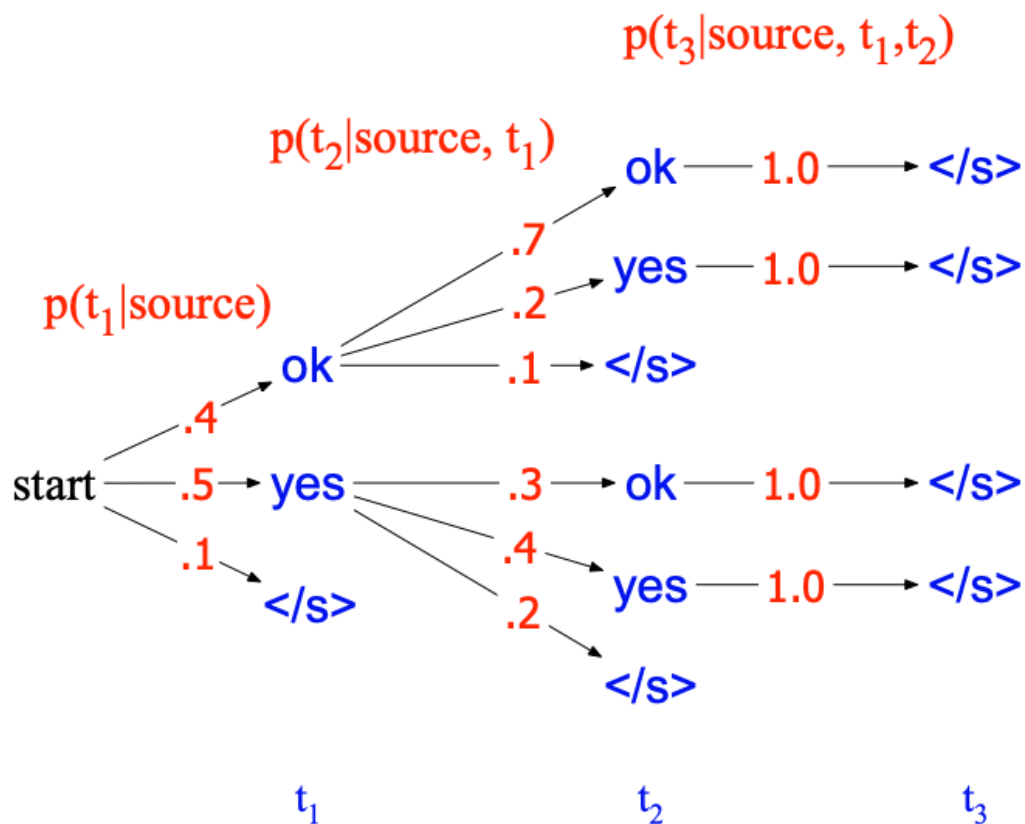
$$\mathbf{c}_i = \sum_j \alpha_{ij} \mathbf{h}_j^e$$

Encoder-decoder network with attention

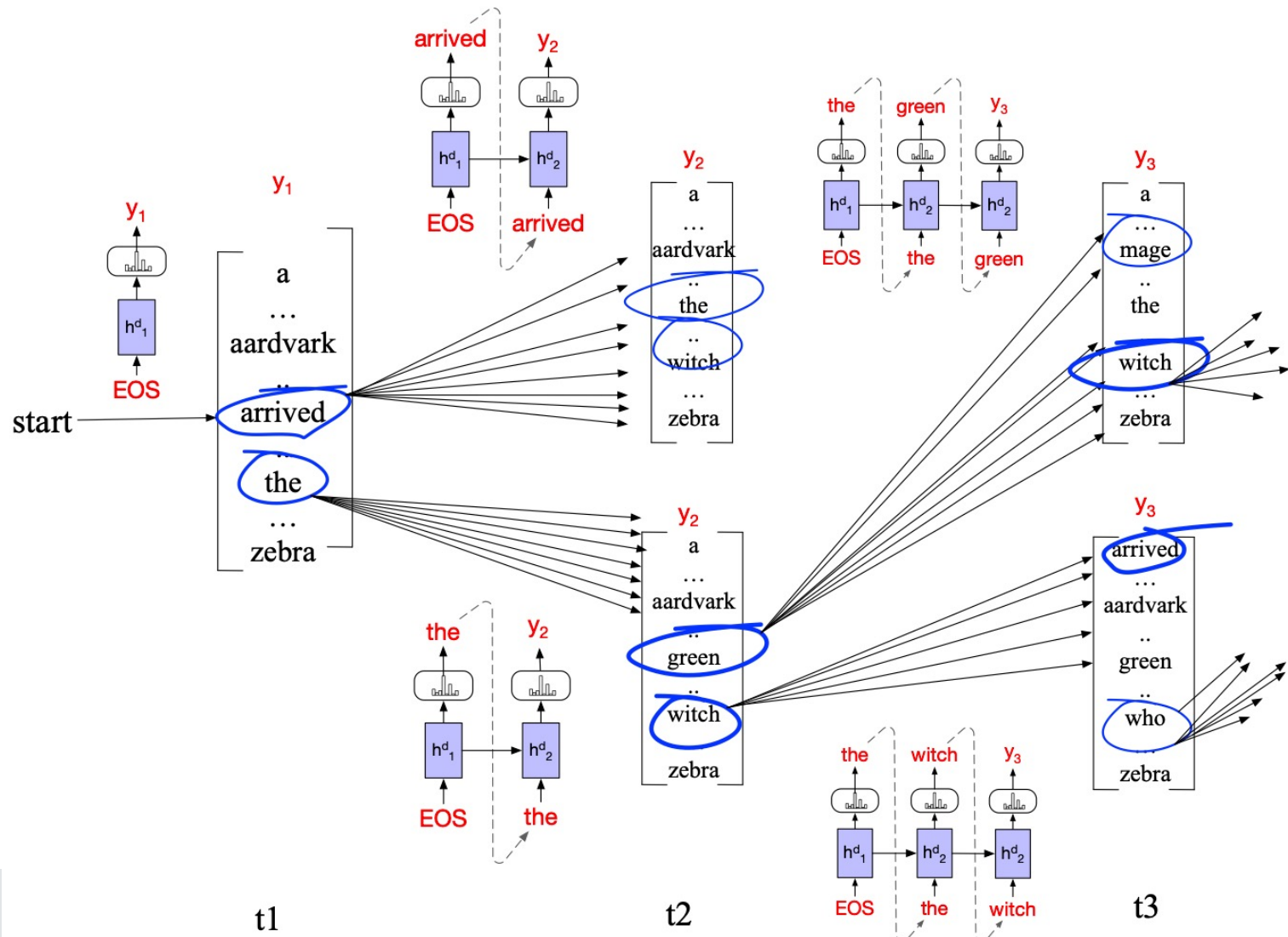


Beam search

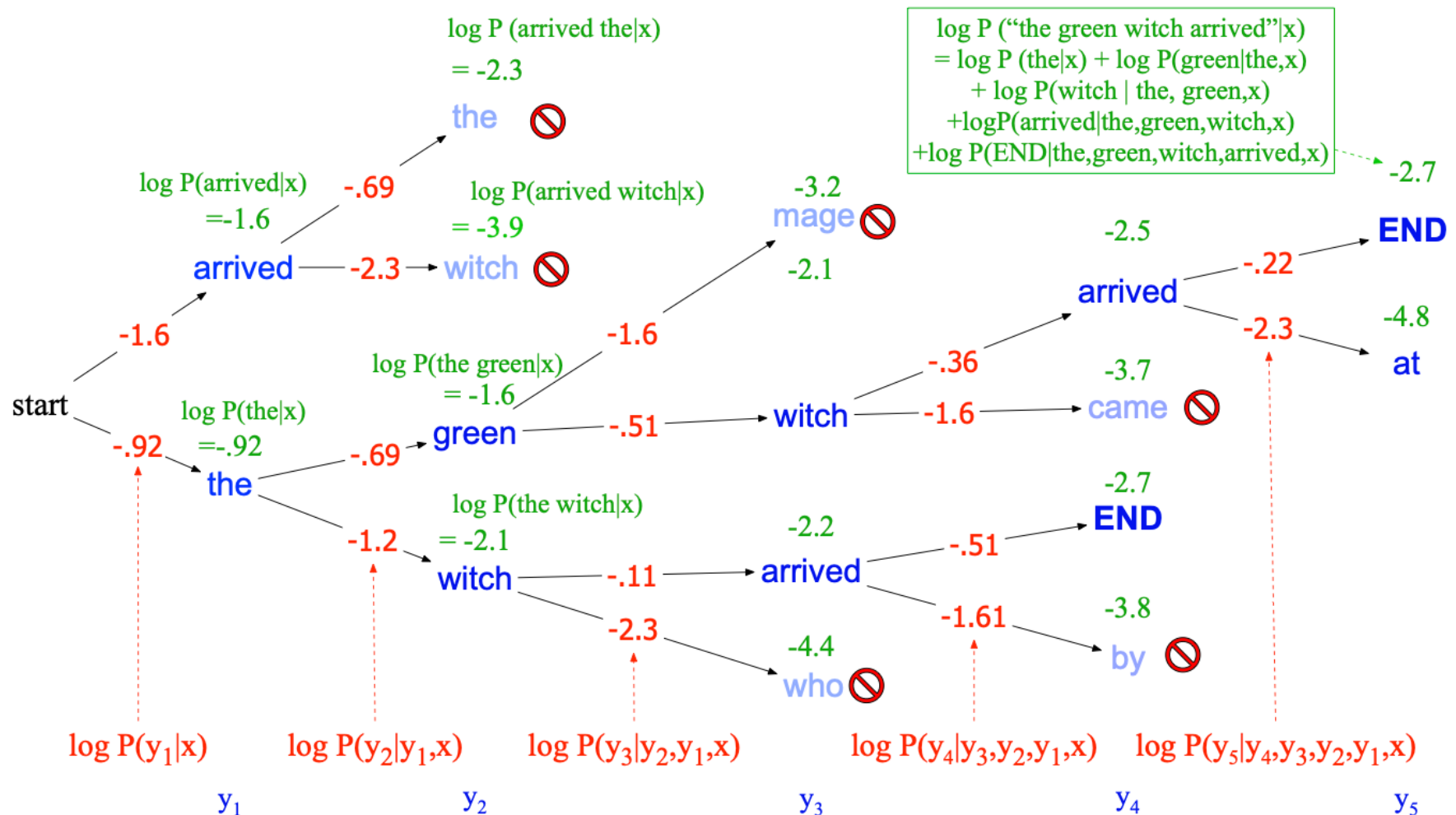
- A search tree for generating the target string $T = t_1, t_2, \dots$ from the vocabulary $V = \{\text{yes}, \text{ok}, \langle s \rangle\}$



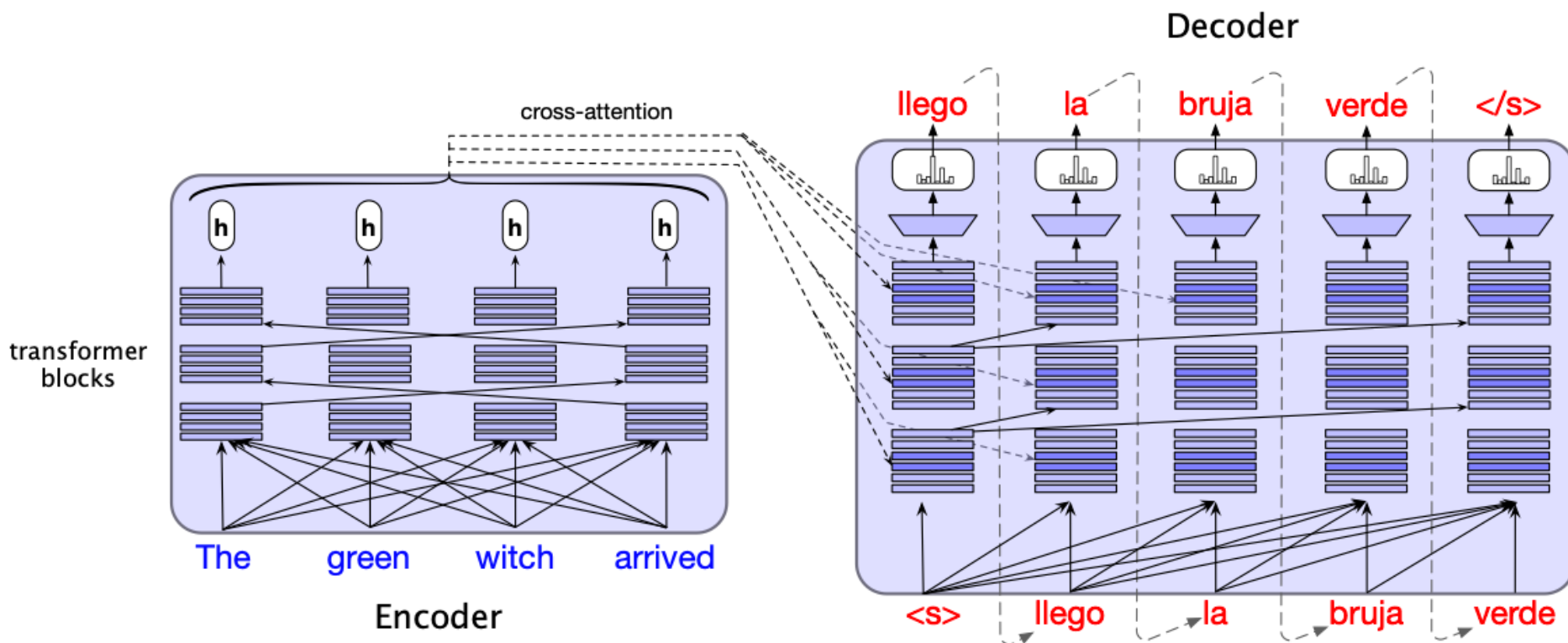
Beam search with a beam width of $k = 2$



Scoring for beam search with a beam width of $k = 2$

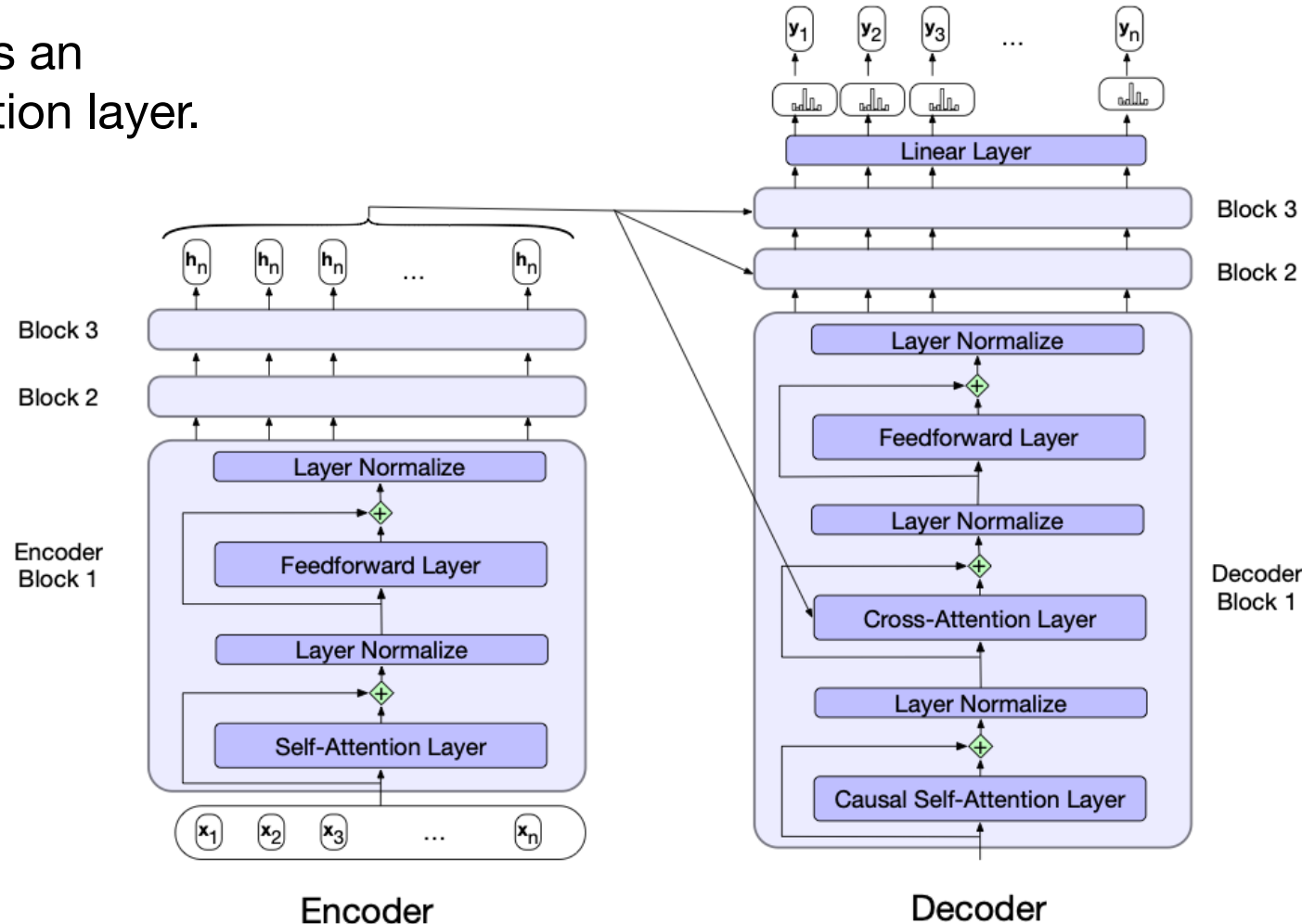


Encoder-Decoder with Transformers



Transformer block for the encoder and decoder

- Each decoder has an extra cross-attention layer.



Rule-based vs. Statistical vs. Neural Machine Translation

Rule-based MT:

- Hand-written transfer rules
- Rules can be based on lexical or structural transfer
- Pro: firm grip on complex translation phenomena
- Con: Often very labor-intensive, lack of robustness

Statistical MT:

- Mainly word or phrase-based translations
- Translation are learned from actual data
- Pro: Translations are learned automatically
- Con: Difficult to model complex translation phenomena

Neural MT: the most recent paradigm (the state-of-the-art as of now).

- End-to-End training: all parameters are simultaneously optimized
- Distributed dense-vector representations: exploits word similarities
- ‘Infinite’ context: neural models better make use of long-range contexts