

The first part of the paper is devoted to a discussion of the general properties of the system. It is shown that the system is stable and that the solution is unique. The second part of the paper is devoted to a discussion of the properties of the solution. It is shown that the solution is continuous and that it satisfies the boundary conditions. The third part of the paper is devoted to a discussion of the properties of the solution. It is shown that the solution is differentiable and that it satisfies the differential equation. The fourth part of the paper is devoted to a discussion of the properties of the solution. It is shown that the solution is bounded and that it satisfies the initial conditions. The fifth part of the paper is devoted to a discussion of the properties of the solution. It is shown that the solution is periodic and that it satisfies the periodic boundary conditions. The sixth part of the paper is devoted to a discussion of the properties of the solution. It is shown that the solution is smooth and that it satisfies the smoothness conditions. The seventh part of the paper is devoted to a discussion of the properties of the solution. It is shown that the solution is analytic and that it satisfies the analyticity conditions. The eighth part of the paper is devoted to a discussion of the properties of the solution. It is shown that the solution is holomorphic and that it satisfies the holomorphicity conditions. The ninth part of the paper is devoted to a discussion of the properties of the solution. It is shown that the solution is meromorphic and that it satisfies the meromorphicity conditions. The tenth part of the paper is devoted to a discussion of the properties of the solution. It is shown that the solution is entire and that it satisfies the entireity conditions.

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THE

# ALGORITHM DESIGN MANUAL

2<sup>nd</sup>

Steven S. Skiena

ch 1 - ch 18

17 pages

2016, 11, 10 - 2016, 11, 13

ALGORITHM

DESIGN

5

MANUAL

Steven 2 Skena

CH 1 - CH 18

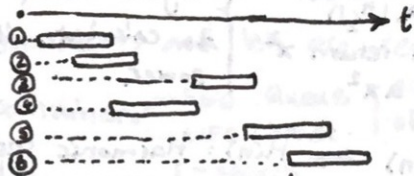
18 pages

2006/1/10 - 2006/1/18

algorithm: a procedure that takes an arbitrary instance of the problem and returns an instance of desired answers.

► In the industry, usually a good algorithm is what gets the job done.

\* traveling salesman (robot arm) is difficult.



The problem with "War & Peace" is that it is too long...

► sort by completion date, select by earliest and remove overlaps until nothing else remains.

■ = QED: quod erat demonstrandum.

expressing algorithms {  
 - Human language (e.g. English)  
 - Programming language (e.g. C/C++/Java)  
 - Pseudo code

Proving Incorrectness via counter examples  
 → think small: target specific shortcomings  
 → think exhaustively  
 → hunt for the weakness  
 → go for a tie: all inputs are the same  
 → seek extremes

RAM

- simple operations are constant time
- memory access is constant time

MODEL

- loops et al are not simple.

▶ also talks about Big-O: see **CLRS (4)**

$$n! \gg 2^n \gg n^3 \gg n^2 \gg n \cdot \log n \gg n \gg \sqrt{n} \gg \log n \gg 1$$

Power (a, n):

if (n=0) return 1

x = power(a,  $\lfloor n/2 \rfloor$ )if n is even return  $x^2$ else return  $a \cdot x^2$ 

uses only  
log(n) multiplications  
to calculate the  
power.

$$\sum_{i=1}^n \frac{1}{i} \approx \ln(n) \rightarrow H(n): \text{Harmonic series}$$

esoteric  
functions

-  $\alpha(n)$ : inverse Ackerman's: less than 5 for largest writable integer

$$2^{c n^{1+1/c}} \sim O(n^{1+\epsilon})$$

→ f(n) dominates g(n) iff:  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$

■ abstract data types define the contract  
↳ replacing implementations does not affect the correctness of the program.

Data

① contiguous: single slab of memory.

Structures

② Linked: need to use pointers.

日本 houses in Japan are numbered in the order in which they were built!

- arrays:
- constant access time
  - space efficiency
  - locality
  - Fixed size  $\rightarrow$  dynamic array  $2n$  effort



$\rightarrow$  cell phones are pointers to their owners as they move about

- o linked lists  $\rightarrow$  don't overflow unless memory is full.
  - $\hookrightarrow$  simpler insertion & deletion.
  - $\hookrightarrow$  easier to reallocate items.

Both arrays and lists are recursive data types

- o containers
  - $\hookrightarrow$  FIFO: Queue
  - $\hookrightarrow$  LIFO: Stack

access irrelevant of content

- o dictionaries
  - search
  - insert
  - delete

operations require a specific key

- $\hookrightarrow$  optionally
  - max/min
  - predecessor/successor

talks about binary search trees: see CLRS(18)

greedy heuristics tend to grab the best possible thing first.

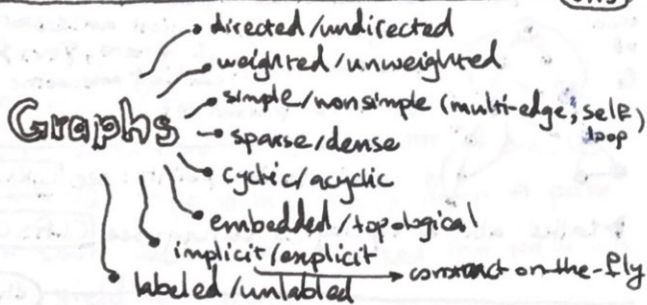
talks about hashing: see CLRS(14)

talks about Rabin-Karp: see CLRS(49)

- uses of hashing
- duplicate detection
  - anti-plagiarism: hash sentences
  - pre-transmitting the hash (checksum)



- ▶ A quarter of all mainframe cycles were spent sorting — Knuth
- ! sorting the data is one of the first things any algorithm designer should think about.
- ▶ we should abstract comparison into a function
- ▶ SELECTIONSORT: see CLRS(4)
- ▶ INSERTIONSORT: see CLRS(3)
- ▶ HEAPSORT: see CLRS(9)
- ▶ MERGESORT: see CLRS(3)
  - ↗ doesn't need random access → can use linked lists
  - ↳ If we use linked-lists, we can merge without a buffer by rearranging pointers
- ▶ QUICKSORT: see CLRS(10)
- ▶ BUCKET SORT: see CLRS(12)
  - ↳ great when distribution of data is uniform across some feature of data
- ▶ k-way merge sort with a min-heap (PQ) for managing the k-way merge is great for sorting large files (PQ sits in memory, while sorted blocks go to disk).
- binary search and problem space halving techniques lie at the heart of divide & conquer solutions



representation {

- adjacency matrix
- adjacency list

most of the time this is the right answer

- list of edges

→ initialization of the data structure can become a bottleneck?

► talks about BFS: see CLRS(32)

↳ we can use BFS to discover a two-coloring of graph  $G$ . → if exists,  $G$  is bipartite

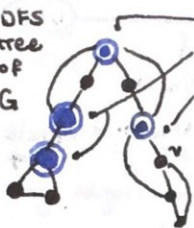
► talks about DFS: see CLRS(32) → if we store exploration agenda in a stack instead of a queue we have a DFS.

→ we mark the start & finish "time" of exploration for a node, which sandwiches the exploration of its descendants.

$\frac{\Delta t}{2} = \# \text{ of descendant nodes, edges, tree back always point to an ancestor}$



DFS  
tree  
of  
 $G$



root cut node:  $\delta(\text{root}) > 1$

bridge cut node: earliest ancestor

parent cut node: earliest ancestor  
of  $v$  is parent of  $v$

articulation points: see CLRS (33)

► talks about topological sorting: see CLRS (32)

(ch 6)

► Talks about MINIMUM SPANNING TREE: see CLRS (34)

{ Prim:  $O(m + n \lg n)$  using PQ

{ Kruskal:  $O(m, n): O(m \log m)$  using UNION FIND

Another name for DISJOINT SETS: see CLRS (31)

► Talks about Dijkstra: see CLRS (35)

► Dijkstra for vertex edges: set  $\text{weight}(i, j)$  to  $w(i, j)$

► Talks about FLOYD-WARSHALL: see CLRS (36)

► Talks about NETWORK FLOW & BIPARTITE MATCHING

see CLRS (37-38)

• Try to model problems

as graphs & apply known algorithms.

(ch 7)

1 million  $\rightarrow$  permutations of 10-11 objects  
 $\rightarrow$  subsets of combinations of 20 items

► employing BACKTRACKING is the same as doing  
a DFS of problem space, with vertices being  
states, and edges being transitions.

■ We can construct all subsets of set of size  $|k|$  by promoting the states of presence & absence of item "i" to candidacy. We are done when we have a vector of size  $|k|$ .

■ **PRUNING**: stopping the search down a path as soon as we have realized this path will not yield an answer.

↳ Proper pruning can speed up algorithms more significantly than any other factor.

## Heuristic Approaches

⇒ { only consider parts of the solution space



■ All heuristic approaches need to evaluate the "cost/score" of a solution to judge which one is better.

↳ Monte Carlo method



**1 RANDOM SAMPLING**: generate possible solutions at random, and return the one that looks the best.

↳ ⚠ requires the ability to generate random numbers from a unified distribution.

## → Hill Climbing

② LOCAL SEARCH is the process of picking one solution, then slightly tweaking it until no improvements are possible  $\Rightarrow$  great at finding the local optima  
Not so great in a landscape full of hills?

③ SIMULATED ANNEALING start at a given temperature. At each step consider " $i$ " neighboring states. Transition if the state is better or a random function tells us to do so. Continue until no further change is found and lower the temperature before the next set of iterations.

→ We want to avoid getting stuck in local optima by allowing jumps to states that don't improve the solutions. As the temperature decreases, we are less likely to take such risks and will eventually settle on a local optima that is within a larger radius from the starting point than what local searching would allow.



4

## GENETIC ALGORITHMS model

transitions as mutations and allow for evolution and natural selection to kill off harmful mutations.

↳ Often very slow convergence and also extremely complicated to implement.

PARALLELIZATION does speed up computation but adds complexity to code, is hard to debug, and usually can be beaten by some improvements to the sequential solution.

→ Proper load balancing goes a long way in improving parallelization performance

!

DYNAMIC PROGRAMMING is essentially a trade-off of space for time.

ch8

⇒  
naturally  
left-to-right  
problem  
spaces

- character strings
- rooted trees
- ~~g~~ polygons
- integer sequences  
calculating Fibonacci series





DP

- ① Come up with the optimizing recursion
- ② construct bottom-up solution in the right order

### Sample Problems

the cost of each partitioning scheme is the largest sum among its parts. We seek to minimize this.

can  $S_{ij}$  be parsed as rule  $x \rightarrow yz$ ?

minimum # of parse errors when parsing  $S_{ij}$  to  $x \rightarrow yz$



When does it work?

- edit distance
- longest increasing sequence
- morphing images by choosing the best edit for rows of pixels
- partitioning set  $S$  into  $k$  subsets such that the sum of these  $S_i$  are as close to each other as possible.

- parsing context free grammars:

$$M[i, j, x] = \bigvee_{(x \rightarrow yz) \in G} \left( \bigvee_{i=k}^j M[i, k, y] \wedge M[k+1, j, z] \right)$$

- Finding parse errors without breaking the process:

$$M[i, j, X] = \min_{(x \rightarrow yz) \in G} \left( \min_{i=k}^j (M[i, k, y] + M[k+1, j, z]) \right)$$

- triangulating a polygon so that triangles have minimum total circumference.

→ the recurrence has to be correct

→ the number of remembered partial solutions is small

→ each partial solution is not too difficult to calculate

- By showing that we can solve A by converting it to B which runs in  $O(f)$  in  $O(g)$  conversion time, we reduce A to B.

⇒ { ① We have  $A \in O(f+g)$   
 ② if  $A \in \Omega(h) \Rightarrow B \in \Omega(h-g)$ , otherwise the reduction would contradict  $A \in \Omega(h)$

→ this is what we use to show hardness.

- If we convert an optimization to a decision of feasibility of  $k$ , we can do binary search to answer the optimization.

Hamiltonian cycle  $\xrightarrow{\text{reduction}} \text{Traveling salesman}$   
 is hard therefore is hard

This is the general formulation for NP proofs.

→ We don't even need to know how the target problem works. We want to know if it is difficult or not.

- Cook's theorem: all problems in NP are the same in terms of difficulty.
- NP-hard: not verifiable in polynomial time



① Do I really understand the problem?   
 ↳ reiterate the problem  
 ↳ articulate all the knowns

② Can I find a simple heuristic for my problem?   
 ↳ how important is it to solve optimally?

③ Are there special cases of the problem that I know how to solve?   
 ↳ can I generalize my answer?

④ Which algorithmic paradigm is most relevant to my current problem?



## The Catalog



Dictionaries

- how many items?
- operation distribution?
  - unsorted linked lists / arrays
  - sorted linked lists / arrays
  - hash tables
  - BSTs
  - B-Trees
  - Skiplists



Priority Queues  
great when  
we know the  
range of keys

- additional operations?
- is maximum size known?
- can priorities change?
- sorted array/list
- binary heap
- bounded height PQs
- BSTs
- Fibonacci heaps



Suffix Trees

→ a graph that represents all the suffixes of a string



Graph Data  
Structures

- how big?  $|V|$
- how dense?  $|E|$
- for which algorithms?
- will be modified?
- matrices
- adjacency lists
- edge lists



Set Data  
Structures

- bit vectors
- containers/dictionaries
- bloom filters: use  $k$  hash functions and set bits  $H_i(e)$  for inserting  $e$
- collection of containers
- generalized bit vector: array where  $A[i]$  has the name of subset
- dictionary with subset attribute
- ↳ the union-find data structure

## Random Number Generation



- Should I have the same random sequence?
- Third-party implementations?
- Implement myself?
- Size of the number?
- Distribution?



## Knapsack Problem

- NP-Complete
- Budget/Cost
- Dynamic Programming/Backtracking
- ⇒ Integer partitioning is a special case of this problem.



## SORTING

- range limited? bit vector, counting
- uniformly distributed? buckets
- sortedness is high?
- external? use in-order of a B-Tree, or use k-way merge sort.



## Searching

- sequential: good up to 20
- binary: more than 100
- exploit key frequencies: optimal binary search tree using DP by minimizing expected search cost
- self-organizing lists: bump-to-top, splay trees: BST where k gets rotated to root
- one-sided binary search
- external memory? B-Tree, van Emde Boas



Selection

→ naive:  $O(n \log n)$ , best: worst-case  $O(n)$

→ if we see each element only once, we obtain a random sample, & work on that

→ finding the mode is  $SL(n \log n)$  ← element uniqueness



Generating Permutations

→ All: use backtracking

→ Random: use the Knuth method

→ Next: ① Use rank/unrank where

$\text{unrank}(\text{rank}(p), n) \equiv p$

② Use incremental swapping by  $\text{next}()$ / $\text{previous}()$

→ do we have duplicate items?



Subset generation

→ use grey code

→ use binary counting



Connected Components

→ undirected: there is a path between every two vertices (BFS/DFS)

→ directed ① weak: for  $(u, v)$  there is either  $(u \rightsquigarrow v)$  or  $(v \rightsquigarrow u)$   
② strong: there is a path between every pair of edges

→ also useful for finding weakest point of a graph





Clique

- largest SSV that forms a complete graph
- Find maximal by sorting according to  $\delta$
- Find a dense subgraph instead



Vertex Coloring

- 2-coloring: dis covering bipartite components → DFS
- Finding chromatic number of graph is NP-complete.



Edge Coloring

- Vizing's theorem: if  $\Delta_{\max} = k$ , we can edge-color with  $\Delta + 1$ .

↳ This offers an  $O(\Delta V |E|)$  algorithm for finding  $(\Delta + 1)$ -coloring of the graph

- Can it be lossy?
- Can I simplify? (Burrows-Wheeler)
- Does it have to be real-time?



Text Compression

- Huffman codes: :: two scans  
:: not adaptive
- Lempel-Ziv (including LZW):  
build index as we go and reuse frequent blocks

Programming  
Pearls 21

Jon Bentley

ed 1 - ed 15

3 pages

1984, 1985 - 1986, 1987