



# Knowledge Bridging for Empathetic Qint Djalogue Generation Qint Djalogue Generation Che

<sup>1</sup>School of Computer Science and Technology, Shandong University, Qingdao, China <sup>2</sup>Tencent Al Lab, Shenzhen, China <sup>3</sup>Department of Computer Science, The University of Hong Kong, Hong Kong SAR, China

## Outline



- Introduction
  - The Empathetic Dialogue Generation Task
- Our Work
  - Empathetic Generator
  - Interactive Discriminators
- Experiments
- Conclusions & Future Work

## Introduction to Empathetic Dialogue Generation



"Empathy" is a crucial step towards a more humanized human-machine conversation. Empathetic dialogue generation aims to recognize feelings in the conversation partner and reply accordingly.

Challenges

- Humans usually rely on experience and external knowledge to acknowledge and express implicit emotions.
- Lacking external knowledge makes it difficult to perceive implicit emotions from limited dialogue history.

  illness(0.78) hospiţal(0.42)

1. A commonsense knowledge graph Conceptible fear it must be cancer.

An emotional lexicon <u>NRC\_VAD</u>

terrified(0.89) medicine(0.32) bad(0.69) hope(0.64) damaging(0.83)

**Listener:** That's horrible! It could be other things instead. I hope you go to the doctor.

Speaker: I stared to cough blood 3 days ago

### Introduction to Empathetic Dialogue Generation



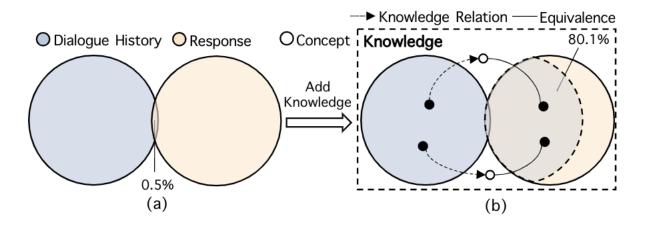


Figure 2: Relationships among dialogue history, responses, and knowledge.

- 1. This phenomenon demonstrates that humans need to infer more knowledge to conduct empathetic dialogues.
- 2. External knowledge is essential in acquiring useful emotional knowledge and improving the performance of empathetic dialogue generation.

### Introduction to Empathetic Dialogue Generation



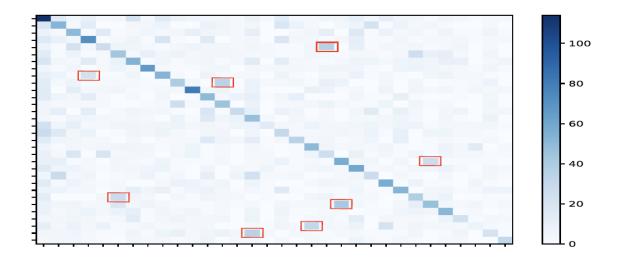


Figure 3: Emotion transition patterns. y-axis indicates the speaker's emotion label. x-axis indicates the listener's emotion label predicted by the classifier.

Modelling emotional dependencies between interlocutors is crucial to enhance the accuracy of external knowledge representation in empathetic dialogues.

## Outline



- Introduction
  - The Empathetic Dialogue Generation Task
- Our Work
- Experiments
- Conclusions & Future Work



#### A framework KEMP

• The early attempt to **leverage external knowledge** to enhance empathetic dialogue generation.

## An emotional context encoder and an emotion-dependency decoder

 Learn the emotional dependencies between the dialogue history and target response with bunches of external

emotional concepts. Conducted on a benchmark dataset EMPATHETICDIALOGUES (Rashkin et al., 2019), experimental results confirm the effectiveness of KEMP.



#### **Preliminaries**

#### ConceptNet

 A large-scale knowledge graph that describes general human knowledge in natural language. It comprises 5.9M tuples, 3.1M concepts, and 38 relation (birthday, Related To, happy, s)

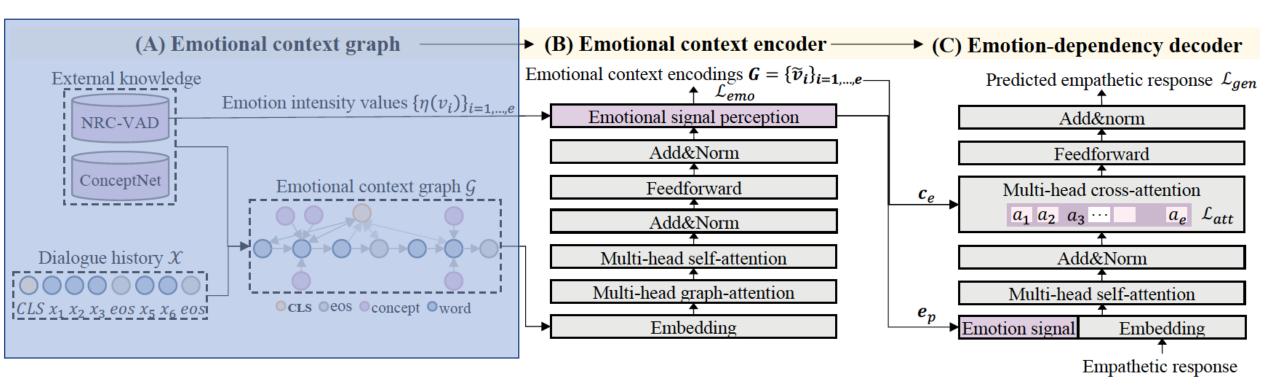
#### NRC\_VAD

 A lexicon of VAD (Valence-Arousal-Dominance) vectors with dimensions for 20k English words.

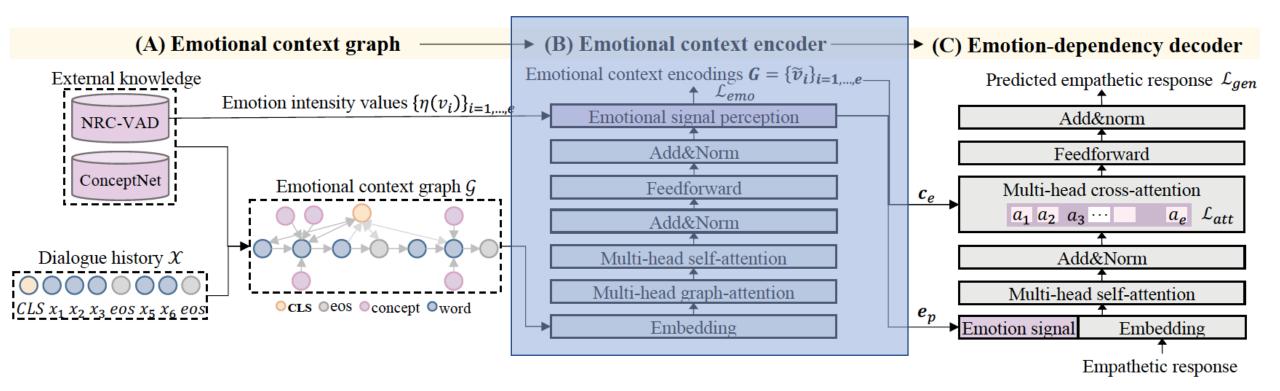
Table 1: Interpretations of NRC\_VAD vectors.

Dimensions	Values	Interpretations		1	$A_r(x_i) \parallel$
Valence	$\begin{bmatrix} [0,1] \end{bmatrix}$	Negative - Positive	$\eta(x_i) = \min{-\max(  V_a(x_i) - V_a(x_i) )}$	$\frac{1}{2}, \frac{1}{2}$	$\frac{2\mathbf{r}(x_i)}{2}$ ),
Arousal	[0,1]	Calm - Excited		<b>Z</b>	$^{2}$ $\parallel_{2}$
Dominance	[0, 1]	Submissive - Dominant			

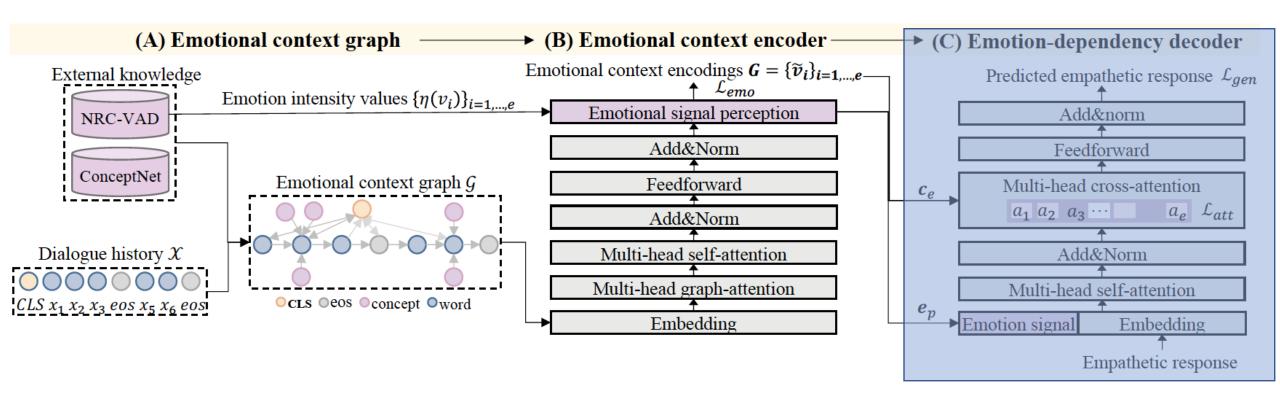














#### **Task Definition**

#### Input:

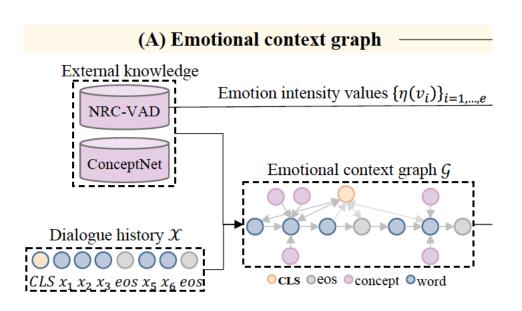
- 1. Multi-turn Dialogue History
- 2. ConceptNet
- 3. NRC\_VAD

#### Output (two subtasks):

- 1. Predict the emotion expressed in the dialogue context.
- 2. Generate an empathetic response.

### KEMP - Emotional Context Graph

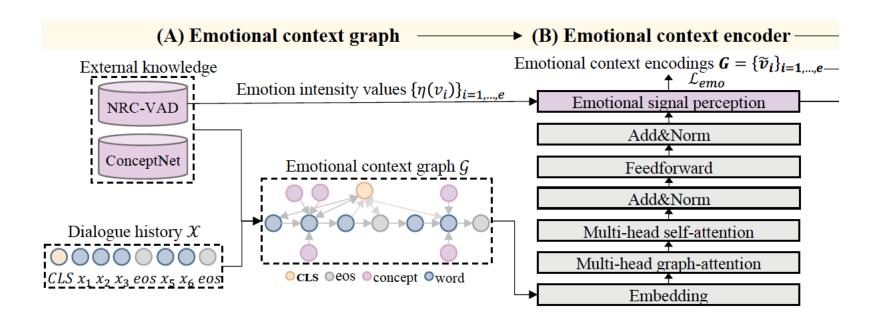




- Relation Filtering
- Concept Retrieval
- Concept Ranking
- Edge Completion

### **KEMP- Emotional Context Encoder**

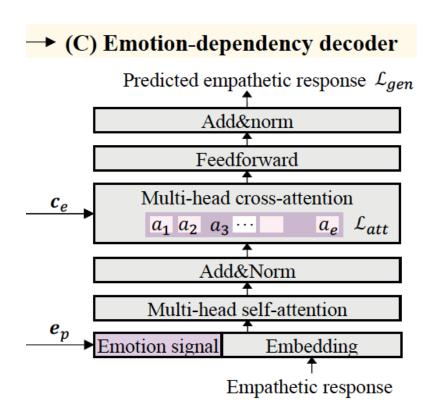




- Emotional context graph encoding
  - Graph-aware Transformer
- Emotional signal perception

## KEMP – Emotion-dependency Decoder





- Incorporating emotional features
- Enforcing emotional attention on tokens with higher emotion-intensity values
- Copying external concepts

## Outline



- Introduction
  - The Empathetic Dialogue Generation Task
- Our Work
  - Empathetic Generator
  - Interactive Discriminators
- Experiments
- Conclusions & Future Work



#### **Dataset**

• EMPATHETICDIALOGUES (Rashkin et al., 2019)

#### **Automatic Metrics**

- Emotion Accuracy
- Perplexity
- Distinct-1 and Distinct-2

#### **Human Metrics**

- Empathy
- Relevance
- Fluency



Table 2: Performance of all models.

Models	Accuracy	Perplexity	Distinct-1	Distinct-2	<b>Empathy</b>	Relevance	Fluency
Transformer (Vaswani et al. 2017)	_	37.73	0.47	2.04	3.11	3.47	3.66
EmoPrepend-1 (Rashkin et al. 2019)	33.28	38.30	0.46	2.08	3.23	3.51	3.67
MoEL (Lin et al. 2019)	32.00	38.04	0.44	2.10	3.37	3.78	3.64
MIME (Majumder et al. 2020)	34.24	37.09	0.47	1.91	3.38	3.66	3.63
EmpDG (Li et al. 2020)	34.31	37.29	0.46	2.02	3.45	3.88	3.67
KEMP	39.31	36.89	0.55	2.29	3.49	3.92	3.65

Our model KEMP outperforms state-of-the-art baselines by a large margin in terms of all automatic metrics.



Table 2: Performance of all models.

Models	Accuracy	Perplexity	Distinct-1	Distinct-2	<b>Empathy</b>	Relevance	Fluency
Transformer (Vaswani et al. 2017)	_	37.73	0.47	2.04	3.11	3.47	3.66
EmoPrepend-1 (Rashkin et al. 2019)	33.28	38.30	0.46	2.08	3.23	3.51	3.67
MoEL (Lin et al. 2019)	32.00	38.04	0.44	2.10	3.37	3.78	3.64
MIME (Majumder et al. 2020)	34.24	37.09	0.47	1.91	3.38	3.66	3.63
EmpDG (Li et al. 2020)	34.31	37.29	0.46	2.02	3.45	3.88	3.67
KEMP	39.31	36.89	0.55	2.29	3.49	3.92	3.65

KEMP obtains the best performance on both Empathy and Relevance scores.

There is no obvious difference among models in terms of Fluency.



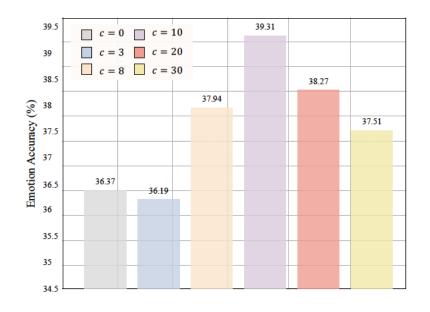


Figure 5: Emotion accuracy with respect to the number of external concepts. c=8 indicates at most we introduce 8 external concepts for each dialogue.

Table 5: The visualization of the cross-attention weights in MoEL and MK-EDG.

Utterance	It inspires me to try and do				
	something to keep healthy every day.				
MoEL	I am sure they will be able to have a good time.				
Utterance	It inspires me to try and do				
	something to keep healthy every day.				
Knowledge	effort, fight, good, life, raise, grow,				
	protect, health				
MK-EDG	I can not wait to try to get a little makes me				
	<u>feel</u> <u>better</u> .				

## Outline



- Introduction
  - The Empathetic Dialogue Generation Task
- Our Work
  - Empathetic Generator
  - Interactive Discriminators
- Experiments
- Conclusions

### Conclusions and future work



- A Knowledge-aware EMPathetic dialogue generation method leverages external knowledge to enhance empathetic dialogue generation.
- KEMP enhances the emotion perception and dependencies between dialogue history and empathetic response with bunches of emotion-related concepts.
- As for the future work, we plan to explore the emotional knowledge embedded in the parameters of large pre-trained language model for empathetic dialogue generation.

## Thanks



### Paper:



### Code:

