## Using RNNs to classify sentiment on IMDB data

In this assignment, you will train three types of RNNs: "vanilla" RNN, LSTM and GRU to predict the sentiment on IMDB reviews.

Keras provides a convenient interface to load the data and immediately encode the words into integers (based on the most common words). This will save you a lot of the drudgery that is usually involved when working with raw text.

The IMDB is data consists of 25000 training sequences and 25000 test sequences. The outcome is binary (positive/negative) and both outcomes are equally represented in both the training and the test set.

Walk through the followinng steps to prepare the data and the building of an RNN model.

```
import os
import numpy as np
import tensorflow as tf
from sklearn.datasets import load files
import numpy as np
import tensorflow datasets as tfds
import tensorflow as tf
import matplotlib.pyplot as plt
from tensorflow.keras import layers
# Optuna imports
import optuna
from keras.backend import clear session
from tensorflow.keras.datasets import imdb
from tensorflow.keras.preprocessing import sequence
C:\Users\btb51\anaconda3\envs\DAAN570 tf updated\lib\site-packages\
tqdm\auto.py:22: TqdmWarning: IProgress not found. Please update
jupyter and ipywidgets. See
https://ipywidgets.readthedocs.io/en/stable/user install.html
  from .autonotebook import tgdm as notebook tgdm
# Old item when using the Prefetch datasets (element_spec cell)
dataset, info = tfds.load('imdb reviews', with info=True,
                          as supervised=True)
train_dataset, test_dataset = dataset['train'], dataset['test']
```

```
train dataset.element spec
"\n# Old item when using the Prefetch datasets (element spec cell)\n\
ndataset, info = tfds.load('imdb reviews', with info=True,\n
as_supervised=True)\ntrain dataset, test dataset = dataset['train'],
dataset['test']\n\ntrain dataset.element spec\n"
I am not using this dataset loader. I am using the one found below.
dataset = tf.keras.utils.get file(
   fname="aclImdb.tar.gz",
origin="http://ai.stanford.edu/~amaas/data/sentiment/aclImdb v1.tar.gz
   extract=True,
# set path to dataset
IMDB DATADIR = os.path.join(os.path.dirname(dataset), "aclImdb")
classes = ["pos", "neg"]
train data = load files(
    os.path.join(IMDB DATADIR, "train"), shuffle=True,
categories=classes
test data = load files(
   os.path.join(IMDB DATADIR, "test"), shuffle=False,
categories=classes
x train = np.array(train data.data)
v train = np.array(train data.target)
x test = np.array(test data.data)
y test = np.array(test data.target)
print(x train.shape) # (25000,)
print(y train.shape) # (25000, 1)
print(x train[0][:50]) # this film was just brilliant casting
'\nI am not using this dataset loader. I am using the one found
below.\n\ndataset = tf.keras.utils.get file(\n
fname="aclImdb.tar.gz",\n
origin="http://ai.stanford.edu/~amaas/data/sentiment/aclImdb v1.tar.gz
        extract=True,\n)\n\n# set path to dataset\nIMDB_DATADIR =
os.path.join(os.path.dirname(dataset), "aclImdb")\n\nclasses = ["pos",
"neg"]\ntrain data = load files(\n os.path.join(IMDB DATADIR,
```

```
"train"), shuffle=True, categories=classes\n)\ntest_data = load_files(\n os.path.join(IMDB_DATADIR, "test"), shuffle=False, categories=classes\n)\n\nx_train = np.array(train_data.data)\ny_train = np.array(train_data.target)\nx_test = np.array(test_data.data)\ny_test = np.array(test_data.target)\n\nprint(x_train.shape) # (25000,)\nprint(y_train.shape) # (25000, 1)\nprint(x_train[0][:50]) # this film was just brilliant casting\n\n'

# Old item when using the Prefetch datasets (element_spec cell) # type(train_dataset)
```

- 1- Use the imdb.load data() to load in the data
- 2- Specify the maximum length of a sequence to 30 words and the pick the 2000 most common words.

```
vocab_size = 2000 # number of words to consider as features
max_len = 30 # cut texts after this number of words (among top
max_features most common words)
batch_size = 32

# This data is already in integer form and does not need the
TextVectorization layer

encoder = tf.keras.layers.TextVectorization(
    max_tokens=VOCAB_SIZE)

encoder.adapt(train_dataset.map(lambda text, label: text))

(X_train, y_train), (X_test, y_test) =
imdb.load_data(num_words=vocab_size)

# Old item when using the Prefetch datasets (element_spec cell)

# vocab_size = 2000

# encoder = tf.keras.layers
```

3- Check that the number of sequences in train and test datasets are equal (default split):

#### Expected output:

- x\_train = 25000 train sequences
- x\_test = 25000 test sequences

```
print(len(X_train), 'train sequences')
print(len(X_test), 'test sequences')
```

```
25000 train sequences
25000 test sequences
```

4- Pad (or truncate) the sequences so that they are of the maximum length

```
X_train = sequence.pad_sequences(X_train, maxlen=max_len)
X_test = sequence.pad_sequences(X_test, maxlen=max_len)
```

5- After padding or truncating, check the dimensionality of x\_train and x\_test.

#### Expected output:

x\_train shape: (25000, 30)x test shape: (25000, 30)

```
print('input_train shape:', X_train.shape)
print('input_test shape:', X_test.shape)
input_train shape: (25000, 30)
input_test shape: (25000, 30)
```

## Keras layers for (Vanilla) RNNs

In this step, you will not use pre-trained word vectors, Instead you will learn an embedding as part of the Vanilla) RNNs network Neural Network.

In the Keras API documentation, the Embedding Layer and the SimpleRNN Layer have the following syntax:

### **Embedding Layer**

keras.layers.embeddings.Embedding(input\_dim, output\_dim,
embeddings\_initializer='uniform', embeddings\_regularizer=None,
activity\_regularizer=None, embeddings\_constraint=None,
mask\_zero=False, input\_length=None)

- This layer maps each integer into a distinct (dense) word vector of length output dim.
- Can think of this as learning a word vector embedding "on the fly" rather than using an existing mapping (like GloVe)
- The input dim should be the size of the vocabulary.
- The input length specifies the length of the sequences that the network expects.

#### SimpleRNN Layer

```
keras.layers.recurrent.SimpleRNN(units, activation='tanh',
use_bias=True, kernel_initializer='glorot_uniform',
recurrent_initializer='orthogonal', bias_initializer='zeros',
kernel_regularizer=None, recurrent_regularizer=None,
bias_regularizer=None, activity_regularizer=None,
```

kernel\_constraint=None, recurrent\_constraint=None,
bias constraint=None, dropout=0.0, recurrent dropout=0.0)

- This is the basic RNN, where the output is also fed back as the "hidden state" to the next iteration.
- The parameter units gives the dimensionality of the output (and therefore the hidden state). Note that typically there will be another layer after the RNN mapping the (RNN) output to the network output. So we should think of this value as the desired dimensionality of the hidden state and not necessarily the desired output of the network.
- Recall that there are two sets of weights, one for the "recurrent" phase and the other for the "kernel" phase. These can be configured separately in terms of their initialization, regularization, etc.

## 6- Build the RNN with three layers:

- The SimpleRNN layer with 5 neurons and initialize its kernel with stddev=0.001
- The Embedding layer and initialize it by setting the word embedding dimension to 50. This means that this layer takes each integer in the sequence and embeds it in a 50-dimensional vector.
- The output layer has the sigmoid activation function.

7- How many parameters have the embedding layer?

```
# Create the network
rnn_net = model_RNN(vocab_size=2000, seq_length=30)
rnn_net.summary()
```

```
print("By the summary, there are 60,000 parameters in the embedding
layer.")
```

Model: "sequential\_7"

Layer (type)	Output Shape	Param #
embedding_7 (Embedding)	(None, None, 30)	60000
<pre>simple_rnn_3 (SimpleRNN)</pre>	(None, 5)	180
dense_7 (Dense)	(None, 1)	6

-----

Total params: 60,186 Trainable params: 60,186 Non-trainable params: 0

By the summary, there are 60,000 parameters in the embedding layer.

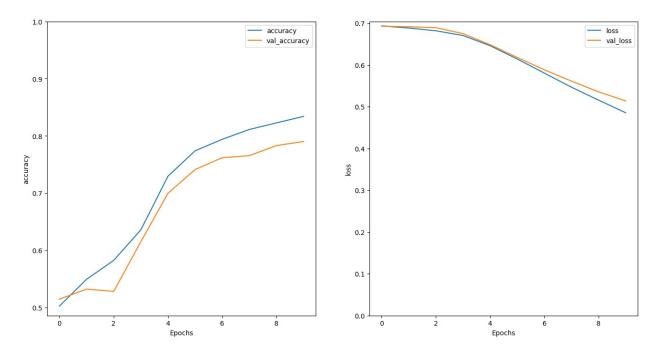
8- Train the network with the RMSprop with learning rate of .0001 and epochs=10.

```
# Compile the RNN
optimizer = tf.keras.optimizers.RMSprop(learning rate=0.0001)
loss fn = tf.keras.losses.BinaryCrossentropy(from logits=False)
mets = ['accuracy']
rnn net.compile(optimizer, loss fn, mets)
# train the RNN
history rnn = rnn net.fit(X train, y train, epochs = 10,
batch size=batch size, validation split=0.2)
Epoch 1/10
0.6935 - accuracy: 0.5023 - val loss: 0.6927 - val accuracy: 0.5144
Epoch 2/10
0.6883 - accuracy: 0.5491 - val loss: 0.6913 - val accuracy: 0.5320
Epoch 3/10
0.6817 - accuracy: 0.5822 - val loss: 0.6892 - val accuracy: 0.5280
Epoch 4/10
0.6704 - accuracy: 0.6356 - val loss: 0.6750 - val accuracy: 0.6148
Epoch 5/10
```

```
0.6460 - accuracy: 0.7294 - val loss: 0.6483 - val accuracy: 0.6996
Epoch 6/10
0.6141 - accuracy: 0.7739 - val loss: 0.6179 - val accuracy: 0.7410
Epoch 7/10
0.5804 - accuracy: 0.7940 - val loss: 0.5883 - val accuracy: 0.7618
Epoch 8/10
0.5466 - accuracy: 0.8112 - val loss: 0.5613 - val accuracy: 0.7654
Epoch 9/10
0.5157 - accuracy: 0.8227 - val loss: 0.5355 - val accuracy: 0.7830
Epoch 10/10
0.4854 - accuracy: 0.8341 - val loss: 0.5138 - val accuracy: 0.7902
```

9- Plot the loss and accuracy metrics during the training and interpret the result.

```
# Plotting Function
def plot graphs(history, metric):
  plt.plot(history.history[metric])
  plt.plot(history.history['val '+metric], '')
  plt.xlabel("Epochs")
  plt.ylabel(metric)
  plt.legend([metric, 'val '+metric])
#%%
plt.figure(figsize=(16, 8))
plt.subplot(1, 2, 1)
plot_graphs(history_rnn, 'accuracy')
plt.ylim(None, 1)
plt.subplot(1, 2, 2)
plot graphs(history rnn, 'loss')
plt.ylim(0, None)
(0.0, 0.7038673728704452)
```



10- Check the accuracy and the loss of your models on the test dataset.

Hmm based on the test values it may be that we are overfitting on the training dataset... or that we don't have enough words to choose from in the vocab list in the test data.

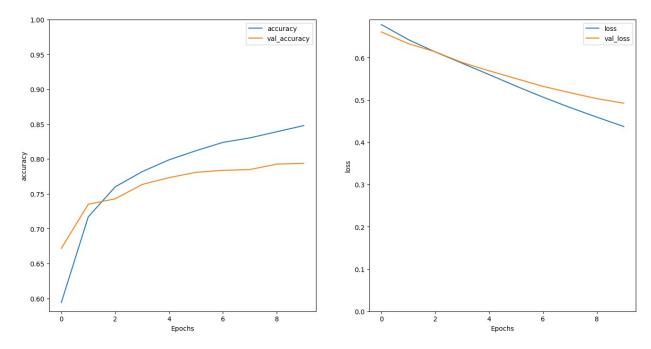
## Tuning The Vanilla RNN Network

11- Prepare the data to use sequences of length 80 rather than length 30 and retrain your model. Did it improve the performance?

```
X_train_80 = sequence.pad_sequences(X_train, maxlen=80)
X_test_80 = sequence.pad_sequences(X_test, maxlen=80)
print('input_train shape:', X_train_80.shape)
print('input_test shape:', X_test_80.shape)
input_train shape: (25000, 80)
input_test shape: (25000, 80)
```

```
# Create the network
rnn net2 = model RNN(vocab size=2000, seq length=30)
rnn net2.summary()
# Compile the RNN
optimizer = tf.keras.optimizers.RMSprop(learning rate=0.0001)
loss fn = tf.keras.losses.BinaryCrossentropy(from logits=False)
mets = ['accuracy']
rnn net2.compile(optimizer, loss fn, mets)
# train the RNN
history rnn net2= rnn net2.fit(X train 80, y train, epochs = 10,
batch size=batch size, validation split=0.2)
Model: "sequential 8"
                    Output Shape
Layer (type)
                                       Param #
embedding 8 (Embedding)
                     (None, None, 30)
                                       60000
simple_rnn_4 (SimpleRNN) (None, 5)
                                       180
dense 8 (Dense)
                     (None, 1)
Total params: 60,186
Trainable params: 60,186
Non-trainable params: 0
Epoch 1/10
0.6784 - accuracy: 0.5942 - val loss: 0.6609 - val accuracy: 0.6718
Epoch 2/10
0.6428 - accuracy: 0.7170 - val loss: 0.6333 - val accuracy: 0.7350
Epoch 3/10
0.6136 - accuracy: 0.7600 - val loss: 0.6139 - val accuracy: 0.7428
Epoch 4/10
0.5869 - accuracy: 0.7818 - val loss: 0.5886 - val accuracy: 0.7634
Epoch 5/10
0.5600 - accuracy: 0.7987 - val loss: 0.5689 - val accuracy: 0.7732
Epoch 6/10
0.5327 - accuracy: 0.8118 - val loss: 0.5505 - val accuracy: 0.7808
Epoch 7/10
```

```
0.5067 - accuracy: 0.8238 - val loss: 0.5322 - val accuracy: 0.7836
Epoch 8/10
0.4822 - accuracy: 0.8302 - val loss: 0.5172 - val accuracy: 0.7848
Epoch 9/10
0.4593 - accuracy: 0.8390 - val loss: 0.5032 - val accuracy: 0.7926
Epoch 10/10
0.4371 - accuracy: 0.8478 - val loss: 0.4924 - val accuracy: 0.7936
def quick plot(history):
  plt.figure(figsize=(16, 8))
  plt.subplot(1, 2, 1)
  plot_graphs(history, 'accuracy')
  plt.ylim(None, 1)
  plt.subplot(1, 2, 2)
  plot graphs(history, 'loss')
  plt.ylim(0, None)
quick plot(history rnn net2)
```



Very similar to the previous model. Maybe slightly more overfitting near the end.

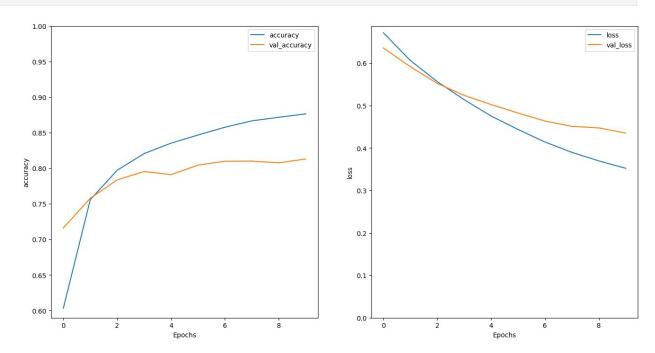
The overall lack of chagne could have been due to the embeddings layer not changing resulting in a similar output.

I'll test that here:

```
# Create the network
rnn net2 80 = model RNN(vocab size=2000, seq length=80) # Note the
change of seg length to 80
rnn net2 80.summary()
# Compile the RNN
optimizer = tf.keras.optimizers.RMSprop(learning rate=0.0001)
loss fn = tf.keras.losses.BinaryCrossentropy(from logits=False)
mets = ['accuracy']
rnn_net2_80.compile(optimizer, loss_fn, mets)
# train the RNN
history rnn net2 80= rnn net2 80.fit(X train 80, y train, epochs = 10,
batch size=batch size, validation split=0.2)
Model: "sequential 10"
                       Output Shape
                                           Param #
Layer (type)
embedding_10 (Embedding)
                       (None, None, 80)
                                           160000
simple rnn 6 (SimpleRNN) (None, 5)
                                           430
dense 10 (Dense)
                       (None, 1)
                                           6
Total params: 160,436
Trainable params: 160,436
Non-trainable params: 0
Epoch 1/10
0.6716 - accuracy: 0.6034 - val loss: 0.6356 - val accuracy: 0.7160
Epoch 2/10
0.6063 - accuracy: 0.7559 - val_loss: 0.5917 - val_accuracy: 0.7578
Epoch 3/10
0.5565 - accuracy: 0.7972 - val loss: 0.5524 - val accuracy: 0.7836
Epoch 4/10
0.5139 - accuracy: 0.8206 - val_loss: 0.5241 - val_accuracy: 0.7954
Epoch 5/10
0.4754 - accuracy: 0.8353 - val_loss: 0.5025 - val_accuracy: 0.7910
Epoch 6/10
625/625 [============= ] - 106s 169ms/step - loss:
0.4438 - accuracy: 0.8467 - val_loss: 0.4824 - val_accuracy: 0.8044
Epoch 7/10
```

Note that changing the seq\_length in the model created a much larger parameter space in the embedding layer. It is now at 160,000 compared to the 60,000 it was previously. The run times appear to be similar to before.

```
quick_plot(history_rnn_net2_80)
```



No substantial change is present here. We again may have some slight overfitting at later epochs.

12- Try different values of the maximum length of a sequence ("max\_features") [known as vocab\_length here]. Can you improve the performance?

```
# Build an Optuna Study to look at various sequence legnths
(vocab_lengths)

def objective_vocab_lengths(trial):
```

```
clear session()
    # Set the testing items for optuna
    vocab size = trial.suggest categorical('vocab len', [500, 1000,
30001) # number of words to consider as features
    max len = 30 # cut texts after this number of words (among top
max features most common words)
    batch size = 32
    (X train, y train), (X test, y test) =
imdb.load data(num words=vocab size)
    X_train = sequence.pad_sequences(X_train, maxlen=max_len)
    X test = sequence.pad sequences(X test, maxlen=max len)
    seq length = 30
    model = tf.keras.Sequential([
        # possition of would be text encoder layer if used
        layers.Embedding(input dim=vocab size, output dim=seq length),
# NOte vocab size is being optimized here
        layers.SimpleRNN(5, kernel_initializer='glorot_uniform'),
        layers.Dense(1, activation='sigmoid')
    ])
    # Compile the RNN
    optimizer = tf.keras.optimizers.RMSprop(learning rate=0.0001)
    loss fn = tf.keras.losses.BinaryCrossentropy(from logits=False)
    mets = ['accuracy']
    model.compile(optimizer, loss fn, mets)
    # Shortened epochs to reduce time and based on prior runs that is
a fair sample size to get
    # an idea of what is going on
    history = model.fit(X train, y train, epochs = 5,
batch size=batch size, validation split=0.2)
    eval score = model.evaluate(X test, y test)
    return eval score[1]
study vocab = optuna.create study(direction='maximize')
study_vocab.optimize(objective_vocab_lengths, n_trials = 6,
timeout=3600, gc after trial=True)
```

```
[I 2023-07-15 18:58:11,647] A new study created in memory with name:
no-name-475e4786-b49f-4d9a-ab1e-f095e1909c02
Epoch 1/5
625/625 [============ ] - 40s 63ms/step - loss:
0.6921 - accuracy: 0.5175 - val loss: 0.6915 - val accuracy: 0.5184
Epoch 2/5
625/625 [============ ] - 39s 62ms/step - loss:
0.6825 - accuracy: 0.5847 - val loss: 0.6774 - val accuracy: 0.6090
Epoch 3/5
625/625 [============ ] - 39s 62ms/step - loss:
0.6632 - accuracy: 0.6449 - val loss: 0.6604 - val accuracy: 0.6434
Epoch 4/5
625/625 [============ ] - 39s 62ms/step - loss:
0.6436 - accuracy: 0.6664 - val loss: 0.6448 - val accuracy: 0.6558
Epoch 5/5
0.6242 - accuracy: 0.6866 - val loss: 0.6306 - val accuracy: 0.6670
- accuracy: 0.6741
[I 2023-07-15 19:01:39,335] Trial 0 finished with value:
0.6741200089454651 and parameters: {'vocab len': 500}. Best is trial 0
with value: 0.6741200089454651.
Epoch 1/5
0.6896 - accuracy: 0.5379 - val loss: 0.6790 - val accuracy: 0.6050
Epoch 2/5
625/625 [============ ] - 39s 63ms/step - loss:
0.6614 - accuracy: 0.6455 - val_loss: 0.6526 - val_accuracy: 0.6554
Epoch 3/5
0.6346 - accuracy: 0.6805 - val loss: 0.6324 - val accuracy: 0.6734
Epoch 4/5
625/625 [============ ] - 37s 60ms/step - loss:
0.6095 - accuracy: 0.7063 - val loss: 0.6131 - val accuracy: 0.6904
Epoch 5/5
625/625 [=========== ] - 36s 57ms/step - loss:
0.5867 - accuracy: 0.7229 - val loss: 0.5964 - val accuracy: 0.6984
- accuracy: 0.7058
[I 2023-07-15 19:04:59,932] Trial 1 finished with value:
0.7057600021362305 and parameters: {'vocab len': 1000}. Best is trial
1 with value: 0.7057600021362305.
Epoch 1/5
625/625 [============ ] - 37s 58ms/step - loss:
0.6845 - accuracy: 0.5519 - val_loss: 0.6611 - val_accuracy: 0.6254
```

```
Epoch 2/5
625/625 [============ ] - 36s 58ms/step - loss:
0.6405 - accuracy: 0.6482 - val loss: 0.6339 - val accuracy: 0.6636
0.6174 - accuracy: 0.6784 - val loss: 0.6191 - val accuracy: 0.6754
Epoch 4/5
0.6001 - accuracy: 0.6967 - val loss: 0.6080 - val accuracy: 0.6870
Epoch 5/5
0.5856 - accuracy: 0.7091 - val loss: 0.5984 - val accuracy: 0.6922
- accuracy: 0.6955
[I 2023-07-15 19:08:10,650] Trial 2 finished with value:
0.6955199837684631 and parameters: {'vocab len': 500}. Best is trial 1
with value: 0.7057600021362305.
Epoch 1/5
625/625 [============] - 36s 56ms/step - loss:
0.6904 - accuracy: 0.5304 - val loss: 0.6808 - val accuracy: 0.5822
Epoch 2/5
0.6579 - accuracy: 0.6516 - val loss: 0.6436 - val accuracy: 0.6696
625/625 [============ ] - 34s 54ms/step - loss:
0.6202 - accuracy: 0.7006 - val loss: 0.6174 - val accuracy: 0.6916
Epoch 4/5
0.5910 - accuracy: 0.7272 - val loss: 0.5958 - val accuracy: 0.7122
Epoch 5/5
0.5660 - accuracy: 0.7453 - val loss: 0.5775 - val accuracy: 0.7200
- accuracy: 0.7244
[I 2023-07-15 19:11:12,617] Trial 3 finished with value:
0.724399983882904 and parameters: {'vocab len': 1000}. Best is trial 3
with value: 0.724399983882904.
Epoch 1/5
625/625 [============ ] - 35s 54ms/step - loss:
0.6448 - accuracy: 0.6244 - val loss: 0.6002 - val accuracy: 0.6798
Epoch 2/5
625/625 [============] - 34s 54ms/step - loss:
0.5613 - accuracy: 0.7160 - val loss: 0.5574 - val accuracy: 0.7126
Epoch 3/5
0.5225 - accuracy: 0.7430 - val loss: 0.5348 - val accuracy: 0.7284
```

```
Epoch 4/5
0.5014 - accuracy: 0.7603 - val loss: 0.5256 - val accuracy: 0.7348
625/625 [============ ] - 34s 54ms/step - loss:
0.4873 - accuracy: 0.7685 - val_loss: 0.5166 - val_accuracy: 0.7446
- accuracy: 0.7515
[I 2023-07-15 19:14:12,254] Trial 4 finished with value:
0.7515199780464172 and parameters: {'vocab_len': 1000}. Best is trial
4 with value: 0.7515199780464172.
Epoch 1/5
0.6959 - accuracy: 0.5083 - val loss: 0.6910 - val accuracy: 0.5248
Epoch 2/5
625/625 [============= ] - 34s 55ms/step - loss:
0.6851 - accuracy: 0.5486 - val loss: 0.6871 - val accuracy: 0.5448
Epoch 3/5
625/625 [============] - 35s 57ms/step - loss:
0.6760 - accuracy: 0.5832 - val loss: 0.6843 - val accuracy: 0.5470
Epoch 4/5
0.6666 - accuracy: 0.6081 - val loss: 0.6825 - val accuracy: 0.5428
Epoch 5/5
625/625 [============ ] - 35s 55ms/step - loss:
0.6569 - accuracy: 0.6239 - val loss: 0.6817 - val accuracy: 0.5420
- accuracy: 0.5460
[I 2023-07-15 19:17:15,951] Trial 5 finished with value:
0.5460399985313416 and parameters: {'vocab len': 1000}. Best is trial
4 with value: 0.7515199780464172.
# Print outputs of study
print("You completed {} trials.".format(len(study vocab.trials)))
print("Best run:")
best = study vocab.best trial
       Accuracy Value: {}".format(best.value))
print("
# Now for the parameters
print(" Parameters: ")
for key, value in best.params.items(): # go through the dictionary
          {}: {}".format(key,value))
   print("
You completed 6 trials.
Best run:
   Accuracy Value: 0.7515199780464172
```

```
Parameters:
vocab_len: 1000
```

From what I have trialed here, it looks as if a lower vocab length is more likely to produce worse results though it still has the potential to fit well depending on the dataset. From other tests that I had copied over I saw that a larger vocab length has a better chance to perform well: You completed 20 trials. Best run: Accuracy Value: 0.7510799765586853 Parameters: vocab\_len: 3000

The later GRU and LSTM tests also seem to show that a longer vocab length seems to increase the accuracy. This makes sense as you have more words to pull from.

13- Try smaller and larger sizes of the RNN hidden dimension. How does it affect the model performance? How does it affect the run time?

```
# Build an Optuna Study to look at various sequence legnths
(vocab lengths)
def objective hidden dim(trial):
    clear session()
    vocab size = 2000 # number of words to consider as features
    max len = 30 # cut texts after this number of words (among top
max features most common words)
    batch size = 32
    (X train, y train), (X test, y test) =
imdb.load_data(num_words=vocab_size)
    X train = sequence.pad sequences(X train, maxlen=max len)
    X test = sequence.pad sequences(X test, maxlen=max len)
    seq length = 30
    # Create the trial parameter for the number of hidden layers
    n hidden = trial.suggest categorical("n hidden",
[1,2,3,4,5,6,7,8,9,10]
    model = tf.keras.Sequential([
        # possition of would be text encoder layer if used
        layers.Embedding(input_dim=vocab_size, output_dim=seq_length),
# NOte vocab size is being optimized here
        layers.SimpleRNN(n hidden,
kernel_initializer='glorot_uniform'),
        layers.Dense(1, activation='sigmoid')
    ])
```

```
# Compile the RNN
   optimizer = tf.keras.optimizers.RMSprop(learning rate=0.0001)
   loss fn = tf.keras.losses.BinaryCrossentropy(from logits=False)
   mets = ['accuracy']
   model.compile(optimizer, loss fn, mets)
   # Shortened epochs to reduce time and based on prior runs that is
a fair sample size to get
   # an idea of what is going on
   history = model.fit(X_train, y_train, epochs = 5,
batch size=batch size, validation split=0.2)
   eval score = model.evaluate(X test, y test)
    return eval score[1]
study hiddendim = optuna.create study(direction='maximize')
study hiddendim.optimize(objective hidden dim, n trials = 20,
timeout=7200, gc_after_trial=True)
Some of the output to minimze pdf length:
accuracy: 0.5096 - val_loss: 0.6896 - val_accuracy: 0.5328 Epoch 2/5 625/625
val loss: 0.6833 - val accuracy: 0.5682 Epoch 3/5 625/625
[=============================] - 51s 82ms/step - loss: 0.6598 - accuracy: 0.6356 -
val_loss: 0.6413 - val_accuracy: 0.6566 Epoch 4/5 625/625
val_loss: 0.5989 - val_accuracy: 0.6850 Epoch 5/5 625/625
[==============================] - 52s 83ms/step - loss: 0.5546 - accuracy: 0.7359 -
val_loss: 0.5682 - val_accuracy: 0.7118 782/782 [=============================== ] - 12s
16ms/step - loss: 0.5644 - accuracy: 0.7160
[I 2023-07-16 12:34:19,105] Trial 11 finished with value: 0.7160000205039978 and parameters:
{'n_hidden': 10}. Best is trial 8 with value: 0.7307599782943726.
[I 2023-07-16 12:57:15,772] Trial 16 finished with value: 0.7482399940490723 and parameters:
{'n_hidden': 1}. Best is trial 16 with value: 0.7482399940490723.
accuracy: 0.5160 - val_loss: 0.6917 - val_accuracy: 0.5190 Epoch 2/5 625/625
[===========] - 36s 58ms/step - loss: 0.6845 - accuracy: 0.5515 -
val_loss: 0.6896 - val_accuracy: 0.5192 Epoch 3/5 625/625
val_loss: 0.6889 - val_accuracy: 0.5260 Epoch 4/5 625/625
```

[I 2023-07-16 13:01:01,997] Trial 17 finished with value: 0.5345600247383118 and parameters: {'n\_hidden': 1}. Best is trial 16 with value: 0.7482399940490723.

```
# Print outputs of study
print("You completed {} trials.".format(len(study hiddendim.trials)))
print("Best run:")
best = study hiddendim.best trial
         Accuracy Value: {}".format(best.value))
print("
# Now for the parameters
print(" Parameters: ")
for key, value in best.params.items(): # go through the dictionary
   print("
                  {}: {}".format(key,value))
You completed 20 trials.
Best run:
   Accuracy Value: 0.7482399940490723
   Parameters:
        n hidden: 1
```

It seemed that in all cases, the amount of time to execute was around 40-60 seconds with only a minor change from having 1 hidden layer to 10 hidden layers. I wonder if this is correct or due to a hardware bottleneck?

### Train LSTM and GRU networks

14- Build LSTM and GRU networks and compare their performance (accuracy and execution time) with the SimpleRNN. What is your conclusion?

#### **GRU Network**

```
# Reload the dataset to ensure I didn't change it in the past cells

vocab_size = 2000 # number of words to consider as features
max_len = 30 # cut texts after this number of words (among top
max_features most common words)
batch_size = 32

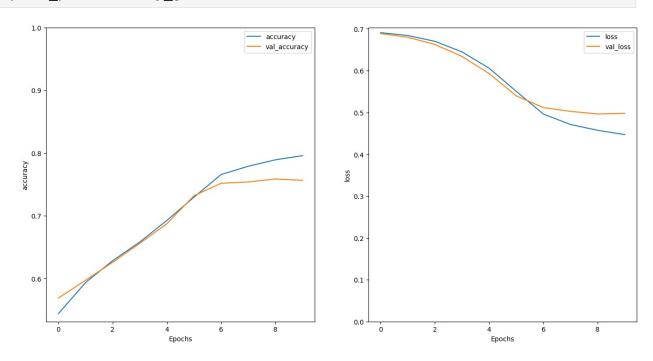
(X_train, y_train), (X_test, y_test) =
imdb.load_data(num_words=vocab_size)
X_train = sequence.pad_sequences(X_train, maxlen=max_len)
X_test = sequence.pad_sequences(X_test, maxlen=max_len)
```

```
# Now things match the first SimpleRNN run
# You can either build this as
   # gru cell = layers.GRUCell
   # gru layer = layers.RNN(gru cell)
# or as
      # layers.GRU()
def GRU RNN(vocab size=2000, seq length=30, hidden layers=5):
   model = tf.keras.Sequential([
      layers.Embedding(input dim=vocab size, output dim=seq length),
      layers.GRU(units=hidden layers),
      layers.Dense(1, activation='sigmoid')
   ])
   return model
gru rnn = GRU RNN(vocab size=2000, seq length=30, hidden layers=5)
# Compile the RNN
optimizer = tf.keras.optimizers.RMSprop(learning rate=0.0001)
loss fn = tf.keras.losses.BinaryCrossentropy(from logits=False)
mets = ['accuracy']
gru rnn.compile(optimizer, loss fn, mets)
# Shortened epochs to reduce time and based on prior runs that is a
fair sample size to get
# an idea of what is going on
history_gru = gru_rnn.fit(X_train, y_train, epochs = 10,
batch size=batch size, validation split=0.2)
Epoch 1/10
- accuracy: 0.5439 - val loss: 0.6885 - val accuracy: 0.5688
Epoch 2/10
- accuracy: 0.5936 - val loss: 0.6798 - val accuracy: 0.5972
Epoch 3/10
- accuracy: 0.6284 - val loss: 0.6627 - val accuracy: 0.6258
Epoch 4/10
- accuracy: 0.6582 - val loss: 0.6341 - val accuracy: 0.6560
Epoch 5/10
```

```
- accuracy: 0.6926 - val loss: 0.5930 - val accuracy: 0.6874
Epoch 6/10
- accuracy: 0.7297 - val loss: 0.5398 - val accuracy: 0.7320
Epoch 7/10
- accuracy: 0.7657 - val loss: 0.5117 - val accuracy: 0.7518
Epoch 8/10
- accuracy: 0.7789 - val loss: 0.5026 - val accuracy: 0.7538
Epoch 9/10
- accuracy: 0.7891 - val_loss: 0.4964 - val_accuracy: 0.7586
Epoch 10/10
625/625 [============= ] - 5s 9ms/step - loss: 0.4470
- accuracy: 0.7958 - val loss: 0.4979 - val accuracy: 0.7564
```

CHEESE AND CRACKERS BATMAN! Those epochs ran very, very quickly. They were about 7x faster than the SimpleRNN with similar results.

#### quick plot(history gru)

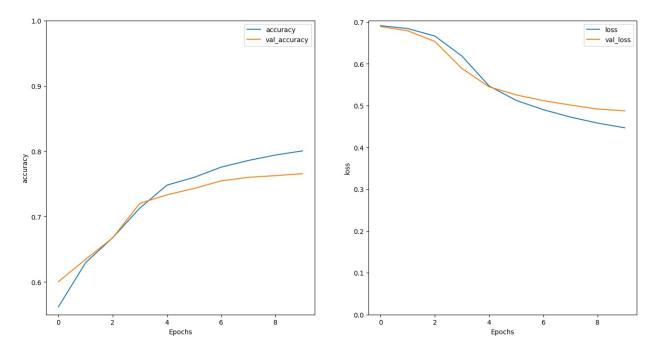


The accuracy and loss are similar to the SimpleRNNs with the slight edge (maybe) going to the GRU given that the val\_loss and train\_loss stay together longer which would imply less overfitting.

#### LSTM Network

```
# Reload the dataset to ensure I didn't change it in the past cells
vocab \ size = 2000 \ \# \ number \ of \ words \ to \ consider \ as \ features
max len = 30 # cut texts after this number of words (among top
max features most common words)
batch size = 32
(X_train, y_train), (X_test, y test) =
imdb.load data(num words=vocab size)
X train = sequence.pad sequences(X train, maxlen=max len)
X test = sequence.pad sequences(X test, maxlen=max len)
# Now things match the first SimpleRNN run
# You can either build this as
    # gru cell = layers.GRUCell
    # gru layer = layers.RNN(gru_cell)
# or as
        # layers.GRU()
def LSTM RNN(vocab size=2000, seq length=30, hidden layers=5):
    model = tf.keras.Sequential([
        layers.Embedding(input dim=vocab size, output dim=seq length),
        layers.LSTM(units=hidden_layers), # the only change here
from the GRU is this line to LSTM
        layers.Dense(1, activation='sigmoid')
    ])
    return model
lstm rnn = LSTM RNN(vocab size=2000, seq length=30, hidden layers=5)
# Compile the RNN
optimizer = tf.keras.optimizers.RMSprop(learning rate=0.0001)
loss fn = tf.keras.losses.BinaryCrossentropy(from logits=False)
mets = ['accuracy']
lstm rnn.compile(optimizer, loss fn, mets)
# Shortened epochs to reduce time and based on prior runs that is a
fair sample size to get
# an idea of what is going on
history lstm = lstm_rnn.fit(X_train, y_train, epochs = 10,
batch size=batch size, validation split=0.2)
```

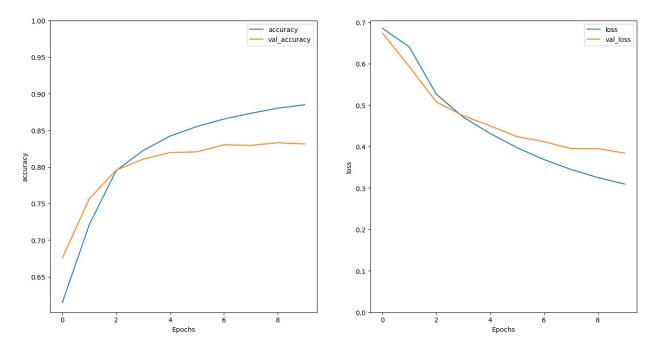
```
Epoch 1/10
- accuracy: 0.5619 - val loss: 0.6888 - val accuracy: 0.6004
- accuracy: 0.6293 - val loss: 0.6789 - val accuracy: 0.6344
Epoch 3/10
625/625 [=============] - 5s 9ms/step - loss: 0.6663
- accuracy: 0.6676 - val loss: 0.6533 - val accuracy: 0.6674
Epoch 4/10
- accuracy: 0.7129 - val loss: 0.5884 - val accuracy: 0.7202
Epoch 5/10
- accuracy: 0.7480 - val loss: 0.5449 - val accuracy: 0.7332
Epoch 6/10
- accuracy: 0.7599 - val loss: 0.5254 - val accuracy: 0.7430
Epoch 7/10
625/625 [=============] - 5s 8ms/step - loss: 0.4901
- accuracy: 0.7756 - val loss: 0.5118 - val accuracy: 0.7546
Epoch 8/10
625/625 [=============] - 5s 9ms/step - loss: 0.4724
- accuracy: 0.7858 - val loss: 0.5012 - val accuracy: 0.7600
Epoch 9/10
- accuracy: 0.7941 - val_loss: 0.4917 - val_accuracy: 0.7626
Epoch 10/10
- accuracy: 0.8005 - val_loss: 0.4874 - val_accuracy: 0.7656
quick plot(history lstm)
```



This LSTM has very similar results to the GRU with just a smidge longer execution time compared to the GRU. I am currious if I can use a large vocab here and provide some interesting results.

```
# Reload the dataset to ensure I didn't change it in the past cells
vocab size = 5000 # number of words to consider as features
max_len = 80 # cut texts after this number of words (among top
max features most common words)
batch size = 32
(X train, y train), (X test, y test) =
imdb.load_data(num_words=vocab_size)
X train = sequence.pad sequences(X train, maxlen=max len)
X_test = sequence.pad_sequences(X_test, maxlen=max_len)
# THIS DATA IS DIFFERENT FROM THE INITIAL SimpleRNN RUN
lstm rnn big = LSTM RNN(vocab size=5000, seg length=80,
hidden_layers=5)
# Compile the RNN
optimizer = tf.keras.optimizers.RMSprop(learning rate=0.0001)
loss_fn = tf.keras.losses.BinaryCrossentropy(from_logits=False)
mets = ['accuracy']
lstm_rnn_big.compile(optimizer, loss_fn, mets)
# Shortened epochs to reduce time and based on prior runs that is a
fair sample size to get
```

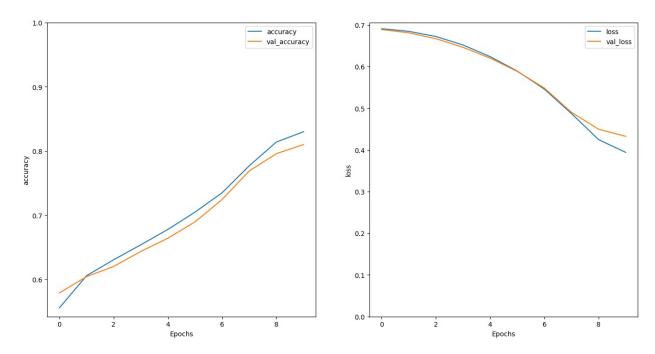
```
# an idea of what is going on
history lstm big = lstm rnn big.fit(X train, y train, epochs = 10,
batch size=batch size, validation split=0.2)
Epoch 1/10
- accuracy: 0.6150 - val_loss: 0.6742 - val_accuracy: 0.6756
Epoch 2/10
- accuracy: 0.7212 - val loss: 0.5933 - val accuracy: 0.7564
Epoch 3/10
- accuracy: 0.7950 - val loss: 0.5079 - val accuracy: 0.7956
Epoch 4/10
- accuracy: 0.8224 - val loss: 0.4745 - val accuracy: 0.8106
Epoch 5/10
625/625 [=============] - 6s 9ms/step - loss: 0.4316
- accuracy: 0.8421 - val loss: 0.4500 - val accuracy: 0.8196
Epoch 6/10
- accuracy: 0.8552 - val loss: 0.4240 - val accuracy: 0.8206
Epoch 7/10
- accuracy: 0.8655 - val loss: 0.4117 - val accuracy: 0.8302
Epoch 8/10
- accuracy: 0.8733 - val loss: 0.3952 - val accuracy: 0.8294
Epoch 9/10
- accuracy: 0.8802 - val loss: 0.3949 - val accuracy: 0.8330
625/625 [============= ] - 6s 9ms/step - loss: 0.3095
- accuracy: 0.8849 - val loss: 0.3843 - val accuracy: 0.8314
quick plot(history lstm big)
```



Interesting. With a larger vocab list and large sequence size, I was able to improve accuracy with what looks to be some slight overfitting. But the execution time minimally changed. How does the GRU stack up to this? Let's find out.

```
# Reload the dataset to ensure I didn't change it in the past cells
vocab size = 5000 # number of words to consider as features
max len = 80 # cut texts after this number of words (among top
max features most common words)
batch size = 32
(X train, y train), (X test, y test) =
imdb.load data(num words=vocab size)
X train = sequence.pad sequences(X train, maxlen=max len)
X_test = sequence.pad_sequences(X_test, maxlen=max_len)
# THIS DATA IS DIFFERENT FROM THE INITIAL SimpleRNN RUN
gru rnn big = GRU RNN(vocab size=5000, seg length=50, hidden layers=5)
# Compile the RNN
optimizer = tf.keras.optimizers.RMSprop(learning rate=0.0001)
loss fn = tf.keras.losses.BinaryCrossentropy(from logits=False)
mets = ['accuracy']
gru rnn big.compile(optimizer, loss fn, mets)
# Shortened epochs to reduce time and based on prior runs that is a
fair sample size to get
# an idea of what is going on
```

```
history gru big = gru rnn big.fit(X train, y train, epochs = 10,
batch size=batch size, validation split=0.2)
Epoch 1/10
625/625 [============= ] - 8s 10ms/step - loss: 0.6911
- accuracy: 0.5559 - val loss: 0.6892 - val accuracy: 0.5790
Epoch 2/10
- accuracy: 0.6058 - val loss: 0.6813 - val accuracy: 0.6044
Epoch 3/10
625/625 [============== ] - 6s 10ms/step - loss: 0.6724
- accuracy: 0.6306 - val loss: 0.6672 - val accuracy: 0.6202
Epoch 4/10
- accuracy: 0.6539 - val loss: 0.6464 - val accuracy: 0.6436
Epoch 5/10
- accuracy: 0.6779 - val loss: 0.6205 - val accuracy: 0.6642
Epoch 6/10
- accuracy: 0.7048 - val loss: 0.5886 - val accuracy: 0.6896
Epoch 7/10
- accuracy: 0.7350 - val loss: 0.5487 - val accuracy: 0.7246
Epoch 8/10
625/625 [============== ] - 6s 10ms/step - loss: 0.4874
- accuracy: 0.7770 - val loss: 0.4904 - val accuracy: 0.7690
Epoch 9/10
- accuracy: 0.8140 - val loss: 0.4498 - val accuracy: 0.7958
Epoch 10/10
625/625 [============= ] - 6s 10ms/step - loss: 0.3942
- accuracy: 0.8299 - val loss: 0.4328 - val accuracy: 0.8100
quick plot(history gru big)
```



Slightly less accuracy but less overfitting in comparision. This isn't surprising as the GRU has fewer gates compared to the LSTM.

## Questions that Remain

Throughout the training (.fit) steps, my GPU (1070) was being used but only at 4-6% utilization. For the CNN tasks in Assignment #2 (zoo) it was around 45% utilization. Was the low utilization due to the vectorization of the dataset or are RNNs / GRUs / LSTMs just that much less intensive than CNNs?

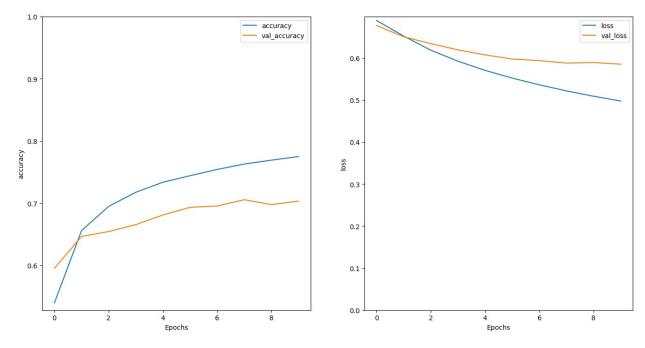
# The first setup I tried using a different loading method

You may have noticed early on some data loading that was commented out. I was going through this in a slightly different approach at first which I'll show below.

There are some areas in here that I had questions on especially with the data loading and TextVectorization layer

```
(TensorSpec(shape=(), dtype=tf.string, name=None),
TensorSpec(shape=(), dtype=tf.int64, name=None))
# Set up AUTOTUNING for the data
#OUESION:
# I'm not 100% certain as to what the buffer size is doing but I was
under the impression for the
# shuffle I want the buffer to be the size of the dataset. However,
when the buffer was initially
# at 10,000 I ran into errors so I reduced it and was successful. So
what is this doing?
BUFFER SIZE = 4000
BATCH SIZE = 30 #(text, label) pairs
# This allows preprocessing to happen on the CPU asynchronously
# OUESTION: CAN I PUT THIS ANYWHERE ONCE THE DATASET IS
CREATED?....[end of next cell]
train dataset 30 =
train dataset.shuffle(BUFFER SIZE).batch(BATCH SIZE).prefetch(tf.data.
AUTOTUNE)
test dataset 30 =
test dataset.batch(BATCH SIZE).prefetch(tf.data.AUTOTUNE)
# The train dataset need to be vectorized into integer Tensor
vocab size = 2000
\max length = 30
# The TextVectorization layer takes care of setting the vocabulary
# via max tokens. The output sequence either pads or truncates to the
# max length prescribed. The Standardize sets all words to lowercase
and
# removes punctuation.
text encoder = layers.TextVectorization(max tokens=vocab size,
output sequence length=max length,
standardize='lower and strip punctuation'
# The .adapt function call applies the text encoder across the
elements
```

```
text encoder.adapt(train dataset.map(lambda text, label: text))
# ..... OR DOES THE AUTOTUNING NEED TO GO HERE AFTER THE ENCODER? AS
IN:
   # https://keras.io/guides/preprocessing layers/
# The SimpleRNN model
def model RNN old(encoder, seq length:int):
   model = tf.keras.Sequential([
      encoder, # QUESTION: Was I correct to place this encoder
laver here? or is this out of palce?
      layers.Embedding(input dim=vocab size, output dim=seg length),
      layers.SimpleRNN(5, kernel initializer='glorot uniform'),
      layers.Dense(1, activation='sigmoid')
   1)
   return model
# Build the model section
test rnn net = model RNN old(encoder=text encoder, seq length=30)
optimizer = tf.keras.optimizers.RMSprop(learning rate=0.0001)
loss_fn = tf.keras.losses.BinaryCrossentropy(from logits=False)
mets = ['accuracy']
test rnn net.compile(optimizer, loss fn, mets)
# Fit the model
history test rnn = test rnn net.fit(train dataset 30,
                     epochs=10,
                     validation data=test dataset 30,
                     validation steps=30)
Epoch 1/10
0.6892 - accuracy: 0.5400 - val loss: 0.6773 - val accuracy: 0.5956
Epoch 2/10
0.6522 - accuracy: 0.6561 - val loss: 0.6506 - val_accuracy: 0.6467
Epoch 3/10
0.6185 - accuracy: 0.6950 - val loss: 0.6342 - val accuracy: 0.6544
Epoch 4/10
0.5924 - accuracy: 0.7176 - val loss: 0.6194 - val accuracy: 0.6656
Epoch 5/10
0.5706 - accuracy: 0.7337 - val loss: 0.6074 - val accuracy: 0.6811
Epoch 6/10
```



And this looks very similar to the RNN method I used at the start of this so I'm assuming both ways are working the same. Am I correct in this assumption?