

Name:

Roll No.:

20% if you write "I don't know" for any (sub)question. Not applicable to YES-NO/true-false questions.  
**LAST PAGE is for ROUGH!**

**Q1** [5+2.5+2.5+5=15 points] 1. Fill in the blanks below to complete the algorithm that recursively compute size of the smallest vertex cover of a subtree of binary tree.

```
def VC(node r): // compute optimal vertex cover size for subtree rooted at r
    if r is null: return 0
```

```
// vertex cover values that are computed are memoized
if r.vc is not null: return r.vc // avoid recomputation of memoized values
```

```
// compute optimal vertex cover size when r is part of the cover
```

```
vc_with_r =  $1 + VC(r.left) + VC(r.right)$ 
            (1 line recursive code to compute this value)
```

```
// compute optimal vertex cover size when r is not part of the cover
vc_wo_r = 0
```

```
if r.left is not null:
```

```
    vc_wo_r +=  $1 + VC(r.left.left) + VC(r.left.right)$ 
              (1 line recursive code for left subtree of r)
```

```
if r.right is not null:
```

```
    vc_wo_r +=  $1 + VC(r.right.left) + VC(r.right.right)$ 
              (1 line recursive code for right subtree of r)
```

```
// optimal vertex cover size is the best of two cases
```

```
vc = min (vc_with_r, vc_wo_r)
```

```
// memoize the value
```

```
r.vc = vc
```

```
return r.vc
```

2. What is the asymptotic running time of VC(root of tree T)? Express the running time in terms of any/all of these: number of nodes  $n$ , smallest depth of any leaf  $s$ , largest depth of any leaf  $h$ , number of leaf nodes  $l$ . Ans:  $O(n)$

**Q2** [5+2.5+2.5=10 points] 1. Fill in the blanks in toposort() so that it returns all the nodes of a directed acyclic graph according to a topological ordering. toposort should run in linear-time.

```
def toposort(Graph G): // output vertices according to topological ordering
```

```
    G' =  $reverse(G)$ 
```

```
    run DFS(G') and output vertices when they are finished
```

```
def hampath(Graph G):
```

```
    for each successive pair of vertices (u,v) output by toposort(G):
```

```
        if there is no edge from u to v:
```

```
            return false
```

```
    return true
```

2. Suppose a directed acyclic graph  $G$  has a Hamiltonian path. Does hampath( $G$ ) return true? **Yes**

3. Suppose a directed acyclic graph  $G$  has no Hamiltonian path. Does hampath( $G$ ) return false? **Yes**

Q8 [5+2.5+5+2.5+5=20 points] Consider the following "reduction" from 3SAT to Vertex Cover (VC).

```
def myRed(3SAT formula  $F$ ):
     $n$  = number of variables in  $F$ ,  $m$  = number of clauses in  $F$ 
    Construct empty graph  $G$ 
    For every variable  $X_i$  in  $F$ :
        add a vertex  $v_i^T$  and  $v_i^F$ 
        add edge between these two vertices
    For every clause  $C_j = (l_1^j \vee l_2^j \vee l_3^j)$ : //  $l_k^j$  denotes literals in  $C_j$ 
        add vertices  $u_1^j, u_2^j, u_3^j$ 
        add edges between these three vertices
        for  $k = 1, 2, 3$ :
            if literal  $u_k^j$  is a variable  $X_i$ :
                add edge between  $u_k^j, v_i^T$ 
            if literal  $u_k^j = \overline{X_i}$  (negation of a variable):
                add edge between  $u_k^j, v_i^F$ 
    Set  $k$  in some manner that will be derived below
    Return  $G, k$ 
```

1. If  $F$  is satisfiable, then  $G$  has a vertex cover with  $\leq \boxed{n+2m}$  vertices
2. Any vertex cover of  $G$  must have  $\geq \boxed{n+2m}$  vertices
3. If  $F$  is not satisfiable, then any vertex cover of  $G$  must have  $> \boxed{n+2m}$  vertices
4. In the above reduction, set  $k = \boxed{n+2m}$
5. Write the algorithm for a reduction from 3SAT to VC that outputs a graph with at most  $3m$  vertices (notes that the above reduction outputs a graph with  $3m + 2n$  vertices).

```
def myBetterRed(3SAT formula  $F$ ):
     $n$  = number of variables in  $F$ ,  $m$  = number of clauses in  $F$ 
    Construct empty graph  $G$ 
    For every variable  $X_i$  in  $F$ :
        // add, if necessary (write in words, not code)
```

```
For every clause  $C_j = (l_1^j \vee l_2^j \vee l_3^j)$ : //  $l_k^j$  denotes literals in  $C_j$ 
    // add, if necessary (write in words, not code)
```

create triangle, one node for each literal and store literals with nodes

Set  $k = \boxed{2m}$

```
// add, if necessary (write in words, not code)
```

add edges between nodes that correspond to a variable and its negation

Return  $G, k$



**Q4** [2.5+10+2.5=15 points] Consider the following algorithm to return a hitting set of a set system  $\{U, T = \{S_1, S_2, S_3, \dots, S_k\}\}$  in which  $U$  denotes an universe of elements and the  $S_i$ 's are subsets over  $U$ . Do not ask what the Hitting Set problem is about.

```
def myHS( $U, T = \{S_1, S_2, \dots, S_k\}$ ):
     $h = \{\}$ 
    while  $T$  is not-empty:
        arbitrarily choose some subset  $S$  from  $T$ 
        add elements of  $S$  to  $h$ 
        remove all subsets in  $T$  that intersect with  $S$ 
    return  $h$ 
```

1. Does myHS return some hitting set of  $(U, T)$ ? Say YES/NO. Yes
2. If yes, state and derive the relative-approximation ratio of myHS. The ratio can be stated in terms of all/any of  $k$  (the number of subsets in  $T$ ),  $n$  (the number of elements in  $U$ ),  $s$  (size of the largest subset in  $T$ ),  $m$  (size of the smallest subset in  $T$ ),  $b$  (the largest number of subsets any element belongs to).  
If no, give a counter-example and explain why the output of myHS is not a proper hitting set.

Let  $S_{i_1}, S_{i_p}, \dots, S_{i_p}$  be the subsets chosen by myHS. Since these subsets do not have any overlap, therefore,  $OPT$  must contain at least one element from each of them; therefore,  $OPT \geq p$ .  
Let  $HS$  denote the size of the hitting set returned by myHS. Since  $HS \leq p \times s$ , therefore,  $HS \leq OPT \times s$ , i.e., myHS has a relative approximation ratio of  $s$  (the size of the largest subset in  $T$ ).

3. Suppose the subset  $S$  in myHS is always chosen to be the smallest subset in  $T$ . In that case will myHS return the smallest hitting set? Say YES/NO. No

**Q5** [5+5=10 points] Suppose we want to obtain 1000/999-relative approximation to the solution of a Knapsack instance and we run the scaling-based approximation algorithm that was studied in lecture.

1. In that algorithm, the original value, say  $v_i$  of item  $i$ , is modified, to  $v'_i$ . Explain how  $v'_i$  should be computed from  $v_i$  using  $n, v_{max}$  and constants. Keep the specific approximation ratio in mind.

$$v'_i = \lfloor \frac{1000nv_i}{v_{max}} \rfloor$$

2. After scaling, the Knapsack instance with the modified values is solved using a dynamic programming algorithm. What would be the running time of this algorithm in terms of the number of items  $n$ ? Explain.

$$T = O(n \sum_i v_i). \text{ Now, } \sum_i v_i \leq \sum_i \frac{1000nv_i}{v_{max}} \leq 1000n^3. \text{ Therefore, } T = O(n^3).$$

**Q8** [5 points] Let  $\langle a_0 \dots a_{n-1} \rangle$  denote the coefficients of the degree- $(n-1)$  polynomial  $A(x)$ . Fill in the blanks to give us a  $DFT_n(A_n)$  computation algorithm that runs in  $O(n \log n)$  time.

```
def DFTn( $\langle a_0 \dots a_{n-1} \rangle$ ):
    if n=1: return  $\langle a_0 \rangle$ 
    else:
         $\langle y_0^{even}, \dots, y_{n/2-1}^{even} \rangle \leftarrow DFT_{n/2}(\langle a_0, a_2, \dots, a_{n-2} \rangle)$ 
         $\langle y_0^{odd}, \dots, y_{n/2-1}^{odd} \rangle \leftarrow DFT_{n/2}(\langle a_1, a_3, \dots, a_{n-1} \rangle)$ 
        for k=0 to n-1:
```

$$y_k = y_{k \bmod n/2}^{even} + e^{2\pi i k/n} y_{k \bmod n/2}^{odd}$$

```
    return  $\langle y_0, \dots, y_{n-1} \rangle$ 
```

**Q9** [5 points] This question is regarding Hirshberg's technique for computing the optimal edit sequence from  $A[1 \dots m]$  to  $B[1 \dots n]$ . Recall that  $H(i, j)$  is a value such that some optimal edit sequence from  $A[1 \dots i]$  contains an optimal edit sequence from  $A[1 \dots m/2]$  to  $B[1 \dots H(i, j)]$ . Give recursive expressions to compute  $T(m, n)$  which is the running time of the edit-sequence dynamic programming algorithm using Hirshberg's space optimization.

$T(m, n) =$

$$T(m, n) = \begin{cases} O(n) & \text{if } m \leq 1 \\ O(m) & \text{if } n \leq 1 \\ O(mn) + T(m/2, \text{Half}(m, n)) + T(m/2, n - \text{Half}(m, n)) & \text{otherwise} \end{cases}$$

**Q10** [2.5 points] Select the time complexity to construct a 1D balanced interval tree from  $n$  intervals.  
 $O(\log n)$      $O(n)$      $O(n \log n)$      $O(n^2)$      $O(n^2 \log n)$

**Q11** [2.5 points] Let  $n_1$  be the number of binary search trees (BSTs) that can be created using the values 54, 12, 91, 100, 98, 7, 29, 88, 16. Let  $n_2$  be the number of BSTs that can be created using the values 4, 12, 91, 100, 98, 7, 29, 88, 116, and  $n_3$  be the number of BSTs that can be created using the values 54, 12, 9, 10, 8, 7, 29, 88, 16. Relate the values  $n_1, n_2, n_3$  (using  $\leq, <, =, >, \geq$ ).  $n_1 = n_2 = n_3$

**Q12** [2.5 points] Let  $J(n)$  be the total number of nodes in a 1D range tree on  $n$  values that is created in a dynamic manner (so you cannot assume any fixed structure of the tree or any ordering of the values that were inserted in the tree). Give an expression for  $J(n)$ . Write an exact expression or tight upper and/or lower bounds with/without asymptotic notation (basically, the tightest that you can derive).  $J(n) = 2n - 1$

**Q13** [5 points] Consider a disjoint-set implemented using "shallow threaded trees" (discussed in class as shallow reversed trees + threading + wtd. union) — don't ask what this is. State the *total* complexity of making  $n$  MakeSet and  $n^2$  Union calls. There could be duplicate Union calls also or in-effectual calls (e.g., Union(x,y) where  $x$  and  $y$  already belong to the same set — Union(x,y) will not change anything in this case).

$$O(n + n \log n + (n^2 - n)) = O(n^2 + n \log n) = O(n^2)$$

**Q14** [1+2+2=5 points] Determine (i) running time, (ii) additive and (iii) relative approximation ratio of this.

```
def PlanarChromaticBetter(planar G):
    if G has no edge: return 1
    if G is bipartite : return 2
    else return 4
```

(i) running time =  $O(V + E)$  (ii) additive approx. ratio = 1 (iii) relative approx. ratio =  $4/3$



**Q0** [5+5+2.5+2.5+2.5+2.5=20 points] The 3WAYPARTITION problem takes as input an array  $A$  of positive integers (duplicates allowed) and returns YES if  $A$  can be partitioned into three disjoint subsets  $B, C, D$  such that  $B \cup C \cup D = A$  and  $\sum_{x \in B} x = \sum_{x \in C} x = \sum_{x \in D} x$ . Answer these questions below to give a dynamic programming based algorithm for solving 3WAYPARTITION. *Hint: Think of SUBSET-SUM.*

(a) Define a suitable subproblem  $M[\dots]$ . *Hint: These could be Boolean values.*

Boolean variable  $M[i, s, t] = \text{True}$  iff there are three partitions of  $\{a_1, \dots, a_i\}$  with sums  $s, t$  and  $\sum_{j=1}^i a_j - s - t$ , respectively.

(b,c) Write a recursive formula to compute the values of  $M[\dots]$  including its base case(s).

Base case would be:  $M[3, s, t] = \text{True}$  iff  $s \in \{a_1, a_2, a_3\}$  and  $t \in \{a_1, a_2, a_3\} \setminus \{s\}$ .

Recurrence would be:  $M[i, s, t] = \text{True}$  iff  $M[i-1, s - A_i, t] \vee M[i-1, s, t - A_i] \vee M[i-1, s, t]$  is True.

(d) Explain a suitable memoization data-structure and how to fill this data-structure.

Let  $S = \sum_i A_i / 3$ .

Use a three-dimensional array of dimension  $n \times S \times S$  to store the memoized values. First, compute  $M[3, s, t]$  for all  $s$  and  $t$  starting from  $s = 1 \dots S$  and  $t = 1 \dots S$ . Then, compute  $A[i, s = 1 \dots S, t = 1 \dots S]$  in increasing manner of  $i$ .

(e) How to solve the 3WAYPARTITION problem using the memoization data structure?

Look at  $M[n, S, S]$ .

(f) Discuss the time-complexity of your algorithm, including any possible optimization.

The entire table needs to be computed and for computing each entry requires constant time. So the time-complexity is  $O(nS^2)$ .

(g) Discuss the space-complexity of your algorithm, including any possible optimization.

$A[i, \dots]$  can be computed solely from  $A[i-1, \dots]$ . Therefore, only two values of the first index needs to remain in memory. Thus space complexity is  $O(S^2)$ .

**Q7** [2.5 points] Suppose we want to compute the diameter of a tree (not necessarily binary). Let  $diam(v)$  denote the diameter of the subtree rooted at  $v$ . For any internal node  $v$ ,  $diam(v)$  can be calculated solely from the  $diam(v.child)$  values corresponding to all children of  $v$ . YES/NO ☐ No