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# Chapter 1

## Field Extensions

### 1.1 Fields

A **field** is a tuple  $(F, +, \cdot)$  such that  $(F, +)$  and  $(F^\times, \cdot)$  are abelian groups and multiplication distributes over addition, where  $F^\times \doteq F - \{0\}$ .

Equivalently, a field is a commutative ring with unity (i.e. has a multiplicative identity) where every nonzero elt has a multiplicative inverse (i.e. is a unit). Since units can't be zero divisors, fields have no zero divisors.

Fields  $\subset$  Euclidean Domains  $\subset$  PIDs  $\subset$  UFDs  $\subset$  Integral Domains.

**Proposition 1.** *Any nonzero field homomorphism is injective.*

*Proof.* Let  $\varphi$  be a field homomorphism with domain  $F$ . Now  $\ker \varphi$  is an ideal of  $F$ , but the only ideals of a field are 0 and itself. Since  $\varphi$  is nonzero,  $\ker \varphi = 0$ , so  $\varphi$  is injective.  $\square$

**Definition 1.** The **characteristic**  $\text{ch}(F)$  of a field  $F$  is the smallest positive integer  $p$  such that  $p \cdot 1_F = 0$ . If no such  $p$  exists, we say  $\text{ch}(F) = 0$ .

**Proposition 2.** *The characteristic of a field is either 0 or prime.*

*Proof.* If  $n$  is composite and  $n \cdot 1 = 0$ , then we can decompose this into its prime factorization and get that its smallest prime factor is the characteristic.  $\square$

Fields don't have interesting ideals (it's either 0 or the entire field), so instead we study subfields and field extensions.

**Definition 2.** The **prime subfield** of a field  $F$  is the subfield generated by  $1 \in F$ .

**Proposition 3.** *The prime subfield of a field  $F$  is isomorphic to  $\mathbb{Q}$  if  $\text{ch}(F) = 0$  and isomorphic to  $\mathbb{F}_p$  if  $\text{ch}(F) = p$ .*

**Definition 3.** A field  $K$  is a **(field) extension** of  $F$  if  $F$  is a subfield of  $K$ . Denote this by  $K \supset F$ .

**Definition 4.** If  $K$  is an extension of  $F$ , then the **degree**  $[K : F]$  of  $K$  over  $F$  is the dimension of  $K$  as an  $F$ -vector space. An extension is **finite** if its degree is finite, and its **infinite** otherwise.

**Example 1.**  $[\mathbb{C} : \mathbb{R}] = 2$  because  $\{1, i\}$  is a basis for  $\mathbb{C}$  over  $\mathbb{R}$ .

Field of fractions (DF sec 7.5). Since  $\mathbb{Q}$  is the field of fractions of  $\mathbb{Z}$ , any field containing  $\mathbb{Z}$  must also contain  $\mathbb{Q}$ .

## 1.2 Polynomial Rings over Fields

Many field extensions arise from trying to solve polynomial equations, so we gotta review that.

**Theorem 1.** *Let  $F$  be a field, then  $F[x]$  is a Euclidean Domain.*

This means that any polynomial ring over a field has a division algorithm, i.e. for all  $f(x)$  and nonzero  $g(x)$ , there exist *unique*  $q(x), r(x)$  such that

$$f(x) = q(x)g(x) + r(x),$$

where  $\deg r(x) < \deg g(x)$ . Here, we take the degree of the zero polynomial to be 0. It should also be clear that degree is the norm of  $F[x]$ .

**Corollary 1.**  *$F[x]$  is also a principal ideal domain (PID) and a unique factorization domain (UFD).*

If  $E \curvearrowright F$  and  $f(x), 0 \neq g(x) \in F[x]$ , then the result of the division algorithm in  $F[x]$  is the same in  $E[x]$  by the uniqueness bit. [paragraph at end of sec 9.2.](#)

Often, even if  $R$  is not a field (but *is* a UFD), then we can say something about factorization in  $R$  by looking at its field of fractions ([the smallest field containing  \$R\$ , see sec 7.5, think  \$\mathbb{Z}\$  to  \$\mathbb{Q}\$](#) ).

**Lemma 1** (Gauss' Lemma). *Let  $R$  be a UFD with field of fractions  $F$ . Let  $p(x) \in R[x]$  have coefficients with  $\gcd 1$ , then  $p(x)$  is irreducible in  $R[x]$  if and only if it's irreducible in  $F[x]$ .*

Note that this works for all monic polynomials.

**Proposition 4.** *Let  $p(x) \in F[x]$ , where  $F$  is a field. Then  $p(x)$  has a root  $a \in F$  if and only if  $(x - a)$  divides  $p(x)$ .*

*Proof.* [Do this.](#) □

**Corollary 2.** *Any  $p(x) \in F[x]$  has at most  $\deg p$  roots in  $F$  (including with multiplicity).*

*Proof.* Use induction on the proposition above. □

**Corollary 3.** *If  $p(x) \in F[x]$  has degree 2 or 3, then it's reducible if and only if it has a root in  $F$ .*

The above corollary should be relatively obvious, but note that it doesn't hold in 4 dimensions or higher because a reducible polynomial could reduce into two other polynomials that have dimension 2+.

**Example 2.** We claim that  $p(x) = x^3 + x + 1$  is irreducible in  $\mathbb{F}_2[x]$ . Using Corollary 3, we check that  $p(0)$  and  $p(1)$  are nonzero, so  $p$  has no roots in  $\mathbb{F}_2$ .

**Proposition 5.** *Let  $R$  be a UFD and let  $p(x) = \sum_i a_i x^i \in R[x]$ . If  $c$  and  $d$  are relatively prime with  $d$  nonzero and  $p(c/d) = 0$ , then  $c \mid a_0$  and  $d \mid a_n$ .*

This is very useful in limiting the candidates for the roots of a particular polynomial.

**Example 3.** We claim that  $p(x) = x^3 - x - 1$  is irreducible in  $\mathbb{Z}[x]$ . By Gauss' Lemma and Corollary 3, it suffices to show that  $p$  has no rational roots. By the above proposition, the only possibilities of rational roots are  $\pm 1$ . But  $p(1)$  and  $p(-1)$  are both nonzero, so  $p$  is irreducible.

**Theorem 2** (Eisenstein's Criterion). *Let  $R$  be a UFD with field of fractions  $F$  and let  $f(x) = \sum_i a_i x^i \in R[x]$  with  $n \geq 1$  (i.e. non-constant) and  $a_n \neq 0$ . If there is some irreducible  $p \in R$  such that*

1.  $p$  does not divide  $a_n$ ,
2.  $p$  divides  $a_i$  for all  $i < n$ , and
3.  $p^2$  does not divide  $a_0$ ,

*then  $f(x)$  is irreducible in  $F[x]$ .*

This is usually used when  $R = \mathbb{Z}$  (so the field of fractions is  $\mathbb{Q}$ ) and  $p$  is prime.

**Example 4.**  $x^{12} - 10x^4 + 4x - 6$  is irreducible in  $\mathbb{Q}[x]$  by Eisenstein's criterion for  $p = 2$ .

**Theorem 3.** *The multiplicative group of any finite field is cyclic.*

*Proof.* Let  $F$  be a finite field, then  $(F^\times, \cdot)$  is a finite abelian group. By the fundamental theorem of finitely generated abelian groups, there exist positive integers  $m_1 \mid m_2 \mid \cdots \mid m_k$  such that

$$F^\times \cong \mathbb{Z}_{m_1} \oplus \cdots \oplus \mathbb{Z}_{m_k}.$$

In particular, every element of  $F^\times$  has order dividing  $m_k$ , i.e.  $\alpha^{m_k} = 1$  for all  $\alpha \in F^\times$ . Thus every element of  $F^\times$  is a root of  $x^{m_k} - 1$ . Since this polynomial can have at most  $m_k$  roots,  $|F^\times| \leq m_k$ ; however, if  $F^\times$  is isomorphic to  $\mathbb{Z}_{m_1} \oplus \cdots \oplus \mathbb{Z}_{m_k}$ , then  $|F^\times| = m_1 \cdots m_k$ . But this is only true if  $k = 1$ , so  $F^\times \cong \mathbb{Z}_{m_1}$ , so it is cyclic.  $\square$

### 1.3 Constructing Field Extensions with Polynomials

The main idea of all this is to take an irreducible polynomial  $p(x)$  over a field  $F$ , take its (maximal) ideal  $(p(x))$ , and use that to create the field  $F[x]/(p(x))$ .

As it turns out, this field will contain a root of  $p$ , so we can use this technique to construct field extensions that contain the roots of certain polynomials.

**Definition 5.** Suppose  $K \curvearrowright F$ , and let  $a_1, \dots, a_n \in K$ . Then the extension  $F(a_1, \dots, a_n)$  is the smallest subfield of  $K$  containing  $F$  and all the  $a_i$ .

Let  $R$  be a subring of  $K$ , then  $R[a_1, \dots, a_n]$  is the smallest subring of  $K$  containing  $R$  and all the  $a_i$ .

If we have a set  $A$ , we might denote the extension that it generates over  $F$  by  $F(A)$ .

We say  $K$  is a **simple extension** of  $F$  if  $K = F(\alpha)$  for some  $\alpha \in K$ .

**Definition 6.** Let  $K \curvearrowright F$ . We say  $\alpha \in K$  is **algebraic** over  $F$  if it's the root of *some* polynomial over  $F$ . Otherwise it's **transcendental** over  $F$ .

$K$  is an **algebraic extension** of  $F$  if every element of  $K$  is algebraic over  $F$ .

**Example 5.**  $\mathbb{C}$  is algebraic over  $\mathbb{R}$ , but  $\mathbb{R}$  is not algebraic over  $\mathbb{Q}$ .

**Example 6.** Every element  $\alpha$  of a field  $F$  is algebraic over  $F$  since  $(x - \alpha)$  is a polynomial over  $F$ .

Let  $K \curvearrowright F$  with  $\alpha \in K$  algebraic over  $F$ , and consider the “evaluation at  $\alpha$ ” map  $\phi_\alpha : F[x] \rightarrow K$  given by  $F \xrightarrow{\text{id}} F$ ,  $x \mapsto \alpha$ , and  $\phi_\alpha$  a ring homomorphism.

**Definition 7.** The **minimal polynomial**  $m_{\alpha, F}(x)$  of  $\alpha$  over  $F$  is the unique irreducible monic generator of  $\ker \phi_\alpha \subset F[x]$ , i.e. it generates all the polynomials over  $F$  that have  $\alpha$  as a root.

The **degree** of  $\alpha$  over  $F$  is the degree of  $m_{\alpha, F}(x)$ .

Minimal polynomials are handy because they allow us to construct field extensions that contain one of their roots. If we take  $F[x]$  and mod out everything generated by  $m_\alpha(x)$ , then what we get is a field where everything “related to”  $\alpha$  becomes 0. **Replace this with actual good intuition. Use the theorem about the form of elements of  $F(\alpha_1, \dots)$  to show this.**

**Theorem 4.** If  $K \curvearrowright F$  and  $\alpha \in K$  is algebraic over  $F$  with minimal polynomial  $m_\alpha(x)$ , then

1.  $F(\alpha) = F[\alpha]$ ,

2.  $F(\alpha) \cong F[x]/m_\alpha(x)$ ,
3.  $[F(\alpha) : F] = \deg m_\alpha(x)$ , and
4.  $\{1, \alpha, \dots, \alpha^{n-1}\}$  is a basis for  $F(\alpha)$  over  $F$ , where  $n = \deg m_\alpha(x)$ .

*Proof.* **Do this.** □

**Example 7.** If  $\alpha \in \mathbb{C}$  has minimal polynomial  $x^3 + x + 3$  over  $\mathbb{Q}$ , then  $\mathbb{Q}(\alpha)$  has basis  $\{1, \alpha, \alpha^2\}$  over  $\mathbb{Q}$ .

We can use this theorem to construct any field of order  $p^n$ , where  $p$  is a prime. If we take a monic irreducible polynomial  $f(x)$  of degree  $n$  over the finite field  $\mathbb{F}_p$ , then the extension  $\mathbb{F}_p[x]/(f(x))$  as a vector space over  $\mathbb{F}_p$  has degree  $n$ , so there are  $p^n$  elements of the extension.

### GO OVER SECTION 13.1 FOR ALL THE PROOFS.

The roots of an irreducible polynomial  $p(x)$  are algebraically indistinguishable in the sense that they generate the same extensions. If  $\alpha, \beta$  are roots of  $p(x)$  over  $F$ , then

$$F(\alpha) \cong F[x]/(p(x)) \cong F(\beta).$$

We can extend this idea slightly by considering field extensions generated by isomorphically related polynomials. In this case, the field extensions are themselves isomorphic.

**Note 1.** If we have a map  $\phi : F \rightarrow E$  and I write something like  $\phi(f(x))$ , this means we're applying  $\phi$  to each coefficient of  $f(x)$  and returning a new polynomial over  $E$ .

**Theorem 5.** Suppose  $\phi : F \rightarrow E$  is a field isomorphism. Let  $\alpha$  be the root of minimal polynomial  $f(x)$  over  $F$ , and let  $\beta$  be a root of  $\phi(f(x))$ . Then we can extend  $\phi$  to an isomorphism  $\hat{\phi} : F(\alpha) \rightarrow E(\beta)$  such that  $\hat{\phi}(\alpha) = \beta$ .

*Proof.* **Do this.** □

This theorem can be represented with the following diagram.

$$\begin{array}{ccc} \hat{\phi} : & F(\alpha) & \xrightarrow{\cong} E(\beta) \\ & \downarrow & \downarrow \\ \phi : & F & \xrightarrow{\cong} E \end{array}$$

## 1.4 Algebraic Extensions

**Definition 8.**  $K \curvearrowright F$  is **finitely generated** if  $K = F(\alpha_1, \dots, \alpha_N)$ .

**Note 2.** A field extension might be finitely generated without being a finite extension. Consider  $\mathbb{Q}(\pi)$ , which is clearly finitely generated. Since  $\pi$  is transcendental over  $\mathbb{Q}$ ,  $\mathbb{Q}(\pi)$  is an infinite extension over  $\mathbb{Q}$ .