



TEXAS CANCER RESEARCH BIOBANK
Resource Access Committee

Request Number: For Committee Use Only

Significant Financial Interest Disclosure Form

Date: _____

Principal Investigator: _____

Institution: _____

Telephone Number: _____ Fax Number: _____

Email: _____

Title of Project: _____

Funding Source/Grant Number: _____

1. This is an (please check one):

- ☐ Initial Disclosure (first disclosure at time of request)
- ☐ Updated Disclosure (required if financial interests change)

2. Do you, your spouse, and/or dependent children have any Significant Financial Interests (see definition below) that would:

- Reasonably appear to be affected by this research for which materials are sought, and/or
- In entities whose financial interests would reasonably appear to be affected by the research?

Definition: Significant Financial Interest (SFI): means anything of monetary value, including but not limited to, salary or other payments for services (e.g., consulting fees or honoraria); equity interests (e.g., stocks, stock options or other ownership interests); and intellectual property rights (e.g., patents, copyrights and royalties from such rights).

Exceptions: The term, Significant Financial Interest, does not include:

- Salary, royalties, or other remuneration from the applicant institution
- Any ownership interests in the institution, if the institution is an applicant under the SBIR Program
- Income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities
- Income from service on advisory committees or review panels for public or nonprofit entities
- An equity interest that when aggregated for the Investigator and the Investigator's spouse and dependent children, meets both of the following tests: Does not exceed \$10,000 in value as determined through reference to public prices or other reasonable measures of fair market value, and does not represent more than a five percent ownership interest in any single entity; or
- Salary, royalties or other payments that when aggregated for the Investigator and the Investigator's spouse and dependent children over the next twelve months, are not expected to exceed \$10,000

- ☐ Yes (if yes, please complete items 3-6)
- ☐ No (if no, please skip to item 6)

3. Disclose your Significant Financial Interests, including interests of your spouse and dependent children (check all that apply):

- ☐ Salary or other payment for services (e.g., consulting fees or honoraria)
- ☐ Equity interests (e.g., stocks, stock option, or other ownership interests)
- ☐ Intellectual property rights (e.g. patents, copyrights, and royalties from such rights)
- ☐ Other significant financial interest that possibly could affect or be perceived to affect the results of the research activities for which materials are requested

Also disclose the business enterprise or entity involved, nature and amount of the interest that would reasonably appear to be affected by the research for which materials are requested: _____

4. Please describe your recommendation to Manage, Reduce or Eliminate the Significant Financial Interest detailed above (select all that apply):

- ☐ Public disclosure of significant financial interest(s)
- ☐ Monitoring of research by independent reviewers
- ☐ Modification of the research plan
- ☐ Divestiture of significant financial interests
- ☐ Severance of relationships that create actual or potential conflicts
- ☐ Other

Please describe the details of the plan selected above: _____

5. Please acknowledge that you have read the statements below and you agree by checking ALL of the boxes:

- ☐ To comply with the management plan reviewed and approved by the TCRB Resource Access Committee
- ☐ To comply with any conditions or restrictions imposed by the TCRB Resource Access Committee to manage, reduce, or eliminate conflicts of interest

6. Certification:

I certify that the statements herein are true, complete, and accurate to the best of my knowledge. I agree to accept responsibility for the scientific conduct of the project and to provide the required reports if materials are distributed as a result of this application. Furthermore, I certify that I have read and agree to abide by the Regulation 42CFR50 Subpart F and 45CFR Part 94; and to update this disclosure as new reportable significant financial interests are obtained.

Signature: _____

Date: _____

Typing your name in the signature box above and sending this form from your email address constitutes your signature.