



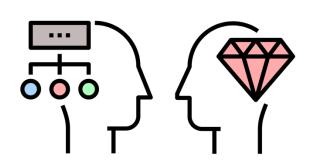
## Machine Learning for Materials

8. Accelerated Discovery

#### **Aron Walsh**

Department of Materials

Centre for Processable Electronics



#### Module Contents

- 1. Introduction
- 2. Machine Learning Basics
  - 3. Materials Data
- 4. Crystal Representations
  - 5. Classical Learning
- 6. Artificial Neural Networks
- 7. Building a Model from Scratch
  - 8. Accelerated Discovery
- 9. Generative Artificial Intelligence
  - 10. Recent Advances

"A problem in artificial intelligence is one which is so complex that it cannot be solved using any normal algorithm"

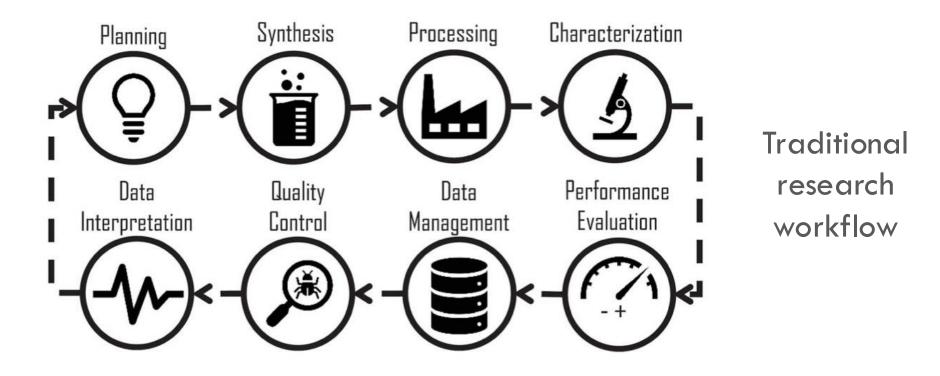
#### Class Outline

#### **Accelerated Discovery**

- A. Automated Experiments
  - B. Bayesian Optimisation
- C. Reinforcement Learning

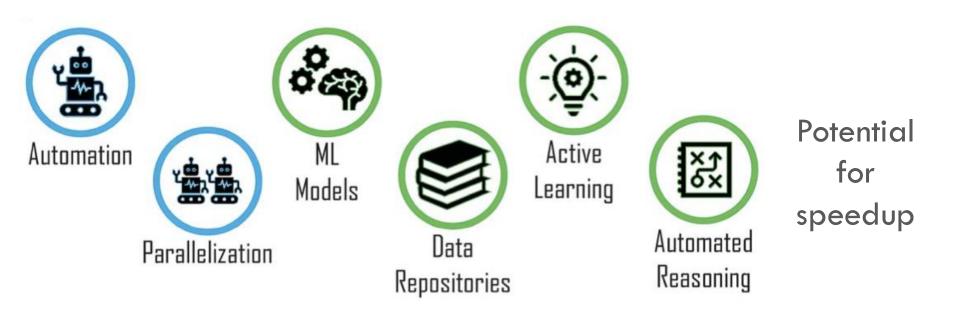
#### Accelerate Scientific Discovery

Research can be broken down into a set of tasks that can each benefit from acceleration



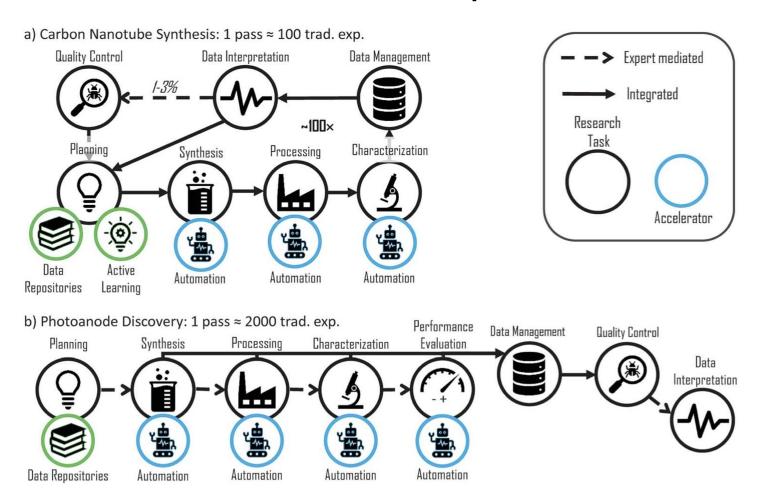
#### Accelerate Scientific Discovery

Research can be broken down into a set of tasks that can each benefit from acceleration

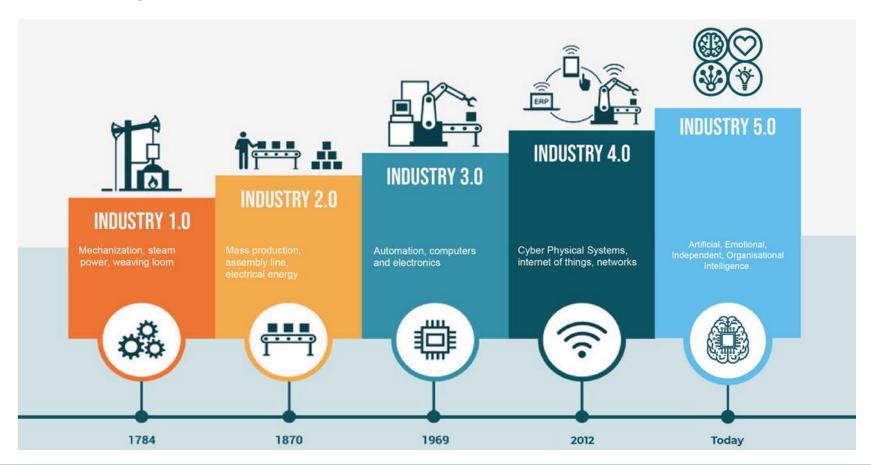


## Accelerate Scientific Discovery

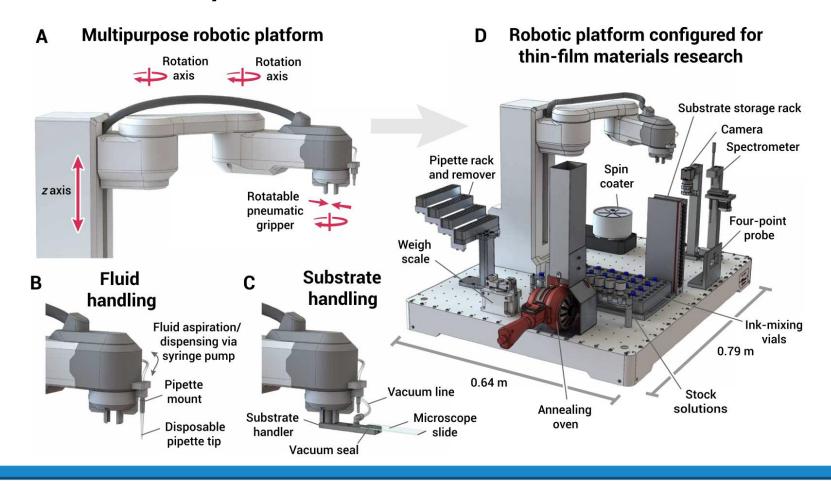
#### Workflow classification of published studies



Execution of physical tasks to achieve a target using autonomous or collaborative robots



Robots can be tailored for a wide range of materials synthesis and characterisation tasks



B. P. MacLeod et al, Science Advances 6, eaaz8867 (2020)

Self-driving labs (SDL) are now operating



## Flexible Automation Systems

#### Modular hardware with computer-controlled synthesis and characterisation















**Small Molecules** 

Materials

**Applications** 

## Flexible Automation Systems

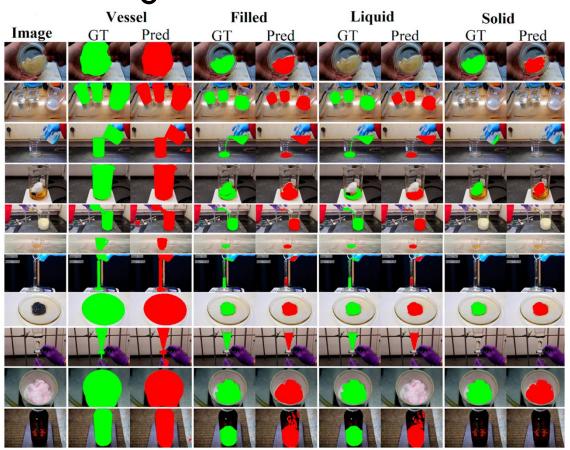
Automation platforms designed to deliver complex research workflows (fixed platform or mobile)

Usually a mix of proprietary code, with GUI and Python API for user control





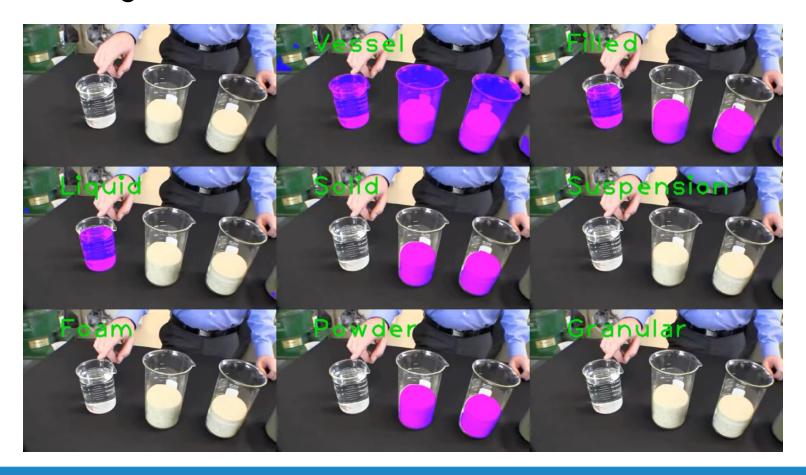
Robots can be equipped with sensors and artificial intelligence to interact with their environment



Adapting computer vision models for laboratory settings

GT = ground truth Pred = predicted

Robots can be equipped with sensors and artificial intelligence to interact with their environment



## **Optimisation**

Algorithms to efficiently achieve a desired research objective. Considerations:

**Objective function (O):** Materials properties or device performance criteria, e.g. battery lifetime

Parameter selection: Variables that can be controlled, e.g. temperature, pressure, composition

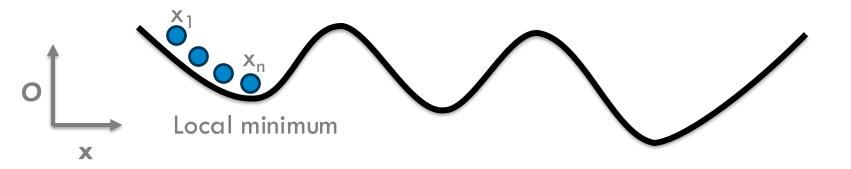
Data acquisition: How the data is collected, e.g. instruments, measurements, automation

#### **Optimisation Algorithms**

**Local optimisation** – find the best solution in a limited region of the parameter space (x)

**Gradient based:** iterate in the direction of the steepest gradient ( $d\mathbf{O}/d\mathbf{x}$ ), e.g. gradient descent

**Hessian based:** use information from the second derivatives  $(d^2\mathbf{O}/d\mathbf{x}^2)$ , e.g. quasi-Newton

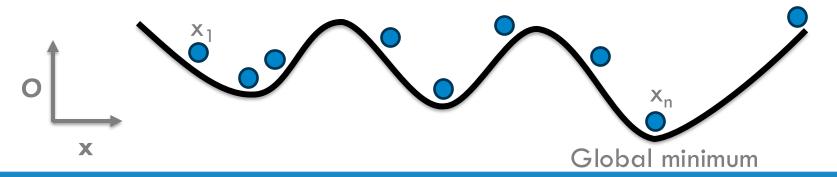


#### **Optimisation Algorithms**

Global optimisation – find the best solution from across the entire parameter space

Numerical: iterative techniques to explore parameter space, e.g. downhill simplex, simulated annealing

Probabilistic: incorporate probability distributions,
e.g. Markov chain Monte Carlo, Bayesian optimisation



#### Class Outline

#### **Accelerated Discovery**

- A. Automated Experiments
  - **B.** Bayesian Optimisation
- C. Reinforcement Learning

## **Bayesian Optimisation (BO)**

Use prior (measured or simulated) data to decide which <u>experiment to perform</u> next (parameters to sample)

#### Probabilistic (Surrogate) Model

Approximation of the true objective function  $O(x) \sim f(x)$ , e.g. Gaussian process, GP(x,x')

#### **Acquisition Function**

Selection of the next sample point, e.g. upper confidence bound (UCB), probability of improvement (PI), expected improvement (EI)

## Bayesian Optimisation (BO)

Use prior (measured or simulated) data to decide which <u>experiment to perform</u> next (parameters to sample)

```
Probabilistic (Surrogate) Model
```

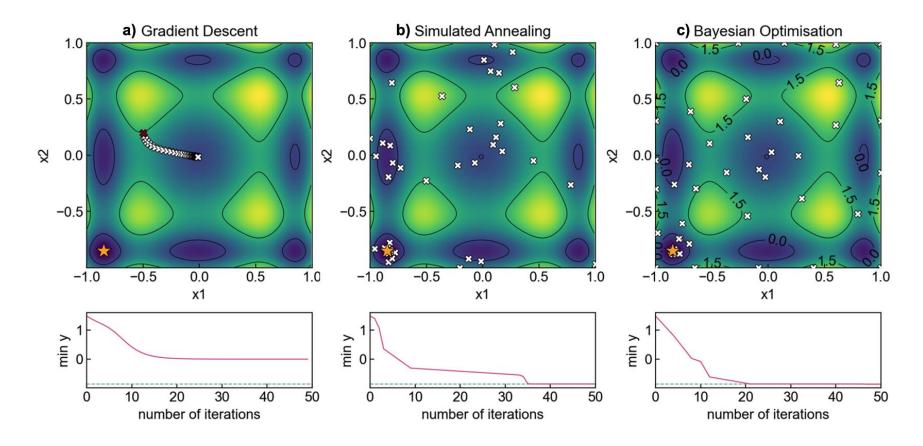
```
Gaussian process: f(x) \sim GP(\mu(x), k(x,x'))
mean Gaussian kernel function function
```

k(x,x') measures the similarity between points x and x'

- Kernel controls function smoothness and defines uncertainty
  - Unobserved point x influenced by similar prior data
  - Dissimilar points default to the mean with high uncertainty

## Bayesian Optimisation (BO)

Use prior (measured or simulated) data to decide which <u>experiment to perform</u> next



Bayesian optimisation for chemistry: Y. Wu et al, Digital Disc. 3, 1086 (2024)

## **Exploration-Exploitation Tradeoff**

Upper confidence bound selects points that maximise the predicted function value of the model

$$x_{\text{next}} = \max_{x} (\mu(x) + \beta \sigma(x))$$

What to do next

Prediction based on prior knowledge

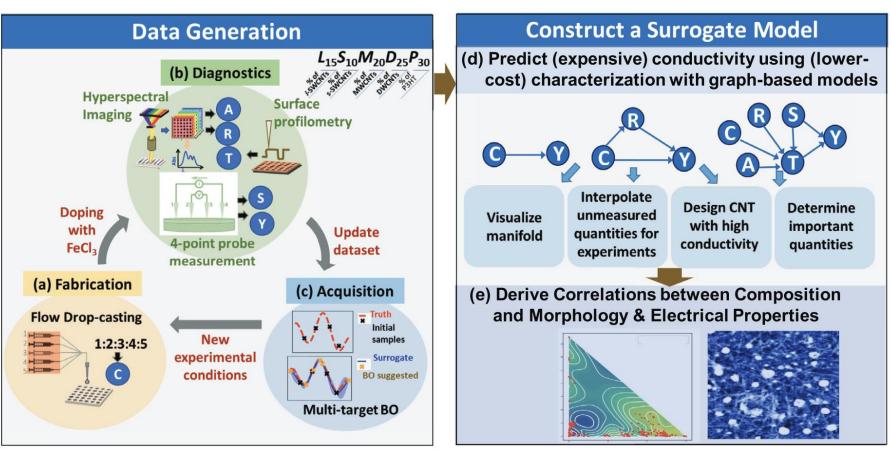
Weighted Uncertainty

A tunable hyperparameter of UCB

```
\beta < 1 focus on exploitation \beta ~ 1 balance risk and reward \beta > 1 focus on exploration
```

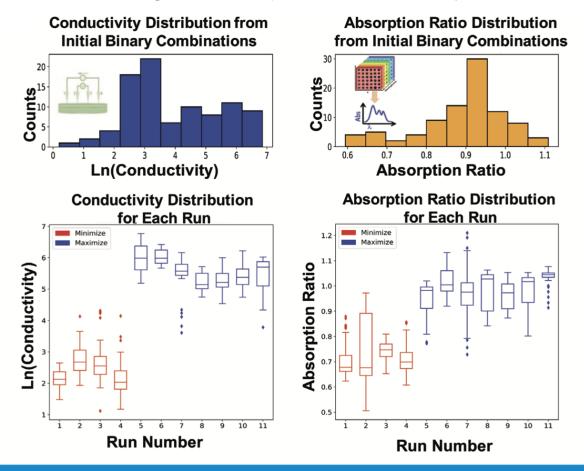
## Applications of BO

# Application to maximise electrical conductivity of a composite (P3HT-CNT) thin-film



## Applications of BO

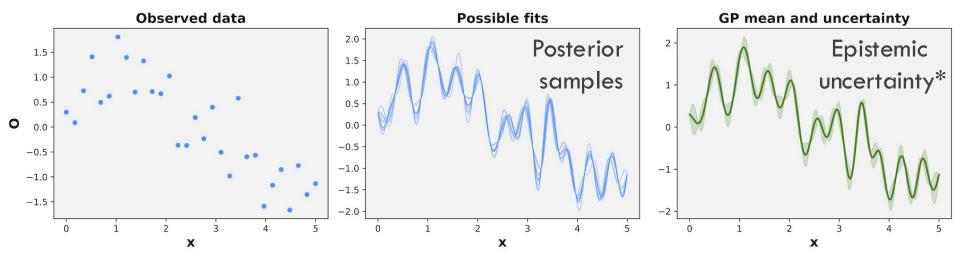
# Application to maximise electrical conductivity of a composite (P3HT-CNT) thin-film



## Active Learning (AL)

**BO:** find inputs that maximise the objective function **AL:** find inputs that enhance model performance

Target unknown regions with the largest uncertainty

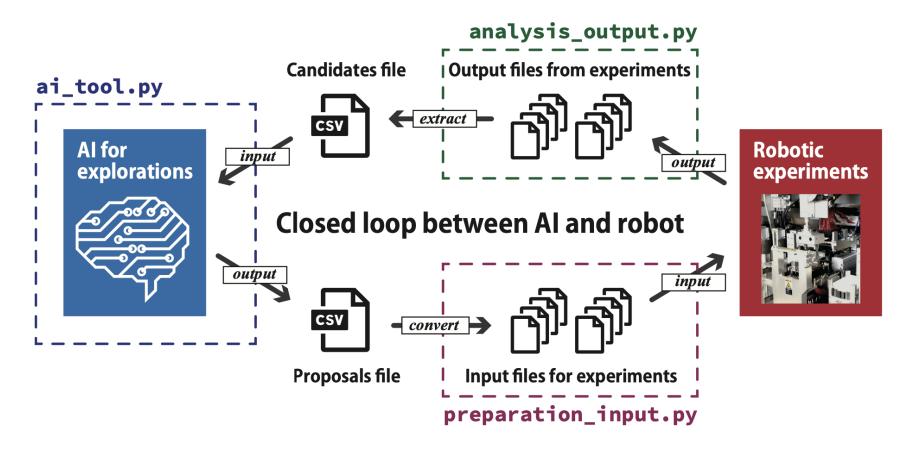


The Gaussian process is updated with new observations to yield revised function values and uncertainty

<sup>\*</sup> Reducible uncertainty associated with lack of information

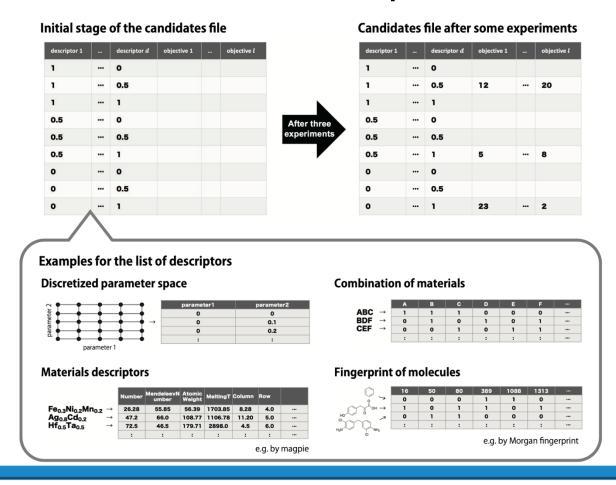
#### Integrated Research Workflows

Feedback loop between optimisation model and automated experiments



#### Integrated Research Workflows

# Feedback loop between optimisation model and automated experiments



#### Class Outline

#### **Accelerated Discovery**

- A. Automated Experiments
  - B. Bayesian Optimisation
- C. Reinforcement Learning

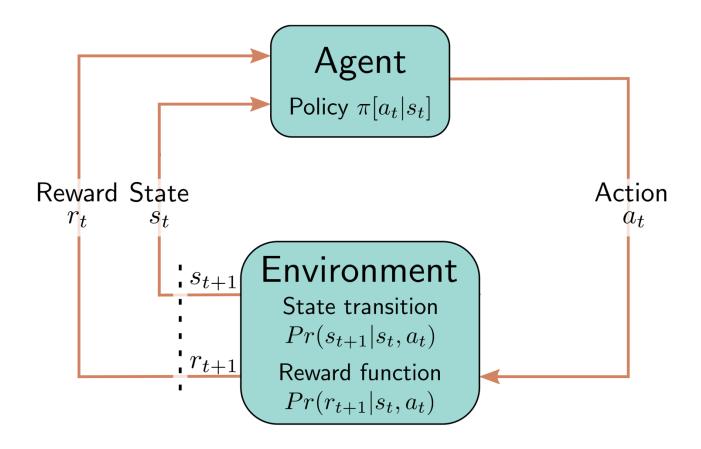
#### Reinforcement Learning (RL)

An agent interacts with an environment to learn decision-making strategies that achieve a specific goal

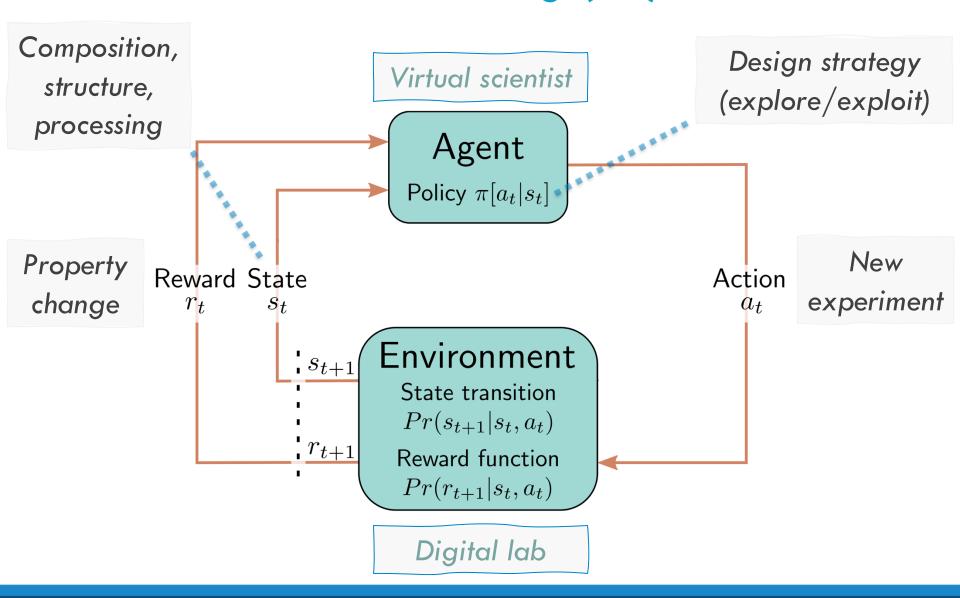


Early applications in video games (maximise score), finance (maximise profit), and robotics (perform a task)

#### Reinforcement Learning (RL)



## Reinforcement Learning (RL)



## RL Policy

Data-driven decision making that adapts over time

Probability of action a, given state s,

Expected reward for action a<sub>t</sub>

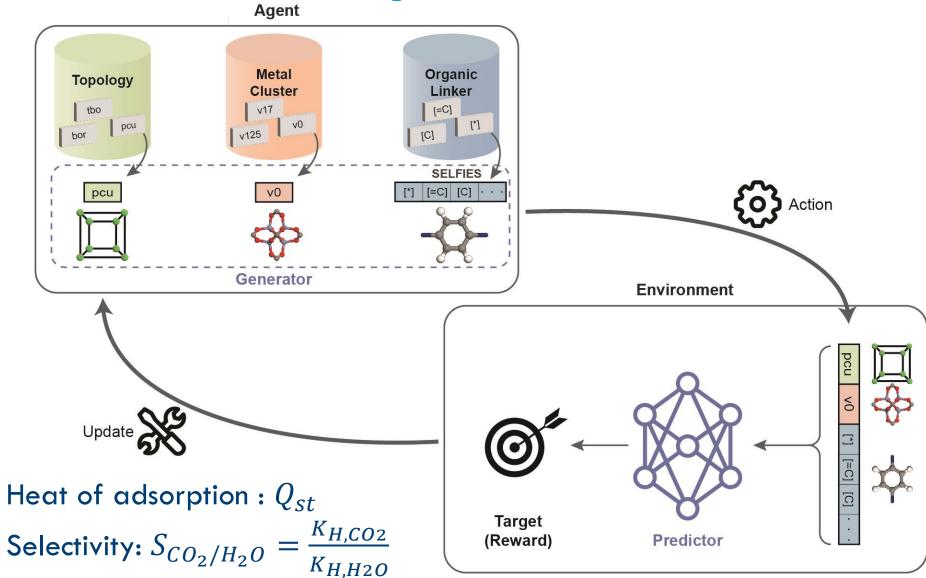
$$\pi(a_t|s_t) = rac{\exp(Q(s_t,a_t)/ au)}{\sum_{a'\in\mathcal{A}} \exp(Q(s_t,a')/ au)}$$

Sum over all possible actions

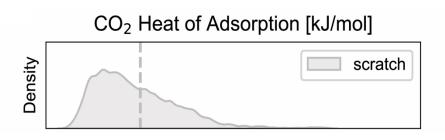
Effective temperature for exploration/exploitation balance

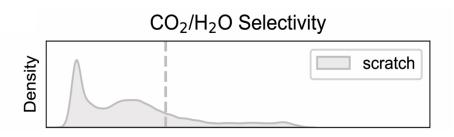
This familiar equation is a softmax (Boltzmann) policy

## RL of Metal-Organic Frameworks

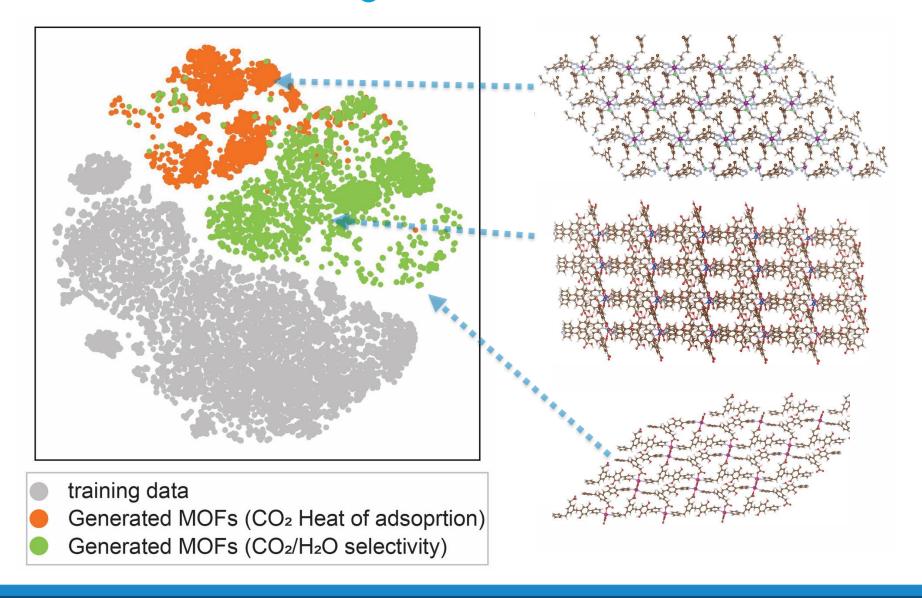


## RL of Metal-Organic Frameworks





## RL of Metal-Organic Frameworks



## **Optimisation Strategy**

	Advantages	Disadvantages
Combinatorial (Enumeration)	<ul> <li>Exhaustive search ensures</li> <li>no possibilities are missed</li> <li>Simple to implement and understand</li> </ul>	<ul> <li>Inefficient for</li> <li>high-dimensional spaces</li> <li>Maximises number of</li> <li>experiments and dataset</li> </ul>
Bayesian Optimisation	<ul> <li>Efficiently exploit data</li> <li>Works with noisy and</li> <li>expensive evaluations</li> <li>Can use prior knowledge</li> </ul>	<ul> <li>Performance depends on surrogate model &amp; acquisition function</li> <li>May struggle with high-dimensional spaces</li> </ul>
Reinforcement Learning	<ul> <li>Learns optimal policies         <ul> <li>through interaction</li> </ul> </li> <li>Can handle dynamic and complex environments</li> </ul>	<ul> <li>Requires large amounts of data for training</li> <li>High computational cost</li> <li>May converge slowly</li> </ul>

#### Obstacles to Closed Loop Discovery

- Materials complexity: complex structures, compositions, processing sensitivity
  - Data quality and reliability: errors and inconsistencies that waste resources
    - Cost of automation: major investment required in infrastructure and training
- Adaptability: systems and workflows may be difficult to reconfigure for new problems

#### Class Outcomes

- 1. Assess the impact of AI on materials research and discovery
- 2. Selection of appropriate optimisation strategy for a given problem

Activity:

Closed-loop optimisation