

# CS 492

## Computer Security

### Authentication

*Guard:* Halt! Who goes there?

*Arthur:* It is I, Arthur, son of Uther Pendragon,  
from the castle of Camelot. King of the Britons,  
defeater of the Saxons, sovereign of all  
England!

— *Monty Python and the Holy Grail*

Dr. Williams  
Central Connecticut State University

# Access Control

- Two parts to access control
- **Authentication:** Are you who you say you are?
  - Determine whether access is allowed
  - Authenticate human to machine
  - Or authenticate machine to machine
- **Authorization:** Are you allowed to do that?
  - Once you have access, what can you do?
  - Enforces limits on actions
- Note: “access control” often used as synonym for authorization

# Are You Who You Say You Are?

- How to authenticate human to a machine?
- Can be based on...
  - Something you **know**
    - For example, a password
  - Something you **have**
    - For example, a smartcard
  - Something you **are**
    - For example, your fingerprint

# Something You Know

- Passwords

“Passwords are one of the biggest practical problems facing security engineers today.”

- Lots of things act as passwords!

- PIN
- Social security number
- Mother's maiden name
- Date of birth
- Where you were born
- Name of your pet, etc.

# Why Passwords?

- Why is “something you know” more popular than “something you have” and “something you are”?
- **Cost:** passwords are free
- **Convenience:** easier for admin to reset pwd than to issue a new thumb

# Keys vs Passwords

- **Crypto keys**

- Suppose key is 64 bits
- Then  $2^{64}$  keys
- Choose key at random...
- ...then attacker must try about  $2^{63}$  keys

- **Passwords**

- Suppose passwords are 8 characters, and 256 different characters
- Then  $256^8 = 2^{64}$  pwds
- **Users do not select password at random**
- Attacker has far less than  $2^{63}$  pwds to try (**dictionary attack**)

# Good and Bad Passwords

- Bad passwords

- frank
- Fido
- password
- 4444
- Pikachu
- 102560
- AustinStamp

- Good Passwords?

- jfIej,43j-EmmL+y
- 09864376537263
- FSa7Yago
- onceuPonAt1m8
- PokeGCTall150

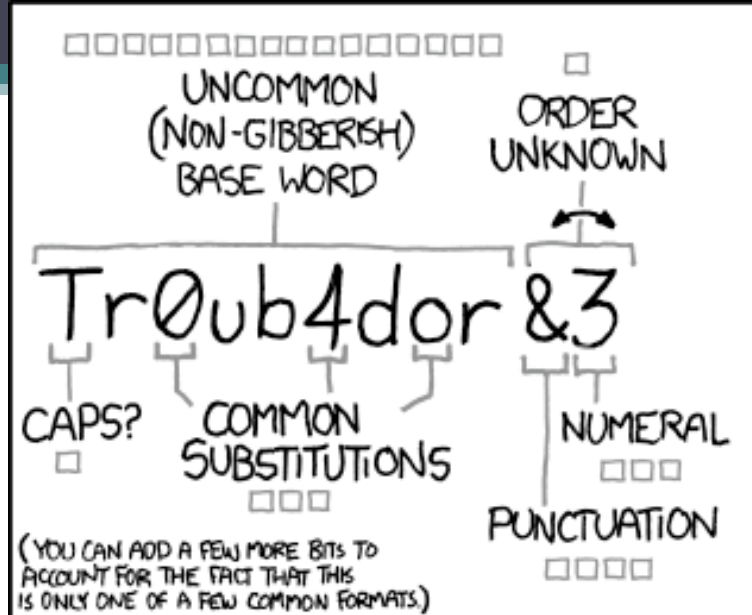
# Password Experiment

- Three groups of users — each group advised to select passwords as follows
  - **Group A:** At least 6 chars, 1 non-letter
  - winner → □ **Group B:** Password based on passphrase
  - **Group C:** 8 random characters
- Results
  - **Group A:** About 30% of pwds easy to crack
  - **Group B:** About 10% cracked
    - Passwords easy to remember
  - **Group C:** About 10% cracked
    - Passwords hard to remember



# Password Experiment

- User compliance hard to achieve
- In each case, 1/3rd did not comply
  - And about 1/3rd of those easy to crack!
- Assigned passwords sometimes best
- If passwords not assigned, best advice is...
  - Choose passwords based on passphrase
  - Use pwd cracking tool to test for weak pwds
- Require periodic password changes?



~28 BITS OF ENTROPY

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$2^{28} = 3 \text{ DAYS AT } 1000 \text{ GUESSES/SEC}$

(PLAUSIBLE ATTACK ON A WEAK REMOTE  
WEB SERVICE. YES, CRACKING A STOLEN  
HASH IS FASTER, BUT IT'S NOT WHAT THE  
AVERAGE USER SHOULD WORRY ABOUT.)

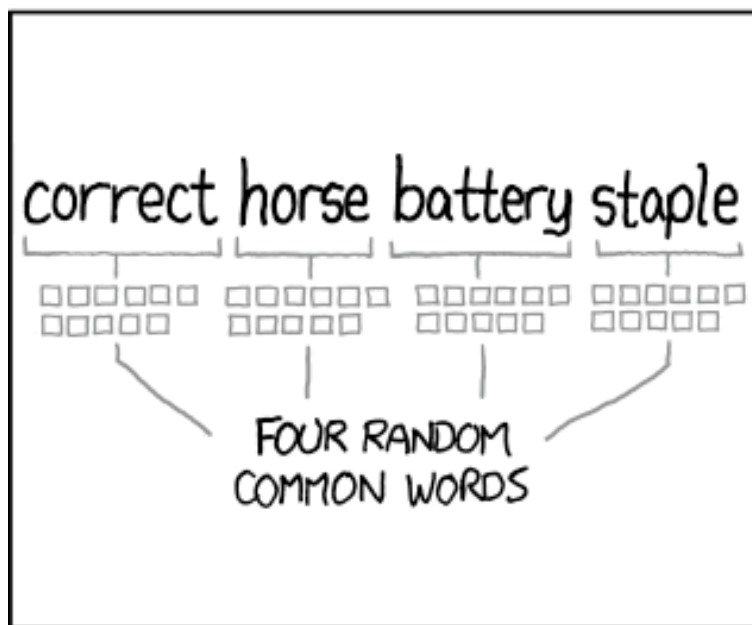
DIFFICULTY TO GUESS:  
**EASY**

WAS IT TROMBONE? NO,  
TROUBADOR. AND ONE OF  
THE 0s WAS A ZERO?

AND THERE WAS  
SOME SYMBOL...



DIFFICULTY TO REMEMBER:  
**HARD**



~44 BITS OF ENTROPY

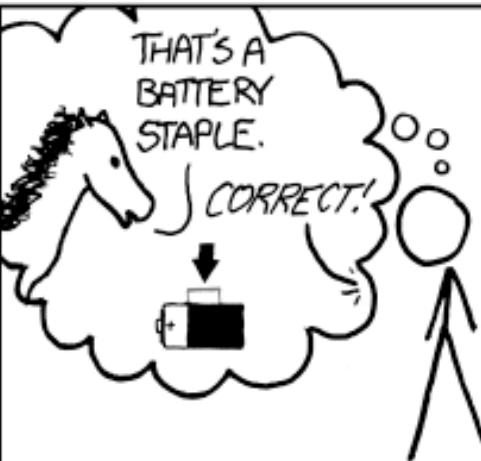
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$2^{44} = 550 \text{ YEARS AT } 1000 \text{ GUESSES/SEC}$

DIFFICULTY TO GUESS:  
**HARD**

THAT'S A  
BATTERY  
STAPLE.

CORRECT!



DIFFICULTY TO REMEMBER:  
YOU'VE ALREADY  
MEMORIZED IT

THROUGH 20 YEARS OF EFFORT, WE'VE SUCCESSFULLY TRAINED  
EVERYONE TO USE PASSWORDS THAT ARE HARD FOR HUMANS  
TO REMEMBER, BUT EASY FOR COMPUTERS TO GUESS.

# Attacks on Passwords

- Attacker could...
  - Target one particular account
  - Target any account on system
  - Target any account on any system
- Common attack path
  - Outsider → normal user → administrator
  - May only require **one** weak password!

# Password Retry

- Suppose system locks after 3 bad passwords. How long should it lock?
  - 5 seconds
  - 5 minutes
  - Until SA restores service
- What are +’s and -’s of each?

# Password File?

- Bad idea to store passwords in a file
- But we need to verify passwords
- Cryptographic solution: **hash** the pwd
  - Store  $y = h(\text{password})$
  - Can verify entered password by hashing
  - If Trudy obtains “password file,” she does not obtain passwords
- But Trudy can try a *forward search*
  - Guess  $x$  and check whether  $y = h(x)$

# Dictionary Attack

- Trudy pre-computes  $h(x)$  for all  $x$  in a **dictionary** of common passwords
- Suppose Trudy gets access to password file containing hashed passwords
  - She only needs to compare hashes to her pre-computed dictionary
  - After one-time work, actual attack is trivial
- Can we prevent this attack? Or at least make attacker's job more difficult?

# Salt

- Hash password with **salt**
- Choose random salt  $s$  and compute
$$y = h(\text{password}, s)$$
and store  $(s, y)$  in the password file
- Note: The salt  $s$  is not secret
- Easy to verify salted password
- But Trudy must re-compute dictionary hashes for each user
  - Lots more work for Trudy!

# Password Cracking: Do the Math

- Assumptions:
- Pwds are 8 chars, 128 choices per character
  - Then  $128^8 = 2^{56}$  possible passwords
- There is a **password file** with  $2^{10}$  pwds
- Attacker has **dictionary** of  $2^{20}$  common pwds
- **Probability** of  $1/4$  that a pwd is in dictionary
- Work is measured by number of hashes



# Password Cracking: Case I

- Attack 1 password without dictionary
  - Must try  $2^{56}/2 = 2^{55}$  on average
  - Like exhaustive key search
- Does **salt** help in this case?

# Password Cracking: Case II

- Attack 1 password with dictionary
- With **salt**
  - Expected work:  $1/4 (2^{19}) + 3/4 (2^{55}) = 2^{54.6}$
  - In practice, try all pwds in dictionary...
  - ...then work is at most  $2^{20}$  and probability of success is  $1/4$
- What if **no salt** is used?
  - One-time work to compute dictionary:  $2^{20}$
  - Expected work still same order as above
  - But with precomputed dictionary hashes, the “in practice” attack is free...

# Password Cracking: Case III

- Any of 1024 pwds in file, **without** dictionary
  - Assume all  $2^{10}$  passwords are distinct
  - Need  $2^{55}$  **comparisons** before expect to find pwd
- If **no salt** is used
  - Each computed hash yields  $2^{10}$  comparisons
  - So expected work (hashes) is  $2^{55}/2^{10} = 2^{45}$
- If **salt** is used
  - Expected work is  $2^{55}$
  - Each comparison requires a hash computation

# Password Cracking: Case IV

- Any of 1024 pwds in file, **with** dictionary
  - Prob. one or more pwd in dict.:  $1 - (3/4)^{1024} = 1$
  - So, we ignore case where no pwd is in dictionary
- If **salt** is used, expected work less than  $2^{22}$ 
  - Approximate work: size of dict. / probability
- What if **no salt** is used?
  - If dictionary hashes not precomputed, work is about  $2^{19}/2^{10} = 2^9$

# Other Password Issues

- Too many passwords to remember
  - Results in password reuse
  - Why is this a problem?
- Who suffers from bad password?
  - Login password vs ATM PIN
- Failure to change default passwords
- Social engineering
- Error logs may contain “almost” passwords
- Bugs, keystroke logging, spyware, etc.

# Passwords

- The bottom line...
- **Password cracking is too easy**
  - One weak password may break security
  - Users choose bad passwords
  - Social engineering attacks, etc.
- Trudy has (almost) all of the advantages
- All of the math favors bad guys
- Passwords are a **BIG** security problem
  - And will continue to be a big problem

# Password Cracking Tools

- Popular password cracking tools
  - [Password Crackers](#)
  - [Password Portal](#)
  - [LophtCrack and LC4 \(Windows\)](#)
  - [John the Ripper \(Unix\)](#)
- Admins should use these tools to test for weak passwords since attackers will
- Good articles on password cracking
  - [Passwords - Conerstone of Computer Security](#)
  - [Passwords revealed by sweet deal](#)

# Biometrics



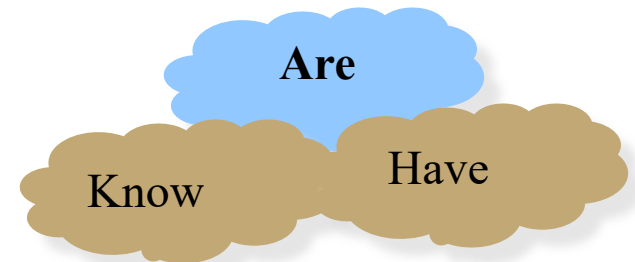


# Something You Are

- Biometric
  - **“You are your key”** — Schneier

## □ Examples

- Fingerprint
- Handwritten signature
- Facial recognition
- Speech recognition
- Gait (walking) recognition
- “Digital doggie” (odor recognition)
- Many more!



# Why Biometrics?

- More secure replacement for passwords
- Cheap and reliable biometrics needed
  - Today, an active area of research
- Biometrics **are** used in security today
  - Thumbprint mouse
  - Palm print for secure entry
  - Fingerprint to unlock car door, etc.
- But biometrics not too popular
  - Has not lived up to its promise (yet?)

# Ideal Biometric

- **What are the 4 requirements of a biometric?**
- **Universal** — applies to (almost) everyone
  - In reality, no biometric applies to everyone
- **Distinguishing** — distinguish with certainty
  - In reality, cannot hope for 100% certainty
- **Permanent** — physical characteristic being measured never changes
  - In reality, OK if it to remains valid for long time
- **Collectable** — easy to collect required data
  - Depends on whether subjects are cooperative
- Safe, user-friendly, etc., etc.

# Biometric Modes

- **Identification** — Who goes there?
  - Compare **one-to-many**
  - Example: The FBI fingerprint database
- **Authentication** — Are you who you say you are?
  - Compare **one-to-one**
  - Example: Thumbprint mouse
- Identification problem is more difficult
  - More “random” matches since more comparisons
- We are interested in authentication

# Enrollment vs Recognition

- Enrollment phase
  - Subject's biometric info put into database
  - Must carefully measure the required info
  - OK if slow and repeated measurement needed
  - Must be very precise
  - May be weak point of many biometric
- Recognition phase
  - Biometric detection, when used in practice
  - Must be quick and simple
  - But must be reasonably accurate

# Cooperative Subjects?

- Authentication — cooperative subjects
- Identification — uncooperative subjects
- For example, facial recognition
  - Used in Las Vegas casinos to detect known cheaters (terrorists in airports, etc.)
  - Often do not have ideal enrollment conditions
  - Subject will try to confuse recognition phase
- Cooperative subject makes it much easier
  - We are focused on authentication
  - So, subjects are generally cooperative

# Biometric Errors

- **Fraud rate** versus **insult rate**
  - Fraud — Trudy mis-authenticated as Alice
  - Insult — Alice not authenticated as Alice
- For any biometric, can decrease fraud or insult, but other one will increase
- For example
  - 99% voiceprint match  $\Rightarrow$  low fraud, high insult
  - 30% voiceprint match  $\Rightarrow$  high fraud, low insult
- **Equal error rate:** rate where fraud == insult
  - A way to compare different biometrics

# Fingerprint Comparison

- Examples of **loops**, **whorls**, and **arches**
- Minutia extracted from these features



Loop (double)



Whorl



Arch

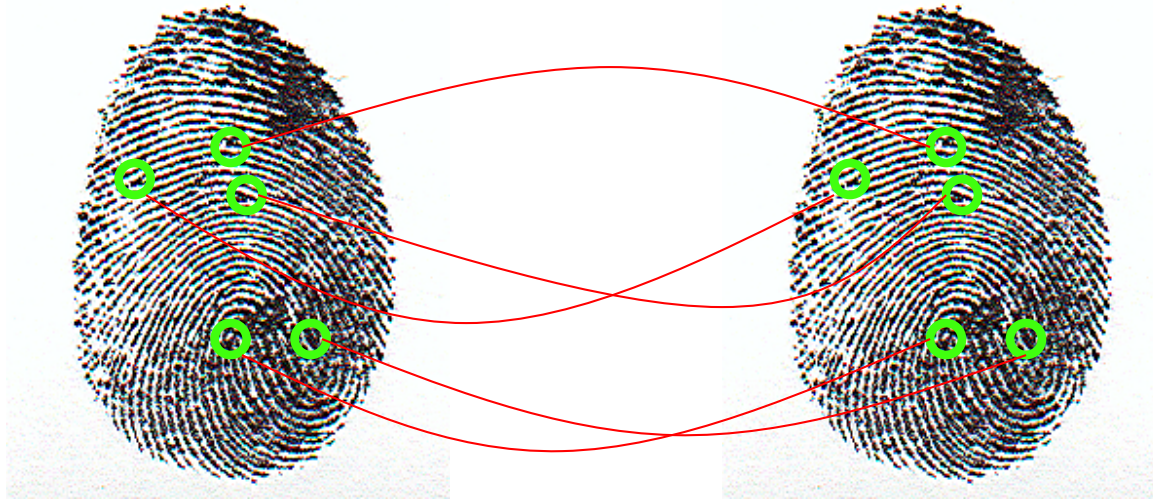


# Fingerprint: Enrollment



- Capture image of fingerprint
- Enhance image
- Identify points

# Fingerprint: Recognition



- Extracted points are compared with information stored in a database
- Is it a statistical match?
- Aside: Do identical twins' fingerprints differ?

# Hand Geometry

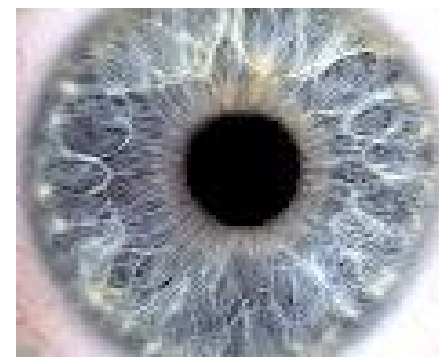
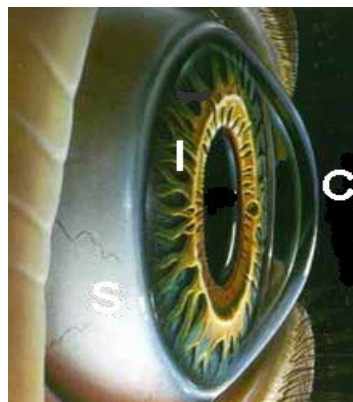
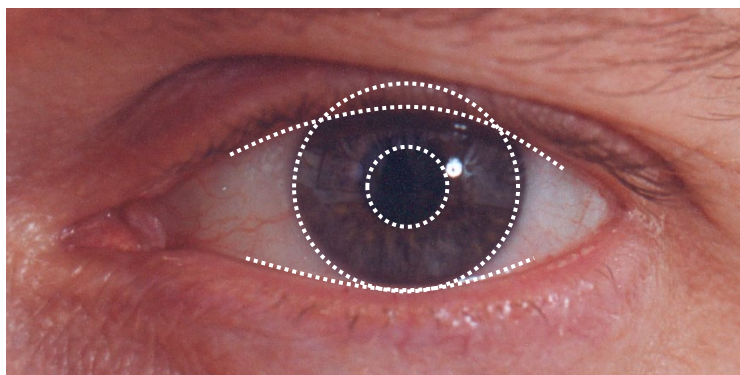
- ❑ A popular biometric
- ❑ Measures shape of hand
  - Width of hand, fingers
  - Length of fingers, etc.
- ❑ Human hands not unique
- ❑ Hand geometry sufficient for many situations
- ❑ OK for authentication
- ❑ Not useful for ID problem



# Hand Geometry

- Advantages
  - Quick — 1 minute for enrollment, 5 seconds for recognition
  - Hands are symmetric — so what?
- Disadvantages
  - Cannot use on very young or very old
  - Relatively high equal error rate

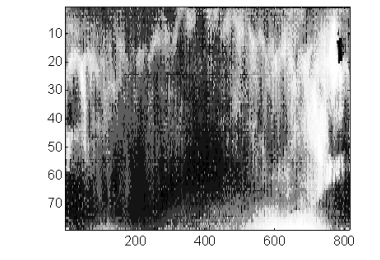
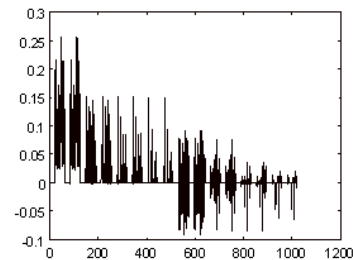
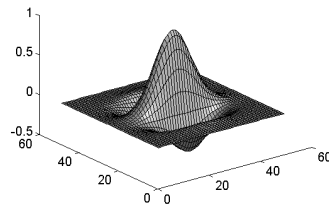
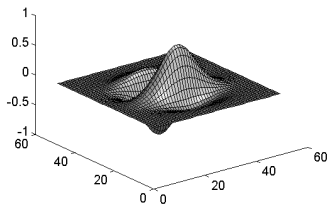
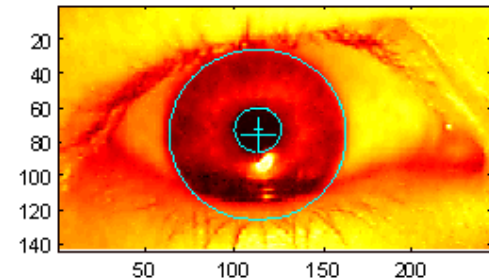
# Iris Patterns



- Iris pattern development is “chaotic”
- Little or no genetic influence
- Different even for identical twins
- Pattern is stable through lifetime

# Iris Scan

- Scanner locates iris
- Take b/w photo
- Use polar coordinates...
- 2-D wavelet transform
- Get 2048 byte iris code



# Measuring Iris Similarity

- Based on Hamming distance
- Define  $d(x,y)$  to be
  - # of non match bits / # of bits compared
  - $d(0010,0101) = 3/4$  and  $d(101111,101001) = 1/3$
- Compute  $d(x,y)$  on 2048-bit iris code
  - Perfect match is  $d(x,y) = 0$
  - For same iris, expected distance is 0.08
  - At random, expect distance of 0.50
  - Accept iris scan as match if distance  $< 0.32$

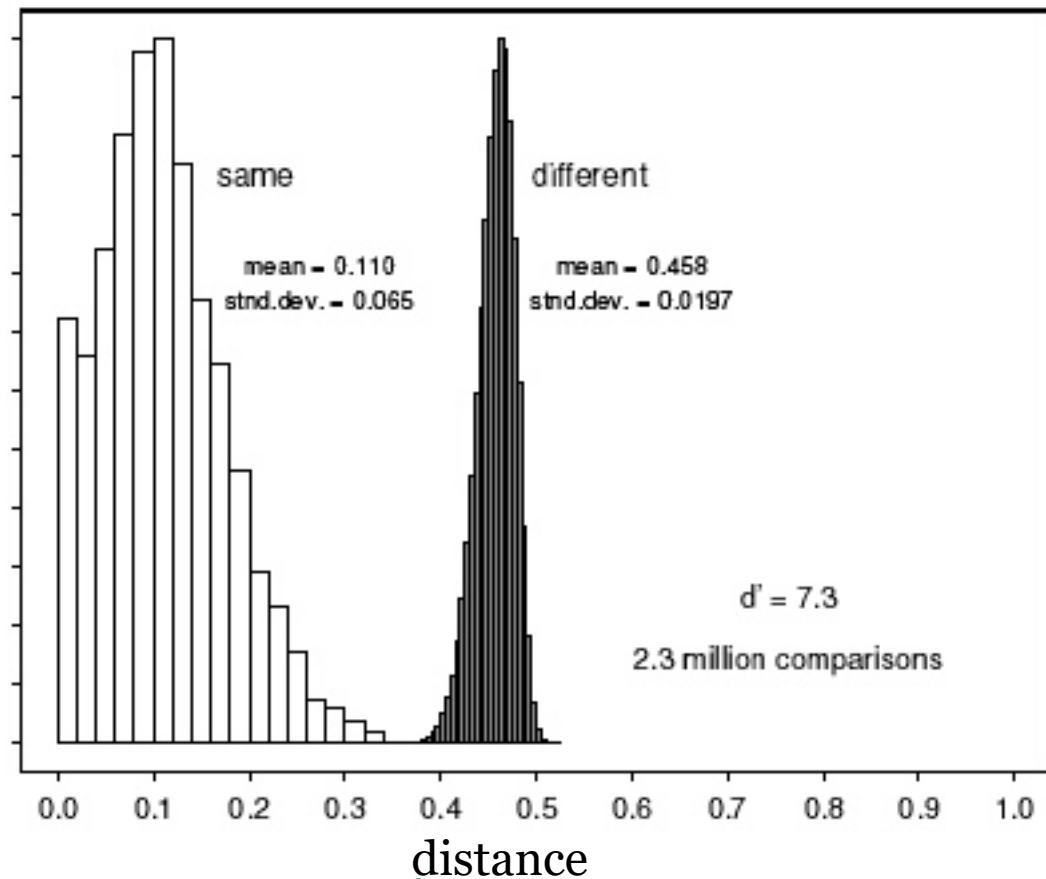
# Iris Scan Error Rate

distance      Fraud rate

0.29	1 in $1.3 \times 10^{10}$
0.30	1 in $1.5 \times 10^9$
0.31	1 in $1.8 \times 10^8$
0.32	1 in $2.6 \times 10^7$
0.33	1 in $4.0 \times 10^6$
0.34	1 in $6.9 \times 10^5$
0.35	1 in $1.3 \times 10^5$



== equal error rate





# Attack on Iris Scan

- Good **photo** of eye can be scanned
  - Attacker could use photo of eye
- ❑ Afghan woman was authenticated by iris scan of old photo



- ❑ To prevent attack, scanner could use light to be sure it is a “live” iris

# Equal Error Rate Comparison

- Equal error rate (EER): fraud == insult rate
- **Voice** recognition has EER of about  $10^{-2}$
- **Signature** recognition has EER of about  $10^{-2}$
- **Hand geometry** has EER of about  $10^{-3}$
- **Fingerprint** biometric has EER of about  $10^{-3}$
- In theory, **iris scan** has EER of about  $10^{-6}$ 
  - But in practice, may be hard to achieve
  - Enrollment phase must be extremely accurate
- Retina scan has EER of about  $10^{-7}$

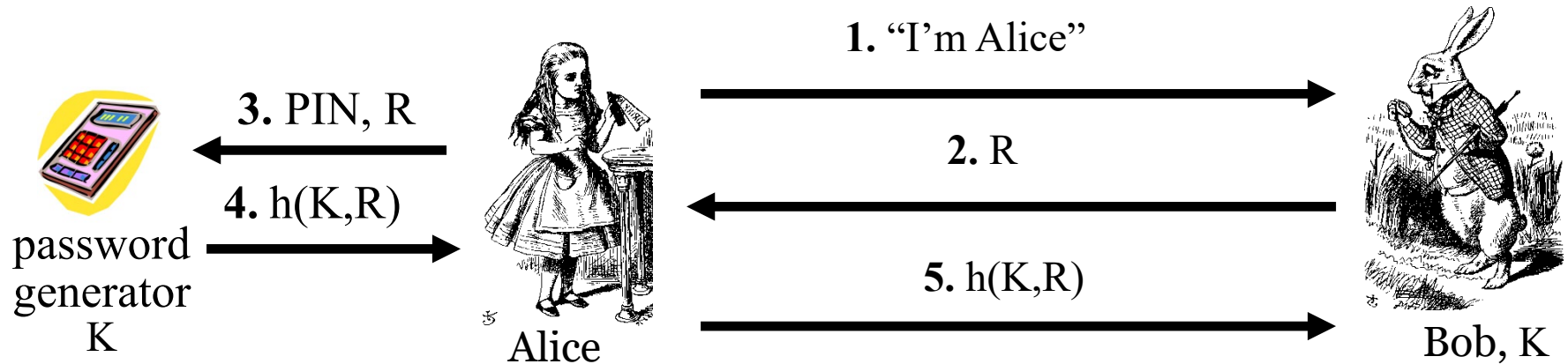
# Biometrics: The Bottom Line

- Biometrics are hard to forge
- But attacker could
  - Steal Alice's thumb
  - Photocopy Bob's fingerprint, eye, etc.
  - Subvert software, database, "trusted path" ...
- And how to revoke a "broken" biometric?
- **Biometrics are not foolproof**
- Biometric use is limited today
- **How could you make more widespread use of biometrics in the future?**

# Something You Have

- Something in your possession
- Examples include following...
  - Car key
  - Laptop computer (or MAC address)
  - Password generator (next)
  - ATM card, smartcard, etc.

# Password Generator



- Alice requests authentication
- Alice receives random “challenge”  $R$  from Bob
- Alice enters PIN and  $R$  in password generator
- Password generator hashes symmetric key  $K$  with  $R$
- Alice sends “response”  $h(K, R)$  back to Bob
- Bob verifies response
- Note: Alice **has** pwd generator and **knows** PIN

# 2-factor Authentication

- Requires any 2 out of 3 of
  - Something you know
  - Something you have
  - Something you are
- Examples?
  - ATM: Card and PIN
  - Credit card: Card and signature
  - Password generator: Device and PIN
  - Smartcard with password/PIN
- **Draw diagram of Credit card authorization**

# Single Sign-on

- A hassle to enter password(s) repeatedly
  - Alice wants to authenticate only once
  - “Credentials” stay with Alice wherever she goes
  - Subsequent authentications transparent to Alice
- Kerberos --- example single sign-on protocol
- Single sign-on for the Internet?
  - Microsoft: **Passport**
  - Everybody else: **Liberty Alliance**
  - Security Assertion Markup Language (**SAML**)

# Web Cookies

- Cookie is provided by a Website and stored on user's machine
- Cookie indexes a database at Website
- Cookies **maintain state** across sessions
  - Web uses a stateless protocol: HTTP
  - Cookies also maintain state within a session
- Sorta like a single sign-on for a website
  - But, a very, very weak form of authentication
- Cookies also create privacy concerns



# Authorization summary

- Passwords
  - Theoretically secure, but in practice very easy to break for commonly used passwords
- System is only as secure as weakest point making passwords a serious problem
- Biometrics offer far more security
  - Not widespread primarily due to cost
  - Equal error rate – fraud rate vs. insult rate
  - How to achieve widespread use?
- 2-factor authentication