


# Unit 4

## Context-Free Languages Grammars (CFLs & CFGs)



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## Context-Free Languages

$$\{a^n b^n : n \geq 0\} \quad \{ww^R\}$$

## Regular Languages

$$a^*b^* \quad (a+b)^*$$



# Not all languages are regular

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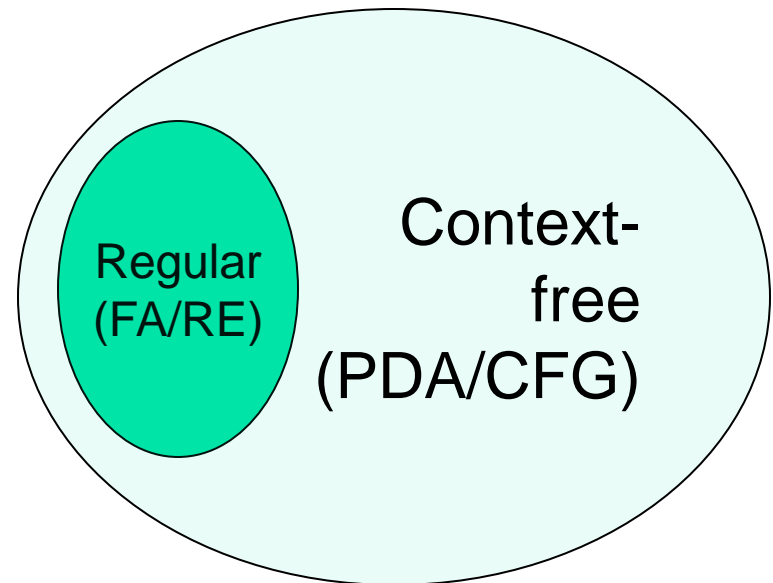
- So what happens to the languages which are not regular?
- Can we still come up with a language recognizer?
  - i.e., something that will accept (or reject) strings that belong (or do not belong) to the language?



# Context-Free Languages

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- A language class larger than the class of regular languages
- Supports natural, recursive notation called “context-free grammar”
- Applications:
  - Parse trees, compilers
  - XML





# An Example

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- A palindrome is a word that reads identical from both ends
  - E.g.,  $\xrightarrow{\hspace{1cm}}\xleftarrow{\hspace{1cm}}$  madam,  $\xrightarrow{\hspace{1cm}}\xleftarrow{\hspace{1cm}}$  redivider,  $\xrightarrow{\hspace{1cm}}\xleftarrow{\hspace{1cm}}$  malayalam,  $\xrightarrow{\hspace{1cm}}\xleftarrow{\hspace{1cm}}$  010010010
- Let  $L = \{ w \mid w \text{ is a binary palindrome} \}$
- Is  $L$  regular?
  - No.

# But the language of palindromes...

is a CFL, because it supports recursive substitution (in the form of a CFG)

- This is because we can construct a “grammar” like this:

- Productions
1.  $A \Rightarrow \varepsilon$
  2.  $A \Rightarrow 0$
  3.  $A \Rightarrow 1$
  4.  $A \Rightarrow 0A0$
  5.  $A \Rightarrow 1A1$

Terminal

Variable or non-terminal

Same as:

$A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$

How does this grammar work?

# How does the CFG for palindromes work?

An input string belongs to the language (i.e., accepted) iff it can be generated by the CFG

G:

$A \rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$

- Example:  $w=01110$
- G can generate w as follows:

1.  $A \Rightarrow 0A0$
2.  $\Rightarrow 01A10$
3.  $\Rightarrow 01110$

## Generating a string from a grammar:

1. Pick and choose a sequence of productions that would allow us to generate the string.
2. At every step, substitute one variable with one of its productions.



# Context-Free Grammar: Definition

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- A context-free grammar  $G=(V,T,P,S)$ , where:
  - $V$ : set of variables or non-terminals
  - $T$ : set of terminals (= alphabet  $\cup \{\varepsilon\}$ )
  - $P$ : set of *productions*, each of which is of the form  
$$V \Rightarrow \alpha_1 \mid \alpha_2 \mid \dots$$
    - Where each  $\alpha_i$  is an arbitrary string of variables and terminals
  - $S \Rightarrow$  start variable

CFG for the language of binary palindromes:

$G=(\{A\},\{0,1\},P,A)$

$P: A \Rightarrow 0 A 0 \mid 1 A 1 \mid 0 \mid 1 \mid \varepsilon$





# More examples

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- Parenthesis matching in code
- Syntax checking
- In scenarios where there is a general need for:
  - Matching a symbol with another symbol, or
  - Matching a count of one symbol with that of another symbol, or
  - Recursively substituting one symbol with a string of other symbols



## Example #2

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- Language of balanced paranthesis  
e.g.,  $()(((((())((()))))((()))))\dots$
- CFG?

G:  
 $S \Rightarrow (S) \mid SS \mid \varepsilon$

How would you “interpret” the string “ $(((((())((()))))((())))$ ” using this grammar?



## Example #3

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- A grammar for  $L = \{0^m 1^n \mid m \geq n\}$

- CFG?

G:  
 $S \Rightarrow 0S1 \mid A$   
 $A \Rightarrow 0A \mid \varepsilon$

How would you interpret the string “00000111”  
using this grammar?



## Example #4

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A program containing **if-then(-else)** statements

**if** *Condition* **then** *Statement* **else** *Statement*

(Or)

**if** *Condition* **then** *Statement*

CFG?

*stmt*  $\rightarrow$  **if** *expr* **then** *stmt*

*stmt*  $\rightarrow$  **if** *expr* **then** *stmt* **else** *stmt*

*stmt*  $\rightarrow$  *other-stmt*



# Applications of CFLs & CFGs

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- Compilers use parsers for syntactic checking
- Parsers can be expressed as CFGs
  1. Balancing paranthesis:
    - $B \Rightarrow BB \mid (B) \mid \textit{Statement}$
    - $\textit{Statement} \Rightarrow \dots$
  2. If-then-else:
    - $S \Rightarrow SS \mid \textit{if Condition then Statement else Statement} \mid \textit{if Condition then Statement} \mid \textit{Statement}$
    - $\textit{Condition} \Rightarrow \dots$
    - $\textit{Statement} \Rightarrow \dots$
  3. C paranthesis matching  $\{ \dots \}$
  4. Pascal *begin-end* matching
  5. YACC (Yet Another Compiler-Compiler)



# More applications

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- Markup languages
  - Nested Tag Matching
    - HTML
      - `<html> ...<p> ... <a href=...> ... </a> </p> ... </html>`
    - XML
      - `<PC> ... <MODEL> ... </MODEL> .. <RAM> ... </RAM> ... </PC>`



# Tag-Markup Languages

Roll  $\Rightarrow$  **<ROLL>** Class Students **</ROLL>**

Class  $\Rightarrow$  **<CLASS>** Text **</CLASS>**

Text  $\Rightarrow$  Char Text | Char

Char  $\Rightarrow$  **a | b | ... | z | A | B | .. | Z**

Students  $\Rightarrow$  Student Students |  $\epsilon$

Student  $\Rightarrow$  **<STUD>** Text **</STUD>**

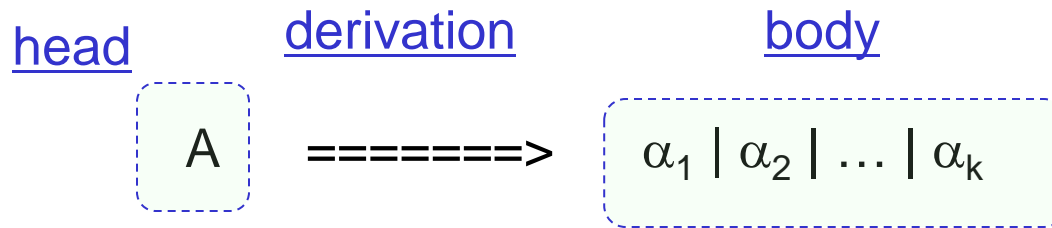
Here, the left hand side of each production denotes one non-terminals (e.g., “Roll”, “Class”, etc.)

Those symbols on the right hand side for which no productions (i.e., substitutions) are defined are terminals (e.g., ‘a’, ‘b’, ‘|’, ‘<’, ‘>’, “ROLL”, etc.)



# Structure of a production

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The above is same as:

1.  $A \Longrightarrow \alpha_1$
2.  $A \Longrightarrow \alpha_2$
3.  $A \Longrightarrow \alpha_3$
- ...
- K.  $A \Longrightarrow \alpha_k$





# CFG conventions

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- Terminal symbols  $\leftarrow a, b, c \dots$
- Non-terminal symbols  $\leftarrow A, B, C, \dots$
- Terminal or non-terminal symbols  $\leftarrow X, Y, Z$
- Terminal strings  $\leftarrow w, x, y, z$
- Arbitrary strings of terminals and non-terminals  $\leftarrow \alpha, \beta, \gamma, \dots$

# String membership

How to say if a string belong to the language defined by a CFG?

1. Derivation
  - Head to body
2. Recursive inference
  - Body to head

Both are equivalent forms

Example:

- $w = 01110$
- Is  $w$  a palindrome?

G:  
 $A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$

$A \Rightarrow 0A0$   
 $\Rightarrow 01A10$   
 $\Rightarrow 01110$



# Simple Expressions...

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- We can write a CFG for accepting simple expressions
- $G = (V, T, P, S)$ 
  - $V = \{E, F\}$
  - $T = \{0, 1, a, b, +, *, (, )\}$
  - $S = \{E\}$
  - $P$ :
    - $E \Rightarrow E + E \mid E * E \mid (E) \mid F$
    - $F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid a \mid b \mid 0 \mid 1$



# Generalization of derivation

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- Derivation is *head*  $\implies$  *body*
- $A \implies X$  (A derives X in a single step)
- $A \implies^*_G X$  (A derives X in a multiple steps)
- Transitivity:  
IF  $A \implies^*_G B$ , and  $B \implies^*_G C$ , THEN  $A \implies^*_G C$



# Context-Free Language

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- The language of a CFG,  $G=(V,T,P,S)$ , denoted by  $L(G)$ , is the set of terminal strings that have a derivation from the start variable  $S$ .
  - $L(G) = \{ w \text{ in } T^* \mid S \Rightarrow^*_G w \}$



# Derivations

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- Two basic requirements for a grammar are :
  1. To generate a valid string.
  2. To recognize a valid string.

**Derivation** is a process that generates a valid string with the help of grammar by replacing the non-terminals on the left with the string on the right side of the production.



# Types of derivations

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- The two types of derivation are:
  1. Left most derivation
  2. Right most derivation.
- In leftmost derivations, the leftmost non-terminal in each sentinel is always chosen first for replacement.
- In rightmost derivations, the rightmost non-terminal in each sentinel is always chosen first for replacement.

Sentinels:

Given a grammar  $G$  with start symbol  $S$ , if  $S \rightarrow \alpha$ , where  $\alpha$  may contain non-terminals or terminals, then  $\alpha$  is called the sentinel form of  $G$ .

# Left-most & Right-most Derivation Styles

G:

$E \Rightarrow E + E \mid E * E \mid (E) \mid F$   
 $F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid \varepsilon$

Derive the string  $a^*(ab+10)$  from G:

$E \xRightarrow{*}_G a^*(ab+10)$

Left-most derivation:

Always substitute leftmost variable

■ E  
 ■  $\Rightarrow E * E$   
 ■  $\Rightarrow F * E$   
 ■  $\Rightarrow aF * E$   
 ■  $\Rightarrow a * E$   
 ■  $\Rightarrow a * (E)$   
 ■  $\Rightarrow a * (E + E)$   
 ■  $\Rightarrow a * (F + E)$   
 ■  $\Rightarrow a * (aF + E)$   
 ■  $\Rightarrow a * (abF + E)$   
 ■  $\Rightarrow a * (ab + E)$   
 ■  $\Rightarrow a * (ab + F)$   
 ■  $\Rightarrow a * (ab + 1F)$   
 ■  $\Rightarrow a * (ab + 10F)$   
 ■  $\Rightarrow a * (ab + 10)$

Right-most derivation:

Always substitute rightmost variable

■ E  
 ■  $\Rightarrow E * E$   
 ■  $\Rightarrow E * (E)$   
 ■  $\Rightarrow E * (E + E)$   
 ■  $\Rightarrow E * (E + F)$   
 ■  $\Rightarrow E * (E + 1F)$   
 ■  $\Rightarrow E * (E + 10F)$   
 ■  $\Rightarrow E * (E + 10)$   
 ■  $\Rightarrow E * (F + 10)$   
 ■  $\Rightarrow E * (aF + 10)$   
 ■  $\Rightarrow E * (abF + 0)$   
 ■  $\Rightarrow E * (ab + 10)$   
 ■  $\Rightarrow F * (ab + 10)$   
 ■  $\Rightarrow aF * (ab + 10)$   
 ■  $\Rightarrow a * (ab + 10)$





# Example:

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- Given grammar  $G : E \rightarrow E+E \mid E^*E \mid ( E ) \mid - E \mid id$
- Sentence to be derived :  $-(id+id)$

## LEFTMOST DERIVATION

$E \rightarrow - E$   
 $E \rightarrow - ( E )$   
 $E \rightarrow - ( E+E )$   
 $E \rightarrow - ( id+E )$   
 $E \rightarrow - ( id+id )$

## RIGHTMOST DERIVATION

$E \rightarrow - E$   
 $E \rightarrow - ( E )$   
 $E \rightarrow - ( E+E )$   
 $E \rightarrow - ( E+id )$   
 $E \rightarrow - ( id+id )$

String that appear in leftmost derivation are called left sentinel forms.  
String that appear in rightmost derivation are called right sentinel forms



# Leftmost vs. Rightmost derivations

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Q1) For every leftmost derivation, there is a rightmost derivation, and vice versa. True or False?

True - will use parse trees to prove this

Q2) Does every word generated by a CFG have a leftmost and a rightmost derivation?

Yes – easy to prove (reverse direction)

Q3) Could there be words which have more than one leftmost (or rightmost) derivation?

Yes – depending on the grammar



# Ambiguity

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- A grammar that produces more than one parse for some sentence is said to be **ambiguous grammar**.

Given grammar

$G : E \rightarrow E + E \mid E * E \mid ( E ) \mid - E \mid id$

The sentence **id+id\*id** has the following two distinct leftmost derivations:

$$E \rightarrow E + E$$

$$E \rightarrow id + E$$

$$E \rightarrow id + E * E$$

$$E \rightarrow id + id * E$$

$$E \rightarrow id + id * id$$

$$E \rightarrow E * E$$

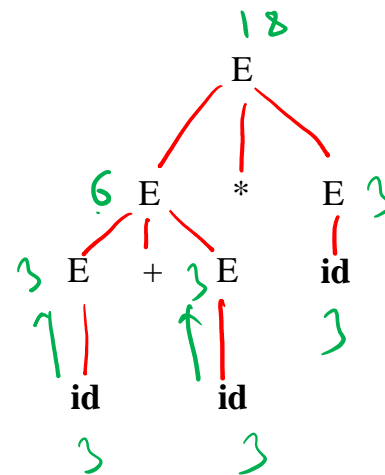
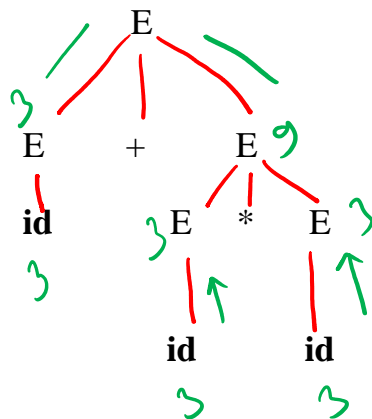
$$E \rightarrow E + E * E$$

$$E \rightarrow id + E * E$$

$$E \rightarrow id + id * E$$

$$E \rightarrow id + id * id$$

The two corresponding parse trees are :





# Parse trees

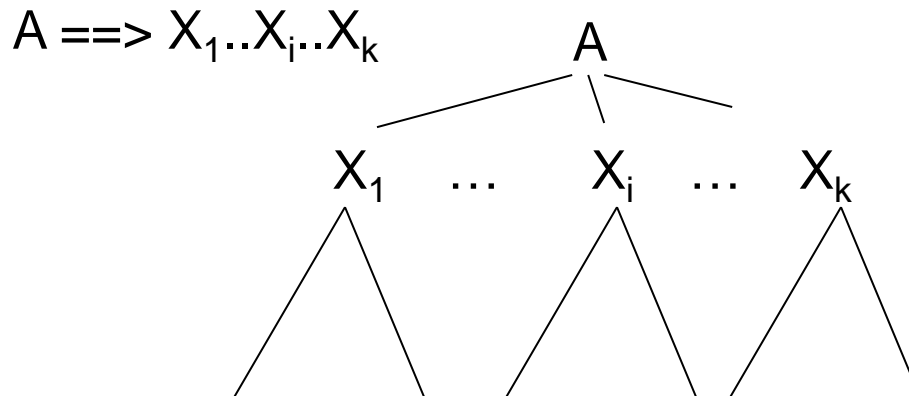
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# Parse Trees

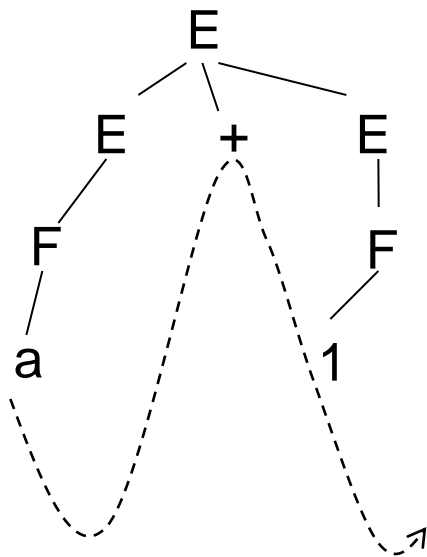
- Each CFG can be represented using a *parse tree*:
  - Each internal node is labeled by a variable in  $V$
  - Each leaf is terminal symbol
  - For a production,  $A \Rightarrow X_1 X_2 \dots X_k$ , then any internal node labeled  $A$  has  $k$  children which are labeled from  $X_1, X_2, \dots, X_k$  from left to right

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Parse tree for production and all other subsequent productions:



# Examples



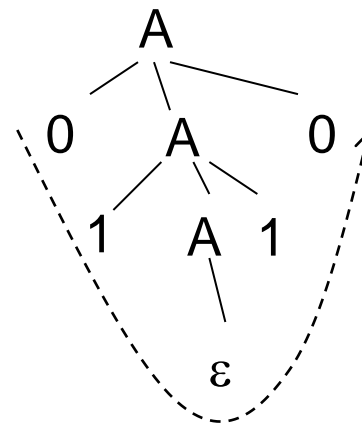
Parse tree for  $a + 1$

G:

$E \Rightarrow E + E \mid E * E \mid (E) \mid F$

$F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid 0 \mid 1 \mid a \mid b$

Recursive inference



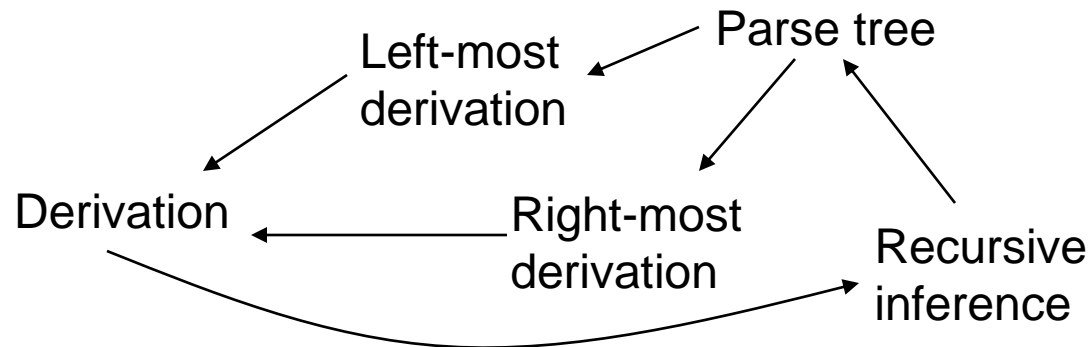
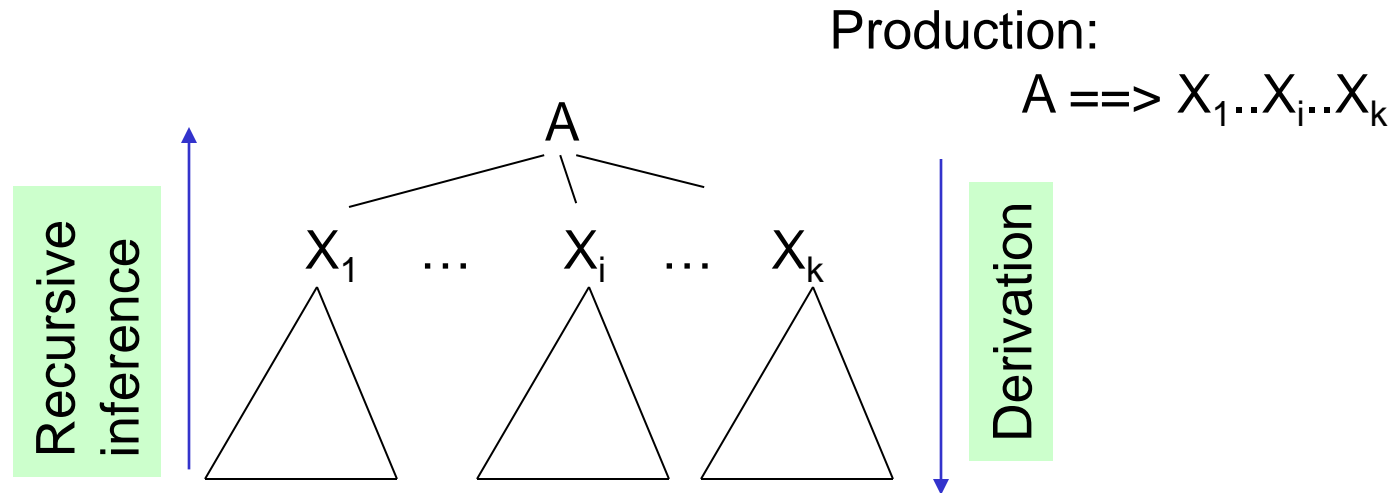
Parse tree for  $0110$

Derivation

G:

$A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \epsilon$

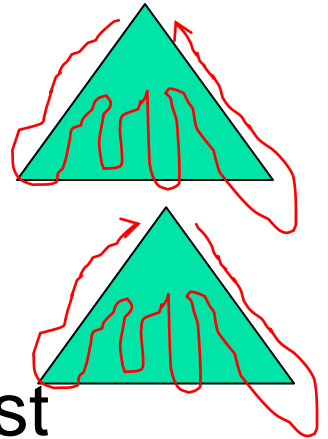
# Parse Trees, Derivations, and Recursive Inferences





# Interchangeability of different CFG representations

- Parse tree  $\Rightarrow$  left-most derivation
  - DFS left to right
- Parse tree  $\Rightarrow$  right-most derivation
  - DFS right to left
- $\Rightarrow$  left-most derivation  $\equiv$  right-most derivation
- Derivation  $\Rightarrow$  Recursive inference
  - Reverse the order of productions
- Recursive inference  $\Rightarrow$  Parse trees
  - bottom-up traversal of parse tree



# Connection between CFLs and RLs



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What kind of grammars result for regular languages?

# CFLs & Regular Languages

- A CFG is said to be *right-linear* if all the productions are one of the following two forms:  $A \Rightarrow wB$  (or)  $A \Rightarrow w$

Where:

- A & B are variables,
- w is a string of terminals

- Theorem 1: Every right-linear CFG generates a regular language
- Theorem 2: Every regular language has a right-linear grammar
- Theorem 3: Left-linear CFGs also represent RLs



# Ambiguity in CFGs and CFLs

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# Ambiguity in CFGs

- A CFG is said to be *ambiguous* if there exists a string which has more than one left-most derivation

Example:

$S \Rightarrow AS \mid \varepsilon$

$A \Rightarrow A1 \mid 0A1 \mid 01$

Input string: 00111

Can be derived in two ways

LM derivation #1:

$S \Rightarrow AS$

$\Rightarrow 0A1S$

$\Rightarrow 0A11S$

$\Rightarrow 00111S$

$\Rightarrow 00111$

LM derivation #2:

$S \Rightarrow AS$

$\Rightarrow A1S$

$\Rightarrow 0A11S$

$\Rightarrow 00111S$

$\Rightarrow 00111$

# Why does ambiguity matter?

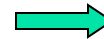
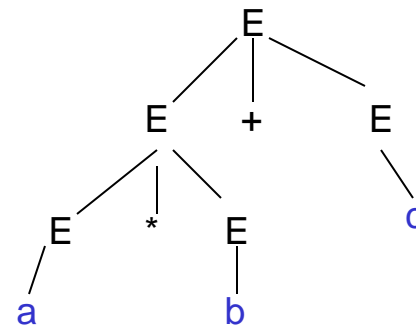
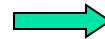
$E \Rightarrow E + E \mid E * E \mid (E) \mid a \mid b \mid c \mid 0 \mid 1$

Values are  
different !!!

*string* =  $a * b + c$

- LM derivation #1:

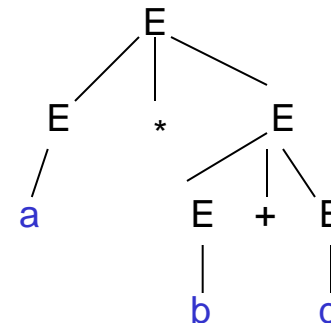
•  $E \Rightarrow E + E \Rightarrow E * E + E$   
 $\Rightarrow * a * b + c$



$(a*b)+c$

- LM derivation #2

•  $E \Rightarrow E * E \Rightarrow a * E \Rightarrow$   
 $a * E + E \Rightarrow * a * b + c$



$a*(b+c)$

The calculated value depends on which  
of the two parse trees is actually used.

# Removing Ambiguity in Expression Evaluations

- It MAY be possible to remove ambiguity for some CFLs
  - E.g., in a CFG for expression evaluation by imposing rules & restrictions such as precedence
  - This would imply rewrite of the grammar

Modified unambiguous version:

- Precedence:  $()$ ,  $*$ ,  $+$

$$\begin{aligned} E &\Rightarrow E + T \mid T \\ T &\Rightarrow T * F \mid F \\ F &\Rightarrow I \mid (E) \\ I &\Rightarrow a \mid b \mid c \mid 0 \mid 1 \end{aligned}$$

Ambiguous version:

$$E \Rightarrow E + E \mid E * E \mid (E) \mid a \mid b \mid c \mid 0 \mid 1$$

How will this avoid ambiguity?



# Inherently Ambiguous CFLs

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- However, for some languages, it may not be possible to remove ambiguity
- A CFL is said to be *inherently ambiguous* if every CFG that describes it is ambiguous

## Example:

- $L = \{ a^n b^n c^m d^m \mid n, m \geq 1 \} \cup \{ a^n b^m c^m d^n \mid n, m \geq 1 \}$
- L is inherently ambiguous
- Why?

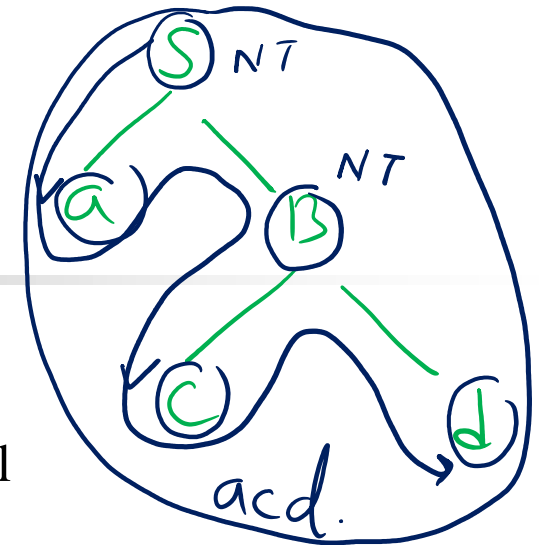
Input string:  $a^n b^n c^n d^n$



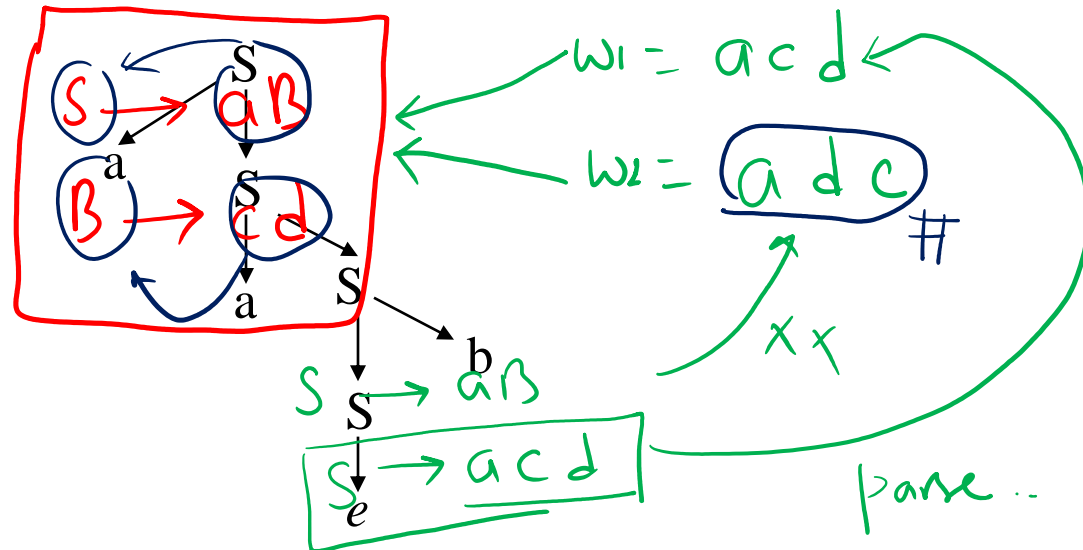
# Parse Tree

A parse tree of a derivation is a tree in which:

- Each internal node is labeled with a nonterminal
- If a rule  $A \rightarrow A_1 A_2 \dots A_n$  occurs in the derivation then  $A$  is a parent node of nodes labeled  $A_1, A_2, \dots, A_n$



Parsing: ? eg.



# Parse Trees

$S \rightarrow A \mid AB$

$A \rightarrow \varepsilon \mid \mathbf{a} \mid A\mathbf{b} \mid AA$

$B \rightarrow \mathbf{b} \mid \mathbf{bc} \mid B\mathbf{c} \mid \mathbf{b}B$

Sample derivations:

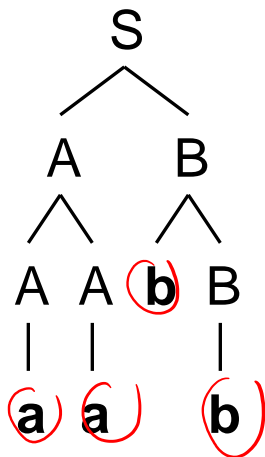
$S \Rightarrow AB \Rightarrow AAB \Rightarrow \mathbf{a}AB \Rightarrow \mathbf{aa}B \Rightarrow \mathbf{aab}B \Rightarrow \mathbf{aabb}$

$S \Rightarrow AB \Rightarrow AbB \Rightarrow Abb \Rightarrow AAbb \Rightarrow Aabb \Rightarrow aabb$

These two derivations use same productions, but in different orders.

This ordering difference is often uninteresting.

*Derivation trees* give way to abstract away ordering differences.



Root label = start node.

Each interior label = variable.

Each parent/child relation = derivation step.

Each leaf label = terminal or  $\varepsilon$ .

All leaf labels together = derived string = yield.

*aabb*

# Leftmost, Rightmost Derivations



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**Definition.** A **left-most derivation** of a sentential form is one in which rules transforming the left-most nonterminal are always applied

**Definition.** A **right-most derivation** of a sentential form is one in which rules transforming the right-most nonterminal are always applied

# Leftmost & Rightmost Derivations

$S \rightarrow A \mid AB$

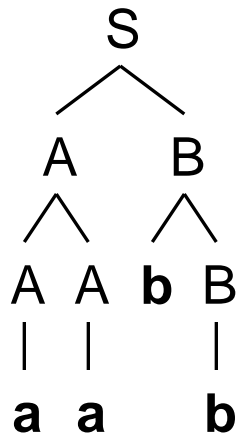
$A \rightarrow \varepsilon \mid \mathbf{a} \mid A\mathbf{b} \mid AA$

$B \rightarrow \mathbf{b} \mid \mathbf{b}c \mid Bc \mid \mathbf{b}B$

Sample derivations:

$S \Rightarrow AB \Rightarrow AAB \Rightarrow \mathbf{a}AB \Rightarrow \mathbf{aa}B \Rightarrow \mathbf{aab}B \Rightarrow \mathbf{aabb}$

$S \Rightarrow AB \Rightarrow AbB \Rightarrow Abb \Rightarrow AAbb \Rightarrow Aabb \Rightarrow \mathbf{aabb}$



These two derivations are special.

1<sup>st</sup> derivation is *leftmost*.

Always picks leftmost variable.

2<sup>nd</sup> derivation is *rightmost*.

Always picks rightmost variable.



# Left / Rightmost Derivations

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- In proofs...
  - Restrict attention to left- or rightmost derivations.
- In parsing algorithms...
  - Restrict attention to left- or rightmost derivations.
  - E.g., recursive descent uses leftmost; **yacc** uses rightmost.

# Derivation Trees

*Ambiguity.*

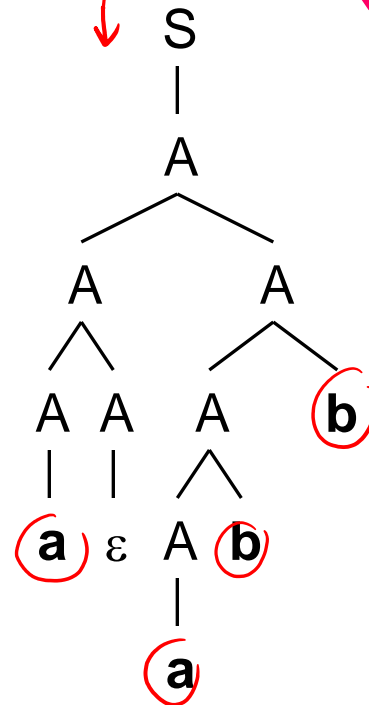
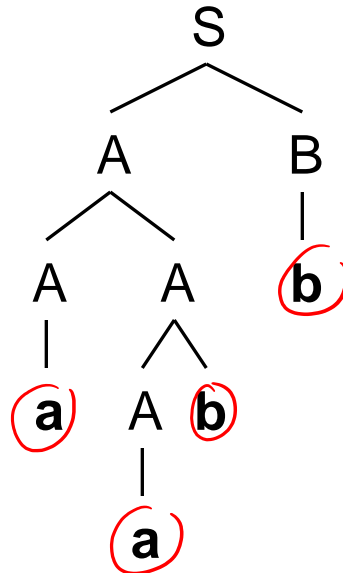
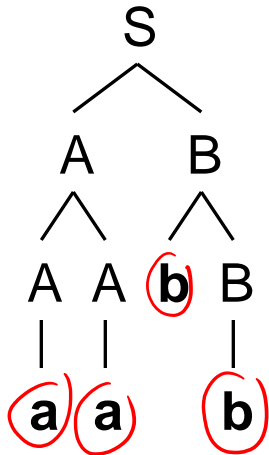
$S \rightarrow A \mid AB$

$A \rightarrow \varepsilon \mid \mathbf{a} \mid A\mathbf{b} \mid AA$

$B \rightarrow \mathbf{b} \mid \mathbf{b}c \mid Bc \mid \mathbf{b}B$

$w = \mathbf{aabb}$

Other derivation trees  
for this string?



?

?

Infinitely  
many others  
possible.

# Ambiguous Grammar

**Definition.** A grammar  $G$  is ambiguous if there is a word  $w \in L(G)$  having at least two different parse trees

$S \rightarrow A$   
 $S \rightarrow B$   
 $S \rightarrow AB$   
 $A \rightarrow aA$   
 $B \rightarrow bB$   
 $A \rightarrow e$   
 $B \rightarrow e$

*ambiguous*

*we can multiple PT.*

Notice that  $a$  has at least two left-most derivations



# Ambiguity

---

CFG *ambiguous*  $\Leftrightarrow$  any of following equivalent statements:

- $\exists$  string  $w$  with multiple derivation trees.
- $\exists$  string  $w$  with multiple leftmost derivations.
- $\exists$  string  $w$  with multiple rightmost derivations.

Defining ambiguity of grammar, not language.





# Ambiguity & Disambiguation

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Given an ambiguous grammar, would like an equivalent unambiguous grammar.

- Allows you to know more about structure of a given derivation.
- Simplifies inductive proofs on derivations.
- Can lead to more efficient parsing algorithms.
- In programming languages, want to impose a canonical structure on derivations. E.g., for  $1+2\times 3.$

Strategy: Force an ordering on all derivations.

# Disambiguation: Example 1

Exp  $\rightarrow$  n  
| Exp + Exp  
| Exp  $\times$  Exp

Exp  $\rightarrow$  Term  
| Term + Exp  
Term  $\rightarrow$  n  
| n  $\times$  Term

?

$E \rightarrow E + ( ) / E * E / id$

What is an equivalent  
unambiguous  
grammar?

$E \rightarrow E + ( ) / ( )$

Uses  $T \rightarrow T * id / id$

- operator precedence
- left-associativity



# Disambiguation

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?

What is a general algorithm?

?

None exists!

There are CFLs that are *inherently ambiguous*  
Every CFG for this language is ambiguous.

E.g.,  $\{a^n b^n c^m d^m \mid n \geq 1, m \geq 1\} \cup \{a^n b^m c^m d^n \mid n \geq 1, m \geq 1\}$ .

So, can't necessarily eliminate ambiguity!

# CFG Simplification

gmp

(How)

Can't always eliminate ambiguity.

But, CFG simplification & restriction still useful theoretically & pragmatically.

why?

ad

- Simpler grammars are easier to understand. ✓
- Simpler grammars can lead to faster parsing. ✓
- Restricted forms useful for some parsing algorithms. ✓
- Restricted forms can give you more knowledge about derivations.

$A \rightarrow Aa \rightarrow AaA \rightarrow AaAa \rightarrow AaAaAa$



# CFG Simplification

(How?)

- ①. Eliminate ambiguity. (if possible)
2. **Eliminate “useless” variables.** ✓
3. **Eliminate  $\epsilon$ -productions:  $A \rightarrow \epsilon$ .** ✓
4. **Eliminate unit productions:  $A \rightarrow B$ .** ✓
- ⑤. Eliminate redundant productions.
- ⑥. Trade left- & right-recursion.

group

# Eliminate “useless” variables.

$w = aabb$

$aaaaAxx$

■  $T \rightarrow aaB \mid \cancel{abA} \mid aaT$

■  $\boxed{\cancel{A \rightarrow aA}}$

$A \rightarrow aA \rightarrow aaA \rightarrow aaaaA \rightarrow \dots$

■  $B \rightarrow ab \mid b$

■  $\boxed{\cancel{C \rightarrow ad}}$

because it doesn't occur in  
rhs. (body of production)

$T \rightarrow aaT$

$\rightarrow aaaaaB$

$\rightarrow aaaaaab$

$T \rightarrow aaB \mid aaT$

$B \rightarrow ab \mid b$

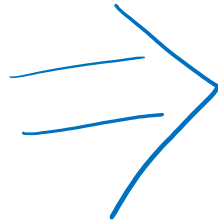
# Eliminate “useless” variables.

$$T \rightarrow aab \mid \cancel{abA} \mid aaT$$

$$A \rightarrow \cancel{aA}$$

$$B \rightarrow ab \mid b$$

$$C \rightarrow \cancel{ad}$$



removing  
useless  
symbols.

$$T \rightarrow aab \mid aaT$$

$$B \rightarrow ab \mid b$$

# Eliminate e-productions: $A \rightarrow e$ .

- $S \rightarrow XYX$
- $X \rightarrow 0X \mid e$
- $Y \rightarrow 1Y \mid e$



# Eliminate $\epsilon$ -productions: $A \rightarrow \epsilon$ .

$S \rightarrow XYX$   
 $X \rightarrow 0X / \epsilon$   
 $Y \rightarrow 2Y / \epsilon$

Eliminate all

$\epsilon$ -production.

$S \rightarrow XX | XY | YX | X | Y$

$X \rightarrow 0X | 0$

$Y \rightarrow 1Y | 1$

CFG with

$\epsilon$ -prod

simplified CFG

# Eliminate unit productions: $A \rightarrow B$

- $S \rightarrow 0A \mid 1B \mid C$
- $A \rightarrow 0S \mid 00$
- $B \rightarrow 1 \mid A$
- $C \rightarrow 01$

①  $S \rightarrow C$

②  $B \rightarrow A$

These are the two unit productions in the given G.

# Eliminate unit productions: $A \rightarrow B$

- $S \rightarrow 0A \mid 1B \mid C$
- $A \rightarrow 0S \mid 00$
- $B \rightarrow 1 \mid A$
- $C \rightarrow 01$

$S \rightarrow 0A$

$S \rightarrow 1B$

~~$S \rightarrow C$~~

$S \rightarrow 01$

$A \rightarrow 0S$

$A \rightarrow 00$

$B \rightarrow 1$

~~$B \rightarrow A$~~

$B \rightarrow 0S \mid 00$

$C \rightarrow 01$

unit  
prod

$S \rightarrow 0A \mid 1B \mid 01$

$A \rightarrow 0S \mid 00$

$B \rightarrow 1 \mid 0S \mid 00$

$C \rightarrow 01$

Simplified  
CFG

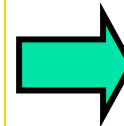
# Examples

*simply*

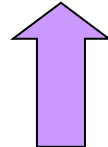
$S \rightarrow AB \mid \epsilon$   
 $A \rightarrow aA \mid a$   
 $C \rightarrow cC \mid c$



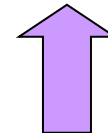
$S \rightarrow \epsilon$   
 $A \rightarrow aA \mid a$



$S \rightarrow \epsilon$

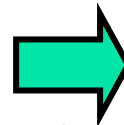


*B does not derive  
terminal string;  
C unreachable.*



*A unreachable.*

$S \rightarrow BD$   
 $B \rightarrow BD \mid B$   
 $D \rightarrow DB \mid D$



*Empty set of  
productions*



*"Non-termination"*

# CFG Simplification: Example

How can the following be simplified?

?

?

$S \rightarrow A B$

$S \rightarrow A C D$

$A \rightarrow A a$

$A \rightarrow a$

$A \rightarrow a A$

$A \rightarrow a$

$C \rightarrow \varepsilon$

$D \rightarrow d D$

$D \rightarrow E$

$E \rightarrow e A e$

$F \rightarrow f f$

1) Delete: B useless because nothing derivable from B.

2) Delete either  $A \rightarrow Aa$  or  $A \rightarrow aA$ .

3) Delete one of the identical productions.

4) Delete & also replace  $S \rightarrow ACD$  with  $S \rightarrow AD$ .

5) Replace with  $D \rightarrow eAe$ .

6) Delete: E useless after change #5.

7) Delete: F useless because not derivable from S.



# Trading Left- & Right- Recursion

---

Left recursion:  $A \rightarrow A \alpha$

Right recursion:  $A \rightarrow \alpha A$

Most algorithms have trouble with one,

In recursive descent, avoid left recursion.



# Normal Forms

---

By Prashant Gautam



# Why normal forms?

Why?

- If all productions of the grammar could be expressed in the same form(s), then:
  - a. It becomes easy to design algorithms that use the grammar .
  - b. It becomes easy to show proofs and properties



$A \rightarrow BC$ ,  $A \rightarrow a$  CNF

# Chomsky Normal Form (CNF)

Let  $G$  be a CFG for some  $L - \{\epsilon\}$

## Definition:

$G$  is said to be in **Chomsky Normal Form** if all its productions are in one of the following two forms:

- i.  $A \rightarrow BC$
- ii.  $A \rightarrow a$

where  $A, B, C$  are variables, or  
where  $a$  is a terminal

- $G$  has no useless symbols
- $G$  has no unit productions
- $G$  has no  $\epsilon$ -productions

Assumption

# CNF checklist

Is this grammar in CNF?

$G_1$ :

1.  $E \rightarrow E+T \mid T^*F \mid (E) \mid Ia \mid Ib \mid I0 \mid I1$
2.  $T \rightarrow T^*F \mid (E) \mid Ia \mid Ib \mid I0 \mid I1$
3.  $F \rightarrow (E) \mid Ia \mid Ib \mid I0 \mid I1$
4.  $I \rightarrow a \mid b \mid Ia \mid Ib \mid I0 \mid I1$

## Checklist:

- G has no  $\epsilon$ -productions ✓
- G has no unit productions ✓
- G has no useless symbols ✓
- But...
  - the normal form for productions is violated



So, the grammar is not in CNF



# Practice

---

$S \rightarrow AB$

$S \rightarrow C$

$A \rightarrow a$

$B \rightarrow b$

# How to convert a G into CNF?

- Assumption: G has no  $\varepsilon$ -productions, unit productions or useless symbols
  - If there is, just do simplify.
- 1) For every terminal **a** that appears in the body of a production:
    - i. create a unique variable, say  $X_a$ , with a production  $X_a \rightarrow a$ , and
    - ii. replace all other instances of **a** in G by  $X_a$
  - 2) Now, all productions will be in one of the following two forms:
    - $A \rightarrow B_1 B_2 \dots B_k$  ( $k \geq 3$ )      or       $A \rightarrow a$
  - 3) Replace each production of the form  $A \rightarrow B_1 B_2 \dots B_k$  by:
 

and so on...

    - $A \rightarrow B_1 C_1 \quad C_1 \rightarrow B_2 C_2 \quad \dots \quad C_{k-3} \rightarrow B_{k-2} C_{k-2} \quad C_{k-2} \rightarrow B_{k-1} B_k$

# Example #1

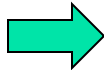
G:

$S \Rightarrow AS \mid BABC$

$A \Rightarrow A1 \mid 0A1 \mid 01$

$B \Rightarrow 0B \mid 0$

$C \Rightarrow 1C \mid 1$



G in CNF:

$X_0 \Rightarrow 0$

$X_1 \Rightarrow 1$

$S \Rightarrow AS \mid BY_1$

$Y_1 \Rightarrow AY_2$

$Y_2 \Rightarrow BC$

$A \Rightarrow AX_1 \mid X_0Y_3 \mid X_0X_1$

$Y_3 \Rightarrow AX_1$

$B \Rightarrow X_0B \mid 0$

$C \Rightarrow X_1C \mid 1$

All productions are of the form:  $A \Rightarrow BC$  or  $A \Rightarrow a$

# Example #2 (HomeWork)

G:

1.  $E \rightarrow E+T \mid T^*F \mid (E) \mid I_a \mid I_b \mid I_0 \mid I_1$
2.  $T \rightarrow T^*F \mid (E) \mid I_a \mid I_b \mid I_0 \mid I_1$
3.  $F \rightarrow (E) \mid I_a \mid I_b \mid I_0 \mid I_1$
4.  $I \rightarrow a \mid b \mid I_a \mid I_b \mid I_0 \mid I_1$

Step (1)

1.  $E \rightarrow EX_+T \mid TX_*F \mid X_((EX_)) \mid IX_a \mid IX_b \mid IX_0 \mid IX_1$
2.  $T \rightarrow TX_*F \mid X_((EX_)) \mid IX_a \mid IX_b \mid IX_0 \mid IX_1$
3.  $F \rightarrow X_((EX_)) \mid IX_a \mid IX_b \mid IX_0 \mid IX_1$
4.  $I \rightarrow X_a \mid X_b \mid IX_a \mid IX_b \mid IX_0 \mid IX_1$
5.  $X_+ \rightarrow +$
6.  $X_* \rightarrow *$
7.  $X_(( \rightarrow ($
8.  $X_)) \rightarrow )$
9. ....

Step (2)

1.  $E \rightarrow EC_1 \mid TC_2 \mid X_((C_3 \mid IX_a \mid IX_b \mid IX_0 \mid IX_1$
2.  $C_1 \rightarrow X_+T$
3.  $C_2 \rightarrow X_*F$
4.  $C_3 \rightarrow X_((EX_))$
5.  $T \rightarrow \dots\dots\dots$
6. ....

# Languages with $\varepsilon$

- For languages that include  $\varepsilon$ ,
  - Write down the rest of grammar in CNF
  - Then add production “ $S \Rightarrow \varepsilon$ ” at the end

E.g., consider:

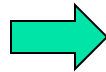
G:

$S \Rightarrow AS \mid BABC$

$A \Rightarrow A1 \mid 0A1 \mid 01 \mid \varepsilon$

$B \Rightarrow 0B \mid 0 \mid \varepsilon$

$C \Rightarrow 1C \mid 1 \mid \varepsilon$



G in CNF:

$X_0 \Rightarrow 0$

$X_1 \Rightarrow 1$

$S \Rightarrow AS \mid BY_1 \mid \varepsilon$

$Y_1 \Rightarrow AY_2$

$Y_2 \Rightarrow BC$

$A \Rightarrow AX_1 \mid X_0Y_3 \mid X_0X_1$

$Y_3 \Rightarrow AX_1$

$B \Rightarrow X_0B \mid 0$

$C \Rightarrow X_1C \mid 1$



# Other Normal Forms

---

- Griebach Normal Form (GNF)

- All productions of the form

$A \Rightarrow a \alpha$ , where  $a$  is a terminal, i.e.  $a \in T^*$  and  $\alpha$  is a string of zero or more variables. i.e.  $\alpha \in V^*$

So we can rewrite as:

$A \rightarrow aV^*$  with  $a \in T^*$

Or,

$A \rightarrow aV^+$

$A \rightarrow a$  with  $a \in T$





# Eliminating Left Recursion

---

- A grammar is said to be *left recursive* if it has a non-terminal  $A$  such that there is a derivation  $A \rightarrow A\alpha$  for some string  $\alpha$ .
- Top-down parsing methods cannot handle left-recursive grammars. Hence, left recursion can be eliminated as follows:
- If there is a production  $A \rightarrow A\alpha \mid \beta$  it can be replaced with a sequence

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

without changing the set of strings derivable from  $A$ .

# Immediate Left - Recursion

$$A \rightarrow A\alpha \mid \beta$$



Eliminate immediate left recursion

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

*In general,*

$$A \rightarrow A \alpha_1 \mid \dots \mid A \alpha_m \mid \beta_1 \mid \dots \mid \beta_n \quad \text{where } \beta_1 \dots \beta_n \text{ do not start with } A$$



eliminate immediate left recursion

$$A \rightarrow \beta_1 A' \mid \dots \mid \beta_n A'$$

$$A' \rightarrow \alpha_1 A' \mid \dots \mid \alpha_m A' \mid \epsilon$$

an equivalent grammar



## Example :

- Consider the following grammar for arithmetic expressions:

$E \rightarrow E+T \mid T$

$T \rightarrow T * F \mid F$

$F \rightarrow (E) \mid \text{id}$

- First eliminate the left recursion for E as

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \epsilon$

- Then eliminate for T as

$T \rightarrow FT' \quad T' \rightarrow *FT' \mid \epsilon$



---

Thus the obtained grammar after eliminating left recursion is

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \varepsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \varepsilon$$

$$F \rightarrow (E) \mid \text{id}$$

# Non-Immediate Left-Recursion

- By just eliminating the immediate left-recursion, we may not get a grammar which is not left recursive.

$S \rightarrow Aa \mid b$

$A \rightarrow Sc \mid d$  This grammar is not immediately left-recursive,  
but it is still left-recursive.

$\underline{S} \Rightarrow Aa \Rightarrow \underline{S}ca$  or

$\underline{A} \Rightarrow Sc \Rightarrow \underline{A}ac$  causes to a left-recursion

So, we have to eliminate all left-recursions from our grammar



# CFG to GNF Steps

---

- **Step 1: Convert the grammar into CNF.**

If the given grammar is not in CNF, convert it into CNF. You can refer the following topic to convert the CFG into CNF: Chomsky normal form

- **Step 2: If the grammar exists left recursion, eliminate it.**

If the context free grammar contains left recursion, eliminate it. You can refer the following topic to eliminate left recursion: Left Recursion

- **Step 3: In the grammar, convert the given production rule into GNF form.**

If any production rule in the grammar is not in GNF form, convert it.



# Example

---

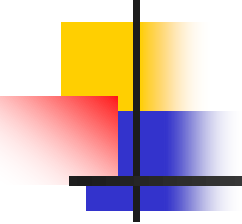
1.  $S \rightarrow XB \mid AA$

2.  $A \rightarrow a \mid SA$

3.  $B \rightarrow b$

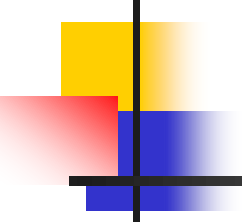
4.  $X \rightarrow a$

As the given grammar  $G$  is already in CNF and there is no left recursion, so we can skip step 1 and step 2 and directly go to step 3.

- 
- The production rule  $A \rightarrow SA$  is not in GNF, so we substitute  $S \rightarrow XB \mid AA$  in the production rule  $A \rightarrow SA$  as:

1.  $S \rightarrow XB \mid AA$
2.  $A \rightarrow a \mid XBA \mid AAA$
3.  $B \rightarrow b$
4.  $X \rightarrow a$



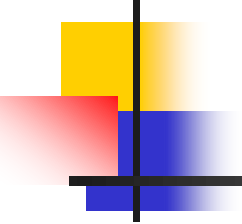
- 
- The production rule  $S \rightarrow XB$  and  $B \rightarrow XBA$  is not in GNF, so we substitute  $X \rightarrow a$  in the production rule  $S \rightarrow XB$  and  $B \rightarrow XBA$  as:

$$S \rightarrow aB \mid AA$$

$$A \rightarrow a \mid aBA \mid AAA$$

$$B \rightarrow b$$

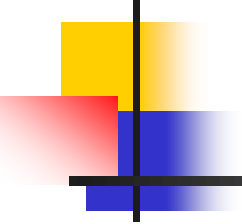
$$X \rightarrow a$$



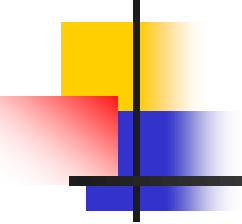
---

■ Now we will remove left recursion  
( $A \rightarrow AAA$ ), we get:

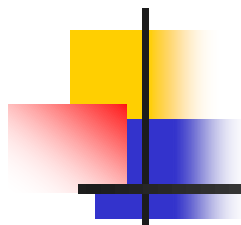
1.  $S \rightarrow aB \mid AA$
2.  $A \rightarrow aC \mid aBAC$
3.  $C \rightarrow AAC \mid \varepsilon$
4.  $B \rightarrow b$
5.  $X \rightarrow a$

- 
- 
- Now we will remove null production  $C \rightarrow \varepsilon$ , we get:

1.  $S \rightarrow aB \mid AA$
2.  $A \rightarrow aC \mid aBAC \mid a \mid aBA$
3.  $C \rightarrow AAC \mid AA$
4.  $B \rightarrow b$
5.  $X \rightarrow a$

- 
- 
- The production rule  $S \rightarrow AA$  is not in GNF, so we substitute  $A \rightarrow aC \mid aBAC \mid a \mid aBA$  in production rule  $S \rightarrow AA$  as:

1.  $S \rightarrow aB \mid aCA \mid aBACA \mid aA \mid aBAA$
2.  $A \rightarrow aC \mid aBAC \mid a \mid aBA$
3.  $C \rightarrow AAC$
4.  $C \rightarrow aCA \mid aBACA \mid aA \mid aBAA$
5.  $B \rightarrow b$
6.  $X \rightarrow a$



■ The production rule  $C \rightarrow AAC$  is not in GNF, so we substitute  $A \rightarrow aC \mid aBAC \mid a \mid aBA$  in production rule  $C \rightarrow AAC$  as:

- 1.  $S \rightarrow aB \mid aCA \mid aBACA \mid aA \mid aBAA$
- 2.  $A \rightarrow aC \mid aBAC \mid a \mid aBA$
- 3.  $C \rightarrow aCAC \mid aBACAC \mid aAC \mid aBAAC$
- 4.  $C \rightarrow aCA \mid aBACA \mid aA \mid aBAA$
- 5.  $B \rightarrow b$
- 6.  $X \rightarrow a$



# Assignment (CFG to GNF)

---

- $S \rightarrow AB$

$$A \rightarrow BS|b$$

$$B \rightarrow SA|a$$



# Bakus Naur Form

---

- Notation Technique used for CFG
- Used for Specifying language Syntax
- $\langle \text{Symbol} \rangle := \text{exp1} \mid \text{exp2} \mid \text{exp3} \dots$



# BNF: Example

`(2.0 * PI) / n`

```
<expression> ::= <expression> + <term>
                | <expression> - <term>
                | <term>

<term>         ::= <term> * <factor>
                | <term> / <factor>
                | <factor>

<factor>       ::= number
                | name
                | ( <expression> )
```





# Return of the Pumping Lemma !!

---

Think of languages that cannot be CFL

== think of languages for which a stack will not be enough

e.g., the language of strings of the form  $ww$