

# Theory of Computation

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# Course of Study

## 1. Basic Foundations

Computation, theory of computation, brief history  
Abstract model

## 2. Finite automata

DFA, NFA

## 3. Regular Expression and languages

## 4. Context Free Grammar

## 5. Push Down Automata

## 6. Turing Machine

## 7. Computational complexity

Undecidability  
Intractability

# Text Book

Introduction to Automata Theory, Languages and Computation  
By John E. Hopcroft, Rajeev Motwani & Jeffry D. Ullman

# Reference Books

# Introduction to Languages and Theory of Computation.

By John Martin, Addison Wiley Print

# Elements of the theory of computation

By Harry R. Lewis, Christos H. Papadimitriou

# Theory of Computation,

By Adosh Kumar Pandey

# Unit-1

# Basic Foundations

# Computation

- If it involves a computer, a program running on a computer and numbers going in and out then computation is likely happening.

# Theory of computation

- Study of **power and limits** of computing.
- It has three interacting components:
  - # Automata Theory
  - # Computability Theory
  - # Complexity Theory

# Computability Theory

- What can be computed?
- Are there problems that no program can solve?



# Complexity Theory

- What can be computed efficiently?
- Are there problems that no program can solve in a limited amount of time or space?

# Automata Theory

- Study of **abstract machine** and their properties, providing a mathematical notion of “computer”
- Automata are **abstract mathematical models** of machines that perform computations on an input by moving through a series of states or configurations. If the computation of an automaton reaches an accepting configuration it accepts that input.

# Study of Automata

- For software designing and checking behavior of digital circuits.
- For designing software for checking large body of text as a collection of web pages, to find occurrence of words, phrases, patterns (i.e. pattern recognition, string matching, ...)
- Designing “lexical analyzer” of a compiler, that breaks input text into logical units called “tokens”

# Abstract Model

- An abstract model is a **model of computer system** (considered either as hardware or software) constructed to allow a detailed and precise **analysis of how the computer system works**.
- Such a model usually consists of **input**, **output** and **operations** that can be performed and so can be thought of as a processor.

E.g. an abstract machine that models a banking system can have operations like

“deposit”, “withdraw”, “transfer”, etc.

# Brief History

- Before 1930's, no any computer were there and Alen Turing introduced an abstract machine that had all the capabilities of today's computers. This conclusion applies to today's real machines.
- Later in 1940's and 1950's, simple kinds of machines called finite automata were introduced by a number of researchers.
- In late 1950's the linguist N. Chomsky begun the study of formal grammar which are closely related to abstract automata.
- In 1969 S. Cook extended Turing's study of what could and what couldn't be computed and classified the problem as:
  - o Decidable
  - o Tractable/intractable

# Basic Concept of Automata Theory

- The basic terms that pervade the theory of automata include “alphabets”, “strings”, “languages”, etc.

# Alphabets: (Represented by ' $\Sigma$ ')

- Alphabet is a finite non-empty set of symbols. The symbols can be the letters such as {a, b, c}, bits {0, 1}, digits {0, 1, 2, 3... 9}.
- Common characters like \$, #, etc.
- {0,1} – Binary alphabets
- {+, −, \*} – Special symbols

# Strings: - (Strings are denoted by lower case letters)

- String is a finite sequence of symbols taken from some alphabet. E.g. 0110 is a string from binary alphabet, “automata” is a string over alphabet {a, b, c ... z}.



## Empty String

- It is a string with zero occurrences of symbols. It is denoted by ' $\epsilon$ ' (epsilon).

## Length of String

- The length of a string  $w$ , denoted by  $|w|$ , is the number of positions for symbols in  $w$ . we have for every string  $s$ ,  $\text{length}(s) \geq 0$ .
- $|\epsilon| = 0$  as empty string have no symbols.
- $|0110| = 4$

- The set of **Power of alphabet**
- all strings of certain length  $k$  from an alphabet is the  $k$ th power of that alphabet. i.e.  $\Sigma^k = \{w \mid |w| = k\}$

If  $\Sigma = \{0, 1\}$  then,

$$\Sigma^0 = \{\epsilon\}$$

$$\Sigma^1 = \{0, 1\}$$

$$\Sigma^2 = \{00, 01, 10, 11\}$$

$$\Sigma^3 = \{000, 001, 010, 011, 100, 101, 110, 111\}$$

## Kleen Closure

- The set of all the strings over an alphabet  $\Sigma$  is called kleen closure of  $\Sigma$  & is denoted by  $\Sigma^*$ . Thus, kleen closure is set of all the strings over alphabet  $\Sigma$  with length 0 or more.
- $\therefore \Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots$
- E.g.  $A = \{0\}$
- $A^* = \{0^n \mid n = 0, 1, 2, \dots\}$

## Positive Closure

- The set of all the strings over an alphabet  $\Sigma$ , except the empty string is called positive closure and is denoted by  $\Sigma^+$ .
- $\therefore \Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots$

# Language

- A language  $L$  over an alphabet  $\Sigma$  is subset of all the strings that can be formed out of  $\Sigma$ ; i.e. a language is subset of kleen closure over an alphabet  $\Sigma$ ;  $L \subseteq \Sigma^*$ . (Set of strings chosen from  $\Sigma^*$  defines language).

For example;

- Set of all strings over  $\Sigma = \{0, 1\}$  with equal number of 0's & 1's.  
 $L = \{\epsilon, 01, 0011, 000111, \dots\}$
- $\phi$  is an empty language & is a language over any alphabet.
- $\{\epsilon\}$  is a language consisting of only empty string.
- Set of binary numbers whose value is a prime:  
 $L = \{10, 11, 101, 111, 1011, \dots\}$

# Concatenation of Strings

- Let  $x$  &  $y$  be strings then  $xy$  denotes concatenation of  $x$  &  $y$ , i.e. the string formed by making a copy of  $x$  & following it by a copy of  $y$ .
- More precisely, if  $x$  is the string of  $i$  symbols as  $x = a_1a_2a_3...a_i$  &  $y$  is the string of  $j$  symbols as  $y = b_1b_2b_3...b_j$  then  $xy$  is the string of  $i + j$  symbols as  $xy = a_1a_2a_3...a_ib_1b_2b_3...b_j$ .
- For example;  $x = 000$   $y = 111$   $xy = 000111$  &  $yx = 111000$
- Note: ' $\epsilon$ ' is identity for concatenation; i.e. for any  $w$ ,  $\epsilon w = w\epsilon = w$

## Suffix of a string

- A string  $s$  is called a suffix of a string  $w$  if it is obtained by removing 0 or more leading symbols in  $w$ . For example;  $w = abcd$   $s = bcd$  is suffix of  $w$ .

## Prefix of a string

- A string  $s$  is called a prefix of a string  $w$  if it is obtained by removing 0 or more trailing symbols of  $w$ . For example;  $w = abcd$   $s = abc$  is prefix of  $w$ ,

## Substring

- A string  $s$  is called substring of a string  $w$  if it is obtained by removing 0 or more leading or trailing symbols in  $w$ . It is proper substring of  $w$  if  $s \neq w$ .
- If  $s$  is a string then  $\text{Substr}(s, i, j)$  is substring of  $s$  beginning at  $i$ th position & ending at  $j$ th position both inclusive.



## Problem

- A problem is the question of deciding whether a given string is a member of some particular language.
- In other words, if  $\Sigma$  is an alphabet &  $L$  is a language over  $\Sigma$ , then problem is
- Given a string  $w$  in  $\Sigma^*$ , decide whether or not  $w$  is in  $L$ .