

# Generative CLIP-Conditioned Variational Autoencoder

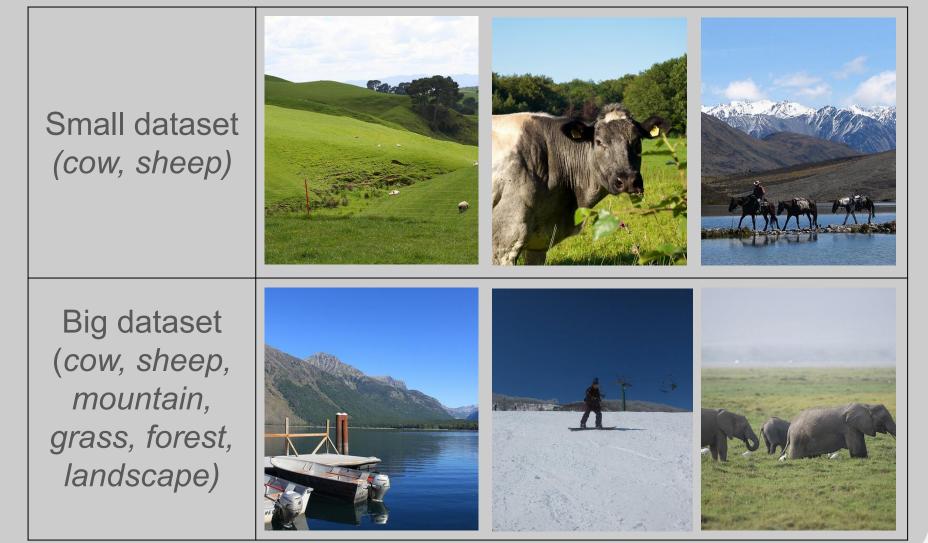
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## Introduction

In recent years, the intersection between language and imagery in Deep Learning has garnered increasing attention, particularly with the development of CLIP by OpenAI. CLIP maps text and image data into a shared latent space, opening new avenues for multimodal research. Our project was inspired by OpenAl's 2022 paper, "Hierarchical Text-Conditional Image Generation Latents," which explores text-to-image generation using CLIP embeddings. Instead of implementing a computationally intensive diffusion model, we have conditioned a Variational Autoencoder (VAE) with CLIP embeddings. We explore conditioning with both image and text embeddings, leveraging CLIP's encoding of semantic and structural visual/textual information.

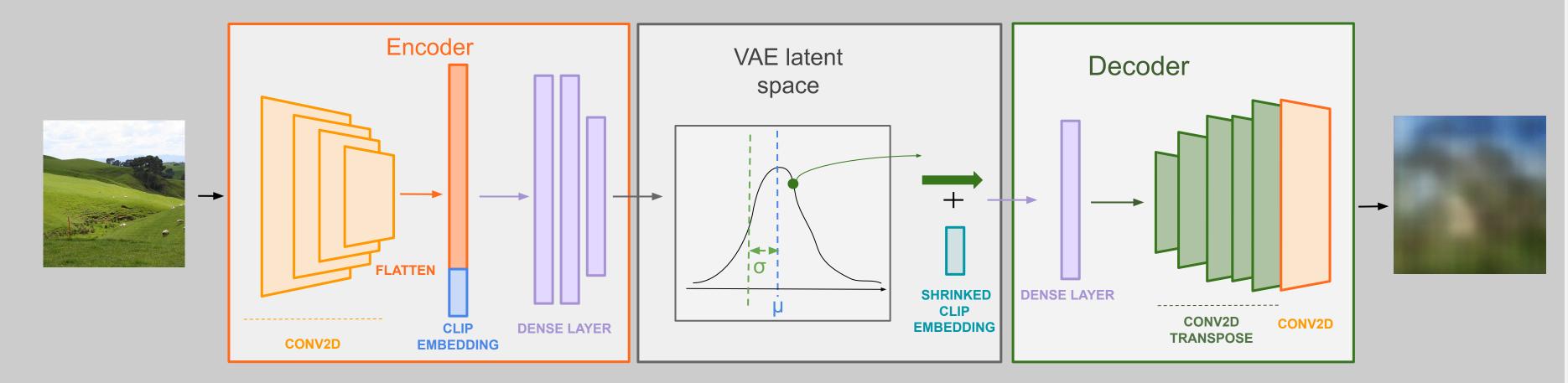
## Dataset

We refined a subset of the MS COCO dataset by selectively parsing captions for bucolic and natural themes, such as "cow," "sheep," "grass," and "mountains". The images were formatted in 64x64 or 128x128 resolutions, enhancing the visual distinction between elements.



## Architecture

Our model is a Conditional Variational Autoencoder (CVAE), a type of generative model which learns a dataset's distribution to produce new samples. It has ≈32 million parameters.



Our **encoder** consists of two parts:

- 4 Conv2D Layers (256 3x3, 256 5x5, 128 3x3, 128 3x3), strides of 2, same padding, Kaiming-initialized weights, Leaky ReLU & Batch Norm.
- 3 Dense Layers (output: 2048, 2048, 1024), Kaiming-initialized weights, Leaky ReLU and Batch Norm. The first layer takes, as an input, the output of the convolutional layers concatenated with a CLIP embedding.
- For our **latent representation**:
- 2 Dense layers with output size 128 Kaiming-initialized weights compute two vectors,  $\mu$ , and  $\sigma$ . A **Dropout,** with rate of 0.3, is applied to the obtained sample **z**.
- 1 Dense Layer with output size 32 and Kaiming-initialized weights, shrinking the CLIP embedding before **concatenation**.

Loss Function: We used a combination of Binary Cross Entropy to determine reconstruction loss, KL-Divergence, and Structural Similarity.

 $L = L_{\text{BCE}}(x, \hat{x}) + 0.5D_{\text{KL}}(N(\mu, \sigma), N(0, 1)) + 0.1(1 - \text{SSIM}(x, \hat{x}))$ 

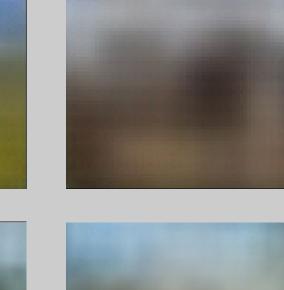
Our decoder consists of two parts:

- 1 Dense Layer with output size 16,384, Kaiming-initialized weights, Leaky ReLU and Batch Norm.
- 5 Conv2D Transpose Layers (256 3x3 stride=2, 256 5x5 stride=2, 128 5x5 stride=2, 128 3x3 stride=1, 32 5x5 stride=2) with Kaiming-initialized weights, Leaky ReLU, Batch Norm, same padding.
- 1 Conv2D Layer (3 5x5 stride=1), with Kaiming-initialized weights, same padding, and sigmoid activation.

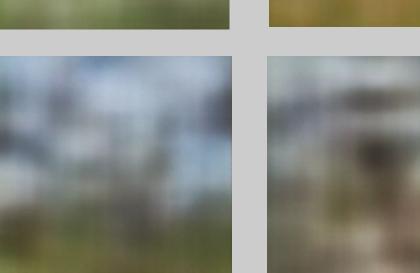
## Results

Model	Best Validation Loss	Qualitative Observations
64x64 Im. Embed-Conditioned	2376 (Epoch 25)	Pixelated, but decent with features.
64x64 Text Embed-Conditioned	2526 (Epoch 8)	Poor.
128x128 Im. Embed-Conditioned	9227 (Epoch 25)	Effective with colors, shapes, features like "sky", "grass", "sheep".
128x128 Text Embed-Conditioned	9701 (epoch 9)	Decent with colors, shapes.
128x128 Im Embed-Conditioned (No Dropout)	9386 (Epoch 10)	Effective at high-level features. Blurry.
128x128 Text Embed-Conditioned (No Dropout)	9085 (Epoch 10)	Worse images despite lower loss.

# "Cow in grass with blue sky



"Cow on mountain"



Train and Validation with Epochs

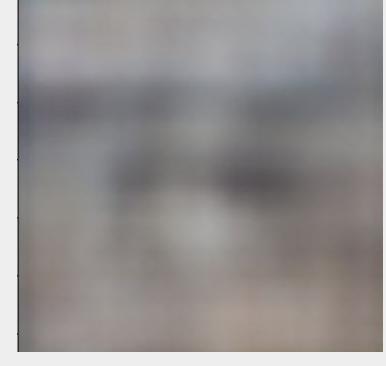


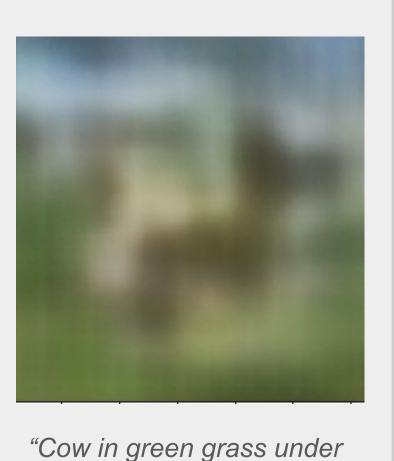
### Most effective model: 128x128 lm. Embed-Conditioned



"White sheep on a mountain

under blue sky"





"Cows playing in the snow" blue sky"

## Discussion

Lessons Learned: We were impressed by the richness of the CLIP latent space and its effective ability to bridge the gap between textual and graphic representations and introduce semantic understanding fairly easily: our model performs better when trained on image embeddings and asked to predict on text embeddings, as opposed to being trained on text embeddings directly.

Limitations: Images produced by our model are still very blurry, despite significant improvements throughout the course of the project. The model also struggles to generate fine-grained features, like specific objects. The dataset is also fairly limited and specific, making it difficult to generalize to broader image generation.

Future Work: Complexifying the decoder could help with image sharpness and generation of fine-grained features. Experimenting with different weights for the loss function may also lead to more consistent results.

## References

Reference paper: "Hierarchical conditional image generation with CLIP Latents" Aditya Ramesh, Prafulla Dhariwal, Alex Nichol, Casey Chu, Mark Chen

Dataset: MS COCO: common objects in context.

## Acknowledgements

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