# Transformers for Climate and Earth System Modeling

Aya Lahlou Columbia University June 4th, 2025

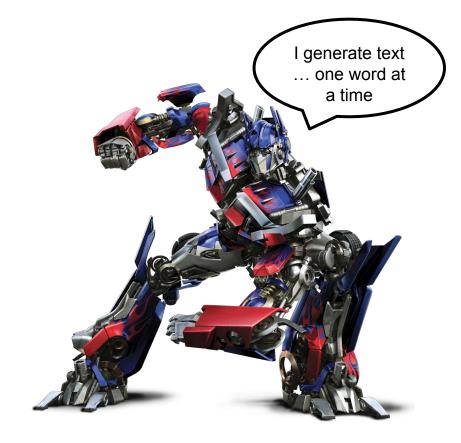


### **Overview**

- What transformers are
- Why they are important in Machine learning
- Potential Applications in Climate Modeling
- Key takeaways



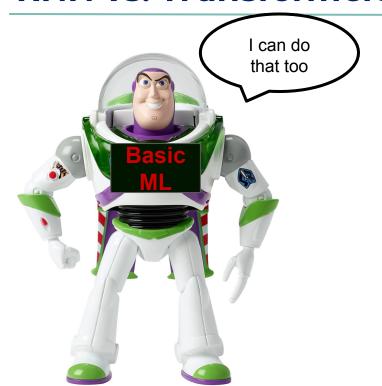




## Transformers

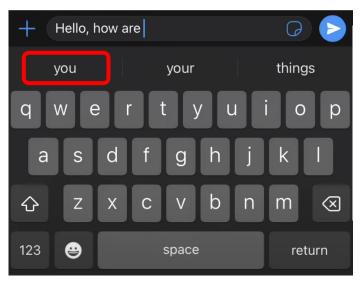


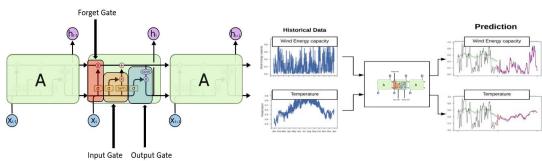








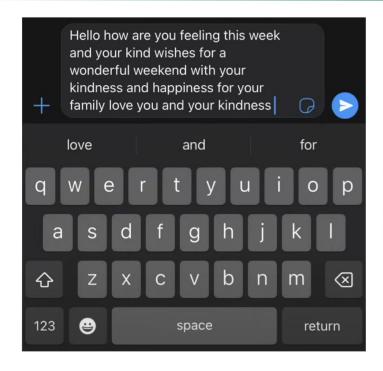


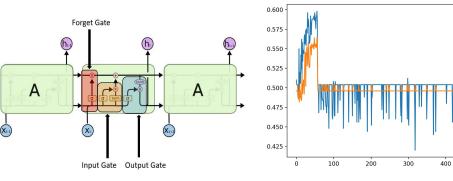


RNN: Remember the previous information









Exploding/Vanishing Gradient

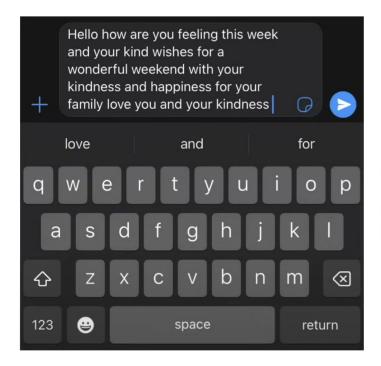
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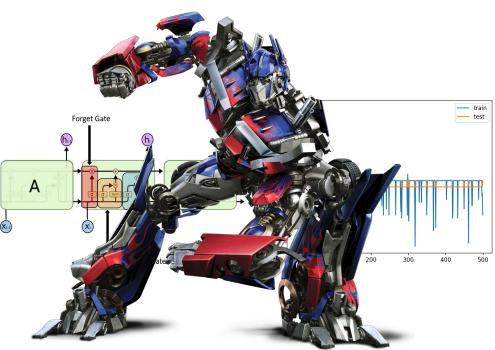
Sequential processing

RNN: Fail to capture long-term dependencies





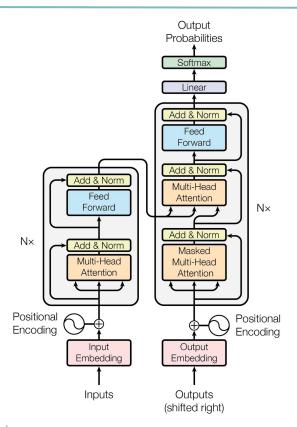


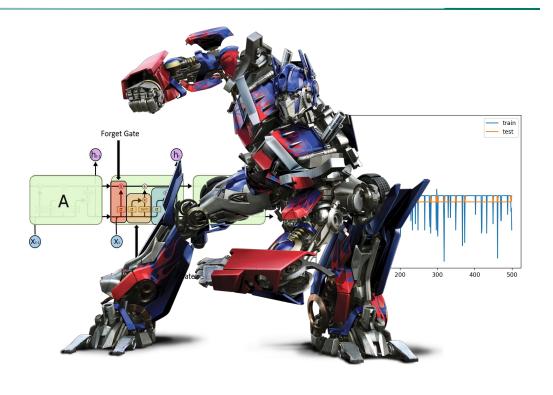


RNN: Fail to capture long-term dependencies



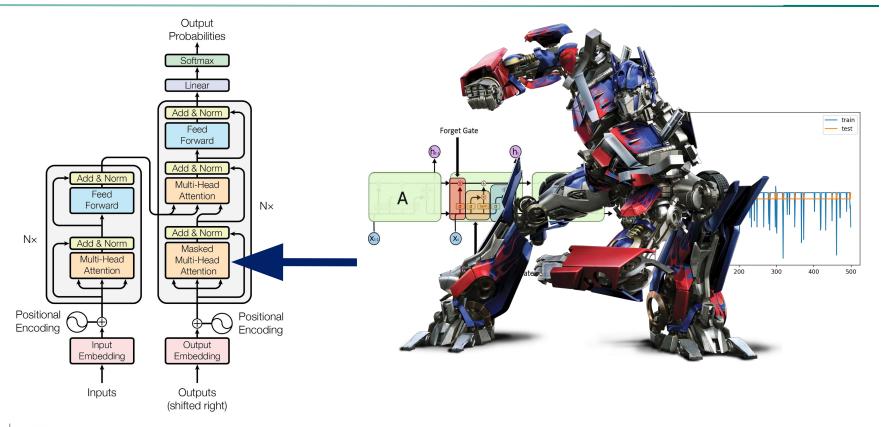








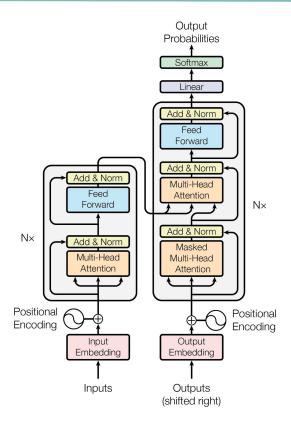








#### **Transformer Architecture**





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#### Abstract

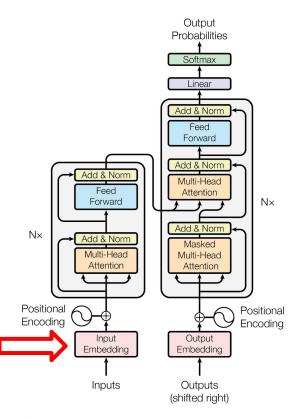
The dominant sequence transduction models are based on complex recurrent or convolutional neural networks that include an encoder and a decoder. The best performing models also connect the encoder and decoder through an attention mechanism. We propose a new simple network architecture, the Transformer, based solely on attention mechanisms, dispensing with recurrence and convolutions entirely. Experiments on two machine translation tasks show these models to be superior in quality while being more parallelizable and requiring significantly less time to train. Our model achieves 28.4 BLEU on the WMT 2014 Englishto-German translation task, improving over the existing best results, including ensembles, by over 2 BLEU. On the WMT 2014 English-to-French translation task, our model establishes a new single-model state-of-the-art BLEU score of 41.8 after training for 3.5 days on eight GPUs, a small fraction of the training costs of the best models from the literature. We show that the Transformer generalizes well to other tasks by applying it successfully to English constituency parsing both with large and limited training data.

<sup>\*</sup>Equal contribution, Listing order is random, Jakob proposed replacing RNNs with self-attention and started the effort to evaluate this idea. Ashish, with Illia, designed and implemented the first Transformer models and has been crucially involved in every aspect of this work. Noam proposed scaled dot-product attention, multi-head attention and the parameter-free position representation and became the other person involved in nearly every detail. Niki designed, implemented, tuned and evaluated countless model variants in our original codebase and tensor2tensor. Llion also experimented with novel model variants, was responsible for our initial codebase, and efficient inference and visualizations. Lukasz and Aidan spent countless long days designing various parts of and implementing tensor2tensor, replacing our earlier codebase, greatly improving results and massively accelerating





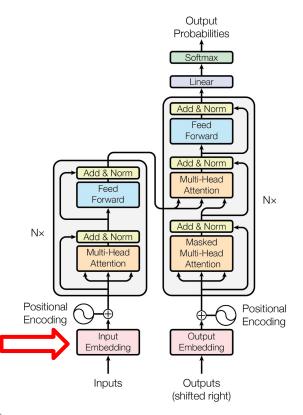


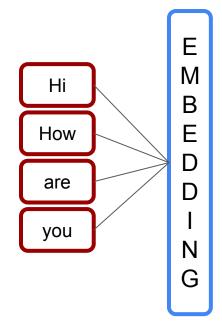






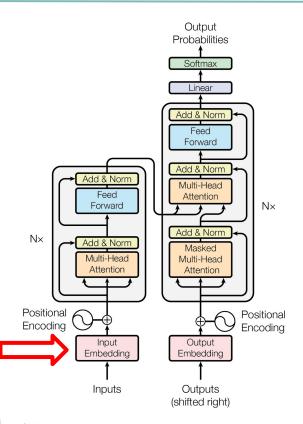


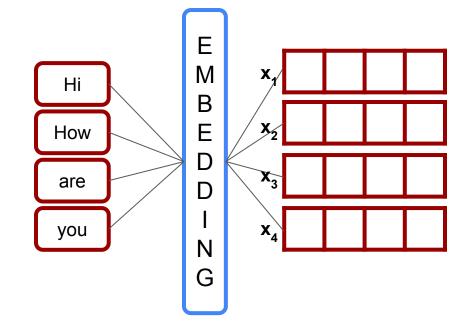






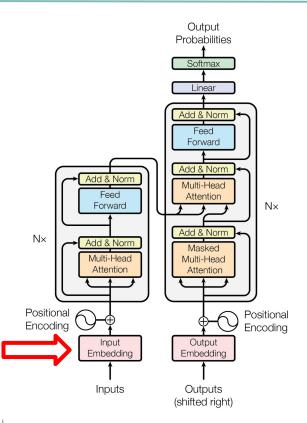


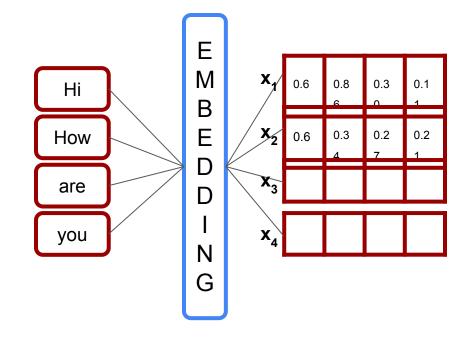








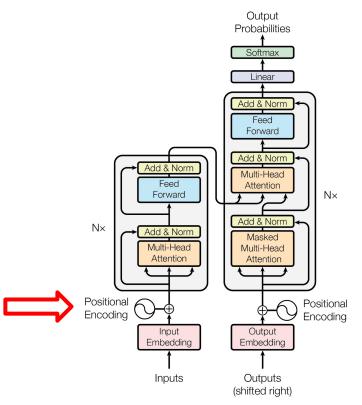








### **Transformer Architecture: Positional Encoding**



Binary encoding, not discrete but continuous: exploit sines and cosines of various frequencies

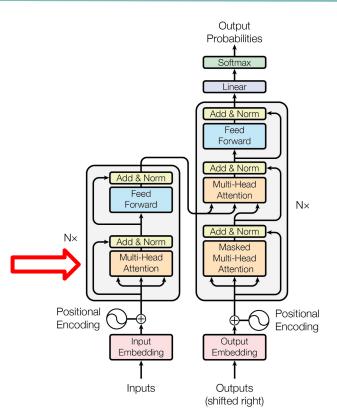
```
PE(pos, 2i) = sin(pos / 10000^(2i / d_model))

PE(pos, 2i+1) = cos(pos / 10000^(2i / d_model))
```

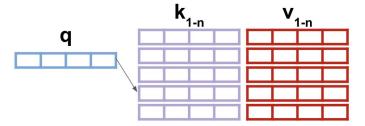
- pos is the position of the element in the sequence.
- i refers to the dimension within the positional encoding vector.
- d\_model is the dimension of the input embeddings.







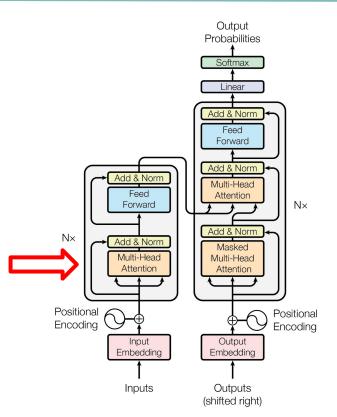
#### Query, Key and Value

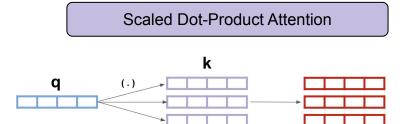


- Retrieval systems use Query, Key, and Value
- Compatibility of query with keys
- Manipulating values → reweighting the values based on the compatibility of q and k



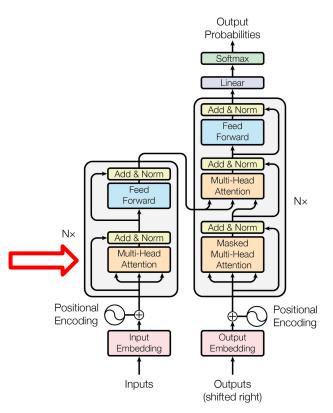


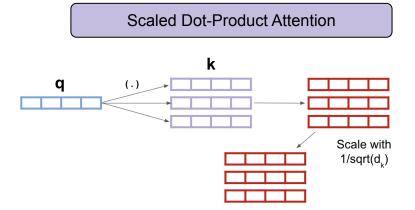






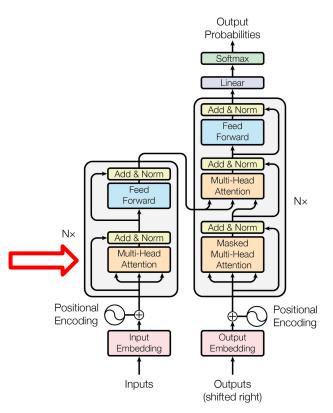


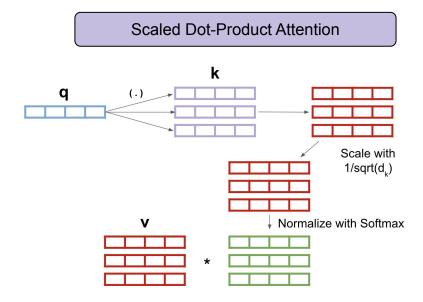






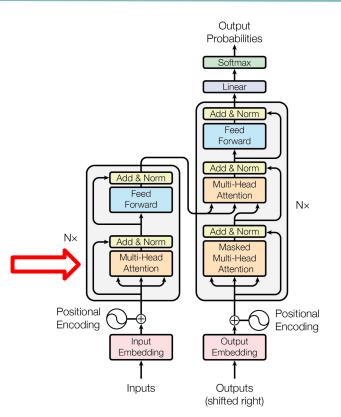


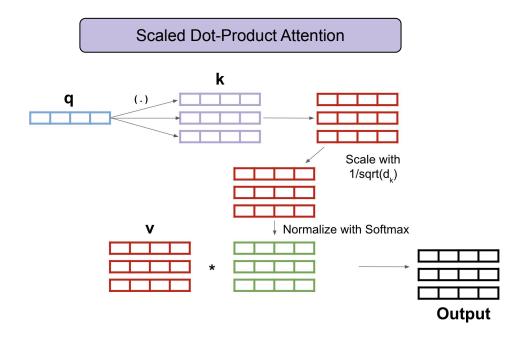






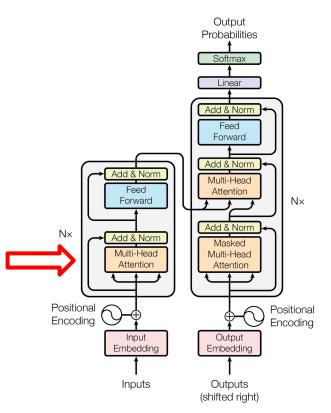




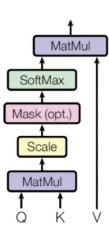








#### Scaled Dot-Product Attention

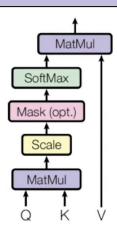


$$\operatorname{Attention}(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

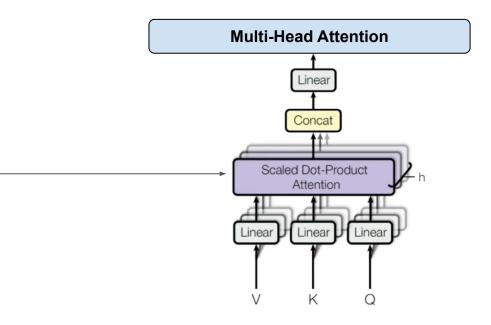




#### Scaled Dot-Product Attention



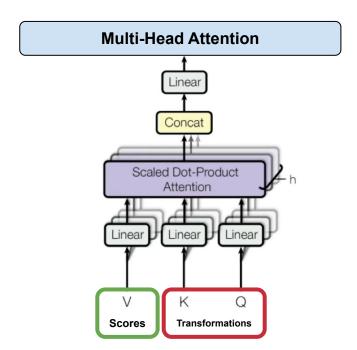
$$\operatorname{Attention}(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$



$$\begin{aligned} \text{MultiHead}(Q, K, V) &= \text{Concat}(\text{head}_1, ..., \text{head}_{\text{h}}) W^O \\ \text{where head}_{\text{i}} &= \text{Attention}(QW_i^Q, KW_i^K, VW_i^V) \end{aligned}$$

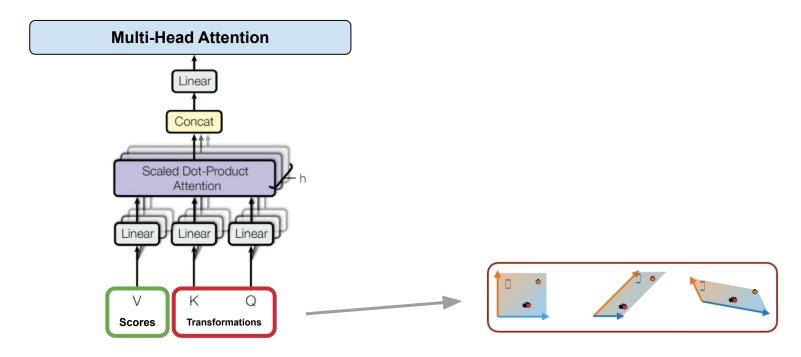








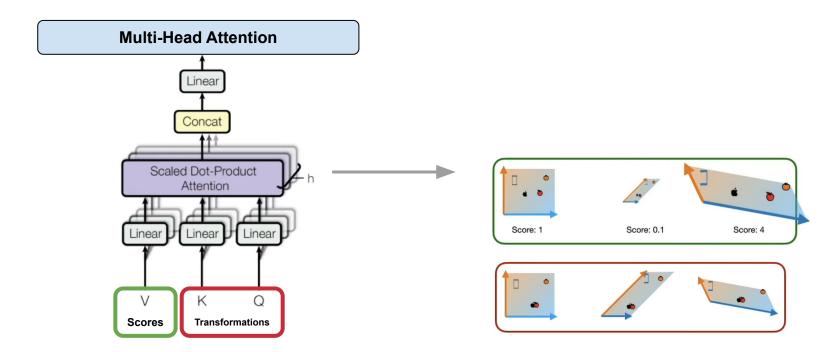








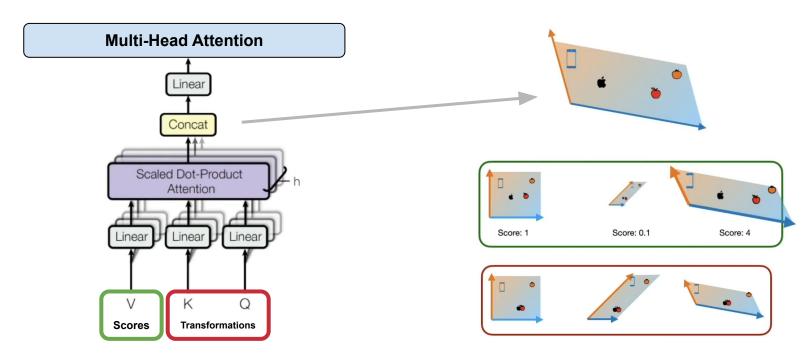














please buy an apple and an orange apple unveiled the new phone





#### **Evolution of Transformers**

#### Attention Is All You Need

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The dominant sequence transduction models are based on complex recurrent or convolutional neural networks that include an encoder and a decoder. The best performing models also connect the encoder and decoder through an attention mechanism. We propose a new simple network architecture, the Transformer, based solely on attention mechanisms, dispensing with recurrence and convolutions entirely. Experiments on two machine translation tasks show these models to be superior in quality while being more parallelizable and requiring significantly less time to train. Our model achieves 28.4 BLEU on the WMT 2014 English-to-German translation task, improving over the existing best results, including ensembles, by over 2 BLEU. On the WMT 2014 English-Derench translation task, our model establishes a new single-model state-of-the-art BLEU score of 41.8 after training for 3.5 days on eight GPUs, a small fraction of the training costs of the best models from the literature. We show that the Transformer generalizes well to other tasks by applying it successfully to English constituency parsing both with large and limited training data.

**Transformers** 

BERT TFT

**GPT** Performer

T5 RoBERTa

**Electra** 

Natural Language Processing





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**Image Processing** 

Time Series Prediction

**Transformers** 

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**Natural Language Processing** 





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#### **Evolution of Transformers**

# **Encoder Only Transformers**

# **Decoder Only Transformers**

**Encoder-Decoder Transformers** 

Generating an output sequence is not required

- Classification tasks
- Anomaly Detection

Generate sequences based on input/prompt

- Summarization
- Generation

- Time series forecasting
- Translation/transformation



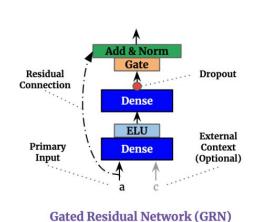


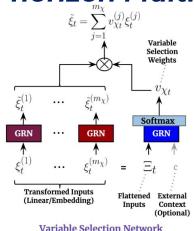
- Informer
- Temporal fusion transformer
- AFNO Transformer
- Earthformer
- Fourcastnet
- Climformer
- ClimaX

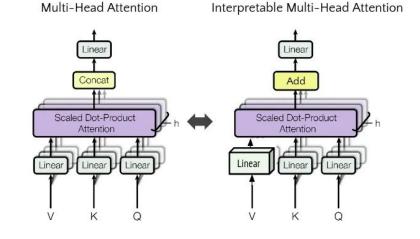




# Temporal fusion transformer Interpretable Multi-horizon Multivariate forecasting







- Skip unnecessary layers
- Improve generalization

- explicitly learn global importance weights of input features
- Values are shared across all attention heads, this allows to easily trace back most relevant values.





# Temporal fusion transformer Interpretable Multi-horizon Multivariate forecasting

1. Easily trace back most relevant past time-steps to predict each forecast.

2. Identify significant changes in temporal patterns.

Scaled Dot-Product
Attention

Linear

Interpretable Multi-Head Attention

Multi-Head Attention

Outperforming SHAP, LIME, and other post-hoc explainability methods

in considering the time ordering of input features.





# **Earthformer -** Exploring Space-Time Transformers for Earth System Forecasting

- Hierarchical Encoder-Decoder Architecture
- Cuboid Attention

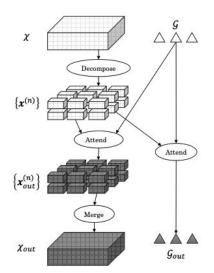


Figure 3: Illustration of the cuboid attention layer with global vectors.





# **Earthformer -** Exploring Space-Time Transformers for Earth System Forecasting

## **ClimFormer -** A Spherical Transformer Model for Long-term Climate Projections

- Cloud climate feedback
- Adapted from AFNO (Adaptive Fourier Neural Operators) transformer to lean on a spherical grid

- Make climate projections under intervention scenarios.
- Fast high-resolution simulations



**Earthformer -** Exploring Space-Time Transformers for Earth System Forecasting

**ClimFormer -** A Spherical Transformer Model for Long-term Climate Projections

**Fourcastnet -** A global data-driven high-resolution weather model using adaptive fourier neural operators.

ClimaX - A foundation model for weather and climate

More applications in Turbulence, Land Models, Downscaling, Data Assimilation, etc.





### How to get started

#### **Transformers library on Hugging Face**

- Dozens of architectures with over 2,000 pretrained models
- Online Demos and Example Notebooks
- Supports TF2.0 and Pytorch
- LLM inference optimization
- Many Attention Mechanism Variations for increased efficiency
  - LSH attention (Reformer)
  - Local Attention (Longformer)
  - Axial Attention (Multidimensional tasks)

MODELS

**TEXT MODELS** 

VISION MODELS

**AUDIO MODELS** 

VIDEO MODELS

MULTIMODAL MODELS

REINFORCEMENT LEARNING MODELS

TIME SERIES MODELS

Autoformer

Informer

PatchTSMixer

PatchTST

Time Series Transformer

**GRAPH MODELS** 



v3.3.1 ▼

\* transformers

Star 145,174

Search docs

**GET STARTED** 

Quick tour

Installation

Philosophy

Glossary

USING ( TRANSFORMERS

Summary of the tasks

Summary of the models

Preprocessing data

Training and fine-tuning

Model sharing and uploading

Tokenizer summary

Multi-lingual models





## Key Takeaways

- Transformers excel at handling complex spatiotemporal data, making them well-suited for climate and Earth system modeling.
- Self-attention mechanisms enable transformers to capture long-range dependencies, crucial for predicting large-scale climate processes.
- Transformers offer significant advantages over traditional machine learning models, such as better scalability, parallelism, and accuracy in modeling multiscale phenomena.
- Applications in climate science include improved forecasting, downscaling, interpretability and data assimilation
- Researchers can leverage existing transformer architectures and tools, such as Hugging Face or PyTorch, to experiment with these models in their work.



