

# 第二章 电磁波的传播和传输

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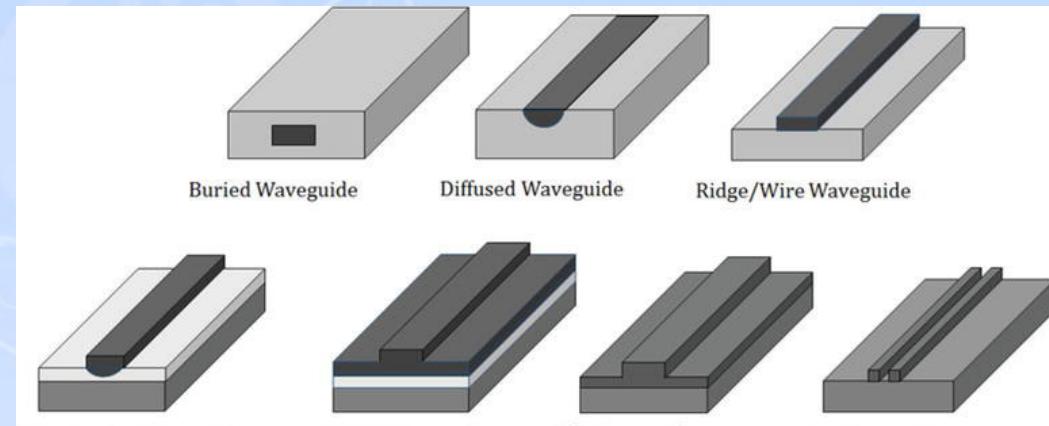
Beijing Institute of Technology

# 第2章 电磁波的传播和传输

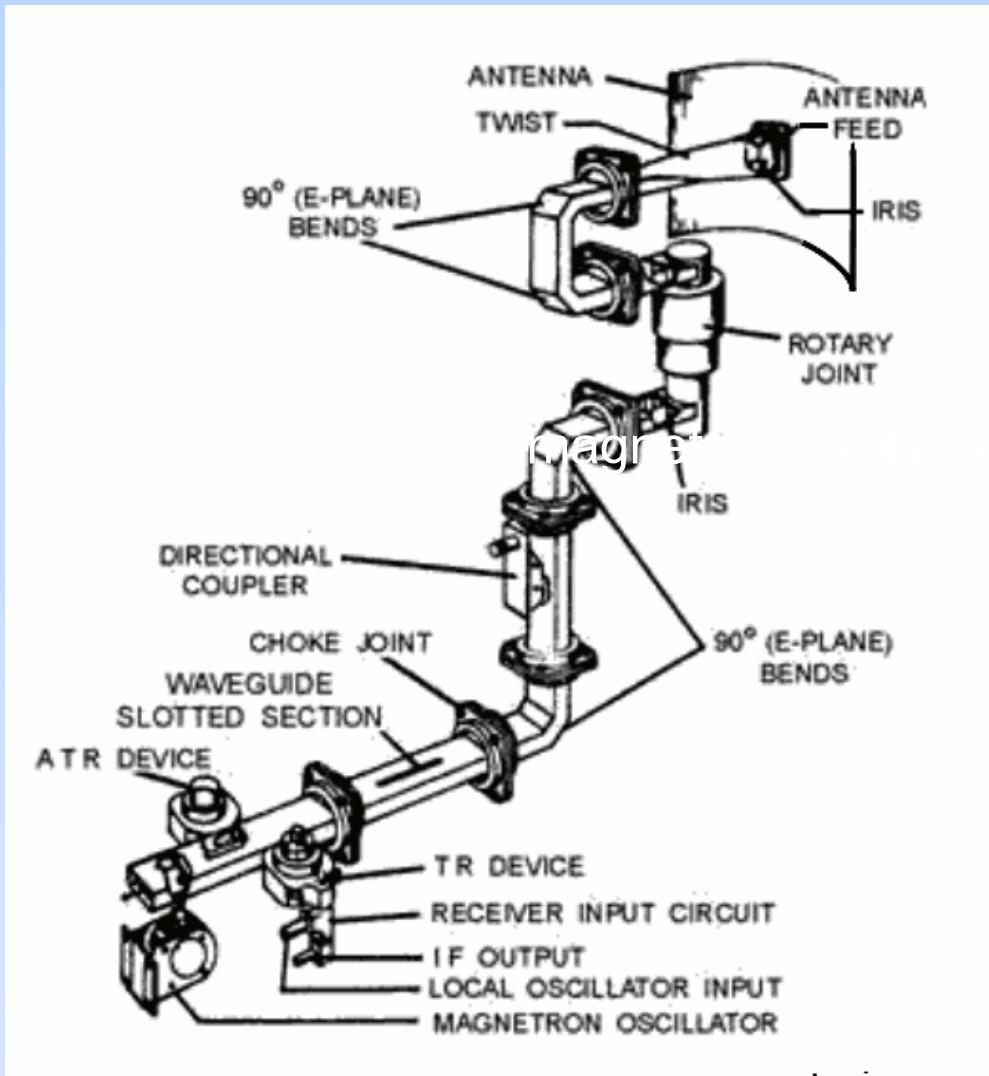
- 电磁波传播
- 波导中的传输

# 什么是波导

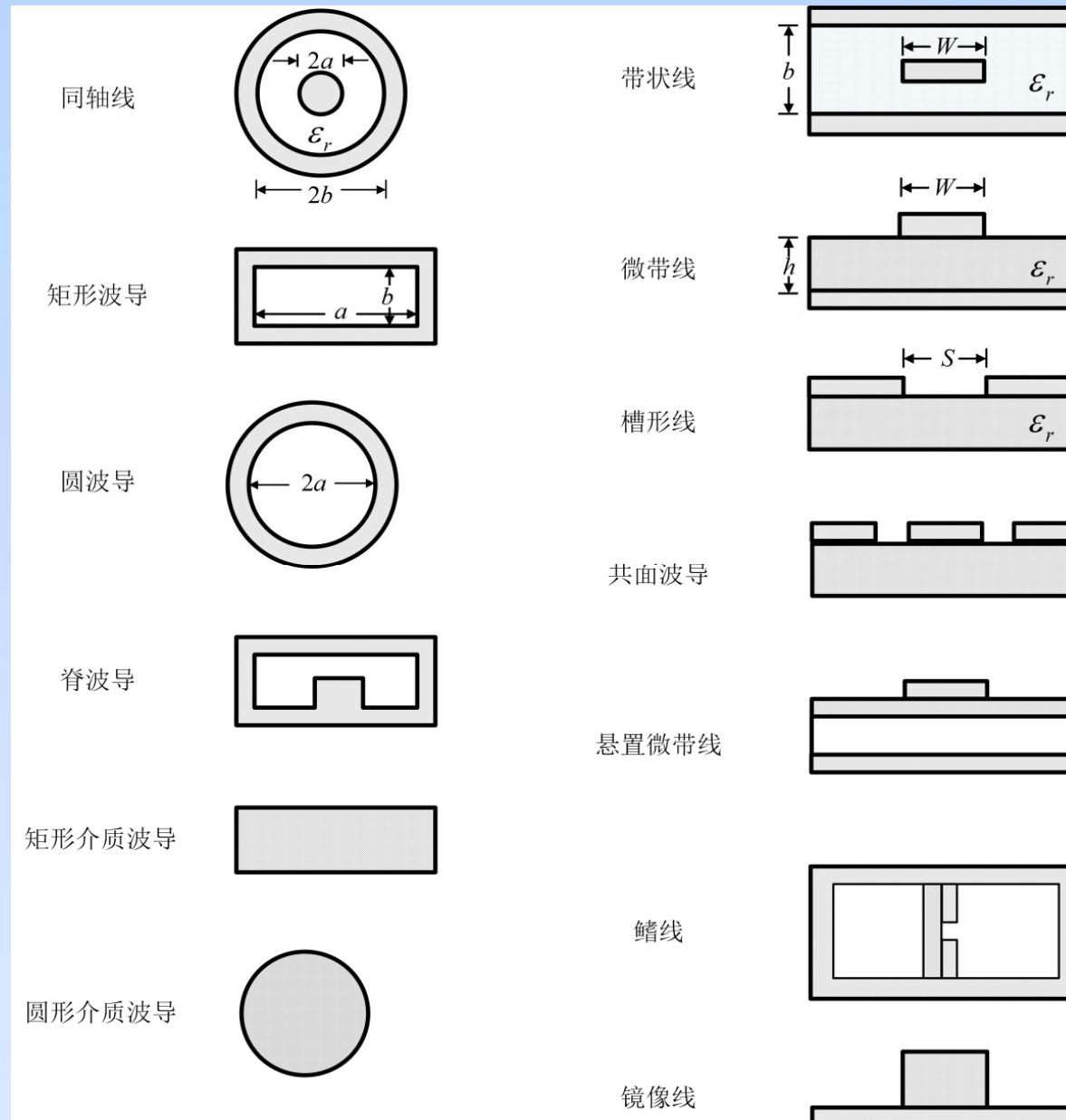
A **waveguide** is a structure that guides waves, such as electromagnetic waves or sound, with minimal loss of energy by restricting the transmission of energy to **one direction**. Without the physical constraint of a waveguide, wave intensities decrease according to the inverse square law as they expand into three dimensional space.



# 雷达系统中波导



# 波导中的传输



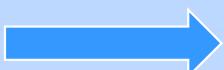
# 波导中的传输

- 波导传输问题的求解途径
- 矩形波导中电磁波的传输特性
- 波导正规模的特性
- 任意截面空波导电磁波传输模式的有限元分析
- 波导激励分析

# 波导传输问题的求解途径

$$\nabla^2 \mathbf{E} + k^2 \mathbf{E} = 0$$

$$\nabla = \nabla_t + \hat{z} \frac{\partial}{\partial z}$$



$$(\nabla^2 + k^2) E_z = 0$$

$$e^{-\gamma z}$$

$$\gamma = \alpha + j\beta$$

$$E(r) = E(t) e^{-\gamma z}$$



$$(\nabla_t^2 + k_c^2) E_z = 0$$

$$(\nabla_t^2 + k_c^2) H_z = 0$$



$$E_t = j \frac{\omega \mu}{k_c^2} \hat{z} \times \nabla_t H_z - \frac{\gamma}{k_c^2} \nabla_t E_z$$

$$H_t = -j \frac{\omega \epsilon}{k_c^2} \hat{z} \times \nabla_t E_z - \frac{\gamma}{k_c^2} \nabla_t H_z$$

# 波导传输问题的求解途径

$$\nabla^2 \mathbf{E} + k^2 \mathbf{E} = 0$$

$$\nabla = \nabla_t + \hat{z} \frac{\partial}{\partial z}$$

TE波

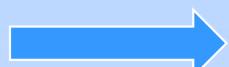
$$\mathbf{E}_t = j \frac{\omega \mu}{k_c^2} \hat{z} \times \nabla_t \mathbf{H}_z \quad \mathbf{H}_t = -\frac{\gamma}{k_c^2} \nabla_t \mathbf{H}_z$$

TM波

$$\mathbf{E}_t = -\frac{\gamma}{k_c^2} \nabla_t \mathbf{E}_z \quad \mathbf{H}_t = -j \frac{\omega \epsilon}{k_c^2} \hat{z} \times \nabla_t \mathbf{E}_z$$

$$(\nabla_t^2 + k_c^2) \mathbf{E}_z = 0$$

$$(\nabla_t^2 + k_c^2) \mathbf{H}_z = 0$$



$$(\nabla^2 + k^2) E_z = 0$$

$$e^{-\gamma z}$$

$$\gamma = \alpha + j\beta$$

$$\mathbf{E}(r) = \mathbf{E}(t) e^{-\gamma z}$$



$$\mathbf{E}_t = j \frac{\omega \mu}{k_c^2} \hat{z} \times \nabla_t \mathbf{H}_z - \frac{\gamma}{k_c^2} \nabla_t \mathbf{E}_z$$

$$\mathbf{H}_t = -j \frac{\omega \epsilon}{k_c^2} \hat{z} \times \nabla_t \mathbf{E}_z - \frac{\gamma}{k_c^2} \nabla_t \mathbf{H}_z$$



# 矩形波导中电磁波的传输特性

TE波

$$\mathbf{E}_t = j \frac{\omega\mu}{k_c^2} \hat{z} \times \nabla_t H_z \quad \mathbf{H}_t = -\frac{\gamma}{k_c^2} \nabla_t H_z$$

TM波

$$\mathbf{E}_t = -\frac{\gamma}{k_c^2} \nabla_t E_z \quad \mathbf{H}_t = -j \frac{\omega\epsilon}{k_c^2} \hat{z} \times \nabla_t E_z$$

$$Z_{TE} = \frac{j\omega\mu}{-\gamma}$$

$$Z_{TM} = \frac{\gamma}{j\omega\epsilon}$$

# 波导中的传输

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- 波导正规模的特性
- 任意截面空波导电磁波传输模式的有限元分析
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# 矩形波导中电磁波的传输特性

## TM模式

纵向场  $E_z$  除了满足(2.2.18)还满足

$$E_z \Big|_{x=0} = 0, \quad E_z \Big|_{x=a} = 0, \quad E_z \Big|_{y=0} = 0, \quad E_z \Big|_{y=b} = 0 \quad (2.2.20)$$

分离变量法解得

$$E_z = \sin k_x x \sin k_y y e^{-jk_z z} \quad (2.2.21)$$

$$k_x = \frac{m\pi}{a}, \quad m=1,2,\dots \quad (2.2.22a) \quad k_y = \frac{n\pi}{b}, \quad n=1,2,\dots \quad (2.2.22b)$$

且

$$k_x^2 + k_y^2 + k_z^2 = k_c^2 + k_z^2 = \omega^2 \mu \epsilon = k^2 \quad (2.2.23)$$

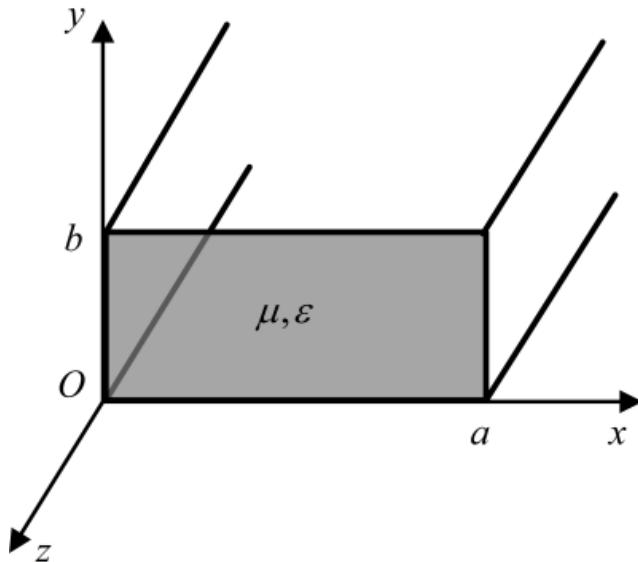


图2-6 矩形波导

# 矩形波导中电磁波的传输特性

由(2.2.16)和(2.2.17)可算出TM模式的横向分量

$$E_x = -\frac{jk_x k_z}{k_c^2} \cos k_x x \sin k_y y e^{-jk_z z} \quad (2.2.24)$$

$$E_y = -\frac{jk_y k_z}{k_c^2} \sin k_x x \cos k_y y e^{-jk_z z} \quad (2.2.25)$$

$$H_x = \frac{j\omega\epsilon k_y}{k_c^2} \sin k_x x \cos k_y y e^{-jk_z z} \quad (2.2.26)$$

$$H_y = -\frac{j\omega\epsilon k_x}{k_c^2} \cos k_x x \sin k_y y e^{-jk_z z} \quad (2.2.27)$$

式(2.2.22a)和(2.2.22b)中m和n的不同取值，对应于不同的 $\text{TM}_{mn}$ 模，其截止波数为

$$k_{cmm} = \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2} \quad (2.2.28)$$

# 矩形波导中电磁波的传输特性

$$E_t = -\frac{\gamma}{k_c^2} \nabla_t E_z \quad (2.2.29)$$

$$H_t = -j \frac{\omega \epsilon}{k_c^2} \hat{z} \times \nabla_t E_z \quad (2.2.30)$$

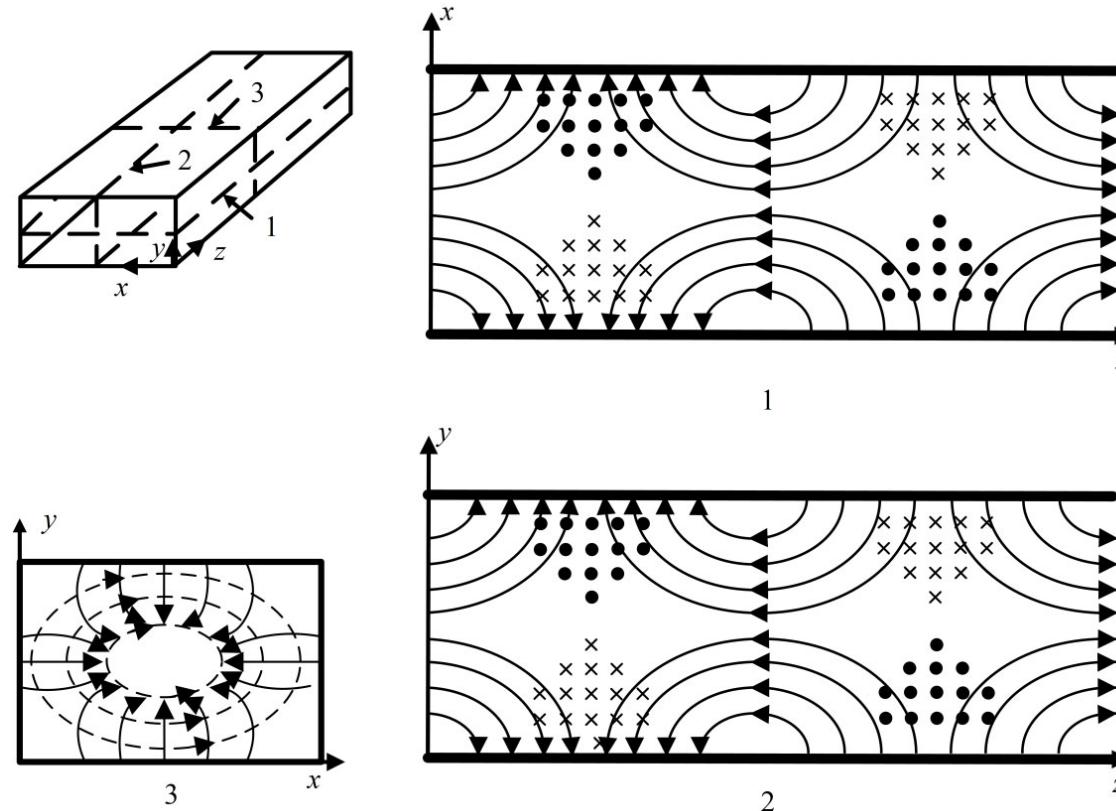


图2-7 矩形波导主模 $\text{TM}_{11}$ 的场分布

# 矩形波导中电磁波的传输特性

## TE模式

纵向场 $H_z$ 除了满足(2.2.18)还满足

$$\frac{\partial H_z}{\partial n} \Big|_{x=0} = 0, \quad \frac{\partial H_z}{\partial n} \Big|_{x=a} = 0, \quad \frac{\partial H_z}{\partial n} \Big|_{y=0} = 0, \quad \frac{\partial H_z}{\partial n} \Big|_{y=b} = 0 \quad (2.2.31)$$

分离变量法解得

$$H_z = \cos k_x x \cos k_y y e^{-jk_z z} \quad (2.2.32)$$

$$k_x = \frac{m\pi}{a}, \quad m = 0, 1, 2, \dots \quad (2.2.33a) \quad k_y = \frac{n\pi}{b}, \quad n = 0, 1, 2, \dots \quad (2.2.33b)$$

注意m和n不能同时为零,  $k_x, k_y, k_z$  同样满足色散关系(2.2.23)

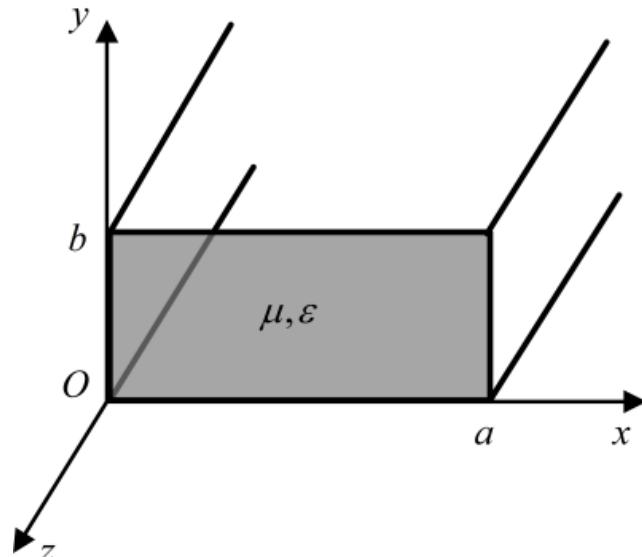


图2-6 矩形波导

# 矩形波导中电磁波的传输特性

由(2.2.16)和(2.2.17)可算出TE模式的横向分量

$$E_x = \frac{j\omega\mu k_y}{k_c^2} \cos k_x x \sin k_y y e^{-jk_z z} \quad (2.2.34)$$

$$E_y = -\frac{j\omega\mu k_x}{k_c^2} \sin k_x x \cos k_y y e^{-jk_z z} \quad (2.2.35)$$

$$H_x = \frac{jk_x k_z}{k_c^2} \sin k_x x \cos k_y y e^{-jk_z z} \quad (2.2.36)$$

$$H_y = \frac{jk_y k_z}{k_c^2} \cos k_x x \sin k_y y e^{-jk_z z} \quad (2.2.37)$$

TE模的最小截止波数要小于TM模。因此波导主模是TE模  
对于  $a > b$  情形，波导主模便是TE10，其截止波数为  $k_c = \pi/a$

场分布如图2-8

# 矩形波导中电磁波的传输特性

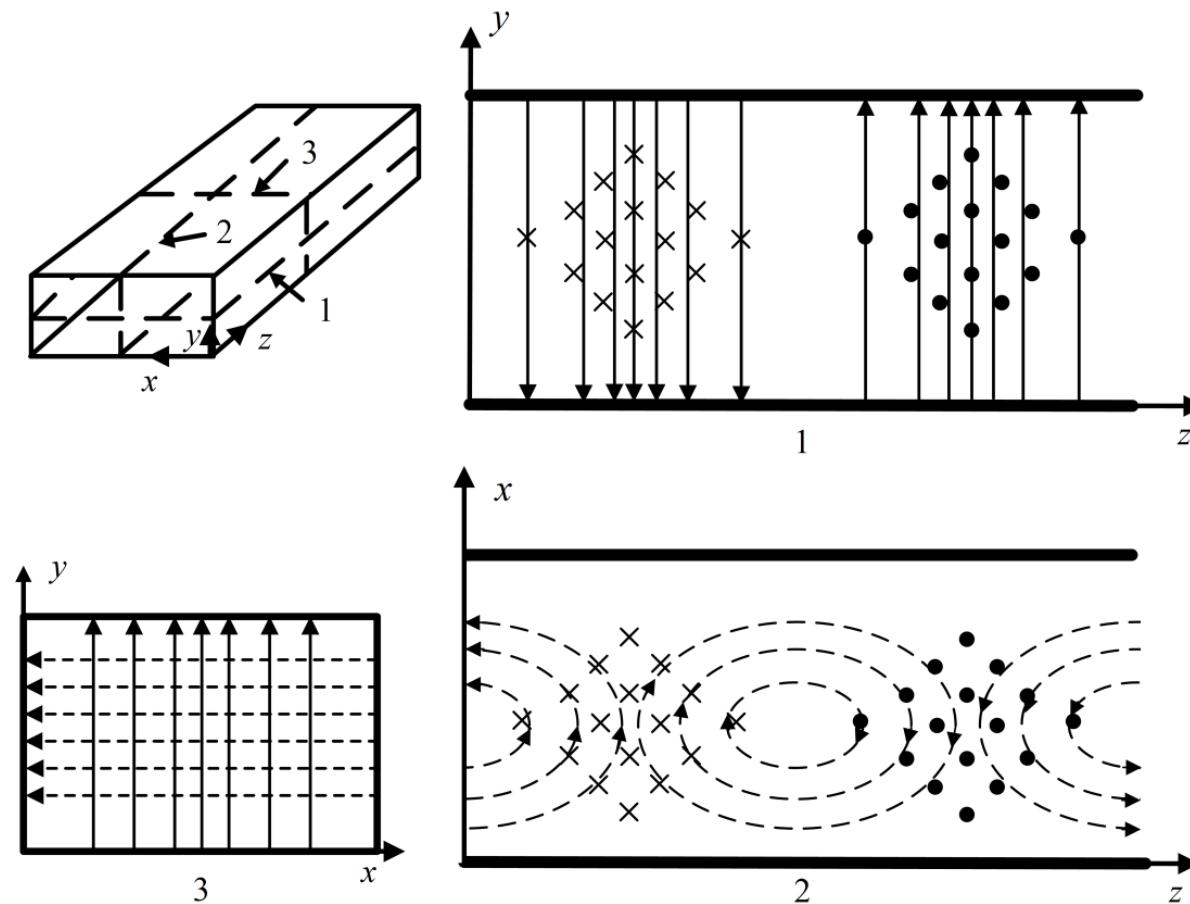


图2-8 矩形波导主模TE<sub>10</sub>的场分布

例题2.6

# 矩形波导中电磁波的传输特性

单模传输

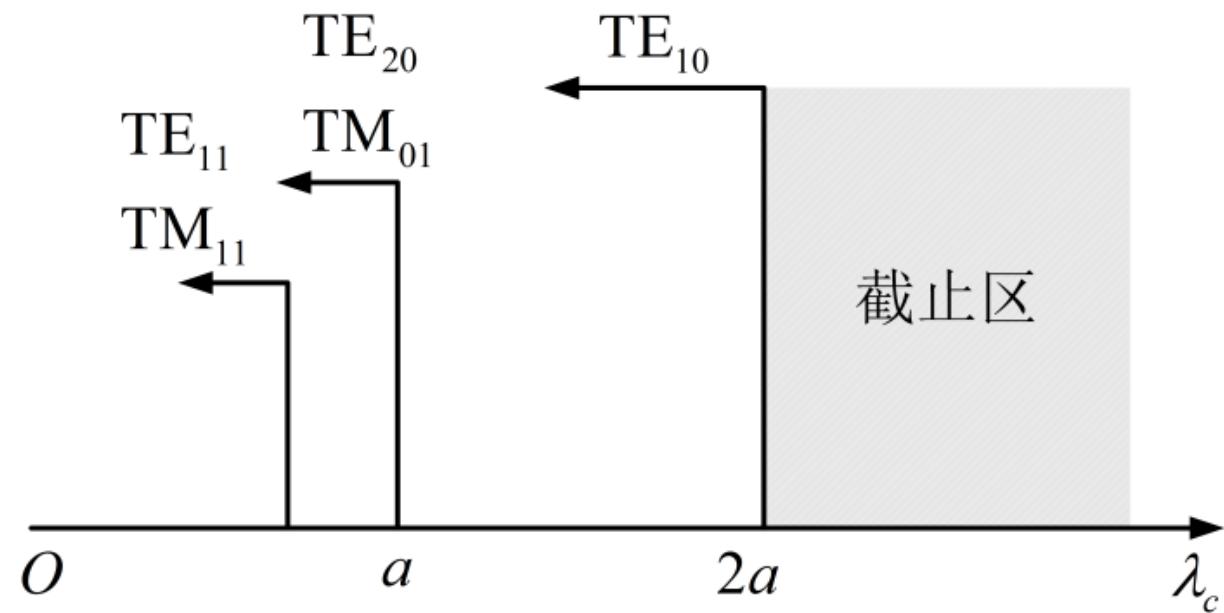


图2-9  $a=2b$ 的矩形波导，其截止波长分布

# 波导中的传输

- 波导传输问题的求解途径
- 矩形波导中电磁波的传输特性
- 波导正规模的特性

# 波导正规模的特性

假设波导中第m个模的场为 $\mathbf{E}_m$ 、 $\mathbf{H}_m$ ， 第n个模的场为 $\mathbf{E}_n$ 、 $\mathbf{H}_n$

$$\nabla \times \mathbf{H}_m = j\omega \epsilon \mathbf{E}_m \quad (2.2.38)$$

$$\nabla \times \mathbf{E}_m = -j\omega \mu \mathbf{H}_m \quad (2.2.39)$$

$$\nabla \times \mathbf{H}_n = j\omega \epsilon \mathbf{E}_n \quad (2.2.40)$$

$$\nabla \times \mathbf{E}_n = -j\omega \mu \mathbf{H}_n \quad (2.2.41)$$

$\mathbf{H}_n$ 点乘(2.2.39)减去 $\mathbf{E}_m$ 点乘(2.2.40)得

$$\mathbf{H}_n \cdot \nabla \times \mathbf{E}_m - \mathbf{E}_m \cdot \nabla \times \mathbf{H}_n = \nabla \cdot (\mathbf{E}_m \times \mathbf{H}_n) = j\omega (-\mathbf{H}_n \cdot \mu \mathbf{H}_m - \mathbf{E}_m \cdot \epsilon \mathbf{E}_n) \quad (2.2.42)$$

$\mathbf{E}_n$ 点乘式(2.2.38)减去 $\mathbf{H}_m$ 点乘式(2.2.41)得

$$\mathbf{E}_n \cdot \nabla \times \mathbf{H}_m - \mathbf{H}_m \cdot \nabla \times \mathbf{E}_n = \nabla \cdot (\mathbf{H}_m \times \mathbf{E}_n) = j\omega (\mathbf{H}_m \cdot \mu \mathbf{H}_n + \mathbf{E}_n \cdot \epsilon \mathbf{E}_m) \quad (2.2.43)$$

# 波导正规模的特性

式(2.2.42)加上(2.2.43)得

$$\nabla \cdot (E_m \times H_n - E_n \times H_m) = 0 \quad (2.2.44)$$

对式(2.2.44)在波导 $z$ 和 $z+\Delta z$ 两平面及波导内壁所围区域积分得

$$\int_{S_1} (E_m \times H_n - E_n \times H_m) \cdot (-\hat{z}) ds + \int_{S_c} (E_m \times H_n - E_n \times H_m) \cdot \hat{n} ds \quad (2.2.45)$$

$$+ \int_{S_2} (E_m \times H_n - E_n \times H_m) \cdot (\hat{z}) ds = 0$$

$$E_m = E_{tm} + E_{zm} \hat{z}$$

$$\int_{S_1} (E_{tm} \times H_{tn} - E_{tn} \times H_{tm}) \cdot (-\hat{z}) ds + \int_{S_2} (E_{tm} \times H_{tn} - E_{tn} \times H_{tm}) \cdot (\hat{z}) ds = 0 \quad (2.2.46)$$

# 波导正规模的特性

如果第m个模和第n个模都沿正z方向传输

$$\mathbf{E}_{tm} = e^{-\gamma_m z} \mathbf{e}_m(x, y), \quad \mathbf{H}_{tm} = \frac{1}{Z_m} e^{-\gamma_m z} \mathbf{h}_m(x, y) \quad (2.2.47a)$$

$$\mathbf{E}_{tn} = e^{-\gamma_n z} \mathbf{e}_n(x, y), \quad \mathbf{H}_{tn} = \frac{1}{Z_n} e^{-\gamma_n z} \mathbf{h}_n(x, y) \quad (2.2.47b)$$

$$\mathbf{h}_m(x, y) = \hat{\mathbf{z}} \times \mathbf{e}_m(x, y) \quad (2.2.48a) \quad \int_S \mathbf{e}_m(x, y) \cdot \mathbf{e}_m(x, y) dS = 1 \quad (2.2.48a)$$

$$(\gamma_m + \gamma_n) \int_s \left( \frac{1}{Z_n} \mathbf{e}_m \times \mathbf{h}_n - \frac{1}{Z_m} \mathbf{e}_n \times \mathbf{h}_m \right) \cdot (\hat{\mathbf{z}}) dS = 0 \quad (2.2.49)$$

显然  $\gamma_m + \gamma_n \neq 0$ , 故

$$\int_s \left( \frac{1}{Z_n} \mathbf{e}_m \times \mathbf{h}_n - \frac{1}{Z_m} \mathbf{e}_n \times \mathbf{h}_m \right) \cdot (\hat{\mathbf{z}}) dS = 0 \quad (2.2.50)$$

# 波导正规模的特性

如果第m个模沿正z方向传输，而第n个模都沿负z方向传输

$$E_{tn} = e^{\gamma_n z} \mathbf{e}_n(x, y), \quad H_{tn} = -\frac{1}{Z_n} e^{\gamma_n z} \mathbf{h}_n(x, y) \quad (2.2.51)$$

代入(2.2.46)得

$$(\gamma_m - \gamma_n) \int_s \left( \frac{1}{Z_n} \mathbf{e}_m \times \mathbf{h}_n + \frac{1}{Z_m} \mathbf{e}_n \times \mathbf{h}_m \right) \cdot (\hat{z}) ds = 0 \quad (2.2.52a)$$

如果  $\gamma_m \neq \gamma_n$ ，那么

$$\int_s \left( \frac{1}{Z_n} \mathbf{e}_m \times \mathbf{h}_n + \frac{1}{Z_m} \mathbf{e}_n \times \mathbf{h}_m \right) \cdot (\hat{z}) ds = 0 \quad (2.2.52b)$$

$$\int_s \mathbf{e}_m \times \mathbf{h}_n \cdot \hat{z} ds = 0, \quad \gamma_m \neq \gamma_n \quad (2.2.53)$$

波导正规模最为一般的正交性

# 波导正规模的特性

式(2.2.50)和(2.2.52b)相加

$$\int_S \mathbf{e}_m \times \mathbf{h}_n \cdot \hat{\mathbf{z}} dS = 0, \quad \gamma_m \neq \gamma_n \quad (2.2.53a)$$

利用(2.2.48a)和(2.2.48b)

$$\int_S \mathbf{e}_m \times \mathbf{h}_n \cdot \hat{\mathbf{z}} dS = \delta_{mn} \quad (2.2.53b)$$

$$\int_S \mathbf{e}_m \cdot \mathbf{e}_n dS = \delta_{mn} \quad (2.2.53c)$$

波导正规模最为一般的正交性

$$E = \sum_{m,n} a_{mn} \mathbf{e}_{TEmn} + \sum_{m,n} b_{mn} \mathbf{e}_{TMmn} \quad (2.2.54)$$

波导模式的完备性

## 例题2.6

返回

### 例题2.6

试求等腰直角三角形波导(图2-9)中最低阶E波和H波的截止波长。

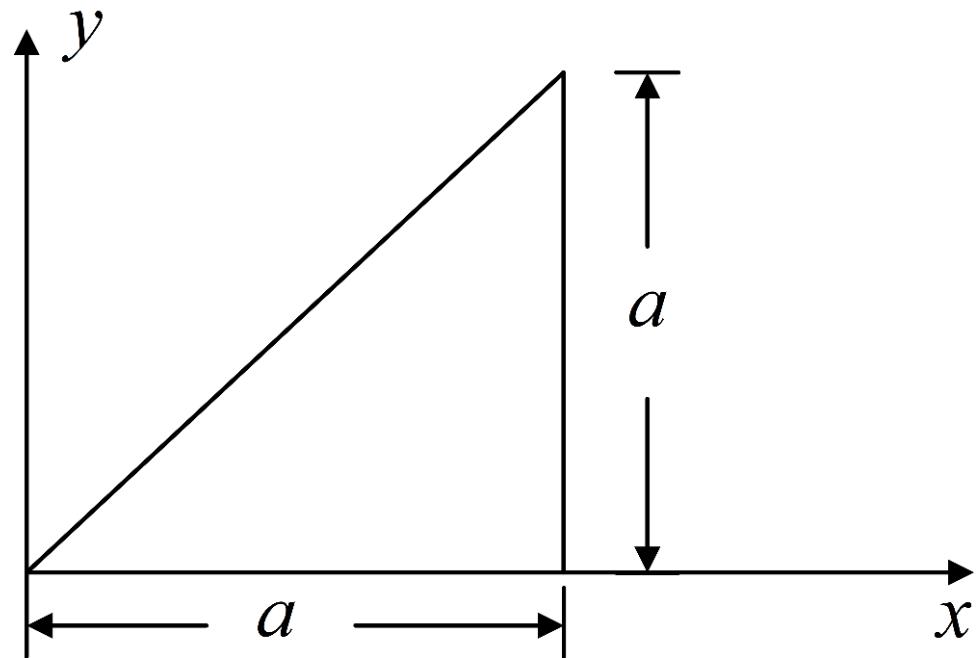


图2-9 等腰直角三角形波导

## 例题2.6

解：在方形波导的对角线处加一导体平面即得到等腰直角三角形波导。故求解时可利用方形波导中的场解。

1. TM波：设等腰直角三角形波导中的纵向电场分量  $E_z$  为方形波导中两个不同的E型波的叠加：

$$E_z = A \sin \frac{m\pi x}{a} \sin \frac{n\pi y}{a} + B \sin \frac{p\pi x}{a} \sin \frac{q\pi y}{a} \quad (\text{e2.6.1})$$

为了满足  $(\nabla_t^2 + k_t^2) E_z = 0$ ，横向波数  $k_t$  应为

$$k_t^2 = \left(\frac{\pi}{a}\right)^2 (m^2 + n^2) = \left(\frac{\pi}{a}\right)^2 (p^2 + q^2) \quad (\text{e2.6.2})$$

在  $y=0$  和  $x=a$  上  $E_z=0$ ，满足边界条件；在弦  $y=x$  上，

$$E_z|_{y=x} = A \sin m\xi \sin n\xi + B \sin p\xi \sin q\xi \quad (\text{e2.6.3})$$

$$\begin{aligned} &= \frac{A}{2} [\cos(m-n)\xi - \cos(m+n)\xi] + \frac{B}{2} [\cos(p-q)\xi - \cos(p+q)\xi] \\ &= 0 \end{aligned}$$

## 例题2.6

若取正整数 $r$ ,令  $m - p = q - n = r$  (e2.6.4a)

由式(e2.6.2)可得  $m + p = q + n$  (e2.6.4b)

上二式又可写为  $m + n = p + q, m - n = -(p - q)$  (e2.6.5)

$A = -B = 1$  时式(e2.6.3)成立。又由上式可知, $m = q, n = p$

因此式(e2.6.1)和式(e2.6.2)变为

$$E_z = \sin \frac{(n+r)\pi x}{a} \sin \frac{n\pi y}{a} - \sin \frac{n\pi x}{a} \sin \frac{(n+r)\pi y}{a} \quad (\text{e2.6.1})$$

$$k_t = \frac{\pi}{a} \sqrt{(n+r)^2 + n^2}, \quad n, r = 1, 2, \dots \quad (\text{e2.6.2})$$

可见, 最低E波的截止波长为

$$\lambda_c = 2\pi/k_t = 2a/\sqrt{5}$$

## 例题2.6

返回

(1)TE波：考虑方形波导  $TE_{11}$  波型的电场分布(图2-10)

根据场型分割原理

由  $y=0, y=x$  和  $x=l$  平面围成的等腰直角三角形波导和由  $y=0, y=x$  和  $y=l-x$  平面围成的等腰直角三角形波导中，纵向磁场分量均为

$$H_z = \cos \frac{\pi x}{l} \cos \frac{\pi y}{l} \quad (e2.6.3)$$

对于前者，当直角边为  $a$  时，

$$l = a, \text{ 截止波长 } \lambda_c = \sqrt{2}l = \sqrt{2}a$$

对于后者，当直角边为  $a$  时，

$$l = \sqrt{2}a, \text{ 截止波长 } \lambda_c = \sqrt{2}l = 2a$$

因此，最低H波的  $\lambda_c = 2a$

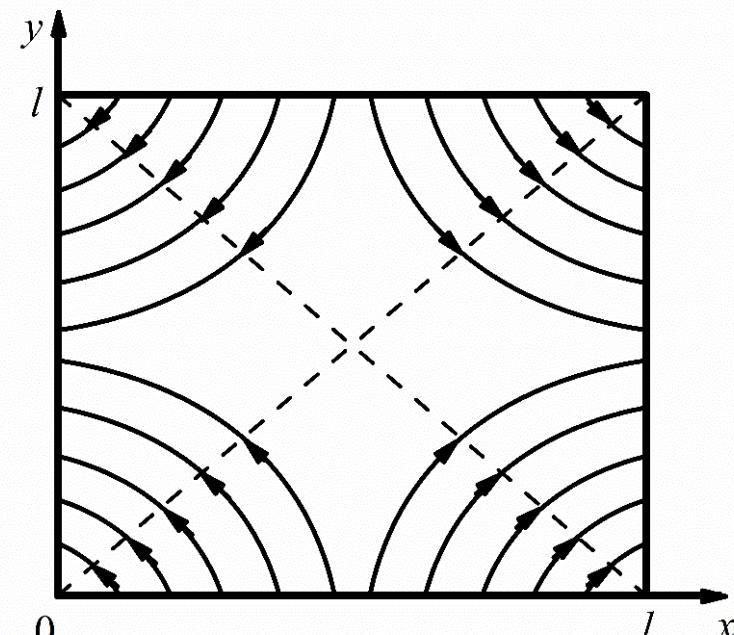


图2-10 方形波导  $TE_{11}$  波型的电场分布