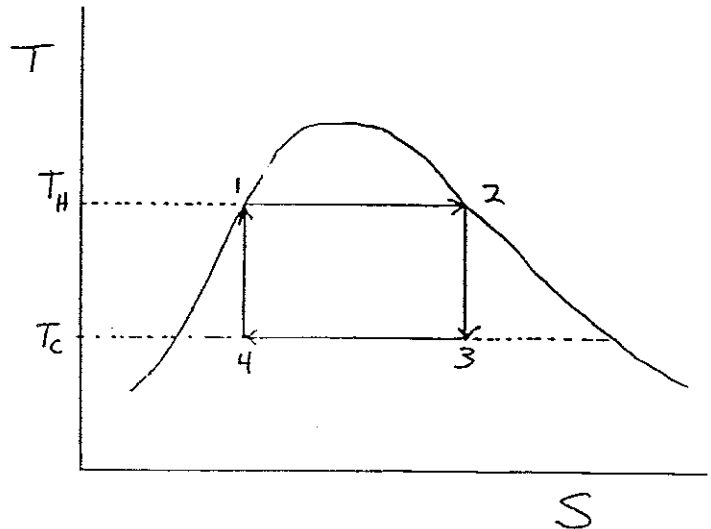
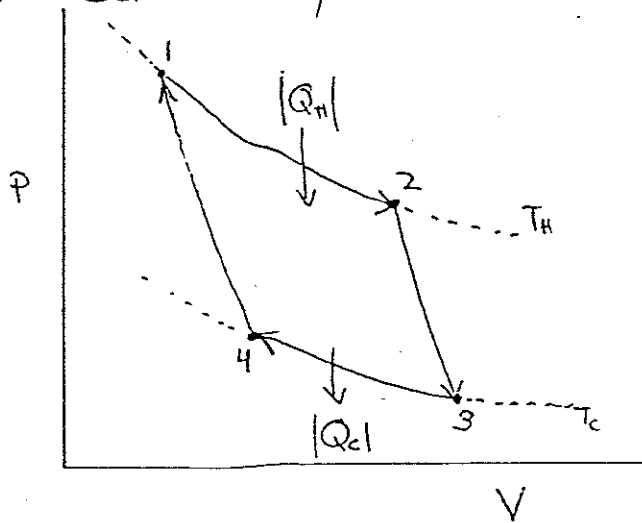


⑦ Carnot cycle



↑
Carnot power cycle

[If operated in reverse, Carnot refrigeration or heat pump cycle]

4 Reversible Steps

- 1) 1→2 Isothermal expansion (with absorption of heat $|Q_H|$)
- 2) 2→3 Adiabatic expansion (temp. drops from T_H to T_C)
- 3) 3→4 Isothermal compression (with rejection of heat $|Q_C|$)
- 4) 4→1 Adiabatic compression (temp. rises from T_C to T_H)

The net amount of work W produced is

$$W = |Q_H| - |Q_C|$$

The thermal efficiency of the power cycle, η , is defined as

$$\eta \equiv \frac{\text{net work output}}{\text{heat input}} = \frac{W}{|Q_H|} = \frac{|Q_H| - |Q_C|}{|Q_H|} = 1 - \frac{|Q_C|}{|Q_H|} = \left[1 - \frac{T_C}{T_H} \right] = \eta$$

Carnot cycle

Eqns governing each step

Isothermal steps (1→2 and 3→4)

$$dU = dQ - dW$$

At constant T $dU = 0$

$$\therefore Q = W = \int P dV$$

Assuming ideal gas $P = \frac{RT}{V}$

$$\underline{Q = W = \int RT \frac{dV}{V} = RT \ln \frac{V_2}{V_1}}$$

$$\boxed{Q = W = RT \ln \frac{V_2}{V_1} = RT \ln \frac{P_1}{P_2}}$$

since $\frac{P_1}{P_2} = \frac{V_2}{V_1}$ for an isothermal processAdiabatic steps (2→3 and 4→1)

$$dQ = 0$$

$$dU = dQ - dW \Rightarrow dU = -dW$$

$$dW = -C_v dT$$

$$\boxed{W = -C_v \Delta T}$$

$$\underline{dU = C_v dT}$$

$$\underline{dW = P dV}$$

 V is not constant but ΔP is much greater than ΔV for these two steps

$$C_v dT = -P dV \quad (\text{subst. } PV = RT \text{ and rearrange})$$

$$\frac{dT}{T} = -\frac{R}{C_v} \frac{dV}{V}$$

$$\text{Recall } \gamma = \frac{C_p}{C_v} \text{ and } C_p = C_v + R$$

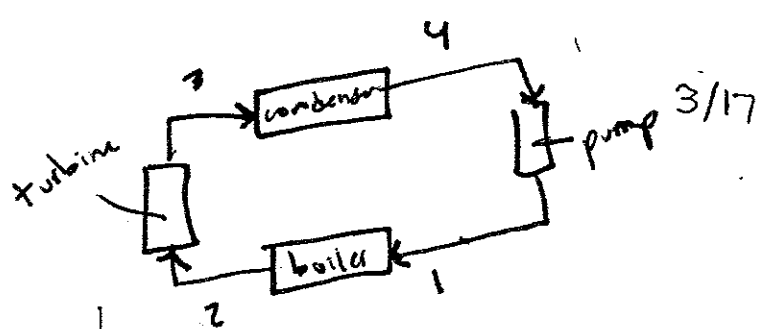
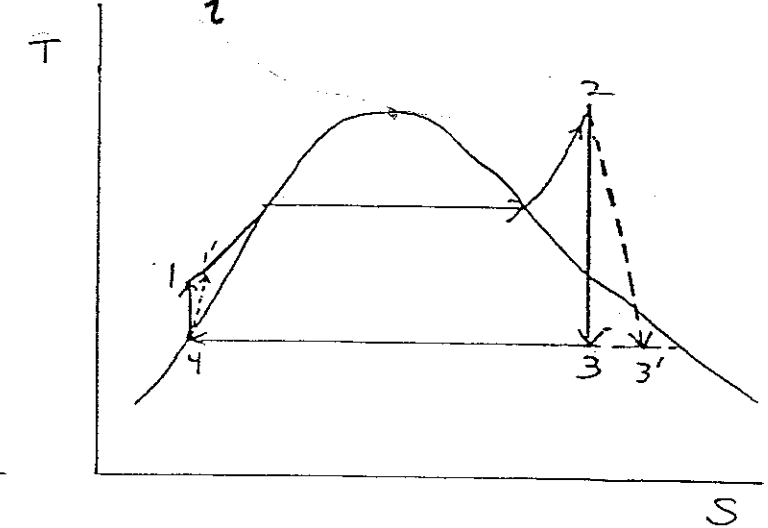
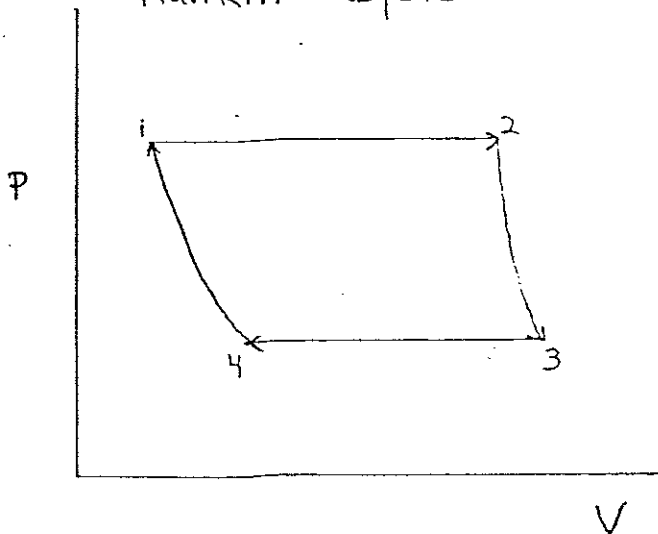
$$\frac{dT}{T} = -(\gamma - 1) \frac{dV}{V}$$

$$\ln\left(\frac{T_2}{T_1}\right) = \ln\left(\frac{V_1}{V_2}\right)^{\gamma-1}$$

$$\frac{R}{C_v} = \gamma - 1$$

$$\frac{T_2}{T_1} = \left(\frac{V_1}{V_2}\right)^{\gamma-1} \quad \left(\text{Recall } \frac{P_1 V_1}{T_1} = \frac{P_2 V_2}{T_2}\right) \Rightarrow \boxed{P_1 V_1^\gamma = P_2 V_2^\gamma}$$

Rankine cycle



4 Steps

- 1) $1 \rightarrow 2$ constant pressure heating in a boiler
(heating of liquid to T_{sat} , vaporization at const. T, P , superheating of the vapor such that T_{final} is much greater than T_{sat})
- 2) $2 \rightarrow 3$ reversible, adiabatic (isentropic) expansion
(crosses saturation curve \therefore wet vapor)
- 3) $3 \rightarrow 4$ constant pressure, const. T condensation (yields saturated liquid)
- 4) $4 \rightarrow 1$ reversible, adiabatic (isentropic) compression to boiler pressure

$$p \left(\frac{s}{v} \right)^{\frac{1}{\gamma}}$$

The Rankine cycle is basically the Carnot cycle with two modifications:

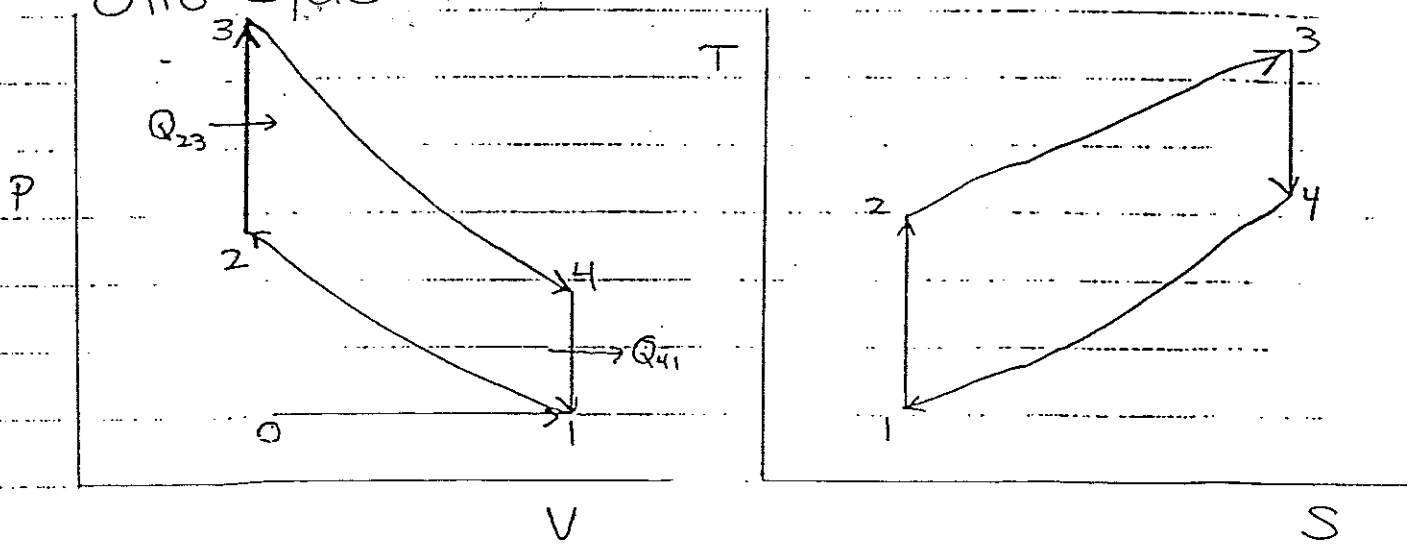
- ① The heating step ($1 \rightarrow 2$) is carried well beyond vaporization producing a super-heated vapor
- ② The cooling step ($3 \rightarrow 4$) results in complete condensation producing saturated liquid

For real applications, steps 2) and 4) are not reversible

This shifts lines $2 \rightarrow 3$ and $4 \rightarrow 1$ to $2 \rightarrow 3'$ and $4 \rightarrow 1'$

(i.e. the paths tend toward increasing entropy)

Otto cycle



The air standard Otto cycle is an ideal cycle for an internal combustion engine

5 Steps

- 1) $0 \rightarrow 1$ volume expansion, drawing fuel/air mixture into a cylinder
- 2) $1 \rightarrow 2$ adiabatic (isentropic) compression
- 3) $2 \rightarrow 3$ constant volume addition of heat Q_{23} .. (raising T, P)
- 4) $3 \rightarrow 4$ adiabatic (isentropic) expansion (power stroke)
- 5) $4 \rightarrow 1$ constant volume rejection of heat Q_{41}

Thermal efficiency $\eta \equiv \frac{\text{net work}}{\text{heat input}} = \frac{W_s(\text{net})}{Q_{23}} = \frac{Q_{23} + Q_{41}}{Q_{23}}$

For constant volume steps $Q = C_v \Delta T$

$$\eta = 1 + \frac{Q_{41}}{Q_{23}} = 1 + \frac{C_v (T_1 - T_4)}{C_v (T_3 - T_2)} = \boxed{1 - \frac{(T_4 - T_1)}{(T_3 - T_2)} = \eta}$$

Define compression ratio (r) $r = \frac{V_1}{V_2}$

Otto cycle

Use $T = \frac{PV}{R}$ $T_1 = \frac{P_1 V_1}{R}$; $T_2 = \frac{P_2 V_2}{R}$; $T_3 = \frac{P_3 V_3}{R} = \frac{P_3 V_2}{R}$; $T_4 = \frac{P_4 V_4}{R} = \frac{P_4 V_1}{R}$

Then $\eta = 1 - \frac{V_1 (P_4 - P_1)}{V_2 (P_3 - P_2)} = 1 - r \frac{(P_4 - P_1)}{(P_3 - P_2)}$

For adiabatic compression and expansion $PV^\gamma = \text{const.}$

$$P_3 V_2^\gamma = P_4 V_1^\gamma \quad \text{and} \quad P_1 V_1^\gamma = P_2 V_2^\gamma$$

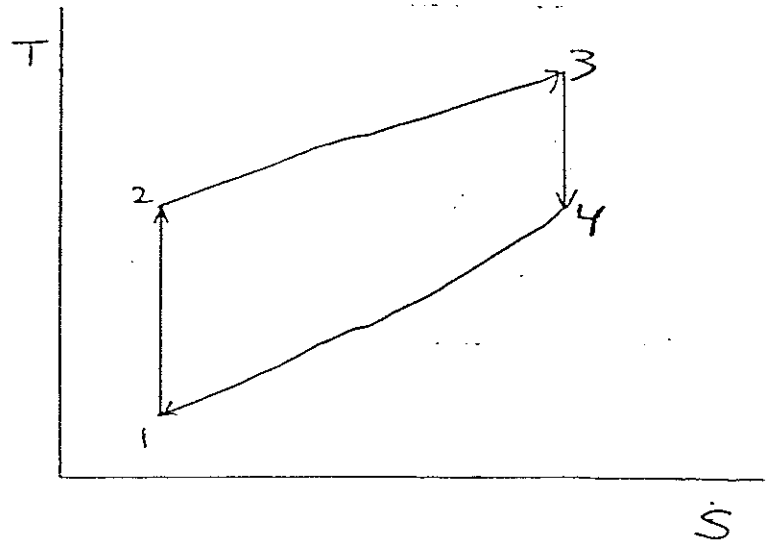
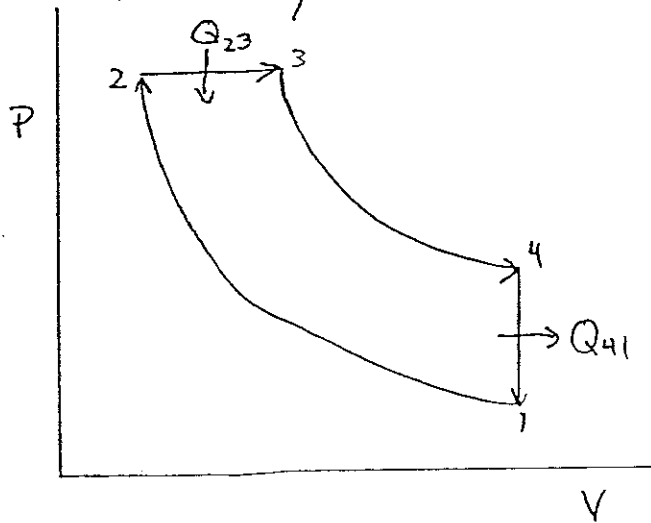
$\uparrow \quad \uparrow$
 (Since $V_3 = V_2$ and $V_4 = V_1$)

$$\longrightarrow \frac{P_1}{P_2} = \left(\frac{V_2}{V_1} \right)^\gamma = \left(\frac{1}{r} \right)^\gamma$$

Combining the two expressions eliminates V 's $\Rightarrow \frac{P_2}{P_1} = \frac{P_3}{P_4} \Rightarrow \frac{P_4}{P_1} = \frac{P_3}{P_2}$

$$\eta = 1 - r \cdot \frac{P_1 \cdot \left(\frac{P_4}{P_1} \right)^{\frac{1}{\gamma}}}{\left(\frac{P_3}{P_2} - 1 \right)} = 1 - r \cdot \frac{P_1}{P_2} = 1 - r \left(\frac{1}{r} \right)^\gamma = \boxed{1 - \left(\frac{1}{r} \right)^{\gamma-1} = \eta}$$

Diesel Cycle

4 Steps

- | | | |
|----------|---------------------------------------------|----------------|
| 1) 1 → 2 | adiabatic (isentropic) compression | } power stroke |
| 2) 2 → 3 | constant pressure addition of heat Q_{23} | |
| 3) 3 → 4 | adiabatic (isentropic) expansion | |
| 4) 4 → 1 | constant volume rejection of heat Q_{41} | |

Governing eqns

$$1) \quad \frac{T_2}{T_1} = \left(\frac{V_1}{V_2}\right)^{\gamma-1} \quad \text{and} \quad P_1 V_1^{\gamma} = P_2 V_2^{\gamma}$$

$$2) \quad Q_{23} = C_p (T_3 - T_2) \quad \text{and} \quad W_{23} = \int_2^3 P dV = P(V_3 - V_2)$$

$$3) \quad \frac{T_4}{T_3} = \left(\frac{V_3}{V_4}\right)^{\gamma-1} \quad \text{and} \quad P_3 V_3^{\gamma} = P_4 V_4^{\gamma}$$

$$4) \quad Q_{41} = C_v (T_1 - T_4)$$

Diesel Cycle

Thermal efficiency $\eta = \frac{W_s}{Q_{23}} = 1 + \frac{Q_{41}}{Q_{23}} = 1 - \frac{C_v (T_4 - T_1)}{C_p (T_3 - T_2)}$

Define compression ratio $r = \frac{V_1}{V_2}$

expansion ratio $r_e = \frac{V_4}{V_3}$ cutoff ratio $r_c = \frac{V_3}{V_2}$

From governing eqns

$$T_4 = T_3 \left(\frac{V_3}{V_4} \right)^{\gamma-1} = T_3 \left(\frac{1}{r_e} \right)^{\gamma-1}$$

$$T_1 = T_2 \left(\frac{V_2}{V_1} \right)^{\gamma-1} = T_2 \left(\frac{1}{r} \right)^{\gamma-1}$$

$$\eta = 1 - \frac{1}{\gamma} \left[T_3 \left(\frac{1}{r_e} \right)^{\gamma-1} - T_2 \left(\frac{1}{r} \right)^{\gamma-1} \right] / (T_3 - T_2) = 1 - \frac{1}{\gamma} \left[\left(\frac{1}{r_e} \right)^{\gamma-1} - \frac{T_2}{T_3} \left(\frac{1}{r} \right)^{\gamma-1} \right] / \left(1 - \frac{T_2}{T_3} \right)$$

$P_2 V_2 = R T_2$ and $P_3 V_3 = R T_3$; $P_2 = P_3$

$V_1 = V_4$
↓

$$\frac{P_2}{R} = \frac{T_2}{V_2} \quad \frac{P_3}{R} = \frac{T_3}{V_3} \Rightarrow \frac{T_2}{V_2} = \frac{T_3}{V_3} \Rightarrow \frac{T_2}{T_3} = \frac{V_2}{V_3} = \frac{V_2/V_1}{V_3/V_4} = \frac{r_e}{r} = \frac{T_2}{T_3}$$

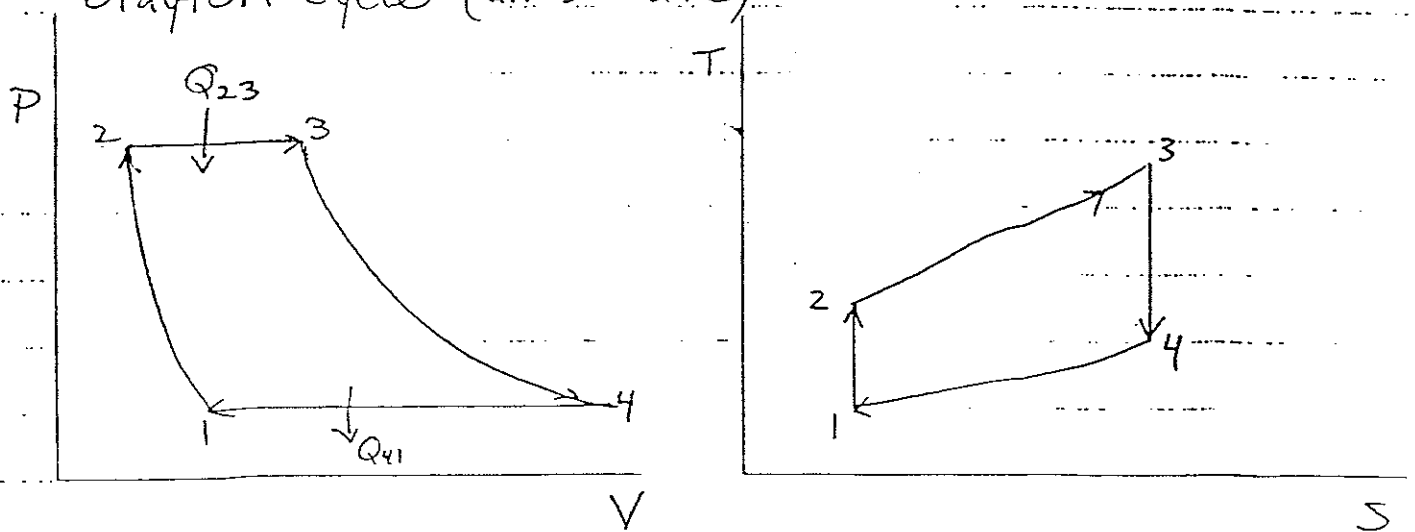
$$\eta = 1 - \frac{1}{\gamma} \left[\left(\frac{1}{r_e} \right)^{\gamma-1} - \frac{r_e}{r} \left(\frac{1}{r} \right)^{\gamma-1} \right] / \left(1 - \frac{r_e}{r} \right)$$

$$\boxed{\eta = 1 - \frac{1}{\gamma} \left[\frac{\left(\frac{1}{r_e} \right)^{\gamma} - \left(\frac{1}{r} \right)^{\gamma}}{\frac{1}{r_e} - \frac{1}{r}} \right]}$$

η can also be derived in terms of r and r_c (the cutoff ratio)

$$\Rightarrow \eta = 1 - \frac{1}{r^{\gamma-1}} \left[\frac{r_c^{\gamma} - 1}{\gamma (r_c - 1)} \right]$$

Brayton cycle (air standard)

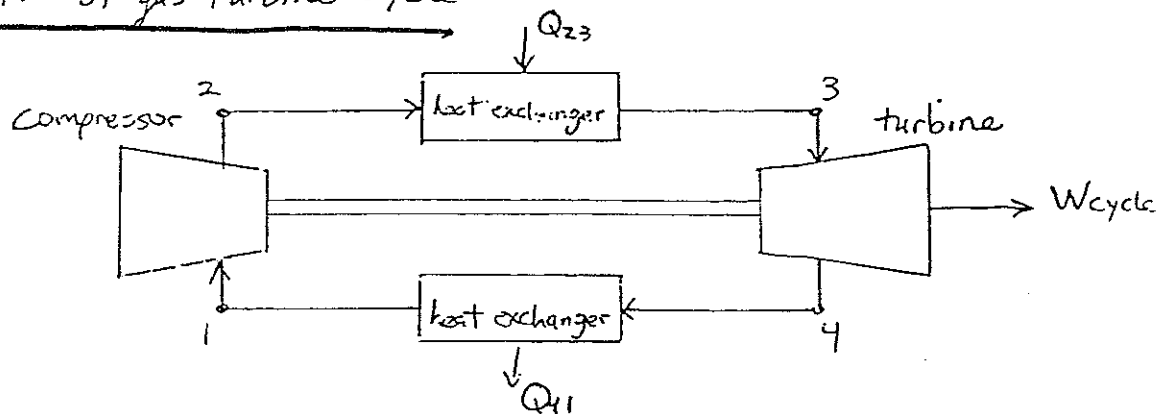


The air standard Brayton cycle is a model cycle for a gas turbine power plant

4 Steps

- 1) $1 \rightarrow 2$ adiabatic (isentropic) compression
- 2) $2 \rightarrow 3$ constant pressure addition of heat Q_{23}
- 3) $3 \rightarrow 4$ adiabatic (isentropic) expansion
- 4) $4 \rightarrow 1$ constant pressure rejection of heat Q_{41}

Schematic of gas turbine cycle



Brayton cycle

Thermal efficiency (on a cold air-standard basis)

 C_p, C_v are taken as constant

Governing eqns

$$1) \frac{T_2}{T_1} = \left(\frac{P_2}{P_1}\right)^{\frac{\gamma-1}{\gamma}} \quad \text{and} \quad -W_{12} = H_2 - H_1 = C_p(T_2 - T_1)$$

$$2) Q_{23} = C_p(T_3 - T_2)$$

$$3) \frac{T_4}{T_3} = \left(\frac{P_4}{P_3}\right)^{\frac{\gamma-1}{\gamma}} = \left(\frac{P_1}{P_2}\right)^{\frac{\gamma-1}{\gamma}} \quad \text{since } P_4 = P_1 \text{ and } P_3 = P_2 \quad -W_{34} = C_p(T_4 - T_3)$$

$$4) Q_{41} = C_p(T_1 - T_4)$$

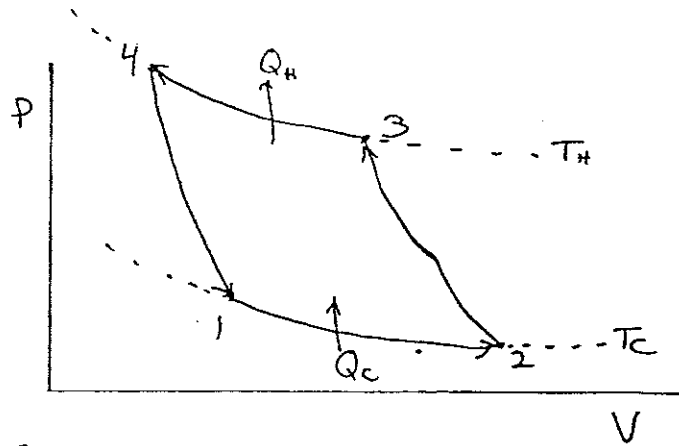
$$\eta \equiv \frac{W_{\text{net}}}{Q_{23}} = \frac{W_{12} + W_{34}}{Q_{23}} = 1 + \frac{C_p(T_1 - T_4)}{C_p(T_3 - T_2)} = 1 - \frac{(T_4 - T_1)}{(T_3 - T_2)} = 1 - \frac{T_1}{T_2} \left(\frac{\frac{T_4}{T_1} - 1}{\frac{T_3}{T_2} - 1} \right)$$

Combining governing eqns 1) and 3) yields $\frac{T_4}{T_1} = \frac{T_3}{T_2}$

$$\therefore \eta = 1 - \frac{T_1}{T_2} = 1 - \frac{1}{\left(\frac{P_2}{P_1}\right)^{\frac{\gamma-1}{\gamma}}}$$

Refrigeration

The Carnot Refrigerator
(the reverse of the
Carnot power cycle)



2 \rightarrow 3 and 4 \rightarrow 1 adiabatic steps

Net work $|W|$ required to run system

$\Delta U = 0$ for the cycle

$$\therefore |W| = |Q_H| - |Q_C|$$

Coefficient of performance $w \equiv \frac{\text{heat absorbed at lower temp}}{\text{net work}}$

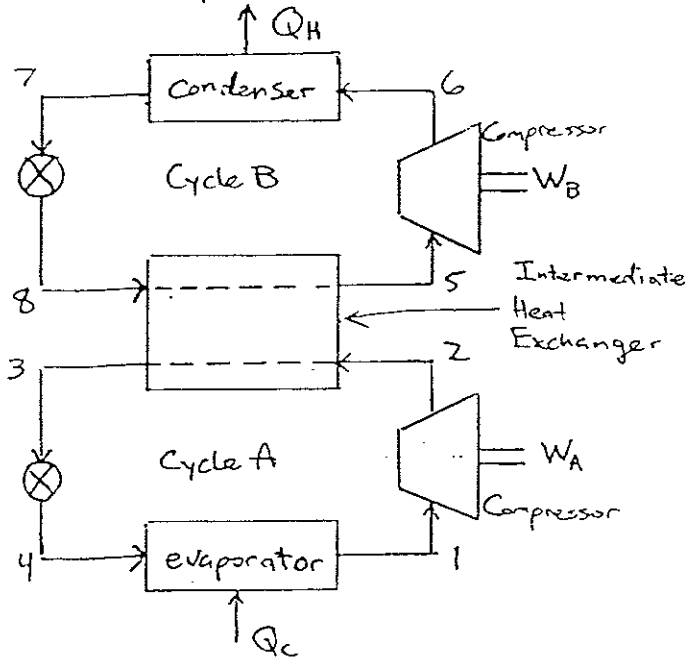
$$= \frac{|Q_C|}{|W|}$$

$$\frac{|W|}{|Q_C|} = \frac{|Q_H|}{|Q_C|} - 1 = \frac{T_H}{T_C} - 1 = \frac{T_H - T_C}{T_C} = \frac{1}{w}$$

\uparrow
since $\frac{|Q_H|}{|Q_C|} = \frac{T_H}{T_C}$ for the Carnot cycle

$$\therefore w = \frac{T_C}{T_H - T_C}$$

Cascade cycles



$$w \equiv \frac{|Q_c|}{|W_A| + |W_B|}$$

Advantage of cascade cycles:
 Stages can be chosen over temp. ranges that yield reasonable pressures in evaporators and condensers

Multistage cycle

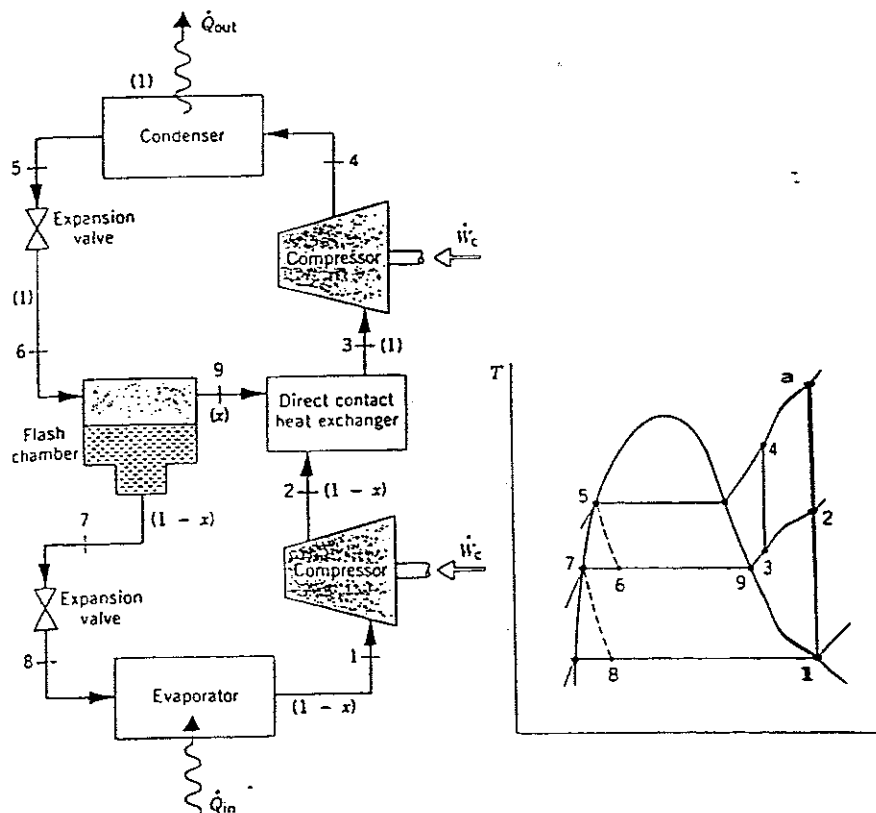
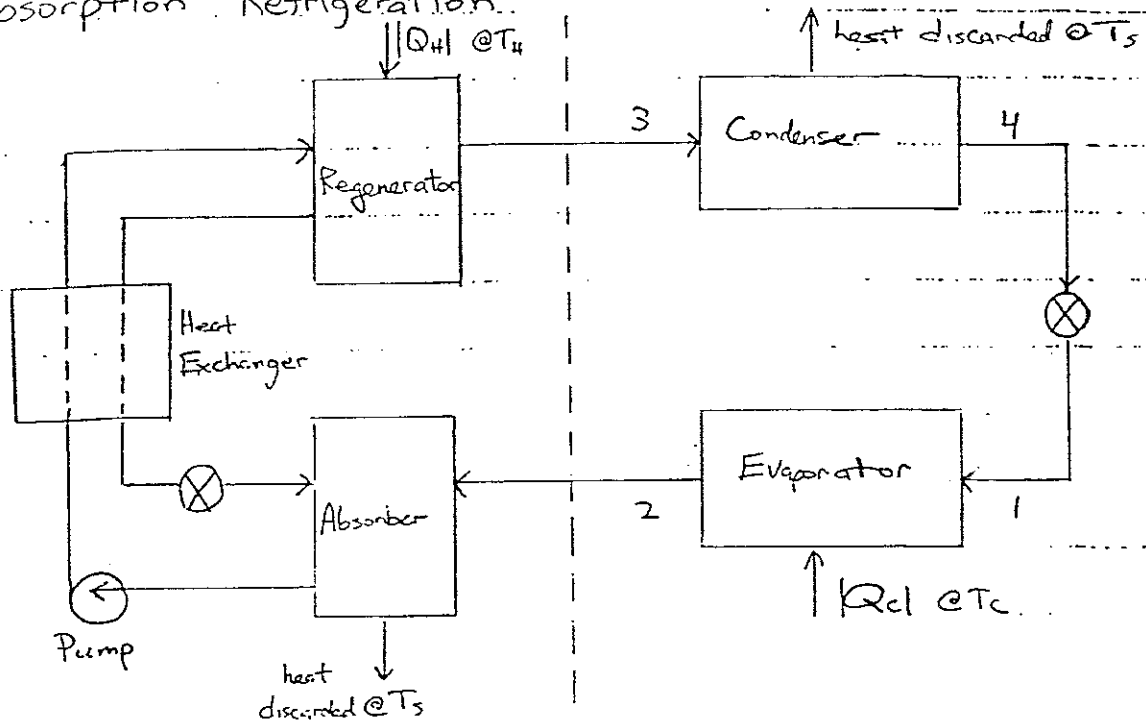


FIGURE 10.7 Refrigeration cycle with two stages of compression and flash intercooling.

Absorption Refrigeration..



Absorption refrigeration uses heat directly as the energy source for refrigeration

The right half of the above schematic is identical to a vapor-compression refrigeration unit. The left shows the compression section which is essentially a heat engine.

Operation of compression section

Refrigerant vapor is absorbed in a relatively non volatile solvent at evaporator pressure and low T .

Heat evolved is discarded to the surroundings ($@T_s$)

A pump raises the pressure of the liquid to that of the condenser.

Heat from a source $@T_h$ raises the liquid's temp and causes refrigerant to evaporate (low pressure steam is a common heat source).

Refrigerant flows to the condenser and the solvent flow to the absorber.

Absorption Refrigeration

Common refrigerant - absorbent

water ... lithium bromide soln. ($T_c > \text{freezing pt. of } H_2O$)
 ammonia water (lower T applications)

Work required to drive refrigerator

$$|W| = \frac{T_s - T_c}{T_c} |Q_c| \quad (\text{see Carnot refrigerator derivation of } w)$$

Heat $|Q_H|$ required to produce work $|W|$

$$\eta = \frac{|W|}{|Q_H|} = 1 - \frac{T_s}{T_H} \quad (\text{efficiency of a Carnot heat engine})$$

$$\therefore |Q_H| = |W| \frac{T_H}{T_H - T_s}$$

Subst. expression for $|W|$

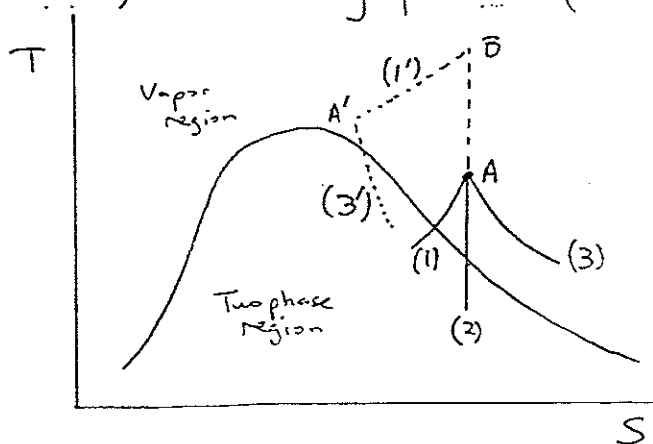
$$\boxed{\frac{|Q_H|}{|Q_c|} = \frac{T_H}{T_H - T_s} \cdot \frac{T_s - T_c}{T_c}}^*$$

* Minimum value based on assumption of a Carnot refrigerator being driven by a Carnot heat engine. Carnot cycles are unrealizable in real applications

Liquefaction of gases

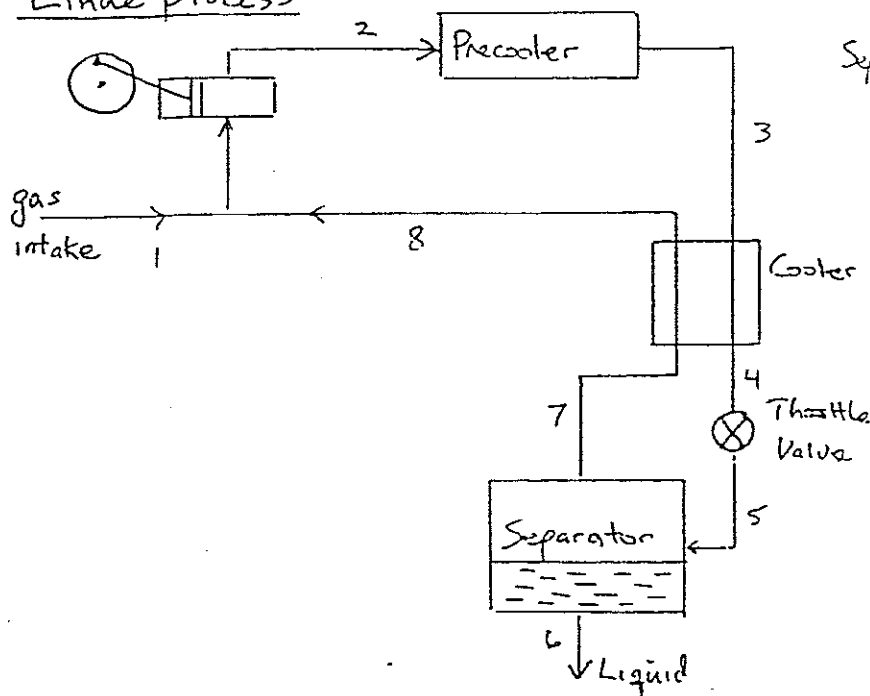
Common liquefaction methods:

- 1) Heat exchange at const. P
- 2) Expansion in a turbine from which work is obtained (adiabatic, isentropic)
- 3) Throttling process (Joule-Thompson liquefaction) (isenthalpic)



From pt. A, gas can be liquefied by either process (1) or (2). Process (3) will not liquefy the gas from point A. To use process (3), gas must be compressed to pt. B, cooled at const. P to pt. A', and then throttle until the two phase region is reached.

Linde process



S.S. Energy Balance Around Cooler, Separator, and Throttle Valve

$$H_6 z + H_8 (1-z) = H_3$$

$z \equiv$ fraction of gas liquefied

Energy Balance for Claude Process

$$H_6 z + H_8 (1-z) + W_s = H_3$$

$$W_s = (H_5 - H_4)$$

Claude process - replace throttle valve with an expansion engine operating adiabatically

Polytropic Process

- a process for which mechanical reversibility is the only imposed condition (ie. no special conditions such as isothermal or adiabatic operation are specified)

Governing eqns. (ideal gas, nonflow process)

$$dU = dQ - dW$$

$$\Delta U = Q - W$$

First law

$$dW = P dV$$

$$W = \int P dV$$

$$dU = C_v dT$$

$$\Delta U = \int C_v dT$$

$$dH = C_p dT$$

$$\Delta H = \int C_p dT$$

$$dQ = C_v dT + P dV$$

$$Q = \int C_v dT + \int P dV$$

Irreversible process

- 1) Find work W for a reversible process that accomplishes the same change of state
- 2a) If the process produces work, multiply by an efficiency
- 2b) If the process requires work, divide by an efficiency