

Registration and Pre-Analysis Plans

Making research more transparent and reproducible

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Outline

Implementation
Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

What have we learned?

- Publication bias is a problem.
- Registration can help with publication bias.
- P-hacking/specification searching is a problem.
- Pre-analysis plans can help with specification searching.
- What should we include in our PAP, and where should we post it?

Publication Bias

Implementation

Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

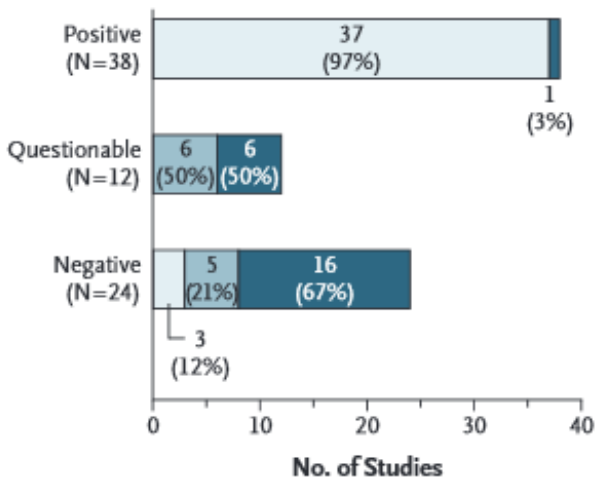
Existence of the problem:

- Effect sizes diminish with sample size (Gerber, Green, Nickerson 2001)
- There is a higher fraction of rejected hypothesis tests in social compared to hard sciences (Fanelli 2010).
- Published null results are disappearing over time, in all disciplines (Fanelli 2011).
- Data on the complete set of experiments run shows strong results are 40pp more likely to be published, and 60pp more likely to be written up. The file drawer problem is large. (Franco, Malhotra, Simonovits 2014)

- Published, agrees with FDA decision
- Published, conflicts with FDA decision
- Not published

A Studies (N=74)

FDA Decision



Publication Bias

Implementation:
Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline
Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

If we only write up/publish significant results, and we have no record of all the insignificant results, we have no way to tell if our ‘significant’ results are real, or if they’re the 5% we should expect due to noise.

Registrations-Medicine

Implementation

Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

- Publicly stating all research you will do, and what hypotheses you will test, prospectively.
- Store this statement in a public registry.
- Near universal adoption in medical RCTs. Top journals (ICMJE) won't publish if it's not registered.
- Largest: <http://clinicaltrials.gov>
- Even better if registry requires registering outcomes after study. Currently limited, and poor compliance (Anderson et al. 2015) but NIH is moving on this. [Link](#)

Registrations-Social Sciences

- Newer to social sciences, but good locations for several fields.

- AEA registry, currently only for RCTs.

<http://socialscienceregistry.org>

- “J-PAL supports the American Economic Association’s (AEA) registry for randomized controlled trials in economics (<http://socialscienceregistry.org>). It is a free, easy-to-use database that makes access to trial results more transparent, aims to address the growing number of requests for registration by funders and referees, and helps solve the problem of publication bias by providing a single place where all trials are registered in advance of their start. As of May 31, 2015, the AEA Registry had a total of 379 registered controlled trials in 70 different countries. See how the registry continues to grow below.” [Link](#)

Registrations-Social Sciences

Implementation
Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

- **EGAP registry**

<http://egap.org/design-registration>

- **3ie registry, for developing country evaluations.**

<http://ridie.3ieimpact.org>

- **Open Science Framework** <http://osf.io>

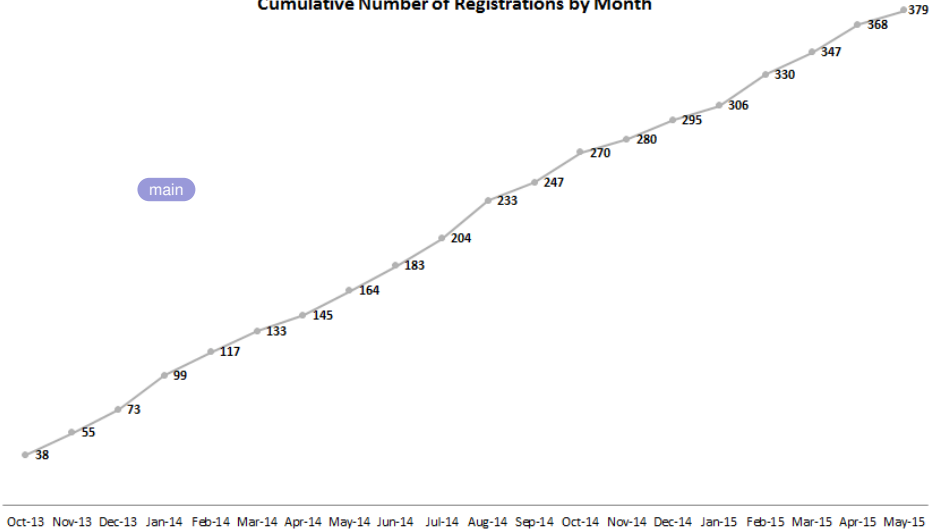
- **Open format**

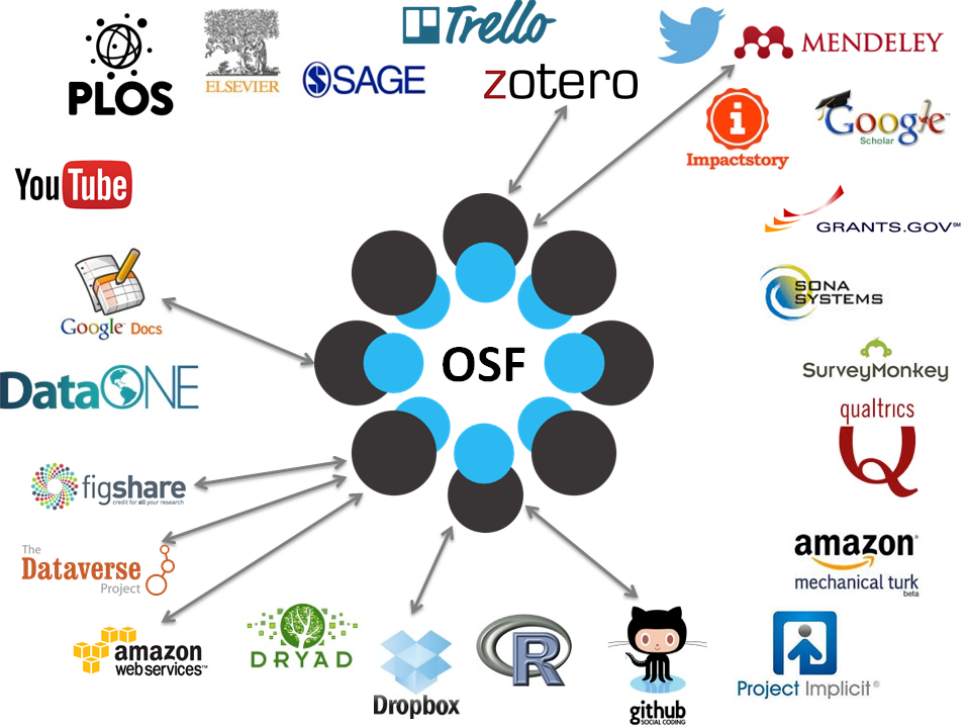
- **Will soon sync with above**

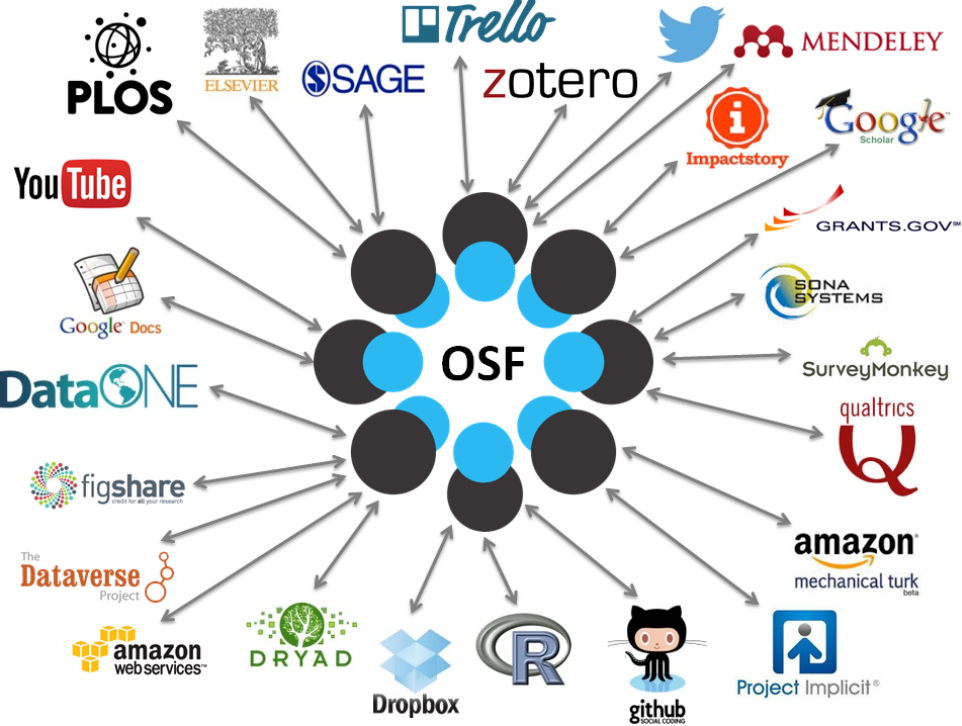
- **Version Control!**

Cumulative Number of Registrations by Month

main







Pre-Analysis Plan

Implementation

Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

- Often part of a registration
- From 3ie: “A pre-analysis plan is a detailed description of the analysis to be conducted that is written in advance of seeing the data on impacts of the program being evaluated. It may specify hypotheses to be tested, variable construction, equations to be estimated, controls to be used, and other aspects of the analysis. A key function of the pre-analysis plan is to increase transparency in the research. By setting out the details in advance of what will be done and before knowing the results, the plan guards against data mining and specification searching. Researchers are encouraged to develop and upload such a plan with their study registration, but it is not required for registration.”

Pre-Analysis Plan

Implementation

Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

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Origin: FDA's Guidance for Industry

Implementation
Registration
and
Pre-Analysis
Plans
Garret
Christensen

Outline
Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion
P-Hacking

“E9 Statistical Principles for Clinical Trials” (1998) [▶ Link](#) §V Data Analysis Considerations

- 1 Prespecification of the Analysis
- 2 Analysis Sets
- 3 Missing Values and Outliers
- 4 Data Transformation
- 5 Estimation, Confidence Intervals, and Hypothesis Testing
- 6 Adjustment of Significance and Confidence Levels
- 7 Subgroups, Interactions, and Covariates
- 8 Integrity of Data and Computer Software Validity

Glennerster, Takavarasha Suggestions

Implementation

Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

Running Randomized Evaluations

- 1 the main outcome measures,
- 2 which outcome measures are primary and which are secondary,
- 3 the precise composition of any families that will be used for mean effects analysis,
- 4 the subgroups that will be analyzed,
- 5 the direction of expected impact if we want to use a one-sided test, and
- 6 the primary specification to be used for the analysis.

McKenzie Suggestions

Implementation
Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline
Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

World Bank Development Impact Blog

- 1 Description of the sample to be used in the study
- 2 Key data sources
- 3 Hypotheses to be tested throughout the causal chain
- 4 Specify how variables will be constructed
- 5 Specify the treatment effect equation to be estimated
- 6 What is the plan for how to deal with multiple outcomes and multiple hypothesis testing?
- 7 Procedures to be used for addressing survey attrition
- 8 How will the study deal with outcomes with limited variation?
- 9 If you are going to be testing a model, include the model
- 10 Remember to archive it

Simmons, Nelson, Simonsohn (2011)

Implementation

Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

Reporting standards, but related.

- 1 Authors must decide the rule for terminating data collection before data collection begins and report this rule in the article.
- 2 Authors must collect at least 20 observations per cell or else provide a compelling cost-of-data-collection justification.
- 3 Authors must list all variables collected in a study.
- 4 Authors must report all experimental conditions, including failed manipulations.
- 5 If observations are eliminated, authors must also report what the statistical results are if those observations are included.
- 6 If an analysis includes a covariate, authors must report the statistical results of the analysis without the covariate.

Simmons, Nelson, Simonsohn (2011)

Implementation
Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline
Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

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Examples

Implementation

Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

Wide range of when to write and how detailed to make the plan. At the extreme level of detail you would have your entire code already written before you got any data.

- J-PAL Hypothesis Registry (11), see <http://www.povertyactionlab.org/Hypothesis-Registry>
- AEA Registry has relatively few, plentiful in EGAP.
- Casey, Glennerster, Miguel, “Reshaping Institutions: Evidence on Aid Impacts Using a Pre-Analysis Plan” *QJE* 2012. (Paper, Plan)
 - Government-sponsored program.
 - Broad program (Community Driven Development)
 - Broad outcomes (trust, public goods, public services, community groups, information, participation, crime, welfare, attitudes)

| Outcome variable | (1) Mean for controls | (2) Treatment effect |
|---|-----------------------------|----------------------------|
| Panel A: GoBifo “weakened” institutions | | |
| Attended meeting to decide what to do with the tarp | 0.81 | −0.04 ⁺ |
| Everybody had equal say in deciding how to use the tarp | 0.51 | −0.11 ⁺ |
| Community used the tarp (verified by physical assessment) | 0.90 | −0.08 ⁺ |
| Community can show research team the tarp | 0.84 | −0.12 [*] |
| Respondent would like to be a member of the VDC | 0.36 | −0.04 [*] |
| Respondent voted in the local government election (2008) | 0.85 | −0.04 [*] |
| Panel B: GoBifo “strengthened” institutions | | |
| Community teachers have been trained | 0.47 | 0.12 ⁺ |
| Respondent is a member of a women’s group | 0.24 | 0.06 ^{**} |
| Someone took minutes at the most recent community meeting | 0.30 | 0.14 [*] |
| Building materials stored in a public place when not in use | 0.13 | 0.25 [*] |
| Chiefdom official did not have the most influence over tarp use | 0.54 | 0.06 [*] |
| Respondent agrees with “Responsible young people can be good leaders” and not “Only older people are mature enough to be leaders” | 0.76 | 0.04 [*] |
| Correctly able to name the year of the next general elections | 0.19 | 0.04 [*] |

Why?

Implementation
Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline
Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

- It's good science; you can distinguish between confirmatory and exploratory analysis.
- You get a badge.
 - Project Page. [Link](#)
 - Fertile women aren't more racist (Hawkins, Fitzgerald, Nosek 2015).
- You get \$1000. [Link](#)

OSF Demo

Implementation
Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline
Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

- Create a Project.
- Add a collaborator.
- Upload a file.
- Edit and save the file, without changing the name.
- Upload the file again.
- Link project to GitHub repo.

Conclusion

Implementation:
Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline
Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

- Register your work to reduce publication bias.
- Include a pre-analysis plan to reduce researcher degrees of freedom.
- Register in most appropriate site for your work, OSF will hold anything, and link to your entire workflow.
- Use version control (GitHub, BitBucket, etc.).
- Share your data (Harvard Dataverse—Alex Wais next).

Supplemental: P-Hacking

Implementation

Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

- Also called fishing, researcher degrees of freedom, data mining, data massaging, data dredging, or specification searching.
- Definition: flexibility in data analysis allows portrayal of *anything* as below an arbitrary p-value threshold; significance loses its meaning.
- Not something only evil people do. It can be subconscious—humans are really good at motivated reasoning.
- Not just that, we make completely reasonable but data-dependent decisions (Gelman, Loken 2013/2014 “Garden of Forking Paths”)

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Implementation

Registration
and
Pre-Analysis
Plans

Garret
Christensen

Outline

Publication Bias

Registrations

Pre-Analysis
Plan

Conclusion

P-Hacking

- Do people actually do this? (Previous—John, Loewenstein, Prelec 2011)
- Listening to the Beatles' "When I'm Sixty-Four" makes you younger. (Simmons, Nelson, Simonsohn 2011)
- Inordinately many .049 p-values, and indordinately few .051's; 10-20%. (Brodeur et al 2015, "Star Wars")
- Political ideologues literally see in black and white (Nosek, Spies, Motyl 2012)

Back to [main](#).