



# Lecture 0 Introduction

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## *Something about this course*

课程成绩：平时成绩（作业+课堂提问）30%  
+ 期末机考70%

平时作业：

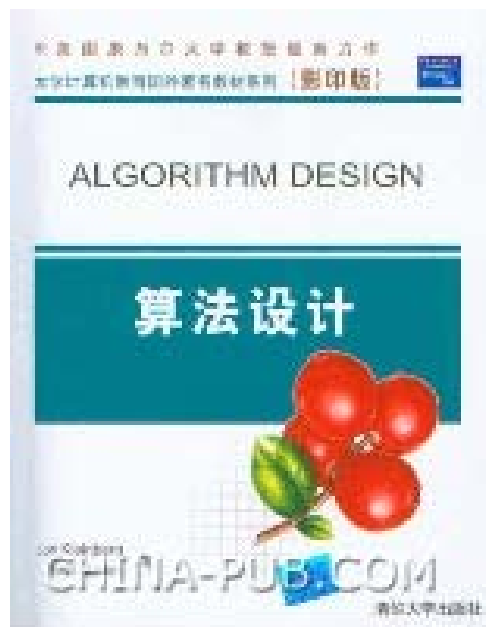
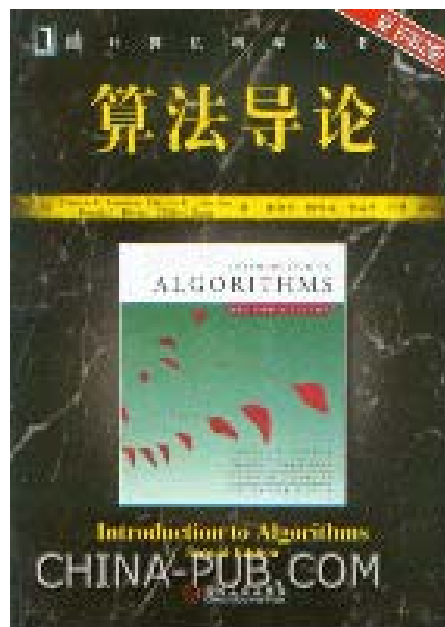
<https://leetcode.com/problemset/algorithms/>

每周在这上面**自选**题目做，做完把程序和简要题解发到自己的博客上。

博客平台推荐<http://blog.csdn.net/>

请学委收集每位同学的网址，周末前发给我

## 推荐书籍



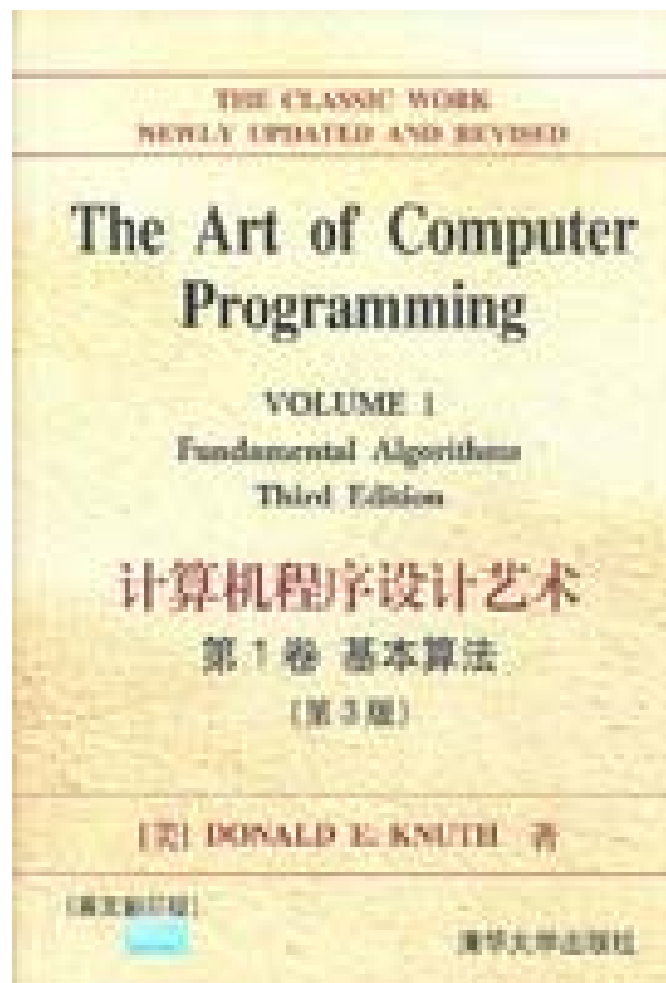
教材

## 推荐书籍



特点：有较多源代码，适合学习算法的同时提高编程能力

# 高深秘笈



**Donald Knuth**  
[Turing Award](#) (1974)



# 开课第一问

- 什么是算法？

Informally, an *algorithm* is any well-defined computational procedure that takes some value, or set of values, as *input* and produces some value, or set of values, as *output*.

- - 《算法导论》





## *Knuth Said...*

[Knuth](#) (1968, 1973) has given a list of five properties that are widely accepted as requirements for an algorithm:

**Finiteness:** "An algorithm must always terminate after a finite number of steps ... a very finite number, a reasonable number"

**Definiteness:** "Each step of an algorithm must be precisely defined; the actions to be carried out must be rigorously and unambiguously specified for each case"

**Input:** "...quantities which are given to it initially before the algorithm begins. These inputs are taken from specified sets of objects"

**Output:** "...quantities which have a specified relation to the inputs"

**Effectiveness:** "... all of the operations to be performed in the algorithm must be sufficiently basic that they can in principle be done exactly and in a finite length of time by a man using paper and pencil"



*Finally ...*

The word algorithm does not have a generally accepted definition.

*From wikipedia*

*So, next question.*







# 为什么学习算法？

原因之一：广泛的应用

- 网络与通信
- 人工智能
- 金融科技
- 信息安全
- 生物信息学
- 其他工业与商业领域
- 等等



# 为什么学习算法？

## 原因之二：强大的力量

Table 2.1 The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second. In cases where the running time exceeds  $10^{25}$  years, we simply record the algorithm as taking a very long time.

	$n$	$n \log_2 n$	$n^2$	$n^3$	$1.5^n$	$2^n$	$n!$
$n = 10$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	4 sec
$n = 30$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	18 min	$10^{25}$ years
$n = 50$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	11 min	36 years	very long
$n = 100$	< 1 sec	< 1 sec	< 1 sec	1 sec	12,892 years	$10^{17}$ years	very long
$n = 1,000$	< 1 sec	< 1 sec	1 sec	18 min	very long	very long	very long
$n = 10,000$	< 1 sec	< 1 sec	2 min	12 days	very long	very long	very long
$n = 100,000$	< 1 sec	2 sec	3 hours	32 years	very long	very long	very long
$n = 1,000,000$	1 sec	20 sec	12 days	31,710 years	very long	very long	very long



# 为什么学习算法？

原因之三：Just for fun!





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# 一个简单的例子：求斐波那契数列第 $n$ 项的三个算法

斐波那契数列：

$$F_n = \begin{cases} F_{n-1} + F_{n-2} & \text{if } n > 1 \\ 1 & \text{if } n = 1 \\ 0 & \text{if } n = 0. \end{cases}$$

斐波那契数列是呈指数增长的，事实上，我们有

$$F_n \approx 2^{0.694n}$$

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## 算法一

```
function fib1(n)  
if  $n = 0$ : return 0  
if  $n = 1$ : return 1  
return fib1( $n - 1$ ) + fib1( $n - 2$ )
```

运算次数为指数级！



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## 算法二

```
function fib2( $n$ )  
if  $n = 0$  return 0  
create an array  $f[0 \dots n]$   
 $f[0] = 0, f[1] = 1$   
for  $i = 2 \dots n$ :  
     $f[i] = f[i - 1] + f[i - 2]$   
return  $f[n]$ 
```

多项式时间复杂度的算法！

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## 算法三

利用方阵的快速幂：

$$\begin{pmatrix} F_1 \\ F_2 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \cdot \begin{pmatrix} F_0 \\ F_1 \end{pmatrix}.$$

$$\begin{pmatrix} F_2 \\ F_3 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \cdot \begin{pmatrix} F_1 \\ F_2 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}^2 \cdot \begin{pmatrix} F_0 \\ F_1 \end{pmatrix}$$

$$\begin{pmatrix} F_n \\ F_{n+1} \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}^n \cdot \begin{pmatrix} F_0 \\ F_1 \end{pmatrix}.$$

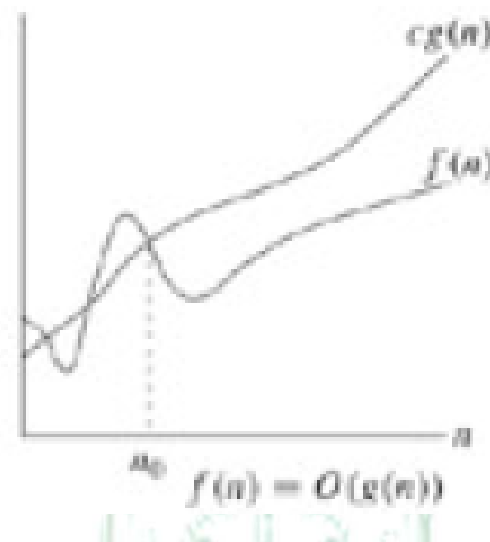
关键：方阵的n次幂可以只做 $O(\log n)$ 次矩阵乘法



# 回顾：大O符号

$O(g(n)) = \{f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}.$

例如：  $5n^2 \in O(n^2)$ ，只需取  $c=5, n_0=1$ .



Just as  $O(\cdot)$  is an analog of  $\leq$ , we can also define analogs of  $\geq$  and  $=$  as follows:

$f = \Omega(g)$  means  $g = O(f)$

$f = \Theta(g)$  means  $f = O(g)$  and  $f = \Omega(g)$ .

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## 回顾：小o符号

$o(g(n)) = \{f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\}.$

$f(n) \in o(g(n))$  等价于

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0.$$

For example,  $2n = o(n^2)$ , but  $2n^2 \neq o(n^2)$ .



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## 回顾：小 $\omega$ 符号

$\omega(g(n)) = \{f(n): \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}.$

For example,  $n^2/2 = \omega(n)$ , but  $n^2/2 \neq \omega(n^2)$ . The relation  $f(n) = \omega(g(n))$  implies that

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty,$$





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## 类比

$$f(n) = O(g(n)) \approx a \leq b,$$

$$f(n) = \Omega(g(n)) \approx a \geq b,$$

$$f(n) = \Theta(g(n)) \approx a = b,$$

$$f(n) = o(g(n)) \approx a < b,$$

$$f(n) = \omega(g(n)) \approx a > b.$$

We say that  $f(n)$  is *asymptotically smaller* than  $g(n)$  if  $f(n) = o(g(n))$ , and  $f(n)$  is *asymptotically larger* than  $g(n)$  if  $f(n) = \omega(g(n))$ .



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## 比较函数的常用技巧

- 多项和中只取“分量”最重的
- 忽略常量因子
- 求极限
- 指数函数的增长快于幂函数，幂函数的增长快于对数函数





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## 练习

下列每组中的两个函数谁“打败”谁？

	$f(n)$	$g(n)$
(a)	$n - 100$	$n - 200$
(b)	$n^{1/2}$	$n^{2/3}$
(c)	$100n + \log n$	$n + (\log n)^2$
(d)	$n \log n$	$10n \log 10n$
(e)	$\log 2n$	$\log 3n$
(f)	$10 \log n$	$\log(n^2)$
(g)	$n^{1.01}$	$n \log^2 n$



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# *Reading*

- 课本第0章
- 预习第2章





**See you next time!**

