RayTracer part II

Last update 2016-03-09

This exercise contributes to the ray tracer project by implementing a module for converting arithmetic expressions into polynomials. The module <code>ExprToPoly</code>, and use the module <code>ExprParse</code> from the first part of the ray tracer assignment.

This exercise sheet must be handed in via LearnIt by March 22nd.

You are welcome to solve the assignments in pairs.

Your solution will consist of several files, see below. As you can only upload one file you zip all the files into one file

Your name must be part of the filename, e.g., BFNP-06-<name1>-<name2>.zip, where <nameX> are the names of the people working together. Everyone must upload the same file to LearnIt. Failing to do so will earn you zero points. An example:

```
BFNP-06-MadsAndersen-ConnieHansen.zip.
```

Please consult chapter 7 about Modules in the F# book and the slides introducing the ray tracer by Jesper Bengtson.

Converting Arithmetic Expressions into Polynomials

A ray tracer works with figures of different forms and shapes in the three dimensional space. As an example, the formula, expressed in Cartesian coordinates, for a *sphere* of radius R, centered at the origin is given by $x^2+y^2+z^2=R^2$. For simplicity we can assume that the radius R is 1 and the formula becomes $x^2+y^2+z^2-1=0$.

A ray tracer also has a ray expressed as p+td, where p is a point in the space, t is a scalar distance and d a normalised direction vector, written $\{d_x,d_y,d_z\}$. One task is to find the distance t where the ray hits the sphere with a given normalised vector d. To solve this we first substitute x, y and z in the formula for the sphere with the ray. That is, substitute x with $p_x + td_x$, y with $p_y + td_y$ and z with $p_z + td_z$.

This results in a new expression for the sphere:

$$(p_x + td_x)^2 + (p_y + td_y)^2 + (p_z + td_z)^2 - 1^2 = 0.$$

The interesting variable is t, that is, what is the closest non-negative distance t for which the normalised vector vector d hits the sphere? Recall that t can be negative (the intersection is behind the ray origin) and there can be more than one solution (a ray can hit the sphere two times). Maybe no solution exists and the ray will not hit the sphere at all. We want to solve the equation with respect to the variable t. In order to do this, we need to simplify the expression as much as possible and turn it into a polynomial with t as the unknown variable. We assume the other variables are known.

The first step is to simplify as much as possible. In plain English we multiply all parentheses such that we have an expression consisting of components that are added and multiplied without the need to write any parentheses. For instance, the equation above becomes:

$$p_x^2 + 2tp_xd_x + t^2d_x^2 + p_y^2 + 2tp_yd_y + t^2d_y^2 + p_z^2 + 2tp_zd_z + t^2d_z^2 - 1^2$$
.

Given the simplified expression we can now collect terms into a polynomial with respect to t:

$$t^2(d_x^2+d_y^2+d_z^2)+t(2p_xd_x+2p_yd_y+2p_zd_z)+(p_x^2+p_y^2+p_z^2-1^2).$$

The purpose of this exercise is not to solve the equation but to take an arbitrary arithmetic expression, simplify it as far as it will go, and then turn it into a polynomial. The expressions that you will work with in the ray tracer project are quite complicated. They include support for rational exponents and division.

This is an introductory assignment where we only consider addition, multiplication, and integer exponents. For representing expressions we use the following datatype:

```
type expr =
   | FNum of float
   | FVar of string
   | FAdd of expr * expr
   | FMult of expr * expr
   | FExponent of expr * int
```

The example expression $x^2 + y^2 + z^2 - 1$ is expressed as

Using the parser ExprParse we can simply write " $x^2 + y^2 + z^2 - 1$ ". To simplify such an expression we can make use of the below straightforward rules. A variable is denoted x, integer constants n and an arbitrary expression e.

Expression e	Reduces to
$(e_1 + e_2) * (e_3 + e_4)$	
e^n	$e * e^{n-1}$ if $n >= 2$
e^n	$e * e * e^{n-2}$ if $n >= 2$
e^1	e
e^0	1
e + 0	e
0+e	e
1 * e	e
e*1	e
e * 0	0
x * x	x^2
$x * x^n$	x^{n+1}

A simplified expression must additionally adhere to the following rules:

- 1. No additions of duplicate expressions as these should be added together. E.g., $x^2 + x^2 = 2x^2$
- 2. No multiplication of duplicate variables as these should be made into exponents. E.g., $x * x = x^2$.
- 3. No occurrences of e+0, 0+e, 1*e or e*1.

Remember the commutative law, a+b=b+a and a*b=b*a, and the associative law, (a+b)+c=a+(b+c) and (a*b)*c=a*(b*c). For instance 2x+5y+3x=5x+5y and $x*x*y*x*y=x^3+y^2$.

Design Template

This section explains one possible design for the simplification process and turning a simplified expression into a polynomial. The design template corresponds to the template file <code>ExprToPoly.fs</code>. Other and possibly better designs exists and you are welcome to go nuts on alternative designs as long as you implement the signature <code>ExprToPoly.fsibelow</code>.

```
module ExprToPoly

type expr = ExprParse.expr
val subst: expr -> (string * expr) -> expr

type simpleExpr
val ppSimpleExpr: simpleExpr -> string
val exprToSimpleExpr: expr -> simpleExpr

type poly
val ppPoly: string -> poly -> string
val simpleExprToPoly: simpleExpr -> string -> poly
```

A few comments on the module signature:

• We use the expr type from the ExprParse.fs file.

- We have included a fully defined pretty print function ppExpr in the template file ExprToPoly.fs.
- A substitution function subst to replace variables with arbitrary expressions. More details below.
- An intermediate representation of expressions suitable for the simplification process, simpleExpr. We have included a pretty print function for debugging purposes in the template file.
- A function, exprToSimpleExpr, to convert expressions of type expr into the simplified representation. More details below.
- A type to represent polynomials poly and a pretty printer ppPoly. This is fully defined in the template file.
- A function simpleExprToPoly that converts a simple expression into a polynomial with respect to one variable.

Substitution

Consider the example spare $x^2 + y^2 + z^2 + -R$ with the following AST:

As explained in the introduction, page 1, we can make the following substitutions: x with $p_x + td_x$, y with $p_y + td_y$ and z with $p_z + td_z$. The expressions to insert can be defined as

```
let ex = FAdd(FVar "px", FMult(FVar "t",FVar "dx"))
let ey = FAdd(FVar "py", FMult(FVar "t",FVar "dy"))
let ez = FAdd(FVar "pz", FMult(FVar "t",FVar "dz"))
let eR = FNum -1.0
```

Notice we are removing four variables "x", "y", "z" and "R" and replace with 7 new variables "px", "py", "pz", "dx", "dy", "dz" and "t".

The substitute function subst takes the expression to substitute in, say sphere and a pair with the variable to replace, say "x", and the expression to substitute with, say ex. This fits perfectly with List.fold and we can do the substitution as

```
 \texttt{let sphereSubst = List.fold subst sphere [("x",ex);("y",ey);("z",ez);("R",eR)]} \\
```

which gives the following result

The function subst is a recursive traversal of the expr type.

```
let rec subst e (x,ex) =
  match e with
  | FNum c -> FNum c
  | FVar s -> failwith "TO BE IMPLEMENTED"
```

Simple Expressions

The intermediate representation simpleExpr is a list of *atom groups*. An atom group is a list of *atoms*:

```
type atom = ANum of float | AExponent of string * int
type atomGroup = atom list
type simpleExpr = SE of atomGroup list
```

An atom is simply a number k, i.e., a float ANum k, or a variable x to some power n, i.e., AExponent (x, n). A variable x is representated as AExponent (x, 1) and x^2 as AExponent (x, 2). An atom group consists of atoms that implicitly are multiplied together. A simple expression is a list of atom groups which are implicitly added together. First step in converting sphere is

```
let sphereSE = simplify sphereSubst
```

and gives the result

```
val sphereSE : atom list list =
  [[ANum 1.0; AExponent ("px",1); AExponent ("px",1)];
  [ANum 1.0; AExponent ("px",1); AExponent ("dx",1); AExponent ("t",1)];
  [ANum 1.0; AExponent ("dx",1); AExponent ("t",1); AExponent ("px",1)];
  [ANum 1.0; AExponent ("dx",1); AExponent ("t",1); AExponent ("dx",1);
  AExponent ("t",1)]; [ANum 1.0; AExponent ("py",1); AExponent ("py",1)];
  [ANum 1.0; AExponent ("py",1); AExponent ("dy",1); AExponent ("t",1)];
  [ANum 1.0; AExponent ("dy",1); AExponent ("t",1); AExponent ("dy",1);
  AExponent ("t",1)]; [ANum 1.0; AExponent ("pz",1); AExponent ("pz",1)];
  [ANum 1.0; AExponent ("pz",1); AExponent ("dz",1); AExponent ("t",1)];
  [ANum 1.0; AExponent ("dz",1); AExponent ("t",1); AExponent ("pz",1)];
  [ANum 1.0; AExponent ("dz",1); AExponent ("t",1); AExponent ("dz",1);
  AExponent ("dz",1); AExponent ("t",1); AExponent ("dz",1);
  AExponent ("t",1)]; [ANum -1.0; ANum -1.0]]
```

Using the pretty printer ppSimpleExpr (SE sphereSE) we get a better overview of the atoms and atom groups:

```
"1*px*px+1*px*dx*t+1*dx*t*px+1*dx*t+dx*t+1*py*py+1*py*dy*t+1*dy*t*py+1*dy*t*dy*t+
1*pz*pz+1*pz*dz*t+1*dz*t*pz+1*dz*t*dz*t+-1*-1"
```

For instance, 1*px*px, is an atom group of three atoms [ANum 1.0; AExponent ("px", 1); AExponent ("px", 1)]. The function simplify is a recursive traversal of an expression of type expr. The template function is given in file ExprToPoly.fs:

```
let rec simplify = function
  | FNum c -> [[ANum c]]
  | FVar s -> [[AExponent(s,1)]]
  | FAdd(e1,e2) -> simplify e1 @ simplify e2
  | FMult(e1,e2) -> failwith "combine ...TO BE IMPLEMENTED"
  | FExponent(e1,0) -> failwith "TO BE IMPLEMENTED"
  | FExponent(e1,1) -> failwith "TO BE IMPLEMENTED"
  | FExponent(e1,n) -> failwith "TO BE IMPLEMENTED"
```

We make use of the table showing how expressions can be reduced on page 2. The case for FAdd simplifies each expression e1 and e2 each giving a list of atom groups. They are simply concatenated as atom groups are implicitly added together. The interesting case is FMult where we have to implement the reduction rule for e1 * e2. The expressions e1 and e2 may be arbitrary, which includes something like $(e_{11} + e_{12}) * (e_{21} + e_{22})$ or $(e_{11} + e_{12} + e_{13}) * (e_{21} + e_{22})$ etc. The purpose of the function combine is to multiply all components and eliminate the paranteses. Notice, that each e_i is an atom group.

Simplifying an Atom Group

The function simplifyAtomGroup makes two simplifications on each atom group:

- The atoms x^n are collected such that each variable x are only represented once. For instance, the atom group [AExponent ("px",1); AExponent ("px",2)] is reduced to [AExponent ("px",3)] because x^1*x^2 is equal to x^3 . This can be implemented using a map mapping variables x to their exponent n. For [AExponent ("px",1)] the map is "px" \rightarrow 1. For the next atom [AExponent ("px",2)] then map gets updated to "px" \rightarrow 3. The map is created folding over the atoms. The map can easily be unfolded to a new atom group with each variable occurring only once.
- Secondly we need to sum all constants k into one sum. E.g., the atom group [ANum -2.0; ANum -2.0] becomes [ANum 4.0]. Remember to consider the cases where the sum is 0.0 and 1.0.1

The call

Simplify Simple Expressions

The function simplifySimpleExpr takes an arbitrary simple expression and simplify it as far as it will go using the following steps:

- 1. Simplifying each atom group using List.map with the function simplifyAtomGroup.
- 2. Adding all atom groups with only constants together. For instance, the following atom groups [[ANum 3.0]; [ANum 4.0]] is reduced to [ANum 7.0]. This is done folding over the atom groups identifying the atom groups with only one atom being of the form ANum k and accumulating the sum.
- 3. Last we group similar atom groups into one group. For instance, the atom groups [[AExponent ("x",2); AExponent ("y",3)]] is reduced to [[ANum 2.0; AExponent ("x",2); AExponent ("y",3)]]. This is done using a map mapping atom groups to an integer being the number of times the atom group has been seen so far. Notice the convenience that we can use a structured type like atomGroup as the type of keys in a map.

The call

¹It is normal practice not to pattern match on float values due to the binary representation. This is however accepted in this assignment.

Polynomials

We represent polynomials with the type poly:

```
type poly = P of Map<int, simpleExpr>
```

The type represents polynomials of the form $x_1^{n_1} * se_1 + \cdots + x_m^{n_m} * se_m$, where x_i are variables and se_i are simple expressions, 0 <= i <= m. For instance, the polynomial

$$t^{2}(d_{x}^{2}+d_{y}^{2}+d_{z}^{2})+t(2p_{x}d_{x}+2p_{y}d_{y}+2p_{z}d_{z})+(p_{x}^{2}+p_{y}^{2}+p_{z}^{2}-1^{2}).$$

is represented by the following map

$$\begin{split} 0 &\to (p_x^2 + p_y^2 + p_z^2 - 1^2) \\ 1 &\to (2p_x d_x + 2p_y d_y + 2p_z d_z) \\ 2 &\to (d_x^2 + d_y^2 + d_z^2). \end{split}$$

where the isolated variable t is implicit. The task is to split all atoms into groups where each atom, being member of the same group, has the variable t as part of the atom with the same power n. Consider the simple expression

$$p_x^2 + 2tp_xd_x + t^2d_x^2 + p_y^2 + 2tp_yd_y + t^2d_y^2 + p_z^2 + 2tp_zd_z + t^2d_z^2 - 1^2.$$

being the simple expression before converting to a polynomial. Given t we can simply go through each atom group, say $2tp_yd_y$ and see if t to some power is part of the group. We create a function ${\tt splitAG}\ v\ {\tt mag}$ that

- 1. iterates over an atom group ag.
- 2. try find the pattern AExponent (v, n) as part of the atom group ag for some power n. If such exists then update the map m with $n \to ag'$ where ag' is the atom group already in the map for the key n extended with the atom group ag with the atom t^n removed.

For instance

```
splitAG "t" Map.empty [ANum 1.0; AExponent ("pz",1); AExponent ("dz",1); AExponent ("t",2)] returns the map
```

```
val m : Map<int, simpleExpr> =
  map [(2, SE [[ANum 1.0; AExponent ("pz",1); AExponent ("dz",1)]])]
```

with and entry for 2 mapped to the atom group without the atom AExponent ("t", 2). If we then apply splitAG again on

```
splitAG "t" m [ANum 1.0; AExponent ("pz",1); AExponent("dz",1); AExponent ("t",2)]
```

we extend the map for the same key 2

Given splitAG you can fold over the atom groups in the simple expression and build the polynomial. Template code is found in ExprToPoly.fs.

Build

A library for ExprToPoly.fs is build as follows:

```
$ fsharpc -a ExprParse.fs ExprToPoly.fsi ExprToPoly.fs
F# Compiler for F# 4.0 (Open Source Edition)
Freely distributed under the Apache 2.0 Open Source License
$ 11 ExprToPoly.dll
-rw-r--r-- 1 nh staff 51712 Mar 7 08:56 ExprToPoly.dll
```

Testing

A number of unit tests are included in the file <code>ExprToPolyTest.fs</code>. The tests are relevant if you use the above design template. First compile the tests including the parser <code>ExprParse.fs</code>.

```
\$ fsharpc ExprParse.fs ExprToPoly.fs ExprToPolyTest.fs F# Compiler for F# 4.0 (Open Source Edition) Freely distributed under the Apache 2.0 Open Source License \$
```

and running the tests

```
$ mono ExprToPolyTest.exe
ExprToPoly Test
TestPoly01 OK
TestPoly02 OK
TestPoly03 OK
TestPoly04 OK
TestPoly05 OK
TestPoly06 OK
TestSimplify01 OK
TestSimplify02 OK
TestSimplify03 OK
TestSimplify04 OK
TestSimplify05 OK
TestSimplify01Parse OK
TestSimplify02Parse OK
TestSimplify03Parse OK
TestSimplify04Parse OK
TestSimplify05Parse OK
TestSimplify06 OK
TestSimplify07 OK
TestSimplify08 OK
TestSimplify09 OK
TestSimplify10 OK
TestSimplify06Parse OK
TestSimplify07Parse OK
TestSimplify08Parse OK
TestSimplify09Parse OK
TestSimplify10Parse OK
TestSphere01 OK
TestSphere02 OK
TestSE01 OK
TestSE02 OK
```