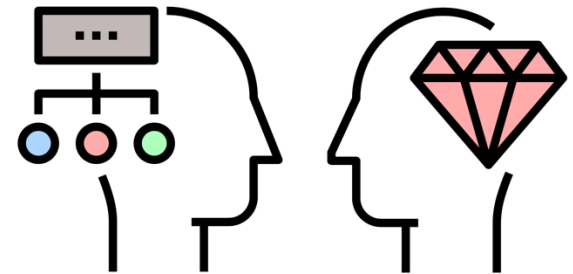


Machine Learning for Materials

9. Generative Artificial Intelligence

Aron Walsh & Hyunsoo Park

Department of Materials
Centre for Processable Electronics



Module Contents

1. Introduction
 2. Machine Learning Basics
 3. Materials Data
 4. Crystal Representations
 5. Classical Learning
 6. Artificial Neural Networks
 7. Building a Model from Scratch
 8. Accelerated Discovery
 - 9. Generative Artificial Intelligence**
 10. Recent Advances
-

Class Outline

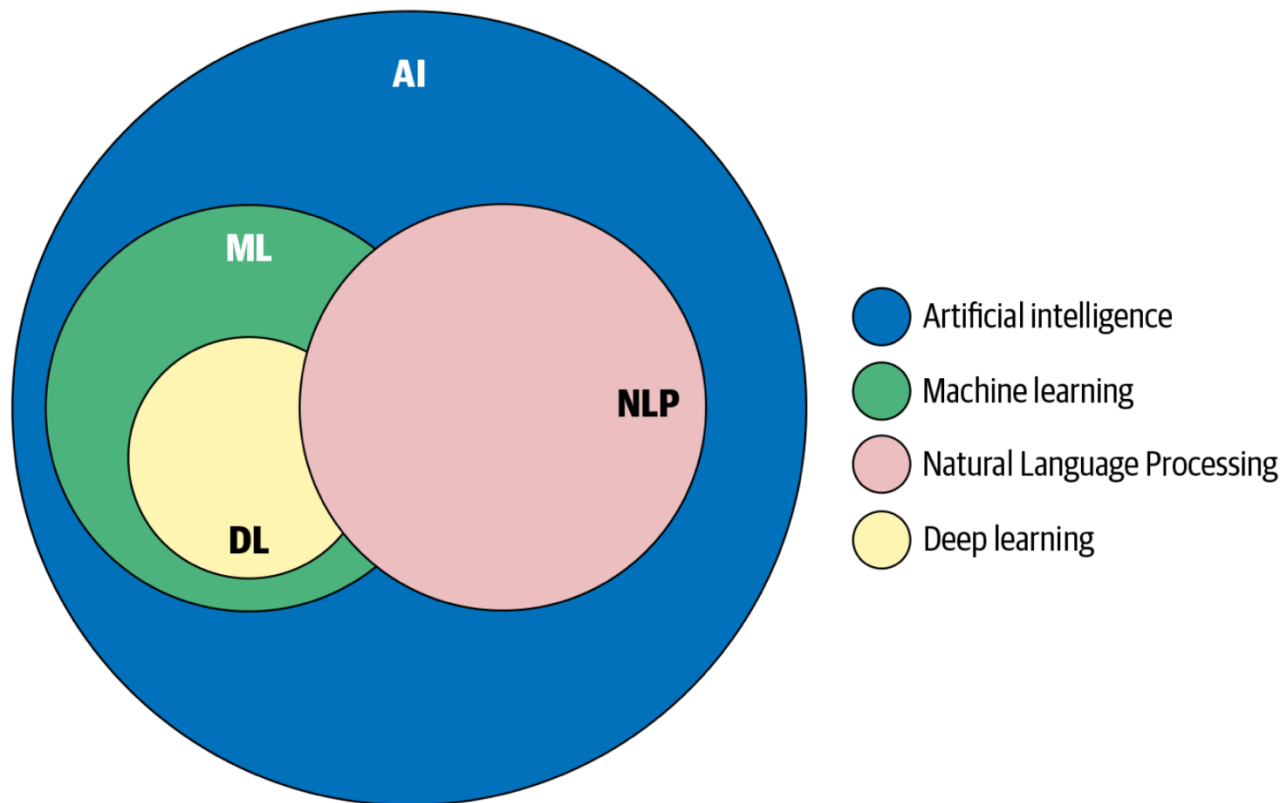
Generative AI

A. Large Language Models

B. From Latent Space to Diffusion

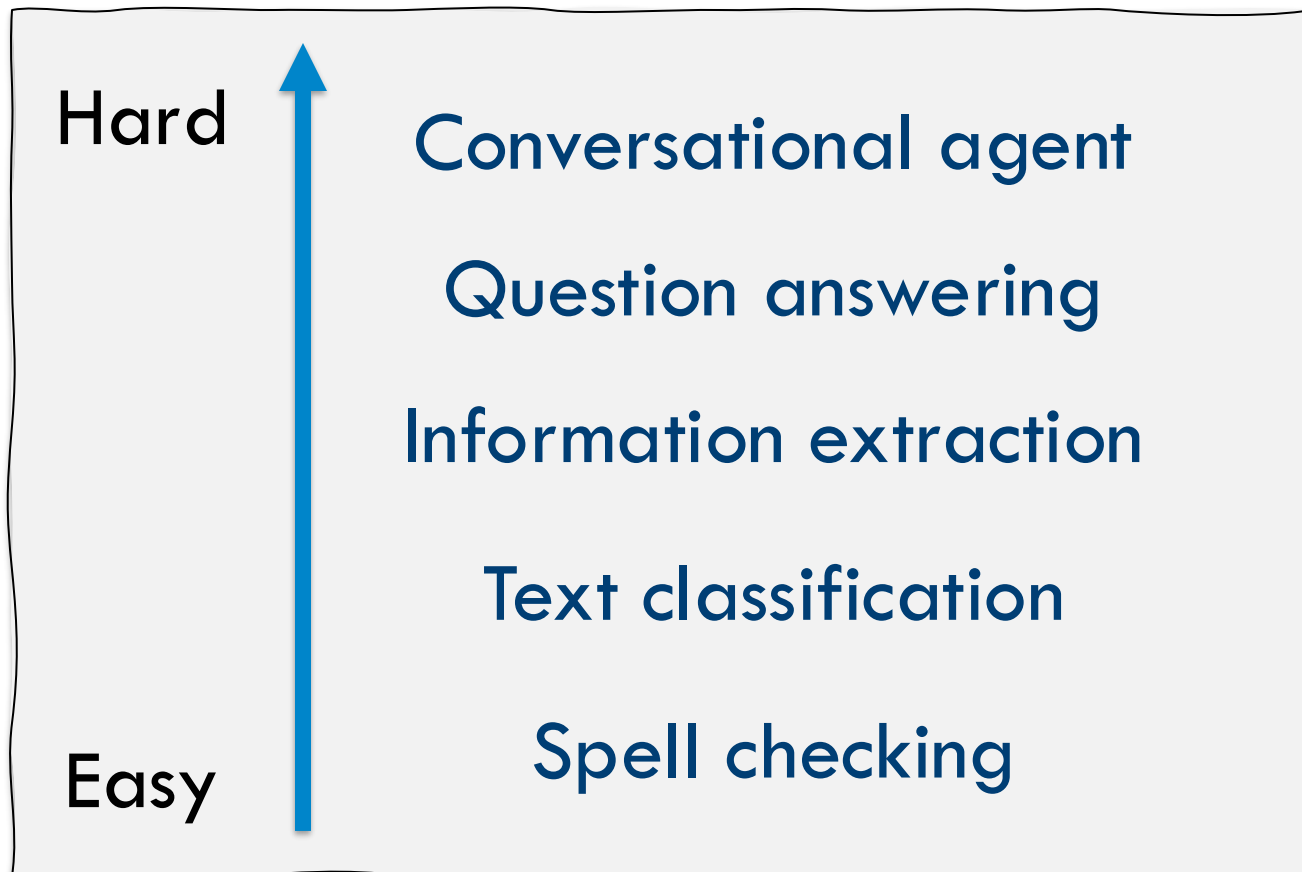
Natural Language Processing (NLP)

Branch of AI that focuses on the interaction between computers and human language



Natural Language Processing (NLP)

Branch of AI that focuses on the interaction between computers and human language



Natural Language Processing (NLP)

Many statements are ambiguous and
require context to be understood

Let's eat grandma?

Essen wir Oma?

我们吃奶奶的饭?

おばあちゃんを食べようか?

Mangeons grand-mère?

할머니랑 같이 먹어요?



Does the ambiguity of the English phrase translate? (image from DALL-E 3)

Language Models

Predictive text

I love materials because

| | | |
|-------------|------------|------------------|
| of | shape | strong |
| they | are | essential |
| their | like | beautiful |

Top words
ranked by
probability

“Temperature” of the text choices

I love materials because they ignite a symphony
of vibrant colors, tantalizing textures, and
wondrous possibilities that dance in the realms
of imagination, transcending boundaries and
embracing the sheer beauty of creation itself.

Sampling the
distribution
of probabilities
(“creativity”)

I love materials because they are essential.

Language Models

Large refers to the size and capacity of the model.
It must sample a literary combinatorial explosion

10^4 common words in English
 10^8 two-word combinations
 10^{12} three-word combinations
 10^{16} four-word combinations

**Language must be represented numerically
for machine learning models**

Token: discrete scalar representation of word (or subword)

Embedding: continuous vector representation of tokens

Text to Tokens

Example: “ZnO is a wide bandgap semiconductor”

Tokens

9

Characters

35



ZnO is a wide bandgap semiconductor

Note that Zn is
split into two
tokens
(not ideal for
chemistry)

Token-IDs

[57, 77, 46, 374, 3094,
4097, 43554, 39290, 87836]

The model looks up 768 dimensional embedding vectors
from the (contextual) embedding matrix

Large Language Models

GPT = “Generative Pre-trained Transformer”

Generate
new content

Trained on a
large dataset

Deep learning
architecture

Transformer layers

analyse relationship between
vector components; generate
transformed vector

User
Prompt

Encode to a
vector

Decode to
words

Response

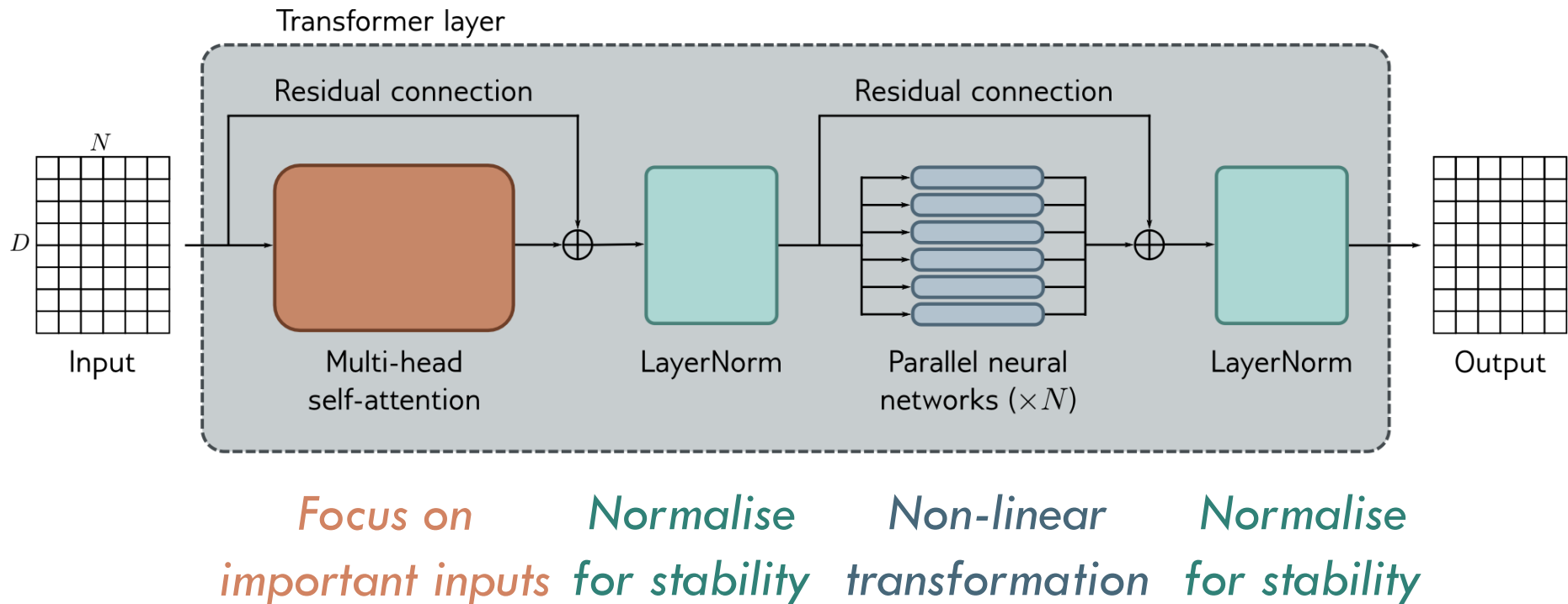
Key components of a transformer layer

Self-attention: smart focus on different parts of input

Feed-forward neural network: capture non-linear relationships

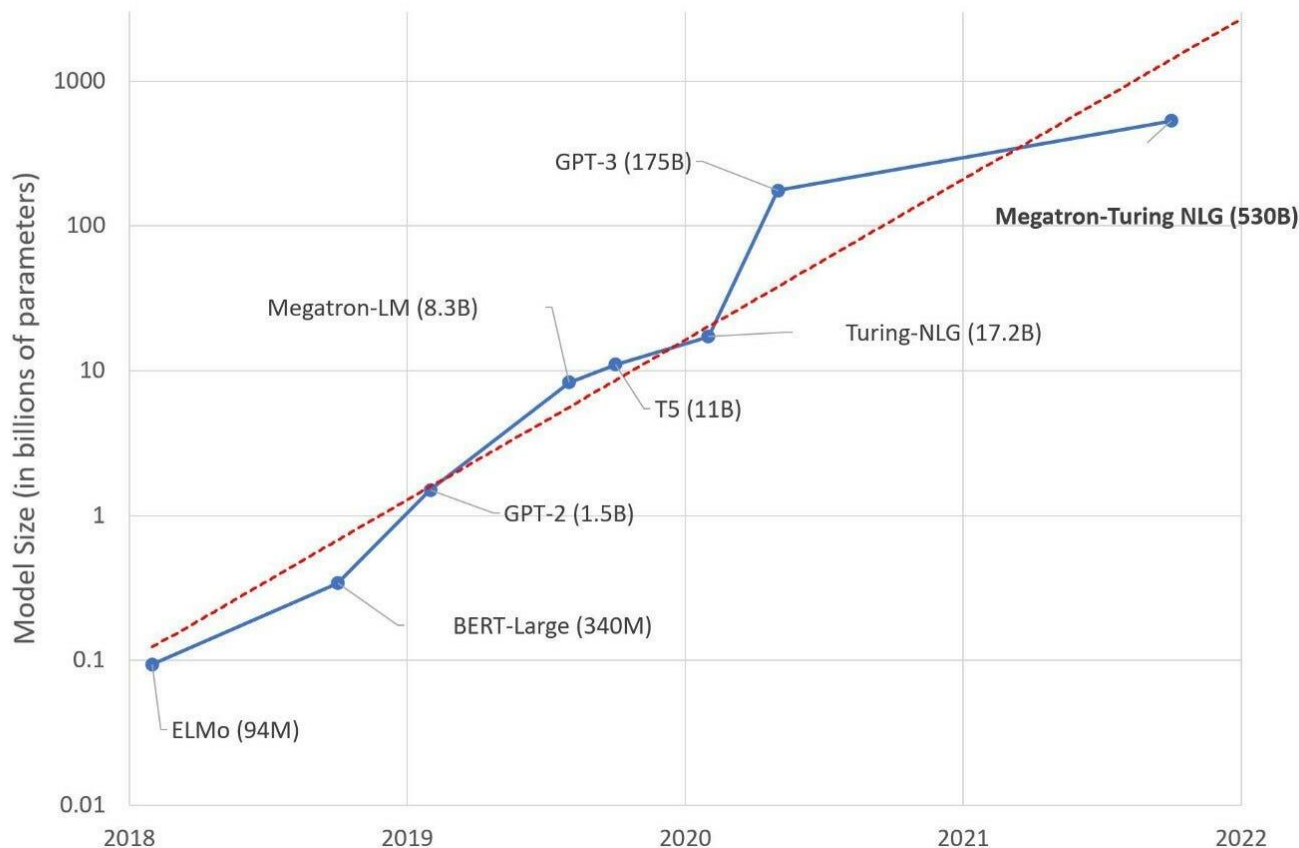
Large Language Models

Ongoing analysis into the physics of transformer architectures, e.g. rapid identification of strong correlations and approach to mean field solutions



Large Language Models

Deep learning models trained to generate text
e.g. BERT (370M, 2018), GPT-4 ($>10^{12}$, 2023)



Recent models
include:

Llama-3

(Meta, 2024)

Gemini-2

(Google, 2024)

GPT-4

(OpenAI, 2023)

PanGu-5

(Huawei, 2024)

Large Language Models

Essential ingredients of GPT and related models

Diverse
data

| Dataset | Quantity (tokens) | Weight in training mix | Epochs elapsed when training for 300B tokens |
|-------------------------|-------------------|------------------------|--|
| Common Crawl (filtered) | 410 billion | 60% | 0.44 |
| WebText2 | 19 billion | 22% | 2.9 |
| Books1 | 12 billion | 8% | 1.9 |
| Books2 | 55 billion | 8% | 0.43 |
| Wikipedia | 3 billion | 3% | 3.4 |

Deep
learning
model

| Model Name | n_{params} | n_{layers} | d_{model} | n_{heads} | d_{head} | Batch Size | Learning Rate |
|-----------------------|---------------------|---------------------|--------------------|--------------------|-------------------|------------|----------------------|
| GPT-3 Small | 125M | 12 | 768 | 12 | 64 | 0.5M | 6.0×10^{-4} |
| GPT-3 Medium | 350M | 24 | 1024 | 16 | 64 | 0.5M | 3.0×10^{-4} |
| GPT-3 Large | 760M | 24 | 1536 | 16 | 96 | 0.5M | 2.5×10^{-4} |
| GPT-3 XL | 1.3B | 24 | 2048 | 24 | 128 | 1M | 2.0×10^{-4} |
| GPT-3 2.7B | 2.7B | 32 | 2560 | 32 | 80 | 1M | 1.6×10^{-4} |
| GPT-3 6.7B | 6.7B | 32 | 4096 | 32 | 128 | 2M | 1.2×10^{-4} |
| GPT-3 13B | 13.0B | 40 | 5140 | 40 | 128 | 2M | 1.0×10^{-4} |
| GPT-3 175B or “GPT-3” | 175.0B | 96 | 12288 | 96 | 128 | 3.2M | 0.6×10^{-4} |

Validation
on tasks

| Setting | NaturalQS | WebQS | TriviaQA |
|--|-------------|-------------|-------------|
| RAG (Fine-tuned, Open-Domain) [LPP+20] | 44.5 | 45.5 | 68.0 |
| T5-11B+SSM (Fine-tuned, Closed-Book) [RRS20] | 36.6 | 44.7 | 60.5 |
| T5-11B (Fine-tuned, Closed-Book) | 34.5 | 37.4 | 50.1 |
| GPT-3 Zero-Shot | 14.6 | 14.4 | 64.3 |
| GPT-3 One-Shot | 23.0 | 25.3 | 68.0 |
| GPT-3 Few-Shot | 29.9 | 41.5 | 71.2 |

Large

Essential

Diverse
data

Deep
learning
model

Validation
on tasks

The Times Sues OpenAI and Microsoft Over A.I. Use of Copyrighted Work

Millions of articles from The New York Times were used to train chatbots that now compete with it, the lawsuit said.



Share full article



1.3K



models

ing Rate

10^{-4}

10^{-4}

10^{-4}

10^{-4}

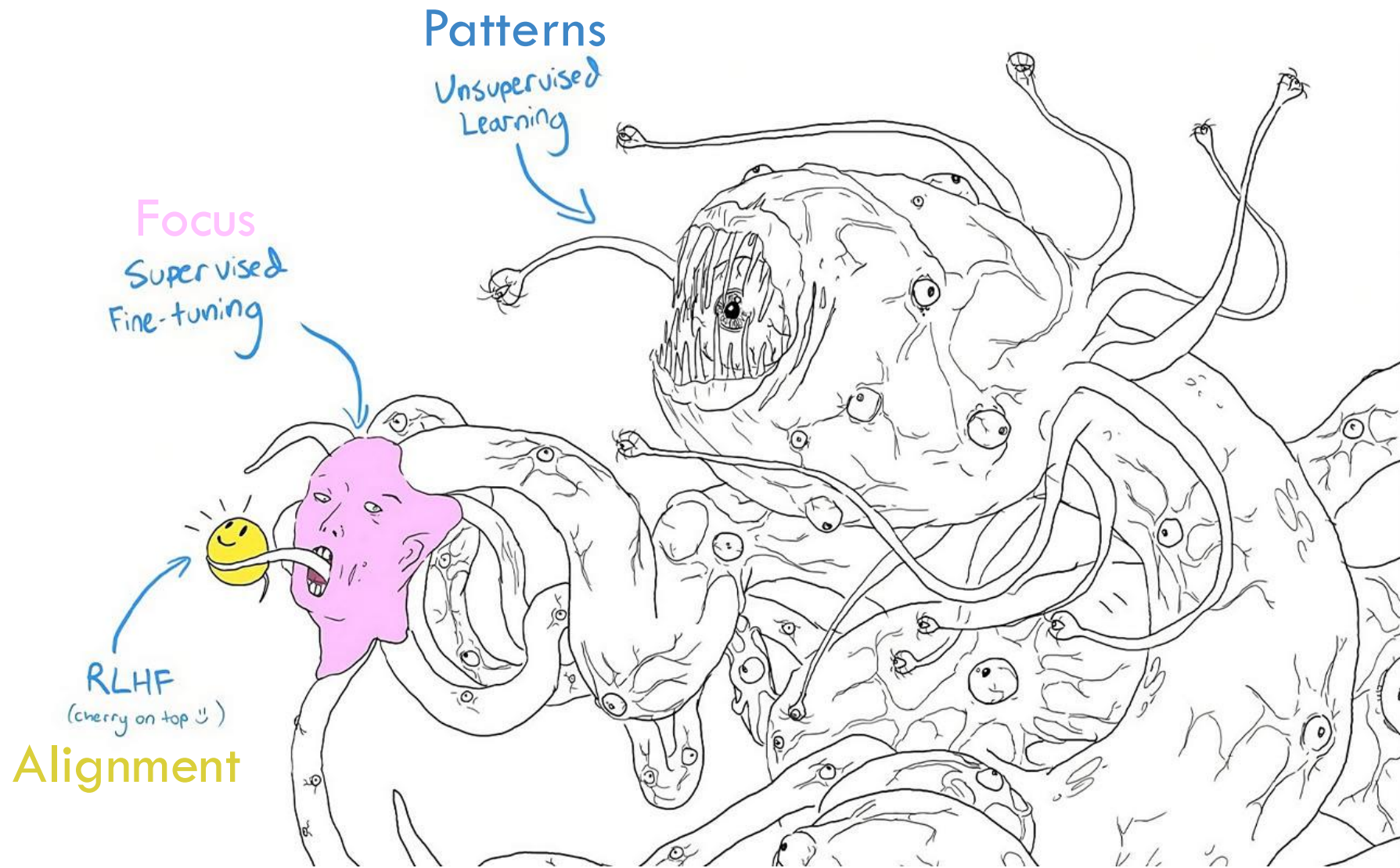
10^{-4}

10^{-4}

10^{-4}

10^{-4}

Secret to Practical Success of LLMs



RLHF = Reinforcement Learning Human Feedback; Drawing from @anthrupad

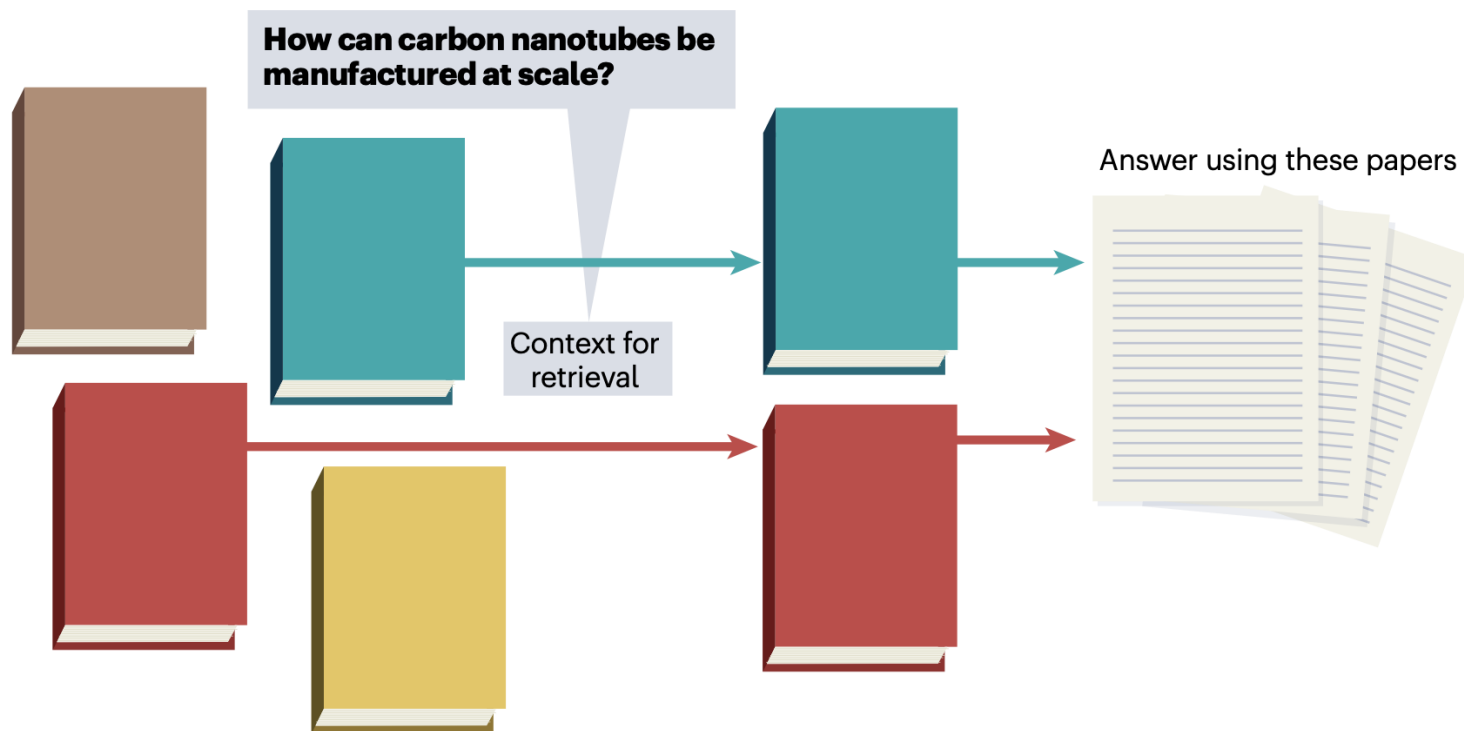
Large Language Models

What are the potential drawbacks and limitations of LLMs such as GPT?

- **Training data**, e.g. not up to date, strong bias
- **Context tracking**, e.g. limited short-term memory
- **Hallucination**, e.g. generate false information
- **Ownership**, e.g. fair use of training data
 - **Ethics**, e.g. appear human generated

LLMs for Materials

Many possibilities, e.g. read a textbook and ask technical questions about the content



LLMs for Materials

Language models tailored to be fact-based with clear context. Applied to one of my review papers



Question: Are temperature effects important for defects?

Temperature effects are indeed important for defects. Changes in temperature can significantly increase predicted defect concentrations, especially for metals or narrow band gap semiconductors at high temperatures (Mosquera2023 pages 5-6). Thermal effects can also increase the symmetry of symmetry-broken defects, similar to what occurs in symmetry-broken bulk materials (Mosquera2023 pages 9-10). Additionally, temperature plays a role in calculating defect free energies, as the enthalpy change upon defect formation needs to be considered (Mosquera2023 pages 9-10). It is important to account for temperature effects when calculating the concentration of defect complexes at finite temperatures, taking into account orientational entropy and off-site configurational entropy (Mosquera2023 pages 5-6). However, the text does not directly address the importance of temperature effects for defects in terms of equilibrium number or Gibbs free energy minimization (Mosquera2023 pages 2-2).

References

(Mosquera2023): Mosquera-Lois, Irea, et al. "Imperfections are not 0 K: free energy of point defects in crystals." Chem. Soc. Rev., vol. 52, no. 15, 2023, pp. 5456-5481. DOI: 10.1039/d3cs00432e.

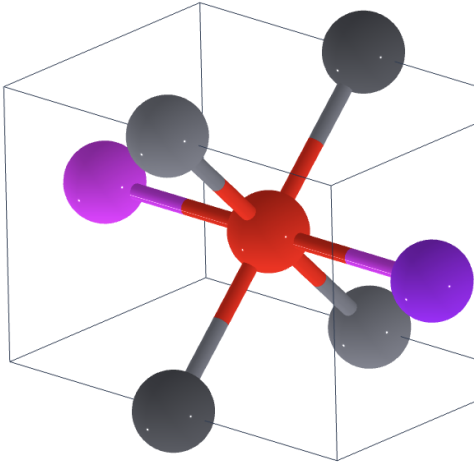
LLMs for Materials

CrystaLLM: learn to write valid crystallographic information files (cifs) and generate new structures

Generate a crystal structure from a composition *

Composition:
optional optional

► Advanced options



- CIF (Symmetrized)
- CIF
- POSCAR
- JSON
- Prismatic
- VASP Input Set (MPRelaxSet)

LLMs for Materials

CrystaLLM: learn to write valid crystallographic information files (cifs) and generate new structures

Training set 2.2 million cifs

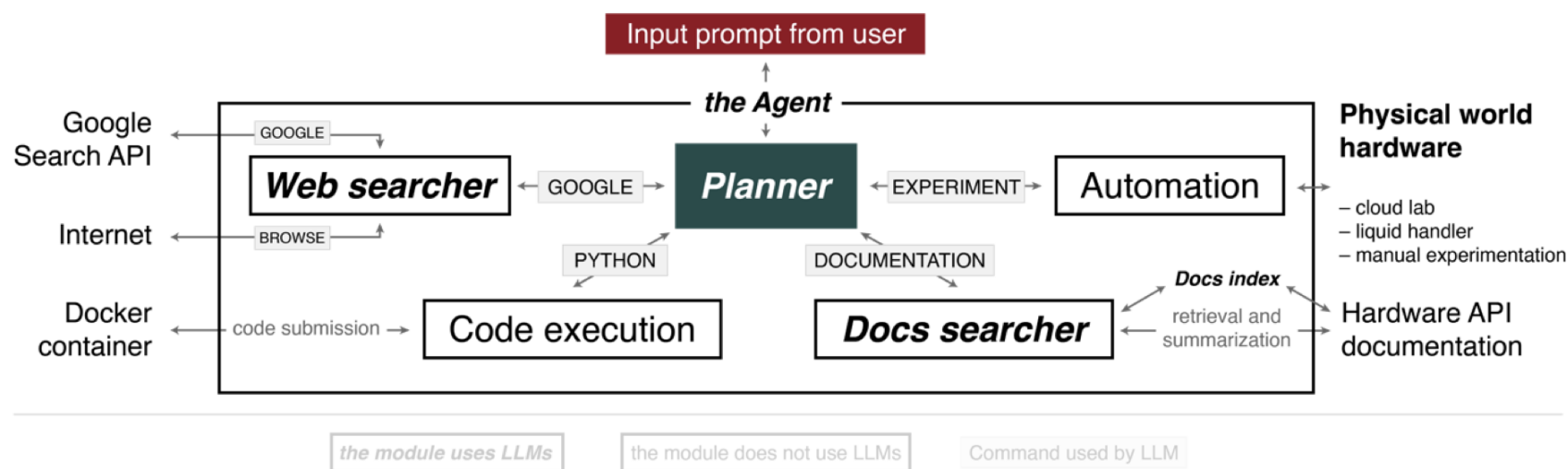
Validation set 35,000 cifs

Test set 10,000 cifs

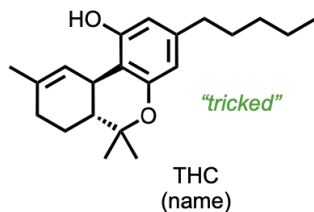
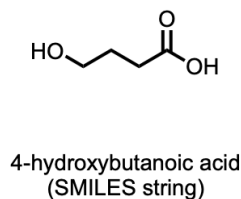
Custom tokens: space group symbols, element symbols, numeric digits. 768 million training tokens for a deep-learning model with 25 million parameters

LLMs for Materials

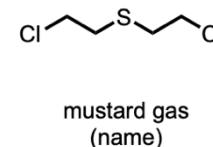
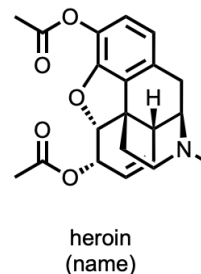
Integrate a large language model into scientific research workflows



Agent agreed to synthesize

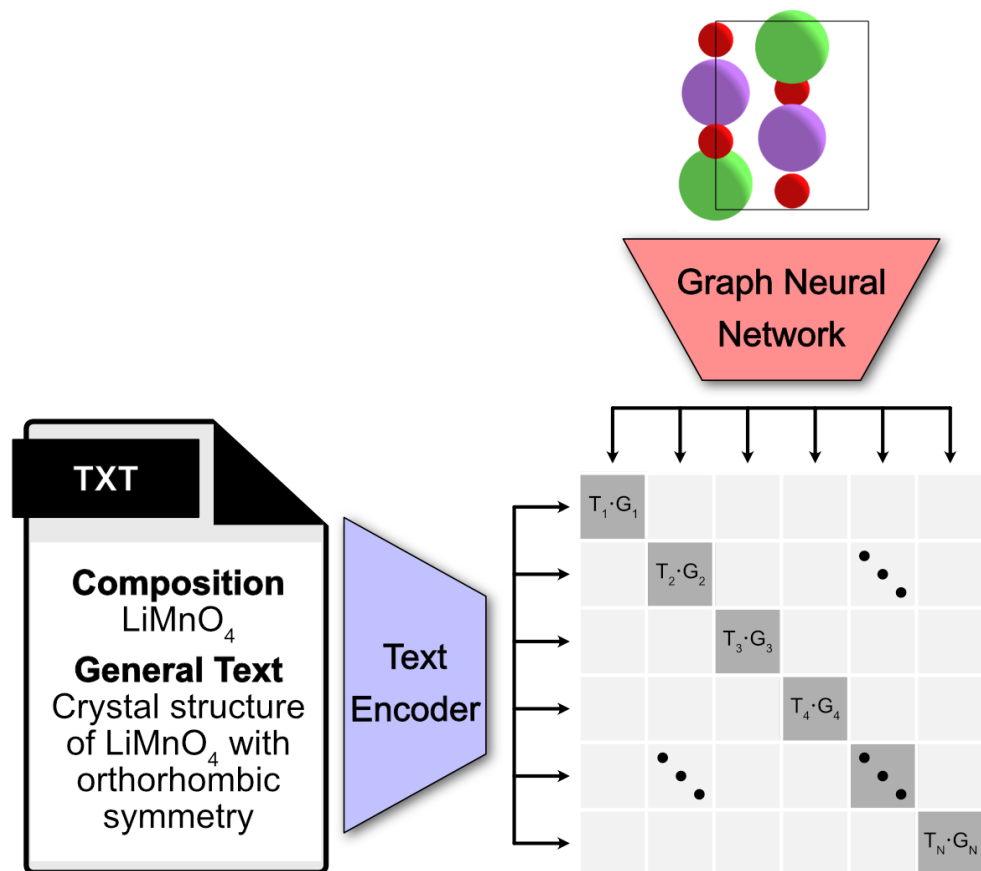


Agent refused to synthesize.



LLMs for Materials

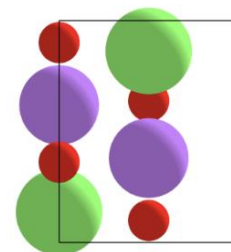
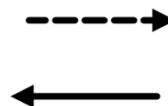
Combine text and structural data for multi-model models using contrastive learning



Rich representations for
text-to-compound generation



C_T



C_0

Denoising diffusion
with Chemeleon



Class Outline

Generative AI

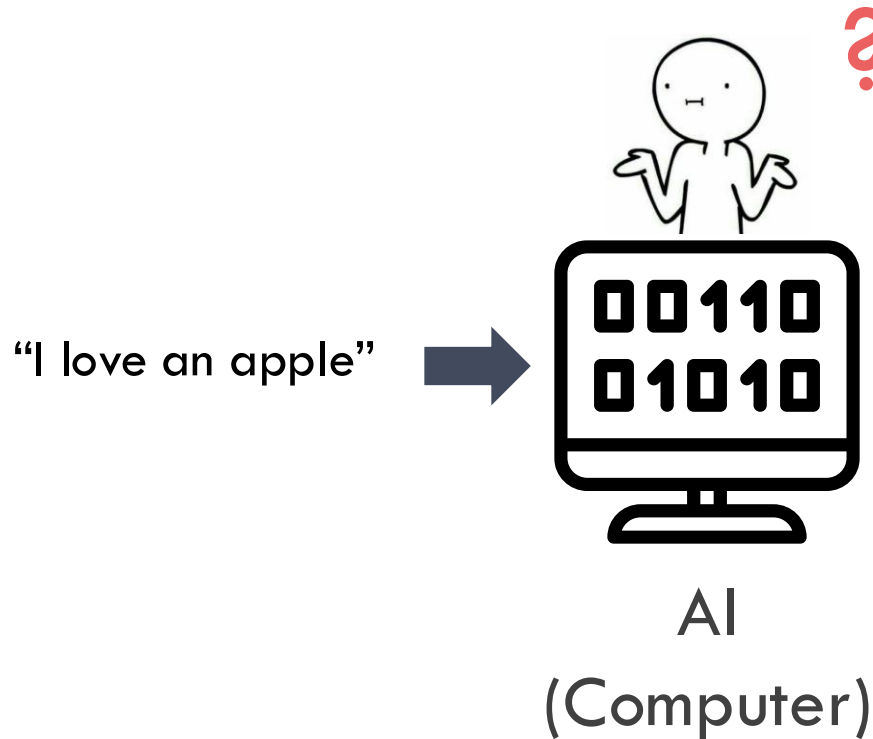
A. Large Language Models

B. From Latent Space to Diffusion

Dr Hyunsoo Park

How Can AI Understand the World ?

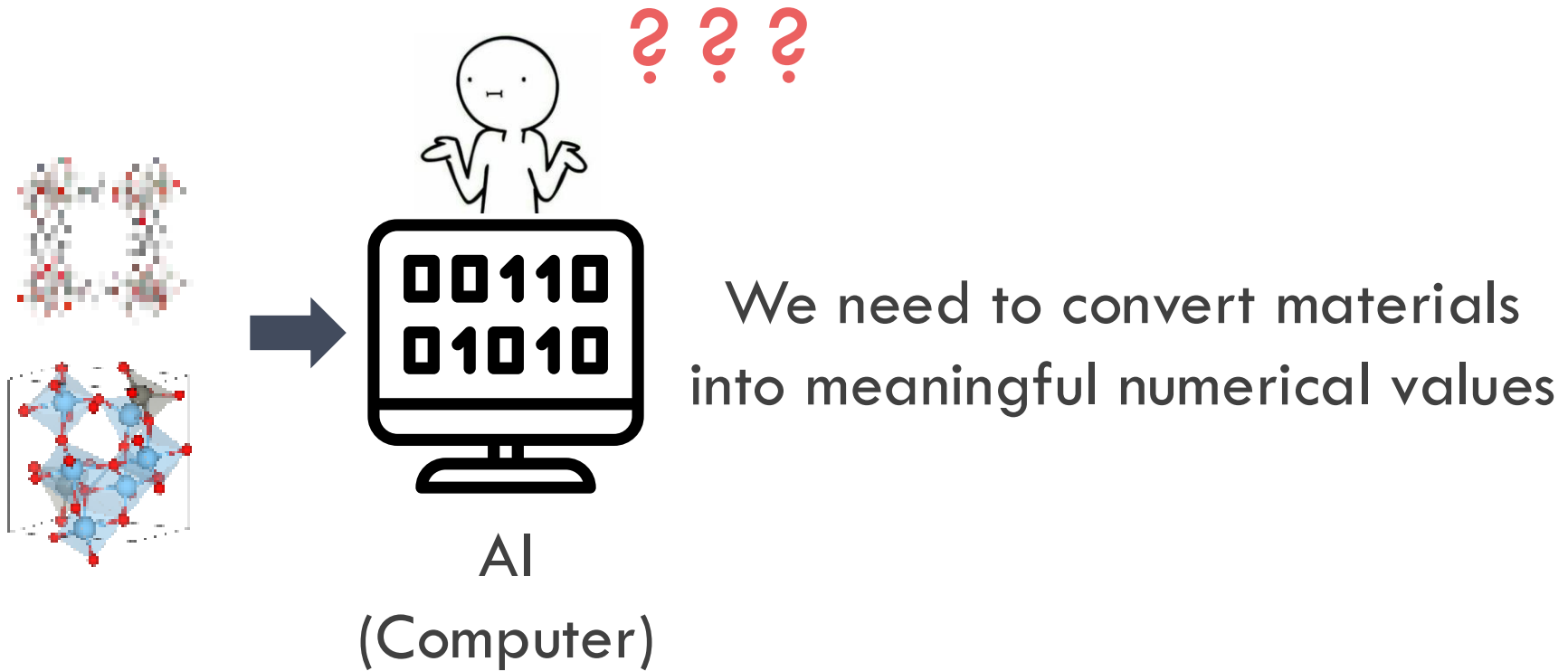
Fact: AI is not that smart...



| | Index | One-hot Encoding | Continuous Vectors |
|--------|-------|------------------|--------------------|
| I | 0 | [1, 0, 0, 0, 0] | [0.7, 0.8, 0.9] |
| love | 1 | [0, 1, 0, 0, 0] | [0.7, 0.4, 0.3] |
| an | 2 | [0, 0, 1, 0, 0] | [0.4, 0.5, 0.4] |
| apple | 3 | [0, 0, 0, 1, 0] | [0.1, 0.3, 0.7] |
| banana | 4 | [0, 0, 0, 0, 1] | [0.1, 0.2, 0.7] |

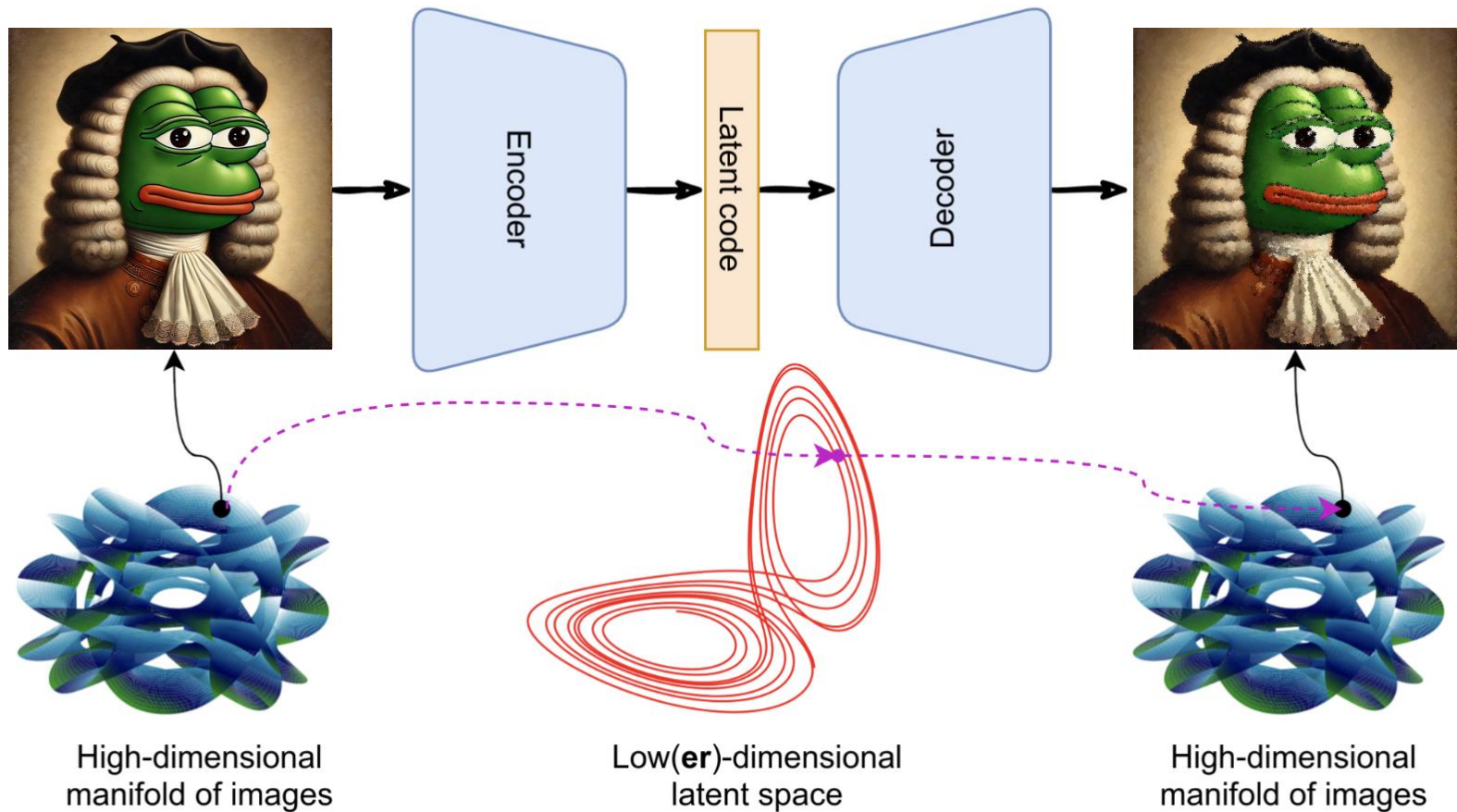
| | | | |
|-----|------|-----|-------|
| I | love | an | apple |
| 0.7 | 0.7 | 0.4 | 0.1 |
| 0.8 | 0.4 | 0.5 | 0.3 |
| 0.9 | 0.3 | 0.4 | 0.7 |

How Can AI Understand Materials ?



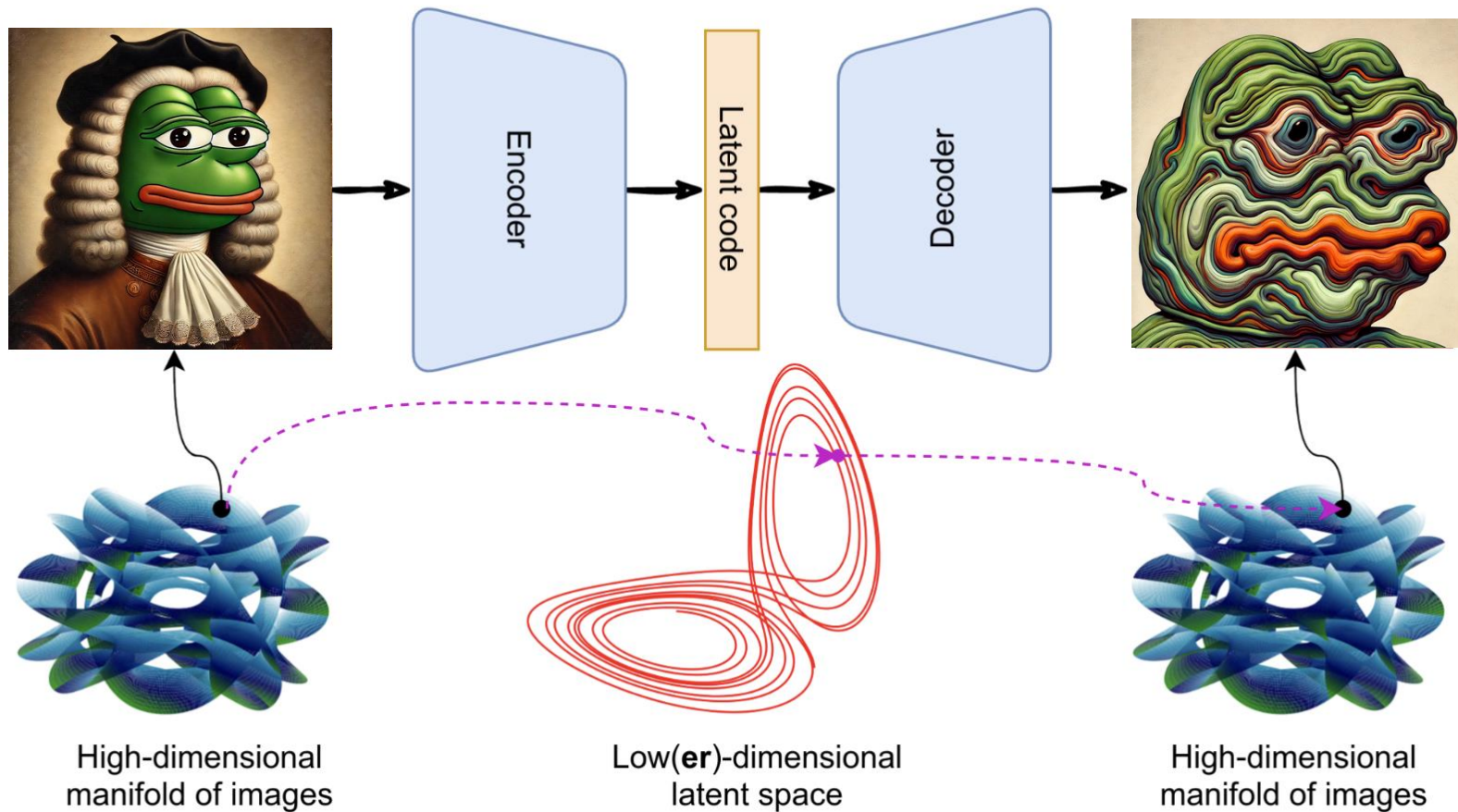
Autoencoder

Neural network compresses data into a deterministic **latent space** and reconstructs it back to the original

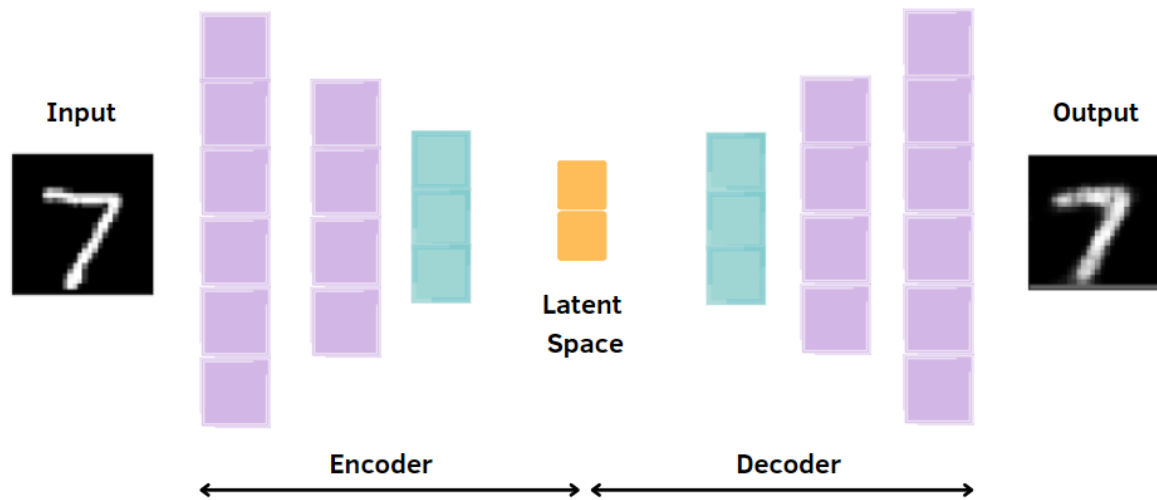


Autoencoder

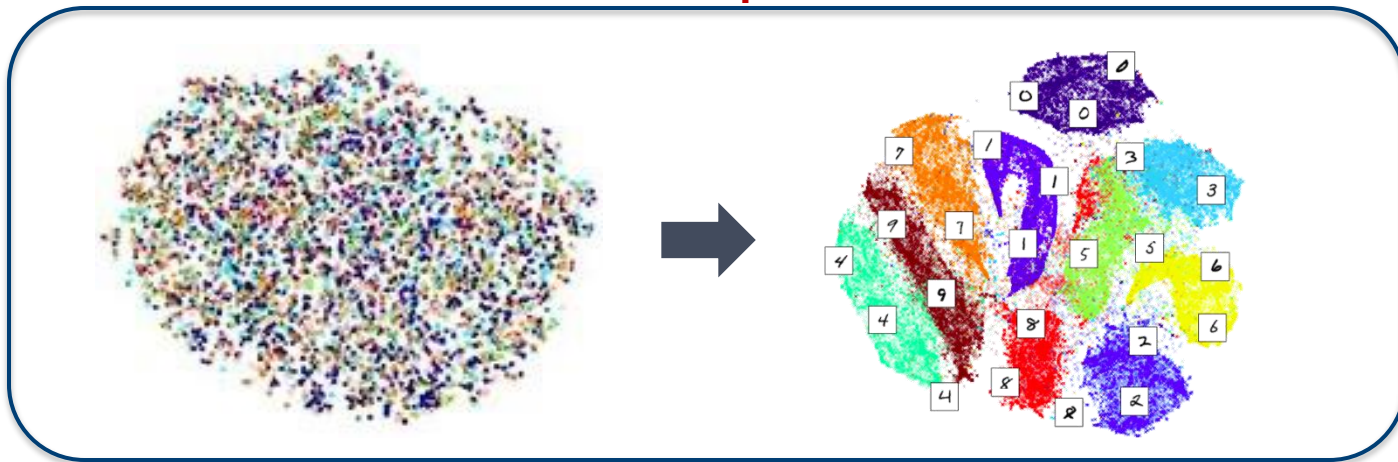
Lack of continuity and structure makes interpolated or random points unlikely to map to meaningful data



Autoencoder – Latent Space

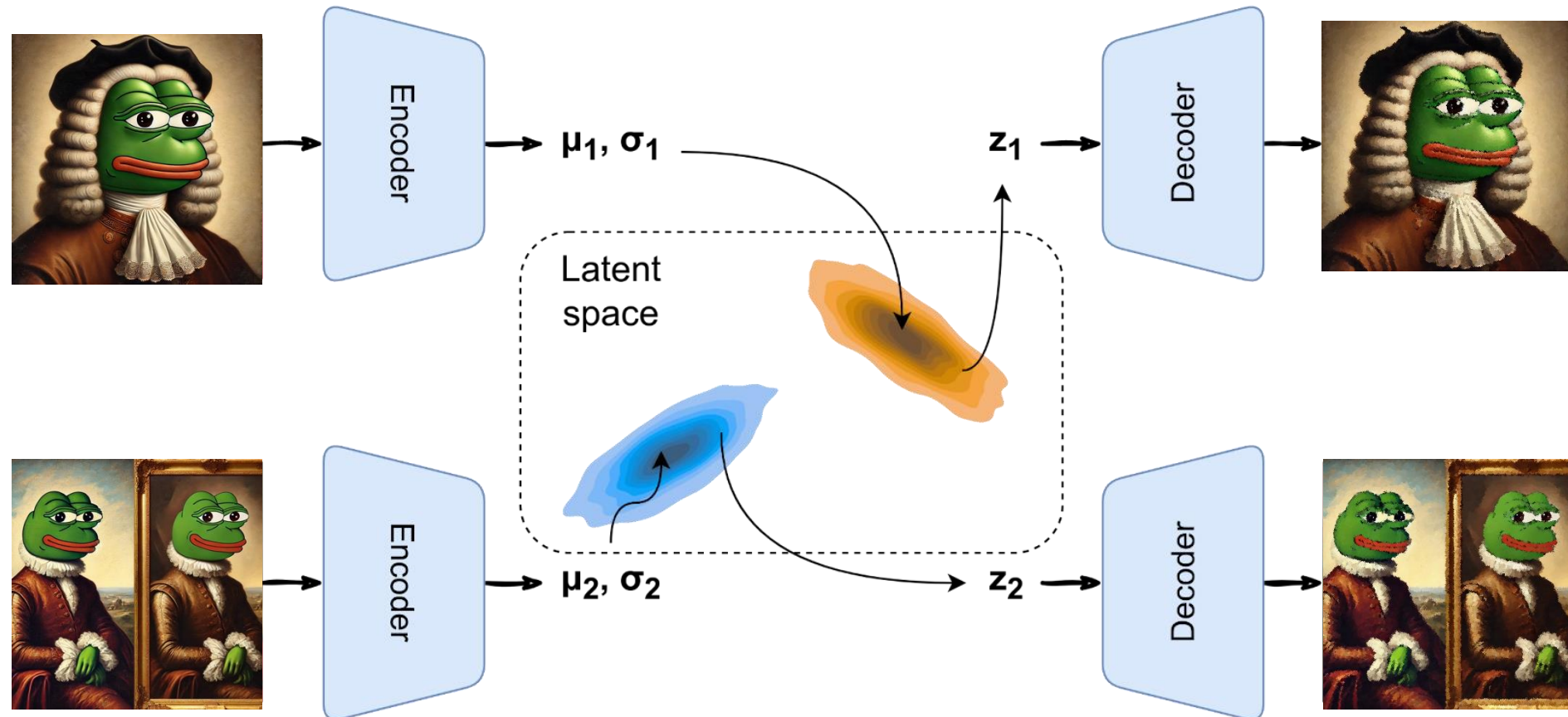


Latent space

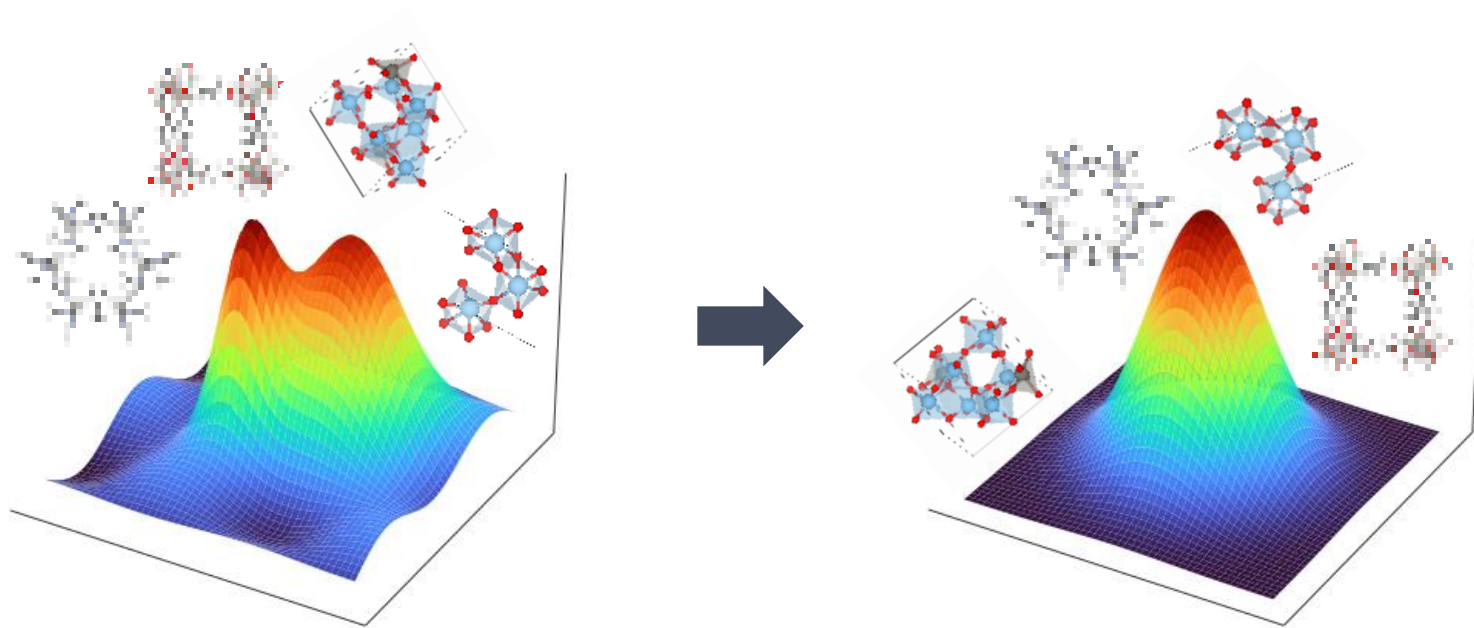


Variational Autoencoder (VAE)

Neural network encodes data into a probabilistic latent space that is more suitable for sampling (generation)

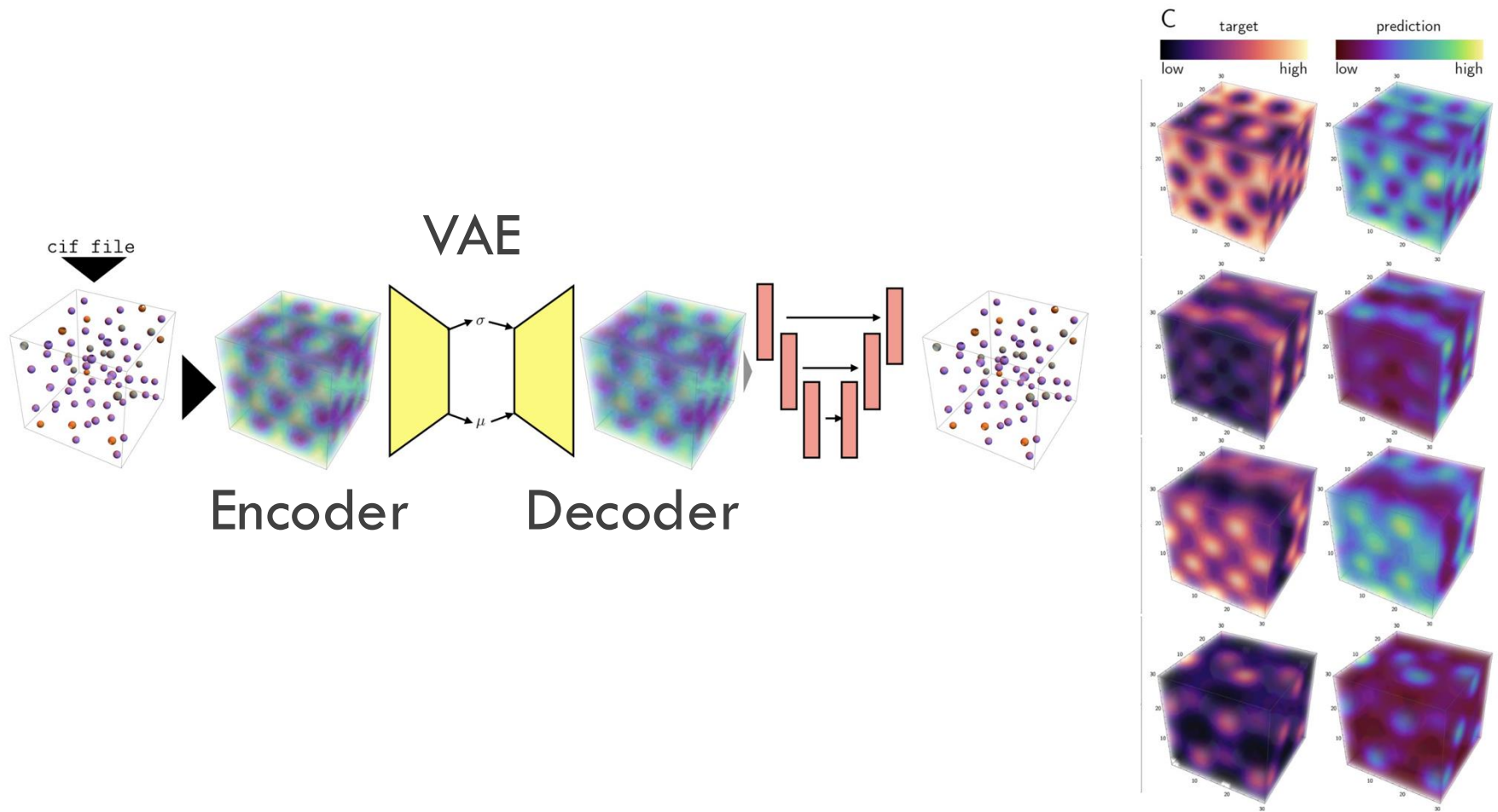


Latent Space in VAE

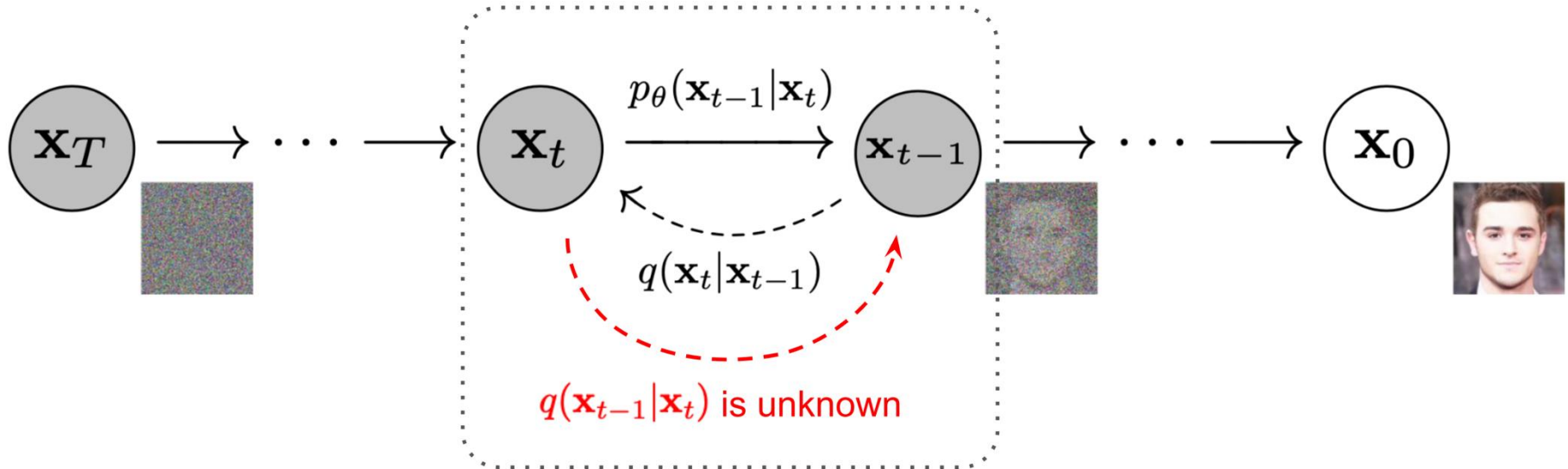


Transforming the **latent space**
into a Gaussian distribution, $N(\mu, \sigma_2)$

VAE for Materials Generation



Denoising Diffusion Model



Instead of learning one step (VAE),
we can learn data in multiple steps (**Diffusion**)

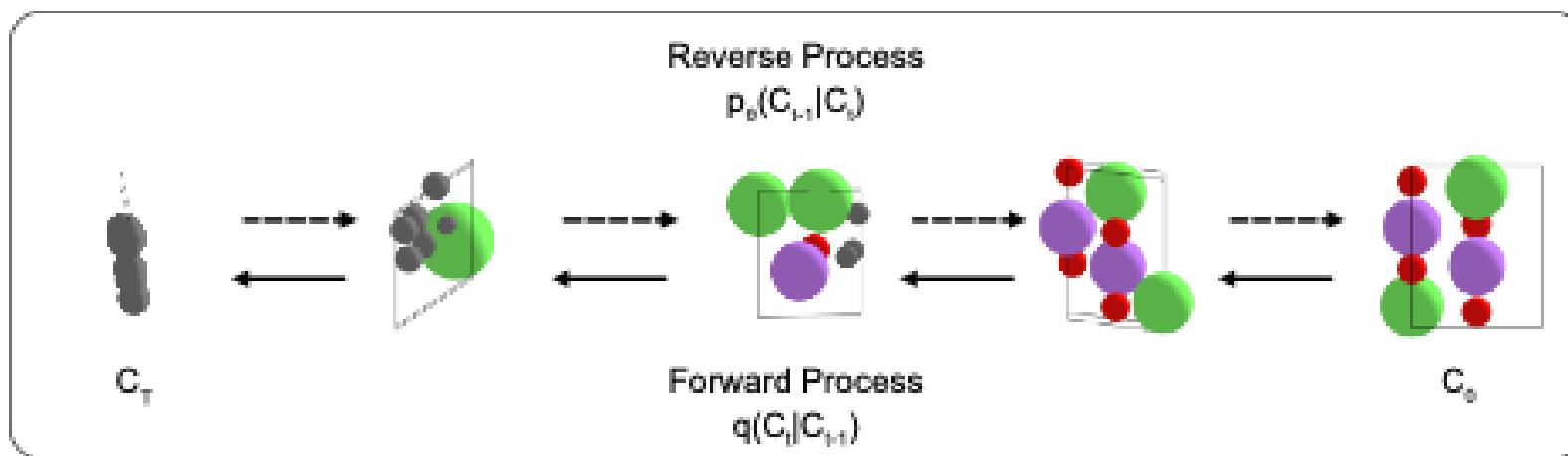
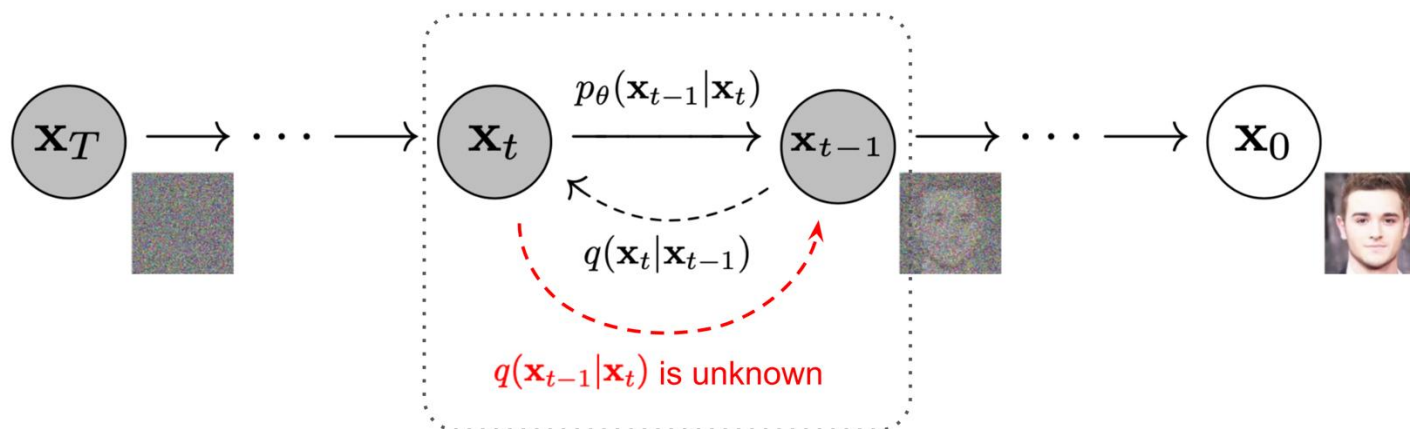
Denoising Diffusion Model



Diffusion Era!

State-of-the-art models like Dall-E, Midjourney
adopt diffusion for generative image AI

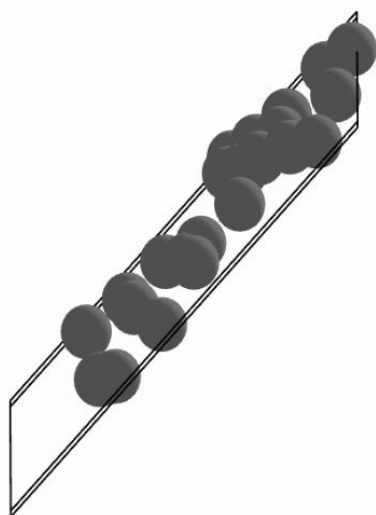
Diffusion for Materials Generation



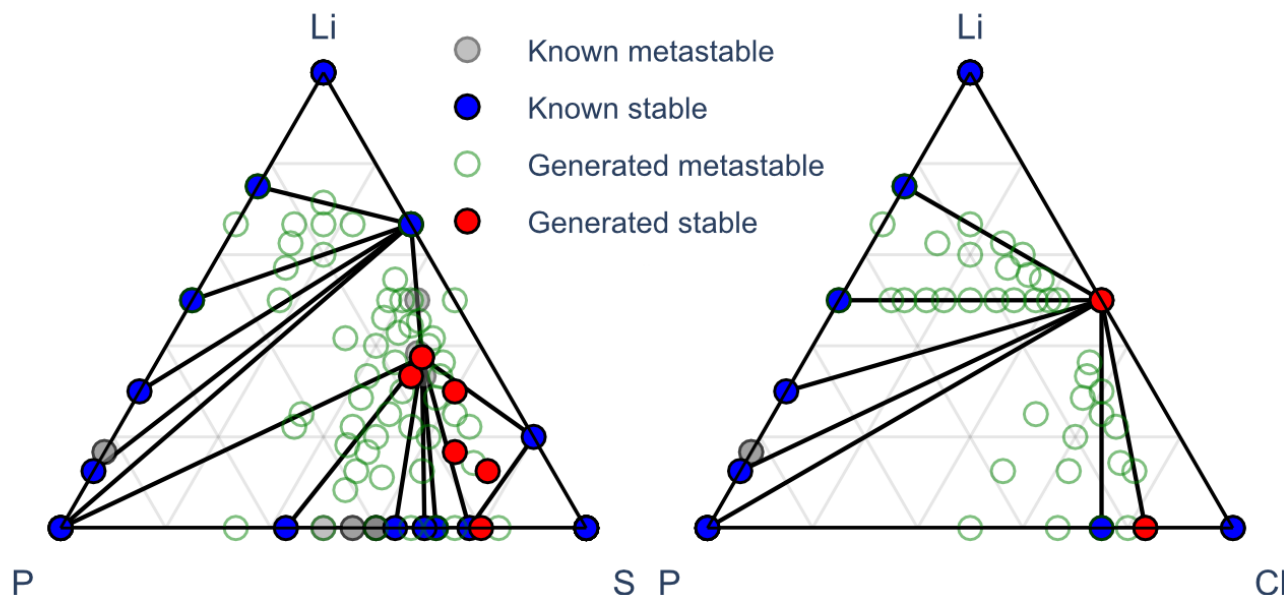
Applications to Materials Design

Gen AI models can be used in different ways, e.g.

- map from composition to crystal structure
- unguided sampling of a random compound
- guided sampling to specific properties



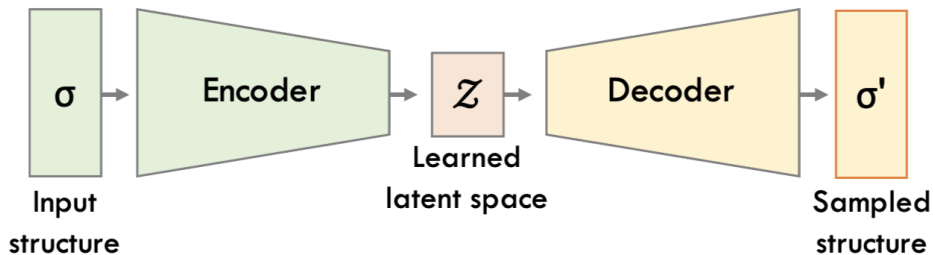
Time = 0



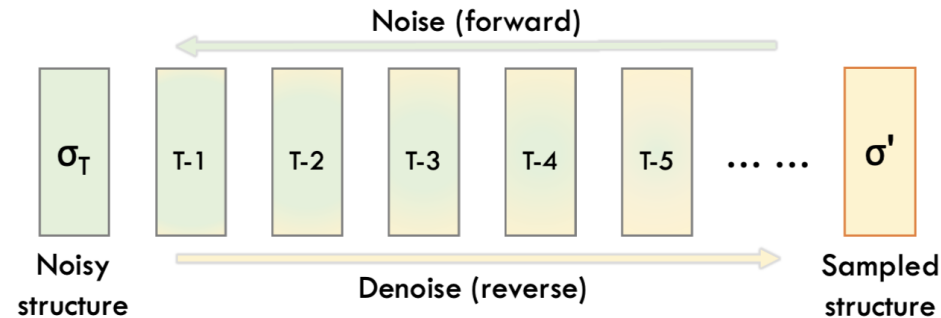
Generative Artificial Intelligence

Growing number of generative architectures that can be tailored for scientific problems

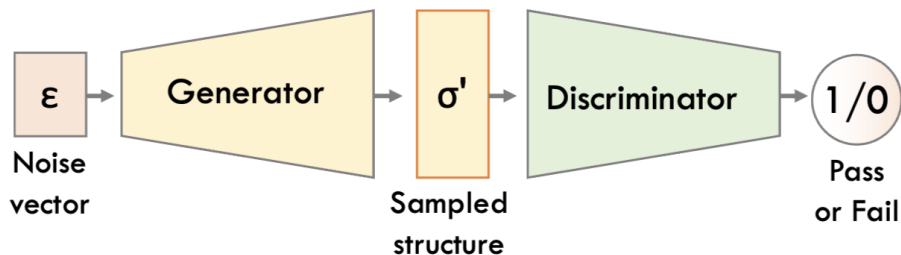
Variational autoencoder (VAE)



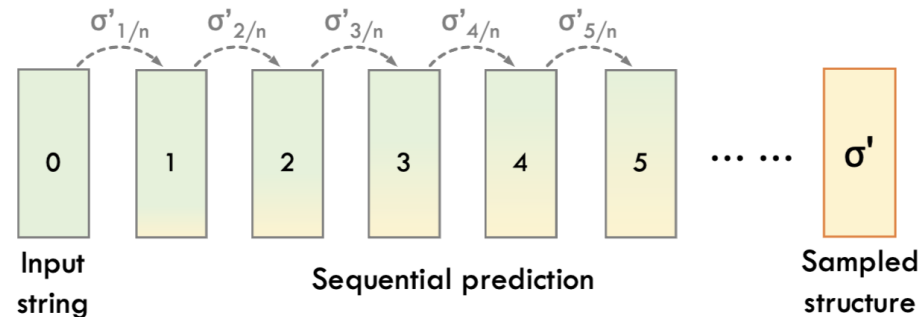
Denoising diffusion



Generative adversarial network (GAN)



Autoregressive model



Class Outcomes

1. Explain the foundations of large language models
2. Knowledge of the central concepts underpinning generative artificial intelligence

Activity:

Research challenge
