# **NEURAL MACHINE TRANSLATION (PART 1)**

David Talbot 23rd April, 2017

Computer Science Club, St. Petersburg, Russia

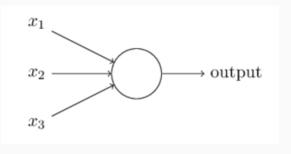
## this morning's topics

- · Neural Networks (NN)
- · Convolutional NN for NLP
- · Recurrent Neural Networks and extensions (LSTM, GRU)
- · First NMT: Recurrent Continuous Translation Model
- · Encoder-Decoders (sequence-to-sequence) models for MT

### perceptrons (mccullouch & pitts, 1943)

Given input 
$$x = (x_1, x_2, \dots, x_m)$$
 where  $x_i \in \{0, 1\}$ 

$$f(x) = \begin{cases} 1 & \text{if} \quad w \cdot x + b > 0 \\ 0 & \text{otherwise} \end{cases}$$



### learning

Given training data

$$D = \{(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)\}\$$

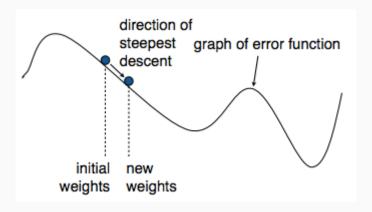
Measure the error on D using a cost-function, e.g.

$$C(w) = \frac{1}{2} \sum_{i=1}^{n} (y_i - f(x_i))^2$$

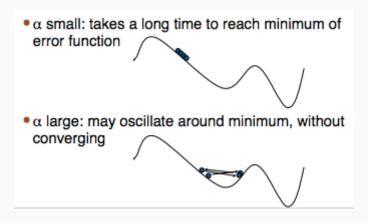
Minimize the error by updating w such that

$$W \leftarrow W - \alpha \nabla C(W)$$

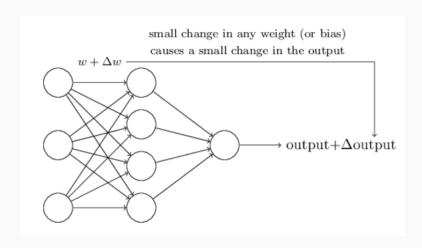
### gradient descent



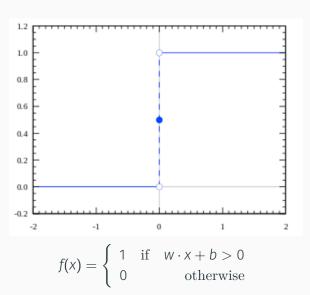
### learning rate



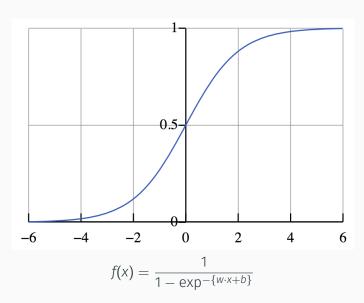
#### continuous

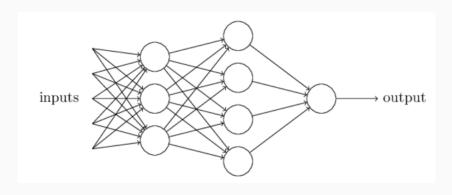


### perceptron activation function

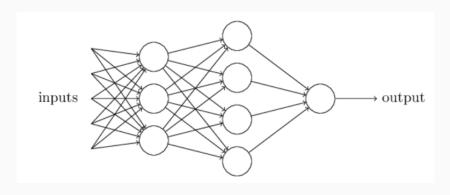


# sigmoid

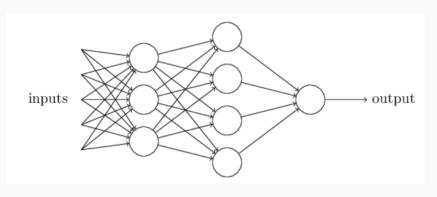




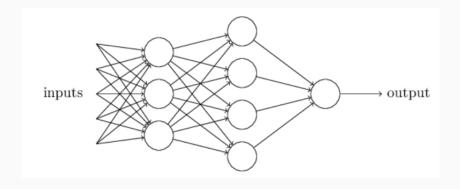
$$g(x) = f^{3}(\sum_{k=1}^{4} W_{1,k}^{3} o_{k} + b)$$



$$g(x) = f^{3}(\sum_{k=1}^{4} W_{1,k}^{3} f^{2}(\sum_{j=1}^{3} W_{k,j}^{2} o_{j} + b_{k}) + b)$$

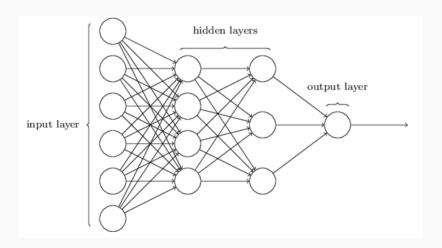


$$g(x) = f^{3}(\sum_{k=1}^{4} W_{1,k}^{3} f^{2}(\sum_{j=1}^{3} W_{k,j}^{2} f^{1}(\sum_{i=1}^{5} (W_{j,i}^{1} x_{i} + b_{j})) + b_{k}) + b)$$



What does this buy us if activations  $f^i(\cdot)$  are linear?

## deep network



### stochastic gradient descent: cost functions

· Compute gradient on 'mini-batches' of the training data

$$\nabla C = \frac{\sum_{i=1}^{n} \nabla C_{X_i}}{n} \approx \frac{\sum_{j=1}^{m} \nabla C_{X_j}}{m}$$

· What assumptions do we need on our cost functions?

## stochastic gradient descent: cost functions

- · Loss expressed as a function of the output layer
- · Loss expressed as an average over data points

$$C_{mse} \equiv \frac{1}{2n} \sum_{i=1}^{n} \|y(x_i) - \hat{y}(x_i)\|^2$$

or

$$C_{cross\_entropy} \equiv -\frac{1}{n} \sum_{i=1}^{n} \sum_{y'} \Pr(y(x_i) = y') \log \Pr(\hat{y}(x_i) = y')$$

where y(x) and  $\hat{y}(x)$  are the true and predicted labels.

### backpropagation

Compute derivatives for all parameters:

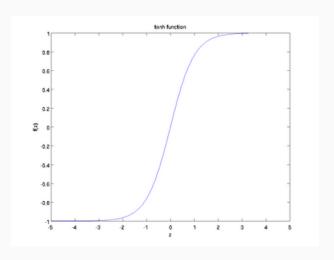
$$\frac{\partial C}{\partial w_{jk}^l}, \frac{\partial C}{\partial b_j^l} \quad \forall j, k, l$$

so that we can update the model to reduce the cost.

Recursion based on chain-rule: if f and g are both differentiable and h(x) = f(g(x)) then

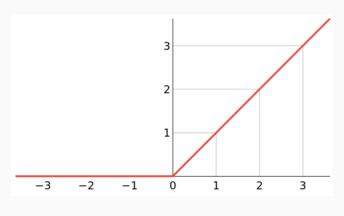
$$h'(x) = f'(g(x)) \cdot g'(x).$$

## hyperbolic tangent



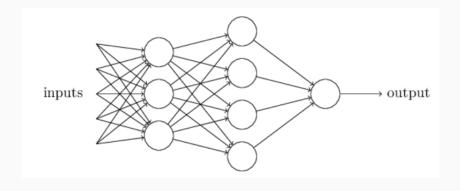
$$f(x) = \tanh(wx + b)$$

### rectified linear unit (relu)



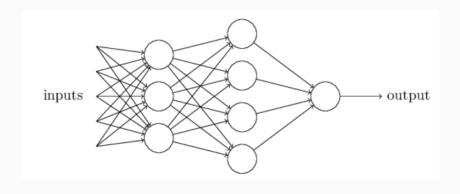
f(x) = max(0, wx + b)

#### hidden units

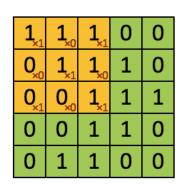


A neural network with one hidden layer can approximate an arbitrary functions (with enough hidden units)

## fully connected networks



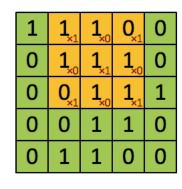
How about the inductive bias?



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**Image** 

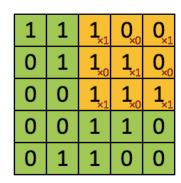
Convolved Feature



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**Image** 

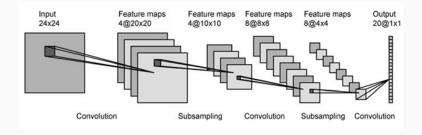
Convolved Feature



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**Image** 

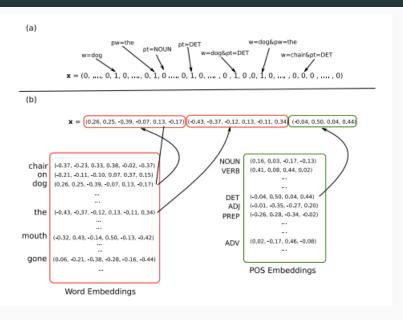
Convolved Feature



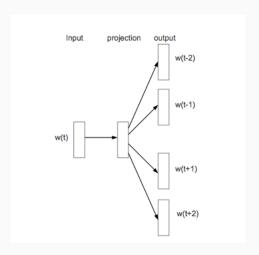
### word embeddings: sparse vs dense representations

- · Sparse: Each feature one dimension (binary value), each combination has its own dimension
- Dense: Each feature has a vector, no explicit encoding of feature combinations

### word embeddings: sparse vs dense representations

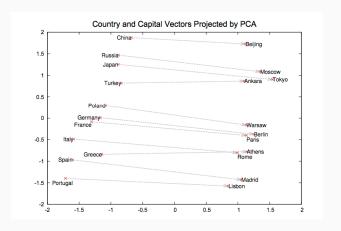


## word embeddings

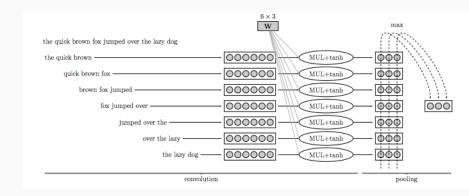


Skip gram model: predict word in random position close to  $w_t$ 

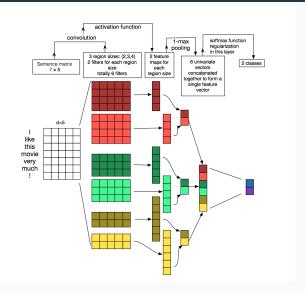
### word embeddings



Magic of word embeddings? (More later)

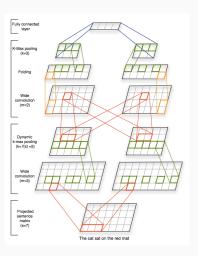


(Source: Goldberg, 2015)



Source: Zhang, Y., & Wallace, B. (2015)

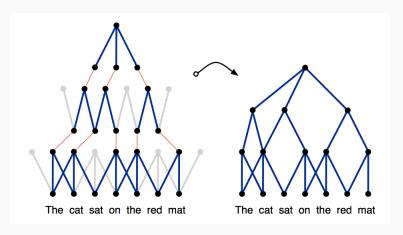
#### convolutional sentence model



Source: Kalchbrenner et al. (2015)

#### convolutional sentence model

Encoder in first NMT approach (Kalchbrenner & Blunsom 2013)



Source: Kalchbrenner et al. (2015)

### neural probabilistic language model (bengio et al. 2003)

Given training sequences of words  $w_1, \ldots, w_T$  where  $w_t \in V$ , we want to learn a function

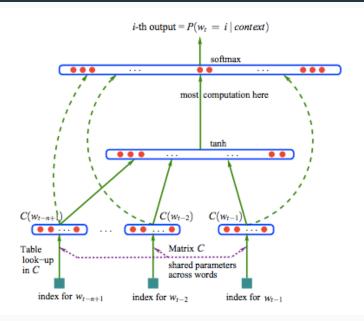
$$f(w_t, \dots, w_{t-n+1}) = \Pr(w_t | w_1^{t-1})$$

Bengio et al., 2003 decomposes  $f(\cdot)$  into

- 1. A mapping C from any element i of V to a real vector  $C(i) \in \mathbb{R}^m$  (a  $|V| \times m$  matrix)
- 2. A function (neural network) that assigns a probability  $P(w_t = i|w_1^{t-1})$  as

$$f(i, w_{t1}, \ldots, w_{tn+1}) = g(i, C(w_{t1}), \ldots, C(w_{tn+1}))$$

### neural probabilistic language model (bengio et al. 2003)



### neural probabilistic language model (bengio et al. 2003)

The output softmax layer is most computational

$$\Pr(w_t|w_1,\ldots,w_{t-1}) = \frac{e^{y_{w_t}}}{\sum_{i\in V} e^{y_i}}$$

where

$$y = b + Wx + U \tanh(d + Hx)$$

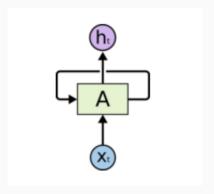
and

$$X = (C(W_{t-1}), \dots, C(W_{t-n+1}))$$

- · W connects inputs to output directly (may be zero)
- · U connects hidden layer to output ( $|V| \times h$  matrix)
- · H connects inputs to hidden layer  $(h \times (n-1)m \text{ matrix})$
- · b are input biases, d are hidden layer biases

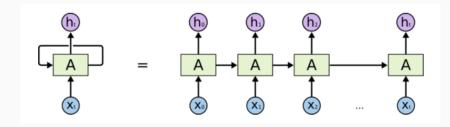
### neural probabilistic language model (bengio et al. 2003)

- Number of parameters scales linearly with the vocabulary (unlike n-gram models)
- · Embedding matrix C is shared among all inputs  $x_1, \ldots, x_t$
- · Main bottleneck is due to computation of softmax



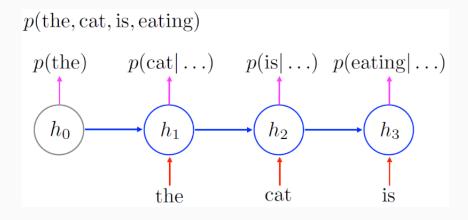
State  $\boldsymbol{A}_t$  updated from current input  $\boldsymbol{x}_t$  and previous state  $\boldsymbol{A}_{t-1}$ 

$$A_t = \tanh(U\mathbf{x}_t + W\mathbf{A}_{t-1} + \mathbf{b}) \ \forall t \ge 1.$$



Parameters shared across time steps

## recurrent neural network language models (mikolov 2010)



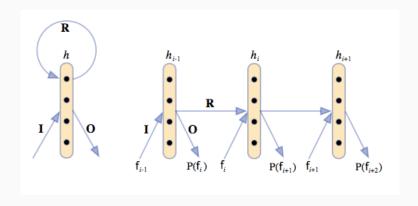
#### recurrent continuous translation models

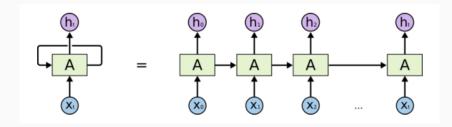
#### Kalchbrenner & Blunsom, (2013)

- · First end-to-end NMT system
- · Lower perplexity (average likelihood) than IBM models
- · Encode source sentence with convolutional network
- RNN decoder generates target sentence conditioned on source sentence encoded in a ConvNN

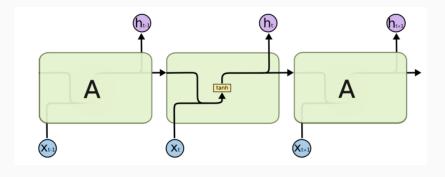
$$Pr(f|e) = \prod_{i=1}^{J} = Pr(f_{i}|f_{1:j-1},e).$$

### recurrent continuous translation models

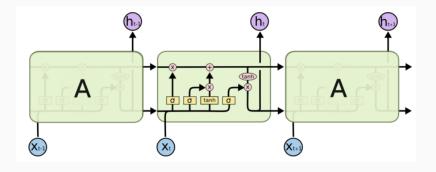




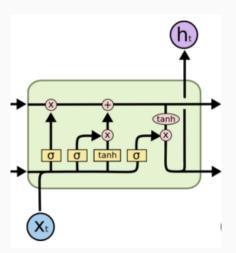
Why might backpropagation fail on such a 'deep' network?



# long short term memory units (hochreiter & schmidhuber, 1997)

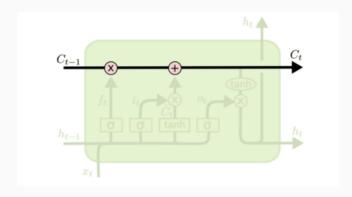


Source: http://colah.github.io/posts/2015-08-Understanding-LSTMs



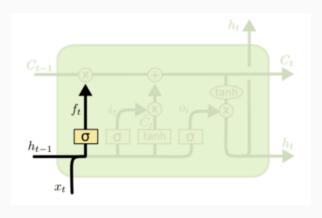
#### lstm: cell state

Separate cell  $C_t$  at each time frame to propagate information



## lstm: forget gate activation

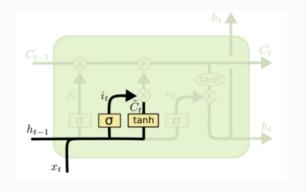
Controls how much each dimension of  $C_{t-1}$  is propagated to  $C_t$ 



$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f)$$

#### lstm: new candidate cell state

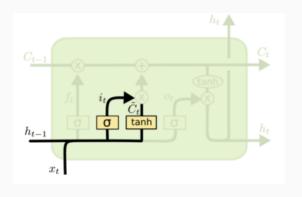
# Constructs a preliminary cell state $\tilde{C}_t$



$$\tilde{C}_t = tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

## lstm: input gate activation

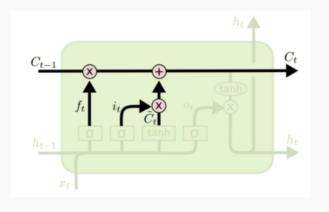
Determines how much each dimension should be updated



$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i)$$

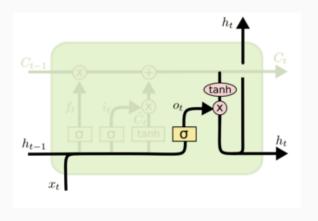
### lstm: updating the cell state

Forgetting some of  $C_{t-1}$  and overwriting with some of  $\tilde{C}_t$ 

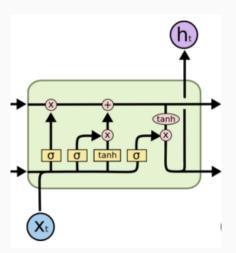


$$C_t = f_t \odot C_{t-1} + i_t \odot \tilde{C}_t$$

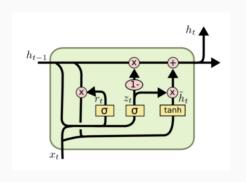
## lstm: output gate



$$o_t = \sigma(W_o[h_{t-1}, x_t] + b_o)$$
$$h_t = o_t \odot tanh(C_t)$$



## gated recursive units (cho et al. 2014)



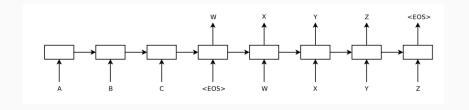
$$z_{t} = \sigma(W_{z} \cdot [h_{t-1}, x_{t}])$$

$$r_{t} = \sigma(W_{r} \cdot [h_{t-1}, x_{t}])$$

$$\tilde{h}_{t} = tanh(W \cdot [r_{t} \odot h_{t-1}, x_{t}])$$

$$h_{t} = (1 - z_{t}) \odot h_{t-1} + z_{t} \odot \tilde{h}t$$

## sequence to sequence learning (sutskever et al. 2014)



Deep LSTM encoder-decoder

### sequence to sequence learning (sutskever et al. 2014)

- Encode source sentence with deep LSTM
- · Generate target words from decoder LSTM after <EOS>
- · Bootstrap training by reversing the source sentence (why?)

### challenges for vanilla nmt

- · Performance on long sentences is poor (why?)
- · Open vocabulary translation is computationally hard
- · Open vocabulary translation requires lots of data
- · How can we make use of monolingual training data?

#### references

- · Michael Nielson, http://neuralnetworksanddeeplearning.com
- · C. Olah, http://colah.github.io/posts/2015-08-Understanding-LSTMs