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# 1 CHAIN COMPLEXES

### 1.1 CHAIN COMPLEXES

Want a more intuitive view of left/right exact functors, maybe in terms of lifts/ extensions.

**Definition 1.** A **chain complex** C is a sequence of R-morphisms

$$\cdots \xrightarrow{d_{i+2}} C_{i+1} \xrightarrow{d_{i+1}} C_i \xrightarrow{d_i} C_{i-1} \xrightarrow{d_{i-1}} \cdots$$

such that  $d^2 = 0$  for all i. Cochain complexes are the same, except the boundary maps take you up a level instead of down.

$$\cdots \xrightarrow{d_{i-1}} C^{i-1} \xrightarrow{d_i} C^i \xrightarrow{d_{i+1}} C^{i+1} \xrightarrow{d_{i+2}} \cdots$$

The map  $d_i$  is the **boundary operator**, as it is a generalization of the geometric concept of a boundary (note  $d^2 = 0$ ). Thus an element of Im d is a **boundary**. Since usual geometric cycles have no boundary, we call the elements of Ker d cycles.

**Example 1.** Chain complexes generalize the concept of boundaries to objects that don't necessarily have clear cyclic geometric properties. Let  $\Omega_n(M)$  denote the space of differential n-forms on a manifold M, then we have a cochain complex

$$\Omega_0(M) \stackrel{d}{\longrightarrow} \Omega_1(M) \stackrel{d}{\longrightarrow} \Omega_2(M) \stackrel{d}{\longrightarrow} \cdots$$

where d is the exterior derivative. From this we see that the cycles of  $\Omega_0(M)$  (the space of differentiable functions on M) are the constant functions.

A morphism of complexes/chain morphism  $f: \mathcal{C} \to \mathcal{D}$  is a sequence of morphisms  $f_i: C_i \to D_i$  respecting the boundary map, i.e. making the following diagram commute.

$$C_{i} \xrightarrow{d_{C}} C_{i-1}$$

$$f_{i} \downarrow \qquad \qquad \downarrow f_{i-1}$$

$$D_{i} \xrightarrow{d_{D}} D_{i-1}$$

#### 1.2 **CHAIN HOMOTOPIES**

**Definition 2.** Given two chain complexes  $\mathcal{A}, \mathcal{B}$ , two chain morphisms  $f, g : \mathcal{A} \to \mathcal{B}$  are (**chain**) **homotopic**, written  $f \simeq g$ , if there are morphisms  $s_i : A_i \to B_{i-1}$  such that

$$d's + sd = f - g.$$

If  $\mathcal{A}, \mathcal{B}$  are cochain complexes instead, then  $s_i : A_i \to B_{i+1}$ .

#### Motivation for this?

**Definition 3.** A chain morphism  $f: A \to B$  is a **homotopy equivalence** if there's another chain morphism  $g: \mathcal{B} \to \mathcal{A}$  such that  $fg \simeq 1_B$  and  $gf \simeq 1_A$ .



**Proposition 1.** Additive functors preserve homotopy equivalence.

*Proof.* Let  $f \simeq g$ . If  $\mathcal{F}$  is additive and covariant, then  $d's + sd = f - g \implies \mathcal{F}(d')\mathcal{F}(s) + g$  $\mathcal{F}(s)\mathcal{F}(d) = \mathcal{F}f - \mathcal{F}g$ . Thus  $\mathcal{F}f \simeq \mathcal{F}g$ . If  $\mathcal{G}$  is additive and contravariant, then  $\mathcal{G}(d)\mathcal{G}(s) = \mathcal{F}g$  $\mathcal{G}(s)\mathcal{G}(d') = \mathcal{G}f - \mathcal{G}g$ . Since all the arrows are reversed, the LHS is the right form, so  $\mathcal{G}f \simeq \mathcal{G}g$ .  $\square$ 

### **HOMOLOGY**

Note 1. Big idea: given some module, we always have a way of getting a chain complex (take a resolution). Chain complexes by themselves aren't nice, though: they might be unwieldy or unnatural, similar objects might have dissimilar complexes, etc. Passing to homology makes these problems go away, though, giving us access to nice algebraic invariants.

**Definition 4.** The *n*-th **homology group**  $H_n(\mathcal{C})$  of a chain complex  $\mathcal{C}$  is the kernel of the map going out of  $C_n$  quotiented by the image of the map coming into  $C_n$ . Cochain complexes have **cohomology groups**  $H^n(\mathcal{C})$  instead.

**Proposition 2.** A chain/cochain complex is exact ⇐⇒ all its homology/cohomology groups are trivial.

Thus the (co)homology groups of a (co)chain complex measure how much it fails to be exact.

**Proposition 3.** A morphism of complexes  $f: A \to B$  induces group morphisms between the complexes' homology/cohomology groups given by  $[a] \mapsto [f_n(a)]$ .

$$A^{n-1} \longrightarrow A^n \longrightarrow A^{n+1} \qquad H^n(\mathcal{A})$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$B^{n-1} \longrightarrow B^n \longrightarrow B^{n+1} \qquad H^n(\mathcal{B})$$

*Proof.* This follows from the morphism of complexes respecting the boundary map and thus mapping the kernels and images of the first complex to the kernels and images of the second. 

**Proposition 4.** If  $f_*$  is the induced (co)homology map of f, then  $(gf)_* = g_*f_*$ .

**Definition 5.**  $0 \to \mathcal{A} \to \mathcal{B} \to \mathcal{C} \to 0$  is a **short exact sequence of complexes** if each  $0 \to A^n \to B^n \to C^n \to 0$  is short exact.

**Lemma 1** (Snake Lemma). If the following diagram has exact rows,

then there is an induced exact sequence

 $\operatorname{Ker} \alpha \to \operatorname{Ker} \beta \to \operatorname{Ker} \gamma \to \operatorname{Coker} \alpha \to \operatorname{Coker} \beta \to \operatorname{Coker} \gamma$ .

**Theorem 1** (Long Exact Sequence in Cohomology). If  $0 \to \mathcal{A} \to \mathcal{B} \to \mathcal{C} \to 0$  is a short exact sequence of complexes, then there is a long exact sequence of cohomologies

$$0 \to H^0(\mathcal{A}) \to H^0(\mathcal{B}) \to H^0(\mathcal{C})$$
  
$$\to H^1(\mathcal{A}) \to H^1(\mathcal{B}) \to H^1(\mathcal{C})$$
  
$$\to H^2(\mathcal{A}) \to \cdots$$

where the morphisms  $H^n(\mathcal{C}) \to H^{n+1}(\mathcal{A})$  are the **connecting morphisms**.

Proof. Intuition? Use snake lemma (have proof of this in spectral sequences paper).

**Corollary 1.** If  $0 \to A \to B \to C \to 0$  is exact and any 2 of the complexes are exact themselves, then so is the third.

*Proof.* The LES of cohomologies becomes all 0, except for each  $H^n(\mathcal{X})$ , where  $\mathcal{X}$  is the third complex. Now  $0 \to H^n(\mathcal{X}) \to 0$  exact  $\Longrightarrow H^n(\mathcal{X}) \cong 0$ , so  $\mathcal{X}$  is exact. 

**Definition 6.** A morphism of complexes is a **quasi-isomorphism** if the (co)homology maps it induces are all iso.

**Lemma 2.** If  $f \simeq g$ , then they induce the same (co)homology maps, i.e.  $f_* = g_*$ .

*Proof.* Suppressing subscripts, suppose f = d's + sd, then the induced map is

$$[a] \mapsto [f(a)] = [(d's)(a) + (sd)(a)] = [d'(s(a)) + s(0)] = [0].$$

Then if  $f \simeq g$ , we have [f(a)] = [(g + d's + sd)(a)] = [g(a)].

**Proposition 5.** A homotopy equivalence is a quasi-iso.

*Proof.* Suppose f and g are inverse chain homotopies, then by the lemma,  $f_*g_*=(fg)_*=(1_B)_*=1_{H(\mathcal{B})}$  and, similarly,  $g_*f_*=1_{H(\mathcal{A})}$ . Thus  $H^n(\mathcal{A})\cong H^n(\mathcal{B})$  for all n.

## 2 DERIVED FUNCTORS

## 2.1 RESOLUTIONS

**Definition 7.** Suppose A is an R-module, then a **projective resolution** over A is an exact sequence of projective R-modules

$$\cdots \longrightarrow P_n \xrightarrow{d_n} P_{n-1} \xrightarrow{d_{n-1}} \cdots \xrightarrow{d_1} P_0 \xrightarrow{\varepsilon} A \longrightarrow 0$$

and a **injective resolution** over A is an exact sequence of injective R-modules

$$0 \longrightarrow A \xrightarrow{\varepsilon} I_0 \xrightarrow{d_1} \cdots \xrightarrow{d_{n-1}} I_{n-1} \xrightarrow{d_n} I_n \longrightarrow \cdots$$

**Theorem 2** (Existence). Every *R*-module has a projective **and injective** resolution.

*Proof.* Let A be an R-module, and let  $P_0$  be free on A. Then there's a unique R-morphism  $\varepsilon: P_0 \to A$  extending  $1_A$ . It's clearly epic, so  $P_0 \stackrel{\varepsilon}{\to} A \to 0$  is exact. Now let  $P_1$  be free on  $\operatorname{Ker} \varepsilon$ , then there's a unique R-morphism  $d_1: P_1 \twoheadrightarrow \operatorname{Ker} \varepsilon$ , so  $P_1 \stackrel{d_1}{\to} P_0 \stackrel{\varepsilon}{\to} A$  is exact. Continue inductively, with  $P_{n+1}$  free on  $\operatorname{Ker} d_n$ . Since each  $P_i$  is free, each is projective.

**Proposition 6.** R-morphisms lift to morphisms of projective/injective resolutions that are unique up to chain homotopy.

$$\cdots \longrightarrow P_{1} \longrightarrow P_{0} \longrightarrow A \longrightarrow 0$$

$$\downarrow f_{1} \qquad \downarrow f_{0} \qquad \downarrow f$$

$$\cdots \longrightarrow P'_{1} \longrightarrow P'_{0} \longrightarrow A' \longrightarrow 0$$

$$0 \longrightarrow A \longrightarrow I_{0} \longrightarrow I_{1} \longrightarrow \cdots$$

$$\downarrow g \qquad \downarrow g_{0} \qquad \downarrow g_{1}$$

$$0 \longrightarrow A' \longrightarrow I'_{0} \longrightarrow I'_{1} \longrightarrow \cdots$$

*Proof.* Inductively use the fact that all the  $P_i, P'_i$  are projective and  $I_i, I'_i$  are injective to get each  $f_n$  and  $g_n$ . Chain homotopy bit.

**Corollary 2.** Any two projective/injective resolutions of the same module are homotopy equivalent.

*Proof.* Consider the following lifts to projective resolutions (the case of injective resolutions is similar).

$$\begin{array}{ccc}
\mathcal{P} & \longrightarrow A & \longrightarrow 0 \\
\downarrow^{f_{\bullet}} & & \downarrow^{1_{A}} \\
\mathcal{P}' & \longrightarrow A & \longrightarrow 0 \\
\downarrow^{g_{\bullet}} & & \downarrow^{1_{A}} \\
\mathcal{P} & \longrightarrow A & \longrightarrow 0
\end{array}$$

The identity map is a valid candidate for each  $g_n f_n$ , so  $g_n f_n \simeq 1$ . Now flip the diagram upside down and use the same  $f_n$  and  $g_n$  maps, yielding  $f_n g_n \simeq 1$ .

Corollary 3. Any two projective/injective resolutions have isomorphic (co)homology groups.

*Proof.* They're homotopy equivalent, so they're quasi-isomorphic by Proposition 5.

Maybe start this section more generally, with left and right resolutions...

#### 2.2 DERIVED FUNCTORS

**Note 2.** Big idea: a left exact covariant functor  $\mathcal{F}$  can turn a SES into a short left exact sequence, but there is only one canonical way to extend this to a LES, and that's with right derived functors. Left derived functors do the same for right exact functors.

Suppose we apply an additive functor  $\mathcal{F}$  to some projective/injective resolution of a module A. The (co)homology groups of the resulting complex are unique up to isomorphism:

- Let  $\mathcal{X}, \mathcal{Y}$  be projective/injective resolutions of A, then they're homotopy equivalent by Corollary 2.
- By Proposition 1, additive functors preserve homotopy equivalence, so  $\mathcal{FX}$  and  $\mathcal{FY}$  are also homotopy equivalent.
- By Proposition 5, homotopy equivalent complexes have isomorphic (co)homology groups.

Thus we can define the (co)homology groups of the sequence gotten by applying an additive functor  $\mathcal{F}$  to a projective/injective resolution of A using any resolution.

**Definition 8.** Let  $\mathcal{F}$  be an additive(????) functor and A an R-module, then choose a resolution of A from the following chart based on  $\mathcal{F}$ .

Left exact, covariant injective

Left exact, contravariant projective

Right exact, covariant projective

Right exact, contravariant injective

Apply  $\mathcal{F}$  to the resolution, remove the  $\mathcal{F}A$  term from it, then take (co)homologies. If  $\mathcal{F}$  is left exact, the cohomologies  $R^i\mathcal{F}$  are the **right derived functors** of  $\mathcal{F}$ . If  $\mathcal{F}$  is right exact, the homologies  $L_i\mathcal{F}$  are the **left derived functors** of  $\mathcal{F}$ .

I don't think the derived functors depend on A at all, they can just be applied to A, etc. to get new objects...

With left exact functors, we end up with induced sequences of the form

$$0 \to \mathcal{F}X_0 \to \mathcal{F}X_1 \to \mathcal{F}X_2 \to \cdots$$

thus why the derived functors are "right". Similarly, for right exact functors, we end up with induced sequences of the form

$$\mathcal{F}X_2 \to \mathcal{F}X_1 \to \mathcal{F}X_0 \to 0$$
,

thus why the derived functors are "left". As examples, see the next two propositions.

Can you get both sets of sequences at once if  $\mathcal{F}$  is exact?

They're actually functors.... b/c (co)homology is a functor.

More detail about why we choose inj or proj resolution.

**Proposition 7.** If  $\mathcal{F}$  is left exact, then  $R^0\mathcal{F} = \mathcal{F}$ .

*Proof.* Covariant: If  $0 \to A \stackrel{f}{\rightarrowtail} I_0 \stackrel{g}{\to} I_1$  is exact, then so is  $0 \to \mathcal{F}A \stackrel{\mathcal{F}f}{\rightarrowtail} \mathcal{F}I_0 \stackrel{\mathcal{F}g}{\to} \mathcal{F}I_1$ . Then  $R^0\mathcal{F}(A) = \operatorname{Ker}(\mathcal{F}g) = \operatorname{Im}(\mathcal{F}f) \cong \mathcal{F}(A)$  (by the 1st iso theorem since  $\mathcal{F}f$  monic).

**Contravariant:** Use a projective resolution instead. The process is the same.

**Proposition 8.** If  $\mathcal{F}$  is right exact, then  $L_0\mathcal{F} = \mathcal{F}$ .

*Proof.* Covariant: If  $P_1 \stackrel{f}{\to} P_0 \stackrel{g}{\twoheadrightarrow} A \to 0$  is exact, then so is  $\mathcal{F}P_1 \stackrel{\mathcal{F}f}{\to} \mathcal{F}P_0 \stackrel{\mathcal{F}g}{\twoheadrightarrow} \mathcal{F}A \to 0$ . Then  $L_0\mathcal{F}(A) = \frac{\mathcal{F}P_0}{\operatorname{Im}\mathcal{F}f} = \frac{\mathcal{F}P_0}{\operatorname{Ker}\mathcal{F}g} \cong \mathcal{F}A$  (by the 1st iso theorem since  $\mathcal{F}g$  epic).

**Contravariant:** Use an injective resolution instead. The process is the same.  $\Box$ 

Does "left derived functor of left exact functor" make sense? Are they all just trivial or something? To prove something like that, would you use a left exact variant of "exact functors preserves LES's"?

**Theorem 3** (LES of derived functors). **Do this.** 

If derived functors measure the extent to which a functor fails to be exact, then an exact functor should have trivial derived functors. This turns out to be true.

**Proposition 9.** If  $\mathcal{F}$  is exact, then  $R^i\mathcal{F} = L_i\mathcal{F} = 0$  for all i > 0.

*Proof.* This uses the fact that exact functors preserves LES's... prove this. Covariant: Exact functors preserve exactness, so  $0 \to A \rightarrowtail I_0 \to I_1 \to \cdots$  exact implies  $0 \to \mathcal{F}A \to \mathcal{F}I_0 \to \mathcal{F}I_1 \to \cdots$  exact. Chopping off the  $\mathcal{F}A$  term and taking cohomologies gives  $L_i\mathcal{F}=0$  when i>0. Now repeat the argument with a projective resolution for the  $R^i$ .

Contravariant: Similar argument.

**Proposition 10.** Fix a functor  $\mathcal{F}$ . If A is projective/injective (depending on the type of  $\mathcal{F}$ ), then  $R^i\mathcal{F}(A)$  or  $L_i\mathcal{F}(A)$  (whichever is correct for  $\mathcal{F}$ ) is trivial when i > 0.

*Proof.* We consider the case when  $\mathcal{F}$  is left exact and covariant, but the other three cases are similar. Suppose A is injective, then  $0 \to A \stackrel{\mathrm{id}}{\to} A \to 0$  is an injective resolution of A. This induces the exact sequence  $0 \to \mathcal{F}A \stackrel{\mathrm{id}}{\to} \mathcal{F}A \to 0$ . Chopping off the first  $\mathcal{F}A$  term and taking cohomologies gives  $R^i \mathcal{F}(A) = 0$ .

### THE EXT FUNCTOR

Note 3. Big idea: the hom functors are left exact, but we can use cohomology to measure how much they fail to be right exact.

**Definition 9.** The **Ext functors** are the (right) derived functors of the hom functors.

Given an *R*-module *A*, there are two equivalent ways to construct them:

1. Using  $\operatorname{Hom}(-, M)$  (contravariant): Take a projective resolution

$$\cdots \longrightarrow P_n \xrightarrow{d_n} P_{n-1} \xrightarrow{d_{n-1}} \cdots \xrightarrow{d_1} P_0 \xrightarrow{\varepsilon} A \longrightarrow 0$$

and apply  $\operatorname{Hom}(-, M)$  to it. Removing  $\operatorname{Hom}(A, M)$  from the sequence gives

$$0 \longrightarrow \operatorname{Hom}(P_0, M) \xrightarrow{d_1^*} \operatorname{Hom}(P_1, M) \xrightarrow{d_2^*} \cdots.$$

This is a cochain complex since for any map f, applying  $d^*$  twice gives  $d^{*2}(f) = fd^2 = 0$ . Then  $\operatorname{Ext}_R^n(A, M)$  is the *n*-th cohomology group of this complex.

2. Using  $\operatorname{Hom}(M,-)$  (covariant): Take an injective resolution, apply  $\operatorname{Hom}(M,-)$ , remove Hom(M, A), then take homology. Is this actually equivalent?

**Proposition 11.**  $\operatorname{Ext}_R^0(A, M) \cong \operatorname{Hom}_R(A, M)$ .

*Proof.* The hom functors are left exact, so apply Proposition 7.

Ext(A,B) is contra in A, cov in B.