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Linear Transformations

1 Linear Transformation

Definition 1. Let V and W be vector spaces over the same field \mathbb{F} . A function $T : V \rightarrow W$ is called a linear transformation if

1. $T(u + v) = T(u) + T(v) \quad \forall u, v \in V;$
2. $T(\alpha v) = \alpha T(v) \quad \forall v \in V, \alpha \in \mathbb{F}.$

Equivalently, T is a linear transformation if $T(\alpha u + \beta v) = \alpha T(u) + \beta T(v)$, for all $u, v \in V$ and $\alpha, \beta \in \mathbb{F}$.

Proposition 1. $T(0_v) = 0_w$, where 0_v & 0_w are the zero vectors in V & W , respectively.

Proof.

$$\begin{aligned} 0_v &= 0_v + 0_v \\ \implies T(0_v) &= T(0_v + 0_v) = T(0_v) + T(0_v) \end{aligned}$$

adding the additive inverse $-T(0_v)$, we get

$$\begin{aligned} 0_w &= (T(0_v) + T(0_v)) + (-T(0_v)) \\ &= T(0_v) + (T(0_v) + (-T(0_v))) \\ &= T(0_v) + 0_w \\ &= T(0_v). \end{aligned}$$

□

Remark 1. If $T(0) \neq 0$, then T is not a linear transformation.

Example 1. Is $T : \mathbb{R} \rightarrow \mathbb{R}$ given by $T(x) = x + 1$ a linear transformation?

Solution Since $T(0) = 1 \neq 0$, T is not a linear transformation. ■

Example 2. 1. (Zero transformation) $T : V \rightarrow W$ defined as $T(v) = 0 \quad \forall v \in V$ is a linear transformation.

2. (Identity transformation) $T : V \rightarrow V$ defined as $T(v) = v \quad \forall v \in V$ is a linear transformation.

Example 3. Is $T(x) = x^2$ a linear transformation from \mathbb{R} to \mathbb{R} ?

Solution Since $T(2x) = 4x^2 \neq 2T(x)$ if $x \neq 0$, T is not a linear transformation. ■

Example 4. $T : \mathbb{R} \rightarrow \mathbb{R}$ given by $T(x) = \alpha x$, where $\alpha \in \mathbb{R}$ is a linear transformation.

Solution Since $T(c_1x + c_2y) = \alpha(c_1x + c_2y) = c_1(\alpha x) + c_2(\alpha y) = c_1T(x) + c_2T(y)$.

$\therefore T$ is a linear transformation. ■

Exercise 1. Show that any linear transformation $T : \mathbb{R} \rightarrow \mathbb{R}$ is of the form $T(x) = \alpha x$ for some $\alpha \in \mathbb{R}$.

Solution $T(x) = T(x \cdot 1) = xT(1)$ (because T is linear), let $\alpha = T(1)$, then

$$T(x) = \alpha x \quad \forall x \in \mathbb{R}. \quad \blacksquare$$

Exercise 2. Write all the linear transformations $T : \mathbb{R}^2 \rightarrow \mathbb{R}$.

Solution For $(x, y) \in \mathbb{R}^2$,

$$\begin{aligned} (x, y) &= x(1, 0) + y(0, 1) \\ \therefore T(x, y) &= xT(1, 0) + yT(0, 1) \\ &= \alpha x + \beta y \end{aligned}$$

where, $\alpha = T(1, 0)$ & $\beta = T(0, 1)$. ■

Example 5. Let V be the space of all real polynomials. define $T : V \rightarrow V$ as $T(p(x)) = p(x + 1)$. Since, $T(\alpha p(x) + \beta q(x)) = \alpha p(x + 1) + \beta q(x + 1) = \alpha T(p(x)) + \beta T(q(x))$, T is a linear transformation.

Exercise 3. Let V be the space of all real polynomials. Show that $T : V \rightarrow V$ defined as $T(p(x)) = p'(x)$, is a linear transformation.

Exercise 4. Let V be the vector space of all continuous functions from \mathbb{R} to \mathbb{R} . Show that $T : V \rightarrow V$ defined as $T(f(x)) = \int_0^x f(t)dt$, is a linear transformation.

Let V & W be vector spaces over the same field \mathbb{F} . Let

$$L(V, W) = \{T : V \rightarrow W \mid T \text{ is a linear transformation}\}.$$

Note that if $T_1, T_2 \in L(V, W)$, then $T_1 + T_2 \in L(V, W)$. Also, if $T \in L(V, W)$, then $\alpha T \in L(V, W)$ for any $\alpha \in \mathbb{F}$. That means $L(V, W)$ is a vector space over \mathbb{F} .

2 Null Space and Range Space

Definition 2. (Null Space or Kernel)

Let $T : V \rightarrow W$ be a linear transformation. Then $\text{nullspace}(T)$ or $\text{Ker}(T)$ is $\{v \in V : T(v) = 0\}$.

Since $T(0) = 0$, $0 \in \text{Ker}(T)$.

Proposition 2. $\text{Ker}(T) = \{0\}$ iff T is one-to-one or injective.

Proof. Suppose T is one-to-one and $v \in \text{Ker}(T)$, then $T(v) = 0 = T(0) \implies v = 0$ ($\because T$ is one-to-one), i.e. $\text{Ker}(T) = \{0\}$.

Conversely, suppose $\text{Ker}(T) = \{0\}$. Assume $T(v_1) = T(v_2) \implies T(v_1 - v_2) = T(v_1) - T(v_2) = 0 \implies v_1 - v_2 \in \text{Ker}(T) \implies v_1 - v_2 = 0$, i.e. $v_1 = v_2$. \square

Proposition 3. For any linear transformation $T : V \rightarrow W$, $\text{nullspace}(T)$ is a subspace of V .

Proof. Since $0 \in \text{nullspace}(T) \implies \text{nullspace}(T) \neq \emptyset$. Let $v_1, v_2 \in \text{nullspace}(T)$ and $a_1, a_2 \in \mathbb{F}$. We need to show that $a_1 v_1 + a_2 v_2 \in \text{nullspace}(T)$.

Since $T(a_1 v_1 + a_2 v_2) = a_1 T(v_1) + a_2 T(v_2) = a_1 \cdot 0 + a_2 \cdot 0 = 0 \implies a_1 v_1 + a_2 v_2 \in \text{nullspace}(T)$. $\therefore \text{nullspace}(T)$ is a subspace of T . \square

Definition 3. (Nullity of a linear transformation) The dimension of the null space of T is called the nullity of T .

From proposition 2, T is injective if and only if $\text{nullity}(T) = 0$.

Definition 4. (*Range space of T*) If $T : V \rightarrow W$ is a linear transformation,

$$\text{range}(T) := \{w \in W : w = T(v) \text{ for some } v \in V\}.$$

Note that $\text{range}(T)$ is a subspace of W .

Definition 5. (*Rank of T*) The dimension of the range space of T is called the rank of T .

Note that T is onto (or surjective) iff $\text{range}(T) = W$ iff $\text{rank}(T) = \dim(W)$ if W is finite dimensional.

Theorem 1. (*Rank-nullity Theorem*) Let V be a finite-dimensional vector space and let $T : V \rightarrow W$ be a linear transformation. Then

$$\text{rank}(T) + \text{nullity}(T) = \dim(V).$$

Proof. Let $\text{nullity}(T) = k$ and $\dim(V) = n \geq k$. Let $\beta_1 = \{v_1, v_2, \dots, v_k\}$ be a basis for $\text{nullspace}(T)$. This basis can be extended to a basis for V , say $\beta_2 = \{v_1, \dots, v_k, v_{k+1}, \dots, v_n\}$.

Claim: $\text{rank}(T) = n - k$. To show this we will show that $\beta_3 = \{T(v_{k+1}), \dots, T(v_n)\}$ is a basis for $\text{range}(T)$.

- β_3 is linearly independent.

Let $c_{k+1}T(v_{k+1}) + \dots + c_nT(v_n) = 0$.

$$\begin{aligned} &\implies T(c_{k+1}v_{k+1} + \dots + c_nv_n) = 0 \\ &\implies c_{k+1}v_{k+1} + \dots + c_nv_n \in \text{nullspace}(T) \\ &\implies c_{k+1}v_{k+1} + \dots + c_nv_n = c_1v_1 + \dots + c_kv_k \\ &\implies c_1v_1 + \dots + c_kv_k - c_{k+1}v_{k+1} - \dots - c_nv_n = 0 \\ &\implies c_i = 0 \quad \forall i \quad (\because \{v_1, v_2, \dots, v_n\} \text{ is L.I.}). \end{aligned}$$

Thus, β_3 is linearly independent.

- $\text{span}(\beta_3) = \text{range}(T)$.

Let $w = T(v) \in \text{range}(T)$, then

$$\begin{aligned} v &= a_1v_1 + \dots + a_kv_k + a_{k+1}v_{k+1} + \dots + a_nv_n. \\ \implies T(v) &= a_1T(v_1) + \dots + a_kT(v_k) + a_{k+1}T(v_{k+1}) + \dots + a_nT(v_n) \\ &= a_{k+1}T(v_{k+1}) + \dots + a_nT(v_n) \quad (\because \{v_1, \dots, v_k\} \subseteq \text{nullspace}(T)) \\ &\in \text{span}(\beta_3). \end{aligned}$$

$$\begin{aligned}\therefore \text{rank}(T) &= n - k \\ \implies \text{rank}(T) + \text{nullity}(T) &= \dim(V).\end{aligned}$$

□

Theorem 2. For any $A \in M_{m \times n}(\mathbb{F})$, $\text{rowrank}(A) = \text{columnrank}(A)$.

Proof. Define $T : \mathbb{F}^n \rightarrow \mathbb{F}^m$ by $T(X) = AX$, where $X = (x_1, x_2, \dots, x_n)^t$. Note that T is a linear transformation. Also, $\text{range}(T) = \{T(X) : X \in \mathbb{F}^n\} = \{AX : X \in \mathbb{F}^n\} = \text{columnspace}(A) \implies \text{columnrank}(A) = \text{rank}(T)$. Also, $\text{nullspace}(T) = \{X \in \mathbb{F}^n : T(X) = 0\} = \{X \in \mathbb{F}^n : AX = 0\} = \text{Solution space}(A)$.

$\implies \text{nullity}(T) = \dim(\text{Solution space}(A)) = n - k$, where $k = \text{rowrank}(A)$.

By rank-nullity theorem,

$$\begin{aligned}\text{rank}(T) + \text{nullity}(T) &= \dim(V) \\ \implies \text{Col. rank}(A) + n - \text{rowrank}(A) &= n \\ \implies \text{rowrank}(A) &= \text{columnrank}(A).\end{aligned}$$

□

Exercise 5. Does there exist an onto linear transformation $T : \mathbb{R}^2 \rightarrow \mathbb{R}^3$?

Solution Let $T : \mathbb{R}^2 \rightarrow \mathbb{R}^3$ be a linear transformation, then by rank-nullity theorem:

$$\text{rank}(T) + \text{nullity}(T) = \dim(\mathbb{R}^2) = 2$$

$\implies \text{rank}(T) \leq 2 \implies \dim(\text{range}(T)) \leq 2 < \dim(\mathbb{R}^3)$. Thus, $\text{range}(T)$ is a proper subset of \mathbb{R}^3 , i.e. T is not onto. ■

Proposition 4. 1. There is no onto linear transformation $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$ if $m > n$.

2. There is no one-to-one linear transformation $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$ if $n > m$.

Theorem 3. Let $T : V \rightarrow W$ be a linear transformation and let V & W be finite-dimensional, and $\dim V = \dim W$. Then T is 1-1 iff T is onto.

Proof. We know that T is 1-1 $\iff \text{nullity}(T) = 0 \iff \text{rank}(T) = \dim V = \dim W$ (by the rank-nullity theorem), since W is finite-dimensional, $\text{rank}(T) = \dim W \iff \text{range}(T) = W$, i.e. T is onto. □

In particular, if $T : V \rightarrow V$ is a linear transformation and $\dim V < \infty$, then T is 1–1 iff T is onto.

Remark 2. *The above result is not true if V is infinite-dimensional.*

Example 6. Let V be the vector space of all real sequences. Let $T : V \rightarrow V$ be given by $T(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$. Note that T is 1–1 but not onto. And $S : V \rightarrow V$ defined by $S(x_1, x_2, \dots) = (x_2, x_3, \dots)$ is onto but not 1–1.

Example 7. Let $T : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ be given by $T(x, y, z) = (x + y - z, x - y + z, y - z)$. Find a basis for the $N(T)$ -null space of T and for the $R(T)$ -range(T).

Solution

$$\begin{aligned} N(T) &= \{(x, y, z) \in \mathbb{R}^3 : T(x, y, z) = (0, 0, 0)\} \\ &= \{(x, y, z) \in \mathbb{R}^3 : x + y - z = 0, x - y + z = 0 \text{ & } y - z = 0\} \\ &= \text{Solution space of the system of linear equations } AX = 0, \end{aligned}$$

where $A = \begin{pmatrix} 1 & 1 & -1 \\ 1 & -1 & 1 \\ 0 & 1 & -1 \end{pmatrix}$. By performing elementary row operations on A , we get the row reduced echelon form of A as $\tilde{A} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{pmatrix}$. So, we get $x = 0$ and $y = z$.

$$\therefore N(T) = \{(0, \lambda, \lambda) : \lambda \in \mathbb{R}\}.$$

$\therefore \beta = \{(0, 1, 1)\}$ is a basis for $N(T)$.

Now,

$$R(T) = \{T(x, y, z) : (x, y, z) \in \mathbb{R}^3\}$$

Since,

$$\begin{aligned} (x, y, z) &= x(1, 0, 0) + y(0, 1, 0) + z(0, 0, 1) \\ \implies T(x, y, z) &= xT(1, 0, 0) + yT(0, 1, 0) + zT(0, 0, 1) \\ \implies \text{the set } \{T(1, 0, 0), T(0, 1, 0), T(0, 0, 1)\} &\text{ spans } R(T). \end{aligned}$$

$$T(1, 0, 0) = (1, 1, 0);$$

$$T(0, 1, 0) = (1, -1, 1);$$

$$T(0, 0, 1) = (-1, 1, -1)$$

Note that $T(0, 0, 1) = -T(0, 1, 0)$, therefore

$$\begin{aligned} R(T) &= \text{span}\{T(1, 0, 0), T(0, 1, 0), T(0, 0, 1)\} \\ &= \text{span}\{T(1, 0, 0), T(0, 1, 0)\} \\ &= \text{span}\{(1, 1, 0), (1, -1, 1)\} \end{aligned}$$

Since the two vectors are not multiples of each other, they form a linearly independent set, therefore the set $\{T(1, 0, 0), T(0, 1, 0)\} = \{(1, 1, 0), (1, -1, 1)\}$ forms a basis for $R(T)$. \blacksquare

Example 8. Let V be the vector space of all polynomials of degree less than or equal to 3. Consider $T : V \rightarrow V$ given by $T(p(x)) = p'(x)$. Find a basis for $N(T)$ and $R(T)$.

Solution

$$\begin{aligned} N(T) &= \{p(x) \in V : T(p(x)) = 0\} \\ &= \{a_0 + a_1x + a_2x^2 + a_3x^3 : a_1 + 2a_2x + 3a_3x^2 = 0\} \\ &= \{a_0\} \end{aligned}$$

$\therefore \beta = \{1\}$ is a basis for $N(T)$.

Since, $\{1, x, x^2, x^3\}$ is a basis for V , $\{T(1), T(x), T(x^2), T(x^3)\}$ spans $R(T)$.

$$\begin{aligned} \therefore R(T) &= \text{span}\{0, 1, 2x, 3x^2\} \\ &= \text{span}\{1, 2x, 3x^2\} \end{aligned}$$

Also, $\{1, 2x, 3x^2\}$ is linearly independent, $\therefore \{1, 2x, 3x^2\}$ or $\{1, x, x^2\}$ is a basis for $R(T)$. \blacksquare

3 Ordered Basis and Coordinate Vector

Definition 6. (Coordinate vector w.r.t. an ordered basis)

Let V be a finite-dimensional vector space, say $\dim V = n$. An **ordered basis** is a basis $\beta = \{v_1, v_2, \dots, v_n\}$ for V with a fixed ordering. Then any $v \in V$ can be written uniquely as $v = a_1v_1 + a_2v_2 + \dots + a_nv_n$, where $a_1, a_2, \dots, a_n \in \mathbb{F}$. We define the coordinate vector of v w.r.t. β as:

$$[v]_{\beta} = \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \end{pmatrix} \in \mathbb{F}^n.$$

3.1 Change of Bases Matrix

Suppose $\beta_1 = \{v_1, v_2, \dots, v_n\}$ and $\beta_2 = \{w_1, w_2, \dots, w_n\}$ are two ordered bases for V . How are $[v]_{\beta_1}$ & $[v]_{\beta_2}$ related?

Example 9. Let $V = \mathbb{R}^3$, and

$$\begin{aligned}\beta_1 &= \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}, \\ \beta_2 &= \{(1, 0, 0), (1, 1, 0), (1, 1, 1)\}.\end{aligned}$$

Let $v = (x, y, z) \in \mathbb{R}^3$. Since, $(x, y, z) = x(1, 0, 0) + y(0, 1, 0) + z(0, 0, 1)$,

$$\therefore [v]_{\beta_1} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}.$$

Also,

$$\begin{aligned}(x, y, z) &= a(1, 0, 0) + b(1, 1, 0) + c(1, 1, 1) \\ &= (a + b + c, b + c, c) \\ &\implies c = z; \\ b + c &= y \implies b = y - z; \\ a + b + c &= x \implies a = x - y.\end{aligned}$$

$$\therefore [v]_{\beta_2} = \begin{pmatrix} x - y \\ y - z \\ z \end{pmatrix}.$$

We want to find the change of bases matrix $P \in M_{3 \times 3}(\mathbb{R})$ such that

$$[v]_{\beta_2} = P[v]_{\beta_1}.$$

Putting $v = (1, 0, 0)$, we get

$$[(1, 0, 0)]_{\beta_2} = P \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = 1^{st} \text{ column of } P.$$

Therefore, to find the 1^{st} column of P , we need to find the coordinate vector of the first vector in β_1 w.r.t. β_2 . Similarly, the 2^{nd} & 3^{rd} columns are the coordinate vectors of 2^{nd} & 3^{rd} vectors in β_1 w.r.t. β_2 .

More generally, if

$$\begin{aligned}\beta_1 &= \{v_1, v_2, \dots, v_n\} \\ \text{and } \beta_2 &= \{w_1, w_2, \dots, w_n\}\end{aligned}$$

are two ordered bases for V . We find $[v_1]_{\beta_2}, [v_2]_{\beta_2}, \dots, [v_n]_{\beta_2}$ and let

$$P = \left(\begin{array}{cccc} [v_1]_{\beta_2} & [v_2]_{\beta_2} & \dots & [v_n]_{\beta_2} \end{array} \right)_{n \times n}$$

then for any $v \in V$,

$$[v]_{\beta_2} = P[v]_{\beta_1}.$$

Proposition 5. *The change of bases matrix P is always invertible.*

Proof. Let P be the change of bases matrix from β_1 to β_2 and let Q be the change of bases matrix from β_2 to β_1 . Then

$$\begin{aligned}[v]_{\beta_2} &= P[v]_{\beta_1}, \\ \text{and } [v]_{\beta_1} &= Q[v]_{\beta_2} \\ \therefore [v]_{\beta_2} &= PQ[v]_{\beta_2} \quad \forall v \in V \\ \implies PQ &= I.\end{aligned}$$

□

4 Matrix Representation of a Linear Transformation

Let V and W be finite-dimensional vector spaces, and let $T : V \rightarrow W$ be a linear transformation. Let $\beta = \{v_1, v_2, \dots, v_m\}$ be an ordered basis for V and $\beta' = \{w_1, w_2, \dots, w_n\}$ be an ordered basis for W . Then for each $j \in \{1, 2, \dots, m\}$,

$$T(v_j) = \sum_{i=1}^n a_{ij} w_i.$$

Consider the matrix $A = (a_{ij}) \in M_{n \times m}(\mathbb{R})$.

Now, for any $v \in V$,

$$\begin{aligned} v &= \alpha_1 v_1 + \alpha_2 v_2 + \cdots + \alpha_m v_m \\ \therefore T(v) &= \alpha_1 T(v_1) + \alpha_2 T(v_2) + \cdots + \alpha_m T(v_m) \\ &= \sum_{j=1}^m \alpha_j T(v_j) \\ &= \sum_{j=1}^m \alpha_j \left(\sum_{i=1}^n a_{ij} w_i \right) \\ \implies T(v) &= \sum_{i=1}^n \left(\sum_{j=1}^m a_{ij} \alpha_j \right) w_i \end{aligned}$$

$\therefore \sum_{j=1}^m a_{ij} \alpha_j$ is the i^{th} coordinate of the coordinate vector $[Tv]_{\beta'}$.

$$\therefore [Tv]_{\beta'} = A[v]_{\beta}.$$

We denote this matrix A by $[T]_{\beta}^{\beta'}$. The 1^{st} column of $[T]_{\beta}^{\beta'}$ is nothing but $[T(v_1)]_{\beta'}$.

Example 10. Let $T : \mathbb{R}^3 \rightarrow \mathbb{R}^2$ be defined by $T(x, y, z) = (2x + z, y + 3z)$, let $\beta = \{(1, 1, 0), (1, 0, 1), (1, 1, 1)\}$ and $\beta' = \{(2, 3), (3, 2)\}$. Find $[T]_{\beta}^{\beta'}$.

Solution Since,

$$\begin{aligned} T(1, 1, 0) &= (2, 1) = -\frac{1}{5}(2, 3) + \frac{4}{5}(3, 2) \\ T(1, 0, 1) &= (3, 3) = \frac{3}{5}(2, 3) + \frac{3}{5}(3, 2) \\ T(1, 1, 1) &= (3, 4) = \frac{6}{5}(2, 3) + \frac{1}{5}(3, 2) \end{aligned}$$

$$\therefore [T]_{\beta}^{\beta'} = \begin{pmatrix} -\frac{1}{5} & \frac{3}{5} & \frac{6}{5} \\ \frac{4}{5} & \frac{3}{5} & \frac{1}{5} \end{pmatrix}.$$

■