

Secure Energy Efficiency Maximization in MISO Heterogeneous Cellular Networks

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Abstract

In this paper,

I. INTRODUCTION

With

II. SYSTEM MODEL AND PROBLEM FORMULATION

For the l -th femto cell, the transmitted signal for the FU can be expressed as

$$\mathbf{x}_l = \mathbf{w}_l \cdot s_l + \mathbf{v}_l, \quad (1)$$

where $\mathbf{w}_l \in \mathbb{C}^{N_t \times 1}$ denotes the beamforming vector for the FBS l , s_l denotes the information signal sent from FBS l , and $\mathbf{v}_l \in \mathbb{C}^{N_t \times 1}$ denotes the artificial noise (AN) to interfere EVEs.

For the l -th femto cell, the received signal for the FU is expressed as

$$y_l^{FU} = \mathbf{h}_{l,l}^H \mathbf{x}_l + \sum_{m \neq l} \mathbf{h}_{m,l}^H \mathbf{x}_m + I_l^{FU} + n_l^{FU}, \quad (2)$$

where $\mathbf{h}_{m,l} \in \mathbb{C}^{N_t \times 1}$ denotes the channel from the FBS in the m -th femto cell to the FU in the l -th femto cell, I_l^{FU} is the cross-link interference from the MBS, and $n_l^{FU} \sim \mathcal{CN}(0, \sigma_{FU,l}^2)$ is the additive white Gaussian noise (AWGN) at the FU in femto cell l .

After the signal is received by the FU, it is split into two parts for information decoding (ID) and energy harvesting (EH) with parameter ρ_l and $1 - \rho_l$, respectively.

The signal received by the PE j in the l -th femto cell is

$$y_{l,j}^{PE} = \mathbf{f}_{l,j}^H \mathbf{x}_l + \sum_{m \neq l} \mathbf{f}_{m,j}^H \mathbf{x}_m + I_{l,j}^{PE} + n_{l,j}^{PE}, \quad (3)$$

where $\mathbf{f}_{m,j} \in \mathbb{C}^{N_t \times 1}$ denotes the channel from the FBS in the m -th femto cell to the PE j in the l -th femto cell, $I_{l,j}^{PE}$ is the cross-link interference from the MBS, and $n_{l,j}^{PE} \sim \mathcal{CN}(0, \sigma_{PE,l,j}^2)$ is the AWGN at the PE j in the l -th femto cell.

The signal received by the EVE k in the l -th femto cell is expressed as

$$y_{l,k}^{EVE} = \mathbf{g}_{l,k}^H \mathbf{x}_l + \sum_{m \neq l} \mathbf{g}_{m,k}^H \mathbf{x}_m + I_{l,k}^{EVE} + n_{l,k}^{EVE} \quad (4)$$

where $\mathbf{g}_{m,k} \in \mathbb{C}^{N_t \times 1}$ denotes the channel from the FBS in the m -th femto cell to the EVE k in the l -th femto cell, $I_{l,k}^{EVE}$ denotes the cross-link interference from the MBS, and $n_{l,k}^{EVE} \sim \mathcal{CN}(0, \sigma_{EVE,l,k}^2)$ is the AWGN at the EVE k in the l -th femto cell.

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From (1) and (2), after the signal is divided into ID and EH, we can express the signal-to-interference-plus-noise ratio (SINR) of the ID signal in the l -th femto cell as

$$\gamma_l^{FU}(\{\mathbf{w}_l\}, \{\mathbf{v}_l\}, \{\rho_l\}) = \frac{\rho_l |\mathbf{w}_l^H \mathbf{h}_{l,l}|^2}{\rho_l \left(\sum_{m \neq l} |\mathbf{w}_m^H \mathbf{h}_{m,l}|^2 + \sum_{m=1}^L |\mathbf{v}_m^H \mathbf{h}_{m,l}|^2 + P_l^{FU} + \sigma_{FU,l}^2 \right) + \sigma_s^2} \quad (5)$$

Similarly, the SINR for the PE j receiver is expressed as

$$\gamma_{l,j}^{PE}(\{\mathbf{w}_l\}, \{\mathbf{v}_l\}) = \frac{|\mathbf{w}_l^H \mathbf{f}_{l,l,j}|^2}{\sum_{m \neq l} |\mathbf{w}_m^H \mathbf{f}_{m,l,j}|^2 + \sum_{m=1}^L |\mathbf{v}_m^H \mathbf{f}_{m,l,j}|^2 + P_{l,j}^{PE} + \sigma_{PE,l,j}^2 + \sigma_s^2} \quad (6)$$

The SINR for the eavesdropper k in the l -th cell is expressed as

$$\gamma_{l,k}^{EVE}(\{\mathbf{w}_l\}, \{\mathbf{v}_l\}) = \frac{|\mathbf{w}_l^H \mathbf{g}_{l,l,k}|^2}{\sum_{m \neq l} |\mathbf{w}_m^H \mathbf{g}_{m,l,k}|^2 + \sum_{m=1}^L |\mathbf{v}_m^H \mathbf{g}_{m,l,k}|^2 + P_{l,k}^{EVE} + \sigma_{EVE,l,k}^2} \quad (7)$$

The harvested power by the FU in the l -th cell is expressed as

$$Q_l^{FU}(\{\mathbf{w}_l\}, \{\mathbf{v}_l\}, \{\rho_l\}) = \xi_1 (1 - \rho_l) \left(\sum_{m=1}^L |\mathbf{w}_m^H \mathbf{h}_{m,l}|^2 + \sum_{m=1}^L |\mathbf{v}_m^H \mathbf{h}_{m,l}|^2 + P_l^{FU} + \sigma_{FU,l}^2 \right) \quad (8)$$

where ξ_1 is the efficiency.

The harvested power by the PE j in the l -th cell is

$$Q_{l,j}^{PE}(\{\mathbf{w}_l\}, \{\mathbf{v}_l\}) = \xi_2 \left(\sum_{m=1}^L |\mathbf{w}_m^H \mathbf{f}_{m,l,j}|^2 + \sum_{m=1}^L |\mathbf{v}_m^H \mathbf{f}_{m,l,j}|^2 + P_{l,j}^{PE} + \sigma_{PE,l,j}^2 \right) \quad (9)$$

where ξ_2 is the efficiency.

Total power consumption is

$$P_{RF} + \zeta \left(\sum_{l=1}^L \|\mathbf{w}_l\|^2 + \sum_{l=1}^L \|\mathbf{v}_l\|^2 \right) \quad (10)$$

where ζ is amplifier coefficient

The following equations are zero-forcing constraints: We set the signal part from PEs and EVE's SINR as 0, as the following equations.

$$|\mathbf{w}_l^H \mathbf{g}_{l,l,k}| = 0 \quad (11)$$

$$|\mathbf{w}_l^H \mathbf{f}_{l,l,j}| = 0 \quad (12)$$

III. PROBLEM FORMULATION

The secure energy efficiency problem can be formulated as

$$\max_{\mathbf{w}_l, \mathbf{v}_l, \rho_l} \frac{\sum_{l=1}^L a_l \{\log_2 (1 + \gamma_l^{FU}(\{\mathbf{w}_l\}, \{\mathbf{v}_l\}, \{\rho_l\}))\}}{P_{RF} + \zeta \left(\sum_{l=1}^L \|\mathbf{w}_l\|^2 + \sum_{l=1}^L \|\mathbf{v}_l\|^2 \right)} \quad (13a)$$

$$\text{s.t.} \quad Q_l^{FU}(\{\mathbf{w}_l\}, \{\mathbf{v}_l\}, \{\rho_l\}) \geq \Gamma_1, \quad \forall l, \quad (13b)$$

$$Q_{l,j}^{PE}(\{\mathbf{w}_l\}, \{\mathbf{v}_l\}) \geq \Gamma_2, \quad \forall l, j, \quad (13c)$$

$$\|\mathbf{w}_l\|^2 + \|\mathbf{v}_l\|^2 \leq P_l, \quad \forall l, \quad (13d)$$

$$\sum_{l=1}^L \|\mathbf{q}_{l,p}^H \mathbf{w}_l\|^2 + \|\mathbf{q}_{l,p}^H \mathbf{v}_l\|^2 \leq \Gamma_3, \quad \forall p, \quad (13e)$$

$$|\mathbf{w}_l^H \mathbf{g}_{l,l,k}| = 0 \quad (13f)$$

$$|\mathbf{w}_l^H \mathbf{f}_{l,l,j}| = 0 \quad (13g)$$

We first define the lower bound of FU's rate and the upper bound of eavesdropper's rate as R_l^{FU} and R_l^e , respectively.

$$R_l^{FU} \triangleq \log_2 (1 + \gamma_l^{FU} (\{\mathbf{w}_l\}, \{\mathbf{v}_l\}, \{\rho_l\})) , \forall l \quad (14)$$

$$(15)$$

Then we can reformulate the problem as

$$\max_{\mathbf{w}_l, \mathbf{v}_l, \rho_l, R_l^{FU}, R_l^e} \frac{\sum_{l=1}^L \tilde{a}_l R_l^{FU}}{P_{RF} + \zeta \left(\sum_{l=1}^L \|\mathbf{w}_l\|^2 + \sum_{l=1}^L \|\mathbf{v}_l\|^2 \right)} \quad (16a)$$

$$\text{s.t.} \quad R_l^{FU} \leq \log_2 (1 + \gamma_l^{FU} (\{\mathbf{w}_l\}, \{\mathbf{v}_l\}, \{\rho_l\})) , \forall l, \quad (16b)$$

$$Q_l^{FU} (\{\mathbf{w}_l\}, \{\mathbf{v}_l\}, \{\rho_l\}) \geq \Gamma_1, \forall l, \quad (16c)$$

$$Q_{l,j}^{PE} (\{\mathbf{w}_l\}, \{\mathbf{v}_l\}) \geq \Gamma_2, \forall l, j, \quad (16d)$$

$$\|\mathbf{w}_l\|^2 + \|\mathbf{v}_l\|^2 \leq P_l, \forall l, \quad (16e)$$

$$\sum_{l=1}^L \|\mathbf{q}_{l,p}^H \mathbf{w}_l\|^2 + \|\mathbf{q}_{l,p}^H \mathbf{v}_l\|^2 \leq \Gamma_3, \forall p, \quad (16f)$$

$$|\mathbf{w}_l^H \mathbf{g}_{l,l,k}| = 0 \quad (16g)$$

$$|\mathbf{w}_l^H \mathbf{f}_{l,l,j}| = 0 \quad (16h)$$

We apply SDR, so the problem can be reformulated as

$$\max_{\mathbf{w}_l, \mathbf{v}_l, \rho_l, R_l^{FU}, R_l^e} \frac{\sum_{l=1}^L \tilde{a}_l R_l^{FU}}{P_{RF} + \zeta \left(\sum_{l=1}^L \text{Tr}(\mathbf{W}_l) + \text{Tr}(\mathbf{V}_l) \right)} \quad (17a)$$

$$\text{s.t.} \quad R_l^{FU} \leq \log_2 (1 + \gamma_l^{FU} (\{\mathbf{W}_l\}, \{\mathbf{V}_l\}, \{\rho_l\})) , \forall l, \quad (17b)$$

$$Q_l^{FU} (\{\mathbf{W}_l\}, \{\mathbf{V}_l\}, \{\rho_l\}) \geq \Gamma_1, \forall l, \quad (17c)$$

$$Q_{l,j}^{PE} (\{\mathbf{W}_l\}, \{\mathbf{V}_l\}) \geq \Gamma_2, \forall l, j, \quad (17d)$$

$$\text{Tr}(\mathbf{W}_l) + \text{Tr}(\mathbf{V}_l) \leq P_l, \forall l, \quad (17e)$$

$$\sum_{l=1}^L \text{Tr}(\mathbf{W}_l \mathbf{Q}_{l,p}) + \text{Tr}(\mathbf{V}_l \mathbf{Q}_{l,p}) \leq \Gamma_3, \forall p, \quad (17f)$$

$$\text{Tr}(\mathbf{W}_l \mathbf{G}_{l,l,k}) = 0 \quad (17g)$$

$$\text{Tr}(\mathbf{W}_l \mathbf{F}_{l,l,j}) = 0 \quad (17h)$$

FOR 15b

We define e^{ϕ_l} as the SINR of the FU in l^{th} femto cell

$$e^{\phi_l} \leq \frac{\text{Tr}(\mathbf{W}_l \mathbf{H}_{l,l})}{\sum_{m \neq l} \text{Tr}(\mathbf{W}_m \mathbf{H}_{m,l}) + \sum_{m=1}^L \text{Tr}(\mathbf{V}_m \mathbf{H}_{m,l}) + P_l^{FU} + \sigma_{FU,l}^2 + \frac{\sigma_s^2}{\rho_l}} \quad (18)$$

and we define

$$e^{a_l} = \text{Tr}(\mathbf{W}_l \mathbf{H}_{l,l}) \quad (19a)$$

$$e^{b_l} = \sum_{m \neq l} \text{Tr}(\mathbf{W}_m \mathbf{H}_{m,l}) + \sum_{m=1}^L \text{Tr}(\mathbf{V}_m \mathbf{H}_{m,l}) \quad (19b)$$

$$e^{c_l} = \rho_l \quad (19c)$$

Then 15b can be transformed as

$$R_l^{FU} \leq \log_2 (1 + e^{\phi_l}) \quad (20a)$$

$$e^{\phi_l} (e^{b_l} + P_l^{FU} + \sigma_{FU,l}^2 + \sigma_s^2 e^{-c_l}) e^{-a_l} \leq 1 \quad (20b)$$

$$e^{a_l} \leq \text{Tr}(\mathbf{W}_l \mathbf{H}_{l,l}) \quad (20c)$$

$$e^{b_l} \geq \sum_{m \neq l} \text{Tr}(\mathbf{W}_m \mathbf{H}_{m,l}) + \sum_{m=1}^L \text{Tr}(\mathbf{V}_m \mathbf{H}_{m,l}) \quad (20d)$$

$$e^{c_l} \leq \rho_l \quad (20e)$$

We apply first-order approximation

$$R_l^{FU} \leq \log_2 (1 + e^{\bar{\phi}_l}) + \frac{e^{\bar{\phi}_l}}{\ln 2 (1 + e^{\bar{\phi}_l})} (\phi_l - \bar{\phi}_l) \quad (21a)$$

$$e^{\phi_l - a_l} (e^{b_l} + P_l^{FU} + \sigma_{FU,l}^2 + \sigma_s^2 e^{-c_l}) \leq 1 \quad (21b)$$

$$e^{a_l} \leq \text{Tr}(\mathbf{W}_l \mathbf{H}_{l,l}) \quad (21c)$$

$$e^{\bar{b}_l} + e^{\bar{b}_l} (b_l - \bar{b}_l) \geq \sum_{m \neq l} \text{Tr}(\mathbf{W}_m \mathbf{H}_{m,l}) + \sum_{m=1}^L \text{Tr}(\mathbf{V}_m \mathbf{H}_{m,l}) \quad (21d)$$

$$e^{c_l} \leq \rho_l \quad (21e)$$

The constraints 15e and 15f, the EH constraints, are non-convex constraint.

$$\xi_1(1 - \rho_l) \left(\sum_{m=1}^L \text{Tr}(\mathbf{W}_m \mathbf{H}_{m,l}) + \text{Tr}(\mathbf{V}_m \mathbf{H}_{m,l}) + P_l^{FU} + \sigma_{FU,l}^2 \right) \geq \Gamma_1 \quad (22a)$$

$$\xi_2 \left(\sum_{m=1}^L \text{Tr}(\mathbf{W}_m \mathbf{F}_{m,l,j}) + \text{Tr}(\mathbf{V}_m \mathbf{F}_{m,l,j}) + P_{l,j}^{PE} + \sigma_{PE,l,j}^2 \right) \geq \Gamma_2 \quad (22b)$$

These constraints can be reformulated as

$$\sum_{m=1}^L \left\{ \text{Tr}(\mathbf{W}_m \mathbf{H}_{m,l}) + \text{Tr}(\mathbf{V}_m \mathbf{H}_{m,l}) \right\} \geq \frac{\Gamma_1}{\xi_1(1 - \rho_l)} - P_l^{FU} - \sigma_{FU,l}^2 \quad (23a)$$

$$\sum_{m=1}^L \left\{ \text{Tr}(\mathbf{W}_m \mathbf{F}_{m,l,j}) + \text{Tr}(\mathbf{V}_m \mathbf{F}_{m,l,j}) \right\} \geq \frac{\Gamma_2}{\xi_2} - P_{l,j}^{PE} - \sigma_{PE,l,j}^2 \quad (23b)$$

$$\max_{\mathbf{w}_l, \mathbf{v}_l, \rho_l, R_l^{FU}, R_l^e} \frac{\sum_{l=1}^L \tilde{a}_l R_l^{FU}}{P_{RF} + \zeta \left(\sum_{l=1}^L \text{Tr}(\mathbf{W}_l) + \text{Tr}(\mathbf{V}_l) \right)} \quad (24a)$$

$$\text{s.t.} \quad R_l^{FU} \leq \log_2 \left(1 + e^{\bar{\phi}_l} \right) + \frac{e^{\bar{\phi}_l}}{\ln 2 (1 + e^{\bar{\phi}_l})} (\phi_l - \bar{\phi}_l) \quad (24b)$$

$$e^{\phi_l - a_l} (e^{b_l} + P_l^{FU} + \sigma_{FU,l}^2 + \sigma_s^2 e^{-c_l}) \leq 1 \quad (24c)$$

$$e^{a_l} \leq \text{Tr}(\mathbf{W}_l \mathbf{H}_{l,l}) \quad (24d)$$

$$e^{\bar{b}_l} + e^{\bar{b}_l} (b_l - \bar{b}_l) \geq \sum_{m \neq l} \text{Tr}(\mathbf{W}_m \mathbf{H}_{m,l}) + \sum_{m=1}^L \text{Tr}(\mathbf{V}_m \mathbf{H}_{m,l}) \quad (24e)$$

$$e^{c_l} \leq \rho_l \quad (24f)$$

$$\sum_{m=1}^L \left\{ \text{Tr}(\mathbf{W}_m \mathbf{H}_{m,l}) + \text{Tr}(\mathbf{V}_m \mathbf{H}_{m,l}) \right\} \geq \frac{\Gamma_1}{\xi_1 (1 - \rho_l)} - P_l^{FU} - \sigma_{FU,l}^2 \quad (24g)$$

$$\sum_{m=1}^L \left\{ \text{Tr}(\mathbf{W}_m \mathbf{F}_{m,l,j}) + \text{Tr}(\mathbf{V}_m \mathbf{F}_{m,l,j}) \right\} \geq \frac{\Gamma_2}{\xi_2} - P_{l,j}^{PE} - \sigma_{PE,l,j}^2 \quad (24h)$$

$$\text{Tr}(\mathbf{W}_l) + \text{Tr}(\mathbf{V}_l) \leq P_l, \quad \forall l, \quad (24i)$$

$$\sum_{l=1}^L \left\{ \text{Tr}(\mathbf{W}_l \mathbf{Q}_{l,p}) + \text{Tr}(\mathbf{V}_l \mathbf{Q}_{l,p}) \right\} \leq \Gamma_3, \quad \forall p, \quad (24j)$$

$$\text{Tr}(\mathbf{W}_l \mathbf{G}_{l,l,k}) = 0 \quad (24k)$$

$$\text{Tr}(\mathbf{W}_l \mathbf{F}_{l,l,j}) = 0 \quad (24l)$$

For the objective function, we define

$$\eta = \frac{\sum_{l=1}^L \tilde{a}_l R_l^{FU}}{P_{RF} + \zeta \left(\sum_{l=1}^L \text{Tr}(\mathbf{W}_l) + \text{Tr}(\mathbf{V}_l) \right)} \quad (25a)$$

$$e^\lambda = \sum_{l=1}^L \tilde{a}_l R_l^{FU} \quad (25b)$$

$$e^\theta = P_{RF} + \zeta \left(\sum_{l=1}^L \text{Tr}(\mathbf{W}_l) + \text{Tr}(\mathbf{V}_l) \right) \quad (25c)$$

then we obtain the following constraints

$$\eta \leq e^{\lambda - \theta} \quad (26a)$$

$$e^\lambda \leq \sum_{l=1}^L \tilde{a}_l R_l^{FU} \quad (26b)$$

$$e^\theta \geq P_{RF} + \zeta \left(\sum_{l=1}^L \text{Tr}(\mathbf{W}_l) + \text{Tr}(\mathbf{V}_l) \right) \quad (26c)$$

We can apply first order approximation to deal with these non-convex constraints

$$\eta \leq e^{\bar{\lambda} - \bar{\theta}} (1 + \lambda - \bar{\lambda} - \theta + \bar{\theta}) \quad (27a)$$

$$e^\lambda \leq \sum_{l=1}^L \tilde{a}_l R_l^{FU} \quad (27b)$$

$$e^{\bar{\theta}} (1 + \theta - \bar{\theta}) \geq P_{RF} + \zeta \left(\sum_{l=1}^L \text{Tr}(\mathbf{W}_l) + \text{Tr}(\mathbf{V}_l) \right) \quad (27c)$$

$$\max_{\mathbf{w}_l, \mathbf{v}_l, \rho_l, R_l^{FU}, R_l^e} \eta \quad (28a)$$

$$\text{s.t.} \quad \eta \leq e^{\bar{\lambda} - \bar{\theta}} (1 + \lambda - \bar{\lambda} - \theta + \bar{\theta}) \quad (28b)$$

$$e^\lambda \leq \sum_{l=1}^L \tilde{a}_l R_l^{FU} \quad (28c)$$

$$e^{\bar{\theta}} (1 + \theta - \bar{\theta}) \geq P_{RF} + \zeta \left(\sum_{l=1}^L \text{Tr}(\mathbf{W}_l) + \text{Tr}(\mathbf{V}_l) \right) \quad (28d)$$

$$R_l^{FU} \leq \log_2 \left(1 + e^{\bar{\phi}_l} \right) + \frac{e^{\bar{\phi}_l}}{\ln 2 (1 + e^{\bar{\phi}_l})} (\phi_l - \bar{\phi}_l) \quad (28e)$$

$$e^{\phi_l - a_l} (e^{b_l} + P_l^{FU} + \sigma_{FU,l}^2 + \sigma_s^2 e^{-c_l}) \leq 1 \quad (28f)$$

$$e^{a_l} \leq \text{Tr}(\mathbf{W}_l \mathbf{H}_{l,l}) \quad (28g)$$

$$e^{\bar{b}_l} + e^{\bar{b}_l} (b_l - \bar{b}_l) \geq \sum_{m \neq l} \text{Tr}(\mathbf{W}_m \mathbf{H}_{m,l}) + \sum_{m=1}^L \text{Tr}(\mathbf{V}_m \mathbf{H}_{m,l}) \quad (28h)$$

$$e^{c_l} \leq \rho_l \quad (28i)$$

$$\sum_{m=1}^L \left\{ \text{Tr}(\mathbf{W}_m \mathbf{H}_{m,l}) + \text{Tr}(\mathbf{V}_m \mathbf{H}_{m,l}) \right\} \geq \frac{\Gamma_1}{\xi_1 (1 - \rho_l)} - P_l^{FU} - \sigma_{FU,l}^2 \quad (28j)$$

$$\sum_{m=1}^L \left\{ \text{Tr}(\mathbf{W}_m \mathbf{F}_{m,l,j}) + \text{Tr}(\mathbf{V}_m \mathbf{F}_{m,l,j}) \right\} \geq \frac{\Gamma_2}{\xi_2} - P_{l,j}^{PE} - \sigma_{PE,l,j}^2 \quad (28k)$$

$$\text{Tr}(\mathbf{W}_l) + \text{Tr}(\mathbf{V}_l) \leq P_l, \quad \forall l, \quad (28l)$$

$$\sum_{l=1}^L \left\{ \text{Tr}(\mathbf{W}_l \mathbf{Q}_{l,p}) + \text{Tr}(\mathbf{V}_l \mathbf{Q}_{l,p}) \right\} \leq \Gamma_3, \quad \forall p, \quad (28m)$$

$$\text{Tr}(\mathbf{W}_l \mathbf{G}_{l,l,k}) = 0 \quad (28n)$$

$$\text{Tr}(\mathbf{W}_l \mathbf{F}_{l,l,j}) = 0 \quad (28o)$$