

# Introduction to particle physics

## Lecture 7

Frank Krauss

IPPP Durham

U Durham, Epiphany term 2009

# Outline

- 1 Deep-inelastic scattering and the structure of protons
- 2 Colour gauge theory: QCD

# Elastic scattering

## Scattering on extended objects: Form factors

- Extended objects have a matter density  $\rho(\vec{r})$ .

$$\text{Normalisation: } \int d^3r \rho(\vec{r}) = 1$$

- Its Fourier transform is called a form factor:

$$F(\vec{q}) = \int d^3r \exp[-i\vec{q}\vec{r}] \rho(\vec{r}) \implies F(0) = 1$$

- Naive modification of cross sections for scattering on such objects:

$$\left. \frac{d\sigma}{d^2\Omega} \right|_{\text{ptlike}} \implies \left. \frac{d\sigma}{d^2\Omega} \right|_{\text{extended}} \approx \left. \frac{d\sigma}{d^2\Omega} \right|_{\text{ptlike}} |F(q)|^2$$

## Elastic $ep$ scattering and the Rosenbluth formula

(Details of the formula not examinable)

- Simple test of proton's charge distribution: elastic  $ep$  scattering (exchange of a photon). Elastic: **Nucleon remains intact**.
- Rosenbluth-formula ( $E$  and  $E'$  are energies of electron before and after scattering,  $M$  is the proton mass,  $q^2$  is the space-like momentum transfer, and  $\theta$  is the scattering angle):

$$\frac{d\sigma}{d^2\Omega} = \frac{\alpha^2 \cos^2 \frac{\theta}{2}}{4E^2 \sin^4 \frac{\theta}{2}} \frac{E'}{E} \left[ \left( F_1^2(q^2) - \frac{\kappa^2 q^2}{4M^2} F_2^2(q^2) \right) - \frac{q^2}{2M^2} (F_1(q^2) + \kappa F_2(q^2))^2 \tan^2 \frac{\theta}{2} \right]$$

Compare with Rutherford scattering (on very massive objects):

$$\frac{d\sigma}{d^2\Omega} = \frac{\alpha^2}{4E^2 \sin^4 \frac{\theta}{2}}$$

## Elastic $ep$ scattering and charge radius of the proton

(Details of the formula not examinable)

- Differences due to relativistic kinematics plus recoil of the protons (in Rutherford scattering, the nuclei stay at rest).
- Also inner structure: there are two form factors  $F_{1,2}$ . They are related to the electric and magnetic form factors, and are parametrised as

$$F_{1,2} \approx \left[ \frac{1}{1 - q^2/0.71 \text{GeV}^2} \right]^2$$

- Connection to charge radius: Assume  $F_1 = F_2$  and

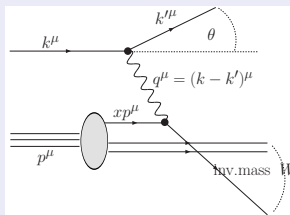
$$F(q^2) = \int d^3r \rho(\vec{r}) \exp[-i\vec{q}\vec{r}] \approx 1 - \frac{\vec{q}^2}{6} \langle r^2 \rangle + \dots$$

- Therefore:  $r_{\text{proton}} \equiv \langle r^2 \rangle^{1/2} \approx 0.75 \pm 0.25 \text{ fm.}$

# Revealing the inner structure: Deep inelastic scattering

## The process

- Terminology arises because in contrast to elastic scattering the **nucleon nearly always disintegrates**.
- Typically in DIS proton is probed with  $\gamma$ 's.  
From  $p \approx 1/\lambda$ : If momentum transfer larger than 1 GeV, ( $\approx 1/0.2\text{fm}$ ) then inner structure revealed.
- Kinematics:



$$\nu = \frac{2pq}{m_p} \longrightarrow E - E' \quad (\text{energy transfer})$$

$$x = \frac{Q^2}{2pq} \longrightarrow \frac{Q^2}{E - E'} \quad (\text{momentum fraction of parton})$$

$$Q^2 = -q^2 = -2EE'(1 - \cos \theta) \quad (\text{momentum transfer squared})$$

## Measurement and two basic ideas

- Typically, the behaviour of the cross section with varying  $x$  (or, alternatively  $\nu$ ) and  $Q^2$  is being measured.  
In addition,  $\nu p$ -scattering with  $W$  exchange is considered.
- Two basic ideas:
  - The **parton model** (by R.Feynman):  
The nucleon is made of smaller bits (partons). Later knowledge: Can be identified with quarks and gluons. But: In addition to the three **valence** quarks, carrying the quantum numbers (e.g.  $|p\rangle = |uud\rangle$ ), there are virtual quarks and gluons, the **sea**.
  - The **scaling hypothesis** (by J.D.Bjorken):  
At large energies and momentum transfers, the cross section depends on one variable only. Reason: The photon ceases to scatter coherently off the nucleon, but solely sees the individual, point-like partons.

## Bjorken-scaling

- Equation for cross section (cf. elastic scattering, replacing form factors  $F_{1,2}(q^2)$  with **structure functions**  $W_{1,2}(\nu, Q^2)$ ):

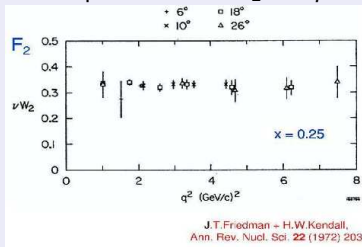
$$\frac{d\sigma}{d^2\Omega} = \frac{\alpha^2 \cos^2 \frac{\theta}{2}}{4E^2 \sin^4 \frac{\theta}{2}} [W_2(\nu, Q^2) + 2W_1(\nu, Q^2)]$$

- Bjorken scaling implies that with no special scale present in the dynamics of the scattering the  $W_{1,2}(\nu, Q^2)$  can be replaced:

$$m_p W_1(\nu, Q^2) \longrightarrow F_1(x)$$

$$\frac{Q^2}{2m_p x} W_2(\nu, Q^2) \longrightarrow F_2(x),$$

Independence of  $W_2$  on  $q^2$ :





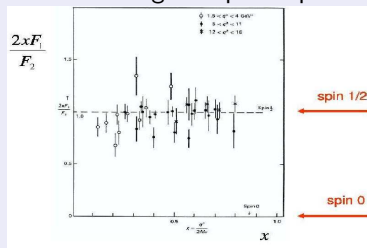
## The Callan-Gross relation

- Bjorken scaling established that DIS in fact must be described in terms of parton-photon processes.  
But what are the properties of these point-like constituents?
- In 1969 Callan and Gross suggested that Bjorken's scaling functions are related:

$$2xF_1(x) = F_2(x).$$

- This reflects the assumption that the partons inside the proton are indeed quarks, i.e. spin-1/2 particles (spin-0 for example would lead to  $2xF_1(x)/F_2(x) = 0$ .)

Measuring the quark spin



## Deriving the Callan-Gross relation

- Basic idea: Compare  $eq$ -scattering cross section (free quark) with the DIS  $ep$  cross section and assume identity:

$$\frac{d^2\sigma_{eq}}{d^2\Omega dE'} = \frac{\alpha^2 \cos^2 \frac{\theta}{2}}{4E^2 \sin^4 \frac{\theta}{2}} \left[ 1 + \frac{Q^2}{2m_p^2} \tan^2 \frac{\theta}{2} \right] \delta \left( \nu - \frac{Q^2}{2m_p x} \right)$$

$$\frac{d^2\sigma_{ep}}{d^2\Omega dE'} = \frac{\alpha^2 \cos^2 \frac{\theta}{2}}{4E^2 \sin^4 \frac{\theta}{2}} \left[ \frac{1}{\nu} F_2(x) + \frac{2}{m_p} \tan^2 \frac{\theta}{2} F_1(x) \right]$$

## Parton distributions and sum rules

- Define **probabilities**  $f_a(x)$  to find a parton of type  $a$  with energy fraction between  $x$  and  $x + dx$ :

$$F_1(x) = \sum_a q_a^2 f_a(x), \quad q_a = \text{parton's charge.}$$

- The parton momenta must add to the proton momentum:

$$\int_0^1 dx \, x \, [f_u(x) + f_{\bar{u}}(x) + f_d(x) + f_{\bar{d}}(x) + f_s(x) + f_{\bar{s}}(x) + \dots] = 1.$$

- The parton types must yield a “net proton”,  $p\rangle = |uud\rangle$ :

$$\begin{aligned} \int_0^1 dx \, [f_u(x) - f_{\bar{u}}(x)] &= 2 \int_0^1 dx \, [f_d(x) - f_{\bar{d}}(x)] &= 1 \\ \int_0^1 dx \, [f_s(x) - f_{\bar{s}}(x)] &= 0 \quad \int_0^1 dx \, [f_c(x) - f_{\bar{c}}(x)] &= 0. \end{aligned}$$

## From partons to QCD

Experimental evidences in the late 60's and beginning 70's:

- Scaling behaviour of structure functions supports the assumption of point-like particles with relatively weak interactions between them at short distance/large momentum transfers - the partons in DIS behave nearly like free particles.
- Callan-Gross relation supports spin-1/2 fermions.
- Comparing  $ep$  and  $\nu p$  scattering supports assignment of fractional charges for the partons, like the quarks.
- Checking the momentum sum rule suggests that only about 50% of the momentum of the proton is carried by the quarks - the other half must be carried by charge neutral objects. These are identified with the gluons, the force carriers of the strong force, binding the quarks together.

Conclusion: Quarks are real objects, need to find an interacting theory.

## The invention of QCD

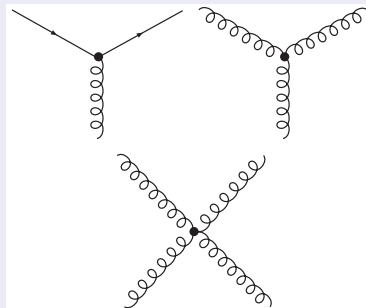
- Quantum chromodynamics (QCD) was proposed in 1973 by Fritzsche, Leutwyler and Gell-Mann. Main problem: What is the charge the gluons couple to (like electrical charge for the photons and weak isospin for the  $W$  bosons)?
- It is colour. Quarks come in three colours. This becomes apparent, when considering, e.g. the  $\Delta^{++}$  particle. Since it is a fermion its wave function must be antisymmetric. But it consists of three  $u$  quarks (symmetric only), its spin-3/2 state has all spins aligned (also symmetric). Therefore: A new quantum number, in which the quarks are completely antisymmetric, i.e.  $\propto \epsilon_{ijk}$ .
- Construction principle as before: Gauge theory.

$$\mathbf{G}^{SU(3)_{\text{colour}}} \mathcal{L}(\psi_q, G) \longrightarrow \mathcal{L}(\psi_q^*, G^*) ,$$

where  $\psi_q = (\psi_q^r, \psi_q^g, \psi_q^b)$ . and  $G$  is the colourful gluon field.

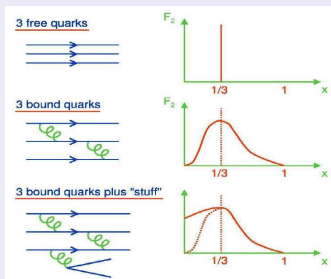
## Feynman rules and colour factors

- Gluons coupling to, say, a “red” quarks transform it into a blue one. Because of charge conservation, therefore, gluons carry a colour and an anti-colour.
- Because gluons are charged under  $SU(3)$ , they experience self-interactions ( $ggg$  and  $gggg$  vertex).
- In calculations colours are encoded in Gell-Mann matrices, representing the algebraic structure of the symmetry group (like Pauli matrices in  $SU(2)$ ).
- There are 8 such matrices, therefore 8 gluons (similar to  $SU(2)$ , where the 3 Pauli matrices correspond to the 3 weak gauge bosons).



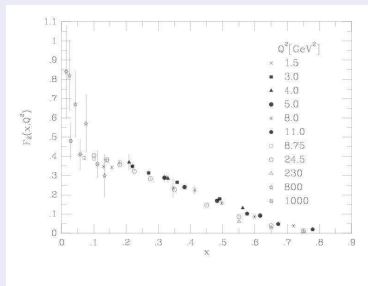
## Effect on structure functions: Scaling violations

- Now it is possible to quantify the picture of “proton = quarks + stuff”



- Leads to evolution equations: “Russian dolls”

- This implies dependence of  $F_{1,2}$  on the momentum transfer.
- Therefore:  $F_{1,2}$  depend on both  $x$  and  $Q^2$  - not constant in  $Q^2$  any more.



## Quantifying scaling violations: Evolution equations

(Details not examinable)

- Explanation: As the proton is hit harder and harder (i.e. at larger  $Q^2$ ), the virtual photon starts resolving gluons and quark-antiquark fluctuations (partons in partons!).
- The scale  $Q^2$  plays the role of a “resolution parameter”.
- Described by the DGLAP equations. Basic structure:

$$\frac{dq(x, Q^2)}{d \ln Q^2} = \alpha_s(Q^2) \int_x^1 dy \left[ q(y, Q^2) P_{q \rightarrow qg} \left( \frac{x}{y} \right) + g(y, Q^2) P_{g \rightarrow q\bar{q}} \left( \frac{x}{y} \right) \right]$$

Here the quark at  $x$  can come from a **quark** (**gluon**) at  $y$ , the functions  $P$  encode the details of the decays  $q \rightarrow qg$  ( $g \rightarrow q\bar{q}$ ) responsible for it.

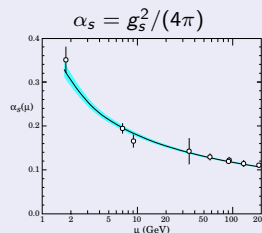


## The “running” coupling in QCD: Asymptotic freedom

- Reassuring: Can understand the proton structure at large  $Q^2$  in terms of perturbative objects (quarks and gluons). This implies that the coupling  $g_s$  is sufficiently small there:

## Asymptotic freedom.

- But measurements (left) and calculation show that the coupling becomes stronger the lower the scale ( $\simeq Q^2$ ), i.e. the larger the distance.
- In fact, the perturbative  $\alpha_s$  diverges for  $\mu = \Lambda_{\text{QCD}} \approx 300 \text{ MeV}$ , signalling the breakdown of the expansion.

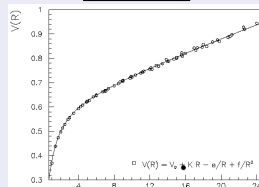


- Non-perturbative regime, where **only colour-less states** can exist:  
Confinement.
- Therefore, only hadrons (no quarks or gluons) as observable initial and final states in experiments.

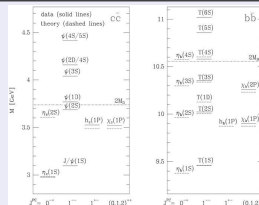
## Confinement

- Strong interactions are different to electromagnetic ones:  
They have Coulomb potential at short distances (asymptotic freedom) plus linear term at large distances (confinement).
- Also, have to add factors due to colour.
- Test potential with bound states of heavy quarks ( $c$ ,  $b$ ):  $m_c = 1.5$  GeV,  $m_b = 5$  GeV. For light quarks ( $u$ ,  $d$ ,  $s$ ),  $m_{u,d,s} < \Lambda_{\text{QCD}}$ , the bound state energy is larger than the quark mass. There, the potential is so strong that the quarks move very fast, hard to calculate the bound states.

### Potential:

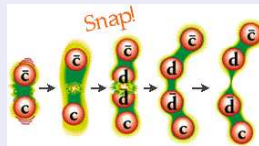
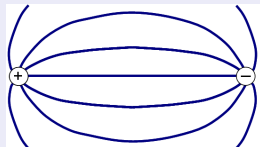


### Quarkonia spectra ( $Q\bar{Q}$ ):



## The QCD potential visualised

- Compare the potential due to a dipole in electrodynamics and in chromodynamics (right).
- The colour of the gluons “pulls” the field lines (the gluons) together: A “string” or flux tube emerges.
- It is characterised by constant energy density per unit length.
- There is a similar effect in superconductivity: Nielsen-Olesen string.
- A breakup of this string releases energy. This translates into “popping”  $q\bar{q}$  pairs, which form the endpoints of smaller strings.



## Summary

- Discussed probes of the nucleon (proton) structure: elastic vs. deeply inelastic scattering.
- Emergent: The parton picture of the proton.
- Partons can be identified with quarks and gluons, their interactions described by QCD.
- Consequences of QCD: Scaling violations (partons in partons).
- Also: Turned back to running coupling, asymptotic freedom and confinement.
- To read: Coughlan, Dodd & Gripaos, “The ideas of particle physics”, Sec 25-26, Sec 30-33.
- Further reading on DIS (recommended): Coughlan, Dodd & Gripaos, “The ideas of particle physics”, Sec 27-29.