## Two.II Linear Independence

Linear Algebra
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# Definition and examples

#### Linear independence

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Observe that, although this way of writing one vector as a combination of the others

$$\vec{s}_0 = c_1 \vec{s}_1 + c_2 \vec{s}_2 + \dots + c_n \vec{s}_n$$

visually sets off  $\vec{s}_0$ , algebraically there is nothing special about that vector in that equation. For any  $\vec{s}_i$  with a coefficient  $c_i$  that is non-0 we can rewrite to isolate  $\vec{s}_i$ .

$$\vec{s}_i = (1/c_i)\vec{s}_0 + \dots + (-c_{i-1}/c_i)\vec{s}_{i-1} + (-c_{i+1}/c_i)\vec{s}_{i+1} + \dots + (-c_n/c_i)\vec{s}_n$$

When we don't want to single out any vector we will instead say that  $\vec{s}_0, \vec{s}_1, \ldots, \vec{s}_n$  are in a *linear relationship* and put all of the vectors on the same side.

1.5 Lemma A subset S of a vector space is linearly independent if and only if among its elements the only linear relationship  $c_1 \vec{s}_1 + \dots + c_n \vec{s}_n = \vec{0}$  (with  $\vec{s}_i \neq \vec{s}_j$  for all  $i \neq j$ ) is the trivial one  $c_1 = 0, \dots, c_n = 0$ .

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**Proof** If S is linearly independent then no vector  $\vec{s}_i$  is a linear combination of other vectors from S so there is no linear relationship where some of the  $\vec{s}$ 's have nonzero coefficients.

If S is not linearly independent then some  $\vec{s_i}$  is a linear combination  $\vec{s_i} = c_1 \vec{s_1} + \dots + c_{i-1} \vec{s_{i-1}} + c_{i+1} \vec{s_{i+1}} + \dots + c_n \vec{s_n}$  of other vectors from S. Subtracting  $\vec{s_i}$  from both sides gives a relationship involving a nonzero coefficient, the -1 in front of  $\vec{s_i}$ . QED

*Example* This set of vectors in the plane  $\mathbb{R}^2$  is linearly independent.

$$\left\{ \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\}$$

The only solution to this equation

$$c_1\begin{pmatrix}1\\0\end{pmatrix}+c_2\begin{pmatrix}0\\1\end{pmatrix}=\begin{pmatrix}0\\0\end{pmatrix}$$

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*Example* In the vector space of cubic polynomials  $\mathfrak{P}_3=\{a_0+a_1x+a_2x^2+a_3x^3\mid a_i\in\mathbb{R}\}$  the set  $\{1-x,1+x^2\}$  is linearly independent. The equation  $c_0(1-x)+c_1(1+x^2)=0$  leads to this linear system

$$c_0 - c_1 = 0$$
  
$$c_0 + c_1 = 0$$

which has only the trivial solution.

*Example* The nonzero rows of this matrix form a linearly independent set.

$$\begin{pmatrix}
2 & 0 & 1 & -1 \\
0 & 1 & -3 & 1/2 \\
0 & 0 & 0 & 5 \\
0 & 0 & 0 & 0
\end{pmatrix}$$

We showed in Lemma One.III.2.5 that in any echelon form matrix the nonzero rows form a linearly independent set.

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We showed in Lemma One.III.2.5 that in any echelon form matrix the nonzero rows form a linearly independent set.

*Example* This subset of  $\mathbb{R}^3$  is linearly dependent.

$$\left\{ \begin{pmatrix} 1\\1\\3 \end{pmatrix}, \begin{pmatrix} -1\\1\\0 \end{pmatrix}, \begin{pmatrix} 1\\3\\6 \end{pmatrix} \right\}$$

One way to check that is to spot that the third vector is twice the first plus the second. Another way is to solve the linear system

$$c_1 - c_2 + c_3 = 0$$

$$c_1 + c_2 + 3c_3 = 0$$

$$3c_1 + 6c_3 = 0$$

and note that there are more solutions than just the trivial one.

1.2 Lemma Where V is a vector space, S is a subset of that space, and  $\vec{v}$  is an element of that space,  $[S \cup {\{\vec{v}\}}] = [S]$  if and only if  $\vec{v} \in [S]$ .

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**Proof** Half of the if and only if is immediate: if  $\vec{v} \notin [S]$  then the sets are not equal because  $\vec{v} \in [S \cup {\{\vec{v}\}}]$ .

For the other half assume that  $\vec{v} \in [S]$  so that  $\vec{v} = c_1 \vec{s}_1 + \cdots + c_n \vec{s}_n$  for some scalars  $c_i$  and vectors  $\vec{s}_i \in S$ . We will use mutual containment to show that the sets  $[S \cup \{\vec{v}\}]$  and [S] are equal. The containment  $[S \cup \{\vec{v}\}] \supseteq [S]$  is clear.

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To show containment in the other direction let  $\vec{w}$  be an element of  $[S \cup \{\vec{v}\}]$ . Then  $\vec{w}$  is a linear combination of elements of  $S \cup \{\vec{v}\}$ , which we can write as  $\vec{w} = c_{n+1}\vec{s}_{n+1} + \cdots + c_{n+k}\vec{s}_{n+k} + c_{n+k+1}\vec{v}$ . (Possibly some of the  $\vec{s}_i$ 's from  $\vec{w}$ 's equation are the same as some of those from  $\vec{v}$ 's equation but that does not matter.) Expand  $\vec{v}$ .

$$\vec{w} = c_{n+1}\vec{s}_{n+1} + \dots + c_{n+k}\vec{s}_{n+k} + c_{n+k+1} \cdot (c_1\vec{s}_1 + \dots + c_n\vec{s}_n)$$

Recognize the right hand side as a linear combination of linear combinations of vectors from S. Thus  $\vec{w} \in [S]$ . QED

1.3 Corollary For  $\vec{v} \in S$ , omitting that vector does not shrink the span  $[S] = [S - {\vec{v}}]$  if and only if it is dependent on other vectors in the set  $\vec{v} \in [S]$ .

*Example* These two subsets of  $\mathbb{R}^3$  have the same span

$$\left\{ \begin{pmatrix} 1\\2\\3 \end{pmatrix}, \begin{pmatrix} 4\\5\\6 \end{pmatrix}, \begin{pmatrix} 7\\8\\9 \end{pmatrix} \right\} \qquad \left\{ \begin{pmatrix} 1\\2\\3 \end{pmatrix}, \begin{pmatrix} 4\\5\\6 \end{pmatrix} \right\}$$

because in the first set  $\vec{v}_3 = 2\vec{v}_2 - \vec{v}_1$ .

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1.13 Corollary A set S is linearly independent if and only if for any  $\vec{v} \in S$ , its removal shrinks the span  $[S - \{v\}] \subset [S]$ .

**Proof** This follows from Corollary 1.3. If S is linearly independent then none of its vectors is dependent on the other elements, so removal of any vector will shrink the span. If S is not linearly independent then it contains a vector that is dependent on other elements of the set, and removal of that vector will not shrink the span.

QED

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Suppose first that  $\nu \in [S]$ . Express  $\vec{\nu}$  as a combination  $\vec{\nu} = c_1 \vec{s}_1 + \dots + c_n \vec{s}_n$ . Rewrite that  $\vec{0} = c_1 \vec{s}_1 + \dots + c_n \vec{s}_n - 1 \cdot \vec{\nu}$ . Since  $\nu \notin S$ , it does not equal any of the  $\vec{s}_i$  so this is a nontrivial linear dependence among the elements of  $S \cup \{\vec{\nu}\}$ . Thus that set is not linearly independent.

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Now suppose that  $S \cup \{\vec{v}\}$  is not linearly independent and consider a nontrivial dependence among its members  $\vec{0} = c_1 \vec{s}_1 + \dots + c_n \vec{s}_n + c_{n+1} \cdot \vec{v}$ . If  $c_{n+1} = 0$  then that is a dependence among the elements of S, but we are assuming that S is independent, so  $c_{n+1} \neq 0$ . Rewrite the equation as  $\vec{v} = (c_1/c_{n+1})\vec{s}_1 + \dots + (c_n/c_{n+1})\vec{s}_n$  to get  $\vec{v} \in [S]$ 

Example In  $\mathcal{P}_2$  consider the set  $S=\{1-x,1+x\}$ . The span [S] is the subset of linear polynomials  $\{\alpha+bx\mid \alpha,b\in\mathbb{R}\}$ . (The span is a subset of the linear polynomials because no member of S has a quadratic term. To see that the span is all of the set of linear polynomials, consider a linear polynomial  $\alpha+bx$  and use the equation  $\alpha+bx=r_1(1-x)+r_2(1+x)$  to get a linear system that solves as  $r_2=(1/2)\alpha+(1/2)b$  and  $r_1=(1/2)\alpha-(1/2)b$ .)

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If we add a quadratic polynomial  $S_2 = S \cup \{2 + x^2\}$  then we enlarge the span: the span of  $S_2$  is all of  $\mathcal{P}_2$ . To see this, consider a quadratic  $a + bx + cx^2$  and use  $a + bx + cx^2 = r_1(1-x) + r_2(1+x) + r_3(2+x^2)$  to get a linear system that has the solution  $r_3 = c$ ,  $r_2 = (1/2)a + (1/2)b$  and  $r_1 = (1/2)a - (1/2)b - c$ .

**Proof** If  $S = \{\vec{s}_1, \dots, \vec{s}_n\}$  is linearly independent then S itself satisfies the statement, so assume that it is linearly dependent.

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By the definition of dependent, S contains a vector  $\vec{v}_1$  that is a linear combination of the others. Define the set  $S_1 = S - \{\vec{v}_1\}$ . By Corollary 1.3 the span does not shrink  $[S_1] = [S]$ .

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By the definition of dependent, S contains a vector  $\vec{v}_1$  that is a linear combination of the others. Define the set  $S_1 = S - \{\vec{v}_1\}$ . By Corollary 1.3 the span does not shrink  $[S_1] = [S]$ .

If  $S_1$  is linearly independent then we are done. Otherwise iterate: take a vector  $\vec{v}_2$  that is a linear combination of other members of  $S_1$  and discard it to derive  $S_2 = S_1 - \{\vec{v}_2\}$  such that  $[S_2] = [S_1]$ . Repeat this until a linearly independent set  $S_j$  appears; one must appear eventually because S is finite and the empty set is linearly independent. QED

*Example* Consider this subset of  $\mathbb{R}^2$ .

$$S = \{\vec{s}_1, \vec{s}_2, \vec{s}_3, \vec{s}_4, \vec{s}_5\} = \{\binom{2}{2}, \binom{3}{3}, \binom{1}{4}, \binom{0}{-1}, \binom{1}{-1}\}$$

The linear relationship

$$r_1\begin{pmatrix}2\\2\end{pmatrix}+r_2\begin{pmatrix}3\\3\end{pmatrix}+r_3\begin{pmatrix}1\\4\end{pmatrix}+r_4\begin{pmatrix}0\\-1\end{pmatrix}+r_5\begin{pmatrix}1\\-1\end{pmatrix}=\begin{pmatrix}0\\0\end{pmatrix}$$

gives a system of equations.

$$\left\{ \begin{pmatrix} r_1 \\ r_2 \\ r_3 \\ r_4 \\ r_7 \end{pmatrix} = \begin{pmatrix} -3/2 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} r_2 + \begin{pmatrix} -1/6 \\ 0 \\ 1/3 \\ 1 \\ 0 \end{pmatrix} r_4 + \begin{pmatrix} -5/6 \\ 0 \\ 2/3 \\ 0 \\ 1 \end{pmatrix} r_5 \mid r_2, r_4, r_5 \in \mathbb{R} \right\}$$

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Set  $r_5 = 1$  and  $r_2 = r_4 = 0$  to get  $r_1 = -5/6$  and  $r_3 = 2/3$ ,

showing that  $\vec{s}_5$  is in the span of the set  $\{\vec{s}_1, \vec{s}_3\}$ .

$$-\frac{5}{6} \cdot \begin{pmatrix} 2 \\ 2 \end{pmatrix} + 0 \cdot \begin{pmatrix} 3 \\ 3 \end{pmatrix} + \frac{2}{3} \cdot \begin{pmatrix} 1 \\ 4 \end{pmatrix} + 0 \cdot \begin{pmatrix} 0 \\ -1 \end{pmatrix} + 1 \cdot \begin{pmatrix} 1 \\ -1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

6 (2) (3) 3 (4) (-1) (-1) (0)

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$$-\frac{5}{6} \cdot \binom{2}{2} + 0 \cdot \binom{3}{3} + \frac{2}{3} \cdot \binom{1}{4} + 0 \cdot \binom{0}{-1} + 1 \cdot \binom{1}{-1} = \binom{0}{0}$$

showing that  $\vec{s}_5$  is in the span of the set  $\{\vec{s}_1, \vec{s}_3\}$ . Similarly, setting  $r_4 = 1$  and the other parameters to 0 shows  $\vec{s}_4$  is in the span of the set  $\{\vec{s}_1, \vec{s}_3\}$ . Also, setting  $r_2 = 1$  and the other parameters to 0 shows  $\vec{s}_2$  is in the span of the same set.

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1.18 Corollary A subset  $S = \{\vec{s}_1, \dots, \vec{s}_n\}$  of a vector space is linearly dependent if and only if some  $\vec{s}_i$  is a linear combination of the vectors  $\vec{s}_1, \dots, \vec{s}_{i-1}$  listed before it.

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*Proof* Consider  $S_0 = \{\}$ ,  $S_1 = \{\vec{s_1}\}$ ,  $S_2 = \{\vec{s_1}, \vec{s_2}\}$ , etc. Some index  $i \geqslant 1$  is the first one with  $S_{i-1} \cup \{\vec{s_i}\}$  linearly dependent, and there  $\vec{s_i} \in [S_{i-1}]$ . QED

### Linear independence and subset

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*Proof* Both are clear.

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This table summarizes the cases.

	$\hat{S}\subsetS$	$\hat{S}\supsetS$
S independent	Ŝ must be independent	Ŝ may be either
S dependent	$\hat{S}$ may be either	Ŝ must be dependent

An example of the lower left is that the set S of all vectors in the space  $\mathbb{R}^2$  is linearly dependent but the subset  $\hat{S}$  consisting of only the unit vector on the x-axis is independent. By interchanging  $\hat{S}$  with S that's also an example of the upper right.