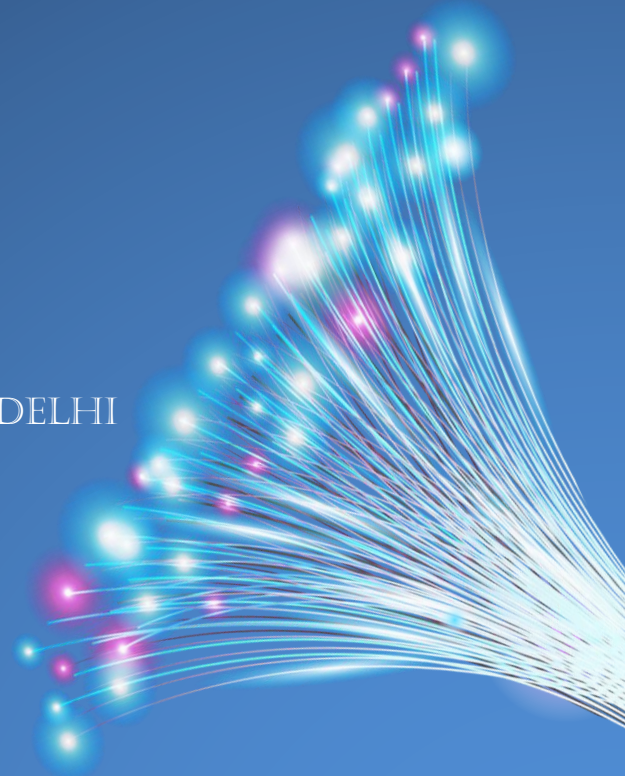




DEPARTMENT OF PHYSICS  
INDIAN INSTITUTE OF TECHNOLOGY DELHI

RECTANGULAR WAVEGUIDES



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# INTRODUCTION

PREVIOUSLY WE SAW DIFFERENT METHODS TO ANALYSE RECTANGULAR CORE WAVEGUIDE :

Marcitilli's Method

Perturbation Method

Optimal Variational  
Method(VoPT)

ACCURACY INCREASES →

WE USED VOPT METHOD TO ANALYSE RECTANGULAR WAVEGUIDES :

- I. MODAL ANALYSIS OF RECTANGULAR WAVEGUIDE USING VOPT
- II. APPLICATION OF RECTANGULAR WAVEGUIDES
- III. IDENTICAL DIRECTIONAL COUPLER
- IV. NON IDENTICAL DIRECTIONAL COUPLER
- V. POWER DISTRIBUTION AT DIFFERENT LONGITUDINAL LENGTH OF DIRECTIONAL COUPLER
- VI. MODAL ANALYSIS OF RIB WAVEGUIDE
- VII. MODAL ANALYSIS OF EMBOSSED WAVEGUIDE

## OPTIMAL VARIATIONAL METHOD(VOPT)

In this method no prior assumptions are required for the form of field. The field is generated by the method itself. Modes of a waveguide characterized by  $n^2(x, y)$  satisfy the wave equation.

$$\frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + [k_0^2 n^2(x, y) - \beta^2] \psi(x, y) = 0 \quad \Rightarrow \quad (1)$$

The integral form of the above equation can be written as,

$$\beta^2 = \int \int k_0^2 n^2(x, y) |\psi(x, y)|^2 dx dy - \int \int |\nabla \psi|^2 dx dy \quad \Rightarrow \quad (2)$$

For the trial field  $\psi_t(x, y)$  the estimated propagation constant is given as,

$$\beta_t^2 = \int \int k_0^2 n^2(x, y) |\psi_t(x, y)|^2 dx dy - \int \int |\nabla \psi_t|^2 dx dy \quad \Rightarrow \quad (3)$$

Most of the analytical trial functions assume the separability of the field in the x and y directions .

$$\psi_t(x, y) = X(x)Y(y) \quad \Rightarrow \quad (4)$$

The accuracy of the method is governed by two assumptions: the separability and the functional forms for the factors in Eq.(4). The separability is still assumed but no functional forms are assumed for  $X(x)$  and  $Y(y)$ . These are generated numerically without any approximation. Thus, these two one-dimensional fields evolve with the iterations within the framework of the variational method and the accuracy obtained is the best under the assumption of separability. Thus Eq.(3) is written as,

$$\beta_t^2 = \int \int k_0^2 n^2(x, y) |X(x)|^2 |Y(y)|^2 dx dy - \int \int \left| \frac{\partial X}{\partial x} \right|^2 dx - \left| \frac{\partial Y}{\partial y} \right|^2 dy \quad \Rightarrow \quad (5)$$

The method is iterative and we assume, to start with, a planar index distribution  $n^2(y)$  (it could as well be  $n^2(x)$ ). We introduce this index distribution into the variational expression of Eq.(5), which can be rewritten as.

$$\beta_t^2 = \int k_0^2 n_y^2(y) |Y(y)|^2 dy - \int \left| \frac{\partial Y}{\partial y} \right|^2 dy + \int k_0^2 \left[ \int (n^2(x, y) - n_y^2(y)) |Y(y)|^2 dy \right] |X(x)|^2 dx - \int \left| \frac{\partial X}{\partial x} \right|^2 dx \quad \Rightarrow \quad (6)$$

However writing the equation in this manner, we have separated RHS into two variables. We will show in the following that each of these terms is positive and can be individually maximized giving, thus, the maximum value of  $\beta_t$ . The first term,

$$\beta_y^2 = \int k_0^2 n_y^2(y) |Y(y)|^2 dy - \int \left| \frac{\partial Y}{\partial y} \right|^2 dy \quad \Rightarrow \quad (7)$$

The second term of Eq.(6) is also in the form of the variational expression for a planar index distribution,  $n_x^2(x)$ , which is defined as,

$$n_x^2(x) = \int [n^2(x, y) - n_y^2(y)] |Y(y)|^2 dy \quad \Rightarrow \quad (8)$$

Break the Eq.(5) in terms of  $n_x^2(x)$  (this time use the calculated value of  $n_x^2(x)$ ) then follow the same steps and find  $\beta_x^2$  and  $n_y^2(y)$  name them Eq.(9) and Eq.(10) .

## STEPS FOR IMPLEMENTATION OF THE VOPT METHOD :

STEP 1: Choose an  $n_y^2(y)$  . A good choice is  $n_y^2(y) = n^2(x = 0, y)$  .

STEP 2: Obtain  $\beta_y^2$  and  $Y(y)$  numerically. Normalize  $Y(y)$  .

STEP 3: Obtain  $n_x^2(x)$  using Eq.(8).

STEP 4: Obtain  $\beta_x^2$  and  $X(x)$  numerically for  $n_x^2(x)$  . Normalize  $X(x)$ .

STEP 5: Obtain  $n_y^2(y)$  using Eq.(10).

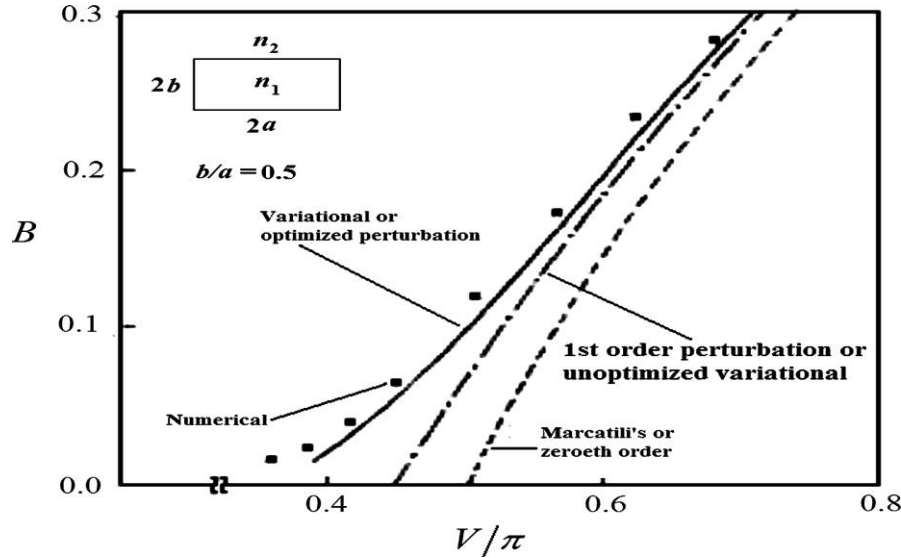
STEP 6: Obtain  $\beta_y^2$  and  $Y(y)$  numerically for  $n_y^2(y)$  . Normalize  $Y(y)$ .

STEP 7: Compute  $\beta_t^2 = \beta_x^2 + \beta_y^2$ . Check for convergence in  $\beta_t^2$  .

IF Converged, GO TO STEP 8 ELSE GO TO STEP 3.

STEP 8:  $\beta_t^2$  and  $\psi_t(x, y) = X(x)Y(y)$  are the required propagation constant and modal field .

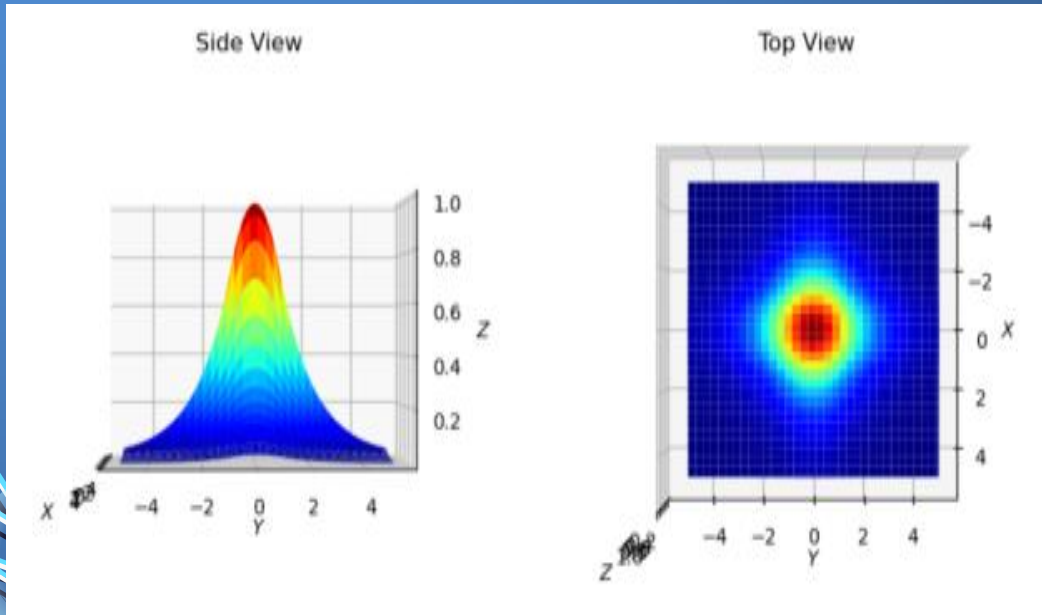
# NORMALIZED PROPAGATION CONSTANT VS NORMALIZED FREQUENCY FOR DIFFERENT METHODS



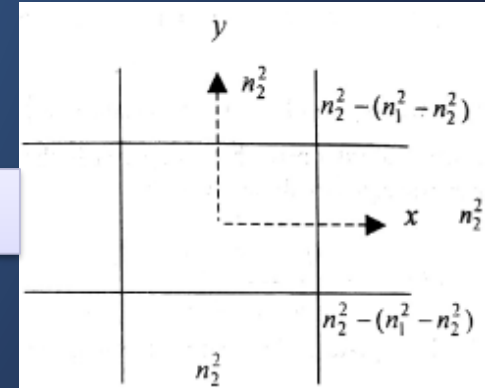
Variation of normalized propagation constant  $B$  with normalized frequency  $V$  for aspect ratio  $a/b = 2$  for a homogenous channel waveguide with  $n_1 = 1.49$  and  $n_2 = 1.48$ . Comparisons with other methods .

## MODAL ANALYSIS OF RECTANGULAR WAVEGUIDE USING VOPT :

We solved for following parameters (using  $a = 2\mu\text{m}$ ,  $b = 1\mu\text{m}$ ,  $n_1 = 1.49$ ,  $n_2 = 1.48$  and  $\lambda = 1.5\mu\text{m}$ ) and got the following field distribution using python programming language .

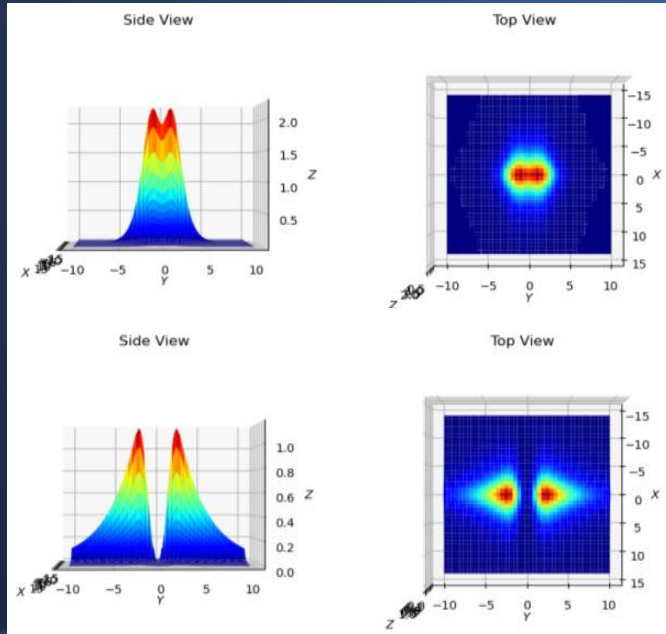


FUNDAMENTAL  
MODE



# APPLICATION OF RECTANGULAR WAVEGUIDES

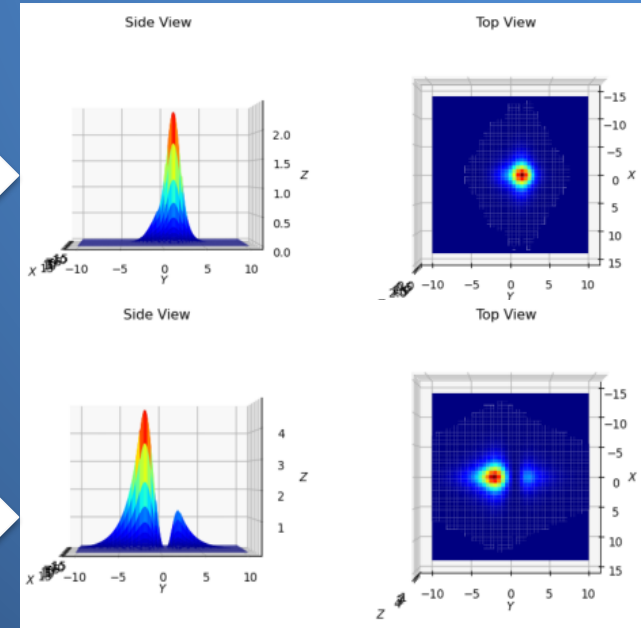
## POWER DISTRIBUTION IN IDENTICAL DIRECTIONAL COUPLER



P.D IN SYMMETRIC  
MODE

P.D IN  
ANTISYMMETRIC  
MODE

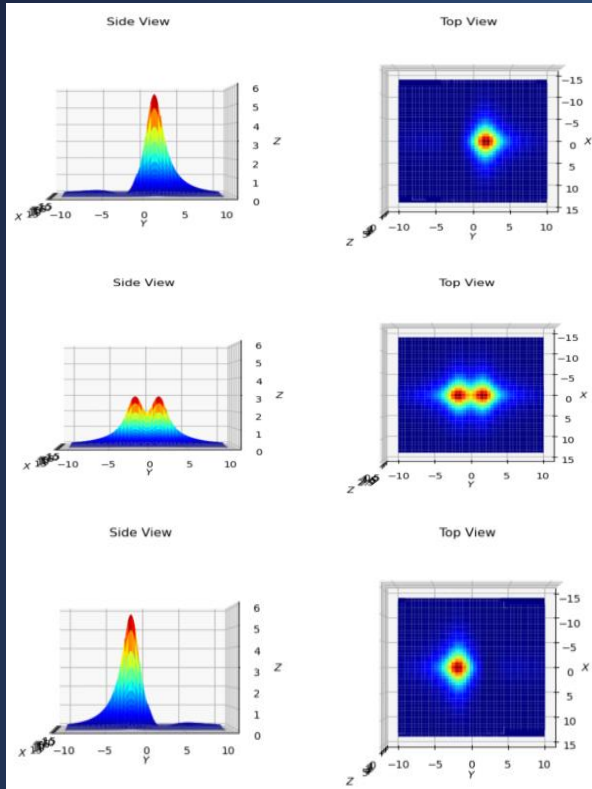
## POWER DISTRIBUTION IN NON IDENTICAL DIRECTIONAL COUPLER





# POWER DISTRIBUTION AT DIFFERENT LONGITUDINAL LENGTHS

## IDENTICAL DIRECTIONAL COUPLER

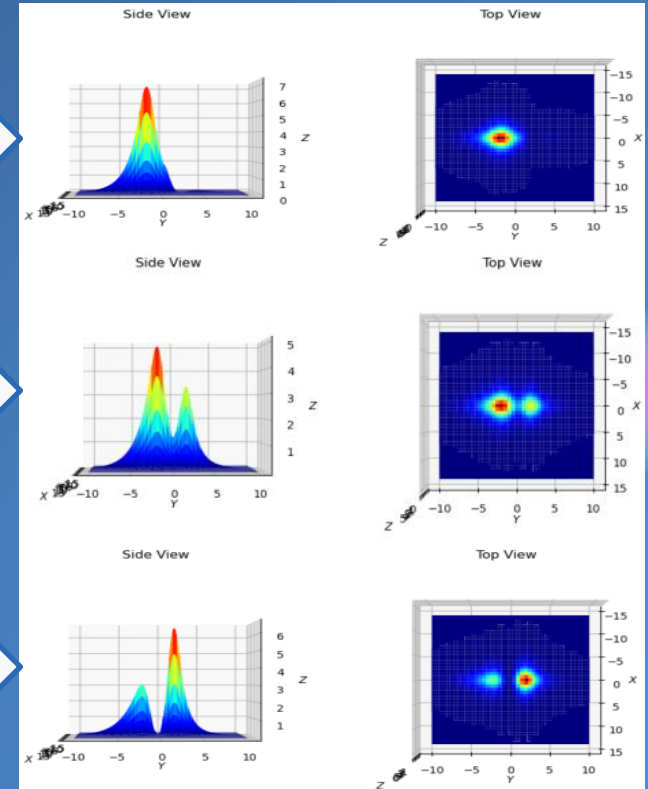


POWER DISTRIBUTION AT  $Z = 0$

POWER DISTRIBUTION AT  $Z = L_c/2$

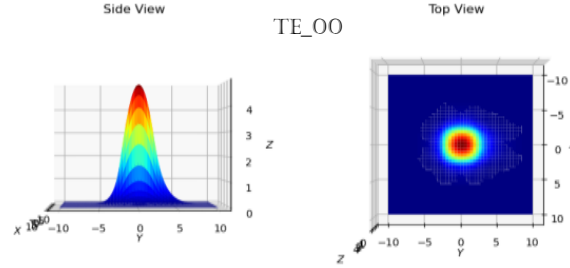
POWER DISTRIBUTION AT  $Z = L_c$

## NON-IDENTICAL DIRECTIONAL COUPLER

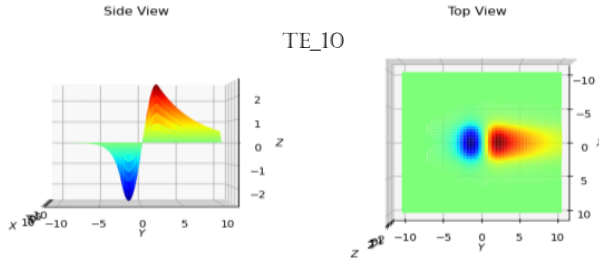


**MODES OF A RIB WAVEGUIDE :** Here we used VOPT method discussed above ,  
 We solved for following parameters (using  $a = 2\mu\text{m}$ ,  $b = 1\mu\text{m}$ ,  $n_1 = 1.49$  ,  $n_2 = 1$  ,  $n_3 = 1.48$  and  $\lambda = 1.5\mu\text{m}$ ) and got the following field distribution using julia programming language .

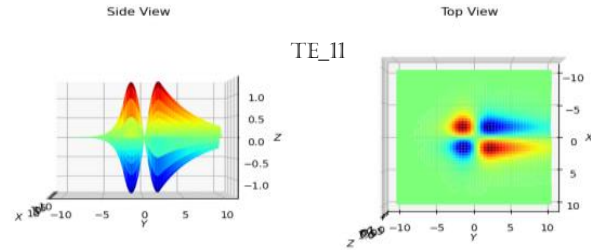
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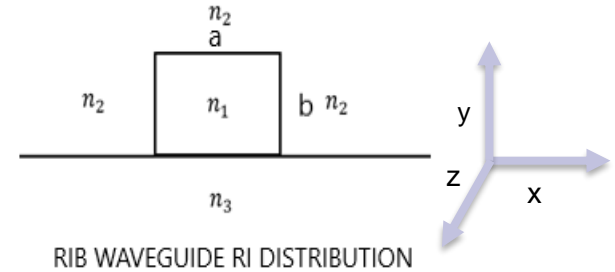
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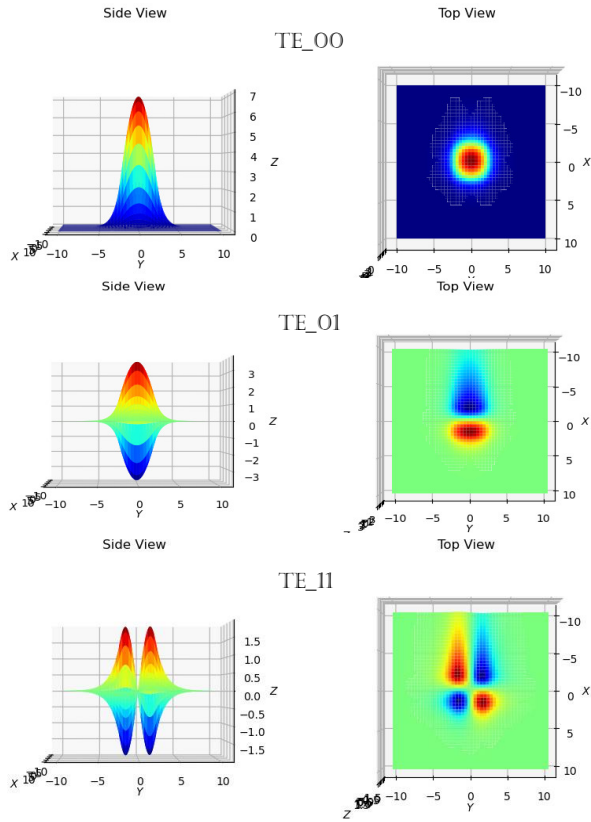
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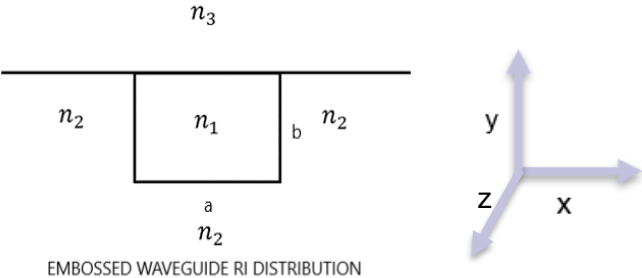
DIFFERENT  
MODES



**MODES OF AN EMBOSSED WAVEGUIDE :** Here we used VOPT method discussed above ,  
 We solved for following parameters (using  $a = 2\mu\text{m}$ ,  $b = 1\mu\text{m}$ ,  $n_1 = 1.49$  ,  $n_2 = 1.48$ ,  $n_3 = 1$  and  $\lambda = 1.5\mu\text{m}$ ) and got the following field distribution using julia programming language .



DIFFERENT  
MODES



## ACKNOWLEDGEMENT

*We would like to thank Prof. Anurag Sharma, our supervisor, for being an excellent motivator and igniting our enthusiasm in working on one of the communication systems technologies that is advancing the fastest. Prof. Sharma is also to be commended for making wise choices and offering crucial assistance and advise.*



*Since we have had the opportunity to meet so many wonderful people and experts who are guiding us through this project period, we consider ourselves to be extremely fortunate people and will always be grateful for that . For our professional development, we consider this chance as a huge step forward . We'll keep putting in a lot of effort to utilize the abilities and information we've just learned .*

# SCOPE FOR FUTURE WORK



**Telecommunications** : In fiber-optic communication systems, optical waveguides are frequently employed to carry data over great distances. Networks for communications could become faster and more effective as a result of developments in waveguide design and fabrication .



**Optical Sensors** : In order to detect changes in the environment, such as those in temperature, pressure, or chemical composition, waveguides can be utilized as sensitive sensors. More precise and sensitive sensors for a number of applications may be made possible by advancements in waveguide design.

## REFERENCES

- I. A. Sharma. Optimal variational method for rectangular and channel waveguides, 2005.
- II. T. Benson, P. Kendall, M. Stern, and D. Quinney. New results for rib waveguide propagation constants. 136(2):97–102, 1989
- III. Sharma and S. Barai. Improved optimal variational method to analyze optical rib waveguides. Optics communications, 271:81–86, 03 2007 .



THANKS !