

Monday, January 29, 2018

## Prufer Code: Linear Representation of a Labeled Tree

I guess this is going to be my first post (apart from the contest analysis') which is not about Number Theory! It's not about graph either, even though the title has "Tree" in it. This post is actually about Combinatorics.

Prufer code, in my opinion, is one of the most underrated algorithms I have learned. It has a wide range of applications, yet, very few people seem to know about it. It's not even a hard algorithm! It's very easy and you should understand it intuitively.

In this post, we will be discussing what is Prufer Code and its conversion to and from a tree. On next post, we will look into some of its application.

## Prufer Code: What is it?

Every labeled tree of  $N$  nodes can be uniquely represented as an array of  $N - 2$  integers and vice versa

The linear array representation of a labeled tree is called Prufer Code. In case you are wondering what's a labeled tree, it's just a tree with distinguishable node, i.e, each node is marked such that they can be distinguished from one another.

Okay, before we move on to how to convert a labeled tree into Prufer Code and vice versa, let me tell you how I came to know about Prufer Code. If you are a problem setter, the story will be helpful to you.

## How I came to know about Prufer Code

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So a few years ago, I used to create challenges for HackerRank as a "Challenge Creator". So one day, I created a challenge involving tree. Since the problem had a tree in it, I had to create some random trees for the data set. I used the following algorithm to create the random trees:

1. Start with node 1. Initially, there is only node 1 by itself. Totally independent.
2. Now, for each node  $x$  from 2 to  $N$ :
  - a. Node 1 to  $x-1$  has already been processed. They are now connected as a tree.
  - b. Choose a node randomly from node 1 to  $x-1$  and connect node  $x$  with it.
3. Your tree is ready.

I thought this was a pretty reasonable process for getting myself a tree. So I submitted the challenge for testing. Back then, Wanbo used to test all my challenges. He no longer works at HR now. Anyways, as a tester, it was Wanbo's duty to write bad solutions to test my challenge. He looked into the dataset and realized that my data set wasn't truly random. He quickly figured out a pattern and wrote a heuristical solution that got AC. So he sent my challenge back to me and asked to improve the dataset.

I started to analyze my tree generator again. Why is it not random? What's wrong with it? After some thinking, I realized that in the pseudocode above, node number 1 has a higher chance of getting a child. As a result, trees created by that generator had higher degrees for nodes with a lower index. This can't be called a random tree generator.

Once I realized my mistake, I notified Wanbo about it. He then suggested me to google Prufer Code.

And that's how I came to know about Prufer code. After learning Prufer Code, creating random trees became trivial. All I had to do is create a random array of length  $N - 2$  and then convert it to a labeled tree. It was truly random without any pattern.

Creating random trees is just one of the application of Prufer Code. There is more.

Let us see how to find the Prufer Code of a given tree.

## Convert a Labeled Tree into Prufer Code

The process of converting a labeled tree into its Prufer Code is very simple. The pseudocode is given below:

1. Find the smallest leaf node of the given tree. Let it be  $x$ . Let the neighbor of node  $x$  be  $y$ .
2. Write down the value of  $y$  on a piece of paper.
3. Remove node  $x$  from the tree.
4. Repeat step 1 to 3 until there are only 2 nodes remaining.
5. Once you are done, there will be a sequence of numbers on the piece of paper. That's

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I don't know why I have been using default bash shell all these years :|  
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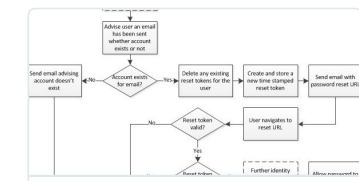
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Resetting password is such a headache! Maybe I should dump basic auth and just move to third party auth service.[troyhunt.com/everything-you...](#)



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In order to help you understand, I even drew a picture!

Here, the white nodes are non-leaf nodes, the green nodes are leaf nodes and the blue nodes are the smallest leaf node for that tree.

Figure 1

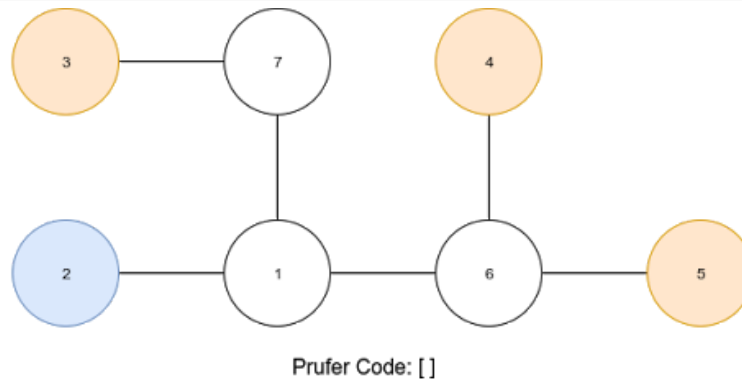


Figure 2

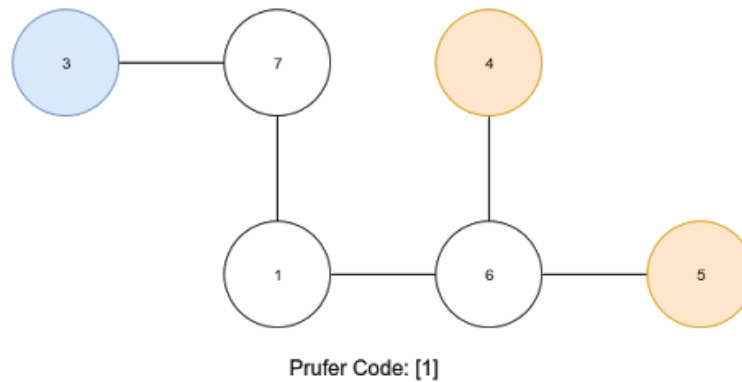
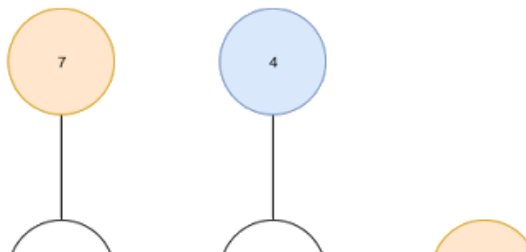
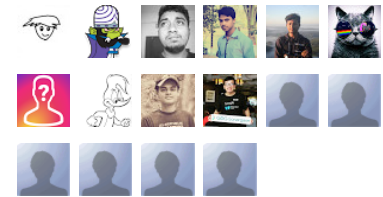


Figure 3



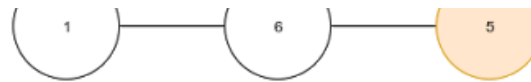
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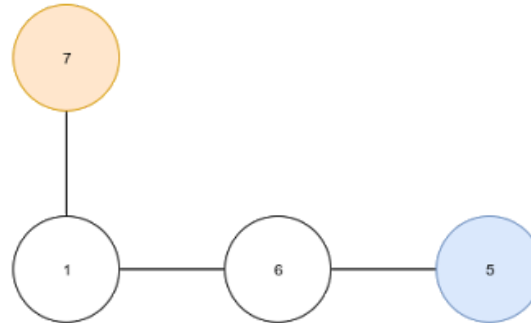
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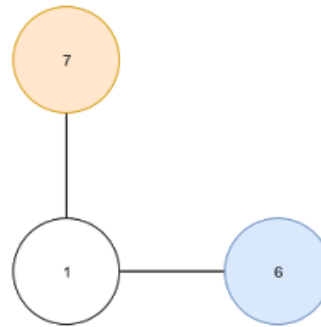
Prufer Code: [1, 7]

Figure 4



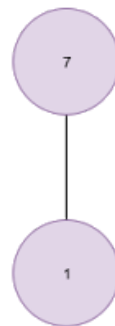
Prufer Code: [1, 7, 6]

Figure 5



Prufer Code: [1, 7, 6, 6]

Figure 6



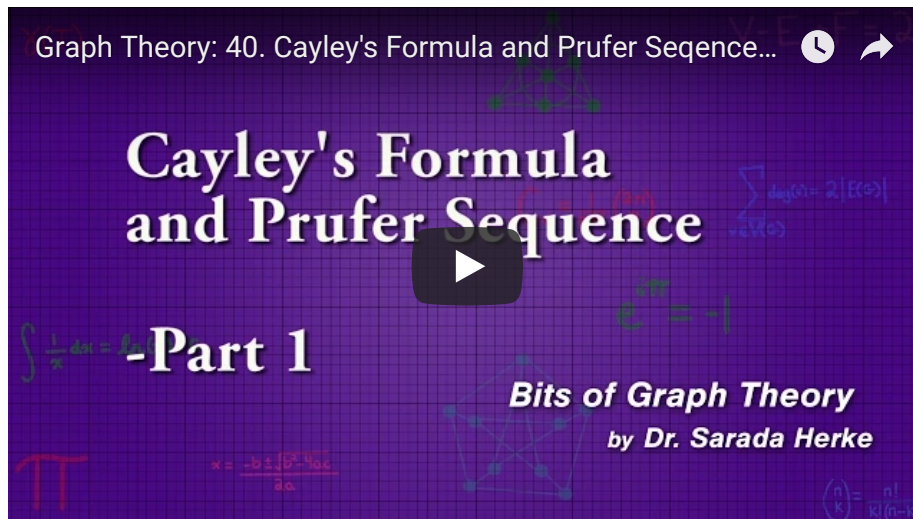
Prufer Code: [1, 7, 6, 6, 1]

So for the tree given on picture, the Prufer Code is 1, 7, 6, 6, 1. It's simple right?

Before we move on, we will also note down few properties of Prufer Code:

1. If a node has degree  $d$ , then that node will appear in prufer code exactly  $d - 1$  times.
2. Leaves never appear in Prufer Code.

If you are still having difficulty in understanding, have a look at this video.



## Code for Converting Tree into Prufer Code

We are just going to convert the idea we discussed above into code. Nothing fancy.

```
1  /*
2   Tree to Prufer Code
3   Complexity: O(VlogV)
4   */
5
6  vector<int> treeToPrufercode (int nodes, vector<pair<int,int>> &edges) {
7      unordered_set<int> neighbors[nodes+1]; // For each node, who is it's neighbor?
8  }
```

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```

9   for( int i = 0; i < edges.size(); i++ ) {
10       pair<int,int> edge = edges[i];
11       int u = edges[i].first; int v = edges[i].second;
12       neighbors[u].insert(v);
13       neighbors[v].insert(u);
14   }
15
16   priority_queue<int> leaves;
17   for ( int i = 0; i <= nodes; i++ ) {
18       if (neighbors[i].size() == 1 ) {
19           leaves.push(-i); // Negating since we need min heap
20       }
21   }
22   vector<int> pruferCode;
23   int need = nodes - 2;
24   while(need-- ) {
25       int leaf = -leaves.top(); leaves.pop();
26       int neighborOfLeaf = *(neighbors[leaf].begin());
27       pruferCode.push_back(neighborOfLeaf);
28       // Remove the leaf
29       neighbors[neighborOfLeaf].erase(leaf);
30       // The neighbor can become a new leaf
31       if(neighbors[neighborOfLeaf].size() == 1) {
32           leaves.push(-neighborOfLeaf);
33       }
34   }
35   return pruferCode;
36 }

```

tree\_to\_prufer\_code.cpp hosted with ❤ by GitHub

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Complexity:  $O(V \log V)$

I have tested the above code with some hand generated test cases. I would have felt much more confident if I could have gotten AC in some problem from an online judge. But unfortunately, I never found any such problem. The other way around is more common.

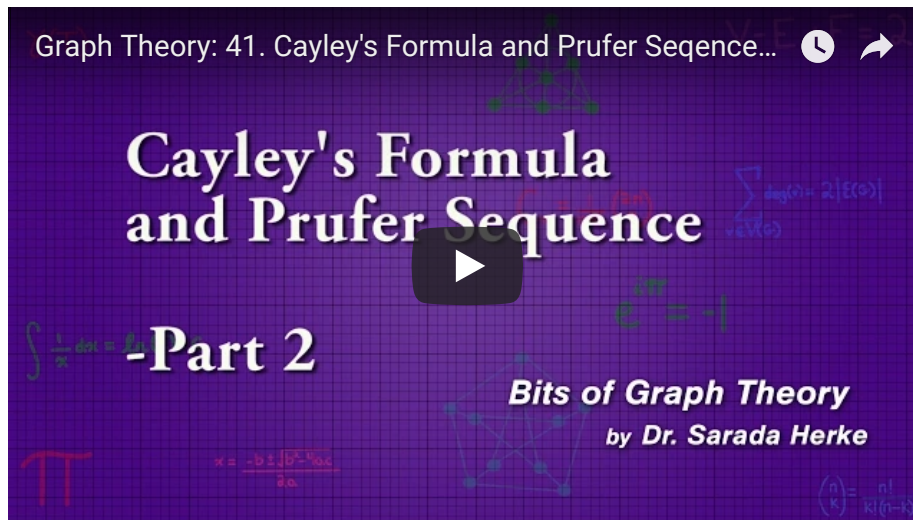
## Convert a Prufer Code into Labeled Tree

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So given a Prufer Code, how do we convert it into a tree? The algorithm is just reverse of what we have done before.

1. Find the node numbers who are missing in the Prufer Code. They are the leaves of the tree since leaves never make it to Prufer Code. Let  $L$  be the set of leaf nodes.
2. Select the smallest leaf from  $L$  and connect it to the first element of Prufer Code.
3. Remove the first element from Prufer Code. Let the removed element be  $X$ .
4. Check if  $X$  is still present in the Prufer code or not. If it has disappeared, then  $X$  has become a leaf itself. Add it in the set  $L$ .
5. Repeat step 2 to 4 until the full sequence disappears.
6. Once the process ends, you will have a connected labeled tree.

I am not going to draw a picture again. Too much effort. Just watch the following video if you are still confused.



## Code for Converting Prufer Code to Tree

We will convert the process we discussed above into code.

```
1  /*
2   Prufer Code to Tree
3   Complexity: O(VlogV)
4   */
5
6  vector<pair<int,int>> pruferCodeToTree(vector<int> &pruferCode) {
7      // Stores number count of nodes in the prufer code
```

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```

8 unordered_map<int,int> nodeCount;
9
10 // Set of integers absent in prufer code. They are the leaves
11 set<int> leaves;
12
13 int len = pruferCode.size();
14 int node = len + 2;
15
16 // Count frequency of nodes
17 for ( int i = 0; i < len; i++ ) {
18     int t = pruferCode[i];
19     nodeCount[t]++;
20 }
21
22 // Find the absent nodes
23 for ( int i = 1; i <= node; i++ ) {
24     if ( nodeCount.find ( i ) == nodeCount.end() ) leaves.insert ( i );
25 }
26
27 vector<pair<int,int>> edges;
28 /*Connect Edges*/
29 for ( int i = 0; i < len; i++ ){
30     int a = prufer[i]; // First node
31
32     //Find the smallest number which is not present in prufer code now
33     int b = *leaves.begin(); // the leaf
34
35     edges.push_back({a,b}); // Edge of the tree
36
37     leaves.erase ( b ); // Remove from absent list
38     nodeCount[a]--; // Remove from prufer code
39     if ( nodeCount[a] == 0 ) leaves.insert ( a ); // If a becomes absent
40 }
41
42 // The final edge
43 edges.push_back({*leaves.begin(), *leaves.rbegin()});
44 return edges;

```



```
45 }
```

prufer\_code\_to\_tree.cpp hosted with ♥ by GitHub

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Complexity:  $O(V \log V)$

Converting Prufer Code to Tree is much more common than the other way around. There is even a problem on Timus on this conversion: [Timus 1069. Prufer Code](#). I believe you now have enough knowledge to solve Timus 1069.

## Conclusion

We now know how to convert a labeled tree into Prufer Code and vice versa. Not only we know the process, we even know how to code them.

On next post ([Application of Prufer Code: Random Tree Generation, Cayley's Formula and Building Tree from Degree Count](#)), we will look into some of its common applications and solve some interesting problems related to Prufer Code. Make sure you understand the process clearly before proceeding.

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1. [Wiki - Prüfer sequence](#)
2. [Youtube - Graph Theory: 40. Cayley's Formula and Prufer Sequences part 1/2](#)
3. [Youtube - Graph Theory: 41. Cayley's Formula and Prufer Sequences part 2/2](#)

## Related Problems

1. [Timus 1069 - Prufer Code](#)

## Next Posts

1. [Application of Prufer Code: Random Tree Generation, Cayley's Formula and Building Tree from Degree Count](#)

Posted by [Mohammad Samiul Islam](#)




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Problem Given two integers  $A$  and  $B$ , find number of primes inside the range of  $A$  and  $B$  inclusive. Here,  $1 \leq A \leq B \leq 10^4$ ...

#### [Sieve of Eratosthenes - Generating Primes](#)

Problem Given an integer  $N$ , generate all primes less than or equal to  $N$ . Sieve of Eratosthenes - Explanation Sieve of Eratosthenes ...

#### [Number of Digits of Factorial](#)

Problem Given an integer  $N$ , find number of digits in  $N!$ . For example, for  $N = 3$ , number of digits in  $N! = 3! = 3 \times 2 \times 1 \dots$

#### [Euler Totient or Phi Function](#)

I have been meaning to write a post on Euler Phi for a while now, but I have been struggling with its proof. I heard it required Chinese Rem...

#### [Chinese Remainder Theorem Part 2 - Non Coprime Moduli](#)

As promised on the last post, today we are going to discuss the "Strong Form" of Chinese Remainder Theorem, i.e, what do we do whe...

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