Notes: Transactions and Locks

Instructions:

- 1. Circle all SQL commands that block.
- 2. Write an X through all commands that error.
- 3. Write the output of each SELECT statement.

1 Basic Transactions

Problem 1:

```
Session 1

CREATE TABLE t (a INT);

1

2 SELECT count(*) FROM t;

3 INSERT INTO t VALUES (5);

4 INSERT INTO t VALUES (6);

5 SELECT count(*) FROM t;

6 INSERT INTO t VALUES (2);

6

7 SELECT count(*) FROM t;
```

Problem 2:

```
Session 1

CREATE TABLE t (a INT);

2

2 SELECT count(*) FROM t;

3 BEGIN;

4 INSERT INTO t VALUES (5);

5 INSERT INTO t VALUES (6);

6

6 SELECT count(*) FROM t;

7 INSERT INTO t VALUES (2);

8 COMMIT;

9 SELECT count(*) FROM t;
```

Problem 3:

```
Session 1

CREATE TABLE t (a INT);

2

2 SELECT count(*) FROM t;

3 BEGIN;

4 INSERT INTO t VALUES (5);

5 INSERT INTO t VALUES (6);

6

6 SELECT count(*) FROM t;

7 INSERT INTO t VALUES (2);

8 ROLLBACK;

9 SELECT count(*) FROM t;
```

Problem 4:

```
Session 1

CREATE TABLE t (a INT);

2

2 SELECT count(*) FROM t;

3 BEGIN;

4 INSERT INTO t VALUES (5);

5 INSERT INTO t VALUES (6);

6

6 SELECT count(*) FROM t;

7 INSERT INTO t VALUES (2);

8 ABORT;

9 SELECT count(*) FROM t;
```

2 Isolation Levels

Problem 5:

```
Session 1

1 CREATE TABLE t (a INT);

2 2 BEGIN;

3 SELECT count(*) FROM t;

4 BEGIN;

5 INSERT INTO t VALUES (5);

6 INSERT INTO t VALUES (6);

7 SELECT count(*) FROM t;

8 INSERT INTO t VALUES (2);

9 COMMIT;

10 SELECT count(*) FROM t;

11 COMMIT;
```

Problem 6:

```
Session 1
                                          Session 2
1 CREATE TABLE t ( a INT );
                                          2 BEGIN ISOLATION LEVEL
3
                                          3 REPEATABLE READ;
4
                                          4 SELECT count(*) FROM t;
5 BEGIN;
6 INSERT INTO t VALUES (5);
7 INSERT INTO t VALUES (6);
                                         8 SELECT count(*) FROM t;
9 INSERT INTO t VALUES (2);
                                         9
10 COMMIT;
                                         10
                                         11 SELECT count(*) FROM t;
                                         12 COMMIT;
```

3 Explicit Locks

Problem 7:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN EXCLUSIVE MODE;

BEGIN;

LOCK TABLE t IN EXCLUSIVE MODE;

LOCK TABLE t IN SHARE MODE;

LOCK TABLE t IN SHARE MODE;

COMMIT;

COMMIT;
```

Problem 8:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN SHARE MODE;

BEGIN;

LOCK TABLE t IN SHARE MODE;

LOCK TABLE t IN EXCLUSIVE MODE;

COMMIT;

COMMIT;
```

Problem 9:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN SHARE MODE;

BEGIN;

LOCK TABLE t IN SHARE MODE;

BEGIN;

LOCK TABLE t IN ROW SHARE MODE;

COMMIT;

COMMIT;
```

Problem 10:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN EXCLUSIVE MODE;

COMMIT;

Session 2

1

2

3

LOCK TABLE t IN EXCLUSIVE MODE;

4

5

BEGIN;

6

LOCK TABLE t IN EXCLUSIVE MODE;

7

COMMIT;
```

Problem 11:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN ROW EXCLUSIVE MODE;

4

BEGIN;

LOCK TABLE t IN ROW SHARE MODE;

COMMIT;

COMMIT;
```

4 Deadlocks

Problem 12:

```
Session 1
                                         Session 2
1 CREATE TABLE t ( a INT );
                                        2 CREATE TABLE u ( a INT );
3 BEGIN;
                                         3
4 LOCK TABLE t IN EXCLUSIVE MODE;
                                         4
                                        5 BEGIN;
                                        6 LOCK TABLE u IN EXCLUSIVE MODE;
7 LOCK TABLE u IN EXCLUSIVE MODE;
                                        7
                                        8 LOCK TABLE t IN EXCLUSIVE MODE;
9 COMMIT;
                                         9
                                        10 COMMIT;
```

Problem 13:

Problem 14:

```
Session 1

CREATE TABLE t (a INT);

1
2
2 CREATE TABLE u (a INT);

3 BEGIN;

4 LOCK TABLE t IN SHARE MODE;

5 BEGIN;

6 LOCK TABLE u IN EXCLUSIVE MODE;

7 LOCK TABLE u IN ROW EXCLUSIVE MODE;

8 LOCK TABLE t IN ROW SHARE MODE;

9 COMMIT;
```

Problem 15:

```
Session 1

1 CREATE TABLE t (a INT);
2 2 2 CREATE TABLE u (a INT);
3 BEGIN;
4 LOCK TABLE t IN SHARE MODE;
5 LOCK TABLE u IN ROW EXCLUSIVE MODE;
6 COMMIT;
6
7 BEGIN;
8 LOCK TABLE u IN EXCLUSIVE MODE;
9 LOCK TABLE t IN ROW SHARE MODE;
10 COMMIT;
```

Problem 16:

```
Session 2
Session 1
1 CREATE TABLE t ( a INT );
                                          2 CREATE TABLE u ( a INT );
3 BEGIN;
                                          3
4 LOCK TABLE t IN SHARE MODE;
                                          4
5 LOCK TABLE u IN ROW EXCLUSIVE MODE;
                                          6 BEGIN;
7
                                          7 LOCK TABLE u IN EXCLUSIVE MODE;
                                          8 LOCK TABLE t IN ROW SHARE MODE;
9 COMMIT;
                                          9
                                         10 COMMIT;
```

Problem 17:

5 Implicit Locks I

Problem 18:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN ACCESS EXCLUSIVE MODE; 3

INSERT INTO t VALUES (5);

COMMIT;
```

Problem 19:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN ACCESS EXCLUSIVE MODE;

Which is a session 2

Session 2

A SELECT count (*) FROM t;

Session 2

A SELECT count (*) FROM t;
```

Problem 20:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN SHARE MODE;

A INSERT INTO t VALUES (1);

COMMIT;
```

Problem 21:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN SHARE MODE;

A SELECT count(*) FROM t;

Session 2

1

2

3

4

4

SELECT count(*) FROM t;
```

Problem 22:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN ROW SHARE MODE;

A UNSERT INTO t VALUES (1);

Session 2

1

1

2

3

4

INSERT INTO t VALUES (1);
```

6 Implicit Locks II

Problem 23:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN ROW EXCLUSIVE MODE;

COMMIT;

Session 2

1

2

4

CREATE INDEX ON t(a);
```

Problem 24:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN ROW EXCLUSIVE MODE;

A CREATE INDEX CONCURRENTLY ON t(a);

COMMIT;
```

Problem 25:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN SHARE MODE;

WACUUM t;

COMMIT;
```

Problem 26:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN ROW SHARE MODE;

A VACUUM FULL t;

COMMIT;
```

Problem 27:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN ROW EXCLUSIVE MODE;

A ANALYZE t;

COMMIT;
```

Problem 28:

```
Session 1

CREATE TABLE t (a INT);

BEGIN;

LOCK TABLE t IN ROW EXCLUSIVE MODE;

A CLUSTER t;

COMMIT;
```

7 Implicit Locks III

Problem 29:

```
      Session 1
      Session 2

      1 CREATE TABLE t (a INT);
      1

      2 BEGIN;
      2

      3 INSERT INTO t VALUES (5);
      3

      4 BEGIN;
      5 INSERT INTO t VALUES (6);

      6 COMMIT;
      6

      7 COMMIT;
      7
```

Problem 30:

```
Session 1

1 CREATE TABLE t (a INT);
1
2 BEGIN;
3 ANALYZE t;
4 BEGIN;
5 INSERT INTO t VALUES (6);
6 COMMIT;
6
7 COMMIT;
```

8 Implicit Locks IV: Unique constraints

NOTE: Insertion non-NULL values into a UNIQUE column acquires an EXCLUSIVE lock.

Problem 31:

```
Session 1

CREATE TABLE t (a INT UNIQUE);

BEGIN;

INSERT INTO t VALUES (5);

4

BEGIN;

INSERT INTO t VALUES (6);

COMMIT;

COMMIT;
```

Problem 32:

```
Session 1

CREATE TABLE t (a INT UNIQUE);

BEGIN;

INSERT INTO t VALUES (NULL);

BEGIN;

INSERT INTO t VALUES (NULL);

INSERT INTO t VALUES (6);

INSERT INTO T VALUES (6);

COMMIT;
```

Problem 33:

```
Session 1

CREATE TABLE t (a INT UNIQUE);

BEGIN;

INSERT INTO t VALUES (5);

4

BEGIN;

INSERT INTO t VALUES (5);

COMMIT;

COMMIT;

Session 2

A BEGIN;

INSERT INTO t VALUES (NULL);

COMMIT;
```

Problem 34:

```
Session 1

CREATE TABLE t (a INT UNIQUE);

2

CREATE TABLE u (a INT UNIQUE);

3 BEGIN;

4 INSERT INTO t VALUES (NULL);

5

6 INSERT INTO u VALUES (6);

7 INSERT INTO u VALUES (6);

8 INSERT INTO t VALUES (8);

9 COMMIT;
```

Problem 35:

```
Session 1

CREATE TABLE t (a INT UNIQUE);

BEGIN;

INSERT INTO t VALUES (5);

INSERT INTO u VALUES (NULL);

Session 2

CREATE TABLE u (a INT UNIQUE);

BEGIN;

INSERT INTO u VALUES (7);

INSERT INTO u VALUES (NULL);

Session 2

CREATE TABLE u (a INT UNIQUE);

INSERT INTO u VALUES (7);

INSERT INTO u VALUES (7);

SESSION 2

CREATE TABLE u (a INT UNIQUE);

INSERT INTO u VALUES (7);

REPROME THE COMMIT;

COMMIT;
```

Problem 36:

```
Session 1

CREATE TABLE t (a INT UNIQUE);

2

CREATE TABLE u (a INT UNIQUE);

3 BEGIN;

4 INSERT INTO t VALUES (5);

5 5 BEGIN;

6 INSERT INTO u VALUES (7);

7 INSERT INTO u VALUES (6);

8 INSERT INTO t VALUES (NULL);

9 COMMIT;
```

9 Implicit Locks V: Row Level

Problem 37:

```
Session 1

1 CREATE TABLE t (a INT);
2 INSERT INTO t VALUES (1);
3 INSERT INTO t VALUES (2);
4 INSERT INTO t VALUES (3);
5 BEGIN;
6 BEGIN;
7 UPDATE t SET a=6 WHERE a=1;
8 UPDATE t SET a=7 WHERE a=1;
9 COMMIT;
10 COMMIT;
```

Problem 38:

```
Session 1

1 CREATE TABLE t (a INT);
2 INSERT INTO t VALUES (1);
3 INSERT INTO t VALUES (2);
4 INSERT INTO t VALUES (3);
5 5 BEGIN;
6 BEGIN;
7 UPDATE t SET a=6 WHERE a=1;
8 DELETE FROM t WHERE a=2;
9 COMMIT;
10 COMMIT;
```

Problem 39:

```
Session 1

1 CREATE TABLE t (a INT);
2 INSERT INTO t VALUES (1);
3 INSERT INTO t VALUES (2);
4 INSERT INTO t VALUES (3);
5 5 BEGIN;
6 BEGIN;
7 UPDATE t SET a=6 WHERE a=1;
8 DELETE FROM t WHERE a=1;
9 COMMIT;
10 COMMIT;
```

Problem 40:

```
Session 1
                                          Session 2
1 CREATE TABLE t ( a INT );
2 INSERT INTO t VALUES (1);
                                          2
3 INSERT INTO t VALUES (2);
                                          3
4 INSERT INTO t VALUES (3);
                                          4
5
                                          5 BEGIN;
6 BEGIN;
                                          6
7 UPDATE t SET a=6 WHERE a=1;
                                          8 DELETE FROM t;
                                          9 COMMIT;
10 COMMIT;
```

Problem 41:

```
Session 1

1 CREATE TABLE t ( a INT );
2 INSERT INTO t VALUES (1);
3 INSERT INTO t VALUES (2);
4 INSERT INTO t VALUES (3);
5 5 BEGIN;
6 BEGIN;
7 DELETE FROM t WHERE a=3;
8 UPDATE t SET a=5;
9 COMMIT;
10 COMMIT;
```

Problem 42:

```
Session 1
                                          Session 2
1 CREATE TABLE t ( a INT );
2 INSERT INTO t VALUES (1);
                                          2
3 INSERT INTO t VALUES (2);
                                          3
4 INSERT INTO t VALUES (3);
                                          4
5
                                          5 BEGIN;
6 BEGIN;
7 DELETE FROM t WHERE a=4;
                                         8 UPDATE t SET a=5;
                                          9 COMMIT;
10 COMMIT;
```

Problem 43:

```
Session 1

1 CREATE TABLE t ( a INT ); 1
2 INSERT INTO t VALUES (1); 2
3 INSERT INTO t VALUES (2); 3
4 INSERT INTO t VALUES (3); 5
5 BEGIN; 6
6 BEGIN; 7 UPDATE t SET a=6 WHERE a=1; 7
8 WPDATE t SET a=7 WHERE a=2; 9 COMMIT; 10 COMMIT;
```

Problem 44:

```
Session 1
                                           Session 2
1 CREATE TABLE t ( a INT );
2 INSERT INTO t VALUES (1);
                                           2
3 INSERT INTO t VALUES (2);
                                           3
4 INSERT INTO t VALUES (3);
                                           4
5
                                           5 BEGIN;
6 BEGIN;
7 SELECT \star FROM t WHERE a=2 FOR UPDATE; 7
                                           8 UPDATE t SET a=7 WHERE a=2;
                                           9 COMMIT;
10 COMMIT;
```

Problem 45:

```
Session 1

CREATE TABLE t (a INT);

I NSERT INTO t VALUES (1);

I NISERT INTO t VALUES (2);

I NISERT INTO t VALUES (3);

BEGIN;

BEGIN;

SELECT * FROM t WHERE a=2 FOR UPDATE;

WHERE a=3;

COMMIT;

Session 2

UPDATE t SET a=7 WHERE a=3;

COMMIT;
```

Problem 46:

```
Session 1
                                           Session 2
1 CREATE TABLE t ( a INT );
2 INSERT INTO t VALUES (1);
                                          2
3 INSERT INTO t VALUES (2);
                                          3
4 INSERT INTO t VALUES (3);
                                          4
5
                                          5 BEGIN;
6 BEGIN;
                                          6
7 SELECT * FROM t FOR UPDATE;
                                          8 UPDATE t SET a=7 WHERE a=3;
                                          9 COMMIT;
10 COMMIT;
```

10 Isolation Levels II

Problem 47:

```
Session 1

CREATE TABLE t ( a INT );

I INSERT INTO t VALUES (9);

INSERT INTO t VALUES (10);

BEGIN;

UPDATE t SET a = a+1;

TO DELETE FROM t WHERE a=10;

COMMIT;

Session 2

DELETE FROM t WHERE a=10;

COMMIT;
```

Problem 48:

```
Session 1

1 CREATE TABLE t (a INT);
2 INSERT INTO t VALUES (9);
3 INSERT INTO t VALUES (10);
4 BEGIN;
5 5 BEGIN;
6 UPDATE t SET a = a+1;
7 The property of th
```

Problem 49:

```
Session 1

1 CREATE TABLE t (a INT);
2 INSERT INTO t VALUES (9);
3 INSERT INTO t VALUES (10);
4 BEGIN;
5 5 BEGIN ISOLATION LEVEL
6 REPEATABLE READ;
7 UPDATE t SET a = a+1;
8 DELETE FROM t WHERE a=10;
9 COMMIT;
10 COMMIT;
```

Problem 50:

```
Session 1

1 CREATE TABLE t ( a INT );
2 INSERT INTO t VALUES (9);
3 INSERT INTO t VALUES (10);
4 BEGIN;
5 5 BEGIN ISOLATION LEVEL
6 6 REPEATABLE READ;
7 UPDATE t SET a = a+1;
8 DELETE FROM t WHERE a=10;
9 COMMIT;
10 ABORT;
```

11 Foreign Keys I

Problem 51:

```
Session 1

CREATE TABLE t(a INT UNIQUE);
1
2 CREATE TABLE u(b INT REFERENCES t(a)); 2
3 BEGIN;
4 4 BEGIN;
5 INSERT INTO t VALUES (9);
6 6 6 INSERT INTO u VALUES (9);
7 COMMIT;
8 COMMIT;
```

Problem 52:

```
Session 1

CREATE TABLE t(a INT UNIQUE);

CREATE TABLE u(b INT REFERENCES t(a));

3

BEGIN;

4 INSERT INTO t VALUES (9);

5 INSERT INTO u VALUES (9);

6 COMMIT;
```

12 Foreign Keys II

Problem 53:

```
Session 1

CREATE TABLE t(a INT UNIQUE);

CREATE TABLE u(b INT REFERENCES t(a));

INSERT INTO t VALUES (8);

INSERT INTO t VALUES (9);

BEGIN;

INSERT INTO u VALUES (9);

NOTE: The companient of the companient
```

Problem 54:

```
Session 1

CREATE TABLE t(a INT UNIQUE);

CREATE TABLE u(b INT REFERENCES t(a));

INSERT INTO t VALUES (8);

INSERT INTO t VALUES (9);

BEGIN;

BEGIN;

INSERT INTO u VALUES (9);

BEGIN;

COMMIT;

COMMIT;

Session 2

Bession 2
```

Problem 55:

```
Session 1

CREATE TABLE t(a INT UNIQUE);

CREATE TABLE u(b INT REFERENCES t(a));

INSERT INTO t VALUES (8);

INSERT INTO t VALUES (9);

BEGIN;

INSERT INTO u VALUES (9);

ABORT;

BEGIN;

COMMIT;
```

Problem 56:

```
Session 1

CREATE TABLE t(a INT UNIQUE);

CREATE TABLE u(b INT REFERENCES t(a));

NSERT INTO t VALUES (8);

INSERT INTO t VALUES (9);

BEGIN;

BEGIN;

INSERT INTO u VALUES (9);

ABORT;

OBJECT:

Session 2

Bession 2
```

Problem 57:

```
Session 1

CREATE TABLE t(a INT UNIQUE);

CREATE TABLE u(b INT REFERENCES t(a));

Insert into t values (8);

Insert into t values (9);

BEGIN;

Insert into t values (9);

Insert into
```

Problem 58:

```
Session 1

CREATE TABLE t(a INT UNIQUE);

CREATE TABLE u(b INT REFERENCES t(a));

INSERT INTO t VALUES (8);

INSERT INTO t VALUES (9);

BEGIN;

BEGIN;

BEGIN;

COMMIT;

Session 2

Bession 2

Bession
```

13 Deferring Constraints

Note: For each problem, you should consider what would happen both with and without the DEFERRABLE INITIALLY DEFERRED line.

Problem 59:

```
Session 1

CREATE TABLE t (

a INT UNIQUE

DEFERRABLE INITIALLY DEFERRED

);

BEGIN;

INSERT INTO t VALUES (8);

INSERT INTO t VALUES (8);

COMMIT;
```

Problem 60:

```
Session 1
                                            Session 2
1 CREATE TABLE t (a INT UNIQUE);
2 CREATE TABLE u (
      b INT REFERENCES t(a)
                                           3
       DEFERRABLE INITIALLY DEFERRED
                                            5
       );
6 BEGIN;
                                           6
                                             BEGIN;
                                           8
                                              INSERT INTO u VALUES (8);
9 INSERT INTO t VALUES (8);
                                           9
10 COMMIT;
                                           10
                                           11 COMMIT;
```

Problem 61:

```
Session 1
                                        Session 2
1 CREATE TABLE t (a INT UNIQUE);
2 CREATE TABLE u (
3 b INT REFERENCES t(a)
     DEFERRABLE INITIALLY DEFERRED
     );
6 BEGIN;
                                        7 BEGIN;
7
                                        8 INSERT INTO u VALUES (8);
9 INSERT INTO t VALUES (8);
                                        9
10 ABORT;
                                       10
                                       11 COMMIT;
```

Problem 62:

```
Session 2
Session 1
1 CREATE TABLE t (a INT UNIQUE);
                                       1
2 CREATE TABLE u (
3 b INT REFERENCES t(a)
                                       3
    DEFERRABLE INITIALLY DEFERRED
                                       4
5);
6 BEGIN;
7
                                       7 BEGIN;
                                       8 INSERT INTO u VALUES (8);
9 LOCK TABLE t IN EXCLUSIVE MODE;
                                      9
                                     10 COMMIT;
11 INSERT INTO t VALUES (8);
12 COMMIT;
```

Problem 63:

```
Session 1
                                         Session 2
1 CREATE TABLE t (a INT UNIQUE);
2 CREATE TABLE u (
3 b INT REFERENCES t(a)
4 DEFERRABLE INITIALLY DEFERRED 5);
                                         3
                                        4
                                         5
6 BEGIN;
7
                                        7 BEGIN;
                                        8 INSERT INTO u VALUES (8);
9 LOCK TABLE t IN EXCLUSIVE MODE;
                                        9
                                        10 COMMIT;
11 COMMIT;
```