Sep 29, 2015

Python 源码阅读 - 垃圾回收机制

概述

无论何种垃圾收集机制,一般都是两阶段:垃圾检测和垃圾回收.

在Python中, 大多数对象的生命周期都是通过对象的引用计数来管理的.

问题: 但是存在循环引用的问题: a 引用 b, b 引用 a, 导致每一个对象的引用计数都不为0, 所占用的内存永远不会被回收

要解决循环引用:必需引入其他垃圾收集技术来打破循环引用. Python中使用了标记-清除 以及 分代收集

即, Python 中垃圾回收机制: 引用计数(主要), 标记清除, 分代收集(辅助)

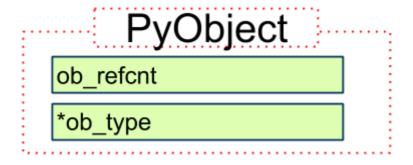
引用计数

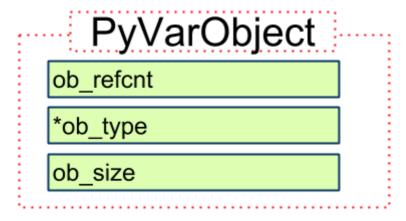
引用计数, 意味着必须在每次分配和释放内存的时候, 加入管理引用计数的动作

引用计数的优点:最直观最简单,实时性,任何内存,一旦没有指向它的引用,就会立即被回收

计数存储

回顾 Python 的对象





e.g. 引用计数增加以及减少

```
>>> from sys import getrefcount
>>>
>>> a = [1, 2, 3]
>>> getrefcount(a)
2
>>> b = a
>>> getrefcount(a)
3
>>> del b
>>> getrefcount(a)
2
```

计数增加

增加对象引用计数, refcnt incr

计数减少

减少对象引用计数, refcnt desc



```
do {
                 if ( Py DEC REFTOTAL Py REF DEBUG COMMA
                 --((PyObject*)(op))->ob_refcnt != 0)
                         Py CHECK REFCNT(op)
                 else
                 _Py_Dealloc((PyObject *)(op));
         } while (0)
即,发现refcnt变成0的时候,会调用 Py Dealloc
 PyAPI_FUNC(void) _Py_Dealloc(PyObject *);
 #define _Py_REF_DEBUG_COMMA
 #define _Py_Dealloc(op) (
         Py_INC_TPFREES(op) _Py_COUNT_ALLOCS_COMMA
         (*Py_TYPE(op)->tp_dealloc)((PyObject *)(op)))
 #endif /* !Py_TRACE_REFS */
会调用各自类型的 tp dealloc
例如dict
 PyTypeObject PyDict_Type = {
     PyVarObject_HEAD_INIT(&PyType_Type, 0)
     "dict",
     sizeof(PyDictObject),
                                                /* tp dealloc */
     (destructor)dict_dealloc,
 }
 static void
 dict_dealloc(register PyDictObject *mp)
     // 如果满足条件, 放入到缓冲池freelist中
     if (numfree < PyDict_MAXFREELIST && Py_TYPE(mp) == &PyDict_Type)</pre>
         free_list[numfree++] = mp;
     // 否则, 调用tp free
     else
         Py_TYPE(mp)->tp_free((PyObject *)mp);
     Py TRASHCAN SAFE END(mp)
 }
```



Python基本类型的 tp_dealloc,通常都会与各自的缓冲池机制相关,释放会优先放入缓冲池中(对应的分配会优先从缓冲池取).这个内存分配与回收同缓冲池机制相关

当无法放入缓冲池时,会调用各自类型的 tp_free

int, 比较特殊

```
// int, 通用整数对象缓冲池机制
(freefunc)int_free, /* tp_free */
```

string

```
// string
PyObject Del, /* tp free */
```

dict/tuple/list

```
PyObject_GC_Del, /* tp_free */
```

然后, 我们再回头看, 自定义对象的 tp_free

即,最终,当计数变为0,触发内存回收动作.涉及函数 PyObject_Del 和 PyObject_GC_Del,并且,自定义类以及容器类型(dict/list/tuple/set等)使用的都是后者 PyObject_GC_Del.

内存回收 PyObject_Del / PyObject_GC_Del

如果引用计数=0:

- 1. 放入缓冲池
- 2. 真正销毁, PyObject_Del/PyObject_GC_Del内存操作



这两个操作都是进行内存级别的操作

PyObject Del

PyObject_Del(op) releases the memory allocated for an object. It does not run a destructor – it only frees the memory. PyObject_Free is identical.

这块删除, PyObject_Free 涉及到了Python底层内存的分配和管理机制, 具体见前面的博文

• PyObject_GC_Del

IS TRACKED 涉及到标记-清除的机制

generations 涉及到了分代回收

PyObject_FREE,则和Python底层内存池机制相关

标记-清除

问题: 什么对象可能产生循环引用?

只需要关注关注可能产生循环引用的对象

PyIntObject/PyStringObject等不可能



Python中的循环引用总是发生在container对象之间, 所谓containser对象即是内部可持有对其他对象的引用: list/dict/class/instance等等

垃圾收集带来的开销依赖于container对象的数量,必需跟踪所创建的每一个container对象,并将这些对象组织到一个集合中.

可收集对象链表

可收集对象链表:将需要被收集和跟踪的container,放到可收集的链表中

任何一个python对象都分为两部分: PyObject_HEAD + 对象本身数据

可收集对象链表

```
Modules/gcmodule.c

/* GC information is stored BEFORE the object structure. */
typedef union _gc_head {
    struct {
        // 建立链表需要的前后指针
        union _gc_head *gc_next;
        union _gc_head *gc_prev;
        // 在初始化时会被初始化为 GC_UNTRACED
        Py_ssize_t gc_refs;
    } gc;
    long double dummy; /* force worst-case alignment */
} PyGC_Head;
```



创建container的过程: container对象 = pyGC_Head | PyObject_HEAD | Container Object

```
PyObject *
_PyObject_GC_New(PyTypeObject *tp)
{
   PyObject *op = _PyObject_GC_Malloc(_PyObject_SIZE(tp));
   if (op != NULL)
       op = PyObject_INIT(op, tp);
   return op;
}
=> PyObject GC Malloc
#define _PyGC_REFS_UNTRACKED
                                                (-2)
#define GC_UNTRACKED
                                       _PyGC_REFS_UNTRACKED
PyObject *
_PyObject_GC_Malloc(size_t basicsize)
   PyObject *op;
   PyGC_Head *g;
   if (basicsize > PY_SSIZE_T_MAX - sizeof(PyGC_Head))
        return PyErr_NoMemory();
   // 为 对象本身+PyGC_Head申请内存,注意分配的size
   g = (PyGC_Head *)PyObject_MALLOC(
       sizeof(PyGC_Head) + basicsize);
   if (g == NULL)
        return PyErr_NoMemory();
   // 初始化 GC UNTRACED
   g->gc.gc refs = GC UNTRACKED;
    generations[0].count++; /* number of allocated GC objects */
   // 如果大于阈值, 执行分代回收
   if (generations[0].count > generations[0].threshold &&
       enabled &&
       generations[0].threshold &&
        !collecting &&
        !PyErr_Occurred()) {
        collecting = 1;
        collect_generations();
        collecting = 0;
```



```
return op;
}
```

PyObject_HEAD and PyGC_HEAD

注意, FROM_GC 和 AS_GC 用于 PyObject_HEAD <=> PyGC_HEAD 地址相互转换

```
// => Modules/gcmodule.c

/* Get an object's GC head */
#define AS_GC(o) ((PyGC_Head *)(o)-1)

/* Get the object given the GC head */
#define FROM_GC(g) ((PyObject *)(((PyGC_Head *)g)+1))

// => objimpl.h

#define _Py_AS_GC(o) ((PyGC_Head *)(o)-1)
```

问题: 什么时候将container放到这个对象链表中

e.g list

```
// => listobject.c
PyObject *
PyList_New(Py_ssize_t size)
{
    PyListObject *op;
    op = PyObject_GC_New(PyListObject, &PyList_Type);
    _PyObject_GC_TRACK(op);
    return (PyObject *) op;
}
// => _PyObject_GC_TRACK
// objimpl.h
// 加入到可收集对象链表中
#define PyObject GC TRACK(o) do { \
    PyGC_Head *g = _Py_AS_GC(o); \
    if (g->gc.gc_refs != _PyGC_REFS_UNTRACKED) \
        Py_FatalError("GC object already tracked"); \
    g->gc.gc_refs = _PyGC_REFS_REACHABLE; \
```



```
g->gc.gc_prev->gc.gc_next = g; \
_PyGC_generation0->gc.gc_prev = g; \
} while (0);
```

问题: 什么时候将container从这个对象链表中摘除

```
// Objects/listobject.c
static void
list_dealloc(PyListObject *op)
    Py_ssize_t i;
   PyObject_GC_UnTrack(op);
}
// => PyObject_GC_UnTrack => _PyObject_GC_UNTRACK
// 对象销毁的时候
#define _PyObject_GC_UNTRACK(o) do { \
    PyGC_Head *g = _Py_AS_GC(o); \
    assert(g->gc.gc_refs != _PyGC_REFS_UNTRACKED); \
    g->gc.gc_refs = _PyGC_REFS_UNTRACKED; \
    g->gc.gc_prev->gc.gc_next = g->gc.gc_next; \
    g->gc.gc_next->gc.gc_prev = g->gc.gc_prev; \
    g->gc.gc_next = NULL; \
    } while (0);
```

问题: 如何进行标记-清除

现在,我们得到了一个链表

Python将自己的垃圾收集限制在这个链表上,循环引用一定发生在这个链表的一群独享之间.

0. 概览

```
_PyObject_GC_Malloc 分配内存时,发现超过阈值,此时,会触发gc, collect_generations 然后调用 collect, collect 包含标记-清除逻辑 gcmodule.c
```



```
static Py_ssize_t
collect(int generation)
  // 第1步: 将所有比 当前代 年轻的代中的对象 都放到 当前代 的对象链表中
  /* merge younger generations with one we are currently collecting */
 for (i = 0; i < generation; i++) {</pre>
      gc_list_merge(GEN_HEAD(i), GEN_HEAD(generation));
  }
  // 第2步
  update_refs(young);
  // 第3步
  subtract_refs(young);
  // 第4步
  gc_list_init(&unreachable);
  move_unreachable(young, &unreachable);
  // 第5步
    /* Move reachable objects to next generation. */
    if (young != old) {
        if (generation == NUM_GENERATIONS - 2) {
            long_lived_pending += gc_list_size(young);
        gc_list_merge(young, old);
    }
    else {
        /* We only untrack dicts in full collections, to avoid quadratic
          dict build-up. See issue #14775. */
        untrack dicts(young);
        long_lived_pending = 0;
       long_lived_total = gc_list_size(young);
    }
  // 第6步
    delete_garbage(&unreachable, old);
}
```

1. 第一步: gc_list_merge

将所有比 当前代 年轻的代中的对象 都放到 当前代 的对象链表中

```
// => gc_list_merge
```



```
/* append list `from` onto list `to`; `from` becomes an empty list */
static void
gc_list_merge(PyGC_Head *from, PyGC_Head *to)
    PyGC_Head *tail;
    assert(from != to);
    if (!gc_list_is_empty(from)) {
        tail = to->gc.gc_prev;
        tail->gc.gc_next = from->gc.gc_next;
        tail->gc.gc_next->gc.gc_prev = tail;
        to->gc.gc_prev = from->gc.gc_prev;
        to->gc.gc_prev->gc.gc_next = to;
    }
    // 清空
    gc_list_init(from);
}
=>
static void
gc_list_init(PyGC_Head *list)
   list->gc.gc_prev = list;
    list->gc.gc_next = list;
}
```

即,此刻,所有待进行处理的对象都集中在同一个链表中

处理,

其逻辑是,要去除循环引用,得到有效引用计数

有效引用计数:将循环引用的计数去除,最终得到的 => 将环从引用中摘除,各自引用计数数值-1

实际操作,并不要直接修改对象的 ob_refcnt, 而是修改其副本, PyGC_Head 中的 gc.gc_ref

2. 第二步: update_refs

遍历对象链表,将每个对象的gc.gc ref值设置为ob refcnt



```
static void
update refs(PyGC Head *containers)
{
    PyGC Head *gc = containers->gc.gc next;
   for (; gc != containers; gc = gc->gc.gc_next) {
        assert(gc->gc.gc refs == GC REACHABLE);
        gc->gc.gc refs = Py REFCNT(FROM GC(gc));
        /* Python's cyclic gc should never see an incoming refcount
         * of 0: if something decref'ed to 0, it should have been
        * deallocated immediately at that time.
         * Possible cause (if the assert triggers): a tp dealloc
         * routine left a gc-aware object tracked during its teardown
         * phase, and did something-- or allowed something to happen --
         * that called back into Python. gc can trigger then, and may
         * see the still-tracked dying object. Before this assert
         * was added, such mistakes went on to allow gc to try to
         * delete the object again. In a debug build, that caused
         * a mysterious segfault, when _Py_ForgetReference tried
         * to remove the object from the doubly-linked list of all
         * objects a second time. In a release build, an actual
         * double deallocation occurred, which leads to corruption
         * of the allocator's internal bookkeeping pointers. That's
         * so serious that maybe this should be a release-build
         * check instead of an assert?
        assert(gc->gc.gc_refs != 0);
   }
}
```

3. 第三步: 计算有效引用计数



}

```
/* Subtract internal references from gc refs. After this, gc refs is >= 0
* for all objects in containers, and is GC_REACHABLE for all tracked gc
* objects not in containers. The ones with gc refs > 0 are directly
* reachable from outside containers, and so can't be collected.
static void
subtract_refs(PyGC_Head *containers)
   traverseproc traverse;
   PyGC_Head *gc = containers->gc.gc_next;
   // 遍历链表
   for (; gc != containers; gc=gc->gc.gc_next) {
       // 与特定的类型相关,得到类型对应的traverse函数
       traverse = Py_TYPE(FROM_GC(gc))->tp_traverse;
       // 调用
        (void) traverse(FROM_GC(gc),
                      (visitproc)visit decref, // 回调形式传入
                      NULL);
   }
}
```

我们可以看看dictobject的traverse函数

逻辑大概是: 遍历容器对象里面的所有对象, 通过 visit_decref 将这些对象的引用计数都-1,



4. 第四步: 垃圾标记

move_unreachable,将可收集对象链表中,根据有效引用计数不等于0(root对象)和等于0(非root对象,垃圾,可回收),一分为二

```
/* Move the unreachable objects from young to unreachable. After this,
  * all objects in young have gc refs = GC REACHABLE, and all objects in
  * unreachable have gc_refs = GC_TENTATIVELY_UNREACHABLE. All tracked
  * gc objects not in young or unreachable still have gc refs = GC REACHABLE.
  * All objects in young after this are directly or indirectly reachable
 * from outside the original young; and all objects in unreachable are
 * not.
 */
 static void
move_unreachable(PyGC_Head *young, PyGC_Head *unreachable)
    PyGC_Head *gc = young->gc.gc_next;
    /* Invariants: all objects "to the left" of us in young have gc refs
     * = GC_REACHABLE, and are indeed reachable (directly or indirectly)
     * from outside the young list as it was at entry. All other objects
      * from the original young "to the left" of us are in unreachable now,
      * and have gc_refs = GC_TENTATIVELY_UNREACHABLE. All objects to the
      * left of us in 'young' now have been scanned, and no objects here
      * or to the right have been scanned yet.
    while (gc != young) {
         PyGC_Head *next;
         // 对于root object,
         if (gc->gc.gc refs) {
             /* gc is definitely reachable from outside the
              * original 'young'. Mark it as such, and traverse
              * its pointers to find any other objects that may
             * be directly reachable from it. Note that the
              * call to tp traverse may append objects to young,
              * so we have to wait until it returns to determine
              * the next object to visit.
             */
             PyObject *op = FROM GC(gc);
             traverseproc traverse = Py_TYPE(op)->tp_traverse;
             assert(gc->gc.gc refs > 0);
             // 设置其gc->gc.gc refs = GC REACHABLE
             gc->gc.gc refs = GC REACHABLE;
```



```
(void) traverse(op,
                            (visitproc) visit reachable,
                            (void *)young);
            next = gc->gc.gc next;
            if (PyTuple_CheckExact(op)) {
                PyTuple MaybeUntrack(op);
            }
        }
        // 有效引用计数=0, 非root对象, 移动到unreachable链表中
        else {
            /* This *may* be unreachable. To make progress,
             * assume it is. gc isn't directly reachable from
            * any object we've already traversed, but may be
             * reachable from an object we haven't gotten to yet.
             * visit_reachable will eventually move gc back into
             * young if that's so, and we'll see it again.
             */
            next = gc->gc.gc_next;
            gc_list_move(gc, unreachable);
            gc->gc.gc refs = GC TENTATIVELY UNREACHABLE;
       gc = next;
}
```

5. 第五步: 将存活对象放入下一代

```
/* Move reachable objects to next generation. */
if (young != old) {
    if (generation == NUM_GENERATIONS - 2) {
        long_lived_pending += gc_list_size(young);
    }
    gc_list_merge(young, old);
}
else {
    /* We only untrack dicts in full collections, to avoid quadratic dict build-up. See issue #14775. */
    untrack_dicts(young);
    long_lived_pending = 0;
    long_lived_total = gc_list_size(young);
}
```

6. 第六步: 执行回收



```
static int
gc list is empty(PyGC Head *list)
   return (list->gc.gc next == list);
/* Break reference cycles by clearing the containers involved. This is
* tricky business as the lists can be changing and we don't know which
* objects may be freed. It is possible I screwed something up here.
static void
delete_garbage(PyGC_Head *collectable, PyGC_Head *old)
   inquiry clear;
   // 遍历
   while (!gc_list_is_empty(collectable)) {
       PyGC_Head *gc = collectable->gc.gc_next;
       // 得到对象
       PyObject *op = FROM_GC(gc);
       assert(IS_TENTATIVELY_UNREACHABLE(op));
       if (debug & DEBUG_SAVEALL) {
           PyList_Append(garbage, op);
       }
       else {
           // 清引用
           if ((clear = Py_TYPE(op)->tp_clear) != NULL) {
               Py INCREF(op);
               // 这个操作会调整container对象中每个引用所有对象的引用计数,从而完成打破
               clear(op);
               Py_DECREF(op);
           }
       }
       // 重新送回到reachable链表.
       // 原因: 在进行clear动作,如果成功,会把自己从垃圾收集机制维护的链表中摘除,由于
       if (collectable->gc.gc_next == gc) {
           /* object is still alive, move it, it may die later */
           gc list move(gc, old);
           gc->gc.gc_refs = GC_REACHABLE;
   }
}
```

=> 来看下, list的clear



```
list_clear(PyListObject *a)
{
   Py_ssize_t i;
   PyObject **item = a->ob item;
   if (item != NULL) {
        /* Because XDECREF can recursively invoke operations on
          this list, we make it empty first. */
       i = Py_SIZE(a);
       Py_SIZE(a) = 0;
       a->ob_item = NULL;
       a->allocated = 0;
       while (--i >= 0) {
           // 减引用
           Py_XDECREF(item[i]);
       PyMem_FREE(item);
   /* Never fails; the return value can be ignored.
       Note that there is no guarantee that the list is actually empty
       at this point, because XDECREF may have populated it again! */
   return 0;
}
// e.g. 处理list3,调用其list_clear,减少list4的引用计数,list4.ob_refcnt=0,引发对象针
static void
list_dealloc(PyListObject *op)
{
   Py ssize t i;
   PyObject_GC_UnTrack(op); // 从可收集对象链表中去除,会影响到list4所引用所有对象的程
   Py TRASHCAN SAFE BEGIN(op)
   if (op->ob_item != NULL) {
        /* Do it backwards, for Christian Tismer.
          There's a simple test case where somehow this reduces
          thrashing when a *very* large list is created and
          immediately deleted. */
       i = Py SIZE(op);
       while (--i >= 0) {
           Py_XDECREF(op->ob_item[i]);
       PyMem_FREE(op->ob_item);
   if (numfree < PyList_MAXFREELIST && PyList_CheckExact(op))</pre>
       free list[numfree++] = op;
```



```
Py_TRASHCAN_SAFE_END(op)
}
```

7. gc逻辑

分配内存

- -> 发现超过阈值了
- -> 触发垃圾回收
- -> 将所有可收集对象链表放到一起
- -> 遍历, 计算有效引用计数
- -> 分成 有效引用计数=0 和 有效引用计数 > 0 两个集合
- -> 大于0的, 放入到更老一代
- -> =0的, 执行回收
- -> 回收遍历容器内的各个元素,减掉对应元素引用计数(破掉循环引用)
- -> 执行-1的逻辑, 若发现对象引用计数=0, 触发内存回收
- -> python底层内存管理机制回收内存

分代回收

分代收集: 以空间换时间

思想:将系统中的所有内存块根据其存货的时间划分为不同的集合,每个集合就成为一个"代",垃圾收集的频率随着"代"的存活时间的增大而减小(活得越长的对象,就越不可能是垃圾,就应该减少去收集的频率)

Python中,引入了分代收集,总共三个"代". Python 中,一个代就是一个链表,所有属于同一"代"的内存块都链接在同一个链表中

表头数据结构

一人华出山心



```
#define NUM GENERATIONS 3
#define GEN_HEAD(n) (&generations[n].head)
// 三代都放到这个数组中
/* linked lists of container objects */
static struct gc_generation generations[NUM_GENERATIONS] = {
                                               threshold,
   /* PyGC Head,
                                                             count */
   \{\{\{GEN\_HEAD(0), GEN\_HEAD(0), 0\}\},\
                                               700,
                                                               0}, //700个cor
                                                                     // 10个
   {{GEN HEAD(1), GEN HEAD(1), 0}},
                                                               0},
                                              10,
   {{{GEN_HEAD(2), GEN_HEAD(2), 0}},
                                                                      // 10个
                                                               0},
                                               10,
};
PyGC_Head *_PyGC_generation0 = GEN_HEAD(0);
```

超过阈值,触发垃圾回收

```
PyObject *
 _PyObject_GC_Malloc(size_t basicsize)
     // 执行分配
     generations[0].count++; /* number of allocated GC objects */ //增加一个
     if (generations[0].count > generations[0].threshold && // 发现大于预支了
         enabled &&
         generations[0].threshold &&
         !collecting &&
         !PyErr_Occurred())
         {
             collecting = 1;
             collect generations(); // 执行收集
             collecting = 0;
     op = FROM_GC(g);
     return op;
 }
=> collect generations
 static Py_ssize_t
 collect generations(void)
 {
     int i;
     Py_size_t n = 0;
     /* Find the oldest generation (highest numbered) where the count
```



Python 中的gc模块

gc模块,提供了观察和手动使用gc的接口

```
import gc
gc.set_debug(gc.DEBUG_STATS | gc.DEBUG_LEAK)
gc.collect()
注意 __del__ 给gc带来的影响
```

```
#python
```

← NEWER

OLDER →

这段时间的一些想法

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