

## Ethics of Crowdsourcing

#### **Last Words**

### Amazon Mechanical Turk: Gold Mine or Coal Mine?

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Recently heard at a tutorial in our field: "It cost me less than one hundred bucks to annotate this using Amazon Mechanical Turk!" Assertions like this are increasingly common, but we believe they should not be stated so proudly; they ignore the ethical consequences of using MTurk (Amazon Mechanical Turk) as a source of labor.

Manually annotating corpora or manually developing any other linguistic resource, such as a set of judgments about system outputs, represents such a high cost that many researchers are looking for alternative solutions to the standard approach. MTurk is becoming a popular one. However, as in any scientific endeavor involving humans, there is an unspoken ethical dimension involved in resource construction and system evaluation, and this is especially true of MTurk.

We would like here to raise some questions about the use of MTurk. To do so, we will define precisely what MTurk is and what it is not, highlighting the issues raised by the system. We hope that this will point out opportunities for our community to deliberately value ethics above cost savings.



#### **Dispels Myths**

#### **Being A Turker**

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#### **ABSTRACT**

We conducted an ethnomethodological analysis of publicly available content on Turker Nation, a general forum for Amazon Mechanical Turk (AMT) users. Using forum data we provide novel depth and detail on how the Turker Nation members operate as economic actors, working out which Requesters and jobs are worthwhile to them. We show some of the key ways Turker Nation functions as a community and also look further into Turker-Requester relationships from the Turker perspective – considering practical, emotional and moral aspects. Finally, following Star and Strauss [25] we analyse Turking as a form of invisible work. We do this to illustrate practical and ethical issues relating to working with Turkers and AMT, and to promote design directions to support Turkers and their relationships with Requesters.

#### **Author Keywords**

Ethnomethodology; content analysis; crowdsourcing; microtasking; Amazon Mechanical Turk; Turker Nation.

#### **ACM Classification Keywords**

H.5.3 Group and Organizational Interfaces – Computer, Supported Cooperative Work

#### **General Terms**

**Human Factors** 

#### INTRODUCTION

The concept of crowdsourcing was originally defined by Jeff Howe of Wired Magazine as "the act of a company or institution taking a function once performed by employees and outsourcing it to an undefined (and generally large) network of people in the form of an open call." [8] This 'undefined network of people' is the key topic of this article. We present the findings of an ethnomethodological analysis of posts and threads on a crowdsourcing forum called Turker Nation<sup>1</sup>. We have sought to understand members of the

believe that this will be beneficial for researchers and businesses working within the crowdsourcing space.

Crowdsourcing encompasses multiple types of activity: invention, project work, creative activities, and microtasking. This latter is our focus here. The most well-known microtask platform is Amazon Mechanical Turk (AMT)<sup>2</sup>, and the Turker Nation forum that we studied is dedicated to users of this platform. The basic philosophy of microtasking and AMT is to delegate tasks that are difficult for computers to do to a human workforce. This has been termed 'artificial artificial intelligence'. Tasks like image tagging, duplicate recognition, translation, transcription, object classification, and content generation are common. 'Requesters' (the AMT term for people who have work to be completed) post multiple, similar jobs as Human Intelligence Tasks (HITs), which can then be taken up by registered 'Turkers'. Turkers (termed 'Providers' by AMT) are the users completing the HITs, which typically take seconds or minutes paid at a few cents at a time.

For Amazon, the innovative idea was to have an efficient and cost effective way to curate and manage the quality of content on their vast databases (weeding out duplicates, vulgar content, etc.). While Amazon is still a big Requester, AMT has been deployed as a platform and connects a wide variety of Requesters with up to 500,000 Providers. However, Fort et al. [6] have performed an analysis on the available data and suggest that real number of active Turkers is between 15,059 and 42,912; and that 80% of the tasks are carried out by the 20% most active (3,011–8,582) Turkers. While these numbers are useful, the research community still has little deep qualitative knowledge about this workforce. Questions remain unanswered such as: how and what do they look for in jobs; what are their concerns; and how do they

- Turkers are not working "for fun"
- Many Turkers are working because they lack other income options (e.g they were laid off)



**HITs Availa** 

Your Account

GO!

HITs

Qualifications

available now

#### All HITs | HITs Available To You | HITs Assigned To You

Find HI HIT Creation Date (oldest first) HIT Creation Date (newest first) HITs Available (fewest first) HITS fo HITs Available (most first) 1-10 of Reward Amount (least first)

amazonmechanical turk

Sort by: ✓ Reward Amount (most first)

Expiration Date (soonest first)

Artificial Artificial Intelligence

Transcr Expiration Date (latest first)

Title (A-Z) Reque Title (Z-A)

> Time Allotted (least first) Time Allotted (most first)

Show all details | Hide all details

nderstand, would be useful to know how to clean up aud

HIT Expiration Date: Sep 4, 2013 (1 day 21 hours) Reward:

Time Allotted: 24 hours

Transcription of approximately 15 minutes of audio

HIT Expiration Date: Sep 5, 2013 (2 days 23 hours) Reward: Requester: Amelia Jones

> Time Allotted: 8 hours **HITs Availa**

By Invitation Only: Answer a few questions in a brief survey

HIT Expiration Date: Sep 5, 2013 (2 days 21 hours) Reward: Requester: Qualtrics Survey

> Time Allotted: **HITs Availa** 30 minutes

Take a geo-tagged photo of a landmark in North Dakota

HIT Expiration Date: Sep 5, 2013 (2 days 16 hours) Reward: Requester: Crowdsourcing at Thomson Reuters

> Time Allotted: **HITs Avai** 3 days

## The MTurk worker interface sucks.

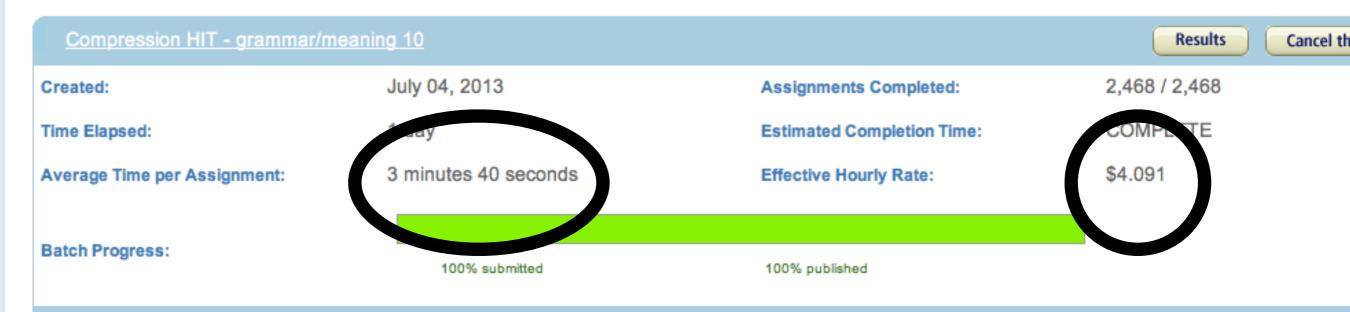
- No way to search by expected hourly rate
- No way to estimate the difficulty or length of time that it will take to do a task
- No way to know how reputable a requester is in advance
- Information asymmetry



#### Manage Batches

Click on the name of the batch to see more details

Batches in progress (1)



Batches ready for review (143)

« Previous 1 2 3 4 5 6 7 8 9 ...



#### IT - grammar/meaning 10

is batch, make changes, or get results.

ces and decide how grammatical they are

Cancel this ba

Resu

100% submitted 100% published

2,468 / 2,468 Average Time per Assignment: 3 minutes 40 seconds

COMPLETE

Estimated Completion Time:

#### IT - grammar/meaning

July 04, 2013 1:03 PM PDT

Read a group of sentences and decide how grammatical they are English, writing, editing, language, research, language, paraphrasing, paraphrases, summarization, summaries, text, comprehension, reading HIT Approval Rate (%) for all Requesters' HITs greater than or equal to 85 (Required for preview)

Number of HITs Approved greater than 100 (Required for preview) Location is UNITED STATES (Required for preview)

r HIT: 2

\$0.250

compression-evaluation.dev.csv

Results

Assignments pending review

Assignments approved:

Assignments rejected:

2,070

Average Hourly Rate: \$4.091

398

#### **Cost Summary**

**Estimated Total Reward:** \$500.000

Estimated Fees to Mechanical Turk: \$50.000 (fee

details)

\$550.000 Estimated Total Cost:

These costs are only an estimate until all of the

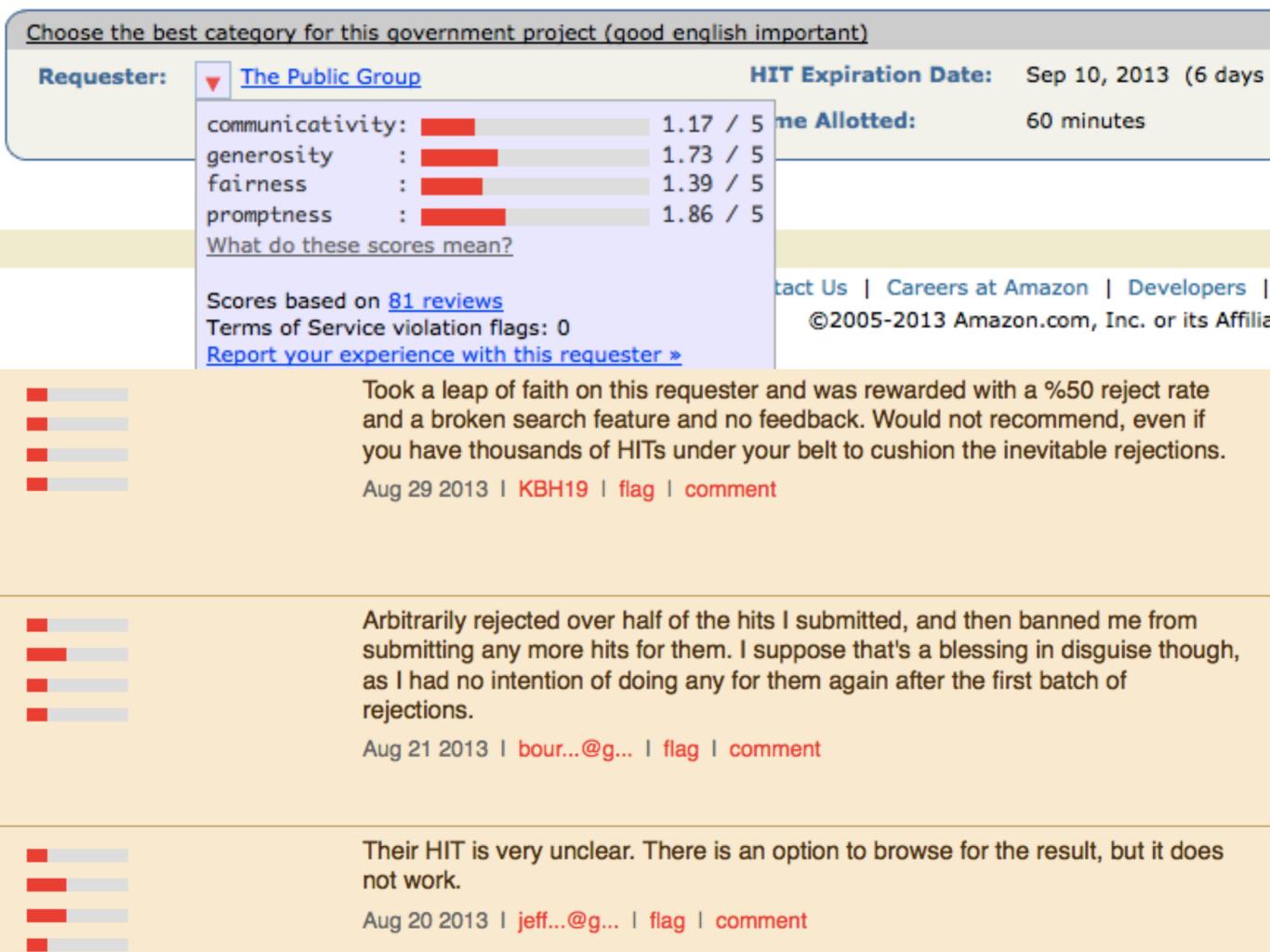
accianments have been submitted and reviewed

Worker ID	Work Time In	Lifetime	Input.System Names 0	City	Region
	Seconds	Approval Rate	,,	J,	, togici
A1H11P4QSM08Y1	145	100% (59/59)	pos_control neg_control /export/common/SCALE13/		
A3O7D2TTK99UK5	3	12% (119/1007)	pos_control neg_control /export/common/SCALE13/		
ASB13NJKTGCYB	122	100% (44/44)	pos_control neg_control /export/common/SCALE13/		
A1BM57TUNAQIXM	478	100% (3/3)	pos_control neg_control /export/common/SCALE13/	Los Angeles	California
A3445FTO62DGIX	231	100% (78/78)	pos_control neg_control /export/common/SCALE13/		
AYSX5GNO640MZ	141	13% (5/39)	pos_control neg_control /export/common/SCALE13/		
A21U64TBRENETA	158	100% (17/17)	pos_control neg_control /export/common/SCALE13/	Orlando	Florida
A23AFAXSEYH61S	241	100% (177/177)	pos_control neg_control /export/common/SCALE13/	Los Angeles	California
A1GYXHW4YCOJ0H	154	100% (77/77)	pos_control neg_control /export/common/SCALE13/		
A3O7D2TTK99UK5	21	12% (119/1007)	pos_control neg_control /export/common/SCALE13/		
AUGRDUEDEUXUS	184	100% (12/12)	pos_control neg_control /export/common/SCALE13/		
AYSX5GNO640MZ	182	13% (5/39)	pos_control neg_control /export/common/SCALE13/		

## TurkOpticon plugin

Workers rate Requesters based on a Requester's:

- communicativity: How responsive has this requester been to communications or concerns you have raised?
- generosity: How well has this requester paid for the amount of time their HITs take?
- fairness: How fair has this requester been in approving or rejecting your work?
- promptness: How promptly has this requester approved your work and paid?





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Nov 12, 2013 (9 weeks

60 minutes

For those of you who know Arabic, this is a very solid requester with a very fair pay. Highly recommended for those who want to make some real money Payment usually take one week depending on the HITs you are doing

don't waste your time trying to submit machine translated crap, or random answers to multiple choice questions, you will get blocked instantly

Jul 27 2013 | hala...@h... | flag | comment

Chris is one of the better requesters on MTurk, if you meet his qualifications and actually do the work as he requires. Glad to see that someone out there can finally work on those Arabic translation HITs that we've all seen for months now.

Jul 27 2013 baudelai...@m...

Good requester. Everything approved in a couple of days. I had no problems. This is a safe requester to work for.

## Turker Nation discussion boards

- A watering hole for Turkers to discuss MTurk and Requesters
- Has a Requester Hall of Fame / Shame
- Lots of engaging conversation
- Sometime people vent their frustration

Here's an excerpt from an IRB application Chris Callison-Burch posted - "We will pay participants small sums of money to complete our tasks, ranging from \$0.01 to \$1. All participants can choose for themselves whether the compensation is fair, and opt not to do it if they deem the compensation to be too low. Amazon's Mechanical Turk has many other researchers and companies offering tasks, so we will offer compensation that is similar to what others offer."

He first refers to Amazon's Mechanical Turk as "an online labor market." And that, I agree with. It is an online labor market.

**Requesters like him**, and Dolores Labs, **collude**, explicitly or implicitly, **to keep wages** at a sub compatible with existence standard.

Unlike Jewels, I don't blame workers for taking low paying jobs. I can't blame a person for being needy enough to take what amounts to a crust of bread. I blame Chris Callison-Burch, and others like him, for keeping the standard wage at crust of bread level.

I feel like Maria in "Metropolis."

I tried one of those to see, I gave it up at 4 minutes in and about 2/3 of the way through. For the whole hit, I'd have taken about 6 minutes. 10 hits an hour - \$1.70 an hour. Restricted to U.S. residents.

**This is far too low to be considered a fair wage for a U.S. resident.** My performance may be very far off from what others can do. Perhaps I took 4 times or more as long as an average worker would.

My complaint is that any U.S. requester knows what wage rate is required for a U.S. resident to survive. We may not agree on an exact number. But as they say, I know a fair wage when I see it, and this is not it.

Mturk is actually much smaller than what it can appear to be. Something close to requester monopoly has the power to keep wages low. Requester co-operation, explicit or implicit, reinforces this.

Chris Callison-Burch is not unaware, I think, of the mechanics of the wage structure of Mturk.

# WORKERS OF THE WORLD

### crowd-workers.com

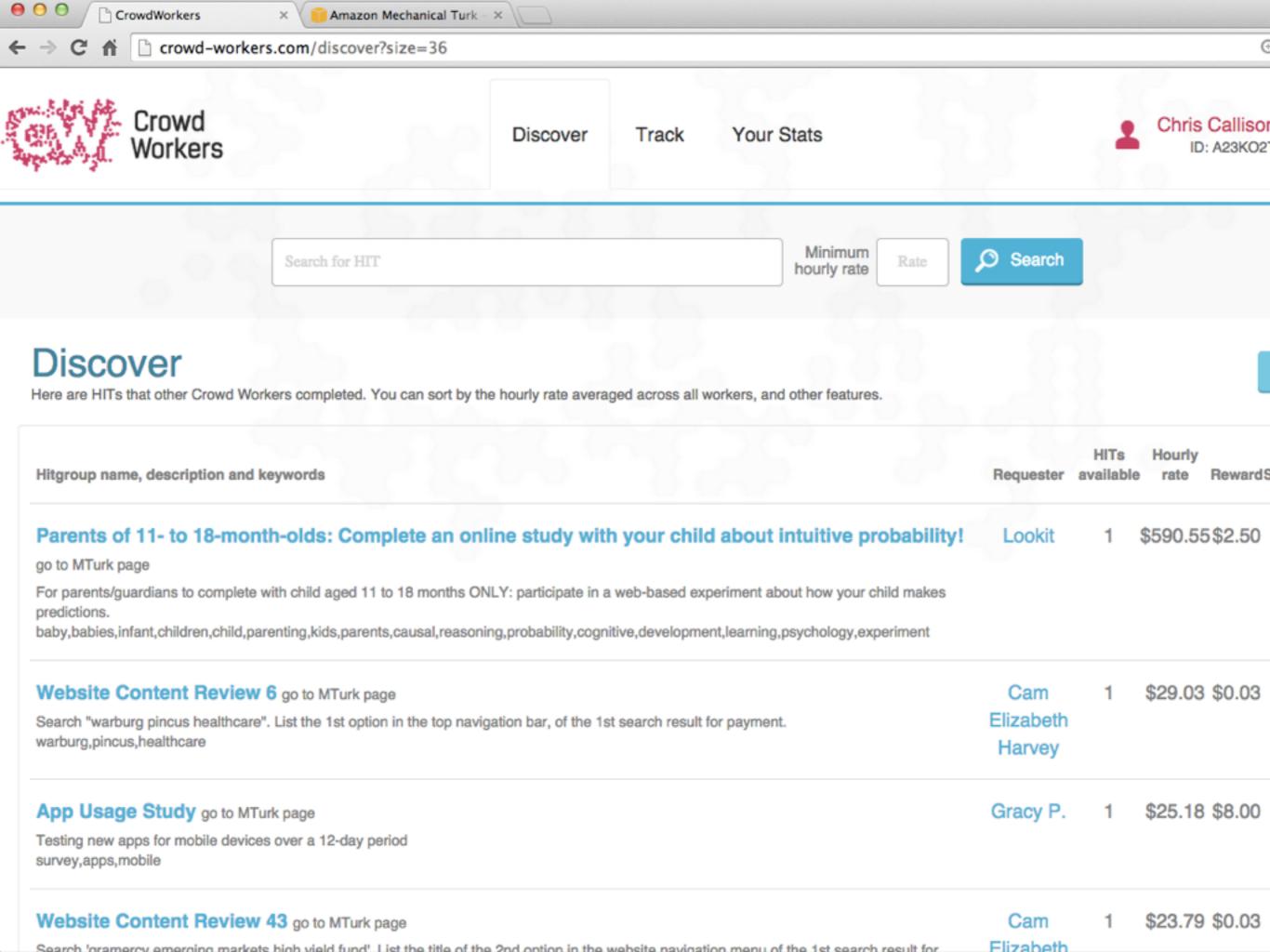
- I am developing a browser plug-in that will improve the MTurk UX for Workers
- The idea is to track and aggregate statistics across many workers, so that they have better information
- Academically, I am interested in these questions
  - a) How much time does the average Turker spend working?
  - b) What is their hourly rate?
  - c) How reputable are requesters (what fraction of the HITs do they approve v. reject)?
  - d) How much time to workers spend searching v working?

### qualitative v quantitative

TurkOpticon's qualitative attributes	CrowdWorker's quantitative equivalents	
promptness: How promptly has this requester approved your work and paid?	Expected time to payment: On average, how much time elapses between submitting work to this Requester and receiving payment?	
<b>generosity:</b> How well has this requester paid for the amount of time their HITs take?	Average hourly rate: What is the average hourly rate that other Turker make when they do this requester's HITs?	
fairness: How fair has this requester been in approving or rejecting your work?	Approval/rejection rates: What percent of assignments does this Requester approve? What percent of first-time Workers get any work rejected?	
communicativity: How responsive has	<b>Reasons for rejection:</b> Archive of all of the	

this requester been to communications or concerns you have raised?

Reasons for rejection: Archive of all of the reasons for Workers being rejected or blocked by this Requester.



### Human Subjects Research

## Institutional Review Board

 All research involving human subjects that is funded or regulated by the US federal government must be approved by an IRB

### Research that is exempt

- Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior
- Research involving the collection or study of freely available de-identified existing data, documents, records, pathological specimens, or diagnostic specimens

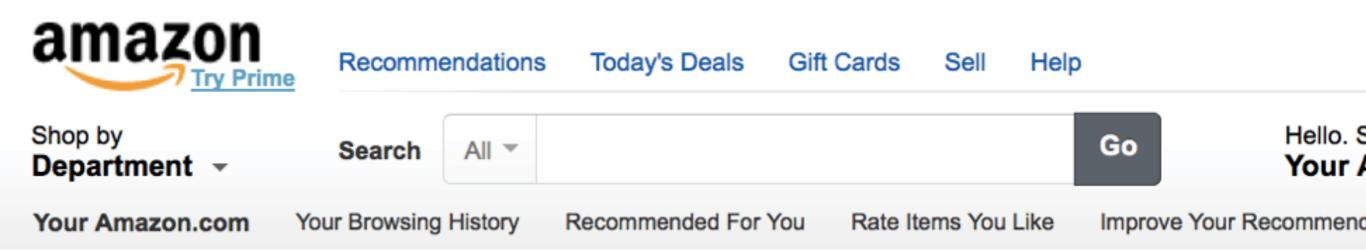
### Additional tests

- 1. Does the research involve children?
- 2. Identifiability & Risks
  - Will the human participants be identifiable, directly or through identifiers linked to the participants?
  - Could any disclosure of the participants' responses place the participants at risk of criminal or civil liability or be damaging to the participants' financial standing, employability, or reputation?

## Is Mechanical Turk anonymous?

- Mechanical Turk already anonymizes subjects by exposing only their Worker IDs
- I know that A23KO2TP7I4KK2 completed by task, but I don't know any other info (name, gender, location)
- Great! Right?

#### https://www.amazon.com/gp/pdp/profile/A23KO2TP7I4KK2



#### Chris Callison-Burch

REAL NAME™



#### Reviews

Top Reviewer Ranking: 9,737,978 (Learn More) - Total Helpful Votes: 1 of



Pinzon Signature Diamond Matelasse Shower Curtain, White by Pinzon by Amazon.com

1 of 2 people found the following review helps



🖈 ກໍາວິດີດີ HEAVY shower curtain, J

This shower curtain is very heavy. It p the wall, and it seemed like it would bl from my shower if I had managed to h return it and just stick with a shower li

RSS feed · View Top Reviewers

Helpful votes received on reviews: 50% (1

## Application for exemption

- I have examples of applications for exemption from Johns Hopkins University and the University of Pennsylvania that I can share
- NSF is looking to be sure that anyone who includes MTurk in their applications will seek IRB approval