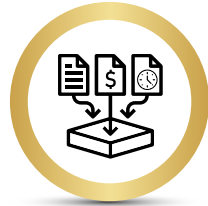


Financial Success Planning – Road Map



Deep-Dive/Discovery

- Gather financial data
- Goals, milestones, timeline
- Comfort level with risk
- Additional concerns long term

Strategic Analysis

- Review asset allocation
- Impact of expense and taxes
- Plan feasibility
- Short and long view

Plan Presentation

- Review plan for feedback
- Make adjustments as needed
- Confirm all considerations are included
- Agreement to proceed with plan

Implementation

- Transfer assets based on plan
- Re-deploying funds
- Insurance, long-term health care
- Coordinating with other advisors

Progress Monitoring

- Ongoing reviews
- Plan updates
- Allocation adjustments
- Future planning