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Instructor: Prof. Amit Priyadarshi

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Sum and Direct Sum

1 Sum of Subspaces

Suppose W_1 and W_2 are subspaces of a vector space V . Then the sum $W_1 + W_2$ is defined as

$$W_1 + W_2 = \{w_1 + w_2 : w_1 \in W_1, w_2 \in W_2\}.$$

Theorem 1. $W_1 + W_2$ is a subspace of V .

Proof. Clearly, $0 = 0 + 0 \in W_1 + W_2$.

Let $u, v \in W_1 + W_2$ and $\alpha, \beta \in \mathbb{F}$, then $u = w_1 + w_2$, $w_1 \in W_1$, $w_2 \in W_2$, and $v = w'_1 + w'_2$, $w'_1 \in W_1$, $w'_2 \in W_2$.

$$\therefore \alpha u + \beta v = \underbrace{(\alpha w_1 + \beta w'_1)}_{\in W_1} + \underbrace{(\alpha w_2 + \beta w'_2)}_{\in W_2} \in W_1 + W_2.$$

□

Theorem 2. $W_1 + W_2 = \text{span}(W_1 \cup W_2)$.

Proof. $W_1 \subseteq W_1 + W_2$, $W_2 \subseteq W_1 + W_2$

$$\begin{aligned} \therefore W_1 \cup W_2 &\subseteq W_1 + W_2 \\ \Rightarrow \text{span}(W_1 \cup W_2) &\subseteq W_1 + W_2 \end{aligned}$$

(because $\text{span}(W_1 \cup W_2)$ is the smallest subspace containing $W_1 \cup W_2$).

Conversely, if $w_1 + w_2 \in W_1 + W_2$,

then $w_1 + w_2 = 1.w_1 + 1.w_2 \in \text{span}(W_1 \cup W_2)$

$$\Rightarrow W_1 + W_2 \subseteq \text{span}(W_1 \cup W_2)$$

Hence, $W_1 + W_2 = \text{span}(W_1 \cup W_2)$.

□

Theorem 3. Let V be a finite dimensional vector space and W_1, W_2 be subspaces of V . Then

$$\dim(W_1 + W_2) = \dim(W_1) + \dim(W_2) - \dim(W_1 \cap W_2).$$

Proof. Let $\beta_1 = \{v_1, v_2, \dots, v_k\}$ be a basis for $W_1 \cap W_2$ (If $W_1 \cap W_2 = \{0\}$, then $\beta_1 = \emptyset$). Since $W_1 \cap W_2$ is contained in W_1 , and $\{v_1, v_2, \dots, v_k\}$ is a linearly independent subset of W_1 , there exists a basis

$$\beta_2 = \{v_1, v_2, \dots, v_k, u_1, u_2, \dots, u_m\}$$

for W_1 .

Similarly, there is a basis

$$\beta_3 = \{v_1, v_2, \dots, v_k, w_1, w_2, \dots, w_l\}$$

for W_2 .

Claim: $\beta = \{v_1, v_2, \dots, v_k, u_1, u_2, \dots, u_m, w_1, w_2, \dots, w_l\}$ is a basis for $W_1 + W_2$.

- β is linearly independent:

Let

$$\begin{aligned} & a_1 v_1 + \dots + a_k v_k + b_1 u_1 + \dots + b_m u_m + c_1 w_1 + \dots + c_l w_l = 0 \\ \Rightarrow & a_1 v_1 + \dots + a_k v_k + b_1 u_1 + \dots + b_m u_m = -c_1 w_1 - \dots - c_l w_l. \end{aligned} \quad (1)$$

The L.H.S. $\in W_1$ and the R.H.S $\in W_2$.

$$\begin{aligned} \therefore & -(c_1 w_1 + \dots + c_l w_l) \in W_1 \cap W_2 \\ \Rightarrow & -(c_1 w_1 + \dots + c_l w_l) = d_1 v_1 + \dots + d_k v_k \\ \Rightarrow & d_1 v_1 + \dots + d_k v_k + c_1 w_1 + \dots + c_l w_l = 0 \end{aligned}$$

Since $\{v_1, \dots, v_k, w_1, \dots, w_l\}$ is linearly independent,

$$d_1 = 0 = \dots = d_k, \quad c_1 = 0 = \dots = c_l.$$

\therefore from 1, $a_1 v_1 + \dots + a_k v_k + b_1 u_1 + \dots + b_m u_m = 0$

$$\Rightarrow a_1 = \dots = a_k = 0 = b_1 = \dots = b_m$$

(since $\{v_1, \dots, v_k, u_1, \dots, u_m\}$ is linearly independent). Thus, β is linearly independent.

- $\text{span}(\beta) = W_1 + W_2$.

Let $w'_1 + w'_2 \in W_1 + W_2$.

Then

$$\begin{aligned}
 w'_1 &= \alpha_1 v_1 + \cdots + \alpha_k v_k + \beta_1 u_1 + \cdots + \beta_m u_m \\
 w'_2 &= \gamma_1 v_1 + \cdots + \gamma_k v_k + \delta_1 w_1 + \cdots + \delta_l w_l \\
 \Rightarrow w'_1 + w'_2 &= (\alpha_1 + \gamma_1) v_1 + \cdots + (\alpha_k + \gamma_k) v_k \\
 &\quad + \beta_1 u_1 + \cdots + \beta_m u_m + \delta_1 w_1 + \cdots + \delta_l w_l \\
 &\in \text{span}(\beta)
 \end{aligned}$$

$\therefore \beta$ is a basis for $W_1 + W_2$.

$$\begin{aligned}
 \Rightarrow \dim(W_1 + W_2) &= k + m + l = (k + m) + (k + l) - k \\
 &= \dim(W_1) + \dim(W_2) - \dim(W_1 \cap W_2).
 \end{aligned}$$

□

2 Direct Sum

Definition 1. (*Direct Sum*) The sum $(W_1 + W_2)$ is called a direct sum if $W_1 \cap W_2 = \{0\}$.

Notation: $W_1 \oplus W_2$ denotes the direct sum.

Note that: $\dim(W_1 \oplus W_2) = \dim(W_1) + \dim(W_2)$.

Theorem 4. $V = W_1 \oplus W_2$ iff every vector $v \in V$ can be written uniquely as the sum of vectors in W_1 & W_2 .

Proof. \Rightarrow suppose $v = w_1 + w_2 = w'_1 + w'_2$, where $w_1, w'_1 \in W_1$; $w_2, w'_2 \in W_2$.

$$\begin{aligned}
 \Rightarrow w_1 - w'_1 &= w'_2 - w_2 \in W_1 \cap W_2 = \{0\} \\
 \Rightarrow w_1 &= w'_1 \quad \& \quad w_2 = w'_2.
 \end{aligned}$$

\Leftarrow Suppose any $v \in V$ can be written uniquely as $v = w_1 + w_2$, where $w_1 \in W_1, w_2 \in W_2$.

To show: $V = W_1 \oplus W_2$

For this, we need to show $W_1 \cap W_2 = \{0\}$.

Let $w \in W_1 \cap W_2$.

Then $w = w + 0 \in W_1 + W_2$

also, $w = 0 + w \in W_1 + W_2$, by uniqueness, $w = 0$. □

Remark 1. *If W_1, W_2, W_3 are three subspaces, we can consider $W_1 + W_2 + W_3$ which is also a subspace.*

Example 1. Is $\dim(W_1 + W_2 + W_3) = \dim W_1 + \dim W_2 + \dim W_3 - \dim(W_1 \cap W_2) - \dim(W_2 \cap W_3) - \dim(W_1 \cap W_3) + \dim(W_1 \cap W_2 \cap W_3)$?

Solution This is not correct. Take $V = \mathbb{R}^2$, $W_1 = x$ -axis, $W_2 = y$ -axis, $W_3 = \{y = x \text{ line}\}$. Then $W_1 + W_2 + W_3 = \mathbb{R}^2$ and $W_i \cap W_j = \{0\}$.
 \therefore L.H.S. = 2 but R.H.S. = 3. ■

Row Space, Column Space and Null Space of a Matrix

3 Row Space and Column Space

Let $A \in M_{m \times n}(\mathbb{F})$. There are m rows R_1, R_2, \dots, R_m and n columns C_1, C_2, \dots, C_n of A . Each row of A can be thought of as an element of \mathbb{F}^n and each column of A can be thought of as an element of \mathbb{F}^m .

Definition 2. *The row space of A is the space spanned by the rows R_1, R_2, \dots, R_m . So, the row space is a subspace of \mathbb{F}^n .*

Definition 3. *The column space of A is the space spanned by the columns C_1, C_2, \dots, C_n . So, the column space is a subspace of \mathbb{F}^m .*

Example 2. If $A = \begin{pmatrix} 1 & 2 & 3 \\ 2 & -1 & 4 \end{pmatrix}$,

then Row space $(A) = \text{span} \{(1, 2, 3), (2, -1, 4)\} \subseteq \mathbb{R}^3$, and
the Column space $(A) = \text{span} \{(1, 2), (2, -1), (3, 4)\} = \mathbb{R}^2$.

Definition 4. *The row rank and the column rank of A are the dimensions of the row space and the column space, respectively. i.e.*
 $\text{row rank}(A) = \dim(\text{row space}(A))$ and $\text{column rank}(A) = \dim(\text{column space}(A))$.

Remark 2. 1. $0 \leq \text{row rank}(A) \leq \min\{m, n\}$.

(Since $\text{row space}(A) \subseteq \mathbb{F}^n \implies \text{row rank}(A) \leq n$, also, since $\text{row space}(A) = \text{span}\{R_1, R_2, \dots, R_m\} \implies \text{row rank}(A) \leq m$.)

2. $0 \leq \text{column rank}(A) \leq \min\{m, n\}$.

Remark 3. For $X = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} \in \mathbb{F}^n$,

$$\begin{aligned} AX &= x_1 C_1 + x_2 C_2 + \cdots + x_n C_n \\ &\in \text{span}\{C_1, C_2, \dots, C_n\} \end{aligned}$$

$\therefore \text{Column space}(A) = \{AX : X \in \mathbb{F}^n\}$.

Remark 4. Observe that $\text{Row space}(A) = \text{Column space}(A^t)$,
and $\text{Column space}(A) = \text{Row space}(A^t)$.

Definition 5. (Rank of a matrix) The rank of a matrix is the number of nonzero rows in the RRE form of the matrix.

Question: How to find row rank (A) and a basis for row space (A) ?

Note that if A is row equivalent to B , then $\text{row space}(A) = \text{row space}(B)$. \therefore If R is the RRE form of A , then $\text{row space}(A) = \text{row space}(R)$.
Also, the nonzero rows of an RRE matrix are linearly independent; therefore, the nonzero rows of R form a basis for the row space (A) .

$$\begin{aligned} \therefore \text{row rank}(A) &= \text{the number of nonzero rows in the RRE form of } A \\ &= \text{Rank}(A). \end{aligned}$$

Remark 5. We will prove later that: $\text{Row rank}(A) = \text{Column rank}(A)$.

4 Null Space

Definition 6. The null space of A is defined as

$$\begin{aligned} \text{Null space}(A) &= \{X = (x_1, x_2, \dots, x_n)^t : AX = 0\} \\ &= \text{the solution space of the homogeneous system } AX = 0; \\ &\subseteq \mathbb{F}^n. \end{aligned}$$

$$\therefore \dim(\text{null space}(A)) = \dim(\text{solution space of } AX = 0).$$

If r is the number of nonzero rows in the RRE form of A and n is the number of columns of A , then $\dim(\text{null space}(A)) = n - r$.

To find a basis for the null space (A) , we identify the $n - r$ free variables and then find solutions by putting one of the free variables equal to 1 and the rest 0.

Example 3. Let $A = \begin{pmatrix} 1 & 2 & 1 & 0 \\ 3 & -1 & 4 & 1 \\ 4 & 1 & 5 & 1 \\ 2 & -3 & 3 & 1 \end{pmatrix}$. Find a basis for the null space (A) .

Solution

$$A \xrightarrow{\begin{matrix} R_2 \rightarrow R_2 - 3R_1 \\ R_3 \rightarrow R_3 - 4R_1 \\ R_4 \rightarrow R_4 - 2R_1 \end{matrix}} \begin{pmatrix} 1 & 2 & 1 & 0 \\ 0 & -7 & 1 & 1 \\ 0 & -7 & 1 & 1 \\ 0 & -7 & 1 & 1 \end{pmatrix}$$

$$\xrightarrow{\begin{matrix} R_3 \rightarrow R_3 - R_2 \\ R_4 \rightarrow R_4 - R_2 \end{matrix}} \begin{pmatrix} 1 & 2 & 1 & 0 \\ 0 & -7 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\xrightarrow{R_2 \rightarrow -\frac{1}{7}R_2} \begin{pmatrix} 1 & 2 & 1 & 0 \\ 0 & 1 & -\frac{1}{7} & -\frac{1}{7} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\xrightarrow{R_1 \rightarrow R_1 - 2R_2} \begin{pmatrix} 1 & 0 & \frac{9}{7} & \frac{2}{7} \\ 0 & 1 & -\frac{1}{7} & -\frac{1}{7} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

the above matrix is the RRE form of A ; free variables are x_3 and x_4 . Putting

$x_3 = \lambda$ and $x_4 = \mu$, we get

$$\begin{aligned}x_1 &= -\frac{9}{7}\lambda - \frac{2}{7}\mu, \\x_2 &= \frac{1}{7}\lambda + \frac{1}{7}\mu.\end{aligned}$$

$$\therefore \text{Null space } (A) = \left\{ \left(-\frac{9}{7}\lambda - \frac{2}{7}\mu, \frac{1}{7}\lambda + \frac{1}{7}\mu, \lambda, \mu \right); \lambda, \mu \in \mathbb{R} \right\}.$$

Since,

$$\left(-\frac{9}{7}\lambda - \frac{2}{7}\mu, \frac{1}{7}\lambda + \frac{1}{7}\mu, \lambda, \mu \right) = \lambda \left(-\frac{9}{7}, \frac{1}{7}, 1, 0 \right) + \mu \left(-\frac{2}{7}, \frac{1}{7}, 0, 1 \right);$$

\therefore A basis for the null space (A) is

$$\beta = \left\{ \left(-\frac{9}{7}, \frac{1}{7}, 1, 0 \right), \left(-\frac{2}{7}, \frac{1}{7}, 0, 1 \right) \right\}.$$

■